

Algorithm Analysis

Brute-Force, Divide and Conquer

Overview



- Brute-force. Why?
 - Normally straightforward.
 - Not too slow for some applications.
 - Benchmark of the more well-designed algorithms.
 - Brute-force attack -> check every possible password.
- Brute-force algorithms.
 - Maximum/minimum searching
 - Sorting
 - Closest pairing
 - Convex hull
 - Exhaustive search
 - Assignment problem
 - Back tracking
 - Assignment problem
 - Subset sum problem

Maximum & Minimum Searching



- Max and min of a collection (array/sequence).
- A[pos] is the maximum item in $A[0], A[1], \dots, A[n-1]$.
- Time complexity?
 - O(n): all the n items have been traversed.
- Search an item.
- Running time:
 - Best: element is at position 0, so one comparison.
 - Worst: element last pos., or not in the collection.
 - Average: depends on the probability.
 - If normally distributed, $O\left(\frac{n}{2}\right) = O(n)$.
 - Overall: O(n).

```
\max(A, n) \ \{ \\ pos = 0 \\ \text{for } (i = 1; i < n; i + +) \ \{ \\ \text{if } (A[i] > A[pos]) \ \{ \\ pos = i \\ \} \\ \} \\ \text{return } pos \\ \}
\text{search}(A, n, val) \ \{ \\ \text{for } (i = 0; i < n; i + +) \ \{ \\ \text{if } (val == A[i]) \ \{ \\ \text{return } i \\ \} \\ \}
```

Brute-Force Sorting Methods



- Selection sort: searching max in the rest items.
- Descending or ascending?
- Time complexity:
 - $O(n) + O(n-1) + \cdots + O(1) = O(n^2)$.

selectionSort(array, size)
repeat (size - 1) times
set the first unsorted element as the minimum
for each of the unsorted elements
if element < currentMinimum
set element as new minimum
swap minimum with first unsorted position
end selectionSort

```
void selectionSort(int array[], int size) {
  for (int step = 0; step < size - 1; step++) {
    int min_idx = step;

    for (int i = step + 1; i < size; i++) {
        // Select the minimum element in each loop.
        if (array[i] < array[min_idx]) min_idx = i;
      }

    // put min at the correct position
    if (min_idx != step)
        swap(&array[min_idx], &array[step]);
    }
}</pre>
```

https://www.youtube.com/watch?v=xWBP4lzkoyM

Additional remarks about selection sort



```
void selectionSort(int array[], int size) {
  for (int step = 0; step < size - 1; step++) {
    int min_idx = step;

    for (int i = step + 1; i < size; i++) {
        // Select the minimum element in each loop.
        if (array[i] < array[min_idx]) min_idx = i;
     }

    // put min at the correct position
    if (min_idx != step) // missing stmt in old version
        swap(&array[min_idx], &array[step]);
    }
}</pre>
```

- Min number of swap involving any particular item is 0
- with a sorted list, no swap is required.
- For example the particular item is 5
- <mark>5</mark>, 7, 10, 13, 15
- min_idx = step = 0;
- The i loop will not change min_idx which remains as step=0
- Since min_idx is still the same as step, no swap will occur

Additional remarks about selection sort



```
void selectionSort(int array[], int size) {
  for (int step = 0; step < size - 1; step++) {
    int min_idx = step;

    for (int i = step + 1; i < size; i++) {
        // Select the minimum element in each loop.
        if (array[i] < array[min_idx]) min_idx = i;
      }

    // put min at the correct position
    if (min_idx != step)
        swap(&array[min_idx], &array[step]);
    }
}</pre>
```

- Max number of swap involving any particular item is 1
- For example the particular item is 5
- 15, 7, 10, 13, <mark>5</mark>
- For step=0
 - min_idx=step=0 which is 15
 - The i loop will move from step+1 which is 7,10,13,5 and found 5 as the min (min_idx=4)
 - Since min_idx is now 4 and <> step=0, we swap 15 and 5 (1 swap)
 - The result is 5, 7, 10, 13, 15
- For step=1
 - min_idx=step=1 which is 7
 - The i loop will move from step+1 which is 10,13,5
 - The particular item 5 will never be touched again thus no more swap will occur

Brute-Force Sorting Methods



Insertion sort

- An array of len m.
- Sort the first m-1 items.
- Insert the last into the sorted first m-1 items.
- $T(n) = T(n-1) + O(n) \rightarrow O(n \times n) = O(n^2)$.

insertionSort(array)
 mark first element as sorted
 for each unsorted element X
 'extract' the element X
 for j <- lastSortedIndex down to 0
 if current element j > X
 move sorted element to the right by 1
 break loop and insert X here
end insertionSort

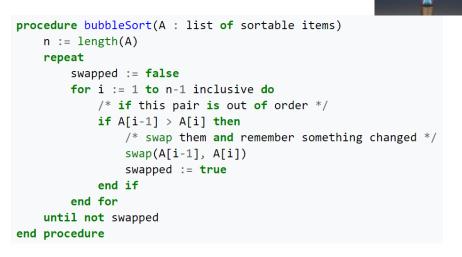
```
void insertionSort(int array[], int size) {
 for (int step = 1; step < size; step++) {
  int key = array[step];
  int j = step - 1;
  // Compare key with elements on left until an element smaller
  // shift bigger to the right
                                              Insertion Sort Execution Example
  while (key < array[j] \&\& j >= 0) {
   array[j + 1] = array[j];
   --j;
  array[i + 1] = key;
    https://www.youtube.com
    /watch?v=OGzPmgsl-pQ
```

https://en.wikipedia.org/wiki/Insertion sort; https://media.geeksforgeeks.org/wp-content/uploads/insertionsort.png

Brute-Force Sorting Method



- Bubble sort.
 - Large val flows to the right.
 - When no value flows -> sorted.
 - $O(n) + O(n-1) + \cdots + O(1) = O(n^2)$.
- Which one do you like more?



i = 0	j	0	1	2	3	4	5	6	7
	0	5	3	-1	9	8	2	4	7
	1		5	1	9	8	2	4	7
	2	3	1	5	9	8	2	4	7 7
	3	3	1	5	9	8	2	4	7
	4 5	3 3 3 3	1		8	9	9	4	7
	5	3	1	5 5 5	8	2		4	7
	6	3	1	5	8	2	4	9	7
i =1	0	3	1	5	8	2 2 2	4	7	9
	1	1	3	5	8	2		7 7	
	2	1	3	5	8	2	4		
	3	1	3	5	8	2 8	4	7	
	4	1	3	5	2	8	4	7	
	5	1	3	5	2	4	8	7	
i = 5	0	1	3	5	2 2 2 2	4	7	8	
	1	1	3	5		4	7		
	2	1	3	5	5	4	7 7		
	3	1	3	2	5	4			
	4	1	3	2	4	5	7		
i = 3	0	1	3	2 2 3	4	5 5 5 5	7		
	1	1	3	2	4	5			
	2	1	2	3	4	5			
	3	1	2	3	4	5			
i =: 4	0	1	2	3	4	5			
	1	1		3	4				
	2	1	2	3	4				

i = 6

https://en.wikipedia.org/wiki/Bubble sort; https://www.geeksforgeeks.org/bubble-sort/

String Matching



- Long seq $y[1], y[2], \dots, y[n]$ and short sequence $x[1], x[2], \dots, x[m]$ with m < n.
- Question: is x part of y?
- Iterate every item in y in O(n).
- Check if there is a match in O(m).
- Total: O(mn).

```
for ( j = 0; j <= n - m; j++ ) {
  for ( i = 0; i < m && x[i] == y[i + j]; i++ );
  if ( i >= m ) return j;
}
```

```
N O B O D Y _ N O T I C E D _ H I M
N O T
N O T
N O T
N O T
N O T
N O T
N O T
N O T
N O T
N O T
```

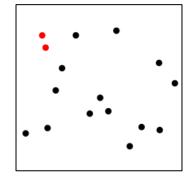
FIGURE 3.3 Example of brute-force string matching. The pattern's characters that are compared with their text counterparts are in bold type.

https://www.brainkart.com/media/extra/laEIeYW.jpg

Closest Pair



- In a 2D-plane, n points $P[1], P[2], \dots, P[n]$.
- How many combinations of two points (or pair)?
- Compute the **distances** of **all** the pairs $\rightarrow O(n^2)$.
 - Each distance computation -> O(1).
- Record the smallest distance.



$$\|pq\| = \sqrt{(p_x - q_x)^2 + (p_y - q_y)^2}$$

https://en.wikipedia.org/wiki/Closest pair of points problem; CAN STOP HERE ON WED.

Convex Hull



- Convex hull: the smallest convex polygon containing all the points P.
- Fact 1: a convex hull is a subset of points in P.
 - Contradiction: inside or outside, neither is possible.
- Fact 2: enclosed by a series of lines.
- Fact 3: all the points in one side of each line. (Hint: contradiction)
- Fact 4: each line can be determined by a pair of 2 points.
- Idea: enumerate all the point pairs -> find all the possible/feasible lines -> convex hull.
- Complexity: $O(n^2)$ pairs, each pair check O(n) distances -> $O(n^3)$.

```
for each point Pi
```

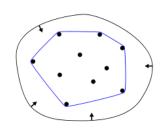
```
for each point P_j where P_j \neq P_i

Compute the line segment for P_i and P_j

for every other point P_k where P_k \neq P_i and P_k \neq P_j

If each P_k is on one side of the line segment, label P_i and P_j

in the convex hull
```



https://en.wikipedia.org/wiki/Convex hull

Exhaustive Search



- Enumerate all combinations to find the optimal -> exhaustive.
- Accordingly, what is another brute-force algorithm for convex hull?
 - For every subset of points in P, enumerate all the possible connection paths of the points.
 - Runs for ever for large point set.
- Job assignment problem.
 - n appliants, n jobs, one applicant per job.
 - c[i][j] cost of assigning applicant i to job j.
 - Best assignment: min total cost.
- n = 4 in the example.

• Let $< a_1, a_2, \cdots, a_n > 0$	be an	assignment w/	applicant	<i>i</i> assigned	to job	a_i .
-------------------------------------	-------	---------------	-----------	-------------------	--------	---------

- Total cost: $C = c[1][a_1] + c[2][a_2] + \cdots + c[n][a_n] = \sum_{i=1}^n c[i][a_i]$. • i.e., < 1, 2, 3, 4 > -> C = c[1][1] + c[2][2] + c[3][3] + c[4][4] = 9 + 4 + 1 + 4 = 18.
- How to find the min one?

$c[\cdot][\cdot]$	Job 1	Job 2	Job 3	Job 4
Applicant 1	9	2	7	8
Applicant 2	6	4	3	7
Applicant 3	5	8	1	8
Applicant 4	7	6	9	4

Job Assignment Problem



- Enumerate all the assignments.
- Combinatorial: $n! \rightarrow 4! = 4 * 3 * 2 * 1 = 24$.
 - Cannot sustain when n is large.
- The best one: < 2, 1, 3, 4 > ->
- C = c[1][2] + c[2][1] + c[3][3] + c[4][4] = 2 + 6 + 1 + 4 = 13.
- The worst one: <1,4,2,3>->
- C = 9 + 7 + 8 + 9 = 33.
- $\frac{33}{13} = 2.5 \times ->$ big performance difference.
- Smarter way?
 - Applicant 1, find the min-cost job.
 - Applicant 2, the min-cost job among the rest.
 - ...
 - We have: <2,3,1,4> \rightarrow C=c[1][2]+c[2][3]+c[3][1]+c[4][4]=2+3+5+4=14.
 - Cost diff only 14-13=1. Not bad. You will know more in the following weeks.



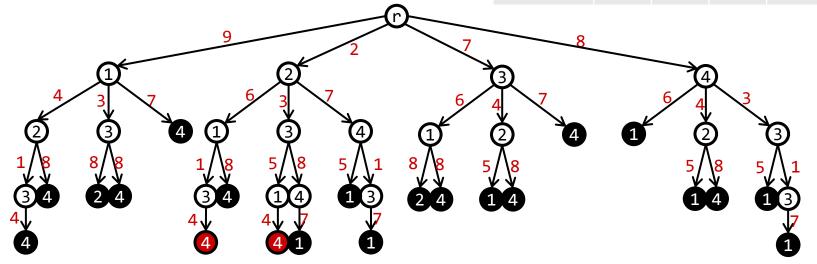
$c[\cdot][\cdot]$	Job 1	Job 2	Job 3	Job 4
Applicant 1	9	2	7	8
Applicant 2	6	4	3	7
Applicant 3	5	8	1	8
Applicant 4	7	6	9	4

Backtracking - Job Assignment Example



- Represent all solutions in a tree.
- Traverse in the tree in depth-first order.
 - Branch & Bound, breadth-first (self-learning).
- Prune if meaningless to traverse deeper.
- i.e., all the assignments with cost ≤ 14 .
 - Returns ≥ 1 valid solutions.

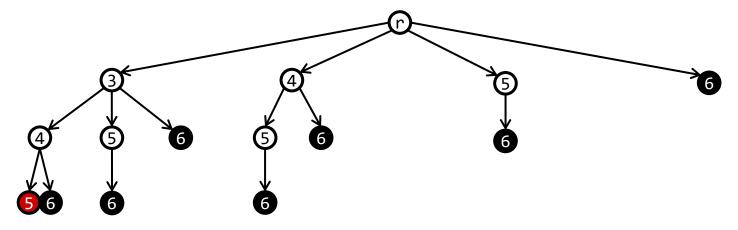
$c[\cdot][\cdot]$	Job 1	Job 2	Job 3	Job 4
Applicant 1	9	2	7	8
Applicant 2	6	4	3	7
Applicant 3	5	8	1	8
Applicant 4	7	6	9	4



Backtracking - Subset Sum Problem



- Given a set of values, find a subset of the values such that the sum of the values in the subset equals to a specified value.
- Example: n = 4 values $\{3, 4, 5, 6\}$. Any subset with sum of 12?
- Similar to the job assignment one.
- Note the difference of subset -> order insensitive.
- 2^n subsets, and summation of each subset $O(n) \rightarrow O(2^n n)$.



Basic Idea



- Algorithmic paradigm: generic framework underlies the design of a class of algorithms.
 - Backtracking
 - Brute-force search
 - Divide and conquer
 - Dynamic programming
 - Greedy algorithm
- Divide and conquer: multi-branched recursion.
 - Divide a big problem into small subproblems.
 - Normally of the same type.
 - Solve the subproblems one by one.
 - Combine the solutions to the subproblems.
 - Do this recursively.
- Outcome: a solution to the original big problem.

Merge Sort

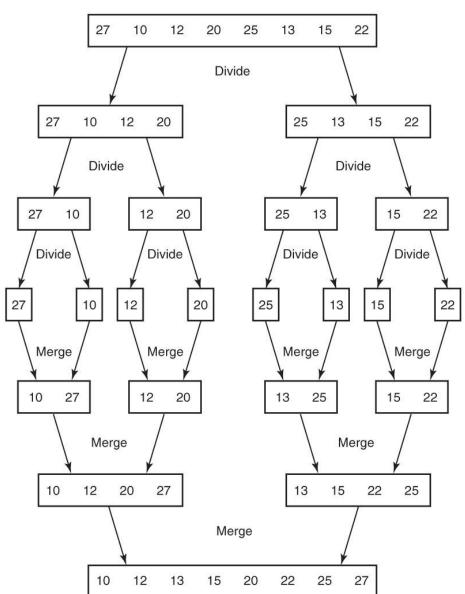


Method: Divide & conquer

- 1. Divide the unsorted list into two nearly equal size sub-lists.
- 2. Sort each sub-list recursively by applying merge sort.
- 3. Merge the two sub-lists back into one sorted list.

Merge Sort: Example Lecture 5 slide 9





$$T(n) = 2T(n/2) + O(n)$$

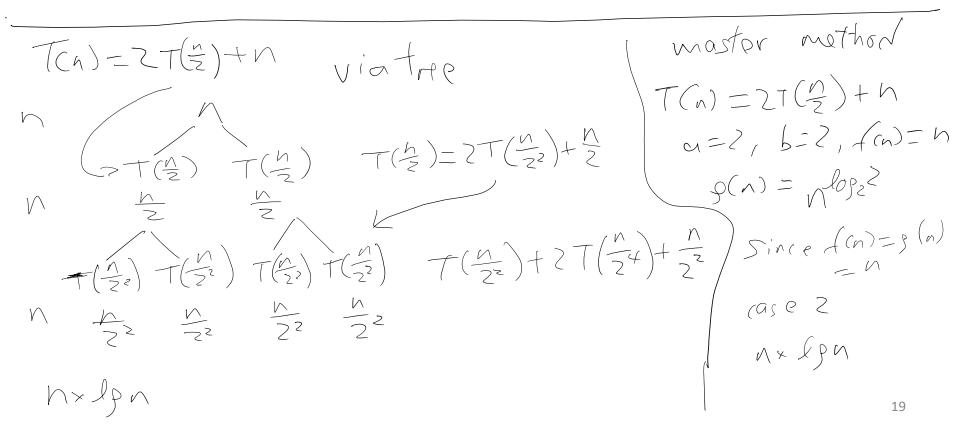
Master Method Case 2: O(nlg(n))



$$T(n) = 2T\left(\frac{n}{2}\right) + n$$
 $T(n) = O(nlgn)$

$$T(n) \le 2\left(c\frac{n}{2}lg\frac{n}{2}\right) + n = cn(lgn - lg2) + n = cnlgn - cn + n = cnlgn - (c - 1)n$$

 $T(n) \le cnlgn - (c-1)n \le cnlgn$ via substitution method



Merge algorithm



```
/* I is for left index and r is right index of the
sub-array of arr to be sorted */
void mergeSort(int arr[], int I, int r)
  if (l < r) {
    // Same as (l+r)/2, but avoids overflow for
    // large I and h
     int m = I + (r - I) / 2;
    // Sort first and second halves
     mergeSort(arr, I, m);
     mergeSort(arr, m + 1, r);
     merge(arr, I, m, r);
```

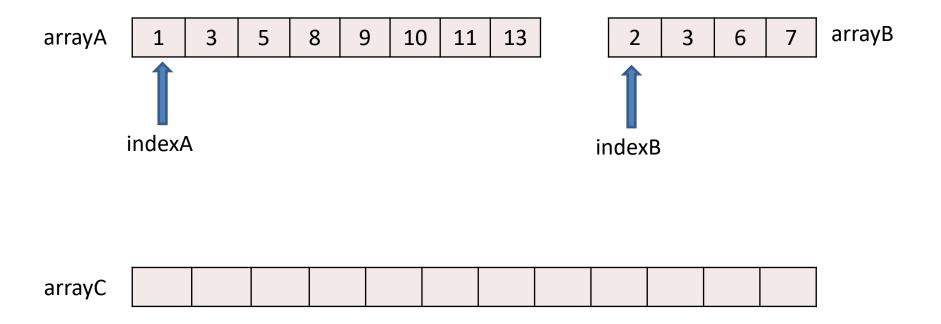
Merge algorithm



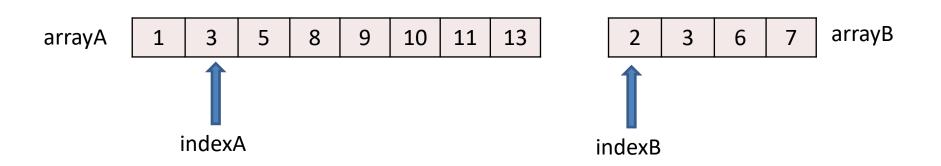
```
// Merges two subarrays of arr[].
// First subarray is arr[l..m]
// Second subarray is arr[m+1..r]
void merge(int arr[], int I, int m, int r)
  int i, j, k;
  int n1 = m - l + 1;
  int n2 = r - m;
  /* create temp arrays */
  int L[n1], R[n2];
  /* Copy data to temp arrays L[] and R[] */
  for (i = 0; i < n1; i++)
     L[i] = arr[l + i];
  for (i = 0; i < n2; i++)
     R[j] = arr[m + 1 + j];
```

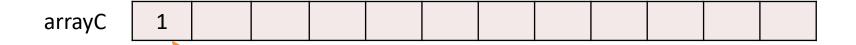
```
/* Merge the temp arrays back into arr[l..r]*/
  i = 0; // Initial index of first subarray
  j = 0; // Initial index of second subarray
  k = I; // Initial index of merged subarray
  while (i < n1 \&\& j < n2) {
    if (L[i] <= R[i]) {
       arr[k] = L[i]; i++;
    else {
       arr[k] = R[i]; i++;
     k++;
  /* Copy the remaining elements of L[], if there
  are any */
  while (i < n1) \{ arr[k] = L[i]; i++; k++; \}
  /* Copy the remaining elements of R[], if there
  are any */
  while (j < n2) \{ arr[k] = R[j]; j++; k++; \}
```







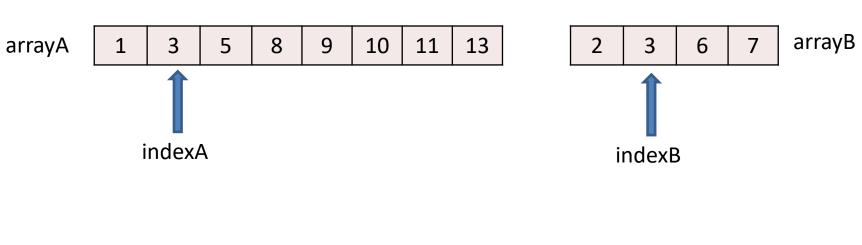


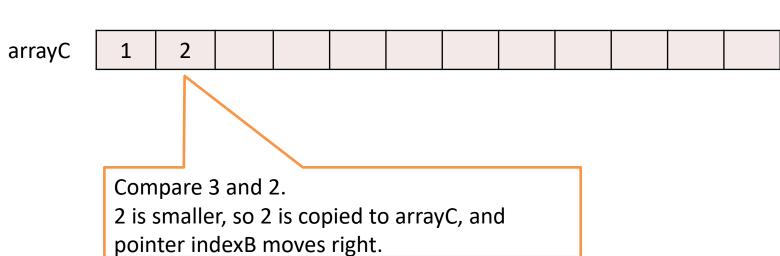


Compare 1 and 2.

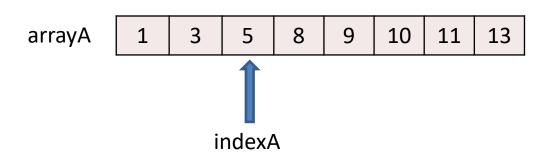
1 is smaller, so 1 is copied to arrayC, and pointer indexA moves right.

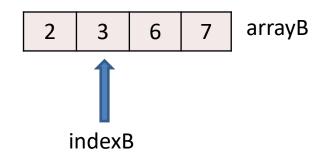




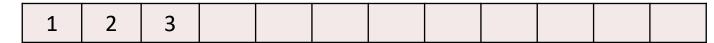








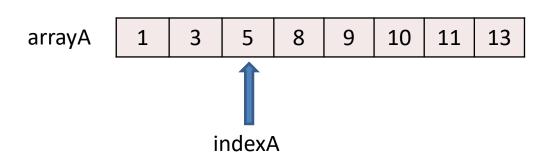
arrayC

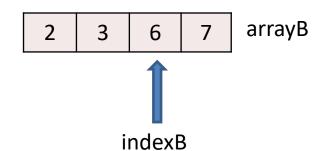


Compare 3 and 3.

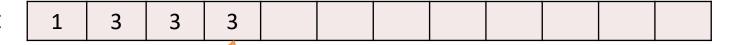
3 in arrayA is not smaller than 3 in arrayB, so the 3 in arrayA is copied to arrayC, and pointer indexA moves right.







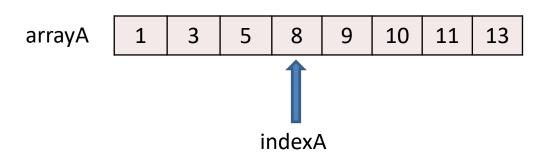
arrayC

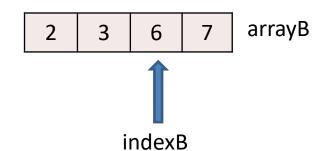


Compare 3 and 5.

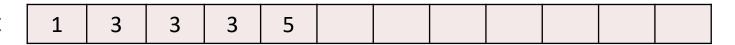
3 is smaller than 5, so the 3 is copied to arrayC, and pointer indexB moves right.







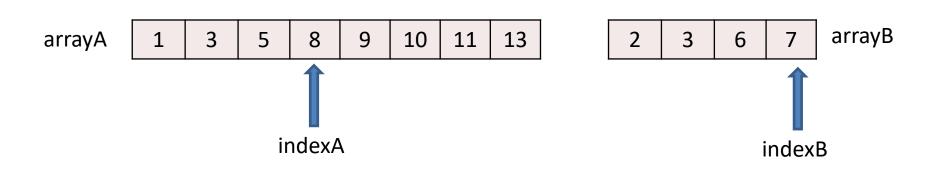
arrayC

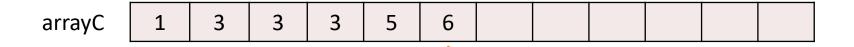


Compare 5 and 6.

5 is smaller than 6, so 5 is copied to arrayC, and pointer indexA moves right.



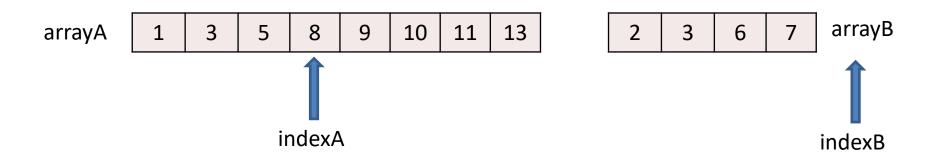


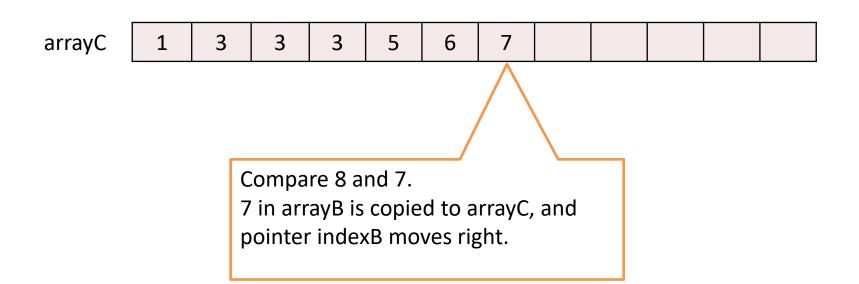


Compare 8 and 6.

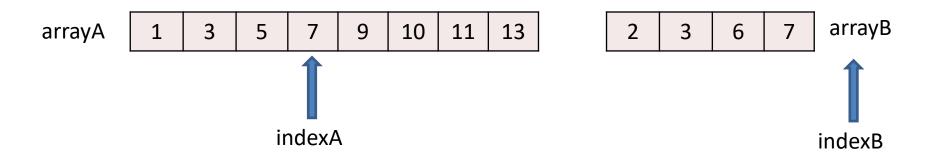
6 is smaller than 8, so 6 is copied to arrayC, and pointer indexB moves right.

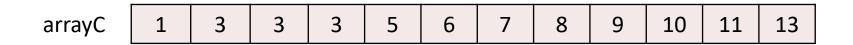












The remaining elements of arrayA are copied into arrayC.

Merge Sort algorithm



```
Base case:
def mergeSort(array):
                                When the array has one element,
    size = len(array)
                                it is already sorted.
                                So return the array for merging.
    if size is 1:
         return array
                                             Divide the array into two
                                             almost equal halves:
    midIndex = size/2
                                             firstHalf and
    firstHalf = array[0:midIndex]
                                             secondHalf.
    secondHalf = array[midIndex:size]
                                              Recursively MergeSort
    firstHalf = mergeSort(firstHalf)
                                              divide firstHalf and
    secondHalf = mergeSort(secondHalf)
                                              divide secondHalf.
    array = merge(firstHalf, secondHalf)
    return array
                                                Merge the sorted
                                                firstHalf and
                            20,25,13,15,22])
print mergeSort([27,10,
                                                secondHalf.
```

Return the sorted array.

Merge Sort – Complexity



- Time complexity
 - merge is O(n).
 - merge is called $O(\log n)$ times recursively.
 - mergeSort is $O(n \log n)$.
- Space complexity
 - merge uses an additional arrayC.
 - If arrayC was local inside merge, much more storage would be used because of recursive calls.
 - Consider using a global array C in the implementation.

Quick Sort



Method: Divide-and-conquer.

- Pick an element (pivot) from the list.
 - pivot is arbitrarily chosen.
 - Normally, the first element is selected.
- Partition the list into two halves such that:
 - All the elements in the first half are smaller than the pivot.

1st half

- All the elements in the second half are greater than or equal to the pivot.

pivot

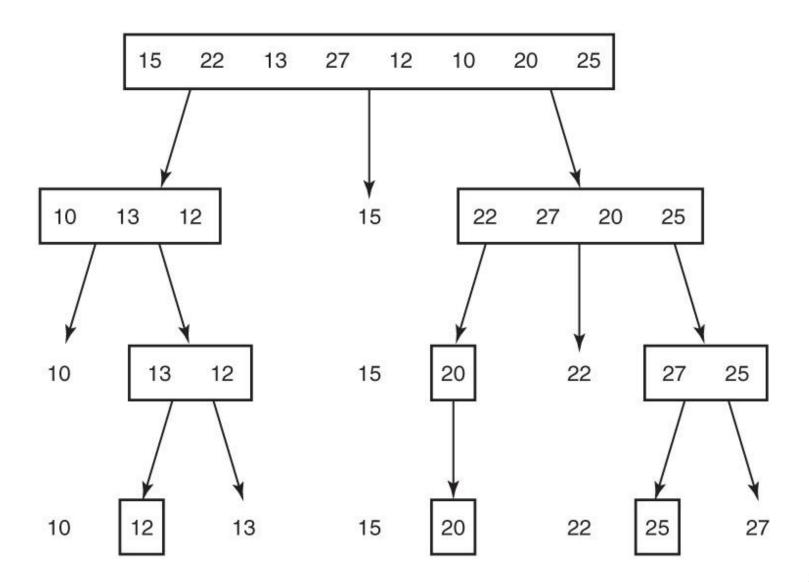
Quick-sort the 1st half.

Quick-sort the 2nd half.

2nd half

Quick Sort: Example





Quick Sort algorithm



```
int partition(int arr[], int low, int high)
  int i = low;
  int j = high;
  int pivot = arr[low];
  while (i < j)
     while (pivot >= arr[i]) i++;
     while (pivot < arr[j]) j--;
     if (i < j) swap(arr[i], arr[j]);
  swap(arr[low], arr[j-1]);
  return j;
```

```
void quickSort(int arr[], int low, int high)
  if (low < high)
     int pivot = partition(arr, low, high);
     quickSort(arr, low, pivot - 1);
     quickSort(arr, pivot + 1, high);
int main()
  int arr[] = \{4, 2, 8, 3, 1, 5, 7, 11, 6\};
  int size = sizeof(arr) / sizeof(int);
  cout<<"Before Sorting"<<endl;</pre>
  quickSort(arr, 0, size - 1);
  cout<<"After Sorting"<<endl;
  return 0;
```

Quicksort Animation

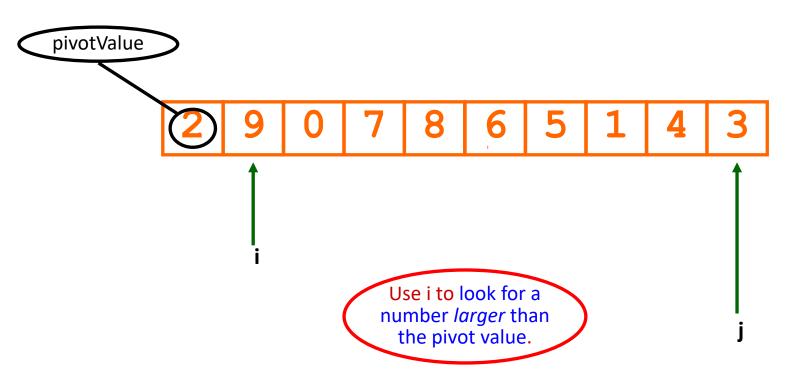






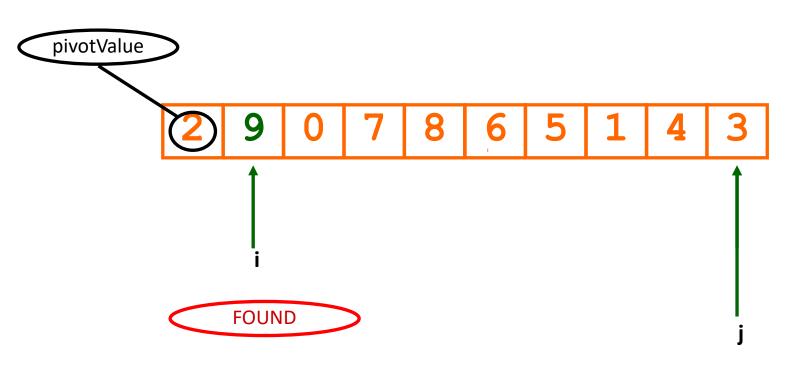






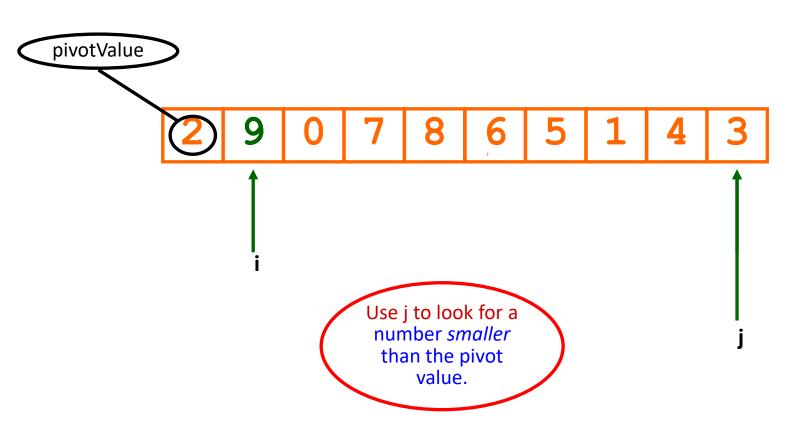






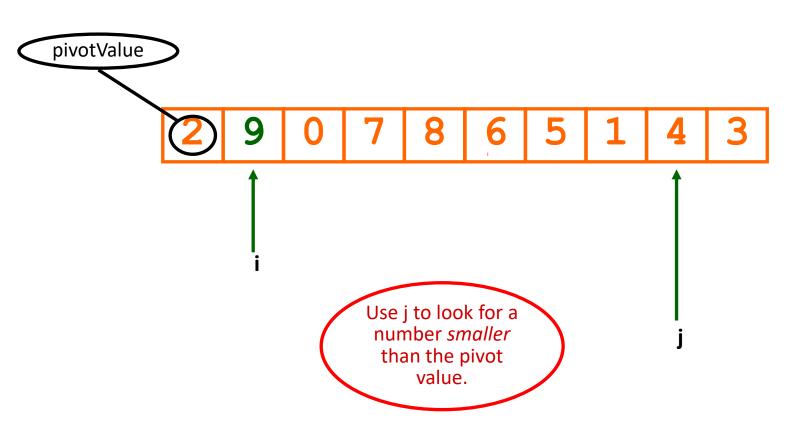






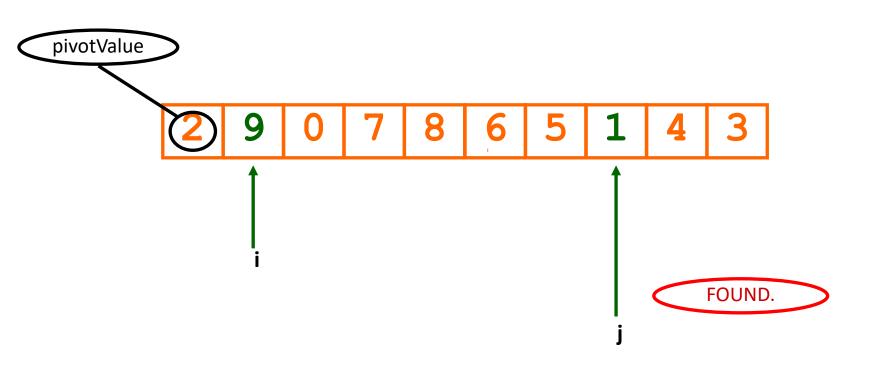






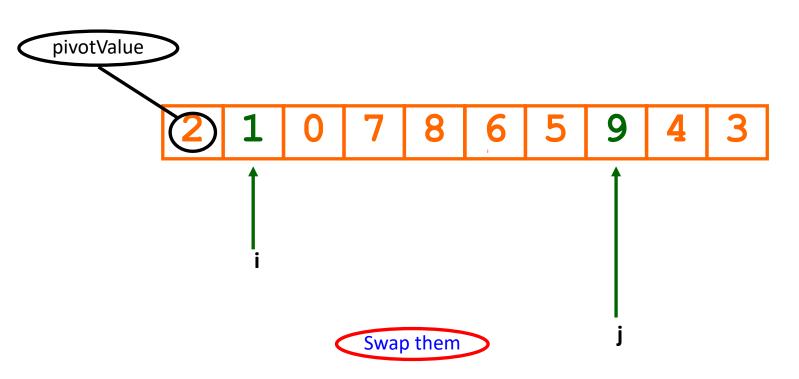






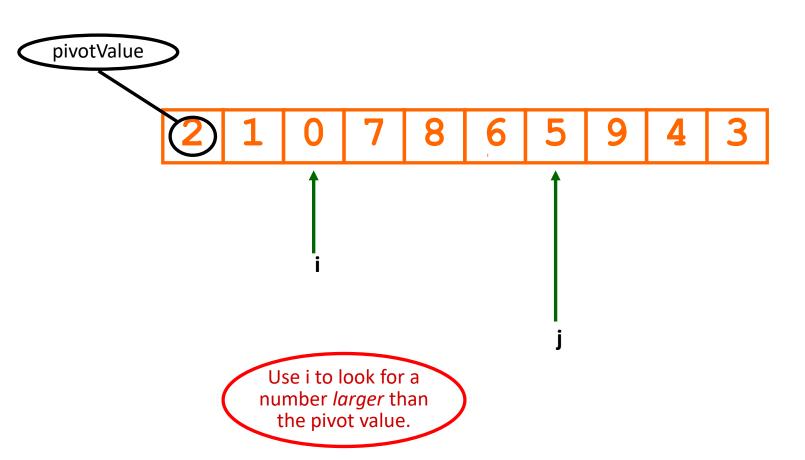






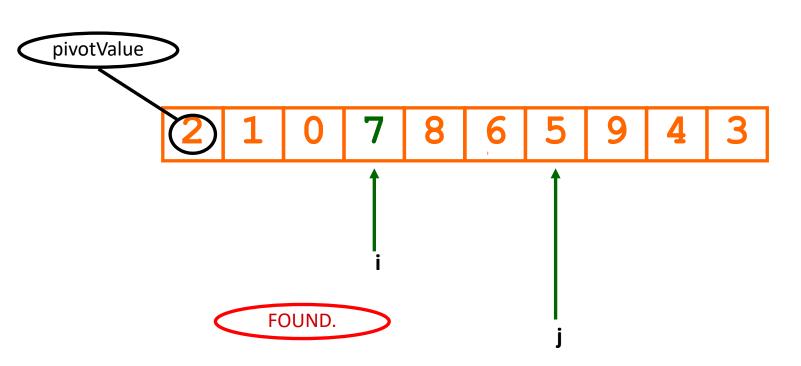






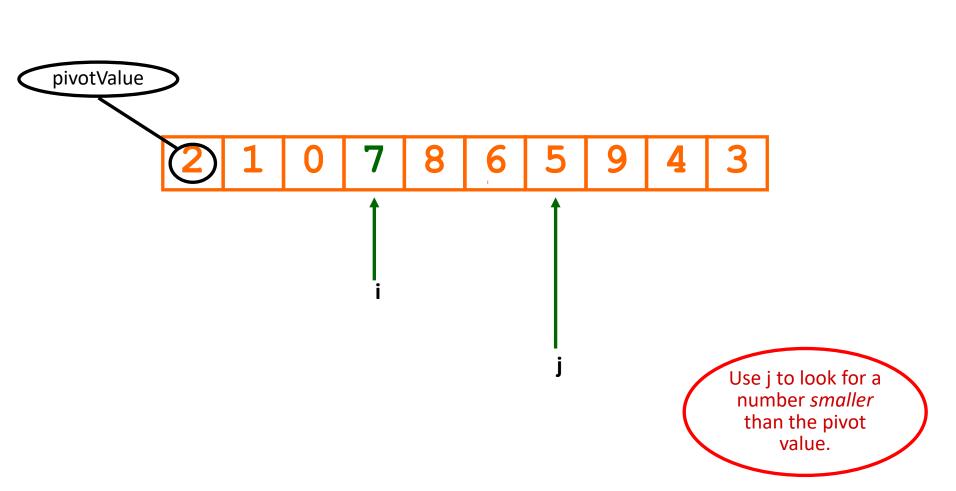






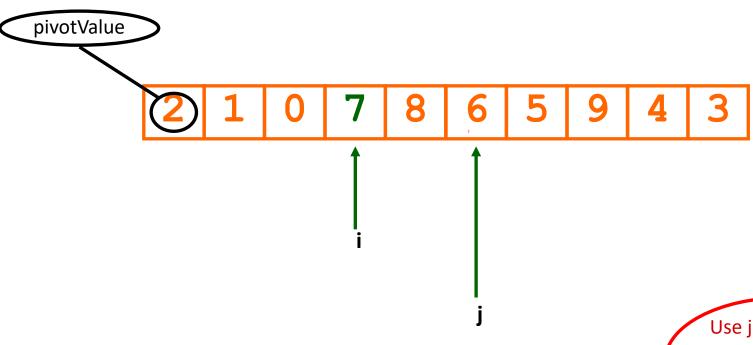








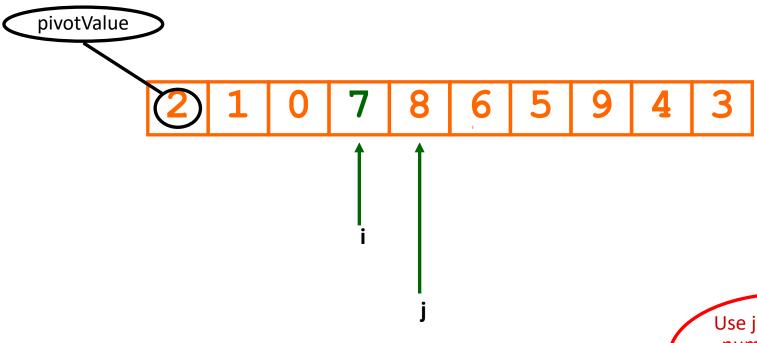




Use j to look for a number smaller than the pivot value.



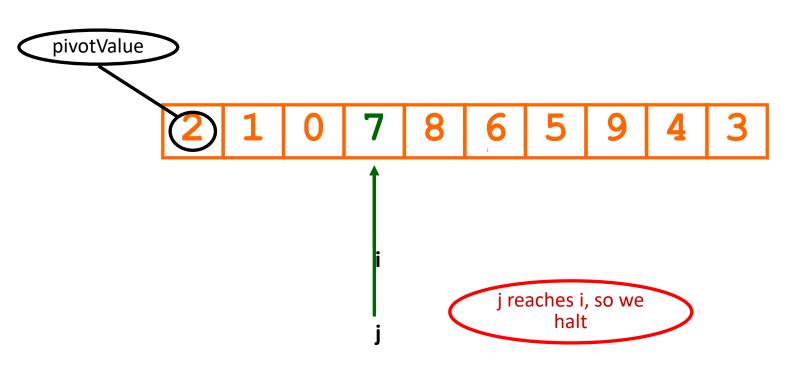




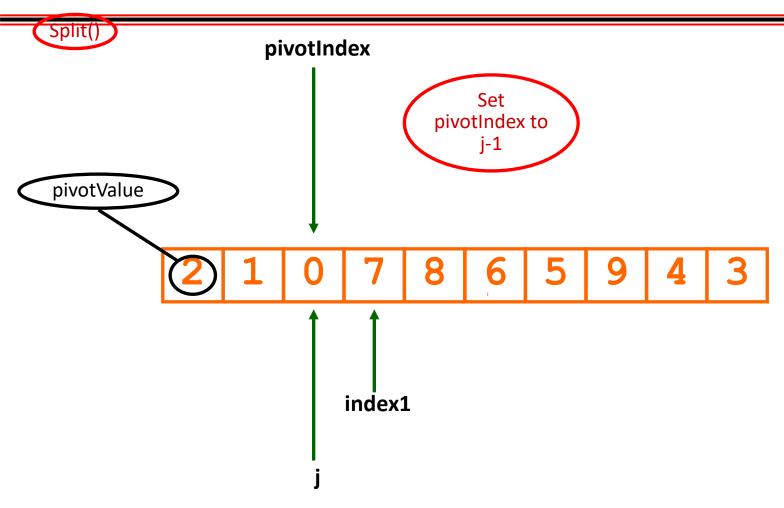
Use j to look for a number *smaller* than the pivot value.



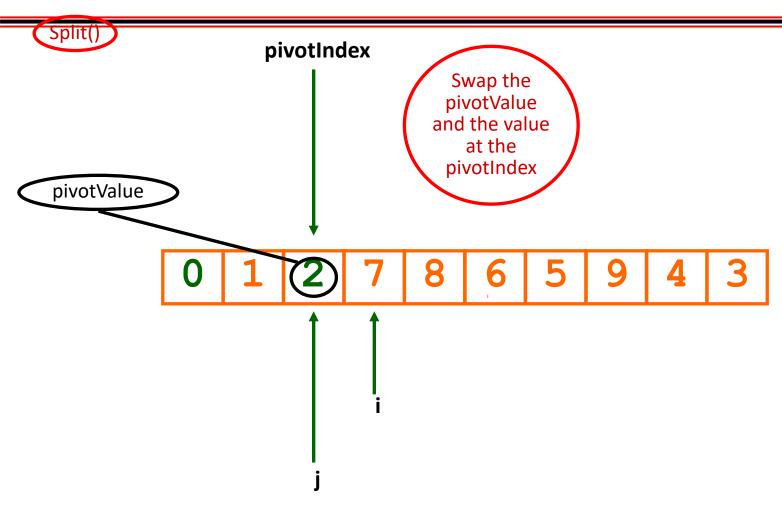




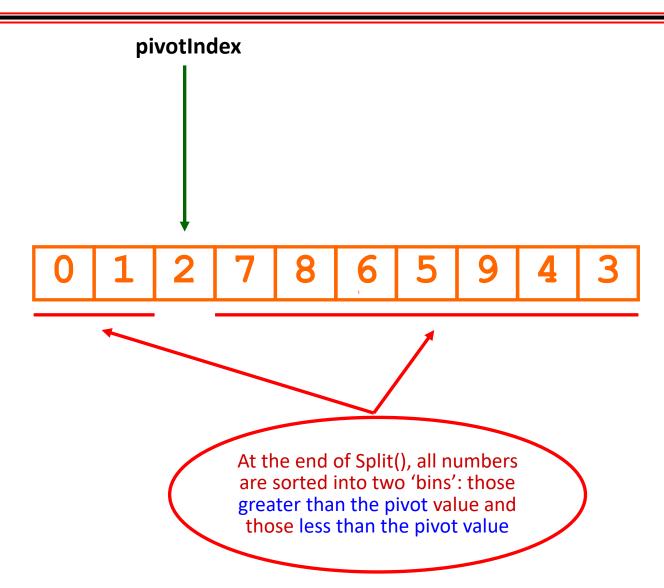










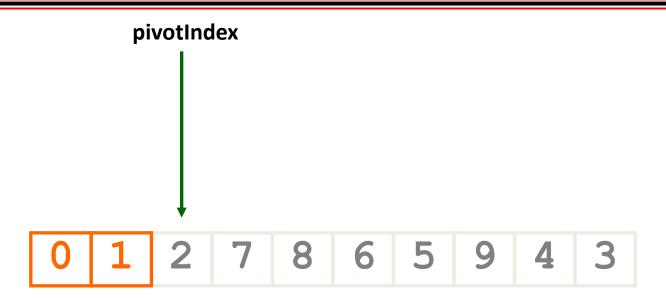






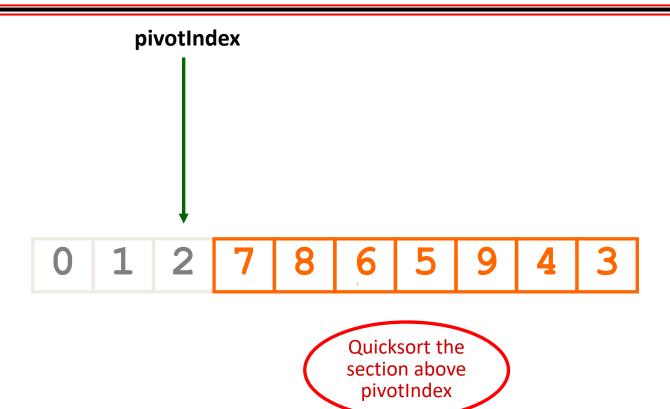
Quicksort the section below pivotIndex



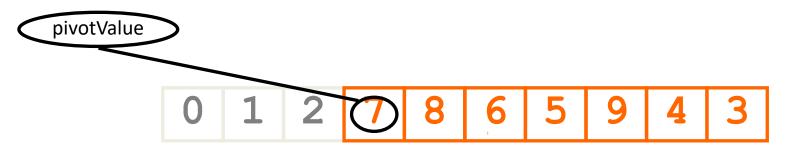


Less than three elements and already in order

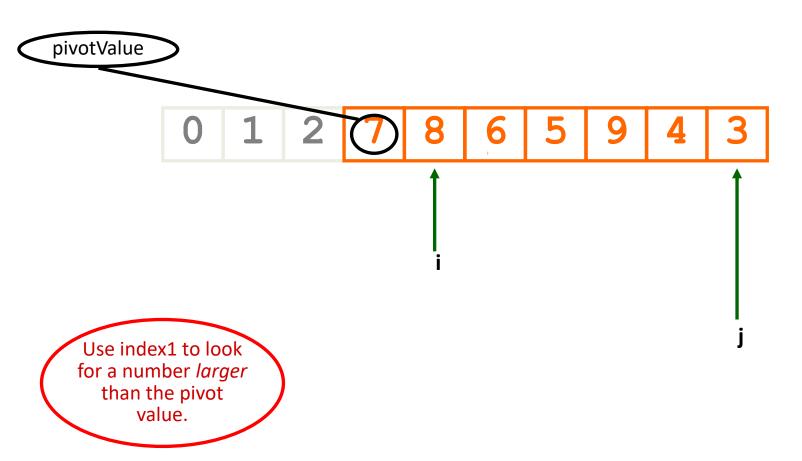




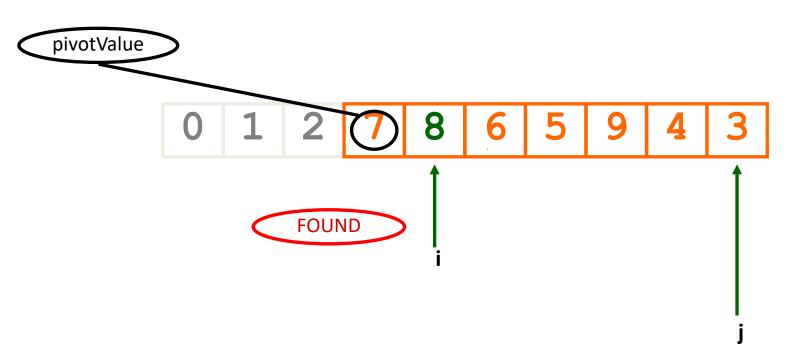


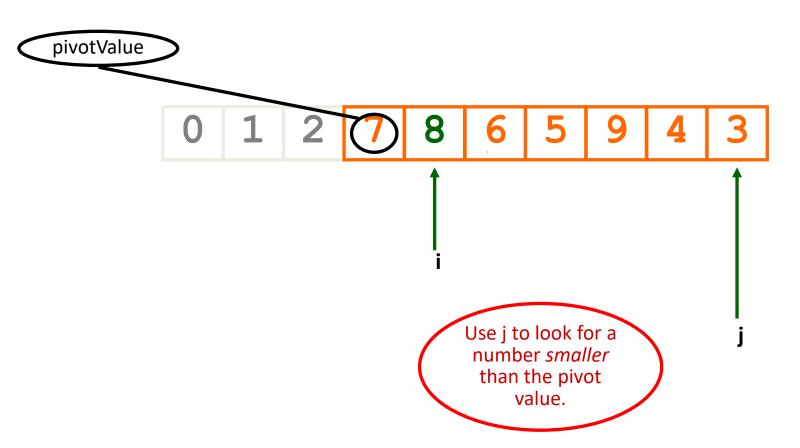




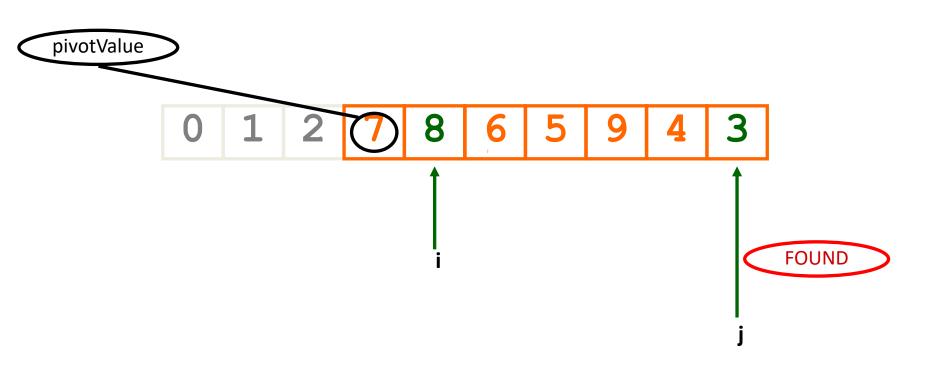




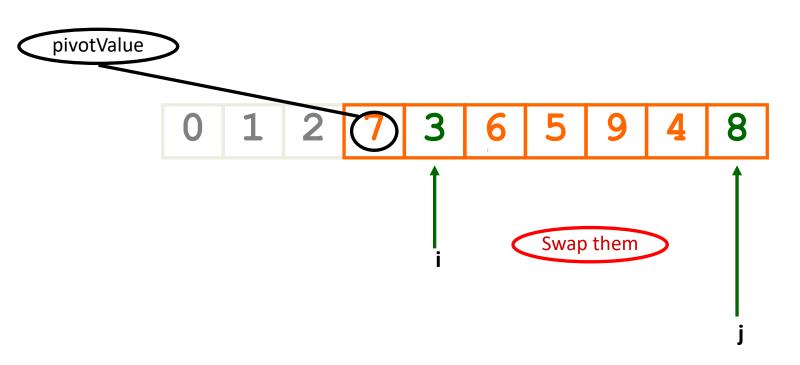




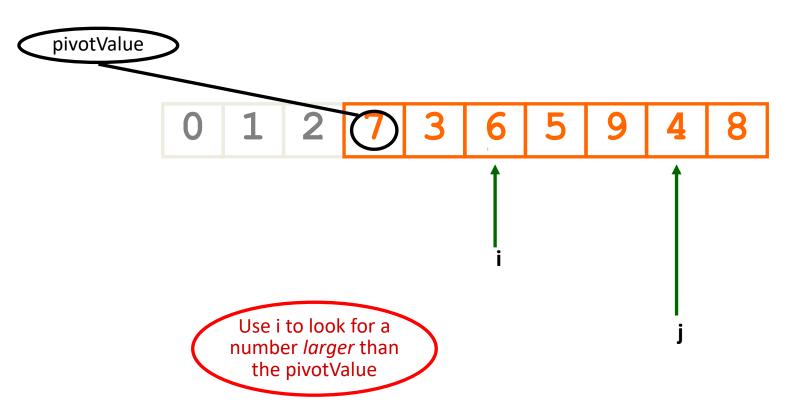




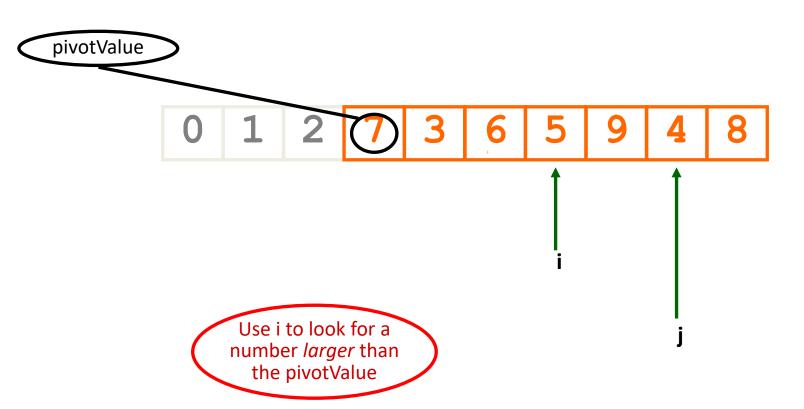




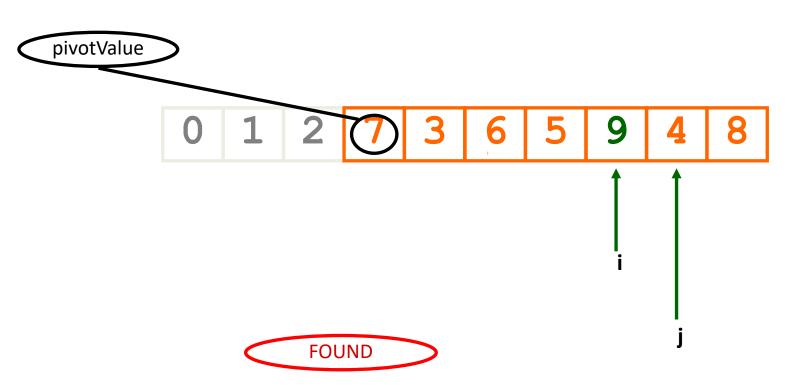


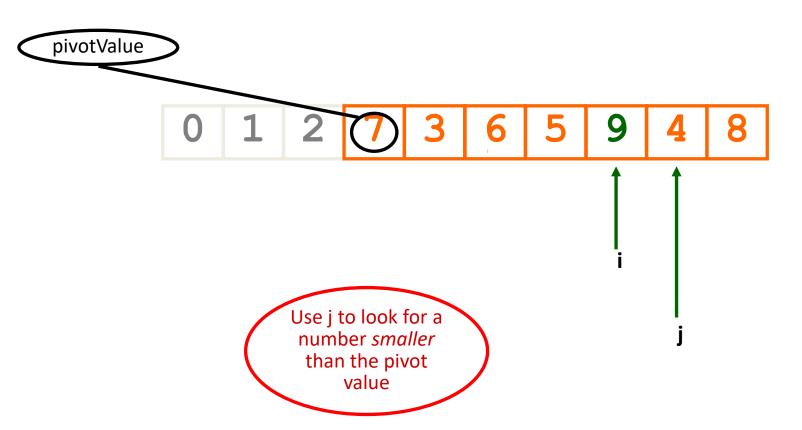




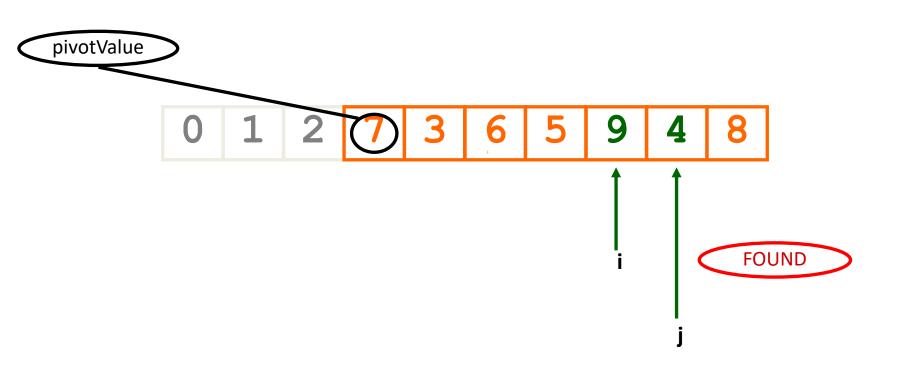


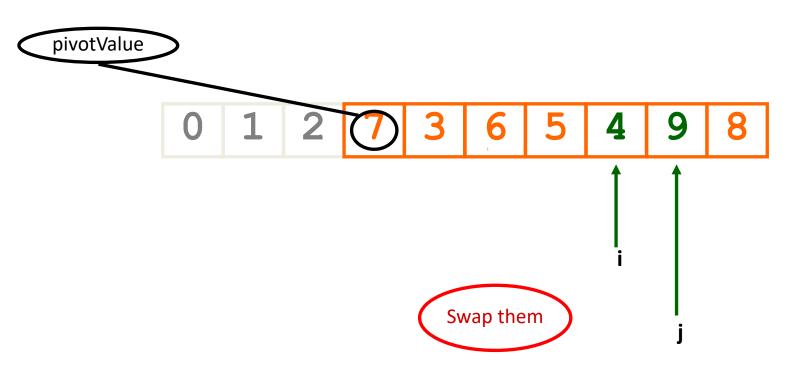


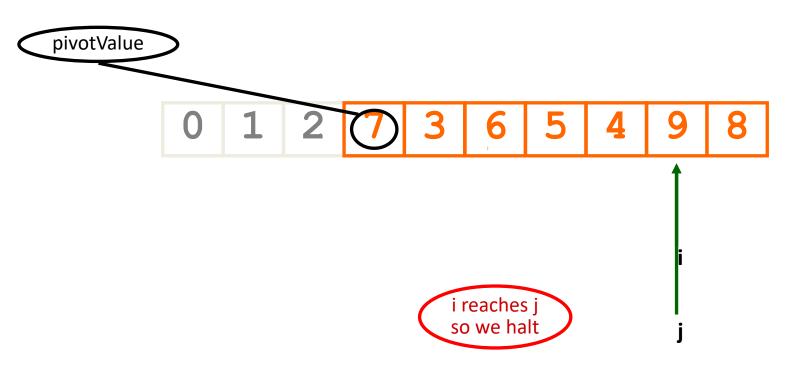




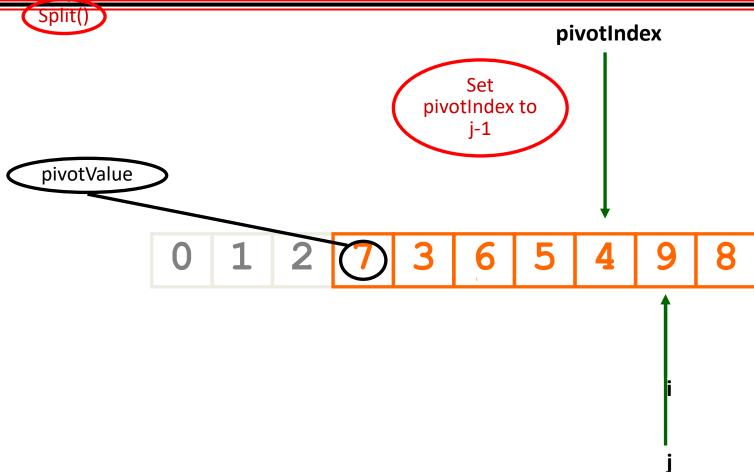




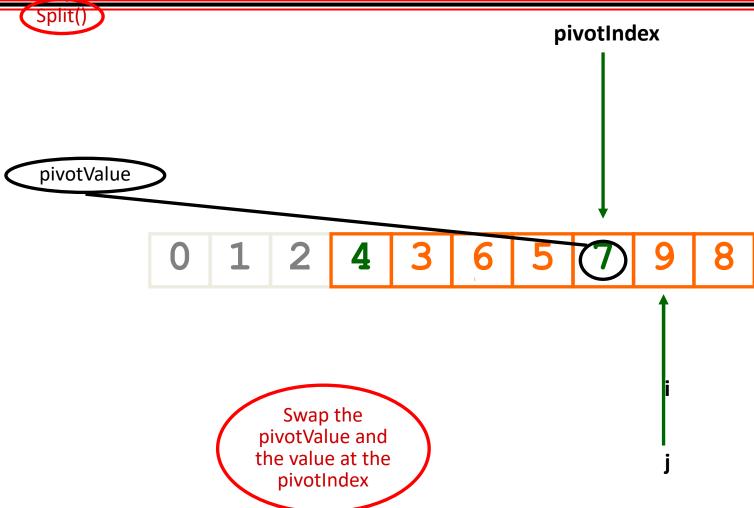




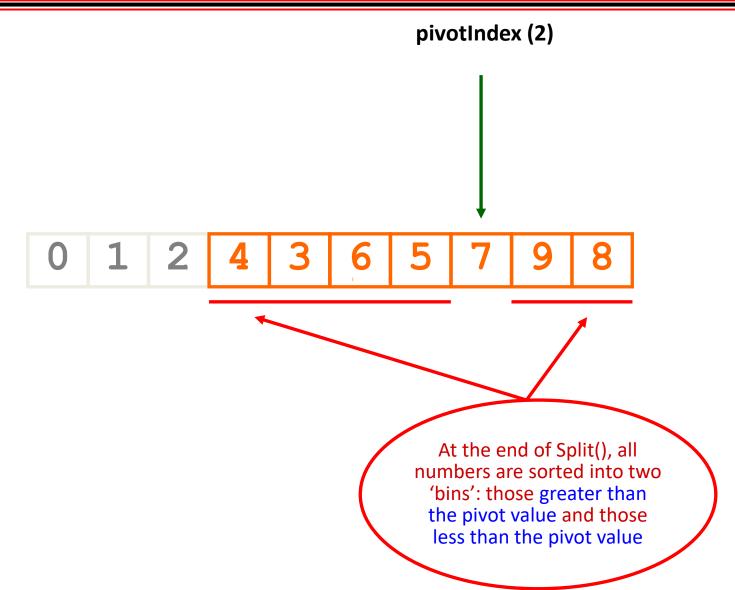




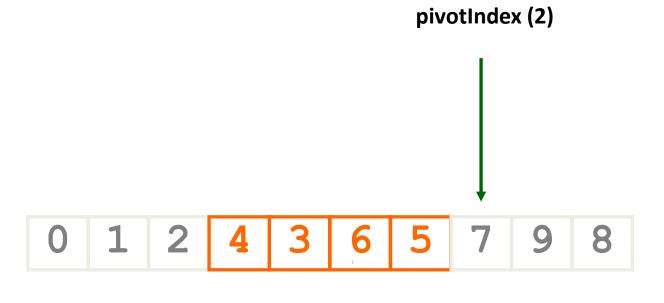










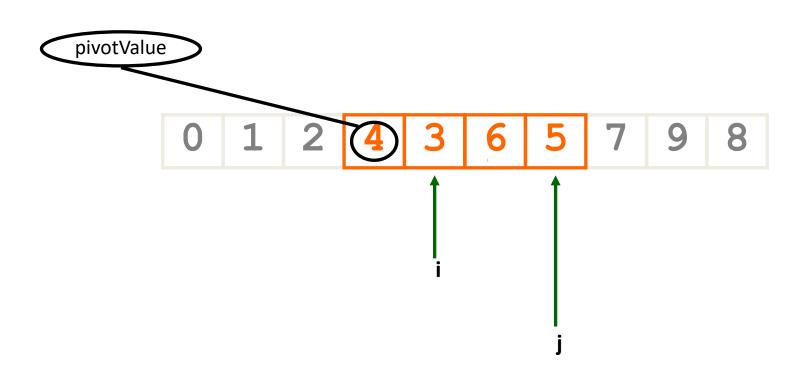


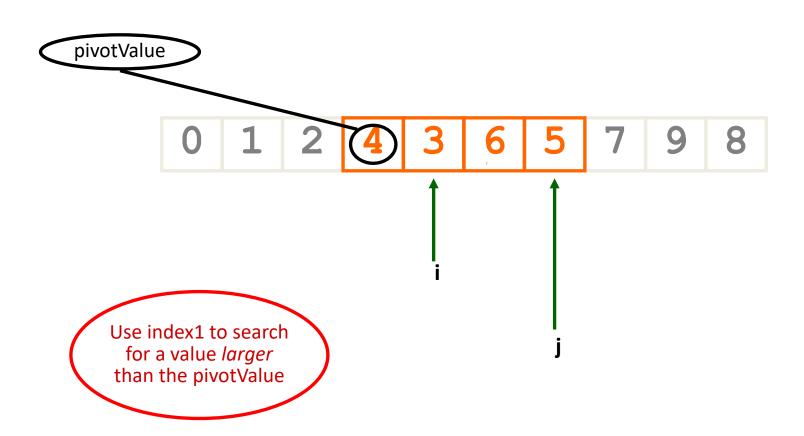
Quicksort the section below the pivotIndex

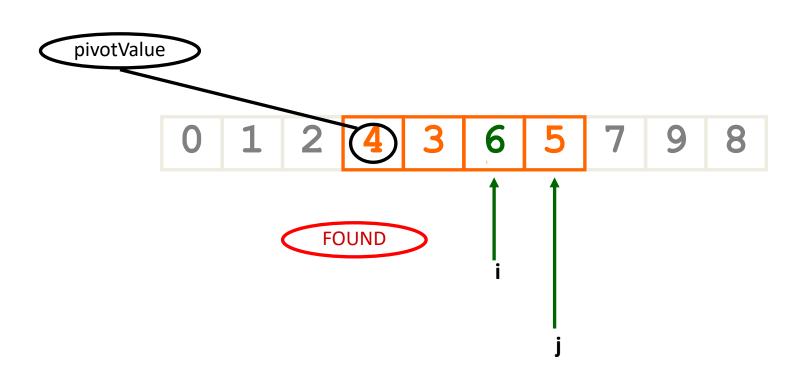




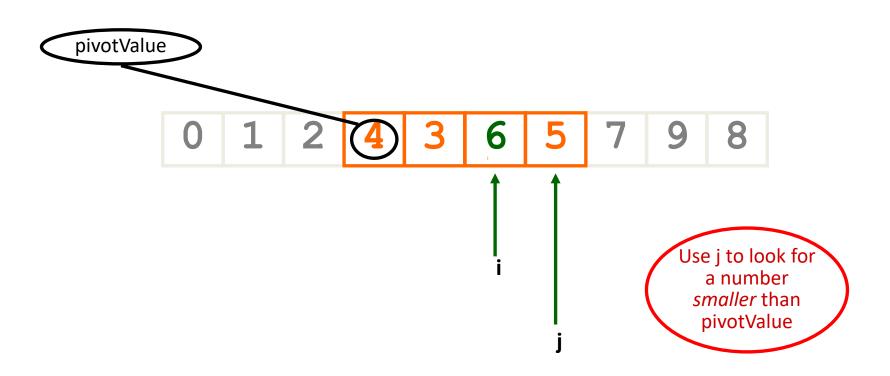




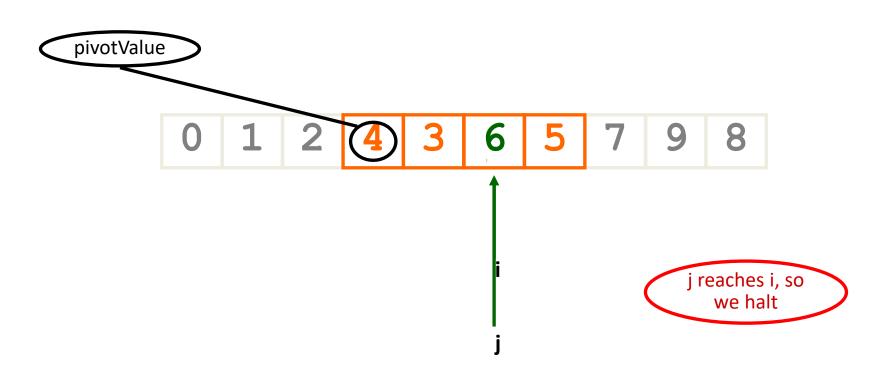




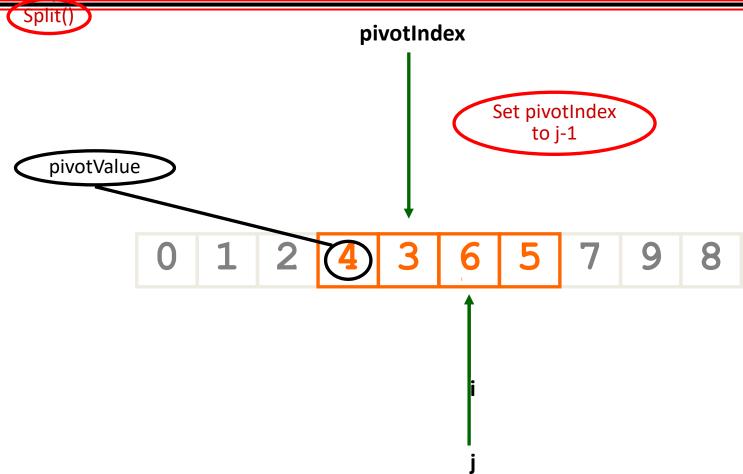




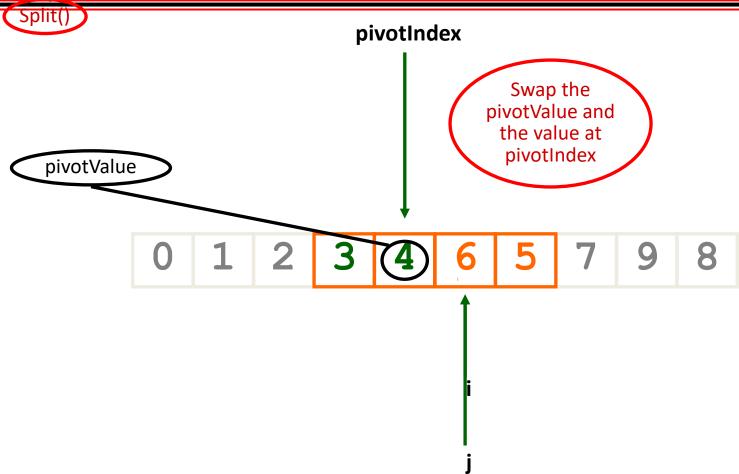




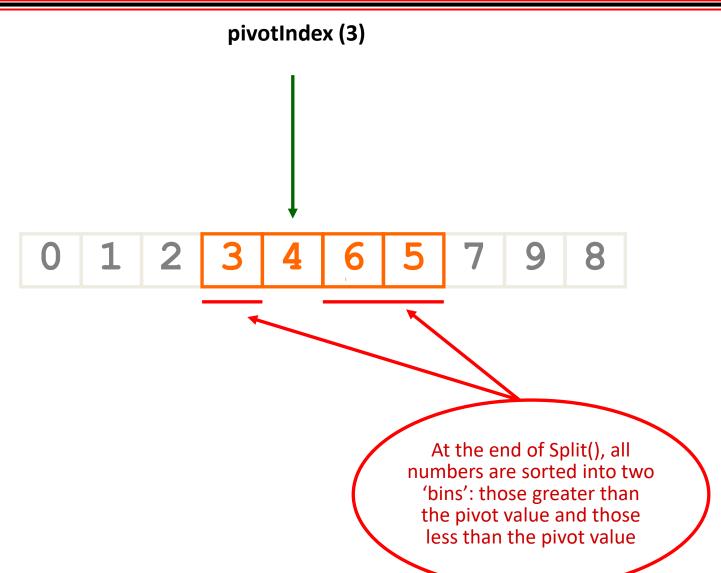






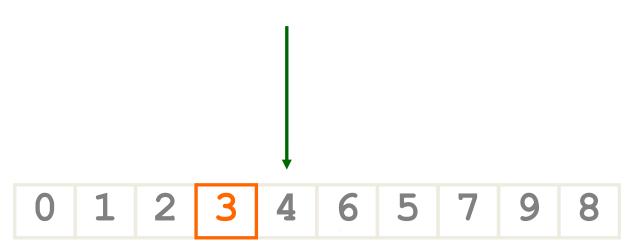








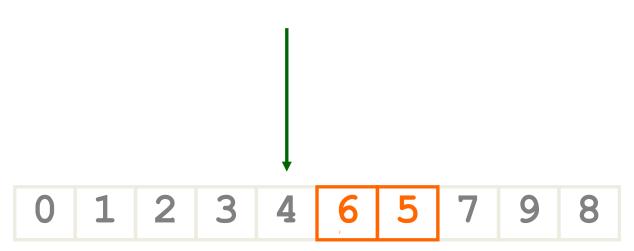
pivotIndex (3)



Only 1 value below pivotIndex, so do nothing



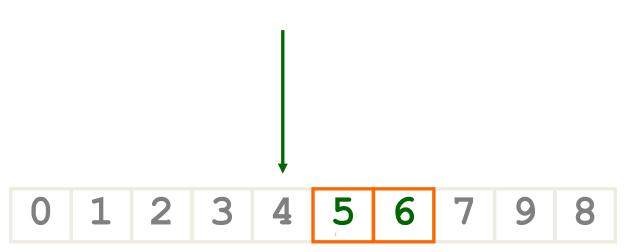
pivotIndex (3)



Only two values above pivotIndex, but out of order







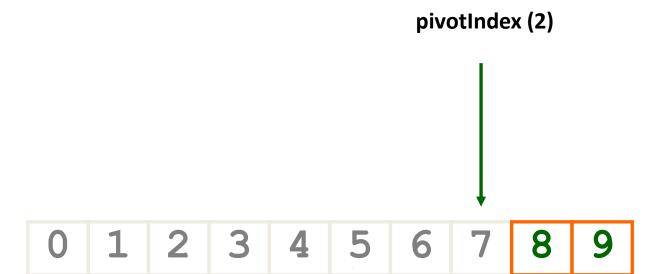
So swap them





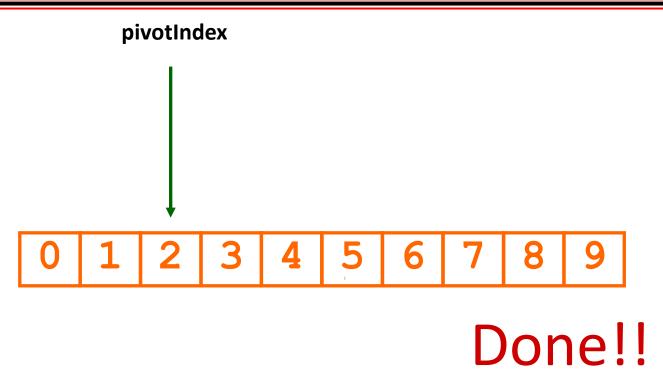
Only two values above pivotIndex, but out of order





So swap them





Quick Sort - Complexity



Time complexity

- $T(n) = T(k) + T(n-k-1) + \theta(n)$
 - K = number of elements smaller than pivot
- On average, each partition halves the size of the array to be sorted
- On average, each partition swaps half the elements.
- On average, algorithm is $O(n \log n)$.
 - $T(n) = 2T(n/2) + \theta(n)$
- Worst case, algorithm is $O(n^2)$.

Quick Sort – Choice of Pivot



- In this version of quicksort, the leftmost element of the partition is used as the pivot element.
- Unfortunately, this causes worst-case behavior on already sorted arrays because the size of sub-array is only reduced by 1.
- This problem is easily solved by choosing:
 - 1. a random index for the pivot, or
 - 2. the middle index of the partition for the pivot, or
 - 3. the <u>median</u> of the first, middle and last elements of the partition for the pivot.