

# Algebraic geometry (Notes)

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## 1 Regular functions and maps 1

Throughout this section,  $k$  is an algebraically closed field.

### 1.1 Regular functions

WEEK3

Let  $S \subset \mathbb{A}^n$  be a set and let  $f: S \rightarrow k$  be a function. Let  $a$  be a point of  $S$ .

**1.1.1 Definition (Regular function)** We say that  $f$  is *regular* (or *algebraic*) at  $a$  if there exists a Zariski open set  $U \subset \mathbb{A}^n$  and polynomials  $p, q \in k[x_1, \dots, x_n]$  with  $q(a) \neq 0$  such that

$$f \equiv p/q \text{ on } S \cap U.$$

We say that  $f$  is *regular* if it is regular at all points of  $S$ .

In other words,  $f$  is regular at a point  $a$  if locally around  $a$  (in the Zariski topology),  $f$  can be expressed as a ratio of two polynomials. Although the definition of a regular function makes sense for  $S \subset \mathbb{A}^n$ , we use it only in the context of quasi-affine varieties.

#### 1.1.2 Examples

1. A constant function is regular.
2. Every polynomial function is regular.
3. Sums and products of regular functions are regular. So, the set of regular functions forms a ring. This ring contains a copy of  $k$ , namely the constant functions.

**1.1.3 Definition (Ring of regular functions)** We denote the ring of regular functions on  $S$  by  $k[S]$ . This ring is a  $k$ -algebra.

**1.1.4 Proposition (Local nature of regularity)** Let  $f$  be a function on  $S$ , and let  $\{U_i\}$  be an open cover of  $S$ . If the restriction of  $f$  to each  $U_i$  is regular, then  $f$  is regular.

Proof. — (1)

## 1.2 Regular functions on an affine variety

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It turns out that regular functions on closed subsets of  $\mathbb{A}^n$  are just the polynomial functions! So, not only is there a global algebraic expression, we don't even need denominators.

**1.2.1 Proposition** Let  $X \subset \mathbb{A}^n$  be a Zariski closed subset. Let  $f$  be a regular function on  $X$ . Then there exists a polynomial  $P \in k[x_1, \dots, x_n]$  such that  $P(x) = f(x)$  for all  $x \in X$ .

*Proof.* By definition, we know that for every  $x \in X$ , there is a Zariski open set  $U \subset X$  and polynomials  $p, q$  such that  $f = p/q$  on  $U$ . The set  $U$  and the polynomials  $p, q$  may depend on  $x$ , so let us denote them by  $U_x, p_x$ , and  $q_x$ . We need to combine all of these  $p$ 's and  $q$ 's and construct a single polynomial  $P$  that agrees with  $f$  for all  $x$ .

This is done by a “partition of unity” argument. First, let us do some preparation. We know that  $p_x/q_x = f$  on  $U_x$ , but we know nothing about  $p_x$  and  $q_x$  on the complement of  $U_x$ . Our first step is a small trick that lets us assume that both  $p_x$  and  $q_x$  are identically zero on the complement of  $U_x$ .

Since  $U_x \subset X$  is open, its complement is closed. By the definition of the Zariski topology, this means that

$$X \setminus U_x = X \cap V(A),$$

for some  $A \subset k[x_1, \dots, x_n]$ . Since  $x \in U_x$ , at least one of the polynomials in  $A$  must be non-zero at  $x$ . Let  $g$  be such a polynomial, and set  $U'_x = X \cap \{g \neq 0\}$ . Then  $U'_x \subset U_x$  is a possibly smaller open set containing  $x$ . Set  $p'_x = p_x \cdot g$  and  $q'_x = q_x \cdot g$ . Then we have  $f = p'_x/q'_x$  on  $U'_x$ , and we also have  $p'_x \equiv q'_x \equiv 0$  on  $X \setminus U'_x$ . So, we may assume from the beginning that both  $p_x$  and  $q_x$  are identically zero on the complement of  $U_x$ .

Now comes the crux of the argument. Suppose  $X = V(I)$ . Consider the set of “denominators”  $\{q_x \mid x \in X\}$ . Note that the system of equations

$$g = 0 \text{ for all } g \in I \text{ and } q_x = 0 \text{ for all } x \in X$$

has no solution!

Why is this true? — (2)

By the Nullstellensatz, this means that the ideal  $I + \langle q_x \mid q \in X \rangle$  is the unit ideal. That is, we can write

$$1 = g + r_1 q_{x_1} + \dots + r_m q_{x_m}$$

for some polynomials  $r_1, \dots, r_m$ . Take  $P = r_1 p_{x_1} + \dots + r_m p_{x_m}$ . Then  $f = P$  on all of  $X$ .

Check the last equality. — (3)

□

— Let  $X \subset \mathbb{A}^n$  be any subset. We have a ring homomorphism

$$\pi: k[x_1, \dots, x_n] \rightarrow k[X],$$

where a polynomial  $f$  is sent to the regular function it defines on  $X$ .

**1.2.2 Proposition (Ring of regular functions of an affine)** Let  $X \subset \mathbb{A}^n$  be a closed subset. Then the ring homomorphism  $\pi: k[x_1, \dots, x_n] \rightarrow k[X]$  induces an isomorphism

$$k[x_1, \dots, x_n]/I(X) \xrightarrow{\sim} k[X].$$

*Proof.* The map  $\pi$  is surjective by Proposition 1.2.1 and its kernel is  $I(X)$  by definition. The result follows by the isomorphism theorems. □

### 1.3 Regular maps

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Consider  $X \subset \mathbb{A}^n$  and  $Y \subset \mathbb{A}^m$  and a function  $f: X \rightarrow Y$ . Write  $f$  in coordinates as

$$f = (f_1, \dots, f_m).$$

**1.3.1 Definition (Regular map)** We say that  $f$  is *regular at a point*  $a \in X$  if all its coordinate functions  $f_1, \dots, f_m$  are regular at  $a$ . If  $f$  is regular at all points of  $X$ , then we say that it is *regular*.

**1.3.2 Example (Maps to  $\mathbb{A}^1$ )** A regular map to  $\mathbb{A}^1$  is the same as a regular function.

**1.3.3 Example (An isomorphism)** Let  $U = \mathbb{A}^1 \setminus \{0\}$  and  $V = V(xy - 1) \subset \mathbb{A}^2$ . We have a regular function  $\phi: V \rightarrow U$  given by  $\phi(x, y) = x$ . We have a regular function  $\psi: U \rightarrow V$  given by  $\psi(t) = (t, 1/t)$ . These functions are mutual inverses, and hence we have a (bi-regular) isomorphism  $U \cong V$ .

## 1.4 Properties of regular maps

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### 1.4.1 Proposition (Elementary properties of regular maps)

1. The identity map is regular.
2. The composition of two regular maps is regular.
3. Regular maps are continuous (in the Zariski topology).

*Proof.* The identity map is given by  $(x_1, \dots, x_n) \mapsto (x_1, \dots, x_n)$ ; each coordinate is a polynomial, and hence regular. The statement for composition is true because the composition of fractions of polynomials is also a fraction of polynomials. The third statement is left as homework.  $\square$

**1.4.2 Proposition (Regular maps preserve regular functions)** Let  $\phi: X \rightarrow Y$  be a regular map. If  $f$  is a regular function on  $Y$ , then  $f \circ \phi$  is a regular function on  $X$ .

*Proof.* View a regular function as a regular map to  $\mathbb{A}^1$ . Then this becomes a special case of composition of regular maps.  $\square$

— As a result, we get a  $k$ -algebra homomorphism  $k[Y] \rightarrow k[X]$ , often denoted by  $\phi^*$ :

$$\phi^*(f) = f \circ \phi.$$

We thus get a (contravariant) functor from the category of (quasi-affine) varieties to  $k$ -algebras. On objects, it maps  $X$  to  $k[X]$ . On morphisms, it maps  $\phi: X \rightarrow Y$  to  $\phi^*: Y \rightarrow X$ . It is easy to check that this recipe respects composition. That is, if we have maps  $\phi: X \rightarrow Y$  and  $\psi: Y \rightarrow Z$ , and if we let  $\psi \circ \phi: X \rightarrow Z$  be the composite, then

$$(\psi \circ \phi)^* = \phi^* \circ \psi^*.$$

**1.4.3 Corollary (Isomorphic varieties have isomorphic rings of functions)** If  $\phi: X \rightarrow Y$  is an isomorphism of varieties, then  $\phi^*: k[Y] \rightarrow k[X]$  is an isomorphism of  $k$ -algebras.

*Proof.* Let  $\psi: Y \rightarrow X$  be the inverse of  $\phi$ . Then  $\psi^*: k[X] \rightarrow k[Y]$  is the inverse of  $\phi^*$ .  $\square$

### 1.4.4 Proposition (For affines, map between rings induces map between spaces)

Let  $X \subset \mathbb{A}^n$  and  $Y \subset \mathbb{A}^m$  be Zariski closed, and let  $f: k[Y] \rightarrow k[X]$  be a homomorphism of  $k$ -algebras. Then there is a unique (regular) map  $\phi: X \rightarrow Y$  such that  $f = \phi^*$ .

*Proof.* We know that  $k[X] = k[x_1, \dots, x_n]/I(X)$  and  $k[Y] = k[y_1, \dots, y_m]/I(Y)$ . Let  $\phi_i = f(y_i) \in k[X]$ . Consider  $\phi: X \rightarrow \mathbb{A}^m$  given by  $\phi = (\phi_1, \dots, \phi_m)$ . Then  $\phi$  sends  $X$  to  $Y$  and is the unique map satisfying the required properties.  $\square$

Prove the last statement. — (4)

**1.4.5 Example (Bijection but not an isomorphism)** Let  $X = \mathbb{A}_k^1$  and  $Y = V(y^2 - x^3) \subset \mathbb{A}_k^2$ . We have a regular map  $f: X \rightarrow Y$  given by  $f(t) = (t^2, t^3)$ . It is easy to check that  $f$  is a bijection, but not an isomorphism.

Why is this not an isomorphism? — (5)

**1.4.6 Example (Distinguished affine opens)** Let  $U_f \subset \mathbb{A}^n$  be the complement of  $V(f)$ . Then  $U_f$  is isomorphic to an affine variety, namely the variety  $V(yf - 1) \subset \mathbb{A}^{n+1}$ , where  $y$  denotes the  $(n + 1)$ -th coordinate.

Prove this. — (6)

**1.4.7 Caution (Not all opens are affine)** The previous proposition only applies to the complement of  $V(f)$  for a single  $f$ ! The complement of  $V(I)$ , in general, is not isomorphic to an affine variety. For example, the complement of the origin in  $\mathbb{A}^2$  is not isomorphic to an affine variety.