# THE THURSTON COMPACTIFICATION OF THE STABILITY MANIFOLD OF A GENERIC ANALYTIC K3 SURFACE

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ABSTRACT. Let X be an analytic K3 surface with Pic X = 0. We describe the closure of the Bridgeland stability manifold of X obtained using the masses of semi-rigid objects.

#### 1. Introduction

Associated to a triangulated category  $\mathcal{C}$  is the complex manifold  $\operatorname{Stab}(\mathcal{C})$  whose points are the Bridgeland stability conditions on  $\mathcal{C}$  [8]. Understanding the global geometry of  $\operatorname{Stab}(\mathcal{C})$  is an important question with far-reaching applications. For example, when  $\mathcal{C}$  is the derived category of coherent sheaves on a K3 surface, the simple connectedness of  $\operatorname{Stab}(\mathcal{C})$  allows us to recover the group of auto-equivalences of  $\mathcal{C}$  [6]. When  $\mathcal{C}$  is the 2-Calabi–Yau category associated to a quiver, the topology of  $\operatorname{Stab}(\mathcal{C})$  has implications for the word/conjugacy problems and the  $K(\pi,1)$ -conjecture for the associated Artin group [10,15].

To better understand the global geometry of a non-compact space like  $Stab(\mathcal{C})$ , it is useful to have a compactification. There have been several (partial) compactifications in the literature; see, for example, [2,4,7,9]. The goal of this paper is to completely describe the compactification constructed in [2] when  $\mathcal{C}$  is the derived category of coherent sheaves on a generic analytic K3 surface.

The compactification in [2] is motivated by viewing a stability condition as a metric, and in particular by Thurston's compactification of the Techimüller space of hyperbolic metrics on a surface. We recall the main idea. Given a stability condition  $\sigma$  on  $\mathcal{C}$  and an object  $x \in \mathcal{C}$ , the mass of x with respect to  $\sigma$ , denoted by  $m_{\sigma}(x)$ , is the sum  $m_{\sigma}(x) = \sum_{i} |Z_{\sigma}(x_{i})|$ , where the  $x_{i}$  are the  $\sigma$ -Harder–Narasimhan (HN) factors of x and  $Z_{\sigma}$  is the central charge of  $\sigma$ . To construct the compactification, we fix a set of objects S, and consider the map  $m \colon \mathbf{P}\operatorname{Stab}(\mathcal{C}) = \operatorname{Stab}(\mathcal{C})/\mathbf{C} \to \mathbf{P}^{S}$  given by  $\sigma \mapsto [m_{\sigma}]$ . The proposed compactification is the closure of the image of m.

**Theorem 1.1.** Let X be an analytic K3 surface with  $\operatorname{Pic}(X) = 0$ . Let  $S \subset D^b \operatorname{Coh}(X)$  be the set of semi-rigid objects. The map  $m \colon \mathbf{P} \operatorname{Stab}(D^b \operatorname{Coh}(X)) \to \mathbf{P}^S$  is a homeomorphism onto its image. The image is a 2-dimensional open ball and its closure is a 2-dimensional closed ball.

See Figure 1 for an illustration of the compactified stability space. The boundary contains a distinguished point represented by the function  $hom(\mathcal{O}_X, -)$  (red point in Figure 1). This point and the other vertices in Figure 1 are mass functions of lax stability conditions in the sense of [9], but the other boundary points are not.



FIGURE 1. For an analytic K3 surface X with Pic(X) = 0, the compactified  $\mathbf{P}\operatorname{Stab}(X)$  is a closed 2-ball, tiled by the translates of a triangle by the action of the spherical twist in  $\mathcal{O}_X$ . A distinguished point (red) in the boundary corresponds to the function  $hom(\mathcal{O}_X, -)$ .

Theorem 1.1 is a combination of Theorem 4.6 and Theorem 4.8 in the main text. The discussion of the points in the boundary is in Section 4.4.

For a positive real number q, the mass map has a natural q-analogue  $m_q$ . The closure of the image of the stability manifold under  $m_q$  is also a closed disk. However, in its boundary, the red point in Figure 1 is replaced by a closed interval (see Figure 2).

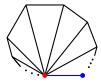


FIGURE 2. The closure of  $m_q(\mathbf{P}\operatorname{Stab}(X))$  is also a closed disk. The boundary has an additional interval, whose blue end-point is the q-hom functional hom $_q(\mathcal{O}_X, -)$ .

For q=1, the distinguished red point in the boundary has two interpretations: one as the hom function  $hom(\mathcal{O}_X, -)$  and the second as the mass function of a lax stability condition  $\sigma$  in which  $\mathcal{O}_X$  is massless. For  $q \neq 1$ , the two interpretations diverge. The q-hom function  $hom_q(\mathcal{O}_X, -)$  yields the blue end-point in Figure 2 and the q-mass function  $m_q(\sigma)$  yields the red end-point.

We can reconcile the two pictures (Figure 1 and Figure 2) by drawing them in the upper half plane instead of the disk (see Figure 3). The q=1 picture (Figure 1) corresponds to the union of the translates of an ideal triangle by the transformation  $z\mapsto z+1$ . The only additional point in the closure (in the closed disk) is the point at infinity. The  $q\neq 1$  picture (Figure 2) corresponds to the union of the translates of an ideal triangle by the transformation  $z\mapsto qz+1$ . In this case, the closure (in the closed disk) contains an additional interval. This q-deformation is a simpler version of the q-deformed Farey tesselation observed in [1].



FIGURE 3. The tiling of the disk by triangles in the q = 1 case (left) versus the  $q \neq 1$  case (right).

In the course of the proof of the main theorem, we also characterise all semi-rigid objects of  $D^b \operatorname{Coh}(X)$ . Up to twists by  $\mathcal{O}_X$  and homological shifts, the only such objects are the skyscraper sheaves  $\mathbf{k}_x$  (Proposition 3.1).

There are a few other cases where the Thurston compactification of the stability manifold has been completely described. These include: the 2-Calabi–Yau categories associated to quivers of rank 2 [2] and the derived categories of coherent sheaves on algebraic curves [13]. In [14] the authors prove that for any (algebraic) K3 surface X, taking S to be the set of spherical objects gives an injective map  $m \colon \mathbf{P}\operatorname{Stab}(X) \to \mathbf{P}^S$ . Understanding its image and its closure is an important goal. The case of non-algebraic K3s treated here is a step towards it.

1.1. Conventions. An analytic K3 surface is a connected, simply-connected, and compact complex manifold X of dimension 2 with  $h^1(\mathcal{O}_X) = 0$ . By  $D^b(X)$  we mean the bounded derived category of the abelian category  $\operatorname{Coh}(X)$  of coherent sheaves on X, as studied in [11]. For a point  $x \in X$ , we denote by  $\mathbf{k}_x$  the push-forward to X of the structure sheaf of x, and call it the skyscraper sheaf at x. By  $\operatorname{Stab}(X)$ , we denote the set of (locally finite) Bridgeland stability conditions on  $D^b(X)$  with a numerical central charge; that is, where the central charge  $Z \colon K(D^b(X)) \to \mathbf{C}$  factors through the Chern character  $\operatorname{ch} \colon K(D^b(X)) \to H^*(X, \mathbf{Q})$ . We let  $\mathbf{P} \operatorname{Stab}(X)$  be the quotient of  $\operatorname{Stab}(X)$  by the standard action of  $\mathbf{C}$ , in which  $z = x + i\pi y$  acts by scaling the central charge by  $e^z$  and shifting the

slicing by y. Given a set S, we let  $\mathbf{R}^S$  be the set of functions  $S \to \mathbf{R}$  and  $\mathbf{P}^S$  the projective space  $(\mathbf{R}^S - \{0\})$ /scaling.

- 1.2. **Outline.** In Section 2, we recall the description of stability conditions on an analytic K3 surface X with Pic X = 0. In Section 3, we identify the semi-rigid objects of  $D^b(X)$ . The bulk of the paper is Section 4, in which we study the embedding of  $\mathbf{P} \operatorname{Stab}(X)$  given by the masses of semi-rigid objects. In Section 5, we study the q-analogue of the mass embedding. We do not include the definitions and the basic properties of stability conditions, and refer the reader to the original source [8] or exposition [5].
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### 2. Stability conditions on generic K3 surfaces

Throughout, fix an analytic K3 surface X with Pic X = 0. Since X is a K3 surface,  $D^b(X)$  is a 2-Calabi-Yau category. That is, for  $x, y \in D^b(X)$ , we have a natural isomorphism

$$\operatorname{Hom}(x,y) \cong \operatorname{Hom}(y,x[2]).$$

2.1. The Mukai lattice. The Mukai lattice  $\mathcal{N}(X)$  of X is given by

$$\mathcal{N}(X) = (H^0 \oplus H^4)(X, \mathbf{Z}).$$

Taking the class of X as a generator of the  $H^0$  summand and the class of a point  $x \in X$  as a generator of the  $H^4$  summand, we get an identification

$$\mathcal{N}(X) = \mathbf{Z} \oplus \mathbf{Z}.$$

The Mukai pairing is then given by

$$(\alpha_1, \alpha_2) \cdot (\beta_1, \beta_2) = \alpha_1 \beta_2 + \alpha_2 \beta_1.$$

Given  $F \in D^b(X)$ , we let  $[F] = (\operatorname{ch}_0 F, \operatorname{ch}_0 F - \operatorname{ch}_2 F) \in \mathcal{N}(X)$  be its Mukai vector. Then we have

$$[\mathcal{O}_X] = (1,1)$$
 and  $[\mathbf{k}_x] = (0,1)$ .

In particular,  $[\mathcal{O}_X]$  and  $[\mathbf{k}_x]$  form a basis of  $\mathcal{N}(X)$ .

2.2. Standard stability conditions. We recall basic facts about stability conditions on X from [11, § 4]. Let  $\mathcal{F}$  and  $\mathcal{T}$  be the full-subcategories of Coh(X) consisting of torsion free and torsion sheaves, respectively. Then  $(\mathcal{F}, \mathcal{T})$  forms a torsion pair. Let  $\mathcal{A}$  be the tilt of Coh(X) in this torsion pair. Explicitly,

$$\mathcal{A} = \{ E \in D^b(X) \mid H^{-1}(E) \in \mathcal{F} \text{ and } H^0(E) \in \mathcal{T} \text{ and for all } i \notin \{0, 1\} : H^i(E) = 0 \}.$$

Then  $\mathcal{A}$  is the heart of a bounded t-structure on  $D^b(X)$ .

Let  $\mathbf{H} \subset \mathbf{C}$  be the (open) upper half plane. As proved in [11, § 4.2], for every  $z \in \mathbf{H} \cup \mathbf{R}_{<0}$ , we have a stability condition  $\sigma_z$  on  $D^b(X)$  whose (0, 1] heart is  $\mathcal{A}$  and whose central charge is given by

$$Z \colon [\mathbf{k}_x] \mapsto 1 \text{ and } Z \colon [\mathcal{O}_X] \mapsto -z.$$

For every  $w \in -\mathbf{H}$ , we have a stability condition  $\sigma_w$  on  $D^b(X)$  whose (0,1] heart is Coh(X) and whose central charge is given by

$$Z \colon [\mathbf{k}_x] \mapsto 1 \text{ and } Z \colon [\mathcal{O}_X] \mapsto -w.$$

See Figure 4 for a sketch of the two central charges.

Remark 2.1. The combined domain of the parameters z and w in [11, § 4.2] is  $\mathbf{C} - \mathbf{R}_{\geq -1}$ . For us, it is  $\mathbf{C} - \mathbf{R}_{\geq 0}$ . The difference is due to a slight change in parametrisation. The central charge of  $\sigma_z$  in [11, § 4.2] sends  $\mathbf{k}_x$  to -1 (same as ours) and  $\mathcal{O}_X$  to -z-1 (we send it to -z). So our parametrisation and the parametrisation in [11, § 4.2] are related by  $z \mapsto z+1$ .

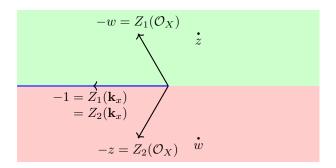


FIGURE 4. For  $w \in -\mathbf{H}$  (red), a central charge  $Z_1$  as above defines a stability condition with heart  $\mathrm{Coh}(X)$ . For  $z \in \mathbf{H}$  (green) and  $z \in \mathbf{R}_{<0}$  (blue), a central charge  $Z_2$  as above defines a stability condition whose heart is the tilt of  $\mathrm{Coh}(X)$  with respect to torsion and torsion-free sheaves.

We call the stability conditions  $\sigma_z$  for  $z \in \mathbf{H} \cup -\mathbf{H} \cup \mathbf{R}_{<0}$  the standard stability conditions. We say that the stability conditions  $\sigma_z$  for  $z \in \mathbf{R}_{<0}$  are on the wall, and the rest are off the wall.

Let  $W_+$  (resp.  $W_-$  and  $W_0$ ) be the union of the **C**-orbits of the stability conditions  $\sigma_z$  for  $z \in \mathbf{H}$  (resp.  $-\mathbf{H}$  and  $\mathbf{R}_{<0}$ ). By definition, the sets  $W_+$ ,  $W_-$ , and  $W_0$  are invariant under the **C**-action. It is easy to check that they are also invariant under the  $\widehat{\mathrm{GL}}_2^+(\mathbf{R})$ -action, and hence coincide with the sets with the same name defined in the proof of [11, Theorem 4.8]. Set  $W = W_+ \cup W_- \cup W_0$ .

2.3. All stability conditions. Recall that the only spherical objects in  $D^b(X)$  are the shifts of  $\mathcal{O}_X$  (see [11, Proposition 2.15]). Let  $T: D^b(X) \to D^b(X)$  be the spherical twist in  $\mathcal{O}_X$ .

**Proposition 2.2.** The set  $W \subset \operatorname{Stab}(X)$  is open and the union of its translates  $T^nW$ , for  $n \in \mathbf{Z}$ , is  $\operatorname{Stab}(X)$ .

*Proof.* That W is open is proved in [11, Theorem 4.8]. That  $Stab(X) = \bigcup T^nW$  is [11, Corollary 4.7].

The following proposition allows us to identify the stability conditions in  $W_+$ ,  $W_-$ , and  $W_0$ . Recall that since, up to shifts,  $\mathcal{O}_X$  is the only spherical object, it must be stable in any stability condition [11, Proposition 2.15].

**Proposition 2.3.** Let  $\sigma$  be a stability condition and let  $\phi$  be the phase of  $\mathcal{O}_X$ . Then  $\sigma$  is in W if and only if all the skyscraper sheaves  $\mathbf{k}_x$  are  $\sigma$ -stable of the same phase  $\psi$ . In this case, we have

- (1)  $\sigma \in W_{-}$  if  $\psi \in (\phi, \phi + 1)$ ,
- (2)  $\sigma \in W_+$  if  $\psi \in (\phi + 1, \phi + 2)$ ,
- (3)  $\sigma \in W_0$  if  $\psi = \phi + 1$ .

*Proof.* Since all skyscraper sheaves  $\mathbf{k}_x$  are  $\sigma$ -stable of the same phase for a standard stability condition, the same is true for any  $\sigma \in W$ . Conversely, suppose all  $\mathbf{k}_x$  are  $\sigma$ -stable of the same phase. Using the C-action, assume that their phase is 1 and their central charge is -1. By [11, Proposition 4.6], we conclude that  $\sigma$  is standard.

Suppose  $\sigma = \sigma_z$  for  $z \in -\mathbf{H} \cup \mathbf{H} \cup \mathbf{R}_{<0}$ . Whether  $z \in -\mathbf{H}$  or  $\mathbf{H}$  or  $\mathbf{R}_{<0}$  is distinguished by the phase  $\phi$  of  $\mathcal{O}_X$ . For  $z \in -\mathbf{H}$ , we have  $\phi \in (0,1)$ ; for  $z \in \mathbf{H}$ , we have  $\phi \in (-1,0)$ ; and for  $z \in \mathbf{R}_{<0}$ , we have  $\phi = 0$ .

**Proposition 2.4.** We have  $TW_{+} = W_{-}$  and  $T^{-1}W_{-} = W_{+}$ .

*Proof.* We prove that for a standard  $\sigma \in W_-$ , we have  $T(\sigma) \in W_+$ , and for a standard  $\sigma \in W_+$ , we have  $T^{-1}(\sigma) \in W_-$ . Then the proposition follows.

Take a standard  $\sigma \in W_{-}$  and let us prove that  $T(\sigma) \in W_{+}$ . Let  $\phi \in (0,1)$  be the phase of  $\mathcal{O}_{X}$ . It is easy to check that the ideal sheaves  $I_{x}$  of points  $x \in X$  are stable of the same phase  $\psi \in (0,\phi)$ . Let

 $x \in X$  be any point. Since  $\operatorname{Hom}^*(\mathcal{O}_X, \mathbf{k}_x) = \mathbf{C}$ , we have the exact triangle

$$\mathcal{O}_X \xrightarrow{\mathrm{ev}} \mathbf{k}_x \to T\mathbf{k}_x \xrightarrow{+1} .$$

Therefore,  $T\mathbf{k}_x = I_x[1]$ . So  $T\mathbf{k}_x$  is  $\sigma$ -stable of phase  $\psi + 1$ . Therefore,  $T^{-1}I_x[1] = \mathbf{k}_x$  is  $T(\sigma)$ -stable of phase  $\psi + 1 \in (1, \phi + 1)$ . On the other hand,  $T^{-1}\mathcal{O}_X = \mathcal{O}_X[1]$  is  $T(\sigma)$ -stable of phase  $\phi$ , so  $\mathcal{O}_X$  is  $T(\sigma)$ -stable of phase  $\phi - 1$ . We now apply Proposition 2.3.

Now take a standard  $\sigma \in W_+$  and let us prove that  $T(\sigma) \in W_-$ . Let  $\phi \in (-1,0)$  be the phase of  $\mathcal{O}_X$ . The objects  $T^{-1}\mathbf{k}_x$  are  $\sigma$ -stable of phase  $\psi \in (\phi+1,1)$  (see [11, Remark 4.3 (i)]). Therefore, the skyscraper sheaves  $\mathbf{k}_x$  are  $T(\sigma)$ -stable of phase  $\psi \in (\phi+1,1)$ . Since  $\mathcal{O}_X$  is  $\sigma$ -stable of phase  $\phi$ , it is  $T(\sigma)$ -stable of phase  $\phi+1$ . We again apply Proposition 2.3.

We now turn to the topology of the set of standard stability conditions and the stability conditions in W. Let  $H \subset \operatorname{Stab}(X)$  be the set of standard stability conditions. Let  $R = \mathbb{C} \setminus \mathbb{R}_{\geq -1}$ . We have a map  $R \to H$  given by  $z \mapsto \sigma_z$ . We also have the projection map  $H \to \mathbb{P}W = W/\mathbb{C}$ .

**Proposition 2.5.** The maps  $R \to H$  and  $H \to PW$  are homeomorphisms.

*Proof.* By definition, the map  $R \to H$  is a bijection. By the proof of [11, Theorem 4.8] (part (ii)), the map  $R \to H$  is continuous. Its inverse is given by  $\sigma \mapsto -Z_{\sigma}(\mathcal{O}_X)$ , which is also continuous. So  $R \to H$  is a homeomorphism.

By Proposition 2.3, the map  $H \to \mathbf{P}W$  is surjective. Owing to the normalisation of the phase and mass of  $\mathbf{k}_x$ , it is also injective. It remains to prove that the inverse is continuous. We know that W is an open subset of  $\mathrm{Stab}(X)$ . It is also  $\mathbf{C}$ -invariant, so  $\mathbf{P}W$  is an open subset of  $\mathbf{P}\mathrm{Stab}(X)$ . Thus, the map  $\mathbf{P}W \to \mathbf{P}\mathrm{Hom}(\mathcal{N}(X), \mathbf{C})$  is a local homeomorphism. We have the commutative diagram

$$R \xleftarrow{\sim} H \longleftarrow \mathbf{P}W$$

$$\downarrow \qquad \qquad \downarrow$$

$$R \longleftarrow \mathbf{P} \operatorname{Hom}(\mathcal{N}(X), \mathbf{C}),$$

where the bottom map is given by  $Z \mapsto Z(\mathcal{O}_X)/Z(\mathbf{k}_x)$ . Since this map is continuous, it follows that  $\mathbf{P}W \to H$  is continuous.

#### 3. Semi-rigid objects

Recall that an object F in  $D^b(X)$  is semi-rigid if

$$hom^{i}(F, F) = \begin{cases} 1 & \text{if } i = 0\\ 2 & \text{if } i = 1\\ 1 & \text{if } i = 2, \text{ and } \\ 0 & \text{otherwise.} \end{cases}$$

For example, for  $x \in X$ , the skyscraper sheaf  $F = \mathbf{k}_x$  and the ideal sheaf  $F = I_x$  are semi-rigid. We now characterises the semi-rigid objects of  $D^b(X)$ . Recall that  $T: D^b(X) \to D^b(X)$  is the spherical twist in  $\mathcal{O}_X$ .

**Proposition 3.1.** Let X be a K3 surface with Pic X = 0. Let  $F \in D^b(X)$  be semi-rigid. Then there exists  $x \in X$  and integers m, n such that  $F \cong T^n \mathbf{k}_x[m]$ .

We split the proof in two lemmas.

**Lemma 3.2.** Fix a stability condition  $\sigma \in W_-$ . Let  $F \in D^b(X)$  be semi-rigid and semi-stable. Then there exists  $x \in X$  such that F or  $T^{-1}F$  is a shift of  $\mathbf{k}_x$ .

*Proof.* Since F is semi-rigid,  $[F] \cdot [F] = 0$  in  $\mathcal{N}(X)$ . So [F] is an integer multiple of (0,1) or (1,0). Suppose [F] is a multiple of (0,1). Since  $[\mathbf{k}_x] = (0,1)$ , after applying a shift, we may assume that F is semi-stable of the same phase as  $\mathbf{k}_x$ , namely 1. It is easy to check that the abelian category of semi-stable objects of phase 1 is  $\mathcal{F}$ , the category of torsion sheaves on X. It is a finite length category

whose simple objects are the skyscraper sheaves  $\mathbf{k}_x$ . So F is an iterated extension of skyscraper sheaves. Since  $\text{hom}^1(F, F) = 2$ , the Mukai lemma [11, Lemma 2.7] implies that F must simply be a skyscraper sheaf.

Suppose [F] is a multiple of (1,0). Then  $[T^{-1}F]$  is a multiple of (0,1) and  $T^{-1}F$  is semi-stable with respect to  $\tau = T^{-1}\sigma$ . By Proposition 2.4, we have  $\tau \in W_+$ . By applying a rotation, assume that  $\tau$  is standard. Then, after applying a shift, we may assume that  $T^{-1}F$  is semi-stable of the same phase as  $\mathbf{k}_x$ , namely 1. Again, it is easy to check that the abelian category of  $\tau$  semi-stable objects of phase 1 is  $\mathcal{F}$ . We now proceed as before.

Given a stability condition  $\sigma$ , denote by  $\phi_{\sigma}^{+}$  and  $\phi_{\sigma}^{-}$  the highest and lowest phases of the factors in the  $\sigma$ -HN filtration. If  $\sigma$  is clear from the context, we omit the subscript.

**Lemma 3.3.** Fix a standard stability condition  $\sigma \in W_-$ . Let  $F \in D^b(X)$  be a semi-rigid object. There exists a non-negative integer n such that  $T^nF$  is  $\sigma$ -semi-stable.

*Proof.* Since F is semi-rigid, all stable factors of F are either spherical or semi-rigid, and only one stable factor is semi-rigid [11, Proposition 2.9]. The only spherical object, up to shift, is  $\mathcal{O}_X$ . By Lemma 3.2, the only semi-stable semi-rigid objects, up to shift, are  $\mathbf{k}_x$  and  $T^{-1}\mathbf{k}_x$ . In particular, the phases of the HN factors of F lie in the discrete subset of  $\mathbf{R}$  given by

$$(\phi_{\sigma}(\mathcal{O}_X) + \mathbf{Z}) \cup (\phi_{\sigma}(\mathbf{k}_x) + \mathbf{Z}) \cup (\phi_{\sigma}(T^{-1}\mathbf{k}_x) + \mathbf{Z}).$$

Therefore, there exists a discrete  $\Phi \subset \mathbf{R}$  such that for every semi-rigid object F, we have

$$\phi^+(F) - \phi^-(F) \in \Phi.$$

If F itself is semi-stable, we simply take n=0. Otherwise, up to shift, a stable HN factor of F of highest or lowest phase must be  $\mathcal{O}_X$ . We apply [3, Theorem 3.5] with Y=F and  $X=\mathcal{O}_X$ . Then for F'=TF or  $F'=T^{-1}F$ , we have

$$\phi^+(F') - \phi^-(F') < \phi^+(F) - \phi^{-1}(F).$$

By repeated applications of [3, Theorem 3.5] and using that  $\phi^+ - \phi^-$  lies in the discrete set  $\Phi \subset \mathbf{R}$ , we conclude that there exists an integer n such that  $T^nF$  is semi-stable.

Having proved the two lemmas, we are ready to prove Proposition 3.1—the only semi-rigid objects of  $D^b(X)$ , up to twisting by  $\mathcal{O}_X$  and shifting, are the skyscraper sheaves  $\mathbf{k}_x$ .

Proof of Proposition 3.1. Combine Lemma 3.2 and Lemma 3.3.

## 4. The mass embedding

Recall that X is an analytic K3 surface with  $\operatorname{Pic} X = 0$ . Let S be the set of isomorphism classes of semi-rigid objects of  $D^b(X)$ . In this section, we describe the mass embedding

$$m \colon \mathbf{P} \operatorname{Stab}(X) \to \mathbf{P}^S$$

and the closure of its image.

4.1. **HN filtration of semi-rigid objects.** To understand the mass embedding, we must understand the HN filtrations of the objects of S. By Proposition 3.1, the objects of S, up to shift, are  $T^n\mathbf{k}_x$  for  $x \in X$  and  $n \in \mathbf{Z}$ . For points  $x, y \in X$ , the behaviour of  $T^n\mathbf{k}_x$  and  $T^n\mathbf{k}_y$  is entirely analogous to each other. So we lose nothing by fixing a particular point  $x \in X$  and taking

$$S = \{ T^n \mathbf{k}_x \mid n \in \mathbf{Z} \}.$$

We may then write the points of  $\mathbf{P}^S$  as homogeneous vectors  $[x_n \mid n \in \mathbf{Z}] = [\cdots : x_{-1} : x_0 : x_1 : \cdots]$ . In these coordinates, the spherical twist T acts as a shift.

We first treat HN filtrations with respect to off the wall stability conditions.

**Proposition 4.1.** Let  $\sigma \in W_-$ . Then the  $\sigma$ -HN factors of  $F = T^n \mathbf{k}_x$ , in decreasing order of phase, are as follows.

- (1) For n = 0 and 1, the object F is stable.
- (2) For  $n \geq 2$ , the semi-stable (= stable) factors of F are  $T\mathbf{k}_x$  and  $\mathcal{O}_X[i]$  for  $0 \geq i \geq -n+2$ .
- (3) For  $n \leq -1$ , the semi-stable (= stable) factors of F are  $\mathcal{O}_X[i]$  for  $-n \geq i \geq 1$  and  $\mathbf{k}_x$ .

*Proof.* Recall that  $\mathbf{k}_x$  and  $T\mathbf{k}_x = I_x[1]$  are stable for stability conditions in  $W_-$ . So (1) follows. Consider the triangle

(1) 
$$\operatorname{Hom}^*(\mathcal{O}_X, T^{n-1}\mathbf{k}_x) \otimes \mathcal{O}_X \to T^{n-1}\mathbf{k}_x \to T^n\mathbf{k}_x \xrightarrow{+1}.$$

We have

$$\operatorname{Hom}^*(\mathcal{O}_X, T^{n-1}\mathbf{k}_x) = \operatorname{Hom}^*(T^{-n+1}\mathcal{O}_X, \mathbf{k}_x)$$
$$= \operatorname{Hom}^*(\mathcal{O}_X[n-1], \mathbf{k}_x)$$
$$= \mathbf{C}[-n+1].$$

By substituting in (1) and shifting, we get

(2) 
$$T^{n-1}\mathbf{k}_x \to T^n\mathbf{k}_x \to \mathcal{O}_X[-n+2] \xrightarrow{+1} .$$

Let us assume  $n \geq 2$ , and induct on n. Assume we know that the HN factors of  $T^{n-1}\mathbf{k}_x$  (in decreasing order of phase) are  $T\mathbf{k}_x$  followed by  $\mathcal{O}_X[i]$  for  $0 \geq i \geq -n+3$ . Concatenating the HN filtration of  $T^{n-1}\mathbf{k}_x$  and the map  $T^{n-1}\mathbf{k}_x \to T^n\mathbf{k}_x$ , we obtain a filtration of  $T^n\mathbf{k}_x$  whose factors are whose factors are  $T\mathbf{k}_x$  and  $\mathcal{O}_X[i]$  for  $0 \geq i \geq -n+2$ . Since these factors are stable and appear in decreasing order of phase, this must be the HN filtration of  $T^n\mathbf{k}_x$ . The induction step is complete.

Now let us assume  $n \leq -1$ , and induct on -n. Consider the triangle

(3) 
$$\mathcal{O}_X[-n] \to T^n \mathbf{k}_x \to T^{n+1} \mathbf{k}_x \xrightarrow{+1},$$

obtained by replacing n by n+1 in (2) and shifting. Assume we know that the HN factors of  $T^{n+1}\mathbf{k}_x$  (in decreasing order of phase) are  $\mathcal{O}_X[i]$  for  $-n-1 \geq i \geq 1$  and  $\mathbf{k}_x$ . By augmenting the HN filtration of  $T^{n+1}\mathbf{k}_x$  by the map  $\mathcal{O}_X[-n] \to T^n\mathbf{k}_x$ , we obtain a filtration of  $T^n\mathbf{k}_x$  whose factors are  $\mathcal{O}_X[i]$  for  $-n \geq i \geq 1$  and  $\mathbf{k}_x$ . Since these factors are stable and appear in decreasing order of phase, this must be the HN filtration of  $T^n\mathbf{k}_x$ . The induction step is complete.

For stability conditions on the wall, the HN filtration degenerates slightly.

**Proposition 4.2.** Let  $\sigma \in W_0$ . Then the  $\sigma$ -HN factors of  $F = T^n \mathbf{k}_x$ , in decreasing order of phase, are as follows.

- (1) For n = -1, 0 and 1, the object F is semi-stable.
- (2) For  $n \geq 2$ , the semi-stable factors of F are  $T\mathbf{k}_x$  and  $\mathcal{O}_X[i]$  for  $0 \geq i \geq -n+2$ .
- (3) For  $n \leq -2$ , the semi-stable factors of F are  $\mathcal{O}_X[i]$  for  $-n \geq i \geq 2$  and  $T^{-1}\mathbf{k}_x$ .

*Proof.* The proof is analogous to the proof of Proposition 4.1.

4.2. The mass map. We now have the tools to describe the mass map

$$m \colon \mathbf{P} \operatorname{Stab}(X) \to \mathbf{P}^S$$
.

**Proposition 4.3.** Let  $\sigma \in \mathbf{P}W_-$ . Set  $a = |Z_{\sigma}(\mathbf{k}_x)|$  and  $b = |Z_{\sigma}(T\mathbf{k}_x)|$  and  $c = |Z_{\sigma}(\mathcal{O}_X)|$ .

(1) The numbers a, b, c are positive real numbers satisfying

$$b < a + c$$
,  $a < b + c$ ,  $c < a + b$ .

(2) We have

$$m_{\sigma} \colon T^n \mathbf{k}_x \mapsto \begin{cases} a - nc & \text{if } n \leq 0, \\ b + (n-1)c & \text{if } n \geq 1. \end{cases}$$

(3) Let  $\Delta_0 \subset \mathbf{P}^S$  be the locally closed subset consisting of points of the form

$$[\cdots : a + 2c : a + c : a : b : b + c : b + 2c : \cdots],$$

where a is at index 0 and b is at index 1, and where a,b,c are positive real numbers satisfying the inequalities in (1). Then  $m: \mathbf{PW}_{-} \to \Delta_{0}$  is a homeomorphism.

*Proof.* Part (1) follows from the fact that the classes of  $\mathcal{O}_X$ ,  $\mathbf{k}_x$ , and  $T\mathbf{k}_x$  satisfy

$$[\mathcal{O}_X] = [\mathbf{k}_x] - [T\mathbf{k}_x].$$

Part (2) follows from Proposition 4.1.

For part (3), let  $\Delta \subset \mathbf{P}^2$  be the set of points [a:b:c] that satisfy the conditions in (1). Then we have a homeomorphism  $\Delta \to \Delta_0$  given by

$$[a:b:c] \mapsto [\cdots:a+2c:a+c:a:b:b+c:b+2c:\cdots].$$

We use  $[a:b:c] \in \Delta$  as coordinates on  $\Delta_0$ . By Proposition 2.5, the map  $w \mapsto \sigma_w$  gives a homeomorphism  $-\mathbf{H} \to \mathbf{P}W_-$ . We use  $z \in -\mathbf{H}$  as a coordinate on  $\mathbf{P}W_-$ . In these coordinates, writing down the inverse map  $\omega \colon \Delta \to \mathbf{P}W_-$  amounts to re-constructing the central charge given a, b, c. This can be done using the cosine rule (see Figure 5). Precisely, we have

(4) 
$$\omega([a:b:c]) = -(b/a\exp(i\theta) - 1), \text{ where } \theta = \arccos\left(\frac{c^2 - a^2 - b^2}{2ab}\right) \in (0,\pi),$$

which is continuous.

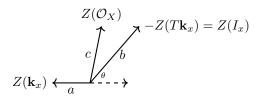


FIGURE 5. We can reconstruct the central charge (up to rotation) from the masses a, b, c of  $\mathbf{k}_x, T\mathbf{k}_x, \mathcal{O}_X$  using the cosine rule.

For  $n \in \mathbf{Z}$ , let  $\Delta_n \subset \mathbf{P}^S$  be the locally closed subset consisting of points of the form

$$[\cdots : a + 2c : a + c : a : b : b + c : b + 2c : \cdots],$$

where a is at index n, and where a, b, c are positive real numbers satisfying the (strict) triangle inequalities. Denote by  $T: \mathbf{P}^S \to \mathbf{P}^S$  the map that shifts the homogeneous coordinates rightwards by 1, so that  $\Delta_n = T^n \Delta_0$ . Then we have

$$m(T(\sigma)) = T(m(\sigma)).$$

Proposition 4.3 implies that the mass map  $T^n\mathbf{P}W_- \to \Delta_n$  is a homeomorphism. In particular, the mass map  $T^{-1}\mathbf{P}W_- = \mathbf{P}W_+ \to \Delta_{-1}$  is a homeomorphism. It is useful to write the inverse  $\Delta_{-1} \to \mathbf{P}W_+$  using coordinates [a:b:c] on  $\Delta_{-1}$  as in the proof of Proposition 4.3 and the coordinates on  $W_+$  given by  $\mathbf{H}$ . The explicit formula again arises from the cosine rule and is given by

$$[a:b:c]\mapsto c/b\exp(i\theta)+1, \text{ where }\theta=\arccos\left(\frac{c^2-a^2-b^2}{2ab}\right)\in(0,\pi],$$

Let  $I_0 \subset \mathbf{P}^S$  be the set of points of the form

$$[\cdots : a + 2c : a + c : a : a + c : a + 2c : \cdots],$$

where a is at index 0 and a, c are positive real numbers.



FIGURE 6. The mass map gives a homeomorphism from the set of standard stability conditions parametrised by  $-\mathbf{H} \cup \mathbf{H} \cup \mathbf{R}_{<0}$  and the union of two open triangles and a segment that forms a wall between them.

**Proposition 4.4.** Let 
$$\sigma \in \mathbf{P}W_0$$
. Set  $a = |Z_{\sigma}(\mathbf{k}_x)|$  and  $c = |Z_{\sigma}(\mathcal{O}_X)|$ . Then

$$m_{\sigma} \colon T^n \mathbf{k}_x \mapsto a + |n|c.$$

Furthermore, the map  $m: \mathbf{P}W_0 \to I_0$  is a homeomorphism.

*Proof.* The description of  $m_{\sigma}$  follows from Proposition 4.2. The inverse of  $m: \mathbf{P}W_0 \to I_0$  is given using the central charge  $Z(\mathbf{k}_x) = -1$  and  $Z(\mathcal{O}_X) = c/a$ .

**Proposition 4.5.** The map  $m: \mathbf{P}W \to \Delta_0 \cup I_0 \cup \Delta_{-1}$  is a homeomorphism.

See Figure 6 for a sketch.

*Proof.* The set  $\mathbf{P}W$  is the disjoint union of  $\mathbf{P}W_{-}$ ,  $\mathbf{P}W_{+}$ , and  $\mathbf{P}W_{0}$ . The sets  $\Delta_{0}$ ,  $I_{0}$ , and  $\Delta_{-1}$  are also disjoint. Furthermore, the maps  $\mathbf{P}W_{-} \to \Delta_{0}$ ,  $\mathbf{P}W_{+} \to \Delta_{-1}$ , and  $\mathbf{P}W_{0} \to I_{0}$  are homeomorphisms. So  $m \colon \mathbf{P}W \to \Delta_{0} \cup I_{0} \cup \Delta_{-1}$  is a continuous bijection.

We check that the inverse is continuous. Since  $-\mathbf{H} \cup \mathbf{H} \cup \mathbf{R}_{<0} \to \mathbf{P}W$  is a homeomorphism, we use the former as local coordinates for  $\mathbf{P}W$ . Let  $\overline{\Delta} \subset \mathbf{P}^2$  be the set of points [a:b:c] where a,b,c are positive real numbers satisfying the triangle inequalities

$$b \leq a+c, \quad a < b+c, \quad c < a+b.$$

It is easy to check that the map  $\overline{\Delta} \to \Delta_0 \cup I_0$  given by

$$[a:b:c] \mapsto [\cdots:a+c:a:b:b+c:\cdots]$$

is a homeomorphism. So we may use a, b, c as local coordinates on  $\Delta_0 \cup I_0$ . Using (4), we see that the inverse map  $\Delta_0 \cup I_0 \to -\mathbf{H} \cup \mathbf{R}_{<0}$  is given in coordinates by

$$[a:b:c]\mapsto -b/a\exp(i\theta)+1$$
, where  $\theta=\arccos\left(\frac{a^2+b^2-c^2}{2ab}\right)\in[0,\pi)$ ,

which is continuous.

Let  $\overline{\Delta}' \subset \mathbf{P}^2$  be the set of points [a:b:c] where a,b,c are positive real numbers satisfying the triangle inequalities

$$b < a + c$$
,  $a \le b + c$ ,  $c < a + b$ .

Then the map  $\overline{\Delta}' \to \Delta_{-1} \cup I_0$  given by

$$[a:b:c] \mapsto [\cdots:a+c:a:b:b+c:\cdots]$$

is a homeomorphism. So we may use a, b, c as local coordinates on  $\Delta_{-1} \cup I_0$ . Using (5), we see that the inverse map  $\Delta_{-1} \cup I_0 \to \mathbf{H} \cup \mathbf{R}_{<0}$  is given in coordinates by

$$[a:b:c] \mapsto -a/b \exp(i\theta) + 1$$
, where  $\theta = \arccos\left(\frac{a^2 + b^2 - c^2}{2ab}\right) \in (-\pi, 0]$ ,

which is continuous.

Since the inverse is continuous on  $\Delta_0 \cup I_0$  and  $\Delta_{-1} \cup I_0$ , we conclude that it is continuous on  $\Delta_0 \cup \Delta_{-1} \cup I_0$ .

Let  $D \subset \mathbf{P}^S$  be the union of the triangles  $\Delta_n$  for  $n \in \mathbf{Z}$  and the intervals  $I_n$  for  $n \in \mathbf{Z}$ .

**Theorem 4.6.** The mass map gives a homeomorphism  $m : \mathbf{P} \operatorname{Stab}(X) \to D$ .

*Proof.* By Proposition 2.2 and Proposition 2.4, we see that  $\mathbf{P}\operatorname{Stab}(X)$  is the union of  $T^n\mathbf{P}W_-$  for  $n \in \mathbf{Z}$  and  $T^n\mathbf{P}W_0$  for  $n \in \mathbf{Z}$ . From Proposition 2.3, it follows that this is a disjoint union. Likewise, D is the disjoint union of  $\Delta_n$  for  $n \in \mathbf{Z}$  and  $I_n$  for  $n \in \mathbf{Z}$ . Since  $m \colon \mathbf{P}W_- \to \Delta_0$  and  $m \colon \mathbf{P}W_0 \to I_0$  are bijections, we conclude that  $m \colon \mathbf{P}\operatorname{Stab}(X) \to D$  is a bijection. It is also continuous. It remains to prove that the inverse is continuous.

Let  $U = \Delta_0 \cup I_0 \cup \Delta_{-1}$ . Observe that

$$U = \{ [a_n] \in D \mid 2a_0 < a_1 + a_{-1} \}.$$

So  $U \subset D$  is open. From Proposition 4.5, we know that the inverse of m is continuous on U. But  $T^nU$  for  $n \in \mathbb{Z}$  form an open cover of D. So the inverse of m is continuous on D.

4.3. Identifying the image and its closure. Let  $\overline{D} \subset \mathbf{P}^S$  be the closure of D. Our next goal is to identify the homeomorphism classes of  $\overline{D}$  and D. To do so, it will be useful to work with an auxiliary space, which we now define.

Let  $\overline{\mathbf{R}} = \mathbf{R} \cup \{\pm \infty\}$  be the two point compactification of  $\mathbf{R}$ , one at either end, so that  $\overline{\mathbf{R}}$  is homeomorphic to [0,1]. Our auxiliary space will be  $\overline{\mathbf{R}} \times [0,1]$ .

Let  $\overline{\Delta} \subset \mathbf{P}^2$  be the set of [a:b:c] such that a,b,c are non-negative real numbers that satisfy

$$a \le b + c$$
,  $b \le a + c$ ,  $c \le a + b$ .

Define a map

$$p: [0,1] \times [0,1] \to \mathbf{P}^2$$

by

$$p(u, v) = [uv + (1 - v) : 1 - uv : v].$$

It is easy to check that p has image  $\overline{\Delta}$ , it is injective on  $[0,1] \times (0,1]$ , and it sends  $[0,1] \times \{0\}$  to the point [1:1:0].

For  $n \in \mathbf{Z}$ , let  $t_n : \overline{D} \to \mathbf{P}^S$  be the map defined by

$$t_n: [a:b:c] \mapsto [\cdots:a+c:a:b:b+c:\cdots],$$

where a is at index n. We define

$$\pi \colon \mathbf{R} \times [0,1] \to \mathbf{P}^S$$

as follows. Write  $x \in \mathbf{R}$  as x = n + u, where  $n \in \mathbf{Z}$  and  $u \in [0, 1)$ . Set

$$\pi(x,v) = t_n \circ p(u,v).$$

Let  $T: \mathbf{R} \times [0,1] \to \mathbf{R} \times [0,1]$  be the map T(u,v) = (u+1,v). Recall that  $T: \mathbf{P}^S \to \mathbf{P}^S$  is also the rightward shift of the homogeneous coordinates (we are intentionally using the same letter T for all the related maps). Then, by definition, we have

$$\pi \circ T = T \circ \pi$$
.

**Proposition 4.7.** The map  $\pi: \mathbf{R} \times [0,1] \to \mathbf{P}^S$  is continuous. It maps  $(n, n+1) \times (0,1)$  homeomorphically to the open triangle  $\Delta_n \subset \mathbf{P}^S$  and  $\{n\} \times (0,1)$  homeomorphically to the interval  $I_n$ .

*Proof.* We first check continuity. Continuity at (x, v) is clear for  $x \notin \mathbf{Z}$ . For  $x = n \in \mathbf{Z}$ , it suffices to check that

$$t_n \circ p(0, v) = t_{n-1} \circ p(1, v),$$

which we now do. The left hand side is

$$t_n[1-v:1:v] = [\cdots:1+v:1:1-v:1:1+v:\cdots],$$

where the (1-v) is at index n. The right hand side is

$$t_{n-1}[1:1-v:v] = [\cdots:1+v:1:1-v:1:1+v:\cdots],$$

where the (1-v) is at index n. We see that the two are equal.

By the *T*-equivariance of  $\pi$ , it suffices to check the homeomorphism assertions for n = 0. It is easy to check that  $(0,1) \times (0,1) \to \mathbf{P}^2$  is a homeomorphism onto the triangle  $\Delta \subset \mathbf{P}^2$  consisting of [a:b:c],

where a, b, c are positive real numbers satisfying the strict triangle inequalities. Since  $t_0: \Delta \to \Delta_0$  is a homeomorphism, the first statement follows. The map  $\pi$  on  $\{0\} \times (0,1)$  is given by

$$(0,v) \mapsto [\cdots : 1+v : 1 : 1-v : 1 : 1+v : \cdots],$$

where (1-v) is at index 0. Evidently, the map is a homeomorphism to the interval  $I_0$ .

We extend  $\pi \colon \mathbf{R} \times [0,1] \to \mathbf{P}^S$  to a map

$$\pi \colon \overline{\mathbf{R}} \times [0,1] \to \mathbf{P}^S$$

by setting

$$\pi(\pm\infty,v) = [\cdots : 1:1:1:\cdots].$$

**Theorem 4.8.** The map  $\pi : \overline{\mathbf{R}} \times [0,1] \to \mathbf{P}^S$  is continuous. It sends the set

$$C = \{\pm \infty\} \times [0,1] \cup \overline{\mathbf{R}} \times \{0\}$$

to the point  $[\cdots:1:1:1:\cdots]$ . Let  $\overline{\mathbf{R}} \times [0,1] \to B$  be the contraction of C to a point. Then the induced map  $\pi: B \to \mathbf{P}^S$  is a homeomorphism onto  $\overline{D} = \overline{m(\mathbf{P}\operatorname{Stab}(X))}$ .

Note that B is homeomorphic to a closed disk. See Figure 7 for a sketch.

*Proof.* Let us check continuity at  $(\infty, v)$ . Fix a positive integer n. Consider the homogeneous coordinates of  $\mathbf{P}^S$  with indices  $-n, \dots, n$ . Let us examine these homogeneous coordinates of  $\pi(t, y)$ , where t is large. Say t = N + u, where N > n. Then p(u, y) = [uy + (1 - y) : 1 - uy : y]. For  $-n \le i \le n$ , the i-th index of  $\pi(u, y)$ , which by definition is the i-th index of t t0 open t1.

$$\pi(u, y)_i = uy + (1 - y) + (N - i)y.$$

Observe that as  $N \to \infty$ , we have

$$[uy + (1-y) + (N+n)y : \cdots : uy + (1-y) + (N-n)y] \mapsto [1 : \cdots : 1],$$

uniformly in  $(u, y) \in [0, 1] \times [0, 1]$ . It follows that  $\pi$  is continuous at  $(\infty, v)$ . We check similarly that it is continuous at  $(-\infty, v)$ .

From Proposition 4.7, we know that  $\pi: \mathbf{R} \times (0,1) \to D$  is a bijection. We note that  $\pi$  maps C to the point  $[\cdots:1:1:1:1:\cdots]$ , which is not in D. Finally, for  $u \in [0,1]$ , we have

$$\pi(u,1) = [u:1-u:1] = [\cdots:2-u:1-u:u:u+1:u+2:\cdots].$$

Observe that this is the third side of the closure of  $\Delta_0 \subset \mathbf{P}^S$ , other than the (closures) of  $I_0$  and  $I_1$ . Therefore, we see that  $\pi$  is injective on  $\mathbf{R} \times \{1\}$ , and maps it outside of D. We conclude that  $\pi \colon B \to \mathbf{P}^S$  is a bijection onto its image. Since B is compact, it is a homeomorphism onto its image. It maps the interior of  $B = \mathbf{R} \times (0,1)$  to D, and hence the image must be the closure  $\overline{D}$ .

4.4. Points of the boundary. Observe that  $\overline{D}$  contains the point  $\bullet = [\cdots : 1 : 1 : 1 : 1 : \cdots]$ . This is the common vertex (drawn in red in Figure 7) of all the triangles that tesselate  $\overline{D}$ . It is the unique T-invariant point of  $\overline{D}$ . This point is precisely the projectivised hom function  $\text{hom}(\mathcal{O}_X, -)$ , whose value on  $T^n \mathbf{k}_x$  for any  $n \in \mathbf{Z}$  is

$$\dim \operatorname{Hom}^*(\mathcal{O}_X, T^n \mathbf{k}_x) = 1.$$

The fact that • is in the boundary follows from the following more general fact.

**Theorem 4.9** ([2, Corollary 4.13]). Let a be a spherical object of a triangulated category C, and assume that it is a stable object of a stability condition  $\sigma$ . Let S be a set of objects of C such that no object in S has an endomorphism of negative degree. For simplicity, also assume that no shift of a is in S. Let T be the spherical twist in a. Then, in  $\mathbf{P}^S$ , we have the equality

$$\lim_{n \to \pm \infty} T^n[m_{\sigma}] = [\hom(a, -)].$$

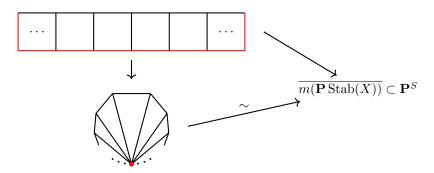


FIGURE 7. The map  $\pi \colon \overline{\mathbf{R}} \times [0,1] \to \mathbf{P}^S$  induces a homeomorphism from a closed disk B onto the closure of the image of  $\mathrm{Stab}(X)$ . The disk B is obtained from the square  $\overline{\mathbf{R}} \times [0,1]$  by collapsing three sides (red). The  $\mathbf{Z}$ -indexed decomposition corresponds to the translates of a fundamental domain of  $\mathbf{P} \, \mathrm{Stab}(X)$  by the spherical twist T.

The point  $\bullet$  also has an interpretation as the mass function of a lax stability condition in the sense of Broomhead, Pauksztello, Ploog, and Woolf [9]. We quickly recall the main features of the definition. A lax stability condition is a slicing P and a compatible central charge Z. The central charge is allowed to vanish on the classes of non-zero semi-stable objects (such objects are called "massless"). The pair (P,Z) must satisfy the following two finiteness conditions:

- (1) The slicing P is locally finite.
- (2) The central charge satisfies the support property. That is, for a choice of a norm  $\|-\|$  on  $\mathcal{N}(X)$ , there exists a positive constant c such that for every massive stable object s, we have  $|Z(s)|/\|s\| > c$ .

We let P to be the slicing defined by  $P(1) = \mathcal{A}$  and  $P(\phi) = 0$  for  $\phi \in (0,1)$ . The simple objects of P(1) are the skyscraper sheaves  $\mathbf{k}_x$  and the objects E[1], where E is a vector bundle on X with no non-trivial sub-bundles (see [11, Remark 4.3 (iii)]). We let  $Z(\mathcal{O}_X) = 0$  and  $Z(\mathbf{k}_x) = -1$ .

**Proposition 4.10.** The pair (P, Z) as above defines a lax stability condition  $\sigma$  that is a limit of standard stability conditions. Furthermore,  $m(\sigma) = [\cdots : 1 : 1 : 1 : \cdots]$ .

*Proof.* It is easy to check that the abelian category  $\mathcal{A}$  is of finite length (Noetherian and Artinian). So the slicing is locally finite. Let E be a vector bundle with no non-trivial sub-bundles, and let [E] = (r, r - c), where  $r = \operatorname{rk} E$  and  $c = c_2(E)$ . Then Z(E) = c. Assume that E is not isomorphic to  $\mathcal{O}_X$ . Then  $\operatorname{Hom}(\mathcal{O}_X, E) = \operatorname{Hom}(E, \mathcal{O}_X) = 0$ . So

$$0 \ge \chi(\mathcal{O}_X, E) = (r, r - c) \cdot (1, 1) = 2r - c,$$

and hence  $2r \leq c$ . As a result, with the standard Euclidean norm on  $\mathcal{N}(X)$ , we see that

$$Z(E)/||E|| \ge |c|/|r| \ge 2.$$

So the support property holds.

Finally, note that this lax stability condition is the limit of the stability conditions in  $\mathbf{P}W_0$  as  $Z(\mathcal{O}_X)/Z(\mathbf{k}_x)$  approaches 0. Since  $m_{\sigma}(T^n\mathbf{k}_x)=1$ , the last equality follows.

Consider the points of  $\overline{D}$  that are the vertices of the tiling triangles other than the vertex •. They form a single T-orbit, so it suffices to consider one of them, say  $v_0 = [\cdots : 2 : 1 : 0 : 1 : 2 : \cdots]$ , with the 0 at index 0. Note that this is the common vertex, other than the •, of the triangles  $\mathbf{P}W_+ \cong \Delta_{-1}$  and  $\mathbf{P}W_- = \Delta_0$ . This is the mass function of a different lax stability condition. Let P be the same slicing as before, and set  $Z(\mathcal{O}_X) = 1$  and  $Z(\mathbf{k}_x) = 0$ .

**Proposition 4.11.** The pair (P, Z) as above defines a lax stability condition  $\tau$  that is a limit of standard stability conditions. Furthermore,  $m(\tau) = [\cdots : 2 : 1 : 0 : 1 : 2 : \cdots]$ .

*Proof.* Note that Z maps (r, r - c) to r. So the support property is clear.

The resulting lax stability conditition is the limit of the stability conditions in  $\mathbf{P}W_0$  as  $Z(\mathcal{O}_X)/Z(\mathbf{k}_x)$  approaches  $-\infty$ . Since  $m_{\tau}(T^n\mathbf{k}_x) = |n|$ , the last equality follows.

Using the T-action, we see that all the other vertices  $v_i = T^i v_0$  are mass functions of lax stability conditions.

Finally, consider a point on the open line segment joining  $v_0$  and  $v_1$ . This point is in the closure of  $\mathbf{P}W_- = \Delta_0$ . Nevertheless, we claim that it is *not* the mass function of a lax stability condition arising as a limit of stability conditions  $W_-$ .

To see this, it is helpful to consider a handful of other semi-stable objects. Let  $n \geq m$  be positive integers. Let  $x_1, \ldots, x_n \in X$  be distinct points, and set  $S = \{x_1, \ldots, x_n\}$ . We say that a morphism  $\pi \colon \mathcal{O}_X^{\oplus m} \to \mathcal{O}_S$  is generic if for every subset  $T \subset S$ , the induced map on global sections

$$H^0(\mathcal{O}_X^{\oplus m}) \to H^0(\mathcal{O}_T)$$

has maximal rank, namely  $\min(m, |T|)$ .

Let  $\sigma = \sigma_w$  be a standard stability condition, for some  $w \in -\mathbf{H}$ . Let  $I_{m,n}$  be the kernel of a generic morphism from  $\mathcal{O}_X^{\oplus m}$  to the structure sheaf of *n*-points. Then it is easy to check that  $I_{m,n}$  is  $\sigma$ -stable. Fix a point  $p \in \overline{D}$  of the form

$$p = [\cdots : 2 + t : 1 : t : 1 + 2t : \cdots].$$

for some t > 0. Then p is on the line segment joining  $v_0$  and  $v_1$ . If we take a sequence of standard stability conditions in  $W_{-}$  whose mass function approaches p, their slicings do not converge. Therefore, there is no limiting lax stability condition with the mass function p. We now make this precise.

Suppose  $\sigma$  is a lax stability condition that is a limit of a sequence of standard stability conditions  $\sigma_w$  for  $w \in -\mathbf{H}$  with  $m(\sigma) = p$ . Then, possibly after a rotation and scaling, the central charge of  $\sigma$  must send  $\mathbf{k}_x$  to -1 and  $\mathcal{O}_X$  to -1 - t. But then

$$Z(I_{m,n}) = mZ(\mathcal{O}_X) - nZ(\mathbf{k}_x) = n - m(1+t).$$

It follows that for for every (n, m) with n/m > (1 + t), the sheaf  $I_{m,n}$  is  $\sigma$ -semi-stable of phase 0 and for n/m < (1 + t), it is  $\sigma$ -semi-stable of phase 1. But this is absurd. Indeed, for a standard stability condition  $\sigma_w$ , we have

$$\inf_{n/m>1+t} \phi_{\sigma}(I_{n,m}) = \sup_{n/m<1+t} \phi_{\sigma}(I_{n,m}),$$

so the same equality must hold in the limit.

## 5. The q-mass embedding

Fix a positive real number q. Given a stability condition  $\sigma$  and an object x, recall that the q-mass of x with respect to  $\sigma$  is defined by

$$m_{q,\sigma}(x) = \sum |Z_{\sigma}(x_i)| q^{\phi(x_i)},$$

where the sum is taken over the  $\sigma$ -HN factors  $x_i$  of x, and  $\phi(x_i)$  is the phase of  $x_i$ . We have the map

$$m_q \colon \mathbf{P} \operatorname{Stab}(X) \to \mathbf{P}^S$$

given by  $\sigma \mapsto m_{q,\sigma}$ . We describe the image of  $m_q$  and its closure for  $q \neq 1$ . Most of the arguments are direct analogues of the arguments for q = 1, so we will be brief.

Let  $\sigma \in \mathbf{P}W_{-}$ . Set  $a = m_{q,\sigma}(\mathbf{k}_x)$  and  $b = m_{q,\sigma}(T\mathbf{k}_x)$  and  $c = m_{q,\sigma}(\mathcal{O}_X)$ . Owing to the triangle

$$\mathcal{O}_X \to \mathbf{k}_x \to T\mathbf{k}_x \xrightarrow{+1}$$
,

the positive real numbers a, b, c satisfy the q-triangle inequalities

(6) 
$$b < a + qc, \quad a < b + c, \quad c < a + q^{-1}b.$$

(See [12, Proposition 3.3] for a proof of the q-triangle inequalities). From the  $\sigma$ -HN filtration of  $T^n \mathbf{k}_x$  from Proposition 4.1, we get

$$m_{q,\sigma} \colon T^n \mathbf{k}_x \mapsto \begin{cases} a + cq^{-n} + \dots + cq^2 & \text{for } n \le -2, \\ a & \text{for } n = 0, \\ b & \text{for } n = 1, \\ b + cq^0 + \dots + cq^{-n+2} & \text{for } n \ge 2. \end{cases}$$

So, in homogeneous coordinates, the q-mass map is

$$m_q: \sigma \mapsto [\cdots: a + cq + cq^2: a + cq: a: b: b + c: b + c + cq^{-1}: \cdots]$$

Let  $\Delta \subset \mathbf{P}^2$  be the set consisting of [a:b:c] where a,b,c are positive real numbers satisfying (6). Then the map  $\mathbf{P}W^- \to \Delta$  that takes  $\sigma$  to  $[m_{q,\sigma}(\mathbf{k}_x):m_{q,\sigma}(T\mathbf{k}_x):m_{q,\sigma}(\mathcal{O}_X)]$  is a homeomorphism. The proof is analogous to the proof of Proposition 4.3 (3), but uses the q-analogue of the cosine rule [1, Lemma 5.2]. Let  $t_n: \Delta \to \mathbf{P}^S$  be the map

$$[a:b:c] \mapsto [\cdots:a+cq+cq^2:a+cq:a:b:b+c:b+c+cq^{-1}:\cdots],$$

where the a is at index n. Set  $\Delta_n = t_n(\Delta)$ . Then  $t_n : \Delta \to \Delta_n$  is a homeomorphism. So, the q-mass map  $m_q : T^n \mathbf{P} W_- \to \Delta_n$  is a homeomorphism.

Now consider  $\sigma \in \mathbf{P}W_0$ . With a, b, c as before, we have b = a + qc. From the  $\sigma$ -HN filtration of  $T^n\mathbf{k}_x$  from Proposition 4.1, we get

$$m_{q,\sigma} : T^n \mathbf{k}_x \mapsto \begin{cases} a + cq^{-n} + \dots + cq^2 & \text{for } n \leq -2, \\ a & \text{for } n = 0, \\ a + cq + \dots + cq^{-n+2} & \text{for } n \geq 1. \end{cases}$$

So, in homogeneous coordinates, the q-mass map is

$$\sigma \mapsto [\cdots : a + cq + cq^2 : a + cq : a : a + cq : a + cq + c : \cdots].$$

Set  $I_0 = m_q(\mathbf{P}W_0)$  and  $I_n = T^nI_0$ . Then  $m_q: T^n\mathbf{P}W_0 \to I_n$  is a homeomorphism. Let  $D_q \in \mathbf{P}^S$  be the union of  $\Delta_n$  and  $I_n$  for  $n \in \mathbf{Z}$ .

**Theorem 5.1.** The q-mass map

$$m_a \colon \mathbf{P} \operatorname{Stab}(X) \to D_a$$

is a homeomorphism.

The proof is analogous to the proof of Theorem 4.6.

We now identify the homeomorphism type of  $D_q$  and its closure  $\overline{D}_q$ . The basic technique is as before—by parametrising  $\overline{D}_q$  by a compactified infinite strip of squares  $\overline{\mathbf{R}} \times [0,1]$ . But the resulting picture is slightly different. Without loss of generality, assume q > 1.

Our goal is to define a T-equivariant continuous map

$$\pi_q \colon \overline{\mathbf{R}} \times [0,1] \to \mathbf{P}^S$$

whose image is  $\overline{D}_q$ . As before, we begin by defining a map

$$p_q \colon [0,1] \times [0,1] \to \mathbf{P}^2$$

by

$$p_q(u, v) = [quv + (1 - v) : 1 - uv : q^{-1}v].$$

We use it to define

$$\pi_q\colon \mathbf{R}\times [0,1]\to \mathbf{P}^S$$

by setting

$$\pi_q(n+u,v) = t_n \circ p_q(u,v)$$

for 
$$n \in \mathbf{Z}$$
 and  $u \in [0,1)$ . Set  $\delta = 1 + q^{-1} + q^{-2} + \cdots$ . Extend  $\pi_q$  to  $\pi_q \colon \mathbf{R} \times [0,1] \to \mathbf{P}^S$  by setting  $\pi_q(-\infty, v) = [\cdots : 1 : 1 : 1 : \cdots],$ 

and

$$\pi_q(+\infty, v) = [\cdots : (1-v) + vq^1\delta : (1-v) + v\delta : (1-v) + vq^{-1}\delta : \cdots].$$

**Theorem 5.2.** The map  $\pi_q \colon \overline{\mathbf{R}} \times [0,1] \to \mathbf{P}^S$  is continuous. It sends the set

$$C = \{-\infty\} \times [0,1] \cup \overline{\mathbf{R}} \times \{0\}$$

to the point  $[\cdots:1:1:1:\cdots]$ . Let  $\overline{\mathbf{R}}\times[0,1]\to B$  be the contraction of C to a point. Then the induced map  $\pi_q\colon B\to \mathbf{P}^S$  is a homeomorphism onto  $\overline{D}_q=\overline{m_q(\mathbf{P}\operatorname{Stab}(X))}$ .

The proof is analogous to that of Theorem 4.8. See Figure 8 for a sketch.

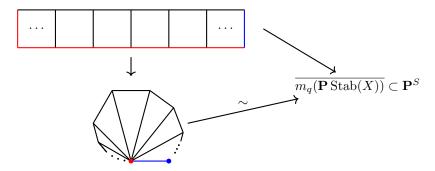


FIGURE 8. The map  $\pi_q \colon \overline{\mathbf{R}} \times [0,1] \to \mathbf{P}^S$  induces a homeomorphism from a closed disk B onto the closure of the image of  $\operatorname{Stab}(X)$  under the q-mass map. The disk B is obtained from the square  $\overline{\mathbf{R}} \times [0,1]$  by collapsing two sides (red).

Instead of a unique T-fixed point of  $\overline{D}_q$ , as was the case for q=1, for  $q\neq 1$  we have two such points. These are the blue and red end-points of the blue interval in Figure 8. The blue end-point is the point  $\bullet = [\cdots : q:1:q^{-1}:\cdots:]$ . It is the q-hom function  $\hom_q(\mathcal{O}_X,-)$ , whose value on  $T^n\mathbf{k}_x$  is

$$\dim_q \operatorname{Hom}^*(\mathcal{O}_X, T^n \mathbf{k}_x) = q^{-n}.$$

(By definition,  $\dim_q$  of the graded vector space  $\mathbf{C}[m]$  is  $q^m$ ). Note that  $\bullet$  is not in the closure of the standard stability conditions  $\mathbf{P}W$ , nor is it in the closure of  $T^n\mathbf{P}W$  for any fixed n. To reach  $\bullet$ , we must traverse an infinite sequence of hearts. It is easy to see that it is not the q-mass function of a lax stability condition.

The red end-point is the point  $\bullet = [\cdots : 1 : 1 : 1 : \cdots]$ . It is the q-mass function of the lax stability condition  $\sigma$  from Proposition 4.10.

The other vertices of the triangles form one orbit, and are q-mass functions of lax stability conditions in which  $\mathbf{k}_x$  is massless. For example, the vertex  $v_0 = [\cdots : 1+q:1:0:1:1+q^{-1}:\cdots]$  is the q-mass function of the lax stability condition  $q^{-1} \cdot \tau$  where  $\tau$  is as in Proposition 4.11.

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