

Predicting Risk for Diabetes based on the Urine Sample using Different Algorithms

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Abstract—Even though there are many advanced and sophisticated techniques that are being used to enhance the quality of health services, many of them still fail to produce accurate predictions when applied to real-time health data sets. Therefore, our aim is to devise the most accurate prediction model for an early diagnosis of diseases based on previously recorded patient data without performing any extensive laboratory tests which is the most needed application today. This project applied different algorithms to build the model to predict whether the person have diabetes or not based data on the sample of urine.

Keywords—*Diabetes, NHANES, CatBoost, KNN, LightGBM, SVM*

I. INTRODUCTION

Bioinformatic is one of the most emerging field in today's time and Machine Learning has substantiated itself an extremely valuable equipment in this field. It has been utilized to extraordinary impact in early forecast of Diseases, for example, cancer [1], and work is being done in anticipating the beginning of Alzheimer's and Parkinson's diseases [1]. These analysis depend on information from sequencing of gene and biomarkers, among different kinds of organic estimations. Endeavors have additionally been made to predict the initial stage of diseases, for example, diabetes dependent on overview of data and required information. On account of innovation that makes it a lot simpler to gather data and to study it, later on a greater amount of this sort of information may wind up accessible on a large number of the population. This bigger volume of survey data shows another chance to improve overall predictions of diseases, particularly in condition where lifestyle is profoundly related to diseases oncoming [2].

The objective of the study is to discover connection, and design a model for diabetes discovery on a patients dependent on their urine test. The inspiration of this work is to locate a simple, convenient, inexpensive and non-painful (blood test) approach to screen diabetes.

This paper is structured as follows:

Chapter 2 presents the Data Description. Chapter 3 provides description about different related work. Chapter 4 explains about the different models used to perform the classification on this project. Chapter 5 explores about the result of the model and compares them. And discussed about the results of the modeling Chapter 6 pointing forward to conclusion and several possibilities in this project aim which can be performed in near future.

II. DATA DESCRIPTION

This project utilizes the extensive National Health and Nutrition Examination Survey (“NHANES”) dataset, which is maintained and overseen by the Centers for Disease Control and Prevention headquartered in Atlanta, Georgia. The 2013-14 NHANES data set was obtained from the Kaggle web site [3] and consists of information, measurements, and questionnaire and interview responses from thousands of patients randomly chosen from across the country every year.

The NHANES data set consists of six separate data tables, each in a comma-separated values file. Each data table has thousands of patient observations, with each observation containing information on between 13 and 952 measurements or responses from the patient. Significantly, each patient observation includes a unique patient identifier, called “SEQN” for “sequence number”, that allows a patient’s observations from across multiple data tables to be combined for analysis. As such, the NHANES dataset offers a valuable look at a wide variety of properties,

measurements, and questionnaire responses for the same patient. Table 1 below presents the number of patients and the number of predictors (excluding the patient identifier) in each data table.

Data Table	Number of Predictors	Number of Patients
Demographics	46	10175
Dietary	167	9813
Examinations	223	9813
Laboratory	423	9813
Medications	13	20194
Questionnaire	952	10175
Total	1824	69983

Table 1: The data tables of the NHANES data set.

The combined data set contains a total of 10175 distinct patients and 1824 distinct predictors, excluding the patient identifier column found in each data table. This study required information from only four of the data tables: Demographics, Examination, Laboratory and Questionnaire. All together this study have to initially deal with 1644 distinct predictors.

III. RELATED WORK

In research [15], used the dataset from 1999-2004 National Health and Nutrition Examination Survey (NHANES) to develop and validate SVM model for two Classification scheme I : diagnosed or undiagnosed diabetes vs. pre-diabetes or no diabetes and Classification Scheme II :undiagnosed diabetes or pre-diabetes vs. no diabetes. They found the result that Support vector machine modeling is a promising classification approach for detecting persons with common diseases such as diabetes and pre-diabetes in the population.

Study [16], K- Nearest neighbor algorithm for the diagnosis of diabetes mellitus for the dataset from Stanford University. And found the accuracy and error rate for K=3, 5. Their result shows that as the value of k increases, accuracy rate and error rate will also increase

In another experiment [4] to find which are significantly faster than other gradient boosting libraries on similar sizes of data found that CatBoost can be scored around 25 times faster

than XGBoost and around 60 times faster than LightGBM.

One study [5], used CatBoost along with random forest and SVM for the estimation of Evapotranspiration in humid region. They used the meteorological data from five different station in southern china The data used were recorded in duration from 2001 to 2015. And they found that the CatBoost performed best with complete combination of parameter tuning where as without combination of parameter SVM is better.

Arkaprabha Saua, and Ishita Bhaktab used different machine learning algorithms for screening of anxiety and depression among the seafarers. They used algorithm like CatBoost, Random Forest, Logistic Regression, Naïve Bayes and SVM and found that Catboost to be best one for that purpose with based on accuracy and precision.

IV. METHODOLOGY

In this project, the data preprocessing was quite challenging (feature's name unintelligible, missing data, cross-checking between the different .csv). Past work has concentrated on the utilization of same survey data to predict the initial stage of diabetes in a huge sample of the population utilizing Support Vector Machines (SVM) [6]. The outcome of this study was created on the basis of the Receiver Operating Characteristics (ROC) curve. We will likely utilize this equivalent dataset and endeavor to improve overall precision. And also to more clearly describe the outcomes, and demonstrate how such a model might be utilized in reality.

The objective of this project is to have an estimation of the diabetes based on the urine sample and simple test and to improve the performance of model using various approaches. In order to obtain more accurate and precise model to predict desired output, we will performed several Machine Learning techniques and model . We perform the following steps in our study:

1. Data Preperation and Data Pre-processing
2. Data Modeling

All modeling was done using scikit-learn, a python-based toolkit for simple and efficient machine learning, data mining and data analysis [7].

The first step is to load the dataset into a data frame for easy manipulation and exploration. Then, we selected urine data, demographic data and used the questionnaire answer to create label "Diabetes". Then we clean the data, and get rid of the feature with too many missing value. Finally, we oversample the diabetes label. After this step, we got the following data.

```
In [61]: df.info()
<class 'pandas.core.frame.DataFrame'>
Int64Index: 9416 entries, 0 to 9812
Data columns (total 9 columns):
Ratio          9416 non-null float64
URXUMA         9416 non-null float64
URXUMS         9416 non-null float64
URXUCR.x       9416 non-null float64
URXCRS         9416 non-null float64
URDACT         9416 non-null float64
URXVOL1        9416 non-null float64
URDFLOW1       9416 non-null float64
Diabetes       9416 non-null float64
dtypes: float64(9)
memory usage: 735.6 KB
```

Fig.1. Summary of the Dataset to be used in this project

Following steps have been performed for the treatment of huge amount of data from different datasets of NHANES and to extract only those data which can be favourable to utilizing in our study for predicting of diabetes.

1) Feature Selection

The variables were picked to be like the variables which were utilized in [6], since so much work had been already done in this past study to choose variables. Extra highlights were inspected, for example, diet, anyway upon further investigation these most were avoided because of their insignificant impact on execution or a remarkable segment of their information was missing (> 60% missing). Of the huge number of potential variables, we concentrated on just 3 attributes 'SEQN', 'BMXWT', 'BMXHT' of examination dataset, only 1 attribute 'DIQ010' of questionnaire dataset, 2 attributes 'RIAGENDR', 'RIDAGEYR' of demographic dataset and the complete lab dataset. This made translation more straightforward and preparing time shorter.

2) Dataset Creation

After feature selection from different data sets we created our own four datasets based on those

selected attributes. Renaming of attributes were also done while creating these dataset so that it will be easy to understand. Feature cleaning and Feature processing were performed on these dataset and those attributes which shows high risk were dropped. Also missing value of each dataset were analyzed and only those attributes that have at least half the data and handled by filling mean data in the remaining features. The examination datasets which we have created contains only contains two attributes weight and height so we created new attributes 'Ratio' by calculating

$$\text{Ratio} = \text{Weight/Height}$$

and replacing them with it.

Then we merge all the four datasets to create single dataset using concatenate object of pandas with inner join.

Now the newly created dataset has 9416 data with 9 attributes of which 8 are Predictors and 1 is outcome.

3) Managing Imbalance Data

A dataset is imbalanced if the distribution of output classes is not uniform. Here we have imbalanced data. By using this type of imbalance dataset problem may occurs such as:

1. The algorithms will be biased towards majority class. So it will predict the majority class irrespective of the predictors.
2. The test Accuracy of the model will be more than 90% but it won't serve the our objective because the test data will also have more than 90% of the data pertaining to majority class. So, here, the accuracy won't be a good representation of the model performance.
3. The algorithm assumes that errors obtained from both classes have same cost. But in this case, the Type II error (False Negative) error is very serious than the Type I error (False Positive). Because we should not miss the chance or the opportunity of identifying a potential customer. But we can afford to get a few false positive, because comparatively it won't do much harm.

So to avoid these type of issues we need to deal with imbalance data. For this project, Synthetic Data Generation method is used. In regards to synthetic data generation, Synthetic Minority Oversampling Technique" (SMOTE) - a powerful

and widely used method is applied. SMOTE algorithm creates artificial data based on feature space (rather than data space) similarities from minority samples. It generates a random set of minority class observations to shift the classifier learning bias towards minority class. To generate artificial data, it uses bootstrapping and k-nearest neighbors.

4) Data Splitting

The dataset is divided into training data and test data with the intention of using the training data to find the parameters of the particular model being used (fitting the model on the training data) and then applying this to the test data to determine the model's performance and to draw conclusions about its predictive capability. Before model training, a 20% test set was removed from the entire dataset which left 80% data for training.

B. DATA MODELING

With all the data analysis and prepatration of new dataset for the study, only few features are left but we still could use various Machine Learning techniques.

In order to model the data, we used four different machine learning algorithms in this project to predict the Risk for Diabetes patients.

1. CatBoost Modeling
2. K-Nearest Neighbors Algorithm (k-NN)
3. LightGBM Modeling
4. Support-Vector Machine (SVM)

Each modeling process can be broken into following steps:

1. Import classifiers
2. Set multiples Parameters
3. GridSearchCV to find the best parameters
4. Load Classifiers with the best parameters
5. Predict with the modeling
6. Calculate F1 Score, Precision, Accuracy and ROC AUC
7. Plot Confusion Matrix
8. Plot ROC Curve

At the end of each tests the F1 Score, Precision, Accuracy and ROC AUC of latest model is shown with the previous all of the loaded model so that it will be easy to make comparison.

1) Catboost Modeling

CatBoost is a open-source machine learning algorithm from Yandex [13]. CatBoost is a fast, scalable, high performance open-source gradient

boosting on decision trees library[14]. It provides state-of-the-art results without extensive data training typically required by other machine learning methods, and provides powerful out-of-the-box support for the more descriptive data formats that accompany many business problems. The major advantages of CatBoost are it provides efficient and result and is competitive in performance basis, handling categorical features automatically as Cat in Catboost means categories of data, it is robust as it reduces the need for extensive hyper-parameter tuning and lower the chances of over fitting.

We calculate best parameter for CatBoost through GridSearchCV by using cross validation of 3 fold and found

```
{'depth': 10, 'iterations': 200, 'l2_leaf_reg': 4, 'learning_rate': 0.15}
```

which we used during modeling.

The ROC curve of CatBoost is displayed in Figure 5.

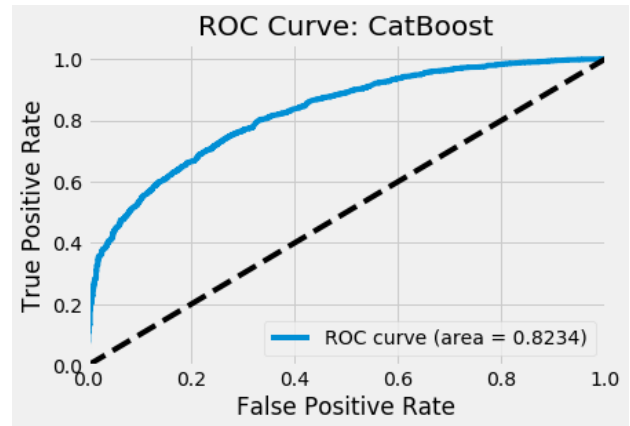


Fig.2. ROC curve of Catboost

2) K-Nearest Neighbors Algorithm (KNN)

K-Nearest Neighbors algorithm (KNN) is a non parametric method used in machine learning for classification and also for regression. The k nearest-neighbor classification rule assigns an input sample vector, which is of unknown classification, to the class of its nearest neighbor. The vector is assigned to the class that is represented by a majority amongst the K-nearest neighbors. There is the possibility of being more than one class so the value of K is restricted.[8]

We use n_neighbors=3 while modeling KNN and calculate the result based on that.

The ROC curve of KNN is displayed in Figure 3.

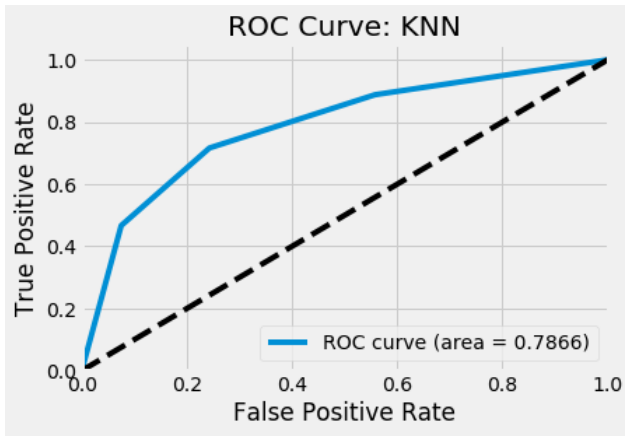


Fig.3. ROC curve of KNN Model

3) LightGBM Modeling

LightGBM is a gradient boosting Algorithm that utilize tree based learning algorithms. [9] Light GBM grows tree vertically while other algorithm grows trees horizontally meaning that Light GBM grows tree leaf-wise while other algorithm grows level-wise. It will pick the leaf with max delta loss to grow. When developing a similar leaf, Leaf-wise algorithm can decrease more loss than a level-wise algorithm. [10]. The advantage of LightGBM is that it gives Faster training speed and higher efficiency with lower memory usage and better accuracy. It also supports parallel and GPU learning and is capable of handling large scale data.

We calculate best parameter for LightGBM through GridSearchCV by using cross validation of 3 fold and found

```
(boosting_type='gbdt',      class_weight=None,
 colsample_bytree=1.0,      importance_type='split',
 learning_rate=0.1,         max_depth=75,
 min_child_samples=20, min_child_weight=0.001,
 min_split_gain=0.0, n_estimators=100, n_jobs=-1,
 num_leaves=300,           objective=None,
 random_state=None,        reg_alpha=0.0,
 reg_lambda=0.0, silent=False, subsample=1.0,
 subsample_for_bin=200000, subsample_freq=0)
which we used during modeling.
```

The ROC curve of LightGBM is displayed in Figure 4.

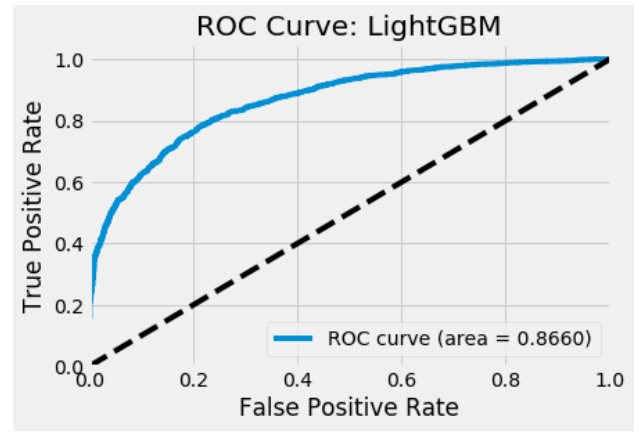


Fig.4. ROC curve of LightGBM Model

4) Support-Vector Machine (SVM) Modeling

SVM is a supervised machine learning algorithm which is mostly used for classification but also can be used for regression. In this algorithm, we plot each data item as a point in n-dimensional space is plotted (where n is number of features you have) with the value of each feature being the value of a particular coordinate. Then the classification is done by finding the hyper-plane that differentiate the two classes very well [11]. The advantages of support vector machines is it is effective in high dimension spaces where number of dimensions is greater than the number of samples, it is also memory efficient as it uses a subset of training points in the decision function called support vectors. [12, p. 20]

We calculate best parameter for SVM through GridSearchCV by using cross validation of 5 fold and found

```
{'C': 10, 'gamma': 0.001, 'kernel': 'rbf'}
which we used during modeling.
```

The ROC curve of LightGBM is displayed in Figure 5.

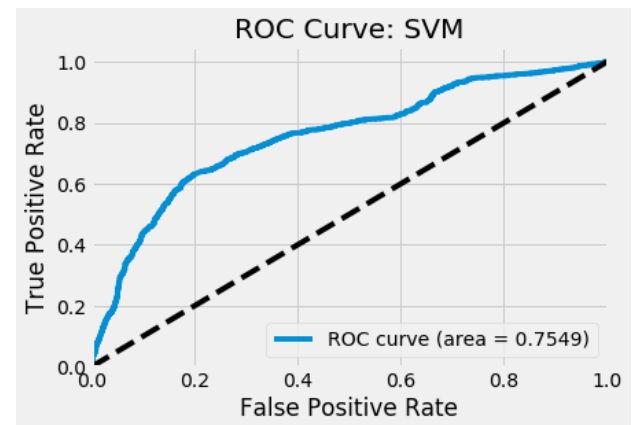


Fig.5. ROC curve of SVM Model

V. RESULTS AND DISCUSSION

We performed four different Machine learning models to classify whether a patient have risk of diabetes or not based on the data sample of urine. We evaluate different test results of our models like F1 Score, Precision, Accuracy, ROC AUC. We also consider Confusion Matrix metrics for evaluation which is a breakdown of predictions into a table showing correct predictions (the diagonal) and the types of incorrect predictions made (what classes incorrect predictions were assigned). Figure 6, Figure 7, Figure 8, and Figure 9 shows the details of confusion matrix of different models used in this project.

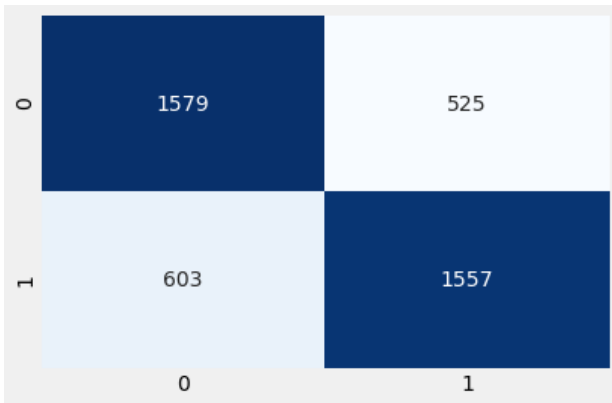


Fig 6. Confusion Matrix of CatBoost model

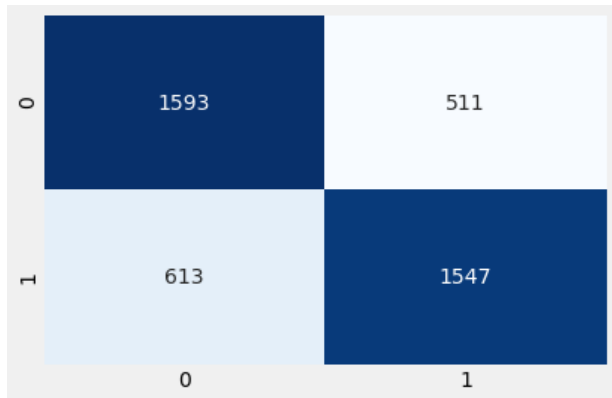


Fig.7. Confusion Matrix of KNN model

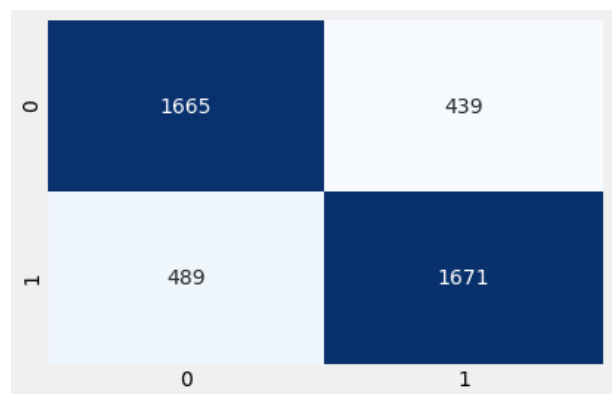


Fig.8. Confusion Matrix of LightGBM model

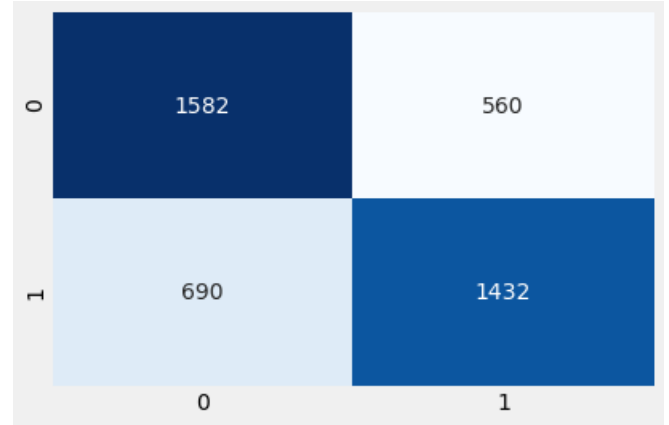


Fig.9. Confusion Matrix and Statistics of SVM model

The undermentioned table demonstrates the *F1 Score*, *Precision*, *Accuracy* and *ROC AUC* of the four machine learning models we have used in our project using sklearn.metrics.

Model	F1 Score	Precision	Accuracy	ROC AUC
CatBoost	0.734088	0.747839	0.735460	0.823425
KNN	0.733523	0.751701	0.736398	0.786645
LightGBM	0.782670	0.791943	0.782364	0.865960
SVM	0.696159	0.718876	0.706848	0.754858

Table.2. *F1 Score*, *Precision*, *Accuracy* and *ROC AUC* of different models

From the Table 2, it can be seen that LightGBM is the top performing algorithms with all the 4 metric with the highest score. The second best performing Algorithm is either CatBoost or KNN with both having 2 best metric each. SVM has the least performance with our chosen dataset.

Four models were utilized to characterize diabetics and non-diabetics dependent on data gathered from NHANES. These four models were given equivalent weighting in a troupe model that utilized the probabilistic yield of each model. From the ROC curve and metrics of the considerable number of models (found in Figure 2, Figure 3, Figure 4, Figure 5 and Table 2) it was discovered that the best performing model (the most astounding F1 Score, Precision, Accuracy and ROC AUC, found in Table 2) was the LightGBM Classifier, which really beat out the group strategy.

We were amazed that the solitary LightGBM Classifier performed best on all metrics, as we imagined that the shrewdness of each model would consolidate into the best classifier through an outfit technique. This would have been steady with the hypothesis of the 'intelligence of groups.

The more unfortunate execution of the group strategy could be because of a couple of components. The first is that there was deficient hyperparameter tuning. To prevent the need to tune at least 10 fold at the same time, which would have taken an over the top measure of time, we decided to tune each model 5 times independently. In doing this we counteracted the group model from truly utilizing the best parameters of each model, as we didn't take into account the tuning of hyperparameters dependent on gathering execution. An extra hyperparameter that ought to have been enhanced was the weighting of each model. Our gathering model weighted each model similarly, which may have given a lot of weight to more unfortunate performing models, for example, the SVM Classifier (the metrics for this model was much lower than the other 3 models).

In near future, if computational time were not an issue, synchronous tuning of hyper parameters would be completed. Extra execution improvement could likewise be accomplish by actualizeing a 'stacked model,' which is basically a two-layered model that would utilize the yield of every individual model as a component to prepare a lower dimensional model.

One of our preprocessing steps was to describe values for missing information. This is especially significant for where 20% of cells are missing data. For numerical highlights (for example BMI and tallness) we determined the mean from the preparation information and allocated it to missing fields in both training and test sets. The most challenging task in this project is to handle and analyze the data from different data sets.

VI. CONCLUSION

The outcomes clarified in the previous section concluded this investigation of project. We figured out how to get results from the given informational collection and utilize new Algorithms which we have never used before, which was our primary objective for this study. While programming, interpreting the outcomes and contemplating the outcomes, entire research

group got a hands-on involvement with present day algorithms for computational information systematic with a true case information gathered from general society.

With this outcome, we can see that it is possible to have an estimation of the diabetes dependent on the urine test and straightforward test. The nature of the model (score) isn't magnificent and can be increment with other additional data. The further stage for this study would be the enhancement and more tuning of every Algorithm models. In this study, limited parameters of each algorithm were checked in consideration of time.. Adjusting parameters to get better results would require a more in-depth study of each algorithm to know how the performance could be improved.

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