

# Operator Algebras Generated by Left Invertibles

Derek Desantis

University of Nebraska, Lincoln

GPOTS, May 2018

## Background

- A sequence  $\{f_n\}$  in a Hilbert space  $\mathcal{H}$  is called a **frame** if there exists constants  $0 < A < B$  such that for each  $x \in \mathcal{H}$ ,

$$A\|x\|^2 \leq \sum_n |\langle x, f_n \rangle|^2 \leq B\|x\|^2$$

## Background

- A sequence  $\{f_n\}$  in a Hilbert space  $\mathcal{H}$  is called a **frame** if there exists constants  $0 < A < B$  such that for each  $x \in \mathcal{H}$ ,

$$A\|x\|^2 \leq \sum_n |\langle x, f_n \rangle|^2 \leq B\|x\|^2$$

- We can associate to each frame  $\{f_n\}$  a dual frame  $\{g_n\}$  such that

$$x = \sum_n \langle x, g_n \rangle f_n$$

## Background

- A sequence  $\{f_n\}$  in a Hilbert space  $\mathcal{H}$  is called a **frame** if there exists constants  $0 < A < B$  such that for each  $x \in \mathcal{H}$ ,

$$A\|x\|^2 \leq \sum_n |\langle x, f_n \rangle|^2 \leq B\|x\|^2$$

- We can associate to each frame  $\{f_n\}$  a dual frame  $\{g_n\}$  such that

$$x = \sum_n \langle x, g_n \rangle f_n$$

- If  $\{f_k\}$  frame for  $\mathcal{H}$ , and  $T$  has closed range, then  $\{Tf_k\}$  is a frame for  $T\mathcal{H}$ .

## Definition

Let  $T \in \mathcal{B}(\mathcal{H})$  have closed range. There is a unique operator  $T^\dagger \in \mathcal{B}(\mathcal{H})$  called the **Moore-Penrose inverse of  $T$**  such that

- 1  $T^\dagger T x = x$  for all  $x \in \ker(T)^\perp$
- 2  $T^\dagger y = 0$  for all  $y \in (T\mathcal{H})^\perp$ .

## Definition

Let  $T \in \mathcal{B}(\mathcal{H})$  have closed range. There is a unique operator  $T^\dagger \in \mathcal{B}(\mathcal{H})$  called the **Moore-Penrose inverse of  $T$**  such that

- 1  $T^\dagger T x = x$  for all  $x \in \ker(T)^\perp$
- 2  $T^\dagger y = 0$  for all  $y \in (T\mathcal{H})^\perp$ .

## Example

- Let  $T \in \mathcal{B}(\ell^2)$  be given by  $T e_n = w_n e_n$ ,  $n \geq 0$ . If  $0 < c < |w_n|$ , then  $T$  is left invertible and

$$T^\dagger e_n = \begin{cases} 0 & n = 0 \\ w_n^{-1} e_{n-1} & n \geq 1 \end{cases}$$

## Definition

Let  $T \in \mathcal{B}(\mathcal{H})$  have closed range. There is a unique operator  $T^\dagger \in \mathcal{B}(\mathcal{H})$  called the **Moore-Penrose inverse of  $T$**  such that

- 1  $T^\dagger T x = x$  for all  $x \in \ker(T)^\perp$
- 2  $T^\dagger y = 0$  for all  $y \in (T\mathcal{H})^\perp$ .

## Example

- Let  $T \in \mathcal{B}(\ell^2)$  be given by  $T e_n = w_n e_n$ ,  $n \geq 0$ . If  $0 < c < |w_n|$ , then  $T$  is left invertible and

$$T^\dagger e_n = \begin{cases} 0 & n = 0 \\ w_n^{-1} e_{n-1} & n \geq 1 \end{cases}$$

- If  $T$  is an isometry, then  $T^\dagger = T^*$ .

## Remark

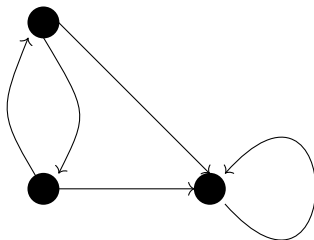
$C^*$ -algebras generated by partial isometries (graph algebras) are well studied.



## Remark

$C^*$ -algebras generated by partial isometries (graph algebras) are well studied.

$$E = \{r, s, E^0, E^1\} :$$



## Program

Choose a closed range operator  $T_e$  for each directed edge  $e \in E^1$ , subject to constraints of directed graph. What is the structure of the operator algebra

$$\overline{\text{Alg}}(T_e, T_e^\dagger)$$

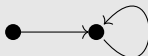
## Program

Choose a closed range operator  $T_e$  for each directed edge  $e \in E^1$ , subject to constraints of directed graph. What is the structure of the operator algebra

$$\overline{\text{Alg}}(T_e, T_e^\dagger)$$

## Remark

Our focus is on representations afforded by the graph



## Notation

Given a left (but not right) invertible  $T \in \mathcal{B}(\mathcal{H})$ , let

$$\mathfrak{A}_T := \overline{\text{Alg}}(T, T^\dagger)$$

## Notation

Given a left (but not right) invertible  $T \in \mathcal{B}(\mathcal{H})$ , let

$$\mathfrak{A}_T := \overline{\text{Alg}}(T, T^\dagger)$$

## Example

If  $T = M_z$  on  $H^2(\mathbb{T})$ , then  $\mathfrak{A}_T$  is the classic Toeplitz algebra

$$\mathcal{T} = \{T_f + K : f \in C(\mathbb{T}), K \in \mathcal{K}(H^2(\mathbb{T}))\}$$

## Notation

Given a left (but not right) invertible  $T \in \mathcal{B}(\mathcal{H})$ , let

$$\mathfrak{A}_T := \overline{\text{Alg}}(T, T^\dagger)$$

## Example

If  $T = M_z$  on  $H^2(\mathbb{T})$ , then  $\mathfrak{A}_T$  is the classic Toeplitz algebra

$$\mathcal{T} = \{T_f + K : f \in C(\mathbb{T}), K \in \mathcal{K}(H^2(\mathbb{T}))\}$$

## Remark

General left invertibles have no Wold decomposition:

$$\mathcal{H} \neq \left( \bigcap_n T^n \mathcal{H} \right) \oplus \left( \bigvee_n T^n \ker(T^*) \right)$$

## Definition

A left invertible operator  $T$  is called **analytic** if

$$\bigcap_n T^n \mathcal{H} = 0$$

## Definition

A left invertible operator  $T$  is called **analytic** if

$$\bigcap_n T^n \mathcal{H} = 0$$

## Theorem (D-)

*Let  $T$  be an analytic left invertible with  $\text{ind}(T) = -n$  for some positive integer  $n$ . Let  $\{x_{i,0}\}_{i=1}^n$  be an orthonormal basis for  $\ker(T^*)$ . Then*



## Definition

A left invertible operator  $T$  is called **analytic** if

$$\bigcap_n T^n \mathcal{H} = 0$$

## Theorem (D-)

*Let  $T$  be an analytic left invertible with  $\text{ind}(T) = -n$  for some positive integer  $n$ . Let  $\{x_{i,0}\}_{i=1}^n$  be an orthonormal basis for  $\ker(T^*)$ . Then*

$$x_{i,j} := (T^{\dagger*})^j(x_{i,0})$$

*$i = 1, \dots, n, j = 0, 1, \dots$  is a Schauder basis for  $\mathcal{H}$*

## Definition

An operator  $R \in \mathcal{B}(\mathcal{H})$  is called **Cowen-Douglas** if there exists open subset  $\Omega \subset \sigma(R)$  such that

- 1  $(R - \lambda)\mathcal{H} = \mathcal{H}$  for all  $\lambda \in \Omega$
- 2  $\dim(\ker(R - \lambda)) = n$  for all  $\lambda \in \Omega$ .
- 3  $\bigvee_{\lambda \in \Omega} \ker(R - \lambda) = \mathcal{H}$

We denote this by  $R \in B_n(\Omega)$ .

## Definition

An operator  $R \in \mathcal{B}(\mathcal{H})$  is called **Cowen-Douglas** if there exists open subset  $\Omega \subset \sigma(R)$  such that

- 1  $(R - \lambda)\mathcal{H} = \mathcal{H}$  for all  $\lambda \in \Omega$
- 2  $\dim(\ker(R - \lambda)) = n$  for all  $\lambda \in \Omega$ .
- 3  $\bigvee_{\lambda \in \Omega} \ker(R - \lambda) = \mathcal{H}$

We denote this by  $R \in B_n(\Omega)$ .

## Theorem (D-)

*Let  $T \in \mathcal{B}(\mathcal{H})$  be left invertible operator with  $\text{ind}(T) = -n$ , for  $n \geq 1$ . Then the following are equivalent:*

## Definition

An operator  $R \in \mathcal{B}(\mathcal{H})$  is called **Cowen-Douglas** if there exists open subset  $\Omega \subset \sigma(R)$  such that

- 1  $(R - \lambda)\mathcal{H} = \mathcal{H}$  for all  $\lambda \in \Omega$
- 2  $\dim(\ker(R - \lambda)) = n$  for all  $\lambda \in \Omega$ .
- 3  $\bigvee_{\lambda \in \Omega} \ker(R - \lambda) = \mathcal{H}$

We denote this by  $R \in B_n(\Omega)$ .

## Theorem (D-)

Let  $T \in \mathcal{B}(\mathcal{H})$  be left invertible operator with  $\text{ind}(T) = -n$ , for  $n \geq 1$ . Then the following are equivalent:

- 1  $T$  is an analytic

## Definition

An operator  $R \in \mathcal{B}(\mathcal{H})$  is called **Cowen-Douglas** if there exists open subset  $\Omega \subset \sigma(R)$  such that

- 1  $(R - \lambda)\mathcal{H} = \mathcal{H}$  for all  $\lambda \in \Omega$
- 2  $\dim(\ker(R - \lambda)) = n$  for all  $\lambda \in \Omega$ .
- 3  $\bigvee_{\lambda \in \Omega} \ker(R - \lambda) = \mathcal{H}$

We denote this by  $R \in B_n(\Omega)$ .

## Theorem (D-)

Let  $T \in \mathcal{B}(\mathcal{H})$  be left invertible operator with  $\text{ind}(T) = -n$ , for  $n \geq 1$ . Then the following are equivalent:

- 1  $T$  is an analytic
- 2 There exists  $\epsilon > 0$  such that  $T^* \in B_n(\Omega)$  for  $\Omega = \{z : |z| < \epsilon\}$

## Definition

An operator  $R \in \mathcal{B}(\mathcal{H})$  is called **Cowen-Douglas** if there exists open subset  $\Omega \subset \sigma(R)$  such that

- 1  $(R - \lambda)\mathcal{H} = \mathcal{H}$  for all  $\lambda \in \Omega$
- 2  $\dim(\ker(R - \lambda)) = n$  for all  $\lambda \in \Omega$ .
- 3  $\bigvee_{\lambda \in \Omega} \ker(R - \lambda) = \mathcal{H}$

We denote this by  $R \in B_n(\Omega)$ .

## Theorem (D-)

Let  $T \in \mathcal{B}(\mathcal{H})$  be left invertible operator with  $\text{ind}(T) = -n$ , for  $n \geq 1$ . Then the following are equivalent:

- 1  $T$  is an analytic
- 2 There exists  $\epsilon > 0$  such that  $T^* \in B_n(\Omega)$  for  $\Omega = \{z : |z| < \epsilon\}$
- 3 There exists  $\epsilon > 0$  such that  $T^\dagger \in B_n(\Omega)$  for  $\Omega = \{z : |z| < \epsilon\}$

## Theorem

*If  $R \in B_n(\Omega)$ , then  $R$  is unitarily equivalent to  $M_z^*$  on a RKHS of analytic functions  $\widehat{\mathcal{H}}$  on  $\Omega^* = \{\bar{z} : z \in \Omega\}$ .*

## Theorem

*If  $R \in B_n(\Omega)$ , then  $R$  is unitarily equivalent to  $M_z^*$  on a RKHS of analytic functions  $\widehat{\mathcal{H}}$  on  $\Omega^* = \{\bar{z} : z \in \Omega\}$ .*

## Analytic Model

Let  $T$  be an analytic left invertible with  $\text{ind}(T) = -n$  for some positive integer  $n$ ,  $\{x_{i,j}\}$  the basis associated with  $T^{\dagger*}$ , and  $\Omega = \{z : |z| < \epsilon\}$  as in previous theorem.



## Theorem

*If  $R \in B_n(\Omega)$ , then  $R$  is unitarily equivalent to  $M_z^*$  on a RKHS of analytic functions  $\widehat{\mathcal{H}}$  on  $\Omega^* = \{\bar{z} : z \in \Omega\}$ .*

## Analytic Model

Let  $T$  be an analytic left invertible with  $\text{ind}(T) = -n$  for some positive integer  $n$ ,  $\{x_{i,j}\}$  the basis associated with  $T^{\dagger*}$ , and  $\Omega = \{z : |z| < \epsilon\}$  as in previous theorem. Then for each  $\lambda \in \Omega$ ,

$$x_\lambda = \sum_{i=1}^n \sum_{j \geq 0} \lambda^j x_{i,j}$$

exists in  $\widehat{\mathcal{H}}$ .

## Theorem

If  $R \in B_n(\Omega)$ , then  $R$  is unitarily equivalent to  $M_z^*$  on a RKHS of analytic functions  $\widehat{\mathcal{H}}$  on  $\Omega^* = \{\bar{z} : z \in \Omega\}$ .

## Analytic Model

Let  $T$  be an analytic left invertible with  $\text{ind}(T) = -n$  for some positive integer  $n$ ,  $\{x_{i,j}\}$  the basis associated with  $T^{\dagger*}$ , and  $\Omega = \{z : |z| < \epsilon\}$  as in previous theorem. Then for each  $\lambda \in \Omega$ ,

$$x_\lambda = \sum_{i=1}^n \sum_{j \geq 0} \lambda^j x_{i,j}$$

exists in  $\mathcal{H}$ . Moreover, for each  $f \in \mathcal{H}$ ,

$$\hat{f}(\lambda) = \langle f, x_{\bar{\lambda}} \rangle = \sum_{i=1}^n \sum_{j \geq 0} \lambda^j \langle f, x_{i,j} \rangle$$

## Assumption

- The Fredholm index:  $\text{ind}(T) = -1$
- Analytic:  $\bigcap T^n \mathcal{H} = 0$ .

## Assumption

- The Fredholm index:  $\text{ind}(T) = -1$
- Analytic:  $\bigcap T^n \mathcal{H} = 0$ .

## Theorem (D-)

*If  $T$  is a left invertible, then  $\mathfrak{A}_T$  contains the compact operators  $\mathcal{K}(\mathcal{H})$ . Moreover,  $\mathcal{K}(\mathcal{H})$  is a minimal ideal of  $\mathfrak{A}_T$ .*

## Assumption

- The Fredholm index:  $\text{ind}(T) = -1$
- Analytic:  $\bigcap T^n \mathcal{H} = 0$ .

## Theorem (D-)

*If  $T$  is a left invertible, then  $\mathfrak{A}_T$  contains the compact operators  $\mathcal{K}(\mathcal{H})$ . Moreover,  $\mathcal{K}(\mathcal{H})$  is a minimal ideal of  $\mathfrak{A}_T$ .*

## Corollary

*Let  $L$  be any left inverse of  $T$ . Then*

$$\mathfrak{A}_T = \overline{\text{Alg}}(T, L)$$

## Theorem (D-)

*Let  $T_i$ ,  $i = 1, 2$  be left invertible with  $\mathfrak{A}_i := \mathfrak{A}_{T_i}$ . Suppose that  $\phi : \mathfrak{A}_1 \rightarrow \mathfrak{A}_2$  is a bounded isomorphism. Then  $\phi = \text{Ad}_V$  for some invertible  $V \in \mathcal{B}(\mathcal{H})$ . That is, for all  $A \in \mathfrak{A}_1$ ,*

$$\phi(A) = VAV^{-1}$$

## Theorem (D-)

*Let  $T_i$ ,  $i = 1, 2$  be left invertible with  $\mathfrak{A}_i := \mathfrak{A}_{T_i}$ . Suppose that  $\phi : \mathfrak{A}_1 \rightarrow \mathfrak{A}_2$  is a bounded isomorphism. Then  $\phi = \text{Ad}_V$  for some invertible  $V \in \mathcal{B}(\mathcal{H})$ . That is, for all  $A \in \mathfrak{A}_1$ ,*

$$\phi(A) = VAV^{-1}$$

## Remark

To distinguish these algebras by isomorphism classes, we need to classify the similarity orbit:

$$\mathcal{S}(T) := \{VTV^{-1} : V \in \mathcal{B}(\mathcal{H}) \text{ is invertible}\}$$

## Remark

- To determine  $\mathcal{S}(T)$ , suffices to identify  $\mathcal{S}(T^*)$ .



## Remark

- To determine  $\mathcal{S}(T)$ , suffices to identify  $\mathcal{S}(T^*)$ .
- Recall that  $T^* \in B_1(\Omega)$  for some disc  $\Omega$  centered at the origin.

## Remark

- To determine  $\mathcal{S}(T)$ , suffices to identify  $\mathcal{S}(T^*)$ .
- Recall that  $T^* \in B_1(\Omega)$  for some disc  $\Omega$  centered at the origin.
- Determining the similarity orbit of Cowen-Douglas operators is a classic problem.

## Remark

- To determine  $\mathcal{S}(T)$ , suffices to identify  $\mathcal{S}(T^*)$ .
- Recall that  $T^* \in B_1(\Omega)$  for some disc  $\Omega$  centered at the origin.
- Determining the similarity orbit of Cowen-Douglas operators is a classic problem.

## Theorem (Jiang, Wang, Guo, Ji)

*Let  $A, B \in B_1(\Omega)$ . Then  $A$  is similar to  $B$  if and only if*

$$K_0(\{A \oplus B\}') \cong \mathbb{Z}$$

## Definition

An operator  $S \in \mathcal{B}(\mathcal{H})$  is **subnormal** if it has a normal extension:

$$N = \begin{pmatrix} S & A \\ 0 & B \end{pmatrix} \in \mathcal{B}(\mathcal{K})$$

## Definition

An operator  $S \in \mathcal{B}(\mathcal{H})$  is **subnormal** if it has a normal extension:

$$N = \begin{pmatrix} S & A \\ 0 & B \end{pmatrix} \in \mathcal{B}(\mathcal{K})$$

The operator  $N$  is said to be a **minimal normal extension** if  $\mathcal{K}$  has no proper subspace reducing  $N$  and containing  $\mathcal{H}$ .

## Definition

An operator  $S \in \mathcal{B}(\mathcal{H})$  is **subnormal** if it has a normal extension:

$$N = \begin{pmatrix} S & A \\ 0 & B \end{pmatrix} \in \mathcal{B}(\mathcal{K})$$

The operator  $N$  is said to be a **minimal normal extension** if  $\mathcal{K}$  has no proper subspace reducing  $N$  and containing  $\mathcal{H}$ .

## Definition

Let  $\mu$  be a scalar-valued spectral measure associated to  $N$ , and  $f \in L^\infty(\sigma(N), \mu)$ .

## Definition

An operator  $S \in \mathcal{B}(\mathcal{H})$  is **subnormal** if it has a normal extension:

$$N = \begin{pmatrix} S & A \\ 0 & B \end{pmatrix} \in \mathcal{B}(\mathcal{K})$$

The operator  $N$  is said to be a **minimal normal extension** if  $\mathcal{K}$  has no proper subspace reducing  $N$  and containing  $\mathcal{H}$ .

## Definition

Let  $\mu$  be a scalar-valued spectral measure associated to  $N$ , and  $f \in L^\infty(\sigma(N), \mu)$ . Define  $T_f \in \mathcal{B}(\mathcal{H})$  via

$$T_f := P(f(N))|_{\mathcal{H}}$$

where  $P$  is the orthogonal projection of  $\mathcal{K}$  onto  $\mathcal{H}$ .

## Theorem (Keough, Olin and Thomson )

*If  $S$  is an irreducible, subnormal, essentially normal operator, then:*

$$C^*(S) = \{T_f + K : f \in C(\sigma(N)), K \in \mathcal{K}(\mathcal{H})\}$$

*Moreover, if  $\sigma(N) = \sigma_e(S)$ , then each element has  $A \in C^*(S)$  has a unique representation of the form  $T_f + K$ .*



## Theorem (D-)

*Let  $S$  be an analytic left invertible,  $\text{ind}(S) = -1$ , essentially normal, subnormal operator with  $N := mne(S)$  such that  $\sigma(N) = \sigma_e(S)$ .*

## Theorem (D-)

*Let  $S$  be an analytic left invertible,  $\text{ind}(S) = -1$ , essentially normal, subnormal operator with  $N := mne(S)$  such that  $\sigma(N) = \sigma_e(S)$ . Set*

$$\mathcal{B} = \overline{\text{Alg}}\{z, z^{-1}\}$$

*on  $\sigma_e(S)$ . Then*

## Theorem (D-)

*Let  $S$  be an analytic left invertible,  $\text{ind}(S) = -1$ , essentially normal, subnormal operator with  $N := mne(S)$  such that  $\sigma(N) = \sigma_e(S)$ . Set*

$$\mathcal{B} = \overline{\text{Alg}}\{z, z^{-1}\}$$

*on  $\sigma_e(S)$ . Then*

$$\mathfrak{A}_S = \{T_f + K : f \in \mathcal{B}, K \in \mathcal{K}(\mathcal{H})\}$$

*Moreover, the representation of each element as  $T_f + K$  is unique.*

## Future Work:

- Are the spectral pictures “general”, and do they determine the isomorphism classes?

## Future Work:

- Are the spectral pictures “general”, and do they determine the isomorphism classes?
- Does there exist a representing measure for  $\partial\Omega$ ?

## Future Work:

- Are the spectral pictures “general”, and do they determine the isomorphism classes?
- Does there exist a representing measure for  $\partial\Omega$ ?
- Determine the isomorphism classes for  $\text{ind}(T) < -1$ .

## Future Work:

- Are the spectral pictures “general”, and do they determine the isomorphism classes?
- Does there exist a representing measure for  $\partial\Omega$ ?
- Determine the isomorphism classes for  $\text{ind}(T) < -1$ .
- Any hope for non-analytic left invertibles?

## Future Work:

- Are the spectral pictures “general”, and do they determine the isomorphism classes?
- Does there exist a representing measure for  $\partial\Omega$ ?
- Determine the isomorphism classes for  $\text{ind}(T) < -1$ .
- Any hope for non-analytic left invertibles?
- Investigate other algebras that arise from graphs - e.g. “Cuntz algebra”.

