# Module 3

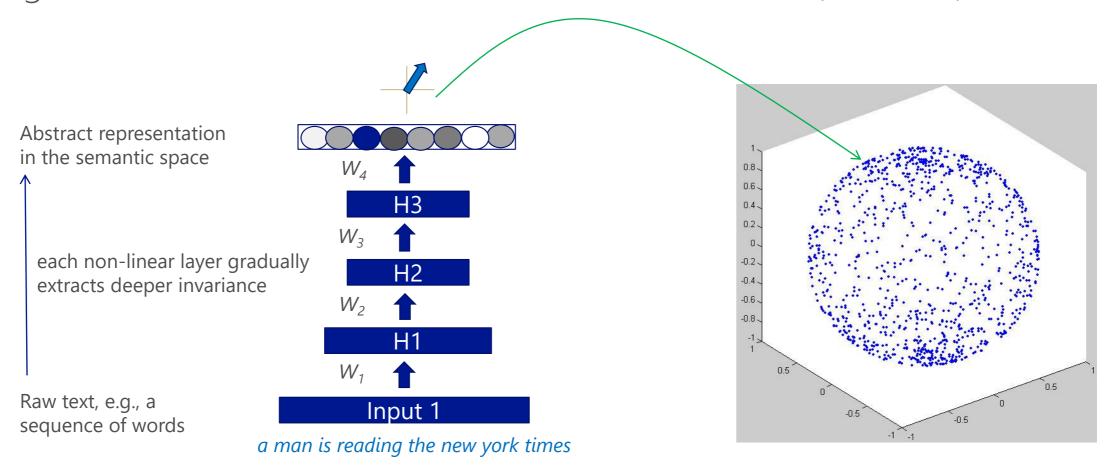
Deep Semantic Similarity Model and its Applications

#### Module 3 Overview

- Deep semantic similarity models (DSSM)
- DSSM for Information Retrieval
- DSSM for entity ranking

# Learning continuous semantic representations for natural language

e.g., from a raw sentence to an abstract semantic vector (Sent2Vec)

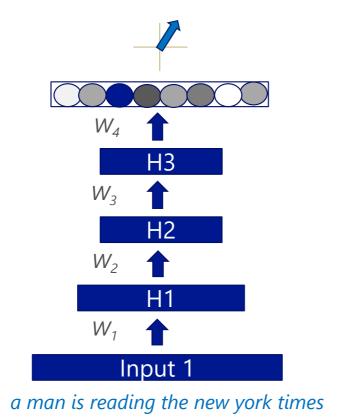


# Sent2Vec is crucial in many NLP tasks

Tasks	Source	Target
Web search	search query	web documents
Ad selection	search query	ad keywords
Contextual entity ranking	mention (highlighted)	entities
Online recommendation	doc in reading	interesting things / other docs
Machine translation	phrases in language S	phrases in language T
Knowledge-base construction	entity	entity
Question answering	pattern   mention	relation   entity
Personalized recommendation	user	app, movie, etc.
Image search	query	image
Image captioning	image	text
•••		



## The supervision problem:



#### However

- the semantic meaning of texts –
   to be learned is latent
- no clear target for the model to learn
- How to do back-propagation?

#### Fortunately

- we usually know if two texts are "similar" or not.
- That's the signal for semantic representation learning.

# Deep Structured Semantic Model

Deep Structured Semantic Model/Deep Semantic Similarity Model (**DSSM**) project the whole sentence to a continuous semantic space – e.g., *Sentence to Vector*.

The DSSM is built upon **characters** (rather than words) for scalability and generalizability

The DSSM is trained by optimizing an similarity-driven objective

Huang, He, Gao, Deng, Acero, Heck, "Learning deep structured semantic models for web search using clickthrough data," CIKM, October, 2013



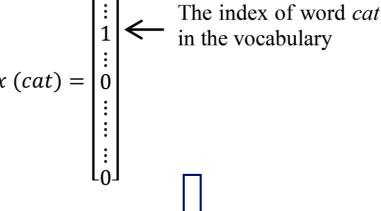
# Character-level coding (a.k.a. word hashing)

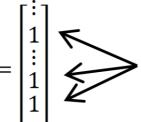
- E.g., character-trigram based Word Hashing of "cat" x(cat) =

-> #cat#

- Tri-characters: #-c-a, c-a-t, a-t-#.
- Compact representation
  - |Voc| (500K) → |Char-trigram| (30K)
- Generalize to unseen words f(cat) =
- Robust to misspelling, inflection, etc.

What if different words have the same word hashing code (collision)?





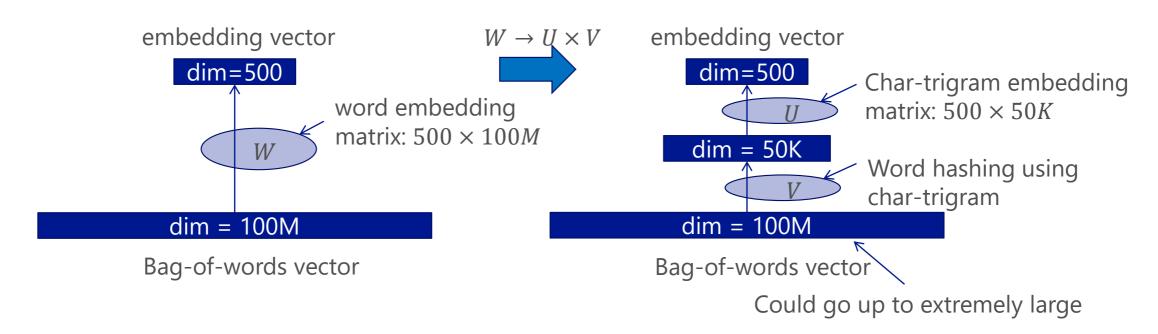
Indices of #-c-a, c-a-t, a-t-# in the letter-tri-gram list, respectively.

Vocabulary	Unique letter-tg	Number of	
size	observed in voc	Collisions	
40K	10306	2 (0.005%)	
500K	30621	22 (0.004%)	



#### DSSM: built at the character-level

Decompose any word into set of context-dependent characters



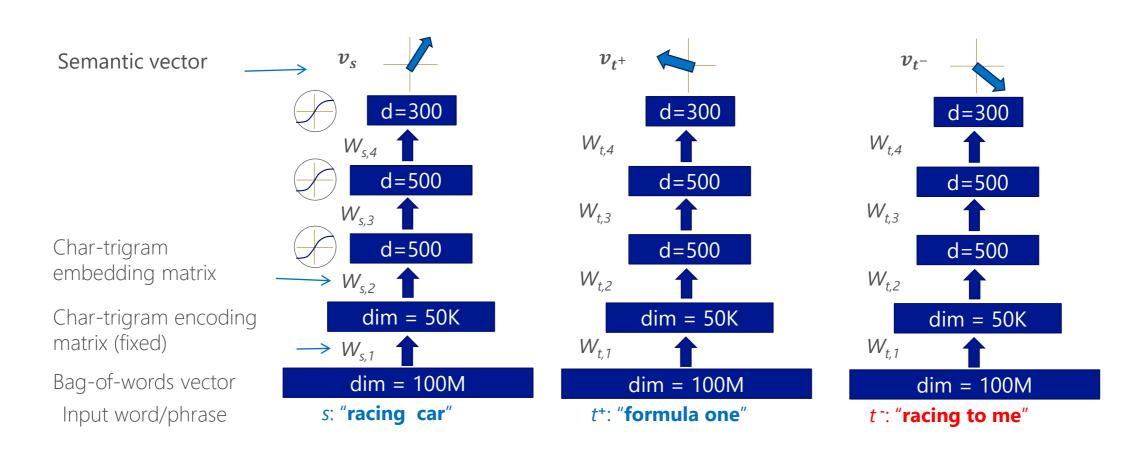
Preferable for large scale NL tasks

- Arbitrary size of vocabulary (scalability)
- Misspellings, word fragments, new words, etc. (generalizability)

# DSSM: a similarity-driven Sent2Vec model

#### **Initialization:**

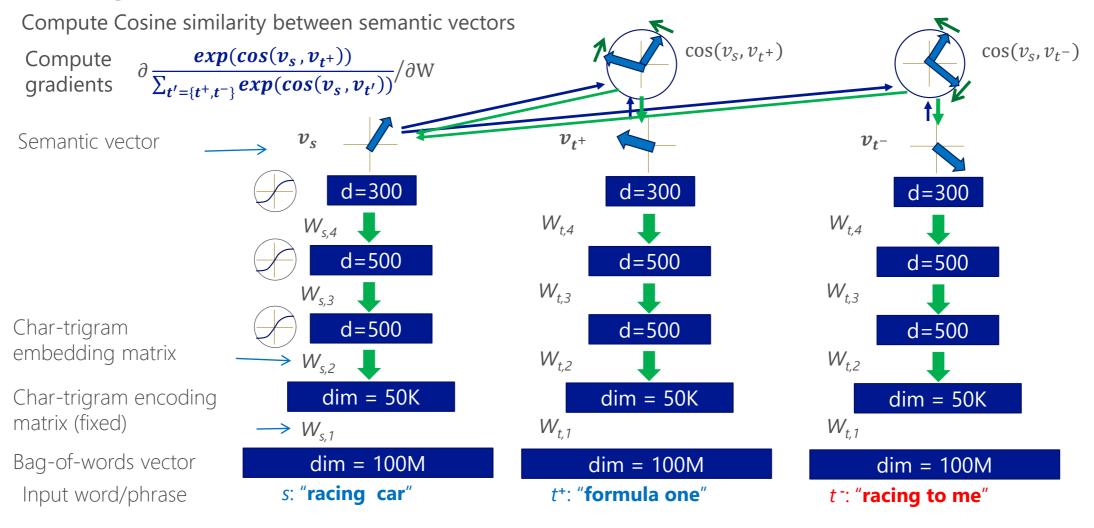
Neural networks are initialized with random weights



[Huang, He, Gao, Deng, Acero, Heck, "Learning DSSM for web search using clickthrough data," CIKM, 2013]

# DSSM: a similarity-driven Sent2Vec model

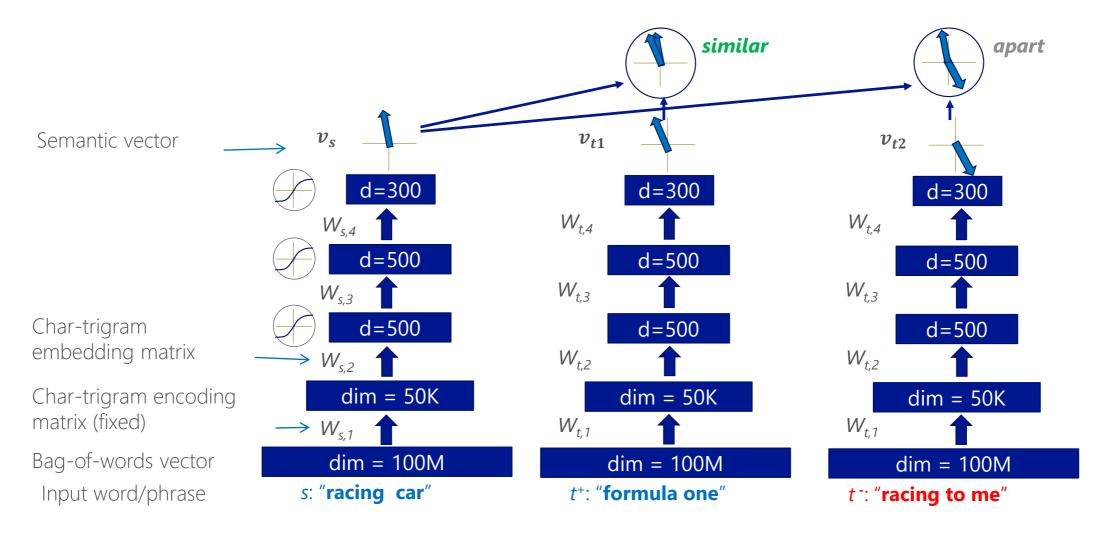
#### **Training:**



[Huang, He, Gao, Deng, Acero, Heck, "Learning DSSM for web search using clickthrough data," CIKM, 2013]

# DSSM: a similarity-driven Sent2Vec model

#### **Runtime:**



[Huang, He, Gao, Deng, Acero, Heck, "Learning DSSM for web search using clickthrough data," CIKM, 2013]

# Training objectives

Objective: cosine similarity based loss Using web search as an example:

- a query q and a list of docs  $D = \{d^+, d_1^-, \dots d_K^-\}$ 
  - $d^+$  positive doc;  $d_1^-$ , ...  $d_K^-$  are negative docs to  $q^-$  (e.g., sampled from not clicked docs)
- · Objective: the posterior probability of the clicked doc given the query

$$P_{\theta}(d^{+}|q) = \frac{\exp\left(\gamma \cos(v_{\theta}(q), v_{\theta}(d^{+}))\right)}{\sum_{d \in \mathbf{D}} \exp\left(\gamma \cos(v_{\theta}(q), v_{\theta}(d))\right)}$$

e.g., 
$$v_{\theta}(q) = \sigma(W_{s,4} \times \sigma(W_{s,3} \times \sigma(W_{s,2} \times ltg(q)))$$
 
$$v_{\theta}(d) = \sigma(W_{t,4} \times \sigma(W_{t,3} \times \sigma(W_{t,2} \times ltg(d)))$$
 where  $\theta = \{W_{s,2\sim 4}, W_{t,2\sim 4}\}, \sigma()$  is a tanh function.

## Using Convolutional Neural Net in DSSM

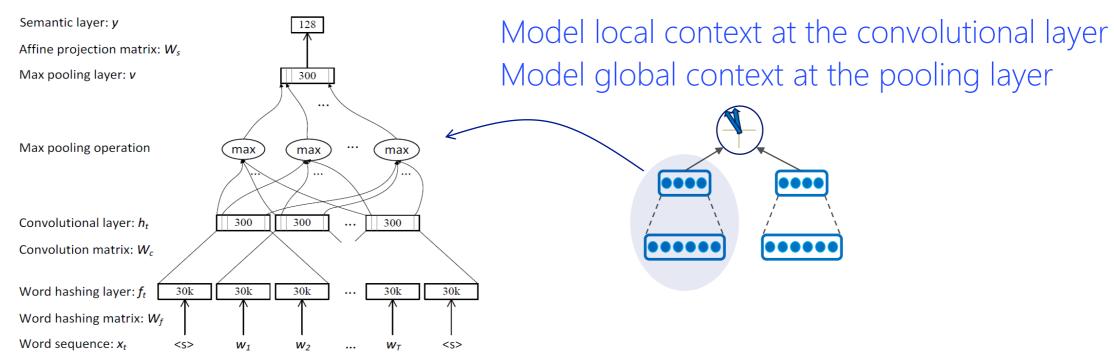


Figure 1: Illustration of the C-DSSM. A convolutional layer with the window size of three is illustrated.

Figure credit [Shen, He, Gao, Deng, Mesnil, WWW2014]

#### Strong performance on many NLP tasks

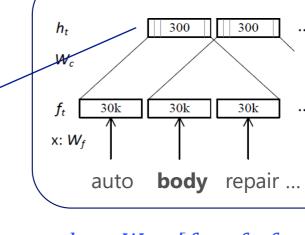
Information Retrieval: [Shen, He, Gao, Deng, Mesnil, WWW2014 & CIKM2014], Entity Ranking: [Gao, Pantel, Gamon, He, Deng, Shen, EMNLP2014], Question answering: [Yih, He, Meek, ACL2014; Yih, Chang, He, Gao, ACL2015], Recommendation [Elkahky, Song, He, WWW2015], Spoken language understanding [Chen, Hakkani-Tür, He, ICASSP2016]...



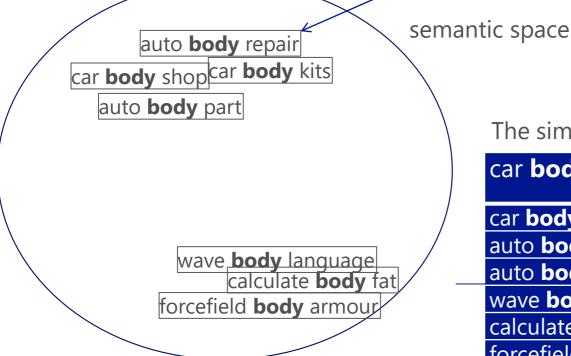
– What does the model learn at the convolutional layer?

Capture the local context dependent word sense

 Learn one embedding vector for each local contextdependent word



$$h_t = W_c \times [f_{t-1}, f_t, f_{t+1}]$$



The similarity between different "body" within contexts

car <b>body</b> shop	cosine similarity		high
car <b>body</b> kits	0.698		similarity
auto <b>body</b> repair	0.578	-	
auto <b>body</b> parts	0.555		
wave <b>body</b> language	0.301		
calculate <b>body</b> fat	0.220	_	Lave
forcefield <b>body</b> armour	0.165		low
			similarity

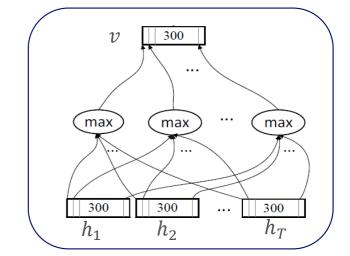
# CDSSM: What happens at the max-pooling layer?

- Aggregate local topics to form the global intent
- Identify salient words/phrase at the maxpooling layer

Words that win the most active neurons at the **max-pooling layers:** 

auto body repair cost calculator software

Usually, those are salient words containing clear intents/topics



$$v(i) = \max_{t=1,...,T} \{h_t(i)\}$$

where i = 1, ..., 300

### DSSM for Information Retrieval

- Training Dataset
  - Mine semantically-similar text pairs from Search Logs, e.g., 30 Million (Query, Document) Click Pairs

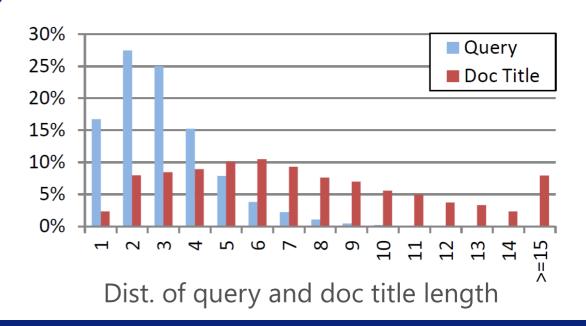


QUERY (Q)	Clicked Doc Title (T)		
how to deal with stuffy nose	best home remedies for cold and flu		
stuffy nose treatment	best home remedies for cold and flu		
cold home remedies	best home remedies for cold and flu		
)	J		
skate at wholesale at pr	wholesale skates southeastern skate supply		

[Gao, He, Nie, CIKM2010]

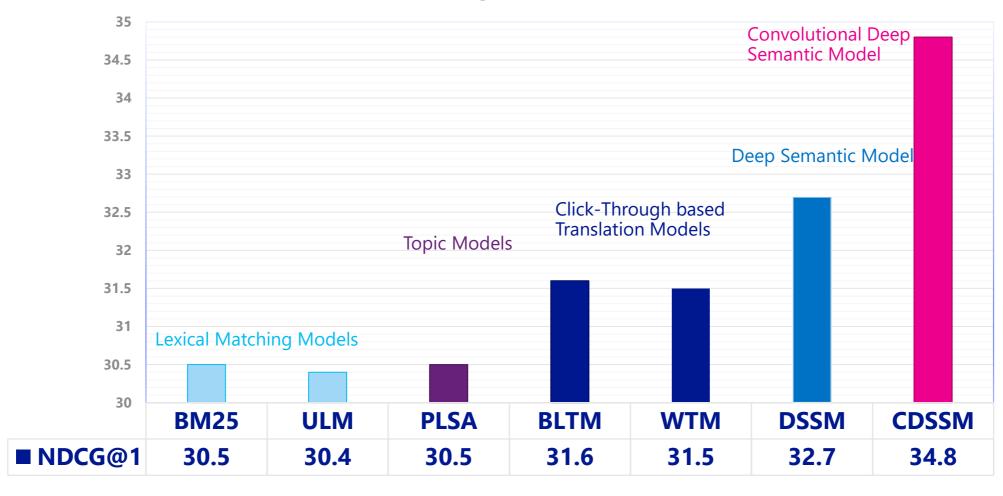
# Experimental Setting

- Testing Dataset
  - **12,071** English queries
  - around 65 web document associated to each query in average
  - Human gives each <query, doc> pair the label, with range 0 to 4
  - 0: Bad 1: Fair 2: Good 3: Perfect 4: Excellent
- Evaluation Metric: (higher the better)
  - NDCG
- Using NVidia GPU K40 for training



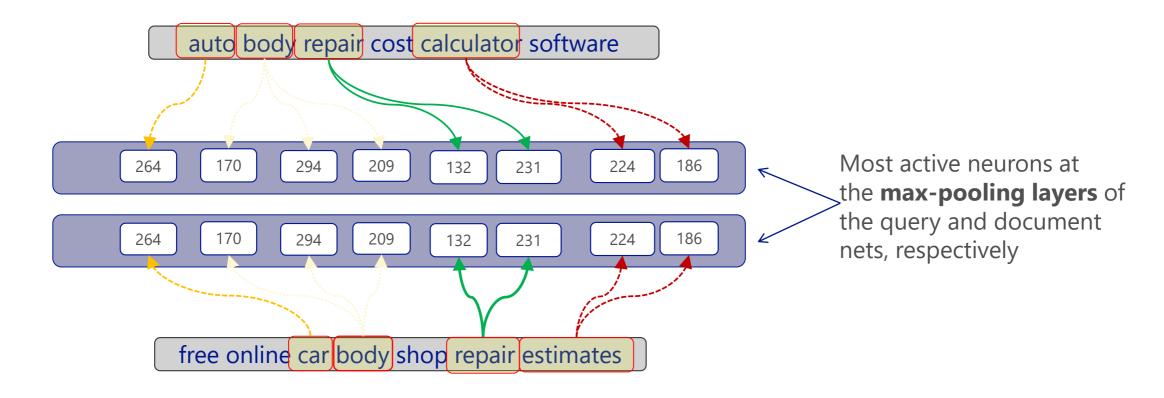
## Results

#### NDCG@1 Results



# Example: semantic matching

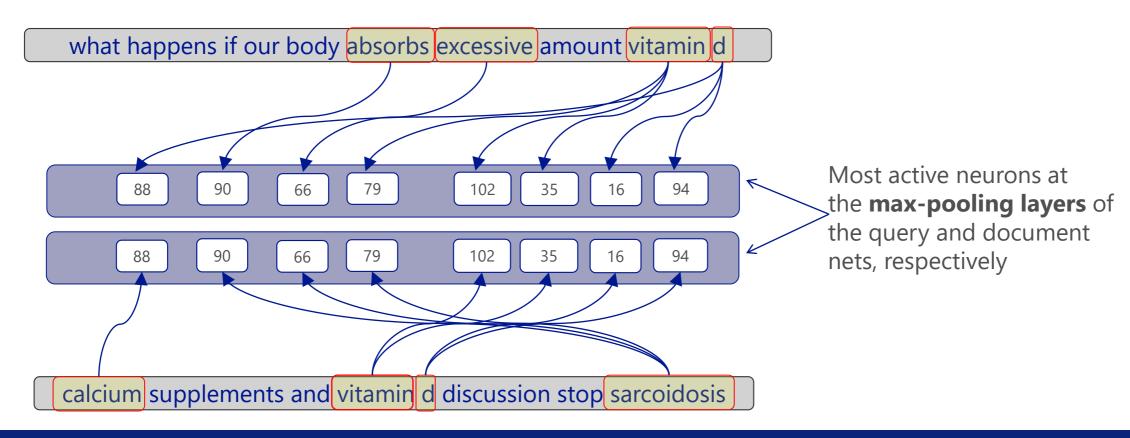
Semantic matching of query and document



# More complex semantic matching example

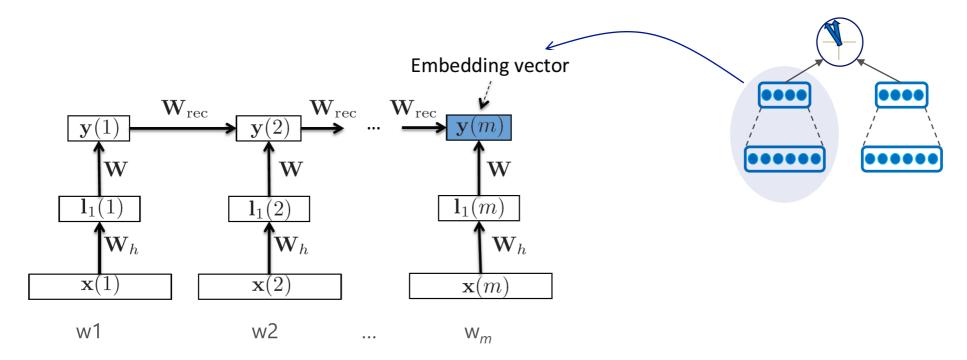
sarcoidosis is a disease, a symptom is excessive amount of calcium in one's urine and blood. So medicines that increase the absorbing of calcium should be avoid. While Vitamin d is closely associated to calcium absorbing.

We observed that "sarcoidosis" in the document title and "absorbs" "excessive" and "vitamin (d)" in the query have high activations at neurons 90, 66, 79, indicating that the model knows that "sarcoidosis" share similar semantic meaning with "absorbs" "excessive" "vitamin (d)", collectively.



#### Recurrent DSSM

- Encode the word one by one in the recurrent hidden layer
- The hidden layer at the last word codes the semantics of the full sentence
- Model is trained by a cosine similarity driven objective

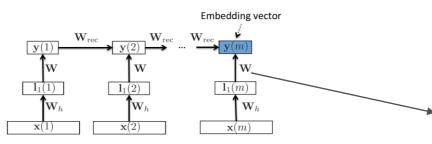


[Palangi, Deng, Shen, Gao, He, Chen, Song, Ward, Deep Sentence Embedding Using the LSTM network: Analysis and Application to IR, IEEE TASL, 2016]



# Using LSTM cells

# LSTM (long short term memory) uses special cells in RNN



$$\mathbf{y}_{g}(t) = g(\mathbf{W}_{4}\mathbf{l}_{1}(t) + \mathbf{W}_{rec4}\mathbf{y}(t-1) + \mathbf{b}_{4})$$

$$\mathbf{i}(t) = \sigma(\mathbf{W}_{3}\mathbf{l}_{1}(t) + \mathbf{W}_{rec3}\mathbf{y}(t-1) + \mathbf{W}_{p3}\mathbf{c}(t-1) + \mathbf{b}_{3})$$

$$\mathbf{f}(t) = \sigma(\mathbf{W}_{2}\mathbf{l}_{1}(t) + \mathbf{W}_{rec2}\mathbf{y}(t-1) + \mathbf{W}_{p2}\mathbf{c}(t-1) + \mathbf{b}_{2})$$

$$\mathbf{c}(t) = \mathbf{f}(t) \circ \mathbf{c}(t-1) + \mathbf{i}(t) \circ \mathbf{y}_{g}(t)$$

$$\mathbf{o}(t) = \sigma(\mathbf{W}_{1}\mathbf{l}_{1}(t) + \mathbf{W}_{rec1}\mathbf{y}(t-1) + \mathbf{W}_{p1}\mathbf{c}(t) + \mathbf{b}_{1})$$

$$\mathbf{y}(t) = \mathbf{o}(t) \circ h(\mathbf{c}(t))$$
(2)

where o denotes Hadamard (element-wise) product.

[Hochreiter and J. Schmidhuber, 1997]

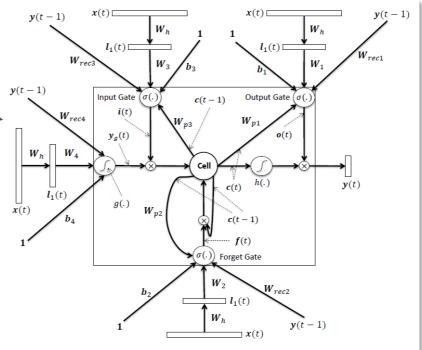


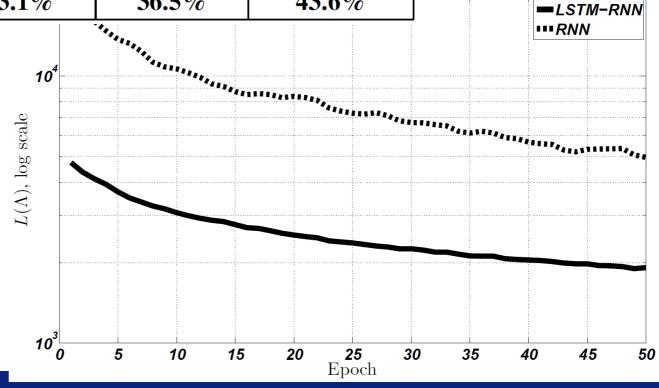
Figure 2. The basic LSTM architecture used for sentence embedding

## Results

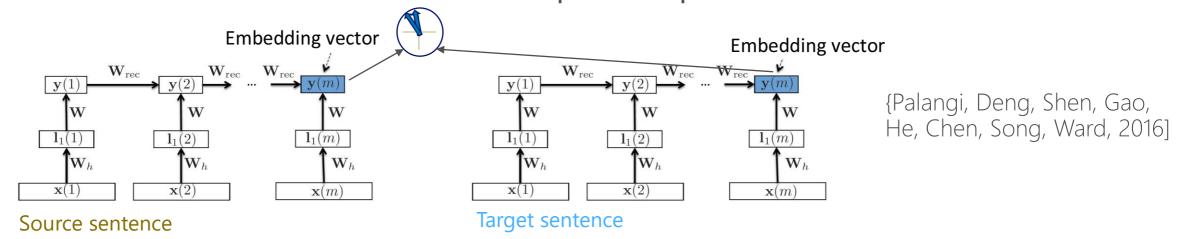
Model	NDCG@1	NDCG@3	NDCG@10
BM25	30.5%	32.8%	38.8%
PLSA (T=500)	30.8%	33.7%	40.2%
DSSM (nhid = 288/96), 2 Layers	31.0%	34.4%	41.7%
CLSM (nhid = 288/96), 2 Layers	31.8%	35.1%	42.6%
RNN (nhid = 288), 1 Layer	31.7%	35.0%	42.3%
LSTM-RNN (ncell = 96), 1 Layer	33.1%	36.5%	43.6%

LSTM learns much faster than regular RNN

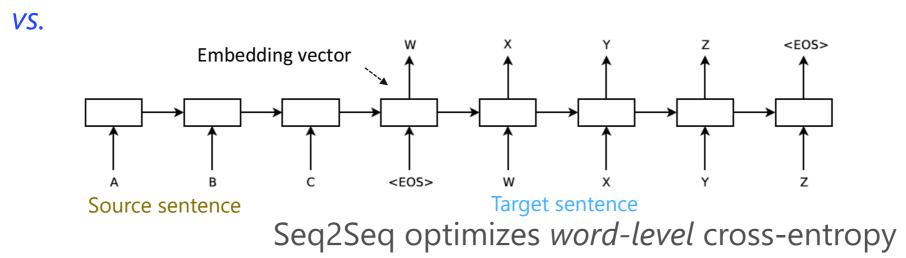
LSTM effectively represents the semantic information of a sentence using a vector



## Related work: DSSM vs. Seq2Seq



DSSM optimizes sentence-level semantic similarity

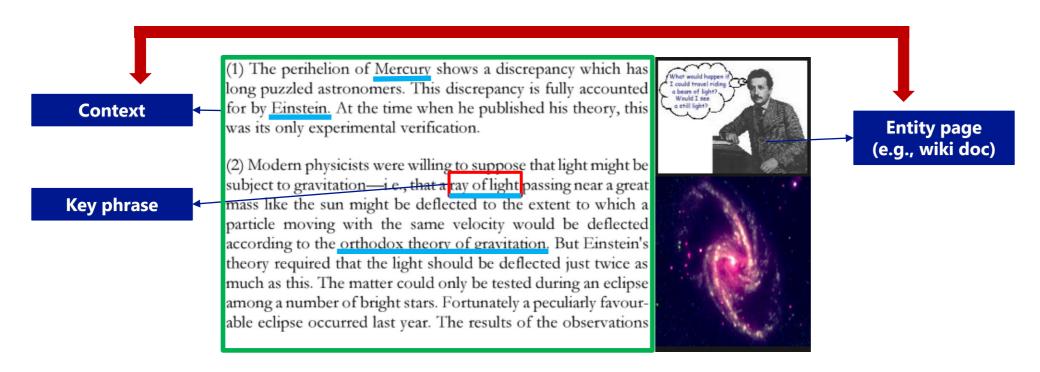


[Sutskever, Vinyals, Le, 2014. Sequence to Sequence Learning with Neural Networks]



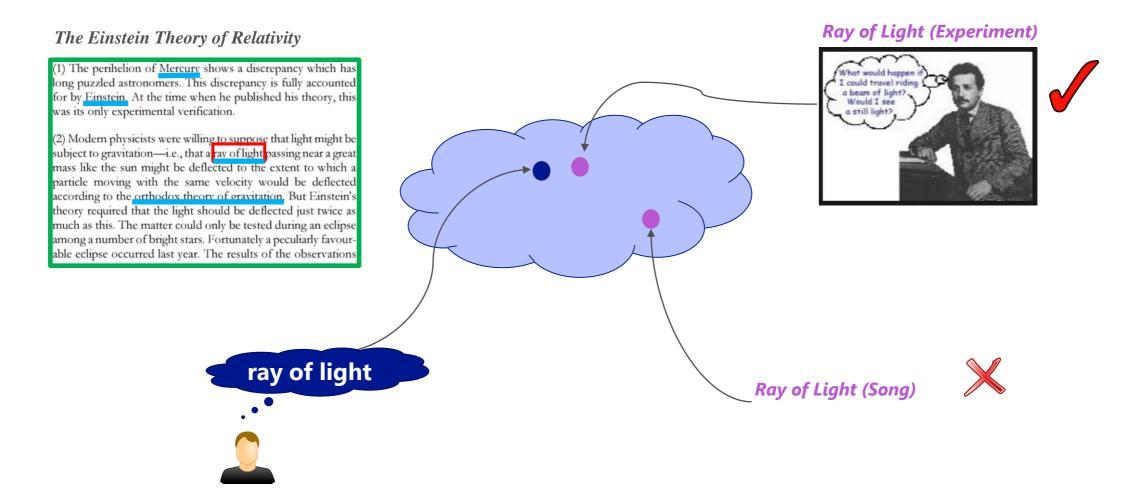
# Contextual Entity Ranking

Given a user-highlighted text span representing an entity of interest, search for supplementary document for the entity



Gao, Pantel, Gamon, He, Deng, Shen, "Modeling interestingness with deep neural networks." EMNLP2014

# Learning DSSM for contextual entity ranking



## Extract Labeled Pairs from Web Browsing Logs Contextual Entity Search

• When a hyperlink H points to a Wikipedia P'

I spent a lot of time finding music that was motivating and that I'd also want to listen to through my phone. I could find none. None! I wound up downloading three Metallica songs, a Judas Priest song and one from Bush.

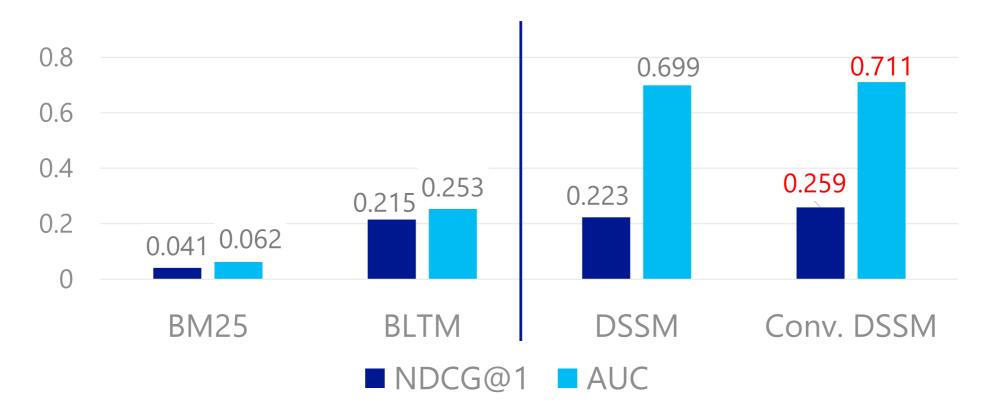
http://en.wikipedia.org/wiki/Bush (band) Create account Logi Read Edit View history Search Article Talk Bush (band) WikipediA From Wikipedia, the free encyclopedia For the Canadian band, see Bush (Canadian band). Bush are a British rock band formed in London in Current events Random article The grunge band found its immediate success Donate to Wikinedia with the release of their debut album Sixteen Wikimedia Shop Stone in 1994, which is certified 6× multi-platinum by the RIAA.[3] Bush went on to become one of the most commercially successful rock bands of About Wikipedia Community nortal United States. Despite their success in the United Recent changes States, the band was less well known in their Contact page home country and enjoyed only marginal success

• (anchor text of H & surrounding words, text in P')

## Contextual Entity Search: Experimental Settings

- Training/validation data: 18M of user clicks in wiki pages
- Evaluation data
  - Sample 10k Web documents as the source documents
  - Use named entities in the doc as query; retain up to 100 returned documents as target documents
  - Manually label whether each target document is a good page describing the entity
  - 870k labeled pairs in total
- Evaluation metric: NDCG and AUC

## Contextual Entity Search Results: DSSM



- DSSM: bag-of-words input
- Conv. DSSM: convolutional DSSM

### Some related work

Deep CNN for text input

Mainly classification tasks in the paper

[Kalchbrenner, Grefenstette, Blunsom, A Convolutional Neural Network for Modelling Sentences, ACL2014]

Sequence to sequence learning

[Sutskever, Vinyals, Le, 2014. Sequence to Sequence Learning with Neural Networks]

Paragraph Vector

Learn a vector for a paragraph

Quoc Le, Tomas Mikolov, Distributed Representations of Sentences and Documents, in ICML 2014

Recursive NN (ReNN)

Tree structure, e.g., for parsing

[Socher, Lin, Ng, Manning, "Parsing natural scenes and natural language with recursive neural networks", 2011]

Tensor product representation (TPR)
Tree representation

[Smolensky and Legendre: The Harmonic Mind, From Neural Computation to Optimality-Theoretic Grammar, MIT Press, 2006]

Tree-structured LSTM Network

Tree structure LSTM

[Tai, Socher, Manning. 2015. Improved Semantic Representations From Tree-Structured LSTM Networks.]