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## LECTURE 38: THE KRAMERS-KRÖNIG RELATIONS

Monday, November 11, 2019

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Recall from last lecture that, since the real part of  $\epsilon(\omega)$  is an even function and the imaginary part is odd, we find the Kramers-Krönig relations:

$$\operatorname{Re}\left[\frac{\epsilon(\omega)}{\epsilon_0}\right] - 1 = \frac{1}{\pi} \mathcal{P} \int_{-\infty}^{\infty} \frac{\operatorname{Im}\left[\frac{\epsilon(\omega')}{\epsilon_0}\right]}{\omega' - \omega} d\omega'$$

$$\operatorname{Im}\left[\frac{\epsilon(\omega)}{\epsilon_0}\right] = -\frac{1}{\pi} \mathcal{P} \int_{-\infty}^{\infty} \frac{\operatorname{Re}\left[\frac{\epsilon(\omega')}{\epsilon_0} - 1\right]}{\omega' - \omega} d\omega'$$

By splitting this into separate integrals at 0, we can show that these are equivalent to

$$\operatorname{Re}\left[\frac{\epsilon(\omega)}{\epsilon_0}\right] - 1 = \frac{2}{\pi} \mathcal{P} \int_0^{\infty} \frac{\omega' \operatorname{Im}[\epsilon(\omega')]}{\omega'^2 - \omega^2} d\omega'$$

and

$$\operatorname{Im}\left[\frac{\epsilon(\omega)}{\epsilon_0}\right] = -\frac{2\omega}{\pi} \mathcal{P} \int_0^{\infty} \frac{\operatorname{Re}\left[\frac{\epsilon(\omega')}{\epsilon_0} - 1\right]}{\omega'^2 - \omega^2} d\omega'$$

### 0.0.1 Region of Transparency

If  $\operatorname{Im}[\epsilon(\omega)] \approx 0$  over a range  $[\omega_1, \omega_2]$ , such that  $n(\omega) \sim \sqrt{\epsilon_R(\omega)}$  and  $n_I(\omega) \approx 0$  in the region of transparency. What does this imply? In the Kramers-Krönig relations, we know that

$$\operatorname{Re}\left[\frac{\epsilon(\omega)}{\epsilon_0} - 1\right] \simeq \frac{2}{\pi\epsilon_0} \mathcal{P} \int_0^{\omega_1} \frac{\omega' \operatorname{Im}[\epsilon(\omega')]}{\omega'^2 - \omega^2} d\omega' + \frac{2}{\pi\epsilon_s n} \mathcal{P} \int_{\omega_2}^{\infty} \frac{\omega' \operatorname{Im}[\epsilon(\omega')]}{\omega'^2 - \omega^2} d\omega'$$

These are convergent integrals, and we therefore don't actually need the principle values because  $\omega'$  never comes near  $\omega$ . This allows us to take the derivatives of these expressions.

$$\frac{d}{d\omega} \operatorname{Re}\left[\frac{\epsilon(\omega)}{\epsilon_0} - 1\right] = \frac{2}{\pi\epsilon_0} \int_0^{\omega_1} \frac{\omega\omega' \operatorname{Im}[\epsilon(\omega')]}{(\omega'^2 - \omega^2)^2} d\omega' + \frac{2}{\pi\epsilon_0} \int_{\omega_2}^{\infty} \frac{\omega\omega' \operatorname{Im}[\epsilon(\omega')]}{(\omega'^2 - \omega^2)^2} d\omega' > 0$$

We know that  $n^2(\omega) \simeq \operatorname{Re}[\epsilon(\omega)]$  so

$$2n(\omega) \frac{d}{d\omega} n \simeq \frac{d}{d\omega} \operatorname{Re}[\epsilon(\omega)] > 0$$

so

$$\frac{dn}{d\omega} > 0$$

Therefore, the sky is blue because of causality (neat).

## 0.1 Transmission of Waves and Propagation in an Arbitrary Region of Frequency

Suppose we have an  $x = 0$  boundary and a material to the right with  $n(\omega)$  and vacuum to the left. We send a signal to the left, which hits the boundary at  $t = 0$ . We want to describe what happens after this.

$$u(x, t) = \int_{-\infty}^{\infty} [A(\omega)e^{ikx - i\omega t} + B(\omega)e^{-ikx - i\omega t}] \frac{d\omega}{2\pi}$$

in the  $x \leq 0$  region and

$$u(x, t) = \int_{-\infty}^{\infty} F(\omega)e^{ik(\omega)x - i\omega t} \frac{d\omega}{2\pi}$$

inside the material. These functions are real, and we can use time reversal symmetry (taking the complex conjugate) to find a relation between  $A$  and  $B$ :

$$\begin{aligned} A^*(-\omega) &= B(\omega) \\ B^*(-\omega) &= A(\omega) \end{aligned}$$

Suppose we know what the incoming wave looks like, so we therefore know what  $u(0, t)$  and  $\left. \frac{\partial u}{\partial x} \right|_{x=0}(t)$ , so

$$\left\{ \begin{array}{l} A(\omega) \\ B(\omega) \end{array} \right\} = \frac{1}{2} \int_{-\infty}^{\infty} \left[ u(0, t) \pm \frac{c}{i\omega} \left. \frac{\partial u}{\partial x} \right|_{x=0} \right] e^{i\omega t}$$

The wave function and its time derivative must be continuous across the boundary, so

$$F(\omega) = \frac{2}{1 + n(\omega)} A(\omega)$$

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e won't prove the following, but it turns out that  $|n(\omega)| \rightarrow 1$  as  $|\omega| \rightarrow \infty$  in the upper-half-plane. Also,  $\epsilon_R(\omega)$  is never negative or zero if we assume  $\epsilon_I(\omega) \geq 0$ .

This implies the following interesting thing.  $n^2(\omega) = \epsilon(\omega)\mu_0$ , but if  $\epsilon$  cuts the negative axis somewhere, the square root will not be uniquely defined (the square root has a branch cut along the negative real line). Therefore, if  $\epsilon$  is always well-defined and never cuts this region,  $n(\omega)$  becomes an analytic function when  $\omega$  is in the upper-half-plane. From this, we know that  $F(\omega)$  is analytic since  $A(\omega)$  is analytic in the upper-half-plane because  $u(x, t)$  is real. Therefore, the integral which defines  $u(x, t)$  in the material can be written as a contour integral which evaluates to 0 minus the half-circle at infinity, so

$$\int_{-\infty}^{\infty} F(\omega) \mapsto \oint \frac{2}{1 + n(\omega)} A(\omega) e^{i(\frac{\omega x}{c} - \omega t)} \left( \frac{x}{c} - t \right) > 0$$

so  $x \leq ct$ . Even though we can't use the group velocity here, we still see that the speed of propagation doesn't exceed  $c$ .