## Problem 1. Phase Space and the Entropy of a MAIG

The phase space volume is a measure of the total number of possible ways for N of particles to *share* (or partition) the total energy and volume. For instance one particle could have almost all the available energy and the remaining N-1 particles could have very little. Such configurations are unlikely since they occupy only a small portion of the available phase space volume.

Consider a single particle in three dimensions in a box

$$0 < x, y, z < L \tag{1}$$

The three momenta components are sharing (or partitioning) the total energy which lies between E and  $E + \delta E$ , i.e.

$$E < \frac{p_x^2}{2m} + \frac{p_y^2}{2m} + \frac{p_z^2}{2m} < E + \delta E,$$
 (2)

The particle is free to move around in phase space but the energy must lie in this range.  $\delta E/E$  is the precision in the energy and should be considered small, say  $10^{-4}$ . Your should realize that this means that the momentum is confined to a spherical shell between  $p \equiv \sqrt{2mE}$  and  $p_{\text{max}} = \sqrt{2m(E + \delta E)}$ .

(a) Show that the accessible phase space volume is

$$V_{\rm ps} = \int_{[E, E+\delta E]} d^3 \boldsymbol{r} d^3 \boldsymbol{p} = V \left[ 4\pi \left( 2mE \right)^{3/2} \frac{\delta E}{2E} \right]$$
 (3)

Hint: Show that the thickness of the shell in momentum space is

$$\delta p \equiv p_{\text{max}} - p \simeq p \, \frac{\delta E}{2E} \tag{4}$$

To count the number of configurations, divide up the phase space volume into cells of (arbitrary) small size  $h = \Delta x \Delta p_x$ , or in three dimensions cells of size<sup>1</sup>

$$h^{3} = (\Delta x \Delta y \Delta z) (\Delta p_{x} \Delta p_{y} \Delta p_{z}) \tag{5}$$

The "number of ways" for  $p_x$ ,  $p_y$ ,  $p_z$  and to share (or partition) the available energy is denoted by  $\Omega(E, V)$  and it is phase space volume divided by the cell size

$$\Omega(E, V) = \frac{1}{h^3} \int_{[E, E + \delta E]} d^3 \boldsymbol{r} d^3 \boldsymbol{p}$$
 (6)

$$=V\left(\frac{2mE}{h^2}\right)^{3/2}4\pi\frac{\delta E}{2E}\tag{7}$$

<sup>&</sup>lt;sup>1</sup>Classically this cell size was arbitrary. With the advent of quantum mechanics, it was realized that a natural choice for the cell size is Plank's constant h. But here let's understand it from a classical perspective first, choosing the cell size to be h somewhat arbitrarily.

 $\Omega(E,V)$  is the number of accessible states for a single particle with energy between E and  $E + \delta E$ .

The number of accessible configurations for two particles sharing the available energy between E and  $E + \delta E$  is

$$\Omega(E, V) = \frac{1}{2!} \int_{[E, E + \delta E]} \frac{\mathrm{d}^3 \mathbf{r}_1 \mathrm{d}^3 \mathbf{p}_1}{h^3} \, \frac{\mathrm{d}^3 \mathbf{r}_2 \mathrm{d}^3 \mathbf{p}_2}{h^3} \,. \tag{8}$$

The 2! is inserted because if I simply exchange what I call particle 1 and particle 2, that is not to be considered a new configuration.

(b) Show that for two particles in three dimensions the number of accessible configurations is

$$\Omega(E,V) = V^2 \left(\frac{2mE}{h^2}\right)^3 \pi^3 \frac{\delta E}{4E} \,. \tag{9}$$

Hint: It is helpful to recall that the area of a sphere in d dimensions is given by a general formula

$$A_d = \frac{2\pi^{d/2}}{\Gamma(d/2)} r^{d-1} \,. \tag{10}$$

In class we showed that for N particles with total energy E and volume V, the total the number of configurations and corresponding entropy of the system are given by

$$\Omega(E,V) = C(N)V^N E^{3N/2} \tag{11}$$

$$S(E, V) = k_B \ln \Omega = \frac{3}{2} N k_B \log E + N k_B \log V + \text{const}.$$
 (12)

In the remainder of the exercise we will keep track of the constant C(N).

(c) Show that the total number of ways for N particles to share the energy E (i.e. total number of accessible configurations with energy between E and  $E + \delta E$ ) is

$$\Omega(E, V) = \frac{1}{N!} \int \frac{\mathrm{d}^3 \boldsymbol{r}_1 \mathrm{d}^3 \boldsymbol{p}_1}{h^3} \dots \frac{\mathrm{d}^3 \boldsymbol{r}_N \mathrm{d}^3 \boldsymbol{p}_N}{h^3}, \qquad (13)$$

$$= \frac{1}{N!} V^N \left(\frac{2\pi mE}{h^2}\right)^{3N/2} \frac{1}{\Gamma(3N/2)} \frac{\delta E}{E} \,. \tag{14}$$

N is large and is of order Avogadro's number.

(d) Use the Stirling approximation to show that<sup>2</sup>

$$\Omega(E,V) \simeq e^{5N/2} \left(\frac{V}{N}\right)^N \left(\frac{4\pi m}{3h^2} \frac{E}{N}\right)^{3N/2}, \tag{16}$$

(17)

$$\Gamma(n) \equiv (n-1)! \simeq n! \simeq (n/e)^n \tag{15}$$

Note the Stirling approximation works for n not integer, if n! is understood as  $\Gamma(n+1)$ .

 $<sup>^2</sup>$ For large n

and that the entropy is

$$S(E,V) = Nk_B \log \left[ \left( \frac{V}{N} \right) \left( \frac{4\pi m}{3h^2} \frac{E}{N} \right)^{3/2} \right] + \frac{5}{2} Nk_B, \qquad (18)$$

$$=Nk_B \left[ \log \left( \frac{v_N}{\lambda_{\rm th}^3} \right) + \frac{5}{2} \right] . \tag{19}$$

Here  $v_N = V/N$  is the volume per particle and

$$\lambda_{\rm th} = \frac{h}{\sqrt{2\pi m k_B T}} = \frac{h}{\sqrt{4\pi m E/(3N)}},\tag{20}$$

is the typical de Broglie wavelength at temperature T. The temperature is determined by the energy per particle for a monoatomic gas,  $E/N = \frac{3}{2}k_BT$ .

Hint: The  $\delta E/E$  term is not exponentially large in contrast to the other terms. Thus  $\delta E/E$  can be set to one via the following approximation:

$$e^{5N/2}\left(\frac{\delta E}{E}\right) = e^{5N/2 + \log(\delta E/E)} \simeq e^{5N/2}. \tag{21}$$

Convince yourself of this step by taking  $\delta E/E = 10^{-6}$  (or whatever you like). How big is  $\log(\delta E/E)$  compared to 5N/2? Something is exponentially large if its logarithm is of order Avogadro's number.

**Discussion:** The result for S in Eq. (19) is known as the Sackur Tetrode equation and is fundamental. The Sackur-Tetrode equation says that the entropy per particle  $S/Nk_B$  is of order the logarithm of the accessible phase space per particle in units of  $h^3$ . Roughly speaking each particle has volume  $v_N = V/N$ . The typical momentum of a particle is of order  $p_{\text{typ}} \sim \sqrt{mk_BT}$ . The phase space per particle is the coordinate space volume  $v_N$  times the momentum space volume  $\sim p_{\text{typ}}^3$  and is of order

$$V_{\rm ps} \sim v_N \, p_{\rm typ}^3 \,. \tag{22}$$

The entropy per particle (divided  $k_B$ ) is the logarithm of this phase space in units of  $h^3$  and is of order

$$\frac{S}{Nk_B} \sim \log\left(\frac{v_N \, p_{\rm typ}^3}{h^3}\right) \sim \log\left(\frac{v_N}{\lambda_{\rm th}^3}\right). \tag{23}$$

This logarithm is never very large (at most 10), and in practice the entropy per particle is an order one number.

## Problem 2. Entropy changes of a MAIG

Find the change in entropy of  $n_{\rm ml}$  moles of an ideal monoatomic ideal gas in the following processes:

- (a) the temperature changes from  $T_1$  to  $T_2$  at constant pressure;
- (b) the pressure changes from  $P_1$  to  $P_2$  at constant volume.

Consider the expression for the number of states in a mono-atomic ideal gas

$$\Omega = C(N)V^N E^{3N/2}, \tag{24}$$

and the corresponding entropy

$$S = Nk_B \log(V) + \frac{3}{2}Nk_B \log(E) + \text{const}.$$
 (25)

Recall that in an adiabatic expansion of a monoatomic ideal gas no heat enters or exits the system and the entropy remains constant as the volume increases.

- (c) (i) Using Eq. (25) show that  $\Delta S = 0$  for an adiabatic increase in volume from  $V_1$  to  $V_2$ . (*Hint:* How does the temperature change during an adiabatic expansion of a mono-atomic ideal gas?)
  - (ii) Describe how the particles are redistributed in phase space so that the entropy and total phase space volume remains constant during the expansion.

Ans: (a)  $\frac{5}{2}n_{\rm ml}R\ln(T_2/T_1)$ ; (b)  $\frac{3}{2}n_{\rm ml}R\ln(P_2/P_1)$ .

## Problem 3. Ball in lake

In this problem we will explore examine the fundamental formula:

$$\Delta S_{AB} = \int_{A}^{B} \frac{dQ_{\text{rev}}}{T} \ge \int_{A}^{B} \frac{dQ}{T}.$$
 (26)

In this equation are considering a system (a ball) placed in contact with a reservoir at temperature T with heat exchange dQ.

- (a) A cool ball of iron with initial temperature  $T_B^0$  and constant specific heat C is thrown into a large hot reservoir of water at temperature T, which may be presumed constant. The subsequent equilibration between the system and reservoir is a highly non-equilibrium and irreversible process. How much heat goes from the reservoir to the system as the reservoir and the system equilibrate?
- (b) You can compute the change in entropy of the ball  $\Delta S$  in the non-equilibrium process by replacing the non-equilibrium process (which actually happened) with an imagined equilibrium process. This replacement is possible because the entropy change depends only on the starting and stopping points and not on the path.

In the imagined process the temperature of the ball  $T_B$  is slowly raised from  $T_B^0$  to T by a set of small incremental heat transfers  $dQ_{rev} = CdT_B$  with a sequence of imagined reservoirs at temperatures between  $T_B^0$  and T.

- (i) Find  $\Delta S$ .
- (ii) Sketch  $\Delta S$  and Q/T as a function of

$$\frac{\Delta T}{T} \equiv \frac{T - T_B^0}{T}.$$

on the same graph for  $\Delta T/T \in [0,1]$ . Does your graph corroborate the inequality  $\Delta S \geq Q/T$ ?

(iii) Make a Taylor series of  $\Delta S$  to show that for small  $\Delta T/T$ 

$$\Delta S = \frac{Q}{T} + \frac{C\Delta T^2}{2T^2} > \frac{Q}{T} \tag{27}$$

## Problem 4. Heating water

One mole of water is heated from 0°C to 100°C by bringing it in contact with a different number of reservoirs. Find the change in entropy of the universe given the following: (a) Only one reservoir at 100°C is used. (b) The water is first brought to equilibrium with a reservoir at 50°C and then put in contact with a reservoir at 100°C. (c) The water is brought to equilibrium successively with reservoirs at the temperatures 25°C, 50°C, 75°C and 100°C. (d) In practice how could the water be heated reversibly? Justify your answer.

The specific heat of water is approximately  $4180 \,\mathrm{J/kg^\circ K}$ . Ans: (a)  $3.3 \,\mathrm{J/^\circ K}$ ; (b)  $1.75 \,\mathrm{J/^\circ K}$ ; (c)  $0.9 \,\mathrm{J/^\circ K}$ .