Scott Sato-Connell

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**QUALIFICATION SUMMARY**

* Analytical financial professional involved with both quantitative and qualitative analysis in fundamental valuation methods to support investments decisions.

##### EDUCATION

May 2012 **New York University**, **SCPS**, Schack Institute of Real Estate, New York, NY

Master of Science in Real Estate, Finance and Investment

May 1994 **Siena College,** Loudonville, NY

Bachelor of Science, Marketing and Management

##### EXPERIENCE

**Canadian Imperial Bank of Commerce [CIBC] World Markets**

2002-2010 Executive Director – Index Arbitrage Trader, New York, NY

* Generated multi-million dollar annual profits, trading multi-billion dollar proprietary index arbitrage portfolio utilizing the futures, equity and option markets
* Modeled and analyzed corporate action and index changes to track trading P&L and the risk impact of the trades
* Developed interest rate trading strategies to control cost of funding for the portfolio
* Built relationships with brokers, establishing new business opportunities to improve speed of trade executions, reduce costs, and increase profitability
* Created and presented a training seminar for basic index arbitrage trading strategy

2000-2002 Director – Equity Arbitrage Trade Support, New York, NY

* Managed and motivated trade support personnel increasing accuracy of all trade reporting
* Developed and implemented strategies for new business initiatives and automation projects
* Advised accounting, finance, risk, operations, internal and external audit departments about trade input, capture, booking and reconciliation processes

**Merrill Lynch**

1997-2000 Senior Swaps Analyst, New York, NY

* Provided superior client service for various debt and credit derivative products by communicating with trading, marketing, finance, risk, accounting and operation groups
* Developed standardized procedures for energy derivative operations
* Confirmed, approved and reconciled commodity and interest rate swap transactions with clients

1996-1997 Senior Broker Transfer Representative, Englewood, CO

* Researched and solved account balance discrepancies eliminating potential losses
* Reduced processing time for private client account transfers from 5 to 3 days
* Created training manual for ESPP and ESOP account transfers

**SKILLS AND INTERESTS**

**FINRA/NASD** Registrations: Series 3, 7, 55 and 63

Proficient with Microsoft Word, Excel, and PowerPoint, Argus Software

Skiing, Golf, Tennis and Fitness Exercise