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## Functional Analysis

## Homework 1

February 12, 2017

**Question 1.** If  $X$  is a finite dimensional vector space over  $\mathbb{R}$  or  $\mathbb{C}$ , then any two norms on  $X$  are equivalent. Also prove that any finite dimensional normed linear space is a Banach space.

*Proof.* Consider any 2 norms over  $X$ . Let them be  $n_1$  and  $n_2$ . Since  $X$  is a finite dimensional linear space, we can seek a finite basis  $\{x_i\}_{i=1}^n$ . Now, since  $n_1$  and  $n_2$  are norms, we have that  $\{n_1(x_i)\}_{i=1}^n$  and  $\{n_2(x_i)\}_{i=1}^n$  are finite and positive.  
 $\implies$  we can find  $c_1 > 0$  and  $c_2 > 0$  such that

$$\text{Max}(\{n_2(x_i)\}_{i=1}^n) < c_1 * n_1(x_i)$$

$$\text{Max}(\{n_1(x_i)\}_{i=1}^n) < c_2 * n_2(x_i)$$

Consider any  $x$  in  $X$ ; then  $n_1(x) = n_1(\sum_{i=1}^n p_i * x_i)$  (by the definition of basis)  
Using the definition of norm we then have that:

$$\begin{aligned} n_1(x) &= \sum_{i=1}^n |p_i| * n_1(x_i) \leq n * |p| * \text{Max}(n_1(x_i)) \\ &\leq n * |p| * c_2 * \sum_{i=1}^n |p_i| * n_2(x_i) \text{ (using the above inequalities)} \\ &\implies n_1(x) \leq n * |p| * c_2 * n_2(x) \leq M_1 * n_2(x) \end{aligned}$$

Similarly we can prove that

$$\implies n_2(x) \leq n * |p| * c_1 * n_1(x) \leq M_2 * n_1(x)$$

Hence, we have established that the norms are equivalent and since they have been chosen arbitrarily we have that any two norms are equivalent.

**X is a Banach space**

Consider any Cauchy sequence  $\{y_n\} \in X$ . Each  $y_m$  can be represented as:

$$y_m = \left( \sum_{i=1}^n p_i^m * x_i \right)$$

It follows that  $p_i^m$  is a cauchy (for a given i) if  $y_m$  is, for each i. And since  $p_i^m$  is in  $\mathbb{R}$  or  $\mathbb{C}$ , we know that this sequence (cauchy) converges to some  $p_i$  in  $\mathbb{R}$  or  $\mathbb{C}$  accordingly.

It can then be verified by using the definition of norm, that  $y_m$  converges to  $\sum_{i=1}^n p_i * x_i$   $\square$

**Question 2.** Let  $X = C^1[0, 1]$ , then  $X$  is vector space over  $\mathbb{R}$  or  $\mathbb{C}$  w.r.t. the usual addition and scalar multiplication. Check whether  $X$  is a normed linear space in the following cases. Also check whether they are Banach spaces.

**a.**  $\|f\| = \sup_{x \in [0,1]} |f'|$

Consider the constant function  $f = c$  for some  $c \neq 0$  in  $[0,1]$ ,  $\implies f'(x) = 0$  in  $[0,1]$ .

$\implies \|f\| = 0$  ; but  $f \neq 0$  in  $C^1[0,1]$

Hence, this does not define a norm (  $\|f\| = 0$  does not necessarily imply  $f = 0$  )  $\square$

**b.**  $\|f\| = \left( \int_0^1 |f(x)|^p \right)^{\frac{1}{p}}$

$\|f\|$  defines a norm for  $p \geq 1$ , as a consequence of Minkowski's inequality. For  $p < 1$ , we have the counter example  $f, g \in C^1[0,1]$  as follows:

$$f(x) = \begin{cases} 0 & 0 \leq x \leq \frac{1}{2} \\ (1/2 - x)^2 & \frac{1}{2} \leq x \leq 1 \end{cases}$$

$$g(x) = \begin{cases} (1/2 - x)^2 & 0 \leq x \leq \frac{1}{2} \\ 0 & \frac{1}{2} \leq x \leq 1 \end{cases}$$

We obtain that, since  $f, g$  are symmetric wrt.  $x = 1/2$ ;

$$\|f + g\| = 2^{1/p} \|f\| = 2^{1/p} \|g\|$$

$$\implies \|f + g\| \geq \|f\| + \|g\| ; \text{ when } p < 1$$

Hence, the triangular inequality is violated whenever

To check if it's a Banach space for  $p \geq 1$ .

We will be using the result that

$$\left( \int_x^y |f(x)|^p \right)^{\frac{1}{p}} \leq \left( \int_0^1 |f(x)|^p \right)^{\frac{1}{p}}$$

for  $0 \leq x \leq y \leq 1$  and

$$\left( \int_0^1 |f(x)|^p \right)^{\frac{1}{p}} \leq \left( \int_0^1 |g(x)|^p \right)^{\frac{1}{p}}$$

whenever  $f \leq g \in [0,1]$  (These are trivially true when given  $f$  and  $g$  are continuous functions)

Note that  $\|f\| \leq \sup_{x \in [0,1]} |f| \implies$  A cauchy sequence in the sup norm is always a cauchy sequence in the given norm. Since  $C[0,1]$  is complete in the sup norm, consider any cauchy sequence (for ex. polynomials) converging to a continuous function that is not differentiable everywhere. Define one such function,  $f$  as follows:

$$f(x) = \begin{cases} x & 0 \leq x \leq \frac{1}{2} \\ 1-x & \frac{1}{2} \leq x \leq 1 \end{cases}$$

Clearly,  $f$  is continuous but not differentiable (at  $x = \frac{1}{2}$ ). We can construct a sequence of polynomials  $p_n$  (the advantage of the choice being that they are continuously differentiable) uniformly converging to  $f$  in  $[0,1]$ . Note that these  $p_n$  will be cauchy in the  $l_p$  sense above as well. But  $f$  is not in  $C^1[0,1]$ . The proof is complete by realizing the below claim.

**Claim:**  $p_n$  cannot converge to any continuous function, other than  $f$  in the  $l_p$  sense.

Assume  $p \neq f$  be the other desired continuous function. Then  $p(x) \neq f(x)$  for at least one  $x \in (0,1)$ . Let  $M = |f(x) - p(x)|$ . Since  $f$  and  $p$  are continuous functions we have that  $\exists$  a  $\delta$  neighborhood around  $x$  such that  $|f(y) - p(y)| > M/2$  whenever  $|y - a| < \delta$ . We then have the following:

$$\left( \int_{x-\delta}^{x+\delta} |f(x) - p(x)|^p \right)^{\frac{1}{p}} \leq \left( \int_0^1 |f(x) - p(x)|^p \right)^{\frac{1}{p}}$$

But,

$$\left( \int_{x-\delta}^{x+\delta} |f(x) - p(x)|^p \right)^{\frac{1}{p}} \geq M * \delta/2$$

Using,

$$\left( \int_{x-\delta}^{x+\delta} |f(x) - p(x)|^p \right)^{\frac{1}{p}} \leq \left( \int_{x-\delta}^{x+\delta} |f(x) - p_n(x)|^p \right)^{\frac{1}{p}} + \left( \int_{x-\delta}^{x+\delta} |p(x) - p_n(x)|^p \right)^{\frac{1}{p}}$$

and since  $p_n$  converges to  $f$  in  $l_p$  sense, we have,

$$\left( \int_{x-\delta}^{x+\delta} |p(x) - p_n(x)|^p \right)^{\frac{1}{p}} \geq \left( \int_{x-\delta}^{x+\delta} |f(x) - p(x)|^p \right)^{\frac{1}{p}} \geq M * \delta/2$$

$\therefore p_n$  does not converge to  $p$  in the  $l_p$  sense. Since  $f$  is not in  $C^1[0,1]$ , the cauchy sequence  $p_n$  in  $C^1[0,1]$  does not converge with the  $l_p$  norm. Hence, it is not a Banach space.  $\square$

c.  $\|f\| = \int_0^{\frac{1}{2}} |f(x)| + \sup_{x \in [0,1]} |f'(x)|$ .  
 $\|f\|$  defines a norm.

i)  $\|c.f\| = |c|\|f\|$

We will use the following properties of  $C^1[0, 1]$

$$\left( \int_a^b |cf(x)| \right) = |c| \left( \int_a^b |f(x)| \right)$$

and

$$(cf(x))' = c(f'(x))$$

ii)  $\|f + g\| \leq \|f\| + \|g\|$

Follows because,

$$\left( \int_a^b |f(x) + g(x)| \right) \leq \left( \int_a^b |f(x)| \right) + \left( \int_a^b |g(x)| \right)$$

and

$$|(f'(x) + g'(x))| \leq |f'(x)| + |g'(x)|$$

iii)  $\|f\| = 0 \implies f = 0$

Let  $f \neq 0$ , then  $\exists x$  such that  $|f(x)| \geq \epsilon$  for some  $\epsilon > 0$ . Further, we can find a  $\delta > 0$  neighborhood around  $x$  such that  $|f(y)| > \epsilon/2$  whenever  $|y - x| < \delta$

If  $x < 1/2$ , then we have that

$$\left( \int_0^{1/2} |f(x)| \right) \geq \epsilon * \delta/2 > 0$$

else, we have that  $f(y) = 0$  for any  $y \leq \frac{1}{2}$  and  $f(x) > 0$ . Then, by mean value theorem we have that  $\exists c \in [y, x]$  such that  $|f'(c)| = \left| \frac{f(x) - f(y)}{x - y} \right| > 0$ .

$\implies \sup_{x \in [0,1]} |f'(x)| > 0$

$\therefore f \neq 0, \implies \|f\| \neq 0$

Note that,  $\sup_{x \in [0,1]} |f'(x)| < \|f\| \implies$  if  $f_n$  is cauchy in the given norm, then it is cauchy in the sup-norm.

Now, consider any cauchy sequence  $f_n$  w.r.t the given norm, then  $f'_n$  converges uniformly. And since the sup-norm is complete w.r.t continuous functions, we can find a  $g$  such that  $f'_n$  converges uniformly to  $g$  in the sup-norm.

Using the fundamental theorem of calculus, we know that  $f_n$  can be written as:

$$f_n(x) = \left( \int_0^x f'_n(x) \right) + c_n$$

$$|f_n(x) - f_m(x)| \leq \left( \int_0^x |f'_n(x) - f'_m(x)| \right) + |c_n - c_m|$$

It follows that  $f_n$  converges pointwise iff  $c_n$  is cauchy. Since  $c_n$  is cauchy in  $\mathbb{R}$ , it will converge to some  $c \in \mathbb{R}$ . Hence, defining

$$f(x) = \left( \int_0^x g(x) \right) + c$$

it follows that  $f_n$  converges to  $f$  in the sup sense and  $f'_n$  converges to  $(f' = g)$  in the sup sense. And that every cauchy sequence converges in  $C^1[0, 1]$  w.r.t the given norm.  $\therefore$  the norm defines a banach space  $\square$

$$d. \|f\| = \sup_{x \in [0,1]} |f(x)| + \sup_{x \in [0,1]} |f'(x)|.$$

$\|f\|$  defines a norm.

$$i) \|c.f\| = |c|\|f\|$$

We will use the following properties of  $C^1[0, 1]$

$$(cf(x)) = c(f(x)), (cf(x))' = c(f'(x)),$$

$$ii) \|f + g\| \leq \|f\| + \|g\|$$

Follows because,

$$|(f(x) + g(x))| \leq |f(x)| + |g(x)|$$

$$|(f'(x) + g'(x))| \leq |f'(x)| + |g'(x)|$$

$$iii) \|f\| = 0 \implies f = 0$$

Let  $f \neq 0$ , then  $\exists x$  such that  $|f(x)| \geq \epsilon$

$$\implies \sup_{x \in [0,1]} |f(x)| > 0$$

$$\therefore f \neq 0, \implies \|f\| \neq 0$$

Note that,  $\sup_{x \in [0,1]} |f'(x)| < \|f\| \implies$  if  $f_n$  is cauchy in the given norm, then it is cauchy in the sup-norm.

Now, consider any cauchy sequence  $f_n$  w.r.t the given norm, then  $f'_n$  converges uniformly. And since the sup-norm is complete w.r.t continuous functions, we can find a  $g$  such that  $f'_n$  converges uniformly to  $g$  in the sup-norm.

Using the fundamental theorem of calculus, we know that  $f_n$  can be written as:

$$f_n(x) = \left( \int_0^x f'_n(x) \right) + c_n$$

$$|f_n(x) - f_m(x)| \leq \left( \int_0^x |f'_n(x) - f'_m(x)| \right) + |c_n - c_m|$$

It follows that  $f_n$  converges pointwise iff  $c_n$  is cauchy. Since  $c_n$  is cauchy in  $\mathbb{R}$ , it will converge to some  $c \in \mathbb{R}$ . Hence, defining

$$f(x) = \left( \int_0^x g(x) \right) + c$$

it follows that  $f_n$  converges to  $f$  in the sup sense and  $f'_n$  converges to  $(f' = g)$  in the sup sense.  $\therefore$  the norm defines a banach space  $\square$

e.  $\|f\|$  defines a norm (since  $p \geq 1$ ) as a consequence of Minkowski's inequality.

To check if its a banach space:

Consider the sequence

$$f_n = \frac{1}{1 + (2x)^n}$$

They are point-wise convergent to 1 for  $x \in [0, 1/2)$  and point-wise convergent to 0 for  $x \in (1/2, 1]$ .  $\implies f_n$  are cauchy in the  $l_p$  sense. Now define:

$$g_n(x) = \left( \int_0^x f_n(x) \right)$$

Since  $f_n$  are cauchy in the  $l_p$  sense, and are continuous, it follows that  $g_n$  is continuous and  $\{g_n\}$  is cauchy in the sup-norm.  $\implies \{g_n\}$  is cauchy in the  $l_p$  sense. Further  $g'_n = f_n$  is also cauchy in the  $l_p$  sense.  $\implies g_n$  is cauchy as per the given norm. But,  $\exists$  no continuous function  $f$  such that  $f_n$  converges to  $f$  in the  $l_p$  sense. If  $f_n$  converges to  $f$  with respect to the given norm, then  $f_n$  converges to  $f$  in  $C[0, k]$  and  $C[1-k, 1] \forall 0 < k < 1/2$ . But as per the claim proved in part(b) we will have that  $f = 0$  in  $[0, 1/2)$  and  $f = 1$  in  $(1/2, 1]$ .  $\implies f$  cannot be continuous at  $x = 1/2$ . Contradiction.  $\implies$  The space is not Banach.  $\square$

f.  $\|f\| = \max(\sup_{x \in [0, 1]} |f(x)| + \sup_{x \in [0, 1]} |f'(x)|)$ .

The result that this is a norm and defines a banach space follows from the following. The triangular inequality of the norm, also using part(d) follows from

$$\left\{ \sup_{x \in [0, 1]} |f(x)|, \sup_{x \in [0, 1]} |f'(x)| \right\} \leq \|f\|$$

We get equivalence of the two norms as

$$\|f\| \leq \sup_{x \in [0, 1]} |f(x)| + \sup_{x \in [0, 1]} |f'(x)| \leq 2 * \|f\|$$

$\square$

### Question 3.

a.  $C_c(\mathbb{R})$  is a linear space.

If  $f, g \in C_c(\mathbb{R})$  then so is  $f + g$ , as let  $K_f$  and  $K_g$ , their supports, are compact, so is their sum (from question 7). Since  $\text{supp}(f + g) \subset (K_f + K_g)$  and support is a closed set,  $\text{supp}(f+g)$  is compact.

If  $f$  has compact support, so does  $\alpha f$  for any scalar  $\alpha$ , as  $f(x) = 0 \implies \alpha f(x) = 0$

$\|f\|_\infty$  is a norm

- i. If  $f \neq 0$  in  $C_c(\mathbb{R})$ , then  $|f(x)| > 0$  for some  $x \in \mathbb{R}$ .  $\implies \|f\|_\infty > 0$
- ii.  $|\alpha f(x)| = |\alpha| |f(x)|$ . Since  $f$  is compactly supported  $\sup$  is well defined.
- iii. Consider  $h = f + g$ ; Then  $\text{supp}(h) \subset \text{supp}(f) + \text{supp}(g)$ . Since  $\text{supp}(f, g, h)$  lie on compact set (union of their supports) the triangular inequality follows.  $\square$

b.  $C_0(\mathbb{R})$  is a linear space.

If  $f, g \in C_c(\mathbb{R})$  then so is  $f + g$ , as let  $K_f$  and  $K_g$  be compact sets outside which  $|f|$  and  $|g| < \epsilon/2$ . Since sum of compact sets is compact, say  $K$ , have that  $|(f + g)| < \epsilon$  outside  $K$ .

Similarly  $\alpha f \in C_0(\mathbb{R})$  whenever  $f$  is. Since  $\exists$  compact set  $K_f$  such that  $|f| < \epsilon/|\alpha|$ , we have that  $|\alpha f| < \epsilon$  outside  $K_f$ .

Since  $C_0(\mathbb{R})$  is a linear space and sup-norms are well defined, it follows that  $\|f\|_\infty$  defines a norm.

To prove it is Banach or otherwise.

Consider any Cauchy sequence  $\{f_n\}$ . Note that, this sequence is Cauchy over every compact set in the sup-norm.  $\implies$  Over compact sets  $[-n, n]$  (any compact set can be covered by these compact sets), we can find a continuous function  $f^n$  to which these functions uniquely converge.  $\implies$  the extensions of  $f^n$  to  $f^{n+1}$ , such that the above sequences converge over their respective compact sets, are unique and so are the restrictions. Further we have that the convergence is also point-wise over these sets.  $\implies$  if we define  $f$  as the point-wise limit (exists as they are Cauchy) of  $f_n$ , then we only need to prove that  $f \in C_0(\mathbb{R})$ .

Consider any  $\epsilon > 0$ . Since  $f_n$  is Cauchy, choose  $N$  such that  $|f_N - f_m| < \epsilon/2 \forall m > N$ . Now,  $\exists$  a compact set  $K$  such that  $|f_N| < \epsilon/2$  outside  $K$ .  $\implies |f_m| < \epsilon \forall m > N$  outside  $K$ .  $\implies |f| < \epsilon$  (as it is defined as a point-wise limit of  $f_n$ ) outside  $K$ .  $\implies f \in C_0(\mathbb{R})$ .  $\square$

c. Consider  $f \in C_0(\mathbb{R})$ . Let  $K_1$  be a compact set such that  $|f| < \epsilon$  outside  $K_1$ . Choose  $n$  such that  $K_1 \subset [-n, n]$  and be a compact set  $|f| < \epsilon/2$  outside  $K_2$ . Choose  $m > n$  such that  $K_2 \subset [-m, m]$ . Let's now define a function  $g$  as follows:

$$g(x) = \begin{cases} 1 & -n \leq x \leq n \\ (m - |x|)/(m - n) & n \leq x \leq m \\ 0 & |x| \geq m \end{cases}$$

Note that  $g \in C_c(\mathbb{R})$ . Hence, so is  $fg$ . Further,  $|fg - f| = 0$  over  $[-n, n]$ . and  $|fg - f| \leq |f|$  outside.  $\implies |fg - f| \leq \epsilon$  outside.  $\implies \|fg - f\|_\infty \leq \epsilon$   
 $\therefore C_c(\mathbb{R})$  is dense in  $C_0(\mathbb{R})$   $\square$

**Question 4.** Prove that for  $1 \leq p < \infty$ , the space  $l_p$  is separable, but  $l_\infty$  is not separable.

*Proof.*

Lets consider the case for  $p = 1$ ;

Consider the following set of sequences  $S_k = \{(x_n) : x_i = 0 \text{ if } i > k ; x_i \in \mathbb{Q} \text{ otherwise}\}$

It follows that  $S_k$  is countable for each  $k$ ; hence their union taken over  $k \in \mathbb{N}$  (represented as  $S$  henceforth) is also countable

Now consider any given  $(x_n) \in l_1$ ;

$\implies$  we have that  $\sum_{i=1}^{\infty} |x_i| < \epsilon$  for some  $n \in \mathbb{N}$

For each  $x_i$  for  $i < n$  we can find  $q_i$  (that is rational) such that  $|x_i - q_i| < \epsilon/n$  Note that the sequence defined by  $\{x_m : x_i = q_i \text{ for } i < n \text{ else } x_i = 0\} \in S$ .

Further,  $\sum_{i=1}^{\infty} |x_i - q_i| < 2 * \epsilon$  Hence, we have that  $S$  (a countable set) is dense in  $l_1$ . The same arguments can be repeated for any  $1 \leq p < \infty$ , given that

$$\sum_{i=1}^{\infty} |x_i|^p < \infty \iff \left\{ \sum_{i=1}^{\infty} |x_i|^p \right\}^{\frac{1}{p}} < \infty$$

For  $p = \infty$ ;

Assume  $\exists$  a countable dense subset  $A$ .

$\implies$  we can represent it as a sequence  $a_n$ ; where each  $a_i \in l_{\infty}$

Define a sequence  $q_i$  as follows:

$$q_i = 0 \text{ if } (|a_i^j| > 1);$$

$$q_i = 2 \text{ otherwise}$$

Note that  $(q_i) \in l_{\infty}$ . Further  $\|a_j - q_i\| > 1 \forall j$  (as  $|a_j^j - q_j| > 1$  by definition  $\forall j$ ).

$\implies A$  (or any countable subset) is not dense in  $l_{\infty}$

$\implies l_{\infty}$  is not separable □

**Question 5.** Let  $X, Y$  be a topological vector spaces. Assume that  $X$  has a countable local base at 0. Prove that a mapping  $f : X \rightarrow Y$  is continuous iff it is sequentially continuous. Sequentially continuous means:  $x_n \rightarrow x$  in  $X \implies f(x_n) \rightarrow f(x)$  in  $Y$

*Proof.* Assume  $f$  is continuous. Consider any neighborhood  $V$  of  $f(x)$  in  $Y$ . Let  $x_n$  be a sequence such that  $x_n \rightarrow x$  in  $X$ . Then  $f^{-1}(V)$  is a neighborhood of  $x \in X$  (as  $f$  is continuous).  $\implies$  we can find  $N$  such that  $x_n \in f^{-1}(V)$  [by the definition of convergence] if  $n > N$ .

$\therefore f(x_n) \in V \forall n > N$ . The same arguments holds for any neighborhood  $V$  of  $f(x)$ .

$\implies f(x_n) \rightarrow f(x)$  in  $Y$

Converse: Assume  $f$  is discontinuous.  $\implies \exists$  a open set in  $Y$  such that  $U = f^{-1}(U)$  is not open in  $X$ .

Let  $x \in U$  be s.t.  $\nexists$  a open set of  $x \in U$ . (we can ask for at least one such  $x$  as  $U$  is not open)

Since  $X$  has a countable base, and is a topological vector space, so does  $x$  (by translation).

$\implies$  for each open set  $x + V_n \exists$  a  $x_n$  such that  $x_n \notin U$ .

Clearly, since  $V_n$  constitutes the base, we have that  $x_n \rightarrow x \in U$ .

But  $f(x_n) \nrightarrow f(x)$  as no  $f(x_n) \in V$  (as  $x_n \notin U$ )



Since  $V$  is an open neighborhood of  $f(x)$  in  $Y$ , we have that  $f$  is discontinuous (not sequentially continuous at  $x$ )

□

**Question 6.**  $X$  is a vector space.

**a.**  $A$  is convex iff  $(s + t)A = sA + tA$ , for all positive scalar  $s, t$ .  
If  $A$  is convex, then we know that for positive  $s, t$

$$\frac{s}{s+t}A + \frac{t}{s+t}A = A$$

$$\implies sA + tA = (s+t)A \text{ multiplication scalar on both sides}$$

The converse follows by dividing the above equality by  $(s+t)$  on both sides we will have that :

$$\frac{s}{s+t}A + \frac{t}{s+t}A = A \forall \text{ positive } s, t$$

$\implies A$  is convex (by definition)

□

**b.** Consider an arbitrary union  $S$  of balanced sets  $\{B_i\}$ ;

$$S = \cup B_i$$

Consider any  $x \in S$ . Then  $x \in B_i$  for some index  $i$ ;

$\implies \alpha x \in B_i$  for  $|\alpha| \leq 1$  as  $B_i$  is balanced.

$\implies \alpha x \in S$  which implies that  $S$  is balanced.

Now, for arbitrary intersection  $T$  of  $\{B_i\}$

$$T = \cap B_i$$

Consider any  $x \in T$ . Then  $x \in B_i$  for all  $i$ ;

$\implies \alpha x \in B_i$  for  $|\alpha| \leq 1$  as  $B_i$  is balanced and this is true for each  $B_i$ .

$\implies \alpha x \in T$  which implies that  $T$  is balanced.

□

**c.** Consider an arbitrary intersection  $S$  of convex sets  $\{B_i\}$ ;

$$S = \cap B_i$$

Consider any  $x, y \in S$ . Then  $x, y \in B_i$  for each  $i$ ;

$\implies s^*x + (1-s)^*y \in B_i$  for each  $B_i$ , whenever  $s \in [0, 1]$  as it is convex

$\implies s^*x + (1-s)^*y \in S$ , whenever  $s \in [0, 1]$ .  $\therefore S$  is convex.

□

**d.** Given  $A$  and  $B$  are convex.

Let  $S = A + B$ . Then consider any  $x, y \in S$ .

Then  $x$  can be written as  $x = x_A$  (which is in  $A$ ) +  $x_B$  (which is in  $B$ ). Similarly  $y = y_A + y_B$

Now consider:  $s^*x + (1-s)^*y$  (can be expanded using distributive property as) =  
 $(s^*(x_A) + (1-s)^*y_A) + (s^*(x_B) + (1-s)^*y_B)$  ;

Note that  $(s^*(x_A) + (1-s)^*y_A) \in A$  as  $A$  is convex ( $s \in [0,1]$ ) and

$(s^*(x_B) + (1-s)^*y_B) \in B$  as  $B$  is convex ( $s \in [0,1]$ ).

$\implies s^*x + (1-s)^*y \in S$  whenever  $x, y \in S$  and  $s \in [0,1]$   $\therefore S$  is convex

Given  $A$  and  $B$  are bounded.

Let  $S = A + B$ . Then consider any  $x \in S$ .

Then  $x$  can be written as  $x = x_A$  (which is in  $A$ ) +  $x_B$  (which is in  $B$ ).

Now consider:  $\alpha x = \alpha(x_A) + \alpha(x_B)$  ; ( $|\alpha| \leq 1$ )

Note that  $\alpha(x_A) \in A$  as  $A$  is bounded and similarly  $\alpha(x_B) \in B$

$\implies \alpha(x_A) + \alpha(x_B) = \alpha x \in S \therefore S$  is bounded □

**Question 7.**  $X$  is a topological vector space.

**b.** Given  $A$  and  $B$  are bounded. Let  $V$  be any  $0$  neighborhood. Then we can find a balanced neighborhood  $W$  such that  $W \subset V$ . Now, given  $A$  we can find  $t_A > 0$  such that  $A \subset t_A W$ , as  $A$  is bounded similarly we can find  $t_B > 0$  such that  $B \subset t_B W$ .

$\implies A + B \subset t_A W + t_B W \subset (t_A + t_B)W$  (as  $W$  is balanced). Since  $W \subset V$ , we have  $(t_A + t_B)W \subset (t_A + t_B)V$

$\implies (A + B) \subset (t_A + t_B)V \therefore A + B$  is bounded □

**c.** Given  $A$  and  $B$  are compact. We know that compact sets are bounded in  $X$  (i.e. a topological vector space)

From part (b) we have that some of 2 bounded sets is bounded.

$\implies A + B$  is bounded □

**d.** Given  $A$  and  $B$  are compact. Since addition is a continuous operation, we have that  $A + B$  is compact if  $A$  and  $B$  are compact □

**e.** Given  $A$  is closed and  $B$  is compact. We will try to construct an open set  $V$  around  $x \notin A+B$  that does not intersect  $A+B$  to prove the result. Consider any  $x \notin A+B$ .  
 $\implies x - B \notin A$ .  $x - B$  is compact ( $\{x\}$  and  $B$  are compact and using the result in (d)) and  $A$  is closed.

$\implies$  we can find an open neighborhood  $V$  s.t.  $(x-B+V) \cap (A + V) = \emptyset$

Consider the following open cover of  $B$  with open sets  $x_B + V$ ; where  $x_B$  are elements of  $B$ . Since  $B$  is compact we have a finite sub-cover such that  $B \subset \cup_{i=1}^n b_i + V$  for some  $b_i \in B$ . Now, from above we have that  $(x-B+V) \cap A = \emptyset$

$\implies (x - B + V + b_1) \cap (A + b_1 + V) = \emptyset$

$(x - B + V + b_1)$  is open (because  $V$  is) and contains  $x$ , denote this is  $V_1$

Similarly we can construct till  $V_n$ , and let  $V_x = \cap_{i=1}^n V_i$ ,  $V_x$  is open as its a finite intersection of open sets and contains  $x$ .

Further, we have that  $V_x \cup (\cap_{i=1}^n (A + b_i + V)) = \emptyset$ . Now since  $B \subset \cup_{i=1}^n b_i + V$  we have that

$(A + B) \subset \cap_{i=1}^n (A + b_i + V)$

$\implies V_x \cap (A+B) = \emptyset$ . Since  $V_x$  is open and contains  $x$  we are done.  $\therefore A+B$  is closed if  $A$  is closed and  $B$  is compact. □

**f.** Consider the following 2 closed sets  $\in \mathbb{R}$ .

$$A = \{n + \frac{1}{n}; \forall n > 0 \in \mathbb{N}\}$$

$$B = \{-n + \frac{1}{n}; \forall n > 0 \in \mathbb{N}\}$$

Clearly A and B are closed as they donot have any limit points. Consider a sequence  $c_n = a_n + b_n = n + \frac{1}{n} - n + \frac{1}{n} = \frac{2}{n}$ ; this converges to 0.

Let  $a = i + \frac{1}{i} \in A$  and  $b = -j + \frac{1}{j} \in B$ .

Then  $a + b = i - j + (\frac{1}{i} + \frac{1}{j}) \neq 0$  for any  $i, j \in \mathbb{N}$ .  $\implies 0 \notin A + B$ .

But 0 is a limit point of  $A+B$  as from above. Hence  $A + B$  is not closed. Since  $\mathbb{R}$  is a topological vector space, we have a counterexample.  $\square$

**g.** Given A is bounded it is trivial that all its subsets(countable or otherwise) are bounded. Let's assume A is not bounded.  $\implies \exists$  a neighborhood V such that for each  $n \in \mathbb{N}$ , we have a  $x_n \in A$  s.t.  $x_n \notin nV$ . (We can always choose distinct  $x_n$  for this given V) This countable subset(as  $x_n$  are distinct) S of A is not bounded, because  $\exists$  no  $t > 0$ , such that  $S \subset tV$  whenever  $k > t$  as a direct consequence of the above construction of S.

$\therefore$  S is the required subset of A which is not bounded if A is not.  $\square$

**h.** We will use the fact that the closure of a set is the "smallest" closed set containing the set itself. Consider any point  $y \in x + \bar{A}$ . Let V be any neighborhood of  $y \in X$ .  $y - x \in \bar{A}$ .  $\implies V - x$ , a neighborhood of  $(y-x)$ , intersects with A.

$\implies V$  intersects  $A + x$ .  $\implies y \in \overline{A + x}$ .  $\implies x + \bar{A} \subset \overline{A + x}$ .

Now since  $\bar{A}$  is closed, so is  $x + \bar{A}$ . And  $x + A \subset x + \bar{A}$  (which is closed)

$\implies x + \bar{A} = \overline{A + x}$   $\square$

**i.** We will use the fact the interior of a set is the "largest" open set contained in the given set. It is trivial that  $A + B^\circ \subset A + B$ . Further, since  $B^\circ$  is open, so is  $A + B^\circ$ . Hence,  $A + B^\circ \subset (A + B)^\circ$ .  $\square$

**j.**

**i.** Since  $\bar{A}^\circ$  is closed, it is sufficient to show that  $A \subset \bar{A}^\circ$ . As  $\bar{A}$  is smallest closed set containing A, and since  $A^\circ \subset A$ , it follows that  $\bar{A}^\circ = \bar{A}$ .

Since  $A^\circ$  is non-empty, let  $y \in A^\circ$ .  $\implies \exists$  a neighborhood  $V_y$  such that  $y + V_y \subset A^\circ$ . Let  $x \in A$ , we will try to prove that  $x \in \bar{A}^\circ$ .

Now, since  $y + V_y$  is open and A is convex (so is  $A^\circ$ ), we have that  $tx + (1-t)(y + V_y)$  is open in A (for  $0 \leq t < 1$ ), hence  $tx + (1-t)(y + V_y) \in A^\circ$ . In particular,  $tx + (1-t)y \in A^\circ$  for  $0 \leq t < 1$ .

Now, consider any neighborhood of x:  $V_x = V + x$ , and we can find a bounded neighborhood  $W \subset V$ .

$\implies$  we can find  $s > 0$  such that  $t(y-x) \in W \forall 0 < t < s$ . In other words,  $W + x$  intersects the set  $(1-t)x + t(y)$  for  $0 < t < s$

$\implies V_x$  intersects the set  $\{tx + (1-t)(y + V_y)\}$  for some  $0 < t < 1$

$\implies V_x$  intersects  $A^\circ$ .

$\implies x \in \overline{A^\circ}$ .

- ii. This follows from (i) by observing that  $(\overline{A^\circ})^\circ = A^\circ$  (as  $A^\circ$ , being open, is the largest open set contained in its closure). And since  $\overline{A^\circ} = \overline{A}$ , we have that  $(\overline{A})^\circ = (\overline{A^\circ})^\circ = A^\circ$   $\square$

k. Let  $\{x_n\}$  be a Cauchy sequence. Consider any 0 neighborhood  $V$ . Then we can find a balanced neighborhood  $W \subset V$ . Now since  $W$  is a neighborhood, by definition of Cauchy we can find an  $N$  such that  $x_n - x_N \in W \forall n > N$ . Since  $W$  is balanced, we can find  $s > 0$  such that  $\{x_1, x_2, \dots, x_N\} \in tW$  whenever  $t > s$ .  $\implies x_n \in tW + W \forall n \in \mathbb{N}$   
 $\implies \{x_n\} \subset (t+1)W \subset (t+1)V \therefore \{x_n\}$  is bounded.  $\square$

**Question 8.** Let  $X$  be a vector space over  $\mathbb{R}$  or  $\mathbb{C}$ . Let  $A$  be a convex balanced absorbing set. Let  $P_A$  be the Minkowski function of  $A$ .

*Proof.* Assume (a) is true,  $\implies \exists$  no  $x \neq 0 \in X$  such that  $P_A(x) = 0$ . Else,  $x \in A$  (as  $A$  is balanced absorbing set). Further, we have that  $\alpha x \in A \forall \alpha$  in  $\mathbb{R}$  or  $\mathbb{C}$  as  $P_A(\alpha x) = |\alpha|P_A(x) = 0$ .  $\implies A$  contains the linear subspace  $\{x \neq 0\}$ . Contradiction.

Assume (a) is not true, Let  $Y$  be the linear subspace containing elements other than 0. Let  $x \in Y \subset A$ .  $\implies \alpha x \in A \forall \alpha$  in  $\mathbb{R}$  or  $\mathbb{C}$ .  $\implies$  By the definition of  $P_A$ , we have that  $P_A(x) = 0$  ( $P_A(x) < 1/n$  as  $nx \in A$ ), although  $x \neq 0 \in X$ .  $\implies P_A$  is not a norm.  $\square$