

# MATH 1700: Ideas in Mathematics

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# 1 Pigeonhole Principle

## 1.1 Introduction

**Theorem 1.1.1. Pigeonhole Principle:** If  $k$  is a positive integer and  $k+1$  or more objects are placed into  $k$  boxes, then there is at least one box containing two or more of the objects.

**Corollary 1.1.1.** A function  $f$  from a set with  $k+1$  or more elements to a set with  $k$  elements is not one-to-one.

## 1.2 Generalized Pigeonhole Principle

**Theorem 1.2.1. Generalized Pigeonhole Principle:** If  $N$  objects are placed into  $k$  boxes, then there is at least one box containing at least  $\left\lceil \frac{N}{k} \right\rceil$  objects.

Here are some proofs using the pigeonhole principle:

**Example 1.2.1. Show that for every integer  $n$  there is a multiple of  $n$  that has only 0s and 1s in its decimal expansion.**

*Proof.* Let  $n$  be a positive integer. Consider the  $n+1$  integers  $1, 11, 111, \dots, 11\dots 1$  (where the last integer in this list is the integer with  $n+1$  1s in its decimal expansion). Note that there are  $n$  possible remainders when an integer is divided by  $n$ . Because there are  $n+1$  integers in this list, by the pigeonhole principle, there must be two with the same remainder when divided by  $n$ . The larger of these integers less the smaller one is a multiple of  $n$ , which has decimal expansion with only 0s and 1s.  $\square$

**Example 1.2.2. How many cards must be selected from a standard deck of 52 cards to guarantee that:**

- at least three cards are of the same suit?
- at least three hearts are selected?

*Proof.* **a)** Suppose there are 4 boxes, one for each suit, and as cards are selected they are placed in their respective box. Using the generalized pigeonhole principle, we see that if  $N$  cards are selected, there is at least 1 box containing at least  $\lceil N/4 \rceil$  cards. Thus, we know that at least 3 cards of 1 suit are selected if  $\lceil N/4 \rceil \geq 3$ . The smallest integer  $N$  to satisfy this inequality is  $2 \times 4 + 1 = 9$ , so we must select at least 9 cards to guarantee that at least 3 cards are of the same suit.  $\square$

- Note that if 8 cards are selected, it is possible to have 2 cards of each suit, so more than eight cards are needed.

*Proof.* **b)** We do not use the generalized pigeonhole principle because we want to make sure that there are 3 hearts, not just 3 cards of a suit. Note that in the worst case, we can select all the clubs, diamonds, and spades, 39 cards in all, before we select a single heart. The next 3 cards will all be hearts, so we may need to select 42 cards to get 3 hearts.  $\square$

### 1.3 Some Elegant Applications of the Pigeonhole Principle

**Example 1.3.1.** During a month with 30 days, a baseball team plays at least one game a day, but no more than 45 games. Show that there must be a period of some number of consecutive days during which the team must play exactly 14 games.

*Proof.* Let  $a_j$  be the number of games played on or before the  $j$ th day of the month. Then  $a_1, a_2, \dots, a_{30}$  is an increasing sequence of distinct positive integers, with  $1 \leq a_j \leq 45$ . Moreover,  $a_1 + 14, a_2 + 14, \dots, a_{30} + 14$  is also an increasing sequence of distinct positive integers, with  $15 \leq a_j + 14 \leq 59$ .

The 60 positive integers  $a_1, a_2, \dots, a_{30}, a_1 + 14, a_2 + 14, \dots, a_{30} + 14$  are all less than or equal to 59. Hence, by the pigeonhole principle two of these integers must be equal. Because the integers  $a_j, j = 1, 2, \dots, 30$ , are all distinct and the integers  $a_j + 14, j = 1, 2, \dots, 30$  are all distinct, there must be indices  $i$  and  $j$  with  $a_i = a_j + 14$ . This means that exactly 14 games were played from day  $j + 1$  to day  $i$ .  $\square$

**Example 1.3.2.** Show that among any  $n + 1$  positive integers not exceeding  $2n$  there must be an integer that divides one of the other integers.

*Proof.* Write out each of the  $n + 1$  integers  $a_1, a_2, \dots, a_{n+1}$  as a power of 2 times an odd integer. In other words, let  $a_j = 2^{k_j} q_j$ , for  $j = 1, 2, \dots, n + 1$ , where  $k_j$  is a nonnegative integer and  $q_j$  is odd. The integers  $q_1, q_2, \dots, q_{n+1}$  are all odd positive integers less than  $2n$ . Because there are only  $n$  odd positive integers less than  $2n$ , it follows from the pigeonhole principle that two of the integers  $q_1, q_2, \dots, q_{n+1}$  must be equal. Therefore, there are distinct integers  $i$  and  $j$  such that  $q_i = q_j$ . Let  $q$  be the common value of  $q_i$  and  $q_j$ . Then  $a_i = 2^{k_i} q$  and  $a_j = 2^{k_j} q$ . It follows that if  $k_i < k_j$ , then  $a_i$  divides  $a_j$ ; while if  $k_i > k_j$ , then  $a_j$  divides  $a_i$ . In either case, there is an integer that divides one of the other integers.  $\square$

**Theorem 1.3.1.** Every sequence of  $n^2 + 1$  distinct real numbers contains a subsequence of  $n + 1$  that is either strictly increasing or strictly decreasing.

## 2 Numbers and Infinity

### 2.1 Introduction

**Definition 2.1.1.** The set of natural numbers is denoted by  $\mathbb{N}$ :  $\{1, 2, 3, \dots\}$ . For the purposes of this class,  $\mathbb{N}$  does not include 0.

**Definition 2.1.2.** A function is finitely many if a function can map each element to a subset of  $\mathbb{N}$ :  $\{1, 2, 3, \dots, n\}$ .

### 2.2 Infinite Sets

**Definition 2.2.1.** A set is infinite if a function can map each element to an element of  $\mathbb{N}$ .

**Example 2.2.1.** Prove that the set of even integers is infinite.

*Proof.* We can separate the set of even integers into two subsets: Positive even integers and negative even integers. Let  $f(x)$  be a function that maps each element of the set of even integers to a subset of  $\mathbb{N}$ . Let  $f(x)$  be defined as follows:

$$f(x) = \begin{cases} x & x \in \{2k \mid k \in \mathbb{N}\} \\ -2x & x \in \{2k - 1 \mid k \in \mathbb{N}\} \end{cases}$$

As each value  $x \in \mathbb{N}$  is mapped to a subset of  $\mathbb{N}$ ,  $f(x)$  is a function. □