

# Frex: staged-optimisation and equational-proof-synthesis using universal algebra

Ohad Kammar  
University of Edinburgh

Working Group 2.11: Program Generation  
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# Open-Terms modulo equations

```
A : Matrix 4 4 Double --NB: Matrix row col a  
A = MkMatrix -- = Vect col (Vect row a)
```

```
[[ 3, 2, 0, 3]  
, [ 4, 3, 0, 0]  
, [ 0, 0, 6, 5]  
, [ 0, 0, 7, 6]]
```

*spec* *easy staging* compute, thoughtful, clever

*motivate*  
*this talk*

```
: (dataset : Matrix 3 300 Double) -> Vect 4 Double  
compute  
= foldl  
  (\acc,[x,y,z] =>  
    head $ --NB: result : Matrix 4 1 Double  
    [acc] |+| A .inv * [[x, 2 + y, -x, z + y]])  
[0,0,0,0]
```

$$\sum_{v \in \text{dataset}} A^{-1} \cdot \begin{pmatrix} v_2 \\ 2+v_3 \\ -v_2 \\ v_2+v_3 \end{pmatrix}$$

easy staging with  
function closures

```
thoughtful =  
let B := A .inv  
in foldl  
  (\acc,[x,y,z] =>  
    head $  
    [acc] |+| B * [[x, 2 + y, -x, z + y]])  
[0,0,0,0]
```

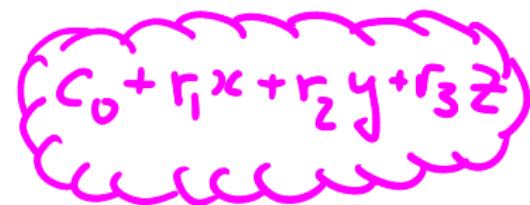
Pre compute  
Statically known / ahead of time  
inhere

NB: Very thoughtful: use more linear algebra  
(tangential)

# Partially Static Representation

```
Frex a n = (a, Vect n Double)
```

```
(c, [r1, r2, r3]) : Frex a 3
```


$$c_0 + r_1 x + r_2 y + r_3 z$$

```
clever =
let B := A .inv
    precalculate := head $ B * [[x, 2 + y, -x, z + y]]
in foldl
  (\acc,[x,y,z] =>
    acc |+| eval precalculate [x,y,z])
  [0,0,0,0]
```

# Why does it work?

Operations

$$0, (+), (r \cdot)$$

( $r \in \mathbb{R}$ )  $\Rightarrow$  algebraic signature  $\Sigma$

Semantic equations

$$r \cdot (s \cdot x) = (r s) \cdot x \quad x + y = j + z$$

$\Rightarrow$  algebraic presentation

Ax

variables / dynamic values  $\uparrow$  semantic actions  $\uparrow$

Thm:  $(\mathbb{R}, \text{Vect } n(\mathbb{R}))$

$$\cong \text{Term} \sum (\text{Fin } n \cup \mathbb{R}) / + \text{Eval}$$

static and values  $\frac{1}{2} \cdot 4 = 2$

This construction

Term  $\sum (\text{Fin} n \uplus R) /_{+}^{\text{Ae}} \text{Eval}$

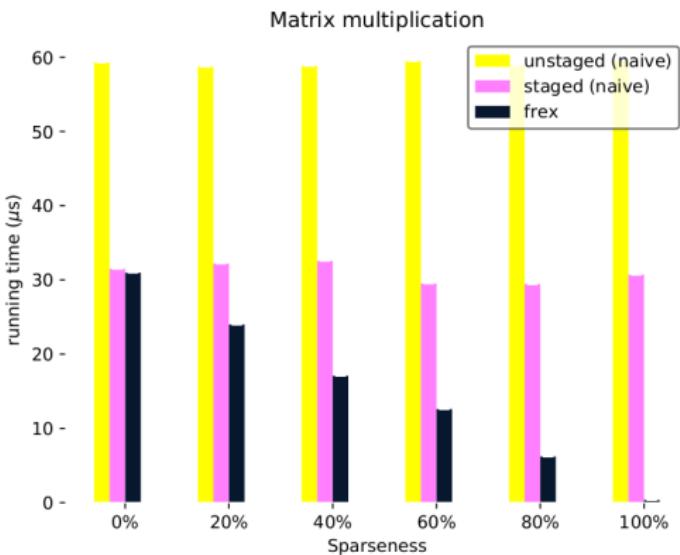
has a name:

Free Extension  
(Free)

# Staging with Frex

[Yallop, von Glehn, Kammar '18]

- OCaml & Haskell libs implementing frexlets for:  
(commutative) monoids, rings, distributive lattices  
Abelian groups
- use frexlets for  
*Staged Optimization*



# This talk: Ongoing work

joint with:

## Introduction



Yallaq



van  
Glehn



Allais  
Braby



## Frex tutorial

## Equational-proof synthesis



Corbyn



Lindley



Valliappan

## modularity

## Normalisation by Evaluation (NbE)

## Generalised algebraic theories

# Algebras

$(M_{n \times n}(N), I_n, (\cdot)) \models \text{monoids}$

$(N, 0, (+)), (N, 1, (+)) \models \text{commutative monoids}$

$(N, \text{max}, \text{O}) \models \text{semi-lattices}$

$$\frac{\bar{x} = x}{\bar{x}y = \bar{y}\bar{x}}$$

$(\text{String}, "", (\#), \text{reverse}) \models \text{involutive monoids}$

$(R, 0, (+), (r \cdot) \ (r \in R)) \models \text{distributive } R\text{-action}$   
on a commutative monoid

lower the barrier ←  
for bespoke algebraic structures

## Universal algebra vocabulary

- **Signatures**  $\Sigma \ni (op:n)$ 
  - arity:  $0, 1 : 0$
  - $(+), (\cdot), \max, (+) : 2$
  - $(-)^\dagger, (\overline{-}) : 1$
- $\rightsquigarrow \text{Term}_\Sigma X \ni X \vdash t$
- **Presentations**:  $(\Sigma, A_\Sigma)$ 
  - $\{x, y\} \vdash x + y$
  - where  $A_\Sigma \subseteq \sum_{X \in N} (\text{Term}_\Sigma X)^2$
  - $x \cdot j$
  - $(x \cdot x)^{-1}$
- **e.g.:**  $x \cdot 1 = x$        $x + y = y + x$ 
  - carry  $\rightsquigarrow$  operation
- **Algebra**  $A = (\underline{A}, [\![op:n]\!]: \underline{A}^n \rightarrow \underline{A})$
- $A \models A_\Sigma$       **e.g.:** previous slide

Free algebra over  $X \in \text{Set}$ :

$$F_{Ax} X := \text{Term}_{\sum^X} / Ax^t + \text{Operations}$$

Representation Theorem:

$$F_{\text{monoid}} X \cong (\text{List } X, [], (+))$$

Now:

homomorphisms/categories

& universal properties/adjunctions

not today.

Come talk to me!

Free extension of  $A \models Ax$  by  $x \in \text{set}$ :

$$A[X] := \text{Term} \sum (A \Theta X) / A_{\text{rc}} + \text{Eval}$$

Eval:  $\text{Left}(a_1) \xrightarrow{\text{operation symbol}} + \text{Left}(a_2) = \text{Left}(a_1 + a_2)$  operation in  $A$

Repr. Thm:

$\forall A \models \text{com. monads}$

$$A[\text{Fin } n] \cong ((\Delta, \text{Vect } n \mathbb{W}), \text{ pointwise operations})$$

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# Dependently typed Programming

Beauty:

$(++) : Vect\ n\ a \rightarrow Vect\ m\ a \rightarrow Vect\ (n+m)\ a$

$[] ++ ys = ys$   
 $(x :: xs) ++ ys = x :: (xs ++ ys)$

identical

guarantee  
length is  
correct

code to simply-typed list concatenation.

# Beast

```
mergeBy : (isLT: a -> a -> Bool) ->  
         Vect n a -> Vect m a -> Vect (n + m) a  
mergeBy [] ys = ys  
mergeBy {n} xs [] = rewrite plusZeroRightNeutral n in  
                     xs
```

innocuous  
looking  
type

where

```
plusZeroRightNeutral : (n : Nat) ->
```

$$n + 0 = n$$

```
mergeBy {n = S n} {m = S m}
```

$$\text{isLT } xs' @ (x :: xs) \text{ ys' @ (y :: ys)} =$$

```
if isLT x y
```

```
then x :: mergeBy isLT xs ys'
```

```
else rewrite sym (plusSuccRightSucc
```

$$y :: \text{mergeBy isLT xs' ys}$$

where

```
plusSuccRightSucc : (n, m : Nat) ->
```

$$S(n + m) = n + S m$$

optional  
implicit  
arg

necessary  
rewriting  
steps

prove auxiliary  
lemmas

Need equational proofs to type-check

→ rule simplification steps:

$$x + (1 + y) = (1 + x) + y$$



Same problem  
but type-checker  
needs to see proofs

Formalize:

Congruence wrt  
each DOP II

- ▶ UP's of  $F_{\text{Ax}} X$ ,  $A[X]$   $(A, \vdash, \equiv) \models A x$   
in Agda + Idris w.r.t. Setoids
- ▶ Representation Thms for  
Concrete presentations

# Example: binary representations indexed by their semantics [Braby, Hammond, McKimma '07]

direct manipulation of proof terms

```
| ~ ((b_s + b_s) + c_s) + (val_a + val_a + val_b + val_b)
~~ c_s + 2*((val_a + val_b) + b_s)
... (Frex.solve 4 (Frex Nat.Additive) $
let b_s    = Dyn 0
c_s    = Dyn 1
val_a = Dyn 2
val_b = Dyn 3
in ((b_s :+: b_s) :+: c_s)
:+: (((val_a :+: val_a) :+: val_b) :+: val_b)
== c_s :+: the Nat 2 *:
(((val_a :+: val_b) :+: b_s)))
```

call free Simplifier

future work:  
avoid tedium  
repetition

► Solne also extracts

canonical  
type isomorphisms

e.g.:

$$(a, b, c) \cong ((c, a), b)$$

(thanks  
to  
Setoid  
usage)

# Proof Extraction with setoids

2.

$\xrightarrow{\text{Setoid isomorphism}}$

$$(A[X], (=)) \cong (\text{Term}_\Sigma(A \cup X), \vdash \equiv)$$



free representation

with  
propositional/decidable  
equality

$$x + (1 + x) \stackrel{?}{=} 2 \cdot x + 1$$

$\xrightarrow[\text{in } \mathbb{N}[x]]{\text{eval}}$

$$(1, [2]) = (1, [2])$$

$$\vdash x + (1 + x) \\ \equiv 2 \cdot x + 1$$



deeply ↴  
embedded representation  
of provability  
in equational  
logic

apply Up  
w.r.t.  
term setoid

# Process deeply embedded proofs ( $\vdash n+(1+n) \equiv$ )

- ▶ Simplify  
(remove "loops")
- ▶ Print proofs ↗
- ▶ Certify proofs ↘

$$\begin{aligned}
 & (x * 3) * [2] = (x * 3) * 2 * [\varepsilon] \stackrel{\substack{\text{Left neutrality} \\ \uparrow}}{=} (x * [3]) * 2 * \varepsilon * \varepsilon = (x * 3 * [\varepsilon]) * 2 * \varepsilon * \varepsilon \stackrel{\substack{\text{Left neutrality} \\ \uparrow}}{=} ([x] * 3 * \varepsilon * \varepsilon) * 2 * \varepsilon * \varepsilon = \\
 & \qquad\qquad\qquad \stackrel{\substack{\text{Right neutrality} \\ \uparrow}}{=} ([x] * 3 * \varepsilon * \varepsilon) * 2 * \varepsilon * \varepsilon \stackrel{\substack{\text{Right neutrality} \\ \uparrow}}{=} \\
 & \qquad\qquad\qquad \stackrel{\substack{\text{Right neutrality} \\ \uparrow}}{=} \\
 & [(x * \varepsilon) * 3 * \varepsilon * \varepsilon] * 2 * \varepsilon * \varepsilon \stackrel{\substack{\text{Right neutrality} \\ \uparrow}}{=} (((x * \varepsilon) * \varepsilon) * 3 * \varepsilon * \varepsilon) * 2 * \varepsilon * \varepsilon = \\
 & \qquad\qquad\qquad \stackrel{\substack{\text{Evaluate} \\ \uparrow}}{=} ((([\varepsilon] * (x * \varepsilon) * \varepsilon) * 3 * \varepsilon * \varepsilon) * 2 * \varepsilon * \varepsilon \stackrel{\substack{\text{Left neutrality} \\ \uparrow}}{=} \\
 & \qquad\qquad\qquad \stackrel{\substack{\text{Associativity} \\ \uparrow}}{=} (0 * ((x * \varepsilon) * \varepsilon) * 3 * \varepsilon * \varepsilon) * 2 * \varepsilon * \varepsilon \stackrel{\substack{\text{Associativity} \\ \uparrow}}{=} (0 * [((x * \varepsilon) * \varepsilon) * 3] * \varepsilon * \varepsilon) * 2 * \varepsilon * \varepsilon = \\
 & \qquad\qquad\qquad \stackrel{\substack{\text{Communativity} \\ \uparrow}}{=} \\
 & [(0 * (x * \varepsilon) * \varepsilon) * 3 * \varepsilon * \varepsilon] * 2 * \varepsilon * \varepsilon \stackrel{\substack{\text{Associativity} \\ \uparrow}}{=} (0 * [((x * \varepsilon) * \varepsilon) * 3 * \varepsilon * \varepsilon] * 2 * \varepsilon * \varepsilon \stackrel{\substack{\text{Left neutrality} \\ \uparrow}}{=} \\
 & \qquad\qquad\qquad \stackrel{\substack{\text{Associativity} \\ \uparrow}}{=} (0 * [(3 * (x * \varepsilon) * \varepsilon) * \varepsilon * \varepsilon] * 2 * \varepsilon * \varepsilon \stackrel{\substack{\text{Associativity} \\ \uparrow}}{=} (0 * 3 * ((x * \varepsilon) * \varepsilon) * \varepsilon * \varepsilon) * 2 * \varepsilon * \varepsilon \stackrel{\substack{\text{Left neutrality} \\ \uparrow}}{=} \\
 & \qquad\qquad\qquad \stackrel{\substack{\text{Evaluate} \\ \uparrow}}{=} (0 * 3 * ((x * \varepsilon) * \varepsilon) * \varepsilon * \varepsilon) * 2 * \varepsilon * \varepsilon \stackrel{\substack{\text{Associativity} \\ \uparrow}}{=} \\
 & \qquad\qquad\qquad \stackrel{\substack{\text{Associativity} \\ \uparrow}}{=} ((0 * 3) * ((x * \varepsilon) * \varepsilon) * \varepsilon * \varepsilon) * 2 * \varepsilon * \varepsilon \stackrel{\substack{\text{Left neutrality} \\ \uparrow}}{=} \\
 & \qquad\qquad\qquad \stackrel{\substack{\text{Associativity} \\ \uparrow}}{=} ((0 * 3) * [((x * \varepsilon) * \varepsilon) * \varepsilon * \varepsilon] * 2 * \varepsilon * \varepsilon \stackrel{\substack{\text{Associativity} \\ \uparrow}}{=} \\
 & \qquad\qquad\qquad \stackrel{\substack{\text{Commutativity} \\ \uparrow}}{=} \\
 & (3 * (x * \varepsilon) * \varepsilon) * 2 * \varepsilon * \varepsilon \stackrel{\substack{\text{Associativity} \\ \uparrow}}{=} 3 * [((x * \varepsilon) * \varepsilon) * 2 * \varepsilon * \varepsilon] = 3 * [(x * \varepsilon) * 2] * \varepsilon * \varepsilon \stackrel{\substack{\text{Associativity} \\ \uparrow}}{=} \\
 & \qquad\qquad\qquad \stackrel{\substack{\text{Associativity} \\ \uparrow}}{=} 3 * [2 * (x * \varepsilon) * \varepsilon * \varepsilon] = 3 * 2 * ((x * \varepsilon) * \varepsilon * \varepsilon \stackrel{\substack{\text{Associativity} \\ \uparrow}}{=} (3 * 2) * ((x * \varepsilon) * \varepsilon) * \varepsilon * \varepsilon \stackrel{\substack{\text{Left neutrality} \\ \uparrow}}{=} \\
 & \qquad\qquad\qquad \stackrel{\substack{\text{Associativity} \\ \uparrow}}{=} (3 * 2) * [((x * \varepsilon) * \varepsilon) * \varepsilon * \varepsilon \stackrel{\substack{\text{Evaluate} \\ \uparrow}}{=} [3 * 2] * (x * \varepsilon) * \varepsilon * \varepsilon \stackrel{\substack{\text{Right neutrality} \\ \uparrow}}{=} 5 * [x * \varepsilon] \stackrel{\substack{\text{Left neutrality} \\ \uparrow}}{=} 5 * x \stackrel{\substack{\text{Right neutrality} \\ \uparrow}}{=} \\
 & \qquad\qquad\qquad \stackrel{\substack{\text{Associativity} \\ \uparrow}}{=} 3 * 2 * x \stackrel{\substack{\text{Associativity} \\ \uparrow}}{=} 6 * x
 \end{aligned}$$

```

units : (x : U m) -> 01 .+., (x .+, 01) .+., 01 == x
units x = CalcWith (cast m) $
  | 01 .+., (x .+, 01) .+., 01
  |-- (01 .+., (01 .+., x .+, 01) .+., 01
  |-- ..<(Cong (λ focus => 02 :=: (focus .+: 02) .+: 02) $ lftNeutrality x)
  |-- 01 .+., (01 .+., (x .+, 01)) .+., 01
  |-- ..<(Cong (λ focus => 02 :=: focus .+: 02) $ associativity 01 × 01)
  |-- 01 .+., 01 .+., (x .+, 01) .+., 01
  |-- ... (Cong (λ focus => focus .+: 02) $ associativity 01 01 (x .+, 01))
  |-- 01 .+., 01 .+., x .+, 01 .+., 01
  |-- ... (Cong (λ focus => focus .+: 02) $ associativity (01 .+., 01) × 01)
  |-- 01 .+., x .+, 01 .+., 01
  |-- ... (Cong (λ focus => focus .+: Val x .+: 02 :=: 02) $ lftNeutrality 01)
  |-- 01 .+., x .+
  |-- ... (rgtNeutrality (01 .+., x))
  |-- x
  |-- ... (lftNeutrality x)
  
```

Fig. 17. FREX-certificate for the of  $0 + (x + 0) + 0 = x$  in a generic monoid  $\mathbf{m}$

Fig. 16. FREX-extracted proof of  $(x * 3) * 2 = 5 * x$  in the additive monoid over  $\mathbb{Nat}$

# This talk: Ongoing work

- Introduction
- Frex tutorial
- equational-proof synthesis
- modularity
- Normalisation by Evaluation (NbE)
- Generalised algebraic theories

# Modularity

Example: Reuse monoid  $\text{free } \mathcal{X}$  for involutive monoids

$$(\underline{A}, 1, (\cdot)\bar{-}) \models \text{involutive monoid}$$

$\hookrightarrow \frac{\bar{x} \cdot \bar{y} = \bar{y} \cdot \bar{x}}{\bar{\bar{x}} = x} \quad \text{e.g. String reversal}$

$$\text{then } (\underline{A}, 1, (\cdot), \bar{-})[\mathcal{X}] \cong (\underline{A}, 1, (\cdot))[(\text{Bool}, \mathcal{X})]$$

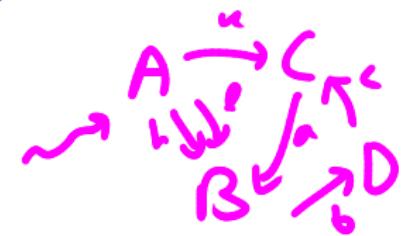


modularity is exceptional  
celebrate it

# Multi-free of homomorphism graphs

$G$ : algebra labelled vertices  
homomorphism labelled edges

$\rightsquigarrow$  multi-sorted algebra  $M \models \text{Ax}(G)$  <sup>tops</sup>



$(A, B, C, D, l, m, u, b, r, c)$

Repr. Thm:

$$M[\vec{X}] \cong M_V \left[ \sum_{u \in G_V} \text{Path}(u, v) \times X_v \right]$$

$v$ -sort in the extension by  $\vec{X} = (x_v)_{v \in G_V}$

$\rightsquigarrow$  form of algebra labeling

each function homomorphic

Application:

free UP.

# Distributive tensor of theories (wip)

Example:  $((\cdot) \hookrightarrow \text{Monoid}) \triangleright \text{Commutative monoid} = \text{non-Commutative semiring}$

$((+) \hookrightarrow \text{Commutative monoid}) \triangleright \text{Abelian group} = \text{Commutative ring}$

$((\cdot), \bar{\cdot} \hookrightarrow \text{involutive monoid}) \triangleright \text{Commutative semigroup} = \text{involutive non-zero semi-rings}$

etc.

$$\left\{ \begin{array}{l} \text{Ordinary,} \\ \text{involutive} \end{array} \right\} \times \left\{ \begin{array}{l} \text{ordinary} \\ \text{Commutative} \end{array} \right\} \times \left\{ \begin{array}{l} \text{semiring} \\ \text{monoid} \\ \text{group} \end{array} \right\}^2 = 36$$

Challenge: generalize sparse Horner normal form.

more, in fact, since  
involution can be  
reversing or not.

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# Normalisation-by-Evaluation (NbE)

Open terms modulo semantics  
for higher-order functions

$$u \in \text{Neutral}_{\Gamma} \hookrightarrow n \in \text{Normal}_{\Gamma}$$

neutral forms typically given uniformly :

$$u = f_{\vec{z} \rightarrow z} n_z, \dots n_{z_k}$$

NLE with freez ( $A \models \lambda x$ )

$\mathcal{T} ::= \dots | \lfloor A \rfloor$  → box type with algebraic structure

$$\frac{c \in A}{\Gamma \vdash c : \lfloor A \rfloor}$$

$$\frac{(OP:n) \in \Sigma}{\Gamma \vdash OP : \lfloor A \rfloor^n \rightarrow \lfloor A \rfloor}$$

NLE algorithm:

$$\text{Neutral}_{\Gamma \lfloor A \rfloor} \hookrightarrow A[\text{Neutral}_{\Gamma \lfloor A \rfloor}] := \text{Non}_{\Gamma \lfloor A \rfloor}$$

Stable under variations (e.g. monadic metalanguage)

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# Generalised algebraic theories (GATs) [Cartell '78]

- multi-sorted algebraic theory for sorts  
↳ "kinds"

e.g.: Categories       $\text{Kind} = \{ \text{Obj}, \text{morphisms} \}$

Kind operation:  $\text{Hom}: \text{Obj}^2 \rightarrow \text{morphisms}$

→ dependent contracts       $\text{No equations}$   
e.g.  $x, y: \text{Obj}, f, g: \text{Hom}(x, y)$

- operation symbols sorted by sort normal forms

e.g.:  $x: \text{Obj} \vdash \text{id}_x: \text{Hom}(x, x)$

$x, y, z: \text{Obj} \vdash (\circ): \text{Hom}(y, z) \times \text{Hom}(x, y) \rightarrow \text{Hom}(x, z)$

- Equations

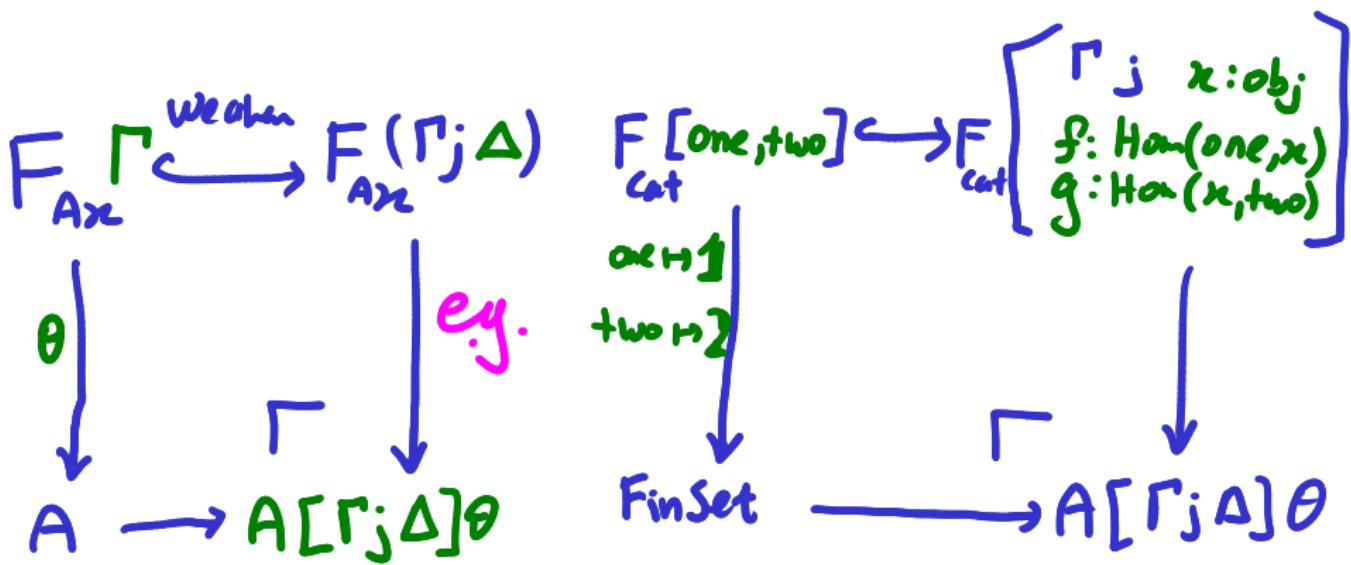
e.g.

$x, y: \text{Obj}, f: \text{Hom}(x, y) \vdash f \circ \text{id}_x = f: \text{Hom}(x, y)$

# GAT Free

extend by a Telescope

e.g.: FinSet [ $x:\text{obj}$ ,  $f:1 \rightarrow x$ ,  $g:x \rightarrow 2$ ]



# NBE as Free

- Categories
- Cartesian categories
- Cartesian closed categories (CCC,
- CCC + primitive algebraic structure
- CCC + primitive higher-order structure e.g. map/reduce

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