

Fraud Prevention Implementation Dashboard

Dashboard Overview

A real-time monitoring system tracking all 8 key fraud prevention initiatives with progress metrics, alerts, and RAG status.

Dashboard Components

1. Executive Summary Panel

Overall Implementation Status: 65% Complete (RAG: Amber)

Days Since Launch: 45/90 days

Critical Risks Identified: 12

Total Controls Implemented: 34/48

Staff Training Completion: 42%

2. Initiative Tracking Matrix (Interactive)

Initiative	Owner	Status	% Complete	Last Update	Next Milestone
Risk Assessment	Risk Officer		100%	Mar 15	Quarterly Review
Public Sector Compliance Audit	Compliance Lead		85%	Mar 20	GovS-013
Fraud Team Establishment	HR Director		100%	Mar 10	Team Training
Policy Updates	Legal Counsel		70%	Mar 18	Board Approval
Monitoring Technology	IT Director		40%	Mar 22	System Testing
Staff Training Program	L&D Manager		65%	Mar 25	Dept Rollout
Reporting Channels	Governance Lead		100%	Mar 5	Awareness Campaign
Regular Reviews	Fraud Risk Owner		50%	Mar 15	Q1 Assessment

3. Risk Heat Map (Interactive)

Clickable risk categories showing vulnerabilities

- PROCUREMENT RISKS (High)
 - Fake Suppliers: Critical
 - Inflated Pricing: Medium
 - Bid Rigging: Medium

PAYROLL RISKS (Medium)

- Ghost Employees: Low
 - Timesheet Fraud: Medium
 - Expense Fraud: Medium

DIGITAL SYSTEMS (High)

- Email Compromise: Critical
 - Data Theft: Medium

System Manipulation: ● Critical 4. Compliance Tracker
GovS-013 Alignment Status: 88% Compliant

Standard Section	Status	Evidence Gap
1. Leadership	✓	Board resolution
2. Assessment	✓	Risk register
3. Prevention	●	Policies updated
4. Detection	●	Monitoring system
5. Response	●	Response plan
6. Governance	✓	Steering committee
5. Team Accountability Dashboard	CROSS-FUNCTIONAL TEAM (8 Members)	

Name/Dept	Assigned Tasks	Completion
Jane Smith (Finance)	12	92%
Raj Patel (IT)	8	50%
Maria Chen (HR)	6	100%
David Jones (Legal)	10	70%

Policy Implementation Timeline

Gantt Chart View: Policy Review Cycle:

- Q1 2024: Fraud Response Plan ✓
- Q1 2024: Whistleblowing Policy ✓
- Q2 2024: Procurement Policy ● (in progress)
- Q2 2024: Expense Policy ●
- Q3 2024: All Policies Complete

7. Technology Implementation Status

MONITORING SYSTEMS DEPLOYMENT:

- Transaction Monitoring: ● Delayed (40%)
- Anomaly Detection: ● Testing (65%)
- Duplicate Payment Check: ✓ Live
- Supplier Verification: ● Configuring (75%)

8. Training Completion Dashboard

Departmental Progress: Overall: 42% Complete

- Executive Team: 100% ✓
- Finance Dept: 85% ●
- Procurement: 60% ●
- HR/Payroll: 45% ●
- Operations: 30% ●
- All Other Staff: 25% ●

Reporting Channel Analytics

- Total Reports: 12
- Anonymous: 8 (67%)
- Substantiated: 3 (25%)
- Avg Resolution Time: 14 days
- Employee Sentiment: Positive . Quarterly Review Schedule

Upcoming Deadlines (Next 90 Days): April 2024:

- Q1 Fraud Risk Assessment (Apr 15)
- Policy Review Committee (Apr 22)
- Board Progress Report (Apr 30)

May 2024:

- Control Testing Cycle (May 10)
- Staff Training Refresh (May 20)
- External Audit Prep (May 27) Excel-Based Dashboard

Create with:

Sheet 1: Executive Summary with formulas

Sheet 2: Data tables for each initiative

Sheet 3: Risk register with conditional formatting

Sheet 4: Charts and graphs

Use slicers for interactive filtering Automated Alerts & Notifications

Triggers to Configure:

Red Status Alert: Email to initiative owner + fraud team

Missed Deadline: Escalate to department head

New High Risk: Immediate notification to steering committee

Low Training Compliance: Alert to department managers

Quarterly Review Due: Calendar invite + reminder FRAUD PREVENTION
IMPLEMENTATION REPORT - [Month]

Overall Status: [RAG]

Initiatives Completed: X/8

Critical Risks Mitigated: X

Training Compliance: X%

Upcoming Deadlines: [List]

Blockers Requiring Attention: [List] Make This Interactive:

For Clickable Elements:

Each initiative card expands to show details

Risk items link to full risk assessment

Department names filter training data

Calendar items link to meeting invites

For Real-Time Updates:

Connect to live project management API

Set up daily data refresh

Enable manual status updates

Include comment/update functionality

For Stakeholder Views:

Executive View: High-level metrics only

Manager View: Department-specific data

Team View: Task assignments and due dates

Auditor View: Compliance evidence trail

Implementation Steps:

Week 1: Set up data collection templates

Week 2: Build dashboard framework

Week 3: Connect data sources

Week 4: Test with pilot group

Week 5: Roll out to all stakeholders

Week 6: Train users + establish update process

This component covers all structured input forms required to capture, update, and submit data across the entire dashboard. Each form is integrated with relevant components to ensure data accuracy and real-time sync. Details

Function Needs Standardize data input across all dashboard processes; ensure consistency, completeness, and compliance for all submissions; enable automated routing and processing of requests or updates.

List of Forms & Required Fields

1. **Initiative Submission Form** Fields: Initiative name, category, description, expected risk reduction, budget estimate, start/end dates, proposed owner, key milestones, dependency details
Purpose: Submit new fraud prevention initiatives for approval and tracking
Workflow: Routing to stakeholders for review → Approval/Rejection → Auto-add to Initiative Tracking Matrix if approved
2. **Risk Assessment & Reporting Form** Fields: Risk category, description, likelihood rating, impact rating, proposed mitigation plan, owner, supporting

evidence or dataPurpose: Document new or updated fraud risks for inclusion in the Risk Heat MapWorkflow: Validation of rating criteria → Routing to risk owners for review → Auto-update of Risk Heat Map

3. Compliance Update Form Fields: Policy/regulation reference, compliance status update, audit findings, corrective actions taken, evidence document uploads, deadline updatesPurpose: Log compliance status changes, audit results, and supporting evidenceWorkflow: Evidence verification (if required) → Status update reflected in Compliance Tracker → Automated alerts for non-compliant items

4. Task Update Form Fields: Task ID, progress percentage, status update, notes, dependency updates, bottleneck or issue detailsPurpose: Allow team members to update task progress and flag issuesWorkflow: Auto-sync with Team Accountability Dashboard → Notifications to task owners or managers if delays/bottlenecks are reported

5. Fraud Report Submission Form Fields: Report type, incident description, date/time of occurrence, affected systems or departments, evidence attachments, reporter contact details (optional anonymous submission)Purpose: Enable users to submit fraud reports via official channelsWorkflow: Auto-assign to investigation team → Track status in Reporting Channel Analytics → Update case status as investigation progresses

6. Training Feedback & Completion Form Fields: Training module ID, completion confirmation, assessment answers, feedback on training effectiveness, suggested improvementsPurpose: Record training completion and gather feedback to improve programsWorkflow: Auto-update Training Completion Dashboard → Completion data synced to Compliance Tracker for audit purposes

7. Quarterly Review Input Form Fields: Review period, pre-meeting data updates, agenda item suggestions, preliminary findings, feedback on current program performancePurpose: Collect input from attendees prior to quarterly reviewsWorkflow: Auto-consolidate responses into review briefing materials → Link to Quarterly Review Schedule records

8. Technology Issue Report Form Fields: Technology solution name, issue description, impact on fraud prevention processes, steps taken to resolve, status updatePurpose: Log and track issues with deployed fraud prevention technologiesWorkflow: Auto-add to Technology Implementation Status issue log → Assign to relevant technical or vendor contacts

UI/UX Elements Mobile-responsive form layouts with required field indicatorsConditional fields that appear based on user selections (e.g., additional fields for high-priority risk reports)One-tap attachment of documents or images as evidenceProgress indicators for multi-step formsConfirmation screen with submission details and reference ID

Core Actions Complete and submit any form type from within the dashboardSave draft forms to complete laterTrack the status of submitted formsEdit or update submitted forms (if allowed by workflow rules)Receive notifications when a form requires approval or action

Implementation Todos Build form templates aligned with each use case listed aboveConfigure conditional logic to show/hide fields based on user input or

roleSet up workflow rules for routing, approval, and auto-syncing data to relevant dashboard componentsEnable offline form completion with auto-sync when connectivity is restoredEnsure all forms comply with data privacy regulations (e.g., GDPR) for sensitive submissionsAdd form submission logs to the audit trail for compliance tracking

Dependencies All dashboard components (for data sync), document storage system (for attachments), access control system (for form editing permissions), audit trail functionality

update the light mode