## 33-755 Homework 11

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## Harmonic Oscillator in Thermal Equilibrium

The density operator for a harmonic oscillator in thermal equilibrium is

$$\hat{\rho} = \frac{1}{Z} e^{-\frac{\hat{\mathbf{H}}}{k_B T}},$$

where

$$\hat{\mathbf{H}} = \frac{\hat{\mathbf{P}}^2}{2m} + \frac{1}{2}m\omega^2\hat{\mathbf{X}}^2 = \left(\hat{\mathbf{N}} + \frac{1}{2}\right)\hbar\omega$$

with  $\hat{\mathbf{N}} = \hat{\mathbf{a}}^{\dagger} \hat{\mathbf{a}}$ .

(a) Show that  $e^{\frac{\hat{\mathbf{n}}}{k_B T}} a e^{-\frac{\hat{\mathbf{n}}}{k_B T}} = a e^{-\frac{\hbar \omega}{k_B T}}$ , and hence  $\langle \hat{\mathbf{a}}^{\dagger} \hat{\mathbf{a}} \rangle \equiv \text{Tr} \left[ \hat{\rho} \hat{\mathbf{N}} \right] = \langle \hat{\mathbf{a}} \hat{\mathbf{a}}^{\dagger} \rangle e^{-\frac{\hbar \omega}{k_B T}}$ .

Using Baker-Campbell-Hausdorff, we know that

$$e^{A}Be^{-A} = B + [A, B] + \frac{1}{2}[A, [A, B]] + \dots + \frac{1}{n!} \left[ A, \dots \right]$$

so

$$e^{\frac{\hat{\mathbf{H}}}{k_B T}} \hat{\mathbf{a}} e^{-\frac{\hat{\mathbf{H}}}{k_B T}} = \hat{\mathbf{a}} + \frac{1}{k_B T} \Big[ \hat{\mathbf{H}}, \hat{\mathbf{a}} \Big] + \dots$$

The commutator of  $\hat{\mathbf{H}}$  and  $\hat{\mathbf{a}}$  is  $-\hbar\omega\hat{\mathbf{a}}$ , so each additional commutation just adds an extra power of  $-\frac{\hbar\omega}{k_BT}$ :

$$=\hat{\mathbf{a}}+-\frac{\hbar\omega}{k_BT}\hat{\mathbf{a}}+\frac{1}{2}(-\frac{\hbar\omega}{k_BT})^2\hat{\mathbf{a}}+\cdots+\frac{1}{n!}(-\frac{\hbar\omega}{k_BT})^n\hat{\mathbf{a}}$$

We can factor out the operator  $\hat{\mathbf{a}}$  and the rest is a series which sums to an exponential

$$= \hat{\mathbf{a}} \sum_{n} \frac{\left(-\frac{\hbar\omega}{k_B T}\right)^n}{n!} = \hat{\mathbf{a}} e^{-\frac{\hbar\omega}{k_B T}}$$

(b) Use the commutation relation  $\left[\hat{\mathbf{a}}, \hat{\mathbf{a}}^{\dagger}\right] = 1$  to show that  $\left\langle \hat{\mathbf{N}} \right\rangle = \frac{1}{e^{\frac{\hbar \omega}{k_B T}} - 1}$ .

$$\begin{split} \left\langle \hat{\mathbf{N}} \right\rangle &= \mathrm{Tr} \Big( \hat{\rho} \hat{\mathbf{N}} \Big) = \sum_{n} \left\langle n \right| \hat{\rho} \hat{\mathbf{N}} \left| n \right\rangle = \sum_{n} n \left\langle n \right| \hat{\rho} \left| n \right\rangle = \sum_{n} \frac{n}{Z} e^{-\frac{\hbar \omega_{n}}{k_{B}T}} = \frac{1}{Z} \left( \frac{1}{1 - e^{\frac{\hbar \omega}{k_{B}T}}} \right) \\ &= \frac{1}{e^{\frac{\hbar \omega}{k_{B}T}} - 1} \end{split}$$

## Zero-Point Motion of a Harmonic Chain

(a) Show that the expectation values of kinetic and potential energy of the harmonic oscillator obey the virial relation for quadratic potentials,  $\langle \hat{\mathbf{V}} \rangle = \langle \hat{\mathbf{K}} \rangle$  when in an energy eigenstate. Use this result to calculate the mean square displacement  $\langle \hat{\mathbf{X}}^2 \rangle$  in the ground state. Show, further, that the time average expectation value  $\overline{\langle \hat{\mathbf{V}} \rangle} = \overline{\langle \hat{\mathbf{K}} \rangle}$  regardless of the quantum state.

$$\left\langle \hat{\mathbf{K}} \right\rangle = \left\langle \frac{\hat{\mathbf{P}}^2}{2m} \right\rangle = \frac{1}{2m} \left( -\frac{\hbar m \omega}{2} \right) \left\langle (\hat{\mathbf{a}}^{\dagger} - \hat{\mathbf{a}})^2 \right\rangle$$

If we expand the operators in the last statement, we get two squared terms which will have no expectation value, since the eigenstates of energy are orthonormal. However, the terms  $\hat{\mathbf{a}}^{\dagger}\hat{\mathbf{a}} = \hat{\mathbf{N}}$  and  $\hat{\mathbf{a}}\hat{\mathbf{a}}^{\dagger} = \hat{\mathbf{N}} + 1$  will have expectation values, so the stuff in the expectation brackets evaluates to -2n (because of the minus sign between them in the square). Therefore

$$\left\langle \hat{\mathbf{K}} \right\rangle = \frac{\hbar\omega}{2}n$$

Similarly,

$$\left\langle \mathbf{\hat{V}} \right\rangle = \frac{m\omega^2}{2} \left\langle \mathbf{\hat{X}}^2 \right\rangle = \frac{m\omega^2}{2} \left( \frac{\hbar}{2m\omega} \right) \left\langle 2\mathbf{\hat{N}} \right\rangle = \frac{\hbar\omega}{2} n = \left\langle \mathbf{\hat{K}} \right\rangle$$

Next, we can evaluate the mean square displacement in the ground state by noting that  $\left\langle \hat{\mathbf{X}}^2 \right\rangle = \frac{2}{m\omega} \left\langle \hat{\mathbf{V}} \right\rangle = \frac{\hbar}{m\omega} n$ . In the ground state, n=0, so  $\left\langle \hat{\mathbf{X}}^2 \right\rangle_0 = 0$ .

I'm not quite sure what the time average expectation value is, so I don't know what to do for the last part of the question.

(b) Consider the infinite periodic chain of coupled oscillators discussed by Cohen-Tannoudji (complement  $J_V$ ). Express the position of the jth oscillator,  $\hat{\mathbf{X}}_j$  in terms of the normal mode coordinates  $\hat{\mathbf{\Xi}}(k)$ , with  $k \in (-\pi/l, \pi/l)$ , where l is the period of the chain.

The conversion between  $\hat{\mathbf{X}}$  and  $\hat{\mathbf{\Xi}}$  is like a discrete Fourier transform in one direction and a continuous transform in the other direction. In the classical example, we wrote these transforms as

$$x_j(t) = \frac{l}{2\pi} \int_{-\frac{\pi}{l}}^{\frac{\pi}{l}} \xi(k,t) e^{\imath k j l}$$

so, following this logic, we should be able to just promote each side to a quantum operator:

$$\hat{\mathbf{X}}_q = \frac{l}{2\pi} \int_{-\frac{\pi}{l}}^{\frac{\pi}{l}} \hat{\mathbf{\Xi}}(k) e^{iqkl}$$

(c) Evaluate the expectation value  $\langle \Xi(k)\Xi^{\dagger}(k')\rangle$  in the ground state.

First, we can define

$$\hat{\mathbf{\Xi}}(k) = \sqrt{\frac{\hbar}{2m\Omega(k)}} (\hat{\mathbf{a}}^{\dagger}(k) + \hat{\mathbf{a}}(k))$$

similar to the position operator in x-space. With this in mind, the expectation value should be similar to that of  $\hat{\mathbf{X}}^2$ :

$$\left\langle \hat{\mathbf{\Xi}}(k)\hat{\mathbf{\Xi}}^{\dagger}(k')\right\rangle = \frac{\hbar}{2m\sqrt{\Omega(k)\Omega(k')}} \left\langle \hat{\mathbf{a}}^{\dagger}(k)\hat{\mathbf{a}}(k') + \hat{\mathbf{a}}(k)\hat{\mathbf{a}}^{\dagger}(k')\right\rangle$$

In the ground state, I'm guessing k = k' = 0, so we just get two number operator equivalents which pull out k values from k energy states, so

$$\left\langle \hat{\mathbf{\Xi}}(k)\hat{\mathbf{\Xi}}^{\dagger}(k')\right\rangle_{k=k'=0} = \frac{\hbar}{m\Omega(0)} k \Big|_{0} = 0$$

(d) Let the potential U vanish but keep V nonzero (i.e.  $\omega = 0$  but  $\omega_1 \neq 0$  in Cohen-Tannoudji's notation). Show that the mean square displacement  $\langle \hat{\mathbf{X}}_j^2 \rangle$  of each mass j diverges in the ground state, but the mean square separation of neighboring masses  $\langle (\hat{\mathbf{X}}_{j+1} - \hat{\mathbf{X}}_j)^2 \rangle$  remains finite.

Using the results from the previous two problems, we can see that

$$\left\langle \hat{\mathbf{X}}_{j}^{2} \right\rangle = \frac{l^{2}}{4\pi^{2}} \int_{-\frac{\pi}{l}}^{\frac{\pi}{l}} \frac{\hbar^{2}}{m^{2}\Omega^{2}(k)} k^{2} e^{2ijkl}$$

Here,  $\Omega^2(k) = 4\omega_1^2 \sin^2(\frac{kl}{2})$ . This integral is best done with Mathematica, and it diverges. Next, we want to look at the separation:

$$(\hat{\mathbf{X}}_{j+1} - \hat{\mathbf{X}}_j)^2 = \left(\frac{l}{2\pi} \int_{-\frac{\pi}{l}}^{\frac{\pi}{l}} \hat{\mathbf{\Xi}}(k) \left(e^{\imath(j+1)kl} - e^{\imath jkl}\right)\right)^2$$

I couldn't figure out how to get Mathematica to perform this integral (the only difference is the exponential term, and it outputs a whole bunch of hypergeometric-type functions, so I'd assume that means the answer is finite).