***COURTNEY ROGERS***

***720-841-0500***

NYC - CT courtrogue@gmail.com

**SUMMARY**

10 years of increasingly responsible Information Technology, Security and Audit experience; Fortune 100 experience in telecommunications, high tech and financial industries; self-starter, highly motivated, focused on improving process and procedures and increasing business efficiency through the consistent, controlled use of information technology.

**KEY COMPETENCIES**

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| --- | --- | --- |
| * Internal/External IT Auditing | * Risk Management | * Business/Systems Analyst |
| * Information Security Compliance | * Patch Management | * Requirements Gathering |
| * Sarbanes-Oxley | * Vulnerability Scanning | * Software Development (SDLC) |
| * ISO 17799 | * Change Management | * Gap Analysis |
| * COBIT | * Analytical Reasoning | * Project Management |
| * GLBA | * Training Facilitator | * Process Re-engineering |
| * SAS70 | * Shared Infrastructure | * Enterprise Solutions (ERP) |
| * PCI | * Report Writing | * End User Documentation |

**PROFESSIONAL EXPERIENCE**

JPMorgan Chase – New York, NY April 2007 to March 2011

***Vice President- Audit - IT Infrastructure and Treasury Services***

Lead Audit coverage of large firm initiatives, including System Transformation and Conversion, Business Continuity, Enterprise Releases and Merger and Acquisition events.

* Manage risk-based assessments of information technology auditable entities. Oversee execution of test strategy, identifying control weaknesses and potentials for improved efficiencies. Work with management teams to ensure appropriate risk mitigation.
* Monitor project team working groups in developing and implementing internal controls and best practices. Provide consultation to risk, business and technical teams to strengthen internal controls and improve operational efficiency.
* Maintain knowledge of regulatory requirements and ensure effective communications to the appropriate agencies.
* Work closely with line of business and technology auditors in the early identification of emerging control issues and reporting them timely to Audit management and business stakeholders.
* Develop and maintain strong partnerships with management team and audit peers to achieve consistency and transparency of audit practices and results.
* Ensure projects are completed timely and within budget.

Bear Stearns – New York, NY May2006 to March 2007

***Senior IT Auditor***

Performed reviews of general controls, internal processes and procedures.

* Performed SOX testing and internal audits for the firm’s IT infrastructure
* Performed infrastructure reviews across multiple platforms and various middleware products.
* Evaluated financial system software products for security compliance.

Fortner, Bayens, Levkulich & Co., P.C. - Denver, COOctober 2005 to April 2006

***Information Technology Services Auditor***

Performed external examinations of technology controls for regional community banks. Retained to complete 2005 outstanding IT audits for the firm.

* Produced Bank Information Systems (BIS) Examination reports for community bank clients. Prepared banks for regulatory audits.
* Followed FFIEC/FDIC and GLBA guidelines for information systems auditing and protection of customer information
* Coached bank staff on compliance requirements and resources. Developed resource guide for community bank information systems regulatory compliance.

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Systems Engineering Services – Greenwood Village, CO

***Security Compliance Analyst/Project Manager – at IBM*** March 2004 – October 2005

Security lead for IBM OnDemand Business Unit: Managed internal and external audits (PCI, SAS70, SOX, Corporate IBM) for the IBM OnDemand Delivery Organization. This was a highly matrixed work environment supporting multiple platforms across a shared infrastructure supporting both internal offerings as well as external clients. ODCS is a very high profile IBM offering that hosted clients from multiple industries (banking, retail, ecommerce, financial services) worldwide. Served as liaison between technical security leads and internal/external auditors.

Focus Areas:

|  |  |
| --- | --- |
| IT Infrastructure Platforms: UNIX, Windows, zOS | Middleware: Oracle, DB2, Websphere, SQL |
| Physical Security | Logical Security |
| Security monitoring tools (IBM – Tivoli) | Vulnerability/Penetration Testing |
| Patch Management | Change Control (IBM - ManageNow) |

* Lead the InfoSec team for the OnDemand Delivery organization with internal cross-functional organizations and external, commercial customers with hosted environments
* Interpreted, applied and enforced corporate security policy and client agreements within the organization
* Identified and delegated action items to the Delivery team members and stakeholders for mitigating risks and remediating compliance findings
* Managed relationships with internal security service providers for vulnerability scanning, penetration testing, logical access
* Represented offering in new product development or upgrades (e.g. patch management, policy automation)
* Provided compliance direction for the IT team and efforts resulted in a higher corporate audit rating than previous reviews
* Represented InfoSec in all potential security incidents; participated in root cause analysis
* Managed projects for security software upgrades, problem resolution
* Ensured periodic health checking was performed on all infrastructure and commercial servers
* Reviewed and communicated audit findings with IT Support Staff; documented responses to audit findings
* Tracked and managed compliance issues and risks

Everything in Between, Inc.

***Information Technology& Technical Writing Consultant –*** *Denver,* CO November 2001- February 2004

* Provided personal computer support and training to small businesses and professionals
* Drafted official letters, responses and statements (RFI, RFP)
* Provided editing services to professionals and small businesses
* Provided grant writing consulting and editing (medical research)
* Improved customer productivity with custom support services
* Managed client IT projects

Information Handling Services, Inc. - Denver, CO

***Business Analyst, Enterprise Solutions*** October 2000 – March 2001

* Gathered functional user requirements from external clients in publishing, aviation, communications, U.S. Military and U.S. Government for custom data and application solutions
* Responded to Requests for Information (RFI) and Requests for Proposals (RFP)
* Served as liaison between external, end customer and IT development team
* Lead Analyst for CD-ROM development and Data Conversion, both application-to-application and paper to electronic
* Performed Quality Assurance testing
* Drafted process documentation
* Assisted in delivery of sales proposals and provided analysis for cost determination

Qwest Communications International, Incorporated - Denver, CO (formerly U S WEST Communications, Inc.) - Denver, CO

***HRIS Data/Business Analyst, Corporate Data Reporting & Merger*** June1997 – September 2000

* Developed functional specifications for enterprise-wide projects, implementations, conversions, releases and upgrades
* Produced detailed Human Resource corporate data reports and analysis for executive leadership, internal customers, legal, regulatory and government agencies
* Evaluated business need for system enhancements; performed cost benefit analysis; performed gap analysis; evaluated downstream impacts; provided recommendations to senior management
* Promoted project management best practices in the organization by using the full Project Management Life Cycle
* Produced detailed Human Resource corporate data reports and analysis for executive leadership, internal customers, legal, regulatory and government agencies
* Facilitated end-user application training following upgrades and custom enhancements
* Managed the HR Corporate Training Management System exploratory team and project

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**EDUCATION**

* University of Mississippi – Bachelor of Arts (BA) – English
* University of Denver – graduate studies in technical and grant writing

**PROFESSIONAL MEMBERSHIP - COMMUNITY ACTIVITIES**

* Information Systems Audit and Controls Association (ISACA) - Active Member