#### CS323:Introduction to NLP



**Getting Started with NLP** 

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#### Outline

- What is NLP?
  - Definition
  - Ambiguities and Different levels of NLP
- Getting Started
  - Different types of corpora
  - Text Normalization
    - Basic pre-processing
    - Word and Sentence Segmentation
      - Rule and Heuristics Language specifi
      - Subword Tokenization

#### **Learning Objective**

- Understand the different levels of NLP and how they contribute to ambiguities and complexities
- Introduction to different types of corpora
- Essential pre-processing and normalization tasks while working with raw text

## Defining NLP

#### What do we mean by NLP?

• Natural Language – Written or Spoken language used by humans. Example: Assamese, Bengali, Hindi, Sanskrit, English, German, ...

 NLP – Computational methods to learn, understand & generate natural language content

 Multiple distinct fields study human language: Linguists, Speech Recognition, Computational Linguists etc.

#### Three Themes of NLP

Learning and Knowledge

Search and Learning

Relational, Compositional and Distributional Perspectives

## Learning and Knowledge

#### Debate on learning from scratch vs linguistic knowledge

• Whom to prioritize "Learning from scratch" or "understanding the linguistic structure and inferring from logic-based representation

Age-old debate

Giving rise to two paradigms: Rationalist and Empiricism

#### Rationalist Paradigm

- Transform text into linguistic structures
  - Subword units called morphemes, word-level parts-of-speech, tree-structured grammar representations, logic-based representations of meaning
  - Use them appropriately for the desired applications
- Primary Objective
  - describe the language models of human mind (I-Language)
- Argument
  - Existence of innate language faculty [Noam Chomsky]
  - Language learning capabilities of children: faster and with fewer examples

#### Rationalist: In Practice

- Focuses on
  - Rule based system and defining grammar
- Initial AI systems mimicked innate language faculty by trying to hardcode a lot of starting knowledge and reasoning mechanism

 Models: State Machines, Formal rule systems (Regular Grammar/CFG), Logic

## Empiricist: Sense and experience in tandem with generic cognitive ability

 Primary objective: describe the language as it actually occurs (E-Language)

- Differs with rationalist in degree of belief about nature of precoded knowledge
  - Does assume generic ability of association, pattern recognition and generalization
  - Generic ability works in tandem with rich sensory inputs

#### **Empiricist: In Practice**

- Focuses on
  - Large collection of text and data-driven approaches
- Explores and uses common patterns in language use

- Appropriate Probabilistic, Statistical, Pattern-recognition and ML Models
  - Objective is to tune model parameters to learn the complicated and extensive language structure
  - We will see plenty of them during the course

#### Synthesis of the two paradigms

• Exploit linguistic structure as features in learning models

Building model architectures inspired by linguistic theories

#### Two Relevant Discussions: Optional Reading

• Church, K. 2011. A pendulum swung too far, *Linguistic Issues in Language Technology* 6(5): 1-27

Manning, C. D. 2015. Last words: Computational linguistics and deep learning.
 Computational Linguistics 41(4): 701-707

## Search and Learning

#### **Generic Formulation**

Many NLP problems can be mathematically formulated as

$$\hat{\mathbf{y}} = argmax_{\mathbf{y} \in \mathcal{Y}(\mathbf{x})} \Psi(\mathbf{x}, \mathbf{y}; \theta)$$

where,

 $\bullet$  **x** : input

 $\bullet$  **y** : output

•  $\Psi$ : scoring function (model) mapping elements of the set  $\mathcal{X} \times \mathcal{Y}$  to real numbers

•  $\theta$ : set of model parameters

•  $\hat{\mathbf{y}}$ : predicted output

Examples of **x**: social media post, sentence in one language Examples of **y**: sentiment, sentence in another language, named entities

#### Search

Computes the argmax of the function Ψ

 Often machinery of Combinatorial optimization as often outputs are discrete variables

Simple search algorithms to dynamic programming and beam search

#### Learning

Finding the model parameters θ

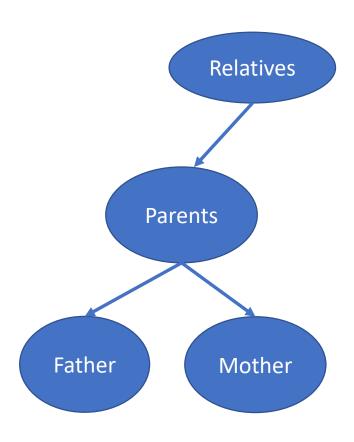
• Mostly, again an **optimization** problem.

• Relying on **numerical optimization**, as parameters are often continuous

# Three complimentary perspectives of meaning

Relational, Compositional and Distributional

#### **Relational Perspectives**



#### **Relational Perspectives**

Basis for semantic ontologies such as WordNet

 However, not easy to formalize the problem mathematically or computationally,

Building manually is also challenging

#### **Compositional Perspective**

• The meaning of word is constructed from the constituent parts

Can be applied to larger units: phrases, sentences, and beyond

#### **Distributional Perspectives**

 However, some words, idiomatic phrases have meaning different from the sum of words

 Distributional perspectives allow to learn about meaning from unlabelled data

This perspective is being exploited in vector semantics

### Why NLP is Hard?



"What is your little brother crying about?"
"Oh, 'im—'e's a reg'lar comp'tational linguist, 'e is."

http://specgram.com/CLIII.4/08.phlogiston.cartoon.zhe.html

#### Language is ambiguous

Example:

I made her duck Time flies like an arrow.

What is your inference of the two sentences?

Whether all of them are <u>meaningful/grammatically</u> correct?

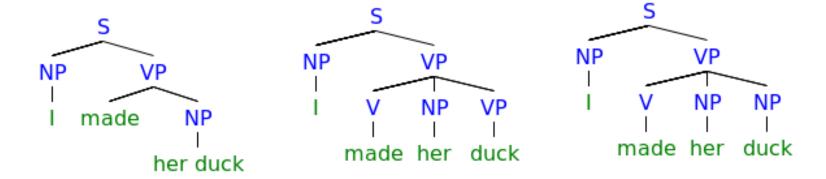
#### Language is ambiguous

Example: *I made her duck* 

- Interpretations :
  - I cooked duck for her
  - I cooked duck belonging to her
  - I caused her to quickly lower her body

#### **Ambiguity**

The variation in interpretation is due to



#### More examples of ambiguity

- Anne Hathaway vs. Warren Buffett's <u>Berkshire Hathaway</u> stock
  - When Bride Wars opened the stock rose 2.61%.

SOURCE: https://web.stanford.edu/class/archive/cs/cs224n/cs224n.1162/handouts/cs224n-lecture1.pdf

• Every Indian has a mother vs. Every Indian has a prime minister

• We gave the <u>monkeys</u> the bananas because <u>they</u> were hungry vs. We gave the monkeys the <u>bananas</u> because <u>they</u> were over-ripe

#### Types of Ambiguity

- Phonetic
  - My finger got number
- Morphological
  - Impossible vs important
  - Ram is quite impossible/ Ram is quite important
- Part of speech
  - Geeta won the first round
- Syntactic
  - Call Ram a taxi

#### Types of Ambiguity

- Pp attachment
  - The children ate the cake with a spoon.
- Cc attachment
  - Ram likes ripe apples and pears
- Sense
  - Ram took the bar exam
- Referential
  - Ram yelled at Shyam. He was angry at him
- Metonymy
  - Sydney called and left a message for Ram

#### Some other sources of difficulties

- Non-standard, slang, novel and short words
  - A360, +1-646-555-2223
  - Selfie, chillax
- Inconsistencies
  - junior college, college junior
- Parsing problems
  - Cup holder
- Metaphors, Humors, Sarcasm

#### Summary: why NLP is hard?

- Highly ambiguous at all levels
- Context is important to convey meaning
- Involves reasoning about the world

#### Different Levels of NLP

- Word
  - Phonetics and Phonology: study of linguistic sounds
  - Morphology: study of meaningful components of words [example]
- Syntax: structural relationship between words

- Semantic: study of meaning
  - Lexical semantics: study of meanings of words
  - Compositional semantics: How to combine words
- Pragmatics and Discourse: dealing with more than a sentence: paragraph, documents

## Getting Started with NLP

#### Source: Corpus

- Corpus (plural : corpora)
  - Special collection of texts collected according to a predefined set of criteria
  - May be available as pre-pr0cessed and linguistically-marked-up or in raw format
- Different types of corpora
  - Monolingual
  - Parallel: bilingual or multilingual [Vary at the alignment level]
  - Comparable: bilingual or multilingual
  - Learner Corpus
  - Diachronic Corpus

#### **Examples of Corpus**

Corpus	Tokens	Types
Switchboard phone conversations	2.4 million	20000
Shakespeare	884,000	31000
Brown	1 million	38000
Google N-grams	1 trillion	13 million

#### Two ways to talk about words:

- 1. Tokens: each occurrence of all words is counted
- 2. Types: number of distinct words

# More Examples of Corpora

- Access to multiple corpus from tools like NLTK
- Building from databases such as PubMed, free text from web, Wikipedia, Social media platforms etc.
- Task specific
- Shared task challenges: ACE, CoNLL, SemEval, BioAsq, SQuAD, CORD-19

- Caution: One shoe does not fit all.
- Caution: Ethical and Bias Issues

## **Text Preprocessing**

- Removing non-text (e.g. tags, ads)
- Text Normalization
  - Segmentation: Word and Sentence Segmentation
  - Normalizing Word Formats
    - Spelling Variations: Labeled/labelled
    - Capitalization: Led/LED
    - Lemmatization
    - Stemming
    - Morphological analysis: dealing with smallest meaning-bearing units

# Text normalization

Tokenization: Word Segmentation

#### Definition

• Process to divide the input text into units, also called, *tokens*, where each is either a *word* or a *number* or a *punctuation mark*.

#### What counts as a word?

I am interested in Natural Language Processing, but I'm not sure of the required prerequisites.

#### What counts as a word?

- Should I count punctuation as a word?
- Should I treat I'm as one word or break them into three words: I, ', m? [Clitic]
- Should I consider "Natural Language Processing" as one word or 3 words?

#### What counts as a word?

- Kucera and Francis (1967) defined "graphic word" as follows:
  - "a string of contiguous alphanumeric characters with space on either side; may include hyphens and apostrophes, but no other punctuation marks"

# Challenges in defining word as a contiguous alphanumeric characters

- Too restrictive
  - Should we consider "\$12.20" or "Micro\$oft" or ":)" as a word?

 We can expect several variants especially in forums like Twitter etc. which may not obey exact definition but should be considered as a word.

- Simple Heuristic: Whitespace
  - "a space or tab or the new line" between words.
  - Still to deal with several issues.

# Some challenges with simple heuristics

#### Periods

- Wash. vs wash
- Abbreviations at the end vs. in the middle e.g. etc.
- More on this while discussing sentence segmentation

#### Single apostrophes

- Contractions such as I'll, I'm etc.: should be taken as two words or one word?
- Penn Treebank split such contractions.
- Phrases such as *dog's vs. yesterday's* in "The house I rented yesterday's garden is really big".
- Orthographic-word-final single quotation (often comes at the end of sentence/quoted fragment) and cases like (plural possessive) "boys' toys".

# Defining words: Problems

#### Hyphenation

- Again the same question "do sequences of letters with a hyphen in between count as one word or two?
- Occurrences like e-mail, co-operate vs. non-lawyer, so-called, text-based
- Inconsistency in using words like "cooperate" as well as "co-operate"
- Line-breaking hyphen vs. actual hyphen happens at the end of line [haplology]
- Hyphens to indicate correct grouping of words: take-it-or-leave it in "a final take-it-or-leave it offer"
- Word with a whitespace between its parts
  - New Delhi, San Francisco
  - ... the New Delhi-New Jalpaiguri special train ...

# Defining words: Problems: Spoken Corpora

This lecture umm is main- mainly divided into two components

- Two types of disfluencies
  - Fragments: main-
  - Fillers/Filled pauses: uh.. Umm..

#### Some other issues

- Quite a large vocabulary
  - Restricting a vocabulary size enhances OOV problem
- No implicit notion of similar words
  - Each word is given distinct id

#### **Tokenization in Practice**

- Deterministic algorithms based on regular expressions
- Compiled into efficient finite state automata

# Word segmentation in other languages

- 请将这句话翻译成中文 [Please translate this sentence into Chinese]
  - Languages like Chinese, Japanese have no spaces between words
  - Japanese is further complicated with multiple alphabets intermingled

- Compound nouns written as a single word
  - Lebensversicherungsgesellschaftsangestellter [Life insurance company employee]

#### Word Tokenization in Chinese

- Chinese words are composed of characters
  - Characters are generally 1 syllable and 1 morpheme.
  - Average word is 2.4 characters long.
- Standard baseline segmentation algorithm:
  - Maximum Matching (also called Greedy)

Source: SLP-Slides-Chap2

# Maximum Matching Word Segmentation Algorithm

- Given a wordlist of Chinese, and a string.
- 1) Start a pointer at the beginning of the string
- 2) Find the longest word in dictionary that matches the string starting at pointer
- 3) Move the pointer over the word in string
- 4) Go to 2

Source: SLP-Slides-Chap2

## Max-match segmentation illustration

Thecatinthehat

Thetabledownthere

the cat in the hat

the table down there

theta bled own there

- Doesn't generally work in English!
- But works astonishingly well in Chinese
  - 莎拉波娃现在居住在美国东南部的佛罗里达。
  - 莎拉波娃 现在 居住 在 美国 东南部 的 佛罗里达
- Modern probabilistic segmentation algorithms even better

Source: SLP-Slides-Chap2

#### **Subword Tokenization: Motivation**

Frequent words should be identified as a token

- Rare words should be broken into meaningful subword tokens:
  - Unknowingly: "un", "know", "ing", "ly"
  - Helps in taking care of OOV, rare and related words
- Reasonable vocabulary size

To make it language independent

# Subword Tokenization: Popular Methods

- Byte Pair Encoding (BPE)<sup>1</sup>
- Wordpiece<sup>2</sup>
  - Similar to BPE, except the merging criteria is different
- Unigram<sup>3</sup> and Sentencepiece<sup>4</sup>
  - Rely on unigram language model
  - Language independent

- 1. Sennrich et al. 2015. Neural machine translation of rare words with subword units. ACL 2016
- 2. Schuster and Nakajima. 2012. Japanese and Korean voice search. ICASSP 2012
- 3. Kudo. 2018. Subword Regularization: Improving Neural Network Translation Models with Multiple Subword Candidates.

  \*\*ACL2018\*\*
- 4. Kudo et al. 2018. SentencePiece: A simple and language independent subword tokenizer and detokenizer for Neural Text Processing. *EMNLP 2018 (demo paper)*

# Byte Pair Encoding

Used for data compression in Information theory

• Idea: Iteratively merge most frequently byte pairs into a byte not present in the data.

#### **BPE for Word Tokenization**

- Assumption: corpus has been already tokenized
- Step 1: Count the frequency of each word appearing in the given corpus.
- Step 2: For each word, append them with a special token ``<E>", signifying end of a word.
- Step 3: Break each word into their constituent characters. So a word "exam" will be converted into a sequence of characters ["e","x","a","m","<E>"].

#### **BPE for Word Tokenization**

• Step 4: In each iteration, count the frequency of each consecutive byte pair and merge the most frequent byte pairs into one.

 Step 5: Stop after a fixed number of iterations (i.e. merge operations) or after obtaining a maximum number of tokens.

#### **BPE Tokenization: Illustration**

- Dictionary
  - {'low<E>': 5, 'lower<E>': 2, 'newest<E>': 6, 'widest<E>': 3}
- Vocabulary on characters
  - {'d','e','i','l','n','o','r','s','t','w','<E>'}
- 1<sup>st</sup> Iter: {'d','e','i','l','n','o','r','s','t','w','<E>','es'} [e and s occurred together 9 times]
- 2<sup>nd</sup> Iter: {'d','e','i','l','n','o','r','s','t','w','<E>','es', 'est'}
- And So on.

### BPE Tokenization: Encoding: Text Data Tokenization

 Question: How to tokenize a given sequence of words into learned tokens?

#### Answer

- Idea: Run the merged byte pairs in the order they were learned.
- Segment each test word into characters
- Apply first merge rule [Our example, merge 'e' and 's']
- Then second and so on...
- Example: newer -> "new" "er\_"

# Text normalization

Sentence Segmentation

# **Defining Sentence Boundary**

- Something ending with a '.', '?', or '!'
  - Language specific
- Problem with "."
  - Still 90% of periods are sentence boundary indicators [Riley 1989].
- Sub-sentence structure with the use of other punctuation
  - "The scene is written with a combination of unbridled passion and surehanded control: In the exchanges ....... inexorability of separation"

# Defining Sentence Boundary: A heuristic

- Put putative sentence boundaries after occurrences of ., ?, ! (and may be ;, :, -)
- Move the boundary after following quotation marks, if any.
- Disqualify a period boundary if
  - It is preceded by a known abbreviation that does not generally occur at the end of sentence such as Dr., Mr. or vs., but is commonly followed by a capitalized proper name
  - It is preceded by a know abbrev. and not followed by an uppercase word. This will deal with cases like etc. or Jr.
- Disqualify a boundary with a ? or ! If
  - It is followed by a lowercase letter (or name)

## Issues with Heuristic or set of pre-defined rules

- Is it possible to define such rules without the help of experts?
- Will it work for all languages?

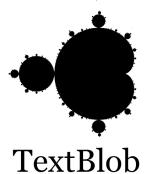
# Machine Learning Methods: Sentence boundary as classification problem

- Riley (1989) used classification trees
  - Features: case & length of the words preceding and following a period; prior prob of words occurring before and after a sentence boundary etc.
- Palmer and Hearst (1997) used neural network model
  - Instead of prior probability, PoS distribution of the preceding and following words.
  - Language-independent model with accuracy of 98-99%
- Reynar and Ratnaparkhi (1997) and Mikheev (1998) used Max. Ent approach
  - Language independent model with accuracy of 99.25%

## Tools to getting started with NLP























Source: https://medium.com/microsoftazure/7-amazing-open-source-nlp-tools-to-try-with-notebooks-in-2019-c9eec058d9f1

#### References

- Jurafsky and Martin, Speech and Language Processing, 3<sup>rd</sup> Ed. Draft [Available at <a href="https://web.stanford.edu/~jurafsky/slp3/">https://web.stanford.edu/~jurafsky/slp3/</a> ]
- Eisenstein, Introduction to NLP, MIT Press

# Thanks! Question and Comments!



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