## Problem 1. Classical distribution of a harmonic oscillator

Consider a classical harmonic oscillator in one dimension interacting with a thermal environment. This could be for example a single atom attached to a large molecule in a gas.

The potential energy is  $U = \frac{1}{2}kx^2$ . At some point in physics we stop using the spring constant k (for some unknown reason) and start expressing k in terms of the oscillation frequency  $\omega_0 = \sqrt{k/m}$ . Thus, I will (usually) write the potential as

$$U = \frac{1}{2}m\omega_0^2 x^2 \tag{1}$$

The energy is the kinetic and potential energies and the Hamiltonian<sup>1</sup> is

$$H(x,p) = \frac{p^2}{2m} + \frac{1}{2}m\omega_0^2 x^2 \tag{2}$$

The oscillator is in equilibrium with an environment at temperature T.

(a) What is the normalized probability density P(x, p) to find the harmonic oscillator with position between x and x+dx and momentum between p and p+dp, i.e. the probability per phase space volume dx dp:

$$d\mathscr{P} = P(x, p) dx dp \tag{3}$$

Your final result for P(x, p) should be a function of  $\omega_0, p, x, m$  and  $k_B T$ . You can check your result by doing part (b). Check that your result for P(x, p) is dimensionally correct.

Hint: Change variables to  $u_1 = x/\sigma_x$  and  $u_2 = p/\sigma_p$  before doing any integrals. You need to look at the integrand (like the exponent) and decide what the appropriate length scale,  $\sigma_x$ , and momentum scale,  $\sigma_p$ , are.

(b) Compute the  $\langle x^2 \rangle$  and  $\langle p^2 \rangle$  by integrating over the probability distribution. (Don't do dimensionful integrals.)

You should find  $\langle x^2 \rangle = k_{\scriptscriptstyle B} T / m \omega_0^2$  and  $\langle p^2 \rangle = m k_{\scriptscriptstyle B} T$ .

- (c) The equipartition theorem precisely says that, for a classical system, the average of each quadratic form in the Hamiltonian is  $\frac{1}{2}k_BT$ . The quadratic forms here are the kinetic energy  $p^2/2m$ , and the potential energy,  $m\omega_0^2x^2/2$ . Are your results of the part (b) consistent with the equipartition theorem. What is the average total energy of the oscillator and the number of "degrees of freedom" of the oscillator?
- (d) (i) For one mole of an ideal diatomic molecule  $H_2$  (treated in a classical approximation), what are the total number of degrees of freedom (in the sense of the equi-partition theorem), if translations, rotations, and now vibrations are included and treated classically? (ii) What is the energy of the gas for one mole of substance,  $U^{\text{1ml}}$ ? Express

<sup>&</sup>lt;sup>1</sup>The Hamiltonian is the energy as a function of x and p.

your result in terms of R and T. (iii) As discussed in class the specific heat<sup>2</sup> at constant pressure  $C_p$  is related to the internal energy U. Indeed,  $C_p^{\text{1ml}} = (\partial U^{\text{1ml}}/\partial T)_V + R$  for one mole of substance. Is your result for  $U^{\text{1ml}}$  consistent with the experimental data on  $C_p^{\text{1ml}}$  shown below? Explain.

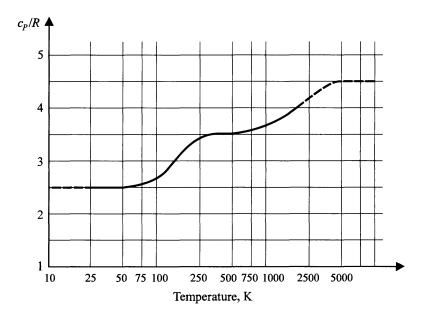


Figure 1: The heat capacity  $C_p^{1ml}$  for one mole of substance.

## Problem 2. Partition function of two state system

Consider a quantum mechanical system with energy levels  $\epsilon_i$  with  $i=1,2,\ldots n$ . Recall the definition of the partition function from last weeks homework

$$Z(\beta) = \sum_{i} e^{-\beta \epsilon_i}$$

Z is a the normalization constant so that the probability of being in the r-th state

$$P_r = \frac{1}{Z(\beta)} e^{-\beta \epsilon_r} \tag{4}$$

is correctly nnormalized

$$\sum_{i} P_i = 1 \tag{5}$$

(a) Show that the mean energy can be found if you know  $Z(\beta)$  via the formula:

$$\langle \epsilon \rangle = -\frac{1}{Z(\beta)} \frac{\partial Z}{\partial \beta} \tag{6}$$

<sup>&</sup>lt;sup>2</sup>Specific Heat are not on the exam.

Show also that

$$\langle \epsilon^2 \rangle = \frac{1}{Z} \left( -\frac{\partial}{\partial \beta} \right) \left( -\frac{\partial}{\partial \beta} \right) Z = \frac{1}{Z} \frac{\partial^2 Z}{\partial \beta^2}$$
 (7)

What is  $\langle \epsilon^m \rangle$  in terms of the derivatives of  $Z(\beta)$ ?

From this excercise you should realize that the partition function is essentially the generating function for the probability distribution in Eq. (4). Indeed, the partition function "generates" averages of the form,  $\langle \epsilon^m \rangle$ , by differentiating m times with respect to the parameter  $-\beta$ .

- (b) (Optional) Explicitly work out the relation between the generating function as we defined previously and  $Z(\beta)$ . Hint: Show that  $Z(\beta + \delta\beta)/Z(\beta)$  is the generating function<sup>3</sup>,  $\langle e^{-\delta\beta\epsilon} \rangle$ , for the probability distribution,  $P_r = e^{-\beta\epsilon_r}/Z(\beta)$ .
- (c) Consider the two state system with energy 0 and  $\Delta$  discussed two homeworks ago. Compute the partition function, and then compute  $\langle \epsilon \rangle$  and  $\langle \epsilon^2 \rangle$  using the methods of this problem, and compare with the methods of the previous homework. Show that the variance in energy is

$$\langle (\delta \epsilon)^2 \rangle = \Delta^2 \left[ \frac{e^{-\beta \Delta}}{(1 + e^{-\beta \Delta})^2} \right]$$
 (9)

What is the variance in the high temperatue limit  $k_B T \gg \Delta$ . Explain the result qualitatively.

## Problem 3. Partition function of three level system

Consider an ensemble at temperture T of N independent "atoms", each of which have the three energy levels shown below.

$$E_2 = 5\Delta$$

$$E_1 = \Delta$$

$$E_0 = 0$$

$$\langle \epsilon \rangle = \left. \frac{\partial \langle e^{a\epsilon} \rangle}{\partial a} \right|_{a=0} = \left. -\frac{\partial \langle e^{-\delta\beta\epsilon} \rangle}{\partial \delta\beta} \right|_{\delta\beta=0} = -\frac{1}{Z(\beta)} \left. \frac{\partial Z(\beta')}{\partial \beta'} \right|_{\beta'=\beta} \tag{8}$$

<sup>&</sup>lt;sup>3</sup>In our previous notation the generating function was  $\langle e^{a\epsilon} \rangle$ , where the average is over the probability distribution of  $\epsilon$ . The parameter of the generating function was called a instead of  $-\delta\beta$  as it is here. Somewhat pedantically then:

- (a) Determine the partition function and mean energy of an atom as a function of temperature.
- (b) Determine the probabilities to be in the first excited and second excited states,  $P_1$  and  $P_2$ , and qualitatively sketch these probabilities versus temperature on the same graph, from very low to very high temperatures:
  - (i) Explain the qualitative features of your graph by pointing to specific terms in your equations.
  - (ii) Determine the approximate value  $P_2$  at high temperatures, and explain the result physically. Taking  $\Delta = 0.1 \,\text{eV}$ , estimate the temperature (in Kelvin) when your result becomes approximately valid.

## Problem 4. Balls in baskets

Consider N "atoms" with total energy E. The total energy consists of q units of vibrational quanta of energy  $E \equiv q\hbar\omega_0$ , where q is an integer. We want to count the number of ways (also called states) that the atoms can share the q units energy.

- (a) For four atoms and three quanta of energy (N=4 and q=3) show that there are 20 ways for the oscillators to share the energy. For instance, the first atom could have the three quanta and the rest none. That is one possible state out of 20.
- (b) Show in general that there are

$$\Omega(q) = \frac{(N+q-1)!}{q!(N-1)!}$$
(10)

ways to distribute q units of energy amongst the N atoms. Verify that this expression reproduces the results of part (a)

*Hint:* Consider each oscillator to be a bin, and each bit of energy to be a ball. We are asking for the number of ways to put q balls in N bins.

Take seven balls (units of energy) and five bins (oscillators), N = 5 and q = 7. Lay out the 7 energy units (balls) between the dashed lines.



To partition the 7 balls (energy energy units) amongst the five bins (oscillators), I need four dividers, shown by the solid lines. In the figure below, I have paced the four dividers in one possible way, partitioning the energy so that the first bin has 2 units, the second bin has none, the third bin has 3, the fourth has two, and the fifth has none. The total number of objects, ball or divider, is q + (N - 1) = 11. Use this logic and the number of ways of choosing q of these objects to be balls to explain Eq. (10).

(c) Using the Stirling approximation, show that for N=400 oscillators and q=400 quanta the number of states is approximately

$$e^{2N\ln 2} = e^{555} \tag{11}$$