

## Question 5 by Dan Nguyen (z5206032)

Consider the following theorems.

Theorem 1 – Big O Notation:

$$f(n) = O(g(n)) \text{ if } \exists C, N > 0 \text{ such that } 0 \leq f(n) \leq Cg(n) \forall n \geq N$$

Theorem 2 – Big  $\Omega$  Notation:

$$f(n) = \Omega(g(n)) \text{ if } \exists c, N > 0 \text{ such that } 0 \leq cg(n) \leq f(n) \forall n \geq N$$

Theorem 3 –  $\Theta$  Notation:

$$f(n) = \Theta(g(n)) \iff f(n) = O(g(n)) \text{ and } f(n) = \Omega(g(n))$$

The general method to showing that a given  $f(n)$  and  $g(n)$  satisfies the above Theorems, is to rearrange the inequality with  $f(n)/g(n)$  or  $g(n)/f(n)$  in the middle of the inequality so it is bounded by zero on the left-hand side and some constant on the right-hand side. The Theorem satisfaction criteria are thus:

- If  $f(n)/g(n)$  converges, then it will satisfy Theorem 1. It can be said that  $f(n)$  does not grow faster than  $g(n)$ .
- If  $f(n)/g(n)$  does not converge, then it is divergent and does not satisfy Theorem 1. There is no need to check the type of divergence.
- If  $g(n)/f(n)$  converges, then it will satisfy Theorem 2. It can be said that  $f(n)$  does not grow slower than  $g(n)$ .
- If  $g(n)/f(n)$  does not converge, then it is divergent and does not satisfy Theorem 2. There is no need to check the type of divergence.

## Part A

The following pair of equations is given:

$$f(n) = n^{1+\log(n)} \tag{1}$$

$$g(n) = n\log(n) \tag{2}$$

## Theorem 1

Substituting Equations 1 and 2 into the Theorem 1 inequality yields:

$$0 \leq n^{1+\log(n)} \leq Cn\log(n) \tag{3}$$

Rearranging and simplifying Equation 3 yields:

$$0 \leq \frac{n^{\log(n)}}{\log(n)} \leq C \quad (4)$$

Equations 1 and 2 satisfies Theorem 1 if:

$$\lim_{n \rightarrow \infty} \frac{n^{\log(n)}}{\log(n)} = L, L \in [0, C] \quad (5)$$

Check if  $f(n)/g(n)$  has an indeterminate form by considering the limits of  $n$  to infinity of the numerator and denominator, respectively:

$$\begin{aligned} \lim_{n \rightarrow \infty} n^{\log(n)} &\rightarrow \infty \\ \lim_{n \rightarrow \infty} \log(n) &\rightarrow \infty \end{aligned}$$

Since both limits approach infinity,  $f(n)/g(n)$  is an indeterminate form and L'Hôpital's rule can be applied to solve Equation 5:

$$\lim_{n \rightarrow \infty} \frac{n^{\log(n)}}{\log(n)} = \lim_{n \rightarrow \infty} \frac{2n^{\log(n)-1} \log(n)}{\frac{1}{n}} = \lim_{n \rightarrow \infty} 2n^{\log(n)} \log(n) \rightarrow \infty$$

Since the limit,  $L$ , approaches infinity, it can be said that  $f(n)$  grows asymptotically faster than  $g(n)$ . Therefore,  $f(n) \notin O(g(n))$ .

## Theorem 2

Substituting Equations 1 and 2 into the Theorem 2 inequality yields:

$$0 \leq cn \log(n) \leq n^{1+\log(n)} \quad (6)$$

Rearranging and simplifying Equation 6 yields:

$$0 \leq \frac{\log(n)}{n^{\log(n)}} \leq \frac{1}{c} \quad (7)$$

Equations 1 and 2 satisfies Theorem 2 if:

$$\lim_{n \rightarrow \infty} \frac{\log(n)}{n^{\log(n)}} = L, L \in [0, \frac{1}{c}] \quad (8)$$

From checking the limits of the numerator and denominator of  $f(n)/g(n)$ ,  $g(n)/f(n)$  is known to be an indeterminate form. Applying L'Hôpital's rule to solve Equation 8:

$$\lim_{n \rightarrow \infty} \frac{\log(n)}{n^{\log(n)}} = \lim_{n \rightarrow \infty} \frac{\frac{1}{n}}{2n^{\log(n)-1} \log(n)} = \lim_{n \rightarrow \infty} \frac{1}{2n^{\log(n)} \log(n)} = 0$$

Since the limit,  $L$ , is zero, it can be said that  $f(n)$  grows no slower than  $g(n)$ . Therefore,  $f(n) \in \Omega(g(n))$ .

## Case

Since Equations 1 and 2 does not satisfy Theorem 1 and satisfies Theorem 2, this problem is classified as a case II.

## Part B

The following pair of equations is given:

$$f(n) = n^{1+\frac{1}{2}\cos(\pi n)} \quad (9)$$

$$g(n) = n \quad (10)$$

### Theorem 1

Substituting Equations 9 and 10 into the Theorem 1 inequality yields:

$$0 \leq n^{1+\frac{1}{2}\cos(\pi n)} \leq Cn \quad (11)$$

Rearranging and simplifying Equation 11 yields:

$$0 \leq n^{\frac{1}{2}\cos(\pi n)} \leq C \quad (12)$$

Equations 9 and 10 satisfies Theorem 1 if:

$$\lim_{n \rightarrow \infty} n^{\frac{1}{2}\cos(\pi n)} = L, L \in [0, C] \quad (13)$$

From Equation 13, the square root term dominates the function, thus the lower and upper bounds of Equation 13 are, respectively:

$$\lim_{n \rightarrow \infty} n^{-\frac{1}{2}} \leq \lim_{n \rightarrow \infty} n^{\frac{1}{2}\cos(\pi n)} \leq \lim_{n \rightarrow \infty} n^{\frac{1}{2}}$$

Solving the left-hand side and right-hand side limits shows that  $L$  approaches zero and infinity simultaneously and is boundedly divergent:

$$\begin{aligned} \lim_{n \rightarrow \infty} n^{-\frac{1}{2}} &= 0 \\ \lim_{n \rightarrow \infty} n^{\frac{1}{2}} &\rightarrow \infty \end{aligned}$$

Therefore,  $f(n) \notin O(g(n))$ .

### Theorem 2

Substituting Equations 9 and 10 into the Theorem 2 inequality yields:

$$0 \leq cn \leq n^{1+\frac{1}{2}\cos(\pi n)} \quad (14)$$

Rearranging and simplifying Equation 14 yields:

$$0 \leq \frac{1}{n^{\frac{1}{2}\cos(\pi n)}} \leq \frac{1}{c} \quad (15)$$

However Inequality 15 has the same bounds as Inequality 12. Thus  $L$  will approach zero and infinity simultaneously and is boundedly divergent.

Therefore,  $f(n) \notin \Omega(g(n))$ .

## Case

Since Equations 9 and 10 neither satisfies Theorem 1 and Theorem 2, this problem is classified as a case IV.

## Part C

The following pair of equations is given:

$$f(n) = \log_2(n^{\log(n\log(n))}) \quad (16)$$

$$g(n) = (\log(n))^2 \quad (17)$$

## Theorem 1

Substituting Equations 16 and 17 into the Theorem 1 inequality yields:

$$0 \leq \log_2(n^{\log(n\log(n))}) \leq C(\log(n))^2 \quad (18)$$

Rearranging and simplifying Equation 18 (refer to Appendix A for working out) yields:

$$0 \leq \frac{1}{\log(2)} + \frac{\log(\log(n))}{\log(2)\log(n)} \leq C \quad (19)$$

Equations 16 and 17 satisfies Theorem 1 if:

$$\lim_{n \rightarrow \infty} \frac{1}{\log(2)} + \frac{\log(\log(n))}{\log(2)\log(n)} = L, L \in [0, C] \quad (20)$$

Solving Equation 20 for  $L$ :

$$\begin{aligned} \lim_{n \rightarrow \infty} \frac{1}{\log(2)} + \frac{\log(\log(n))}{\log(2)\log(n)} &= \lim_{n \rightarrow \infty} \frac{1}{\log(2)} + \lim_{n \rightarrow \infty} \frac{\log(\log(n))}{\log(2)\log(n)} \\ &= \frac{1}{\log(2)} + 0 \\ &= \frac{1}{\log(2)} \end{aligned}$$

Since there exists a limit,  $L = 1/\log(2)$ , it can be said that  $f(n)$  grows no faster than  $g(n)$ . Therefore,  $f(n) \in O(g(n))$ .

## Theorem 2

Substituting Equations 16 and 17 into the Theorem 2 inequality yields:

$$0 \leq c(\log(n))^2 \leq \log_2(n^{\log(n\log(n))}) \quad (21)$$

Rearranging and simplifying Equation 21 (refer to Appendix B for working out) yields:

$$0 \leq \frac{1}{\log(2)} + \frac{\log(\log(n))}{\log(2)\log(n)} \leq C \quad (22)$$

Equations 16 and 17 satisfies Theorem 2 if:

$$\lim_{n \rightarrow \infty} \frac{\log(2)\log(n)}{\log(n) + \log(\log(n))} = L, L \in [0, C] \quad (23)$$

Check Equation 23 for indeterminate form:

$$\begin{aligned} \lim_{n \rightarrow \infty} n &\rightarrow \infty \log(2)\log(n) \rightarrow \infty \\ \lim_{n \rightarrow \infty} \log(n) + \log(\log(n)) &\rightarrow \infty \end{aligned}$$

Since both limits approach infinity,  $g(n)/f(n)$  is an indeterminate form and L'Hôpital's rule can be applied to solve Equation 23:

$$\begin{aligned} \lim_{n \rightarrow \infty} \frac{\log(2)\log(n)}{\log(n) + \log(\log(n))} &= \lim_{n \rightarrow \infty} \frac{\frac{\log(2)}{n}}{\frac{1}{n} + \frac{1}{n\log(n)}} \\ &= \lim_{n \rightarrow \infty} \frac{\frac{\log(2)}{n}}{\frac{\log(n)+1}{n\log(n)}} \\ &= \lim_{n \rightarrow \infty} \frac{\log(2)\log(n)}{\log(n) + 1} \\ &= \lim_{n \rightarrow \infty} \log(2) \lim_{n \rightarrow \infty} \frac{\log(n)}{\log(n) + 1} \\ &= \log(2) \times 1 \\ &= \log(2) \end{aligned}$$

Since there exists a limit,  $L = \log(2)$ , it can be said that  $f(n)$  grows no slower than  $g(n)$ . Therefore,  $f(n) \in \Omega(g(n))$ .

## Case

Since Equations 16 and 17 satisfies both Theorem 1 and Theorem 2 (and by extension Theorem 3), this problem is classified as a case III.

## APPENDIX A Part C - $f(n)/g(n)$ simplification

$$\begin{aligned}\frac{\log_2(n^{\log(n\log(n))})}{(\log(n))^2} &= \frac{\log(n\log(n))\log_2(n)}{(\log(n))^2} \\ &= \frac{\log(n\log(n))\log(n)}{(\log(n))^2\log(2)} \\ &= \frac{\log(n\log(n))}{\log(2)\log(n)} \\ &= \frac{\log(n) + \log(\log(n))}{\log(2)\log(n)} \\ &= \frac{\log(n)}{\log(2)\log(n)} + \frac{\log(\log(n))}{\log(2)\log(n)} \\ &= \frac{1}{\log(2)} + \frac{\log(\log(n))}{\log(2)\log(n)}\end{aligned}$$

## APPENDIX B Part C - $g(n)/f(n)$ simplification

$$\begin{aligned}\frac{(\log(n))^2}{\log_2(n^{\log(n\log(n))})} &= \frac{(\log(n))^2}{\log(n\log(n))\log_2(n)} \\ &= \frac{(\log(n))^2\log(2)}{\log(n\log(n))\log(n)} \\ &= \frac{\log(2)\log(n)}{\log(n) + \log(\log(n))}\end{aligned}$$