

# Recursion

# Recurrence relations: Fibonacci sequence

Fibonacci sequence: 0, 1, 1, 2, 3, 5, 8, 13, 21, 34...

$$f_2 = f_1 + f_0 = 1 + 0 = 1$$

$$f_3 = f_2 + f_1 = 1 + 1 = 2$$

$$f_4 = f_3 + f_2 = 2 + 1 = 3$$

$$f_5 = f_4 + f_3 = 3 + 2 = 5$$

**Recurrence relation:** an equation that **relates** the  $n^{th}$  element  $f_n$  of a sequence to some of its predecessors  $f_0, f_1, \dots, f_{n-1}$ .

$$f_n = (f_{n-1} + f_{n-2}) \text{ for } n \geq 2$$

$$\left. \begin{array}{l} f_0 = 0 \\ f_1 = 1 \end{array} \right\} \text{initial condition}$$

We need an **initial condition** that provides the starting values for a finite number of elements of the sequence.

# Recursive calls

- Used frequently in computer programs.
- A recursive function calls itself.

## Example

The factorial function:

$$n! = 1 \times 2 \times 3 \cdots (n - 1) \times n \quad \text{for } n \geq 1.$$

$0! = 1$  by definition.

Hence,  $n! = n \times (n - 1)!$  for  $n \geq 1$ .

$$\text{factorial}(n) = n \times \text{factorial}(n - 1)$$

# Example: $N!$

```
def factorial(n):  
    if n==0:  
        answer = 1  
    else:  
        answer = n*factorial(n-1)  
    return answer
```

A test for the  
**BASE CASE**  
(initial condition)  
enables the  
recursive calls  
to **stop**.

A shorter version that does  
exactly the same thing:

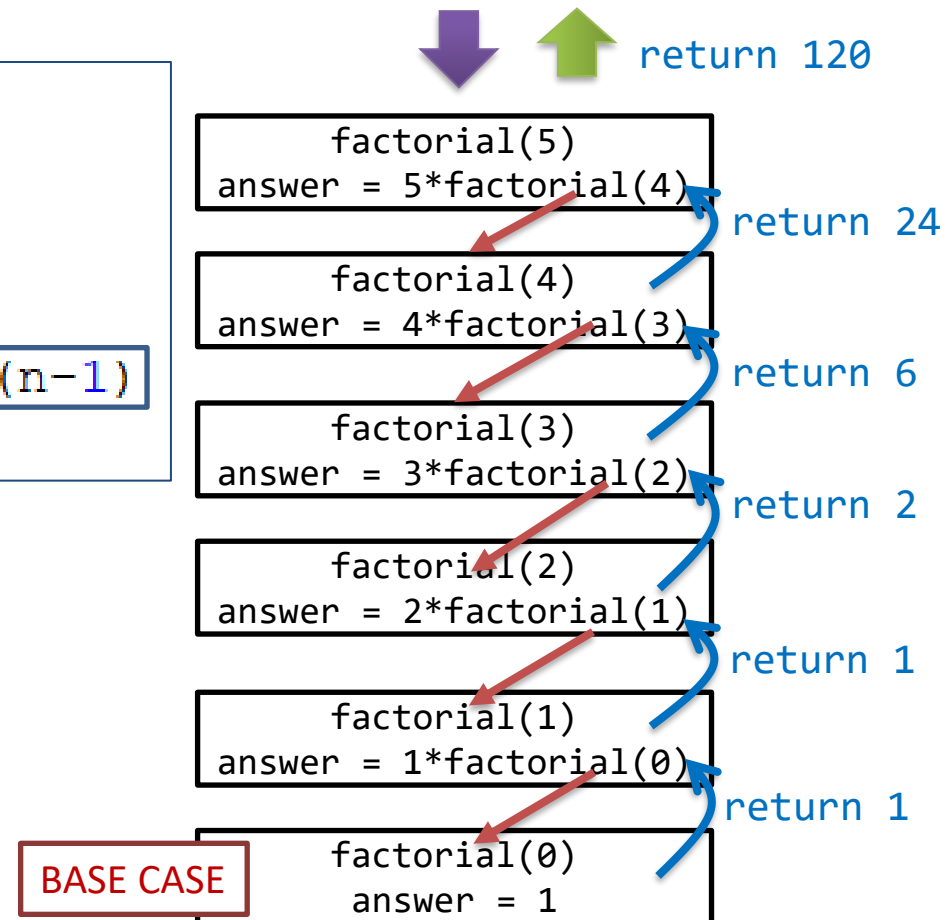
```
def factorial(n):  
    if n==0:  
        return 1  
    else:  
        return n*factorial(n-1)
```

Each recursive call  
solves an  
**identical**  
(but **smaller**)  
problem.

# Anatomy of a recursive call

```
def factorial(n):  
    if n==0:  
        answer = 1  
    else:  
        answer = n*factorial(n-1)  
    return answer
```

Remember that each call to a function starts that function anew. That means it **has its own copy of any local values**, including the values of the parameters.



# Example: Time Analysis for $N!$

$$factorial(n) = n \times factorial(n - 1)$$

Let  $T(n)$  be the number of multiplications needed to compute  $factorial(n)$ .

$$T(n) = T(n - 1) + 1$$

$$T(0) = 1$$

$T(n - 1)$  multiplications are needed to compute  $factorial(n - 1)$ , and one more multiplication is needed to multiply the result by  $n$ .

# Example: Time Analysis for N!

Using the method of backward substitutions

$$T(n) = T(n - 1) + 1$$

$$= [T(n - 2) + 1] + 1$$

$$= T(n - 2) + 2$$

$$= [T(n - 3) + 1] + 2$$

$$= T(n - 3) + 3$$

...

$$= [T(n - n) + 1] + (n - 1)$$

$$= T(n - n) + n$$


$$= T(0) + n$$

$$= 1 + n$$

Time efficiency of the recursive n! algorithm is of  $O(n)$ .

# Beauty of Recursive Algorithms

$$f(0) = 1$$
$$f(n) = n \times \text{factorial}(n - 1), n \geq 1$$



Direct translation between the  
recurrence relation and the  
recursive algorithm

```
def factorial(n):  
    if n==0:  
        return 1  
    else:  
        return n*factorial(n-1)
```



# Strategy for Designing Recursive Algorithm

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1. Identify the recurrence relation to solve the problem.
2. Translate the recurrence relation to a recursive algorithm.
3. Take note to translate the initial condition in the recurrence relation into the **BASE CASE** for the recursive algorithm.

# Example: Fibonacci sequence

Recall that:

$$f_n = f_{n-1} + f_{n-2}, \quad n \geq 2$$
$$f_0 = 0, f_1 = 1 \text{ (initial condition)}$$



```
def f(n):  
    if n==0: return 0  
    if n==1: return 1 } BASE CASE  
    if n>= 2: return f(n-1) + f(n-2)
```

# Recursive vs. Iterative

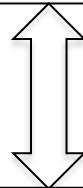
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One should be careful with recursive algorithms because their conciseness, clarity and simplicity may hide their **inefficiencies**.

# Example: Fibonacci Sequence

Recall that:

$$f_n = (f_{n-1} + f_{n-2}), \quad n \geq 2$$
$$f_0 = 0, \quad f_1 = 1 \text{ (initial condition)}$$

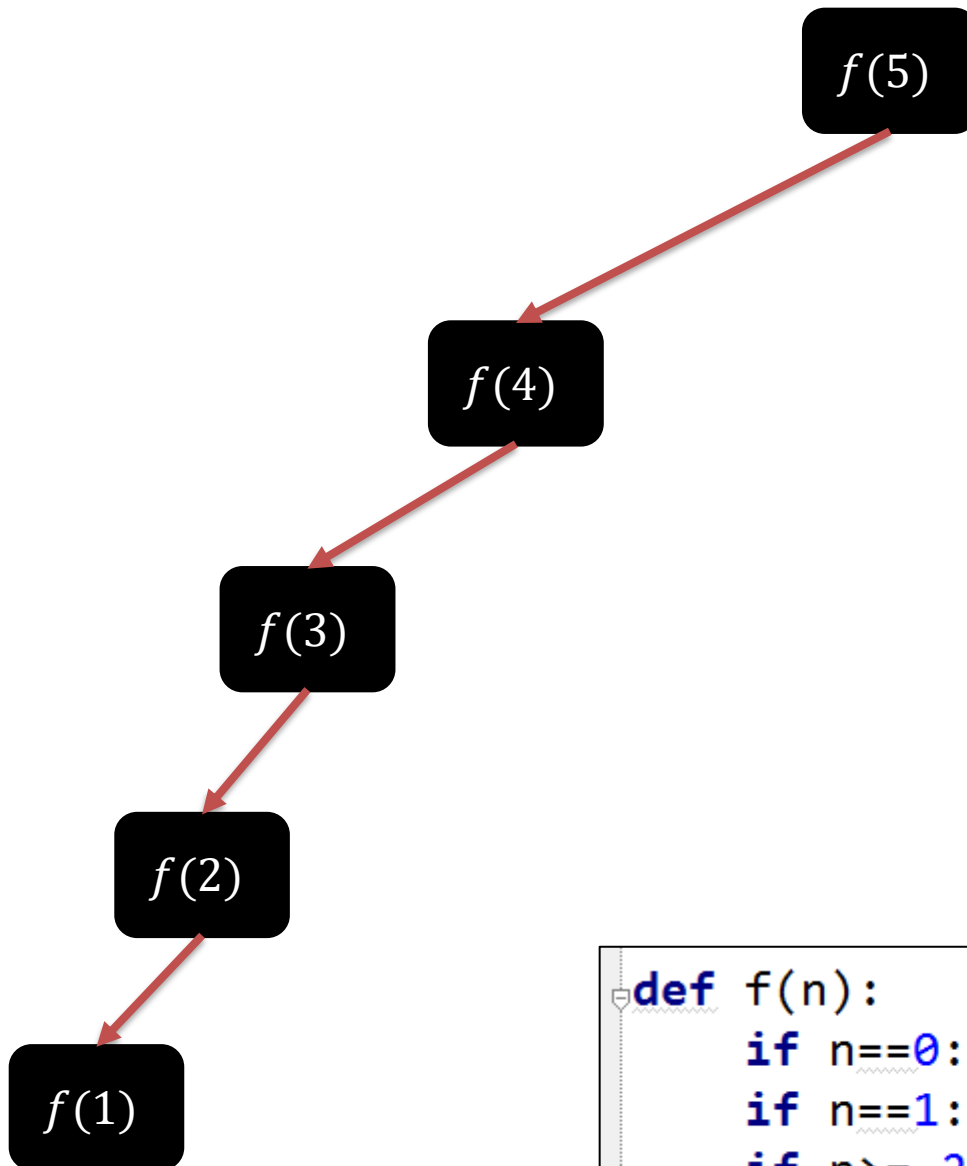


```
def f(n):  
    if n==0: return 0  
    if n==1: return 1  
    if n>= 2: return f(n-1) + f(n-2)
```

# Example: Fibonacci Sequence

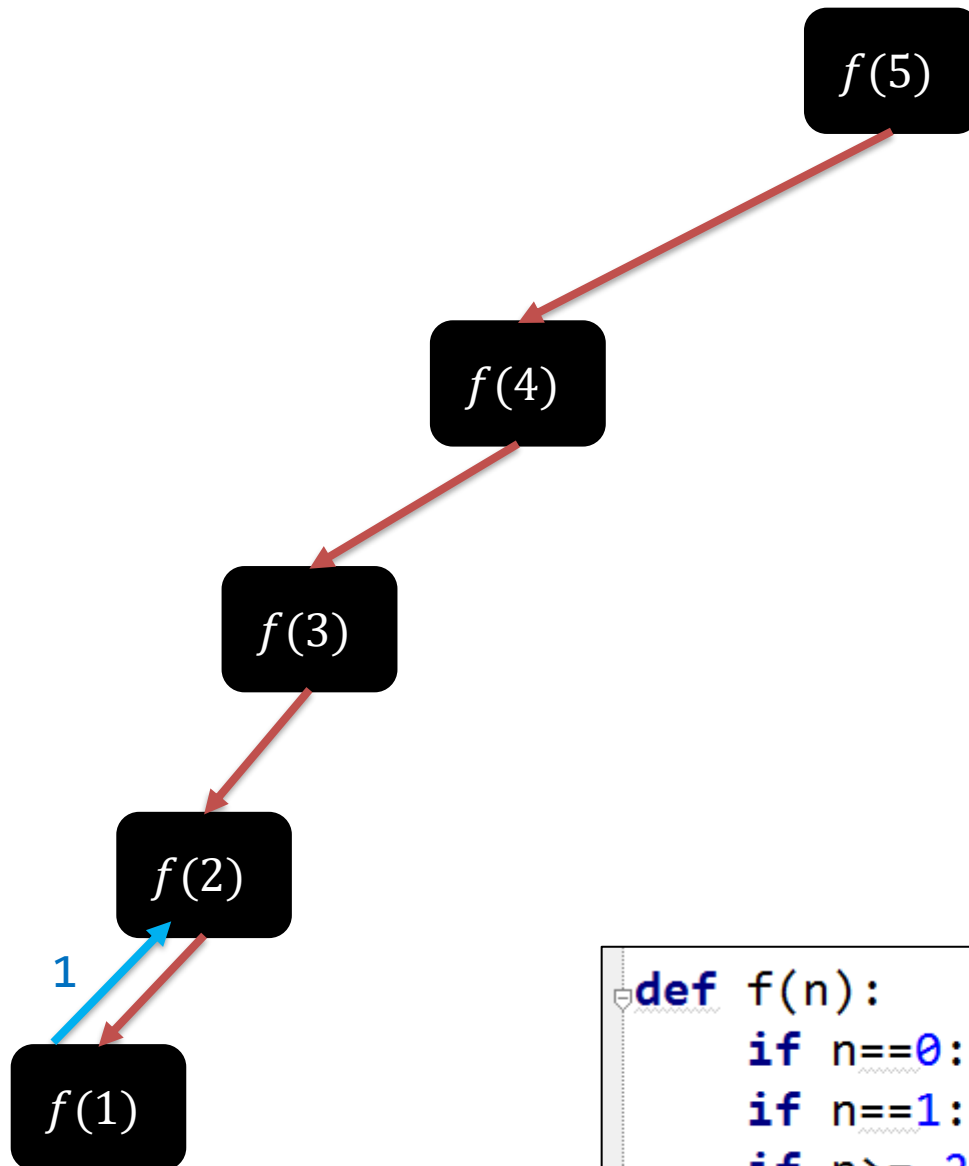
- An **iterative** algorithm for the Fibonacci numbers has running time of  $O(n)$ .
- But **using recursion, each call  $f(n)$  leads to another **two** calls:  $f(n - 1)$  and  $f(n - 2)$ .**

# Example: Fibonacci Sequence



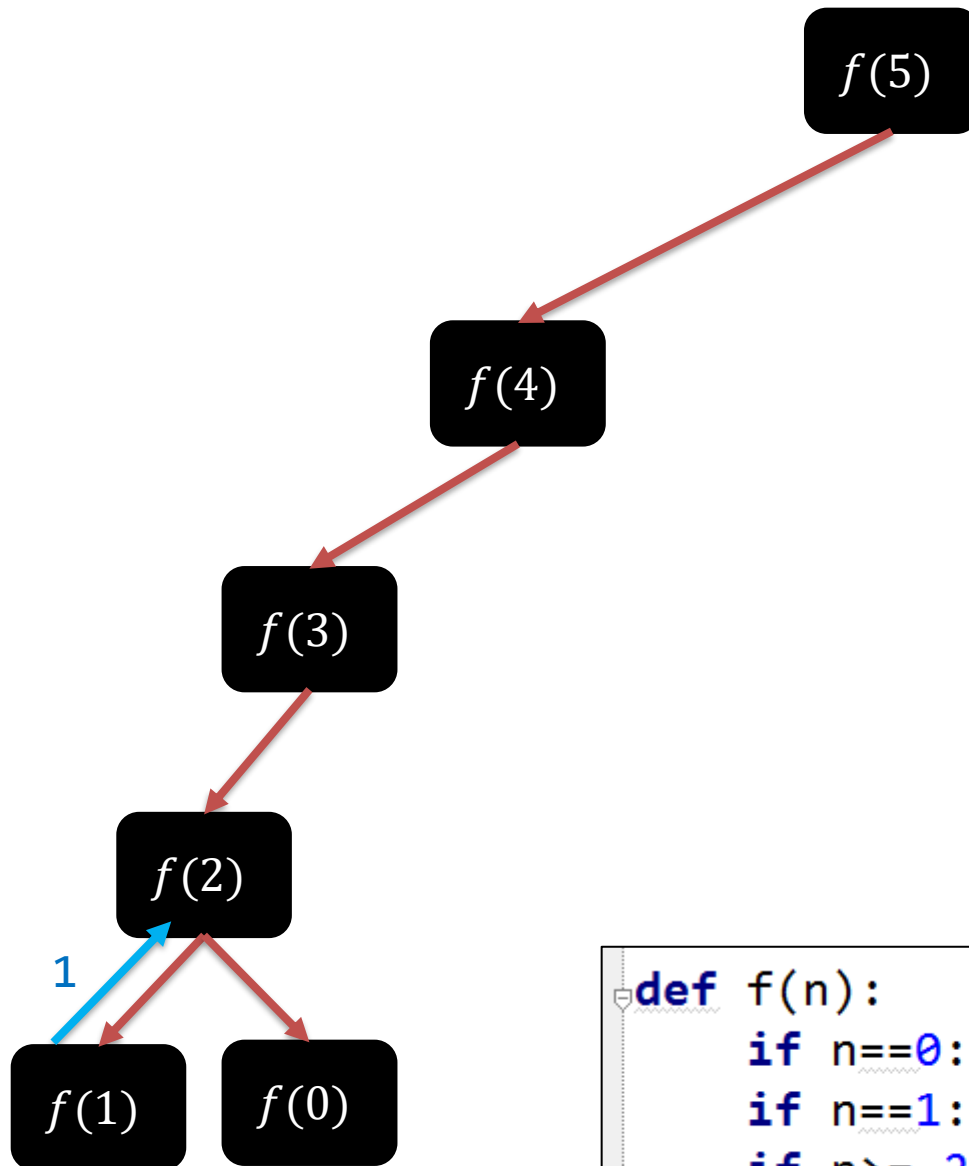
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# Example: Fibonacci Sequence



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    if n==1: return 1  
    if n>= 2: return f(n-1) + f(n-2)
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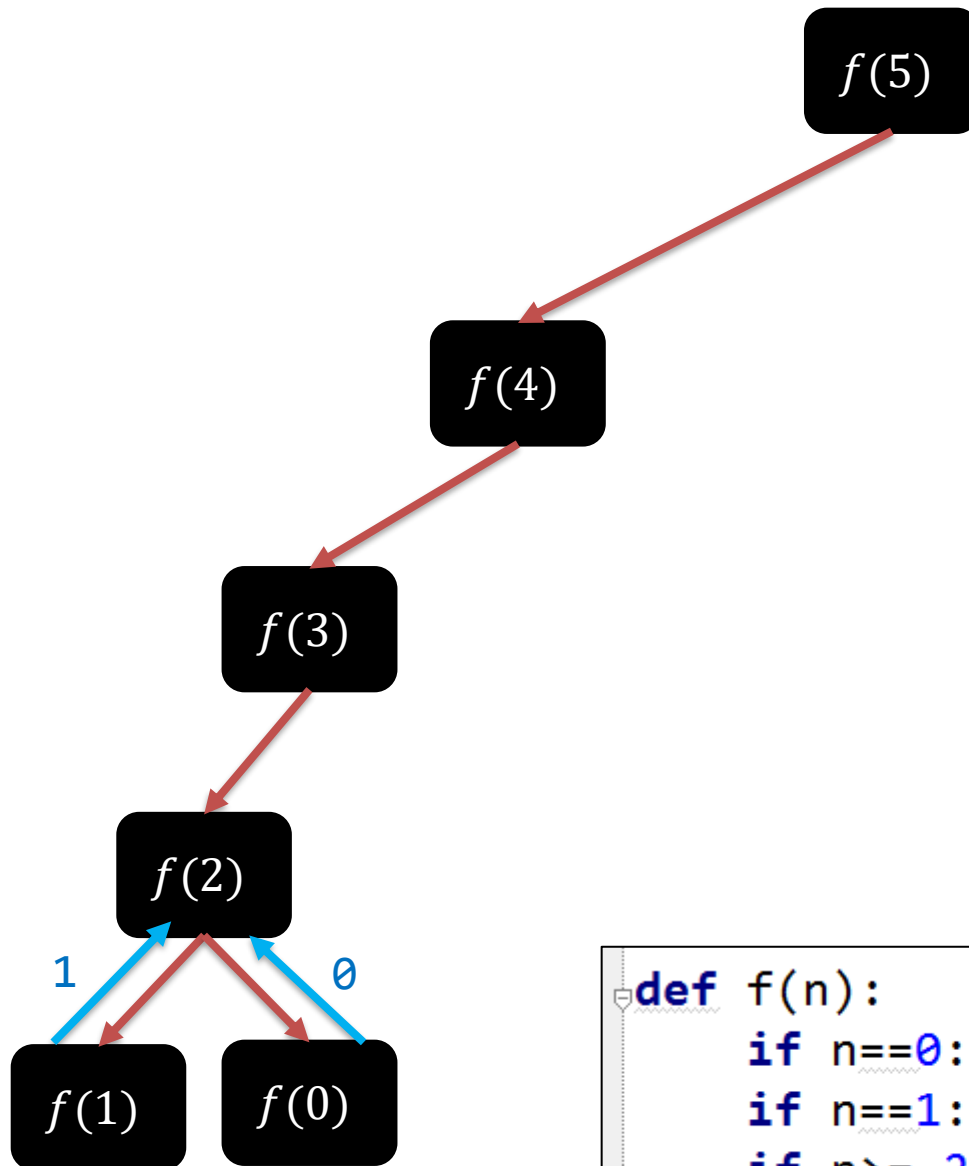
# Example: Fibonacci Sequence



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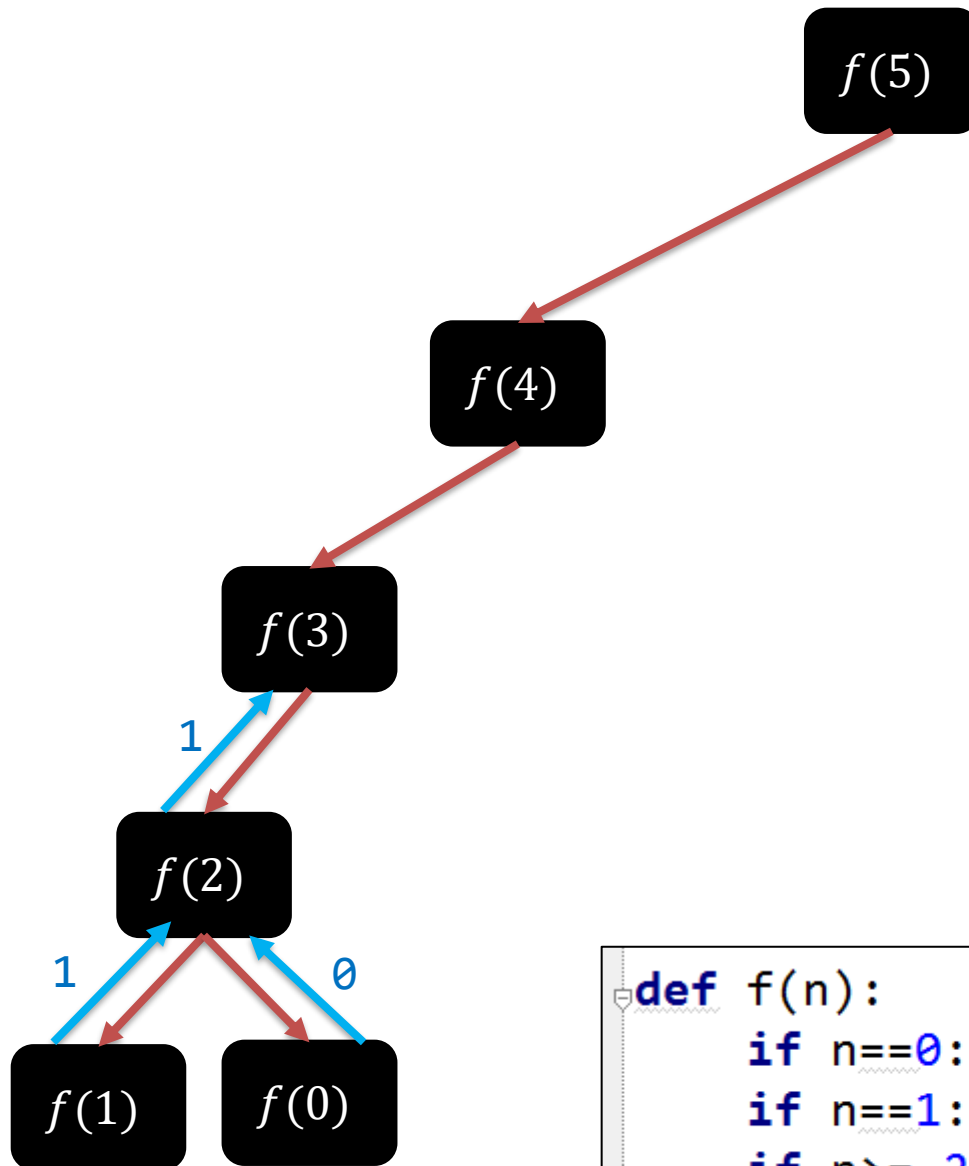


# Example: Fibonacci Sequence



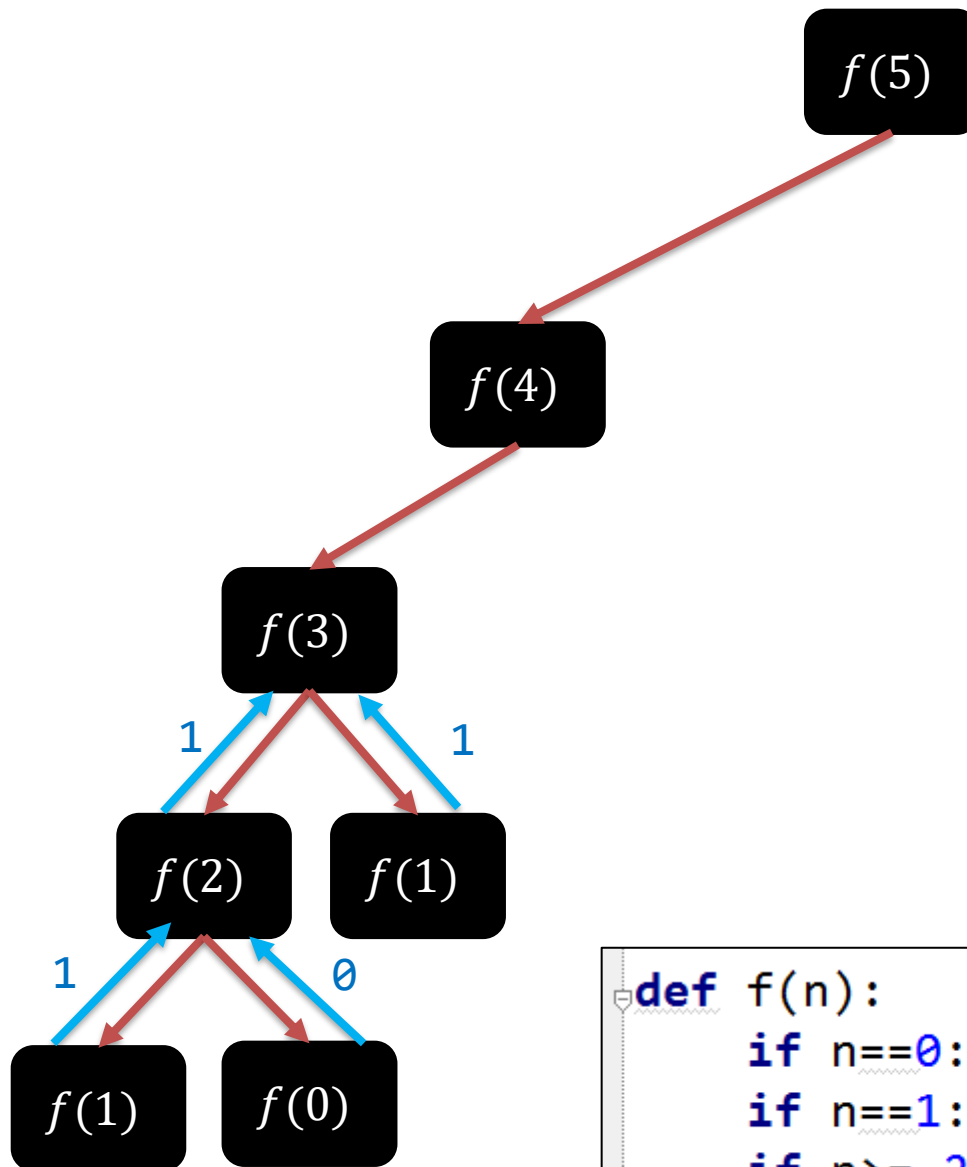
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```

# Example: Fibonacci Sequence



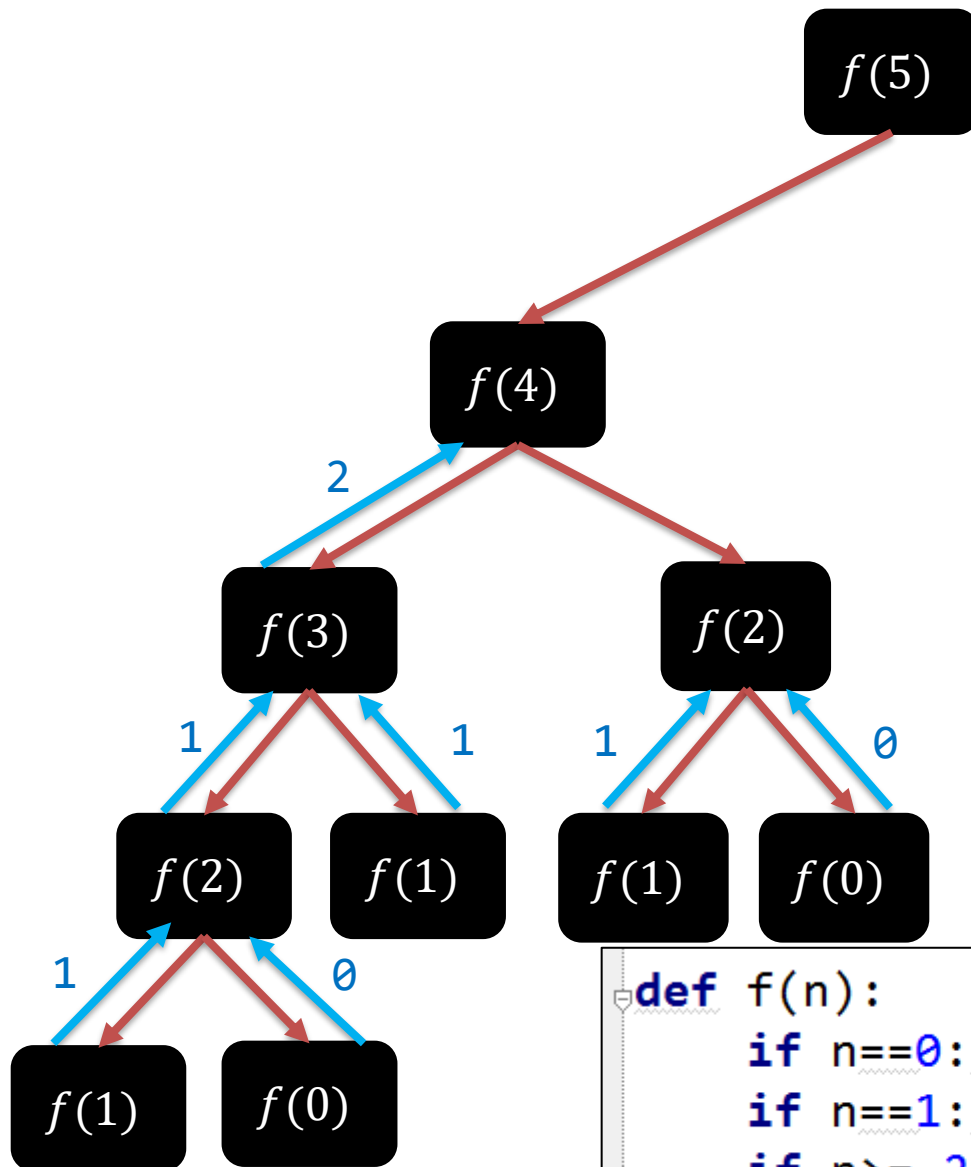
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```

# Example: Fibonacci Sequence



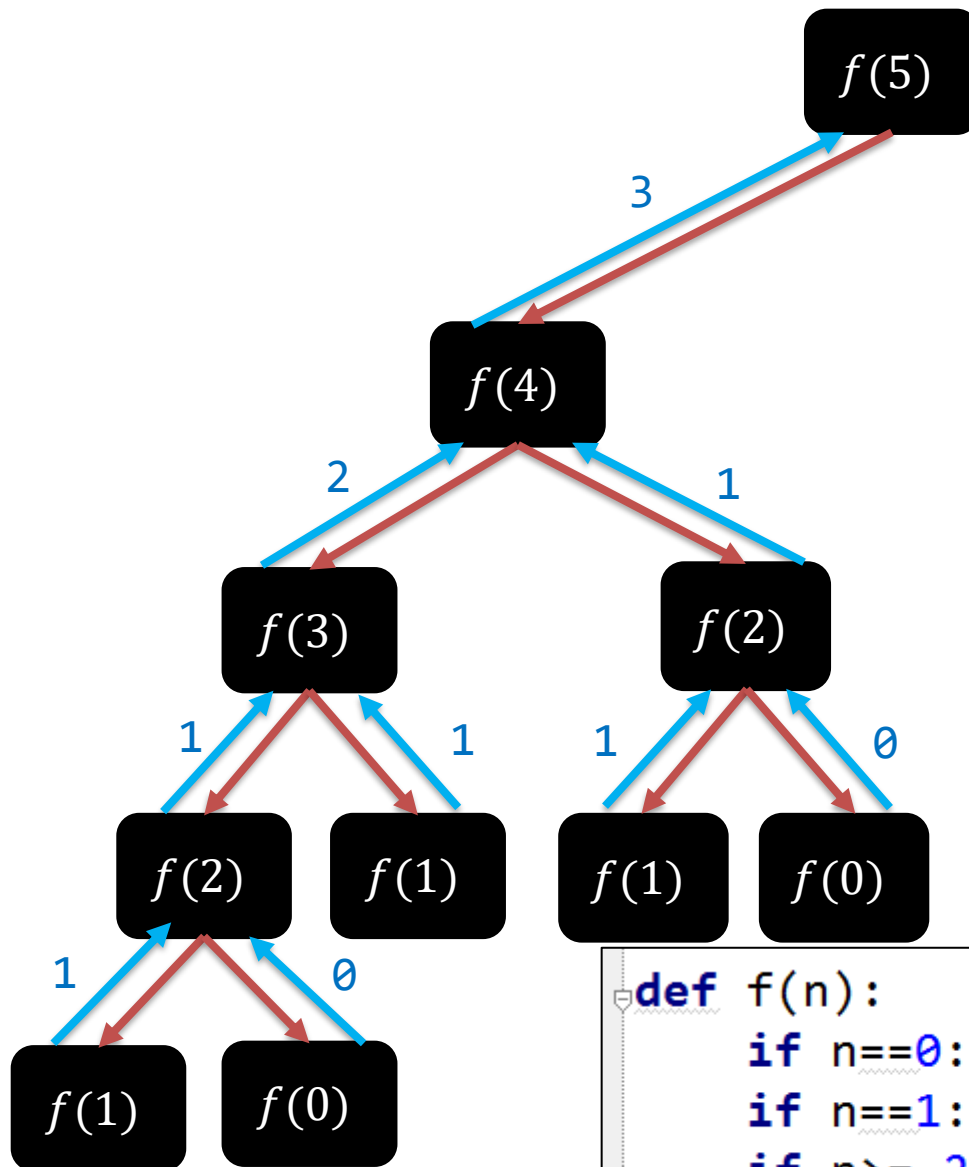
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# Example: Fibonacci Sequence



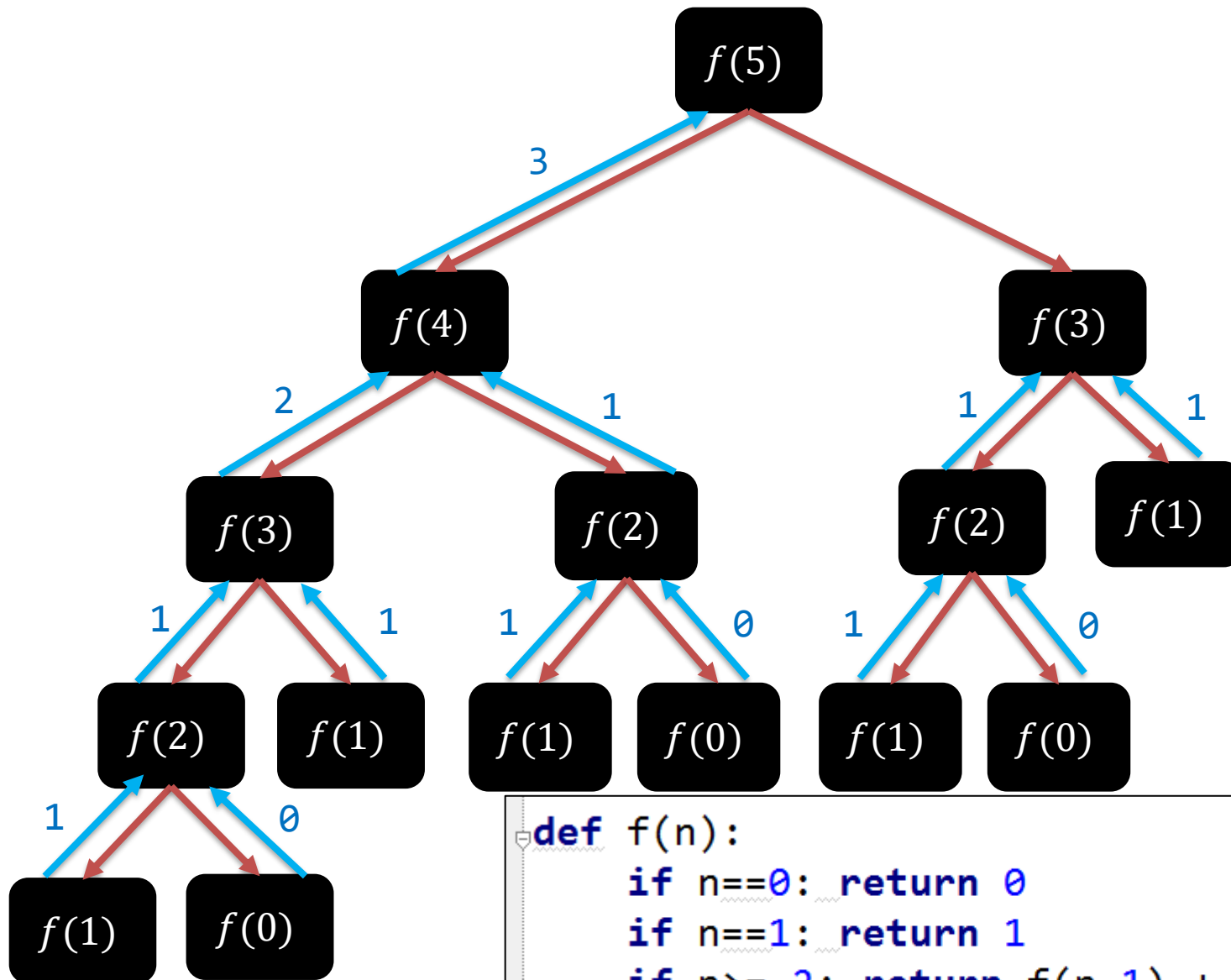
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```

# Example: Fibonacci Sequence



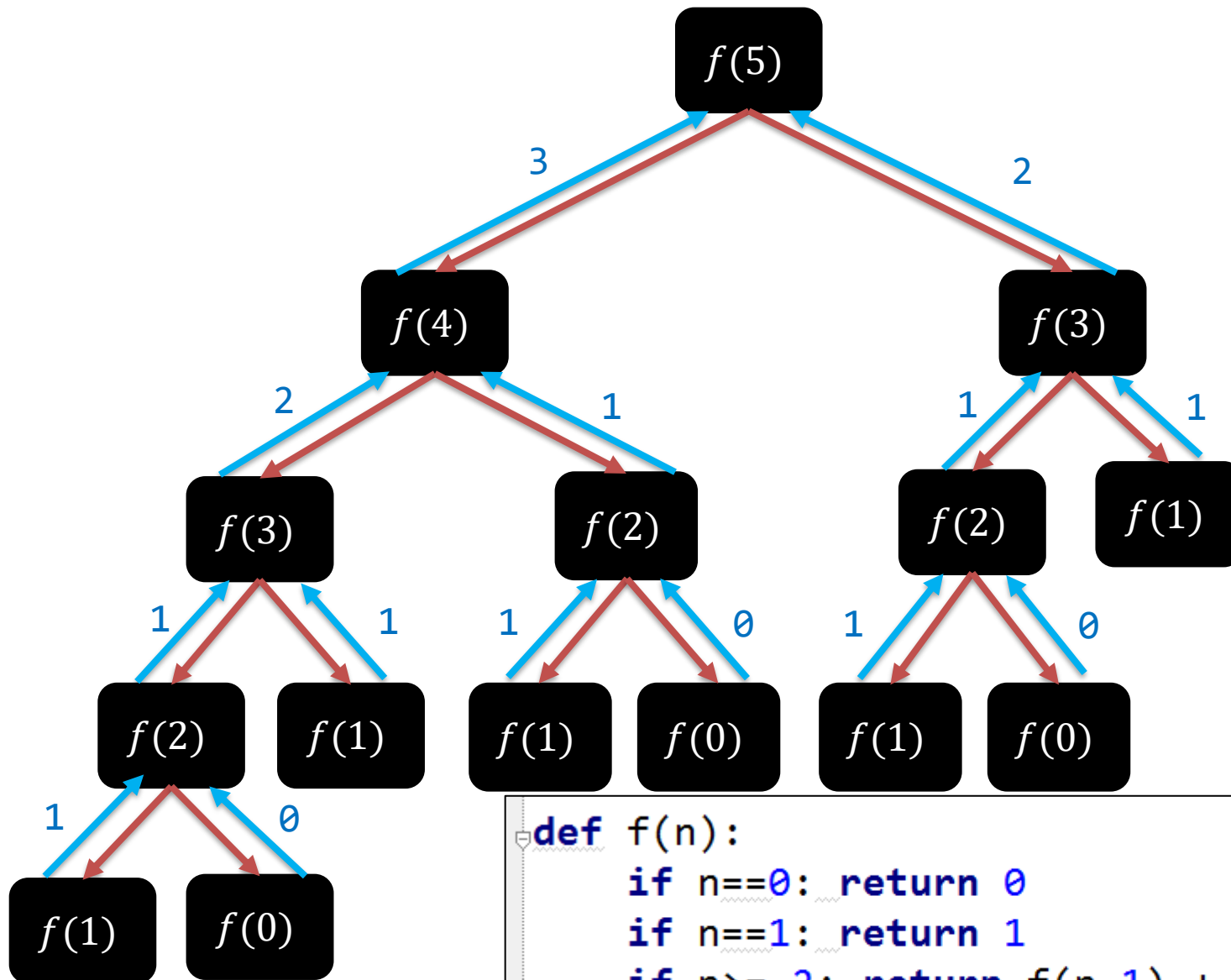
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```

# Example: Fibonacci Sequence



```
def f(n):  
    if n==0: return 0  
    if n==1: return 1  
    if n>= 2: return f(n-1) + f(n-2)
```

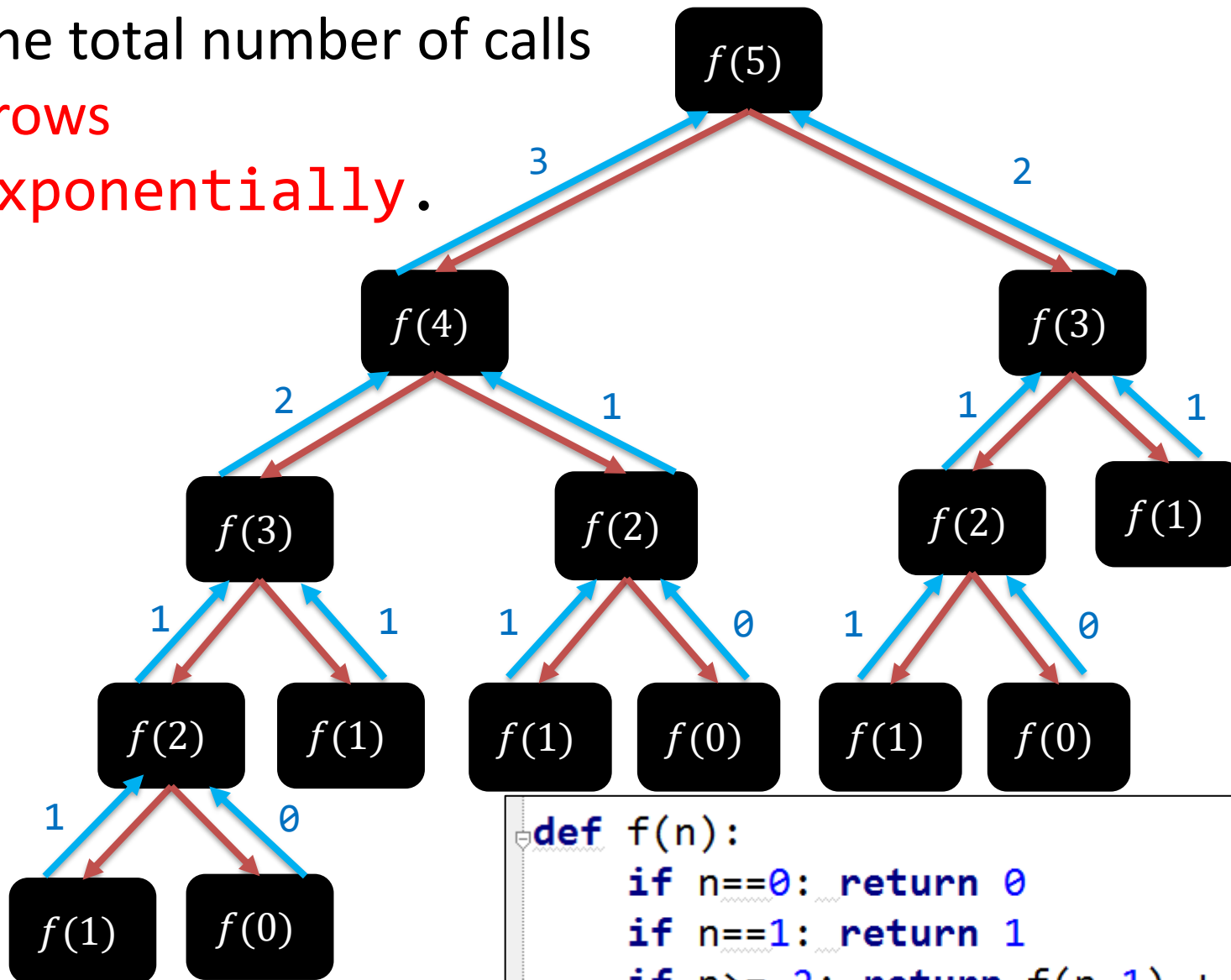
# Example: Fibonacci Sequence



```
def f(n):  
    if n==0: return 0  
    if n==1: return 1  
    if n>= 2: return f(n-1) + f(n-2)
```

# Example: Fibonacci Sequence

The total number of calls  
grows  
exponentially.





# Example: Fibonacci Sequence

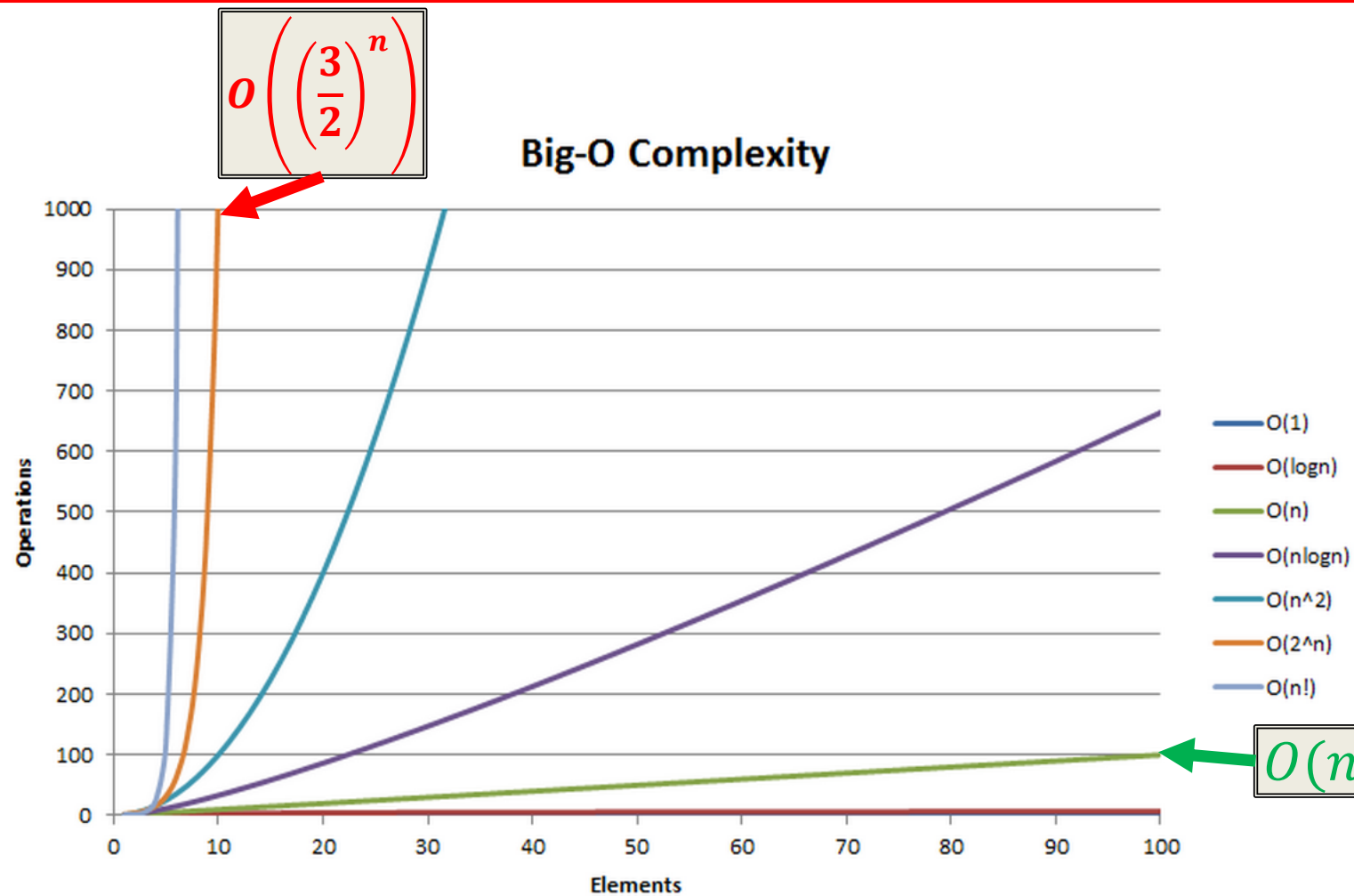
## Running time of recursive Fibonacci algorithm

```
def f(n):  
    if n==0: return 0  
    if n==1: return 1  
    if n>= 2: return f(n-1) + f(n-2)
```

$$T(n) = T(n - 1) + T(n - 2)$$

$$T(0) = 1, T(1) = 1$$

It can be shown that  $T(n) = \Omega\left(\left(\frac{3}{2}\right)^n\right)$ .



# Example: Binary Search

- **Goal.**

Given a sorted array and a key.

Find index (location) of the key in the array.

- **Binary search.**

Compare key against middle entry.

1. Smaller, search in the left half.
2. Bigger, search in the right half.
3. Equal, return the index.
4. Size  $\leq 0$ , return -1.

# Example: Binary Search

0	1	2	3	4	5	6	7	8	9
6	13	14	25	33	43	51	53	64	72
↑ lo				↑ mid					↑ hi

```
def search(a, lo, hi, key):  
    if lo > hi: return -1  
  
    mid = (int)((hi + lo) / 2)  
    if a[mid] > key:  
        return search(a, lo, mid - 1, key)  
    elif a[mid] < key:  
        return search(a, mid + 1, hi, key)  
    else:  
        return mid
```

## Binary search.

Compare key against middle entry.

1. Smaller, search in the left half.
2. Bigger, search in the right half.
3. Equal, return the index.
4. Size  $\leq 0$ , return -1.

# Example: Exponentiation

- Compute  $a^n$  for an integer  $n$ .
- A quick and easy algorithm.

```
def power(a,n):  
    answer = 1  
    for i in range(n):  
        answer = answer * a  
    return answer
```

Time complexity  
 $O(n)$

- $2^8 = 2 \times 2 \times 2 \times 2 \times 2 \times 2 \times 2 \times 2$ .
- Faster way to compute  $a^n$  ?

# Example: FAST Exponentiation

- Compute  $a^n$  for an integer  $n$ .
- Divide and conquer strategy.

$$2^8 = 2^4 \times 2^4 = 16 \times 16 = 256$$

$$2^4 = 2^2 \times 2^2 = 4 \times 4 = 16$$

$$2^2 = 2 \times 2 = 4$$

$$a^n = \begin{cases} a^{n/2}(a^{n/2}) & \text{if } n \text{ is even} \\ a^{n/2}(a^{n/2})(a) & \text{if } n \text{ is odd} \end{cases}$$

# Example: FAST Exponentiation

$$a^n = \begin{cases} a^{n/2}(a^{n/2}) & \text{if } n \text{ is even} \\ a^{n/2}(a^{n/2})(a) & \text{if } n \text{ is odd} \end{cases}$$

```
def power(a,n):  
    if n==0: return 1  
    answer = power(a,(int)(n/2))  
    if n%2 == 0:  
        return answer*answer  
    else:  
        return answer*answer*a
```

$$5^6 = 5^3 \times 5^3$$

$$5^7 = 5^3 \times 5^3 \times 5$$

Note: It is important that we use the variable *answer* twice instead of calling the function *power(a,n)* twice.

# Analysis of Recursive Algorithms

1. Decide on parameter  $n$  indicating *input size*.
2. Identify algorithm's *basic operation*.
3. Set up a *recurrence relation* with an appropriate *initial condition* expressing the number of times the basic operation is executed.
4. Solve the recurrence (or, at least, establish the solution's *order of growth*) by backward substitutions or other methods.



# Example: FAST Exponentiation analysis

```
def power(a,n):  
    if n==0: return 1  
    answer = power(a,(int)(n/2))  
    if n%2 == 0:  
        return answer*answer  
    else:  
        return answer*answer*a
```

Let  $T(n)$  be the runtime of the algorithm  $power(a, n)$ .

$$T(n) = 1 + T(n/2)$$

$$T(0) = 1$$

We need to solve the recurrence relation  $T(n)$ .

# Example: FAST Exponentiation analysis

$$T(n) = 1 + T(n/2)$$

$$T(0) = 1$$

Use the method of **backward substitutions**:

$$T(n) = 1 + T\left(\frac{n}{2}\right)$$

$$T\left(\frac{n}{2}\right) = 1 + T\left(\frac{n}{2}/2\right)$$

$$= 1 + \left(1 + T\left(\frac{n}{2^2}\right)\right) = 2 + T\left(\frac{n}{2^2}\right) = 3 + T\left(\frac{n}{2^3}\right)$$

...

assume  $n = 2^k$

$$= (k + 1) + T\left(\frac{n}{(2^{k+1})}\right) = (k + 1) + T\left(\frac{2^k}{(2^{k+1})}\right)$$

$$= (k + 1) + T(0) = k + 2$$

# Example: FAST Exponentiation analysis

$$T(n) = k + 2 \quad \text{where } n = 2^k ;$$

therefore  $k = \lg n$ .

$$\begin{aligned} \lg n &= \lg 2^k \\ \lg n &= k \lg 2 \\ \lg n &= k \end{aligned}$$

We have:

$$T(n) = \lg n + 2 = O(\lg n)$$

# Example: FAST Exponentiation analysis

```
def power(a,n):  
    if n==0: return 1  
    answer = power(a,(int)(n/2))  
    if n%2 == 0:  
        return answer*answer  
    else:  
        return answer*answer*a
```

Time efficiency of the  
**recursive** power algorithm is  
of  $O(\lg n)$ .

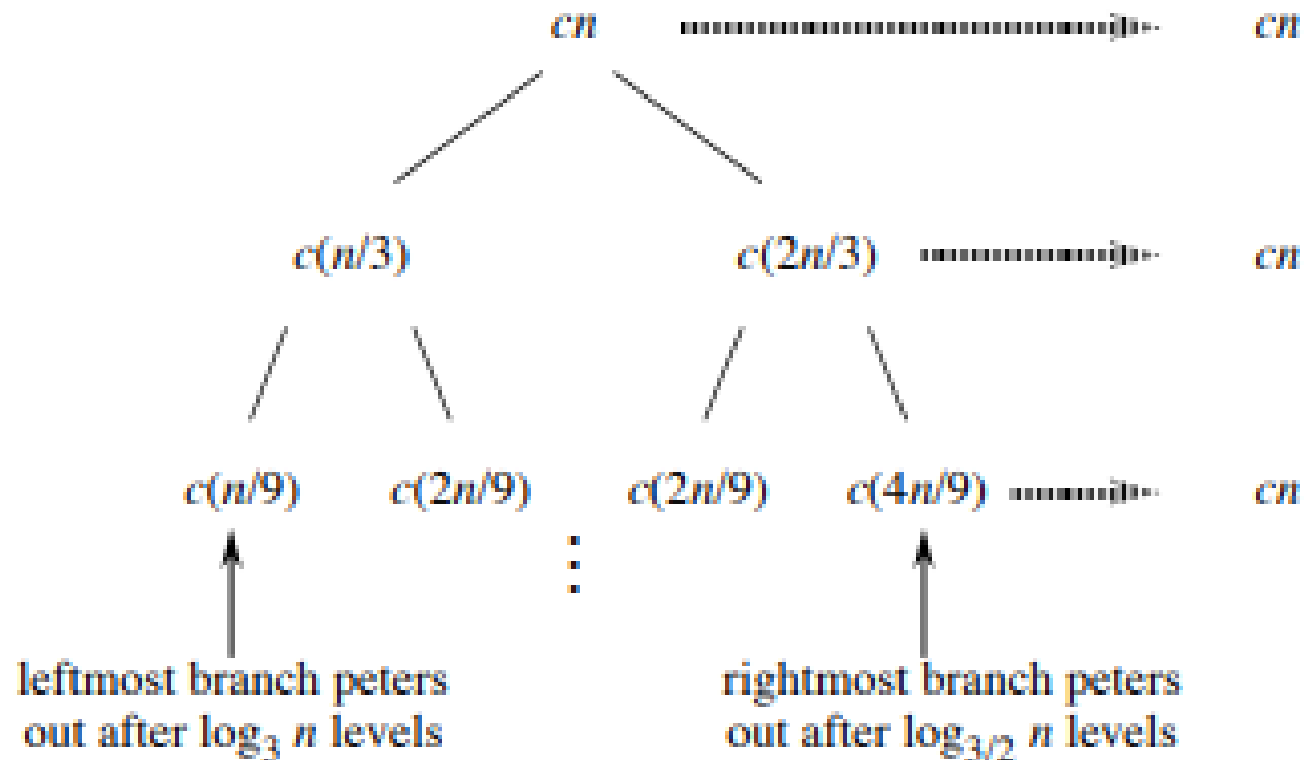
# Recursion Tree I

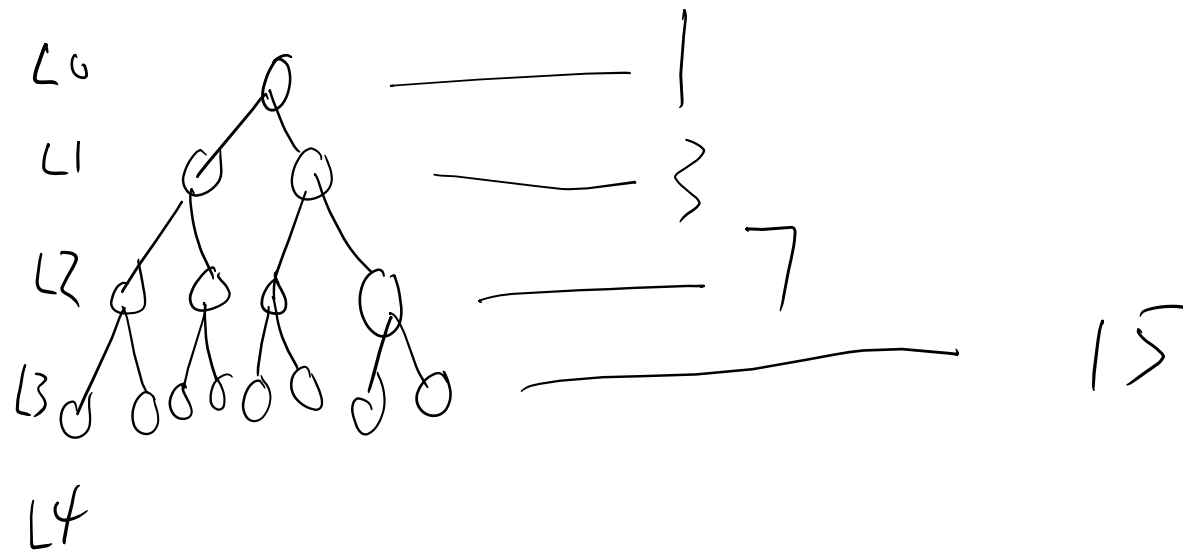
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- Each node represents a single subproblem.
- Sum the costs within each level.

# Recursion Tree II

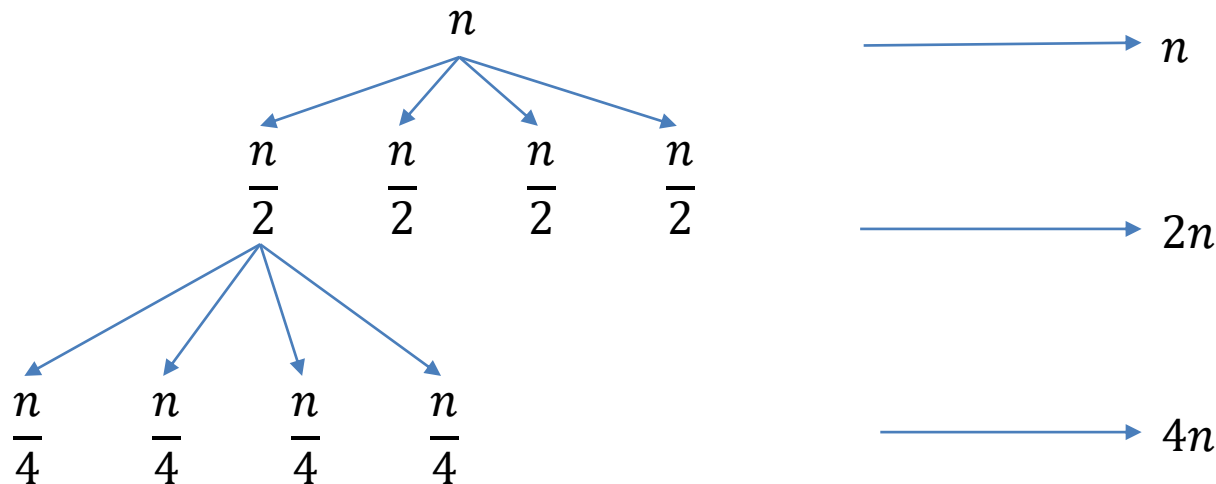
$$T(n) = T\left(\frac{n}{3}\right) + T\left(\frac{2n}{3}\right) + n$$





# Recursion Tree 1

- $T(n) = 4 * T\left(\frac{n}{2}\right) + n$



$$n + 2n + 4n + 8n = n + 2^1n + 2^2n + 2^3n + \dots$$

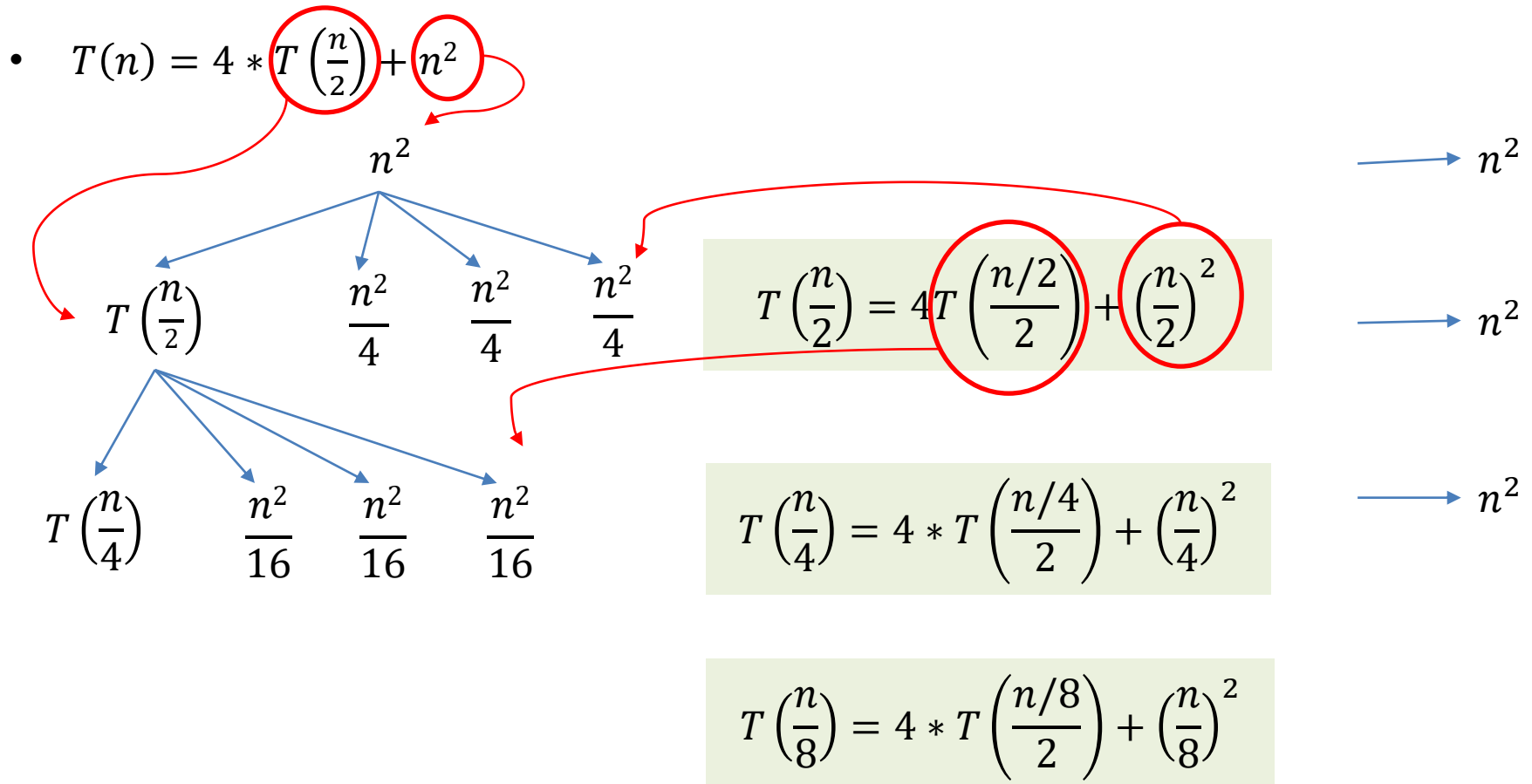
$$\sum_{k=0}^n ar^k = a + ar + \dots + ar^n = \frac{a(r^{n+1} - 1)}{r - 1}$$

$$= \frac{n(2^{\lg(n)} - 1)}{(2 - 1)} = \frac{(n * 2^{\lg(n)} + n)}{1} = n * n$$

- $a$  = first term (here is  $n$ )
- $n$  = number of terms (here is  $\lg n$  for tree)
- $r$  = constant that each term is multiplied by (here is 2)



# Recursion Tree 2

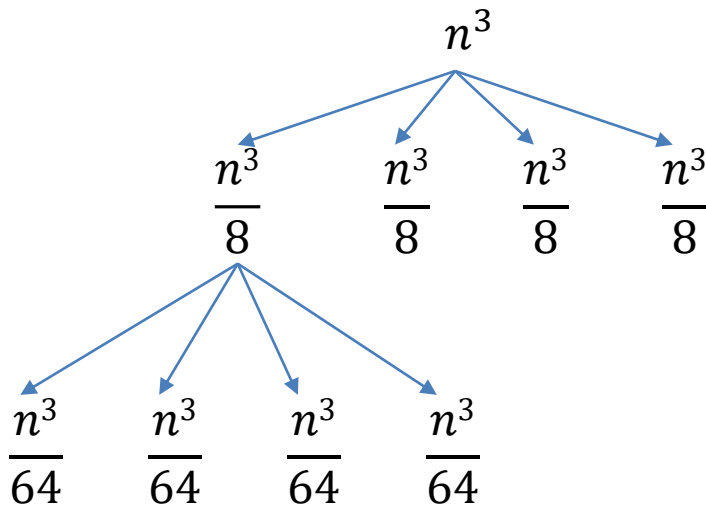


$$= n^2 * \lg(n)$$

There are  $\lg(n)$  levels of  $n^2$

# Recursion Tree 3

- $T(n) = 4 * T\left(\frac{n}{2}\right) + n^3$



$$\longrightarrow n^3$$

$$\longrightarrow \frac{n^3}{2}$$

$$\longrightarrow \frac{n^3}{4}$$

$$= \frac{n^3(0.5^{\lg(n)} - 1)}{(0.5 - 1)}$$

$$= \frac{(n^3 - n^3 * 0.5^{\lg(n)})}{0.5}$$

$$= (2n^3 - 2 * n^3 * 0.5^{\lg(n)}) = (2n^3 - 2n^2)$$

$$\begin{aligned} &n^3 + n^3/2^1 + n^3/2^2 + n^3/2^3 + \dots \\ &2^{\lg(n)} = n \\ &2n^3/n = 2n^2 \end{aligned}$$

# Recurrences

- The running time of algorithms that **recursively call themselves** can often be described by a recurrence, i.e., an equation or inequality that describes a function in terms of its values on smaller inputs.
- Recursive algorithms typically follows **a divide-and-conquer approach**, which involves three steps at each level of recursion:
  1. **Divide** the problem into a number of sub-problems,
  2. **Conquer** the sub-problems by solving them recursively.
  3. **Combine** the solutions to sub-problems into the solution for the original problem.

# Recurrences

## Analyzing Recursive Algorithms

- In a divide-and-conquer algorithm, if the **problem size is small**, the straightforward solution takes **constant time,  $\theta(1)$** .
- Otherwise, **division of the problem yields a sub-problems**, each of which is  **$1/b$  the size of the original problem**. Thus, the running time is given by:

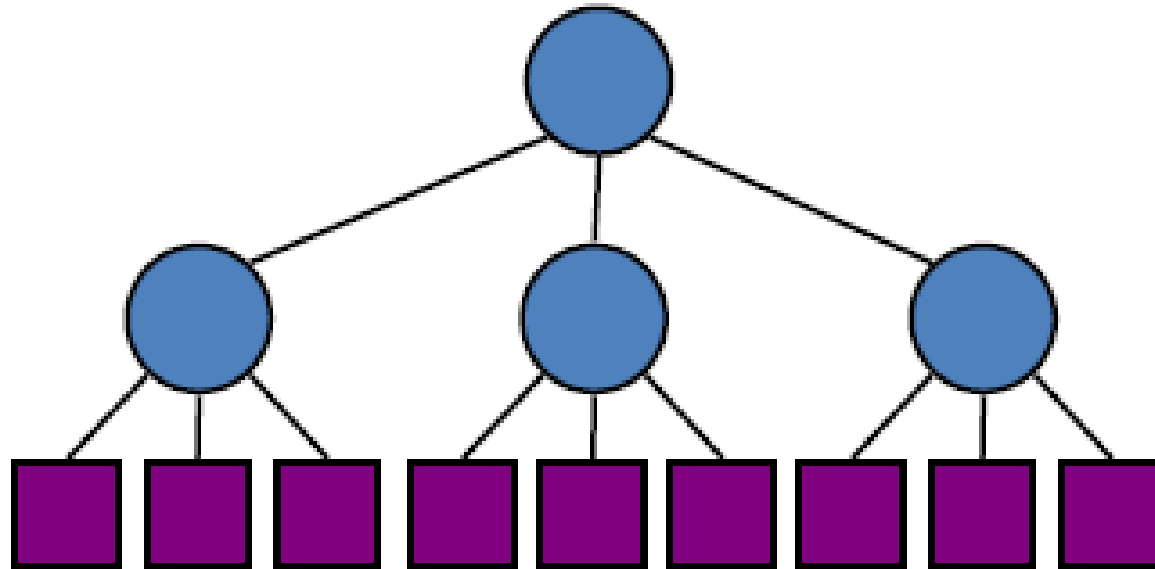
$$T(n) = \begin{cases} \theta(1) & \text{if } n \leq c \\ aT\left(\frac{n}{b}\right) + D(n) + C(n) & \text{otherwise} \end{cases}$$

- where  **$D(n)$  is the time to divide the problem into sub-problems** and  **$C(n)$  is the time to combine the solutions**.

# Recurrences

## Analyzing Recursive Algorithms

- A schematic example of a **divide-and-conquer** algorithm in which at each step the **problem is divided into three sub-problems** is shown below:



# Methods for solving Recurrences

- For solving recurrences (i.e., obtaining asymptotic  $\theta$  or  $O$  bounds), we apply one of the following methods:
  1. **Substitution Method**: guesses a bound and then uses mathematical induction to show the guess is correct.
  2. **Recursion-tree Method**: converts the recurrence into a tree whose nodes represents the cost incurred at various levels of recursion.
  3. **Master method**: provides bounds for recurrences of the form  $T(n) = aT(n/b) + f(n)$  for  $a \geq 1, b > 1$   
where  $f(n)$  is a given function.

# Substitution

- In **substitution method**, we observe two cases:
  - **Case 1**: For a **given recurrence**, we are required to prove that a **given answer/solution is correct**.
  - **Case 2**: For a **given recurrence**, we are required to **find the answer/solution**.
- In both cases, we can apply the **mathematical induction** (i.e., assuming that the given/guessed **answer is correct for values smaller than  $n$** , then **prove it is correct for  $n$** ).
- Substitution method is powerful, but can be applied only in **cases that we are given the answer**, or it is easy to guess the form of the answer (upper or lower bounds).

# Substitution

## Example - Case 1:

- Using substitution, show that  $T(n) = O(n \lg n)$  is the solution to recurrence  $T(n) = 2 T(n/2) + n$
- Proof: Let the formula be true for all values  $k < n$ . Thus,

$$\begin{aligned}
 T(n) &= 2 ( T(n/2) ) + n \\
 &\leq 2 [ c \cdot n/2 \cdot \lg(n/2) ] + n \\
 &= cn \lg(n/2) + n \\
 &= cn (\lg n) - n (c - 1) \\
 &\leq cn (\lg n) \quad \text{for } c \geq 1
 \end{aligned}$$

- Since  $O(n \lg n)$  is the upper bound,  $T(n) \leq cn \lg n$
- Sub.  $T(n/2)$  with  $c n \lg n \Rightarrow T(n/2) = c(n/2) \lg(n/2)$
- $\lg(n/2) = \lg n - \lg 2$
- $\lg 2 = 1$
- $cn (\lg n) - cn + n \leq cn (\lg n)$

- This completes the proof, in which,  $T(n) = O(n \lg n)$ .



# Substitution

## Example - Case 2:

- Using substitution method, find the solution to recurrence  $T(n) = 2T(n/2) + n \lg n$

**Guess #1:** Let us guess that the solution is  $T(n) = O(n \lg n)$  thus,

$$\begin{aligned}
 T(n) &= 2 [ T(n/2) ] + n \lg n \\
 &\leq 2 [ c \cdot n/2 \cdot \lg(n/2) ] + n \lg n \\
 &= cn \lg(n/2) + n \lg n \\
 &\leq cn \lg n - cn + n \lg n \\
 &= (c+1)n \lg n - cn
 \end{aligned}$$

$$\begin{array}{ccc}
 \uparrow & \uparrow & \uparrow \\
 n/2 & n/2 & n/2
 \end{array}$$

$$\begin{aligned}
 &cn (\lg n) - cn + n \lg n \\
 &= (cn+n) \lg n - cn \\
 &= (c+1)n \lg n - cn \\
 &\text{can never be } \leq c n (\lg n)
 \end{aligned}$$

**The guess is wrong:**

- Since we cannot make this last equation to be less than  $cn \lg n$ .
- $[ (c+1)n \lg n - cn ]$  **not**  $\leq cn \lg n$

# Substitution

## Example - Case 2: (cont.)

- Using substitution method, find the solution to recurrence  $T(n) = 2 T( \lfloor n/2 \rfloor ) + n \lg n$ .

Guess #2: Let us guess that the solution is  $T(n) = O(n^2)$ . Thus,

$$\begin{aligned} T(n) &= 2 [ T(n/2) ] + n \lg n \\ &\leq 2 [ (c \cdot (n/2)^2) ] + n \lg n \\ &= \frac{1}{2}(cn^2) + n \lg n \\ &= cn^2 - \frac{1}{2}(cn^2) + n \lg n \\ &\leq cn^2 \end{aligned}$$

- The last equation is true for  $c \geq 2$ , and thus,  $T(n) = O(n^2)$ .

# Substitution

## Remarks on Guessing in Substitution Method

**Remark:** There is no general way to guess the correct solutions to recurrences.

- However, if a **recurrence is similar** to one you have seen before, then guessing a **similar solution is reasonable**. For example,  $T(n) = 2 T(n/2 + 17) + n$

which is similar to  $T(n) = 2 T(n/2) + n$

asymptotically is bounded on  $O(n \lg n)$ .

- Another way to make a good guess is to **loose upper and lower bounds** on the recurrence and then reduce the range of uncertainty.

# The Recursion-tree Method

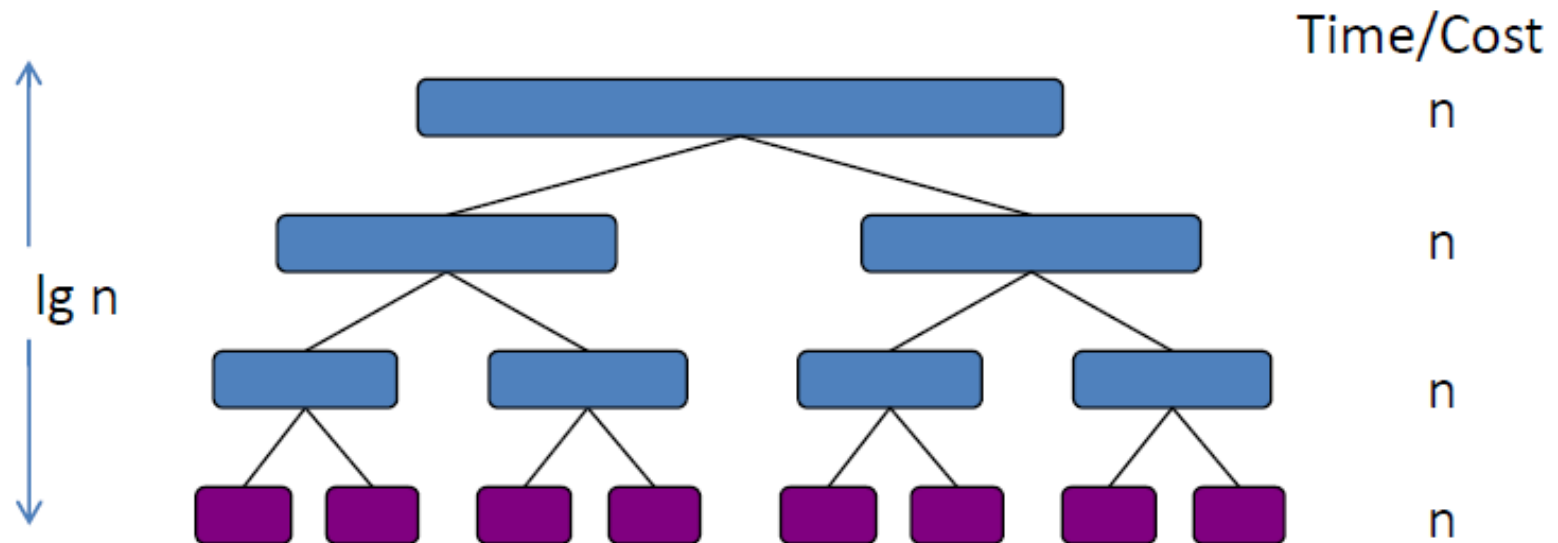
- A **difficulty** in using the **substitution method** is **how to come up with a good guess**.
- The **recurrence tree** solves this problem by showing the **cost of each sub-problem**.
- Recursion trees are particularly useful when the recurrence **describes the running time of a divide-and-conquer algorithm**.

# The Recursion-tree Method

- Example:

Find a solution to recurrence  $T(n) = 2 T(n/2) + n$ .

- Create a **recursion tree** for the recurrence  $T(n) = 2 T(n/2) + n$



The **running time** of this recurrence is  $(n \lg n)$ .

# The Master Method

- The **master method** (if it can be applied) can determine easily a **solution to recurrences** of the form

$$T(n) = a \cdot T(n/b) + f(n)$$

where  $a \geq 1$  and  $b > 1$  are constant and  $f(n)$  is an asymptotically positive function.

- In this recurrence, a sub-problems are solved recursively,
  - The problem is **divided into 'a' sub-problems**, each handles **n/b number of data** and **requires time  $T(n/b)$** , and
  - $f(n) = D(n) + C(n)$  is the **cost of dividing** the problem and **combining** the results of the sub-problems.

# B3. The Master Method

## (The Master theorem)

$$n^{(\log_b a) - \varepsilon} = \frac{n^{(\log_b a)}}{n^\varepsilon}$$

$$n^{(\log_b a) + \varepsilon} = n^\varepsilon n^{(\log_b a)}$$

- Let  $a \geq 1$  and  $b > 1$  be constants. The recurrence  $T(n) = a T(n/b) + f(n)$  can be bounded asymptotically as follows (3 cases):

Case	If	Then
1	$f(n) = O(n^{(\log_b a) - \varepsilon})$ , for some constant $\varepsilon > 0$	$T(n) = \Theta(n^{\log_b a})$
2	$f(n) = \Theta(n^{\log_b a})$	$T(n) = \Theta(n^{\log_b a} \lg n)$
3	$f(n) = \Omega(n^{(\log_b a) + \varepsilon})$ , for some constant $\varepsilon > 0$ , and if $a \cdot f(n/b) \leq c \cdot f(n)$ for some constant $c < 1$ and all sufficiently large $n$	$T(n) = \Theta(f(n))$

Det.  $f(n)$  or  $n^{\log_b a}$  is the larger one  
 if  $n^{\log_b a}$  is larger case 1, if  $f(n)$  is larger case 3, if both equal then case 2

# B3. The Master Method

## Remarks:

- To apply the master method some conditions must be satisfied.
- (Case 1) not only must  $f(n) < n^{\log_b a}$ , it must be **polynomially smaller**.
- That is,  $f(n)$  must be asymptotically smaller than  $n^{\log_b a}$  by a **factor of  $n^\epsilon$**  for some constant  $\epsilon > 0$ .
- $f(n) < \frac{n^{(\log_b a)}}{n^\epsilon}$  if  $\epsilon=0$ , then  $n^0=1$ , case 2; if  $\epsilon<0$ , then case 3

1	$f(n) = O(n^{(\log_b a) - \epsilon})$ , for some constant $\epsilon > 0$	$T(n) = \Theta(n^{\log_b a})$
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# B3. The Master Method

## Remarks:

- To apply the master method some conditions must be satisfied.
- (Case 3) not only must  $f(n) > n^{\log_b a}$ , it must be **polynomially larger** and
- in addition satisfy the regularity condition that  $a.f(n/b) \leq c.f(n)$

3	$f(n) = \Omega(n^{(\log_b a) + \varepsilon})$ , for some constant $\varepsilon > 0$ , and $a \cdot f(n/b) \leq c \cdot f(n)$ for some constant $c < 1$ and all sufficiently large $n$	$T(n) = \Theta(f(n))$
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# B3. The Master Method

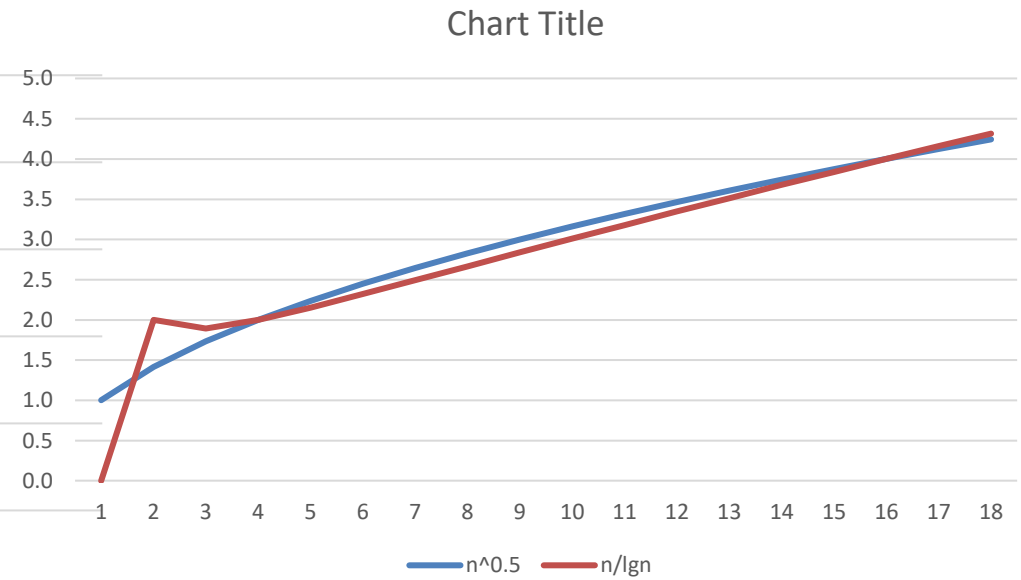
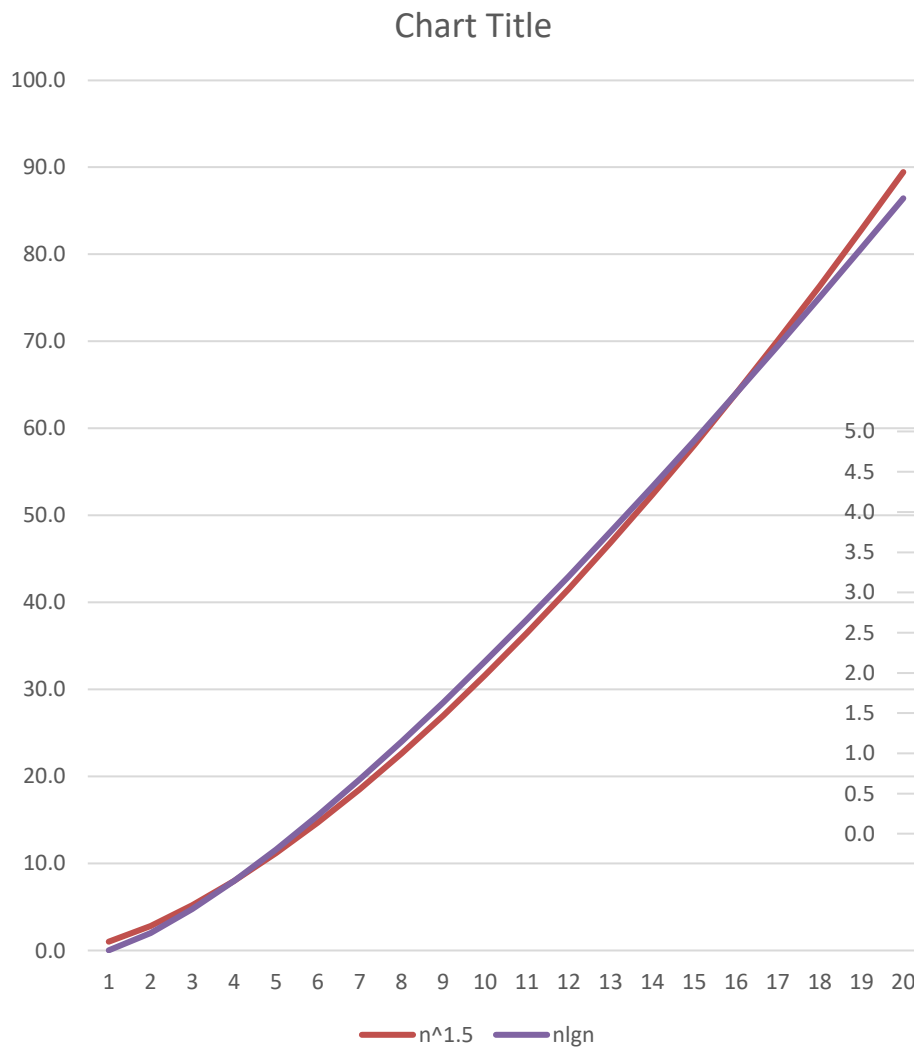
## Remarks:

- To apply the master method some conditions must be satisfied.
- The 3 cases don't cover all the possibilities for  $f(n)$ .
- There is a gap between cases 1 and 2 when  $f(n) < n^{\log_b a}$  but not polynomially smaller.
- There is a gap between cases 2 and 3 when  $f(n) > n^{\log_b a}$  but not polynomially larger.
- If the function  $f(n)$  falls into one of these gaps, or if the regularity condition in case 3 fails to hold, the master method cannot be used to solve the recurrence.

- $f(n)=1$  and  $g(n)=n^2$ . Then  $f(n)$  is polynomially smaller than  $g(n)$
- $f(n)=n^{1+\frac{1000}{\log n}}$  and  $g(n)=n^2$ . Then  $f(n)$  is polynomially smaller than  $g(n)$
- $f(n)=\frac{n}{\log n}$  and  $g(n)=n$ . Then  $f(n)$  is not polynomially smaller nor polynomially larger than  $g(n)$

$T(n) = 4T\left(\frac{n}{2}\right) + 1$        $a=4, b=2, n^{\log_2 4}=n^2$  is polynomial larger than the constant function 1. This is case 1 of the master's theorem.

$T(n) = 4T\left(\frac{n}{2}\right) + \frac{n^2}{\log n}$        $a=4, b=2, \frac{n^2}{\log n}$  is not polynomially smaller nor polynomially larger than  $n^2$ . None of the three cases of master's theorem can be applied.



# B3. The Master Method

## Example 1:

$$T(n) = a \cdot T(n/b) + f(n)$$

- Solve the recurrence  $T(n) = 9 T(n/3) + n$
- For this recurrence, we have  $a=9$ ,  $b=3$ ,  $f(n)=n$ , and thus  $n^{\log_b a} = n^{\log_3 9} = n^2$
- Since  $n \leq n^{(2-\varepsilon)}$  for  $0 < \varepsilon \leq 1$ , (i.e.  $n = n^{(2-1)}$ ) thus we **apply case 1** which is  $f(n) = O(n^{(\log_b a) - \varepsilon})$ , where  $0 < \varepsilon \leq 1$
- Thus, the solution to this recurrence is  $T(n) = \Theta(n^2)$ .

1	$f(n) = O(n^{(\log_b a) - \varepsilon})$ , for some constant $\varepsilon > 0$	$T(n) = \Theta(n^{\log_b a})$
2	$f(n) = \Theta(n^{\log_b a})$	$T(n) = \Theta(n^{\log_b a} \lg n)$
3	$f(n) = \Omega(n^{(\log_b a) + \varepsilon})$ , for some constant $\varepsilon > 0$ , and if $a \cdot f(n/b) \leq c \cdot f(n)$ for some constant $c < 1$ and all sufficiently large $n$	$T(n) = \Theta(f(n))$

# B3. The Master Method

## Example 2:

$$T(n) = a \cdot T(n/b) + f(n)$$

- Solve the recurrence  $T(n) = T(2n/3) + 1$
- For this recurrence, we have  $a=1$ ,  $b=3/2$ ,  $f(n)=1$ , and thus  $n^{\log_b a} = n^{\log_{3/2} 1} = n^0 = 1$
- Since  $f(n) = \Theta(n^{\log_b a}) = \Theta(1)$ , case 2 applies
- Thus, the solution to this recurrence is  $T(n) = \Theta(1 \lg n)$ .

1	$f(n) = O(n^{(\log_b a) - \varepsilon})$ , for some constant $\varepsilon > 0$	$T(n) = \Theta(n^{\log_b a})$
2	$f(n) = \Theta(n^{\log_b a})$	$T(n) = \Theta(n^{\log_b a} \lg n)$
3	$f(n) = \Omega(n^{(\log_b a) + \varepsilon})$ , for some constant $\varepsilon > 0$ , and if $a \cdot f(n/b) \leq c \cdot f(n)$ for some constant $c < 1$ and all sufficiently large $n$	$T(n) = \Theta(f(n))$

# B3. The Master Method

$$T(n) = a \cdot T(n/b) + f(n)$$

## Example 3:

- Solve the recurrence  $T(n) = 3 T(n/4) + n \lg n$
- For this recurrence, we have  $a=3$ ,  $b=4$ ,  $f(n)=n \lg n$ , and thus  $n^{\log_b a} = n^{\log_4 3} = O(n^{0.793})$
- Since  $n \lg n > n^{0.793}$ ,  $f(n) = \Omega(n^{(\log_4 3)+\varepsilon})$ ,  $\varepsilon \approx 0.2$  (i.e.  $< 0.207$ )
- Case 3 applies if we can show that the regularity condition holds for  $f(n)$ . For sufficiently large  $n$

$$a \cdot f(n/b) = 3 \cdot (n/4) \lg(n/4) \leq (3/4) n \lg n = c \cdot f(n) \quad \text{for } c=3/4$$

Therefore the solution is  $T(n) = \Theta(f(n)) = \Theta(n \lg n)$

3	$f(n) = \Omega(n^{(\log_b a)+\varepsilon})$ , for some constant $\varepsilon > 0$ , and if $a \cdot f(n/b) \leq c \cdot f(n)$ for some constant $c < 1$ and all sufficiently large $n$	$T(n) = \Theta(f(n))$
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# B3. The Master Method

## Example 4:

$$T(n) = a \cdot T(n/b) + f(n)$$

- Solve the recurrence  $T(n) = 2 T(n/2) + n \lg n$
- For this recurrence, we have  $a=2$ ,  $b=2$ ,  $f(n)=n \lg n$ , and thus  $n^{\log_b a} = n^{\log_2 2} = n^1 = n$
- Although  $f(n) = n \lg n$  is asymptotically larger than  $n$ , it is **not polynomially larger** (because  $\lg n$  is asymptotically smaller than  $n^\varepsilon$  for any positive constant  $\varepsilon$ ), therefore we **cannot use case 3 of the Master method**.
- Hence, the recurrence falls into the **gap between case 2 and case 3** (i.e. The master method cannot be applied).

3	$f(n) = \Omega(n^{(\log_b a) + \varepsilon})$ , for some constant $\varepsilon > 0$ , and if $a \cdot f(n/b) \leq c \cdot f(n)$ for some constant $c < 1$ and all sufficiently large $n$	$T(n) = \Theta(f(n))$
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