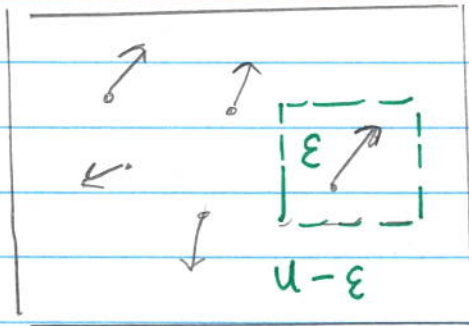


The Boltzmann Factor and Partition Functions

- This is based on Sect. 4.6. We will return to Chapter 4 later. Our goal is to give only an initial understanding of partition functions.

- Consider a system with total energy U



- System has energy U
- Subsystem has energy ϵ
- Rest has energy $U - \epsilon$

- Pick a small subsystem which is independent of the larger system except through the exchange of energy. In the case of an ideal gas, each molecule is independent of all others, and can be considered an independent subsystem.
- What is the probability that the subsystem will be in a state s with energy ϵ_s ?

For instance, it is possible, though extremely unlikely, that the one molecule (subsystem) will have all of the energy of the gas.

- The probability to find a subsystem with in a state s with energy ϵ_s is

$$P_s \propto e^{-\epsilon_s/kT} \quad \leftarrow \text{This is the Boltzmann factor}$$

- If you a set of microscopic states $s=1, \dots, N$, then since $\sum_s P_s = 1$, we can deduce the normalization

Call the normalization constant $1/Z$. So:

$$P_s = \frac{e^{-\epsilon_s/kT}}{Z(T)} \quad \leftarrow Z(T) \text{ is a function of temperature and is called the partition fcn}$$

Then

$$\sum_s \frac{1}{Z} e^{-\epsilon_s/kT} = 1, \quad \text{and so} \quad Z = \sum_s e^{-\epsilon_s/kT}$$

Finally we get tired of writing $1/kT$ and define $\beta \equiv 1/kT$

$$Z(\beta) = \sum_s e^{-\beta \epsilon_s}$$

$$\beta \equiv \frac{1}{kT}$$

and the probability to find the system in a state r is

$$P_r = \frac{e^{-\beta \epsilon_r}}{Z(\beta)}$$

Example 1

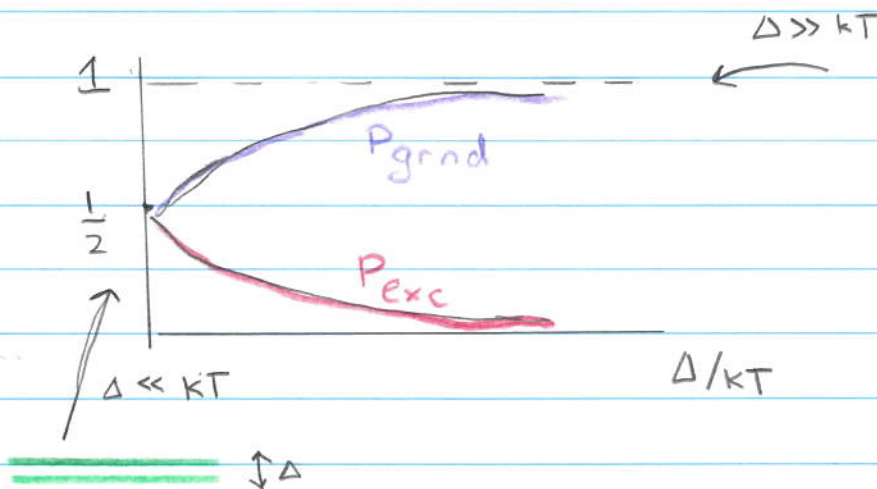
Consider an "atom" consisting of a ground state of energy 0 and an excited state of energy Δ . At temperature T determine the probabilities to be in the ground and excited states.

Then $Z(\beta) = \sum_s e^{-\beta \epsilon_s} = e^{-\beta 0} + e^{-\beta \Delta} = 1 + e^{-\beta \Delta}$

So

$$P_{\text{grnd}} = \frac{e^{-\beta 0}}{Z} = \frac{1}{1 + e^{-\beta \Delta}}$$

$$P_{\text{exc}} = \frac{e^{-\beta \Delta}}{Z} = \frac{e^{-\beta \Delta}}{1 + e^{-\beta \Delta}}$$

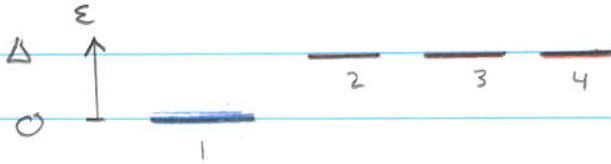


For small Δ compared to kT , the system can easily jump from the grnd state to the exc. state and back. Thus $P \approx 1/2$

for large Δ compared to kT the system does not have enough thermal energy to jump to the higher state, and thus all atoms are in the grnd state
 $P_{\text{grnd}} \approx 1$

Ex 2

- Sometimes there are more than one state with the same energy level. This is called degeneracy. So if the excited state is 3-fold degenerate, $g = 3$



Then
$$Z = \sum_{s=1}^4 e^{-\beta \epsilon_s} = 1 + g e^{-\beta \Delta}$$

- The probability to be in the 4-th state is $e^{-\beta \Delta} / Z(\beta)$. But the probability to be in an excited state (states 2, 3, 4) is

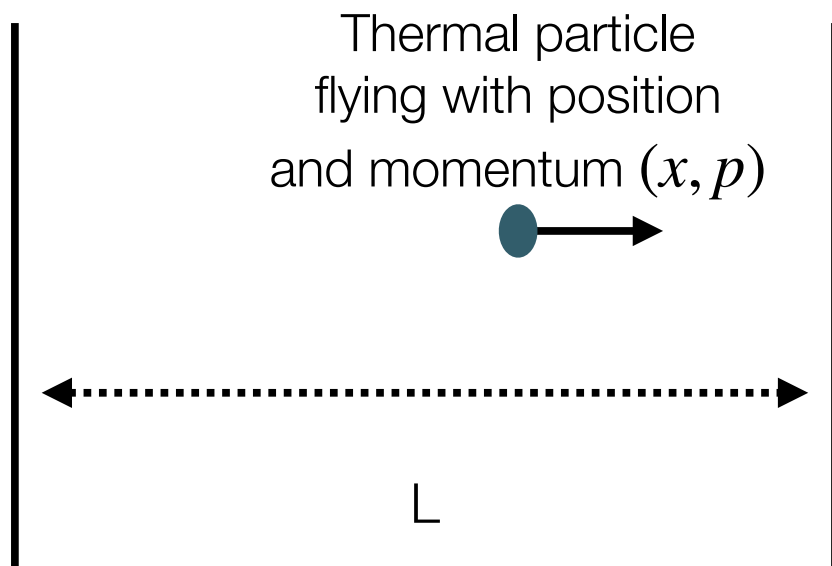
$$P_{\text{exc}} = P_2 + P_3 + P_4 = g e^{-\beta \Delta} / Z(\beta)$$

Ex 3

- What about a classical particle? How do I list the states? How do I sum over them?
(see slide for overview)
- Lets consider a particle in a box in one dimension. The state of a particle is labelled by its position and momentum (x, p) . The equations of motion advance the state to the next moment

$$\left. \begin{aligned} dx/dt &= p/m \\ dp/dt &= F(x) \end{aligned} \right\} \text{The energy } \epsilon = \frac{p^2}{2m}$$

Classical thermal particle in a box of size L in 1D



The energy is

$$\varepsilon(p) = \frac{p^2}{2m}$$

Partition Function?

• We lay out x, p on a grid called phase space and seek the probability to be in a cell of size (see slide)

$$h = \Delta x \Delta p$$

Classically h was arbitrary. In quantum mechanics $\Delta x \Delta p \geq \hbar/2$ by the uncertainty principle. So the cell size should be of order Planck's constant h .

It turns out that to have agreement with quantum mechanics we must have a cell size of h , exactly. We will show this later in the course.

• Then the sum over states (or cells) becomes an integral over classical configurations.

$$\sum_{\text{states}} \longrightarrow \int \frac{dx dp}{h}$$

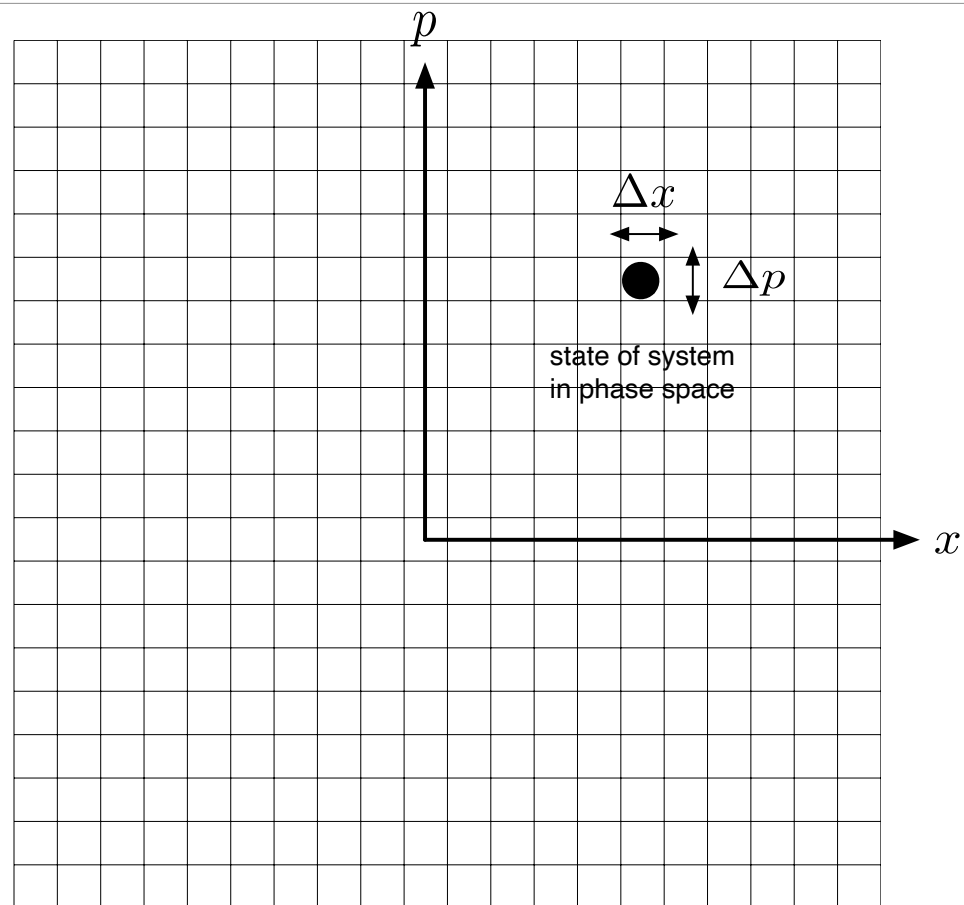
with h just keeping track of units.

• The probability becomes a probability density

$$d\mathcal{P} = \frac{1}{Z_1} e^{-\beta \varepsilon} \frac{dx dp}{h} \quad Z_1 = \int \frac{dx dp}{h} e^{-\beta \varepsilon}$$

This is the prob to have position between x and $x+dx$ and momentum between p and $p+dp$, or briefly to have $[x, dx]$ and $[p, dp]$.

Phase Space in One Dimension



Thus apart from a normalizing constant

$$d\mathcal{P} \propto e^{-p^2/2mkT} dx dp$$

← probability to have x in $[x, dx]$ and p in $[p, dp]$

- The normalizing Z , and h will be important later.

We can express the momentum in terms of the velocity

$$V = \frac{p}{m}, \text{ for non-relativistic particles}$$

$$d\mathcal{P} \propto e^{-\frac{1}{2}mv^2/kT} dx dv = N e^{-\frac{1}{2}mv^2/kT} dx dv$$

- Normalizing \mathcal{P} is easy $\int d\mathcal{P} = 1$. So

$$N \int_{\text{box}} dx \int dv e^{-mv^2/2kT} = 1$$

Then the integral over x gives L (the size of box)

For the integral over v we define $\sigma^2 = \frac{kT}{m}$

$$\int_{-\infty}^{\infty} dv e^{-v^2/2\sigma^2} = \sqrt{2\pi\sigma^2}$$

← units of v^2

So

$$N = \frac{1}{L} \left(\frac{m}{2\pi kT} \right)^{1/2}$$

← velocity distribution in 1d

And

$$d\mathcal{P} = \left(\frac{dx}{L} \right) \left(\frac{m}{2\pi kT} \right)^{1/2} e^{-\frac{1}{2}mv^2} dv$$

Comments

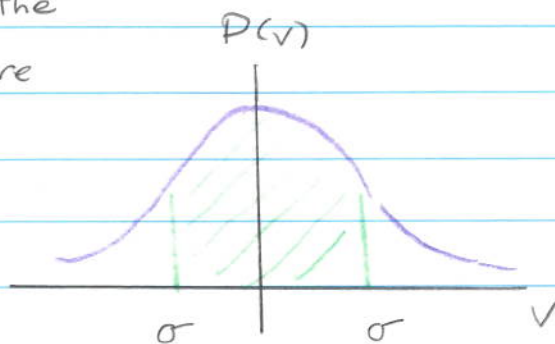
- The probability density factorizes, so the coordinates and velocity distributions are independent

$$d\mathcal{P} = P(x, v) dx dv$$

$$= P(x) dx P(v) dv$$

$$= \left(\frac{1}{L} dx \right) \left(\sqrt{\frac{m}{2\pi kT}} e^{-\frac{1}{2}mv^2/kT} dv \right)$$

the energy
and thus the
probability are
indep of x



$P(v)$ is a gaussian
with width $\sigma^2 = \frac{kT}{m}$

65% of the time the
particle will have

$$|v| < \sqrt{\frac{kT}{m}}$$

- In higher dimension say two dimensions, the notion of phase space is extended straightforwardly,

$$\sum_{\text{states}} = \int \frac{dx dp_x}{h} \frac{dy dp_y}{h} = \int \frac{d^2x d^2p}{h^2}$$

• For a particle in a 3d Box of Volume L^3

$$d\mathcal{P} = \frac{1}{Z_1} e^{-P^2/2mkT} \frac{d^3x d^3p}{h^3}$$

← Fundamentally always
integrate over
phase space

So since $\vec{V} = \vec{p}/m$ and since the particle's energy is independent of position, we have

$$d\mathcal{P}_{\vec{x}, \vec{v}} \propto e^{-\frac{1}{2}mv^2/kT} dv_x dv_y dv_z \frac{dx dy dz}{L^3}$$

We will examine the velocity distribution next.

$d\mathcal{P}_{\vec{x}, \vec{v}}$ is the probability to have $[x, dx][y, dy][z, dz]$
and $[v_x, dv_x][v_y, dv_y][v_z, dv_z]$