

Identifying Equivalent State Bills through Text Reuse.

Subtitle.

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Abstract

Much research has been focused on the diffusion of policy ideas in US state legislatures. Most of this research uses hand coded data sets that identify equivalent bills and analyze patterns of adoption of these bills. Bills on equivalent policies often contain the same language, since legislators use past legislation from other states or model legislation from interest groups as templates when drafting new bills or model legislation from interest groups. In this paper we evaluate the effectiveness of text reuse measures to detect bills that address the same policy issues. We find that...

1 Introduction

Research on public policy adoption and diffusion has conventionally relied on modest hand-coded datasets that encode when one or a handful of policies were adopted by each jurisdiction (Boehmke and Skinner, 2012). Scholars of public policy diffusion have recently turned their attention to the empirical identification of emulation ties connecting policy jurisdictions (Volden, 2006; Boehmke, 2009; DESMARAIS et al., 2015; Garrett and Jansa, 2015). Identifying instances of policy emulation and explicit diffusion ties opens policy diffusion scholarship to an entirely new set of questions and theories that can be approached empirically. In this paper we draw upon a massive and nearly all-encompassing source of data from which to infer diffusion ties – the text of legislation. If the computer-based analysis of bill text can be tuned to successfully identify policy adoption and emulation, we will be able to vastly scale up both the scale of data gathered and the precision of diffusion inferences.

However, it is not clear how well text reuse is suited to detect real policy diffusion. There are several complications that we are addressing in this paper. First, every bill has procedural content that is not related to the policy content of the bill. Since every state legislature has a set of such standard or boiler plate text in each bill, there will be significant text reuse between bills in the same state and possibly also between bills from different states. Second, it is not obvious how much text reuse will mean substantive

policy overlap. Given that each bill pair has a continuous proportion of overlapping text, setting the threshold too low might mean to classify bills as equivalent that are only in the same policy area, or are on a similar issue, but are opposed in content. On the other hand, setting the threshold too high could mean overlooking equivalent bills because of small insignificant changes to the text.

In this paper we address these issues and evaluate how much policy overlap can be detected using text reuse measures. Following Wilkerson et al. (2015) we use supervised machine learning to separate boiler plate from substantive text. We further more use an original data set on policy diffusion to evaluate how much equivalent policy can be detected using measures of text reuse. Using the system developed by Burges et al. (2015) we calculate text reuse scores for all pairs of bills in our dataset.

We will then assess the scientific value of the text reuse scores in several different ways. First, we use topic modeling on the discovered reused text sequences to assess the content of the matching text. Second, we investigate the relationship between the ideological distance of the legislators that proposed two bills and the text reuse scores between these bills. Third, we assess the how well policy diffusion networks that have been established by previous research can be discovered using the amount of text reuse between states. And fourth, we use data on equivalent bills collected by the National Council of State Legislatures to build an evaluation data set containing true policy overlap to assess the accuracy of text reuse in predicting policy overlap.

This work has several important implications. First, it allows us to estimate, how policies are transferred between states. Do state legislators work mainly from templates from other states or interest groups, or to what extent do legislators draft their own bill text. Furthermore, text reuse is a relatively simple metric to calculate for large amounts of text. Previous scholars of policy diffusion mainly relied on case studies or manually coded data sets of policy diffusion in few policy areas. If working copying text forms a significant portion of how legislators adopt policies from other states, text reuse can be used to easily gather comprehensive data sets on policy diffusion.

2 Background

Public policy diffusion – the process by which policymakers emulate the policies implemented outside of their jurisdictions – is a firmly established area of research in both Comparative (Simmons and Elkins, 2004; Gilardi et al., 2009) and American politics (Walker, 1969; Berry and Berry, 1990; Shipan and Volden, 2006; Nicholson-Crotty, 2009). Until recently, policy diffusion studies have deployed quantitative research designs in which the relational component of policy diffusion (i.e., which jurisdiction is being emulated in a given diffusion instance), has been treated as completely unobservable or assumed to align with the geographic adjacency network (i.e., jurisdictions only emulate their geographic neighbors) (Volden, 2006; Boehmke, 2009). Recognizing the limitations in this approach, scholars have recently taken on the task of directly measuring the latent networks through which policies diffuse. DESMARAIS et al. (2015) apply network inference algorithms to

US state adoption sequences in over 100 policy domains to empirically infer the underlying network through which policies diffuse. Garrett and Jansa (2015) analyze the text of US state legislation to measure the diffusion of policy in one domain – restrictions on insurance coverage for abortion – and identify the influence of model legislation, as introduced by interest groups.

Previous research on policy diffusion relies almost exclusively on small subsamples of legislation and hand coded data. Little work has been invested in investigating automated ways of detecting equivalent bills in order to measure policy diffusion. Wilkerson et al. (2015) use the Smith-Waterman local alignment algorithm (Smith and Waterman, 1981) to detect overlapping language in congressional bills in order to trace policy ideas through the legislative process of the US congress.

2.1 Tasks

- Discuss and find some literature on copying legislative language:
 - Interest groups and model legislation
 - Legislator's resources
- Policy diffusion (FL expand paragraph)
- Text re-use in CBP in bills
- Text re-use in general
- Alignment (FL expand review of Wilkerson et al)
- Discussion of text-based classification

3 Data

We will rely on two main data sources to assess the reliability of text reuse to identify substantively equivalent bills.

Bill text

In order to calculate the alignment scores between bills, we rely on a database collected by Burgess et al. (2015) and the Sunlight foundation. This data base contains approximately 500,000 bills from 2008 to 2015. The available metadata for the bills includes a timestamp for introduction and approval of the bill, the name and party affiliation of the sponsor(s), the state and the bill id. This collection of bills is based on all bills that are available through the openstates.org API. Open states is a website maintained by the Sunlight Foundation, in order to increase transparency in state politics. The Sunlight Foundation use web scrapers to access all bills that are available on the websites of legislatures in all US states. This includes enacted legislation as well as bill that is still in the legislative

process, or where not enacted. The database contains the bill text as well as additional information on the legislative process for the bill. We have the first and the last version of the bill text, the sponsor of the bill and all legislative action that was taken on the bill.

Ideological Scores

For the ideological matching, we rely on latent ideology scores measured by (SHOR and McCARTY, 2011). The data set contains scores for 20738 legislators from 50 state legislatures as well as their party affiliation and their time in office.

We additionally construct a validation dataset of equivalent bills from information obtained from the National Conference of State Legislatures (NCSL). The NCSL publishes summary tables on specific policies, citing the relevant bills or sections in the state statutes of the states that have implemented regulations on this policy. We collected all these tables, and extracted all bills that address the same policy measure and that overlap with the time frame covered by our bill database.

4 Alignments

The alignment scores are calculated using the affine version of the local alignment algorithm proposed by Smith and Waterman (1981) in order to match genetic sequences. The same algorithm has been used by Wilkerson et al. (2015) to trace bills through the legislative process in congress. When considering two bills, the algorithm treats each bill as a sequence of words and tries to find the best alignment between these two sequences. Wilkerson et al. (2015) split the bills into sections and search for text reuse across sections. Since this results in about 7 billion possible pairs, first filter out pairs that don't have an exact match of a sequence of at least ten words. We encounter the same problem in our analysis to a greater extent. Assuming each bill consists of ten sections on average, there would be approximately $1.25 * 10^{12}$ possible pairs of sections.

In order to assess the computational burden the local alignment algorithm poses we test the implementation of the alignment algorithm (Burgess et al. 2015) on a set of 1000 randomly selected bills. In a first pass we calculate the alignments between all pairs of the full bills (not divided into sections). Figure 1 displays the relationship between bill length and computation time. As expected the time increases exponentially with the length of the bills. Figure 2 displays a histogram of the logarithm of the computation time (in seconds). Computation time varies between $0.001s$ and $1,650s$ with 75% of the distribution below $0.1s$ and a median time of $0.03s$. This shows that an exhaustive comparison of just the full bills (not separated into sections) would take about 100 years on a single machine.

Since for this calculation there is no minimum threshold (as in Wilkerson et al. (2015) minimum of 5 matching 10-grams). Alignments between almost all bills are found and most of the alignments are procedural language. This is apparent in Figure 3 which displays the average alignment score for bills from Illinois (left panel) and Washington (right panel) for all other states in the sampled data set (x-axis). Especially for Illinois

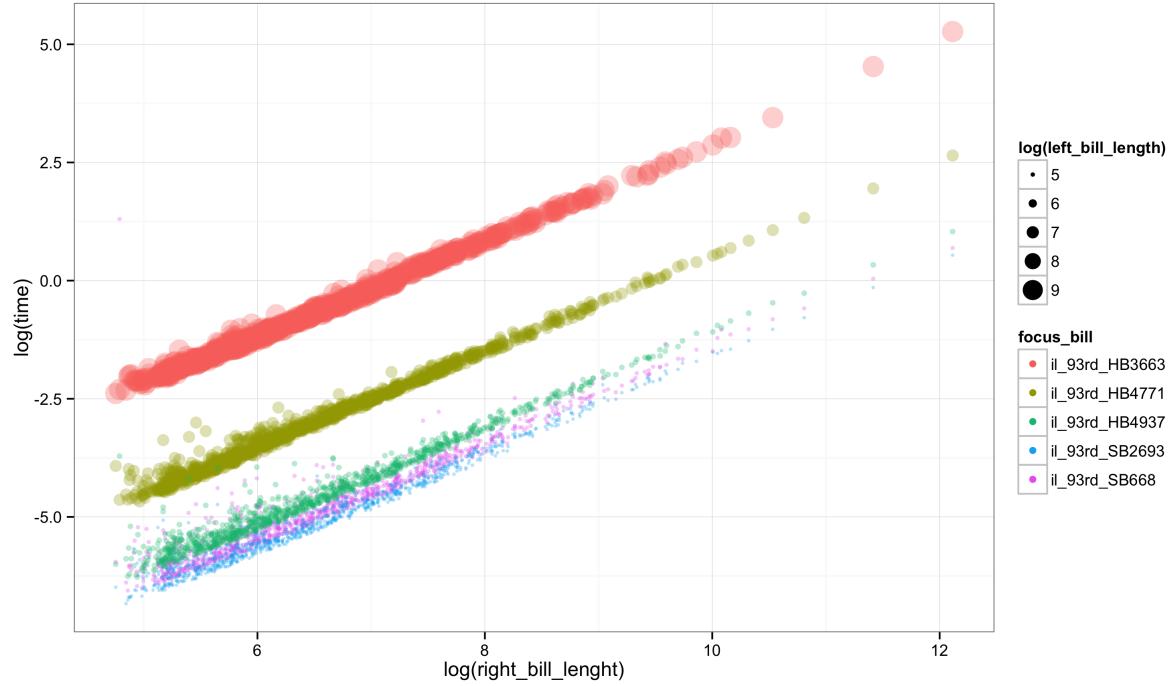


Figure 1: Computation time for selection of bills. The Colors correspond to five selected bills (focus bill or left bill). For each of the bills, the best alignment with 1000 randomly selected bills (right bills) are calculated. The size of the dots corresponds to the logarithm of the length of the focus bill (in number of words), the x-axis corresponds to the logarithm of the size of the comparison bill and the y-axis corresponds to the logarithm of the computation time (in seconds).

there is a much higher alignment score for bills from the same state. This shows that a minimum threshold and a classifier for procedural language are necessary.

In order to make the computation more feasible, we rely on a similar strategy as Wilkerson et al. (2015). Our bills are stored in an elastic search database which provides a variety of search algorithms that are designed to find similar documents. Since searching the whole database for the full document is very time consuming the following search algorithm is used to first find a pre-selection of similar bills that are then exhaustively checked for text reuse:

1. All bills are stored in the database in n-grams of size 2-5
2. Select the 25 n-grams with the highest tf-idf score in the query document
3. Calculate a score slightly adapted cosine similarity score between the selected n-gram vector and all full document n-gram vectors in the database¹

¹See https://lucene.apache.org/core/4_9_0/core/org/apache/lucene/search/similarities/TFIDFSimilarity.html for details on the similarity scoring in Elastic Search

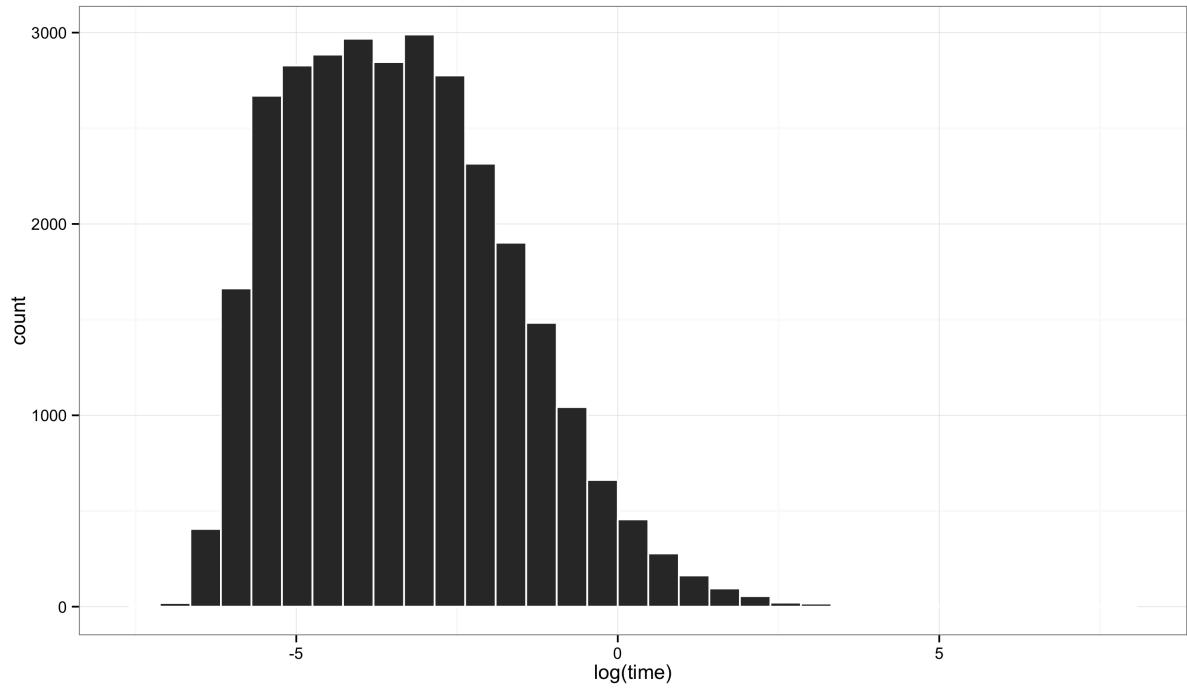


Figure 2: Histogram of computation time for all analyzed bills.

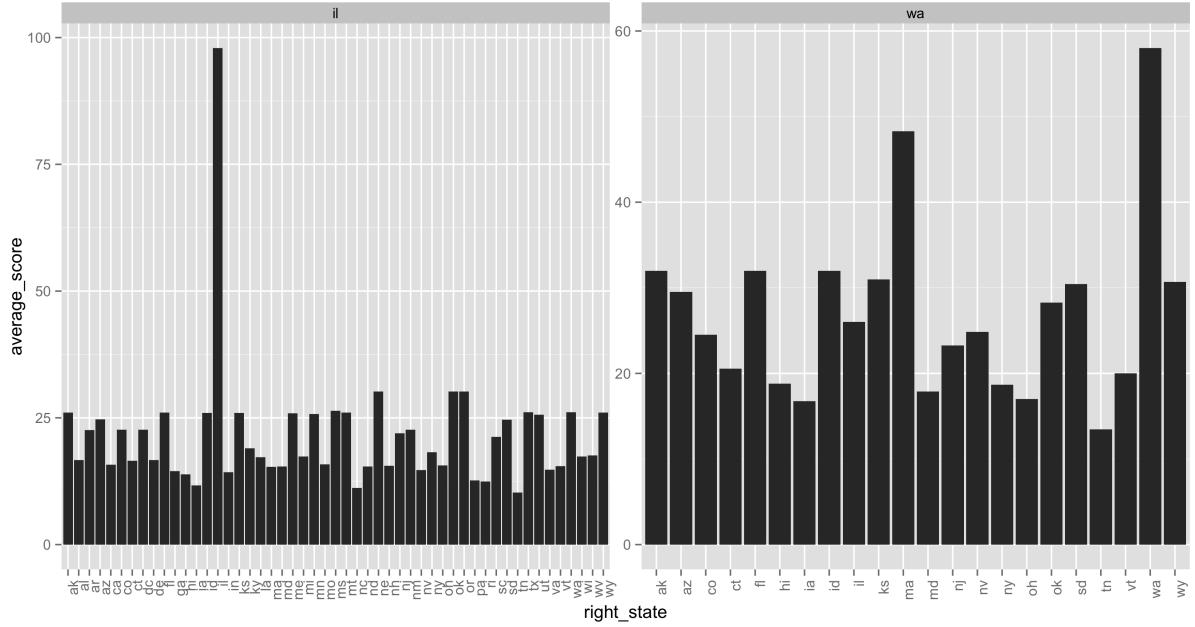


Figure 3: Average alignment scores between states. The left panel corresponds to ‘left bills’ from Illinois, the right panel to bills from Washington. Each bar displays the average score of the best alignment found between bills of the states.

In order to evaluate how well the pre screening algorithms performs we select 1000 focus bills at random and retrieve the 1000 most similar bills according to the lucene score (described above). We then calculate find the best alignments between the sections of the focus bill and the section of each of the retrieved bills. This procedure generates a dataset of approximately 4 million alignments.

For a first assessment of the usefulness of the lucene scores to select document that align well, we investigate the relationship of these scores with the alignment scores. Figure 4 displays the lucene scores against the alignment scores for a random sample of 500,000 alignments. The color indicates if the bills from which the alignment was generated are from the same state, the larger triangles indicate scores for a bill compared to itself. In order to assess which alignments would get selected if thresholding, that is selecting for example the 100 closest bills according to the lucene score, we plotted all alignments for a sample of 9 focus bills. The green points indicate alignments from the 100 bills with the highest lucene scores for the respective focus bill.

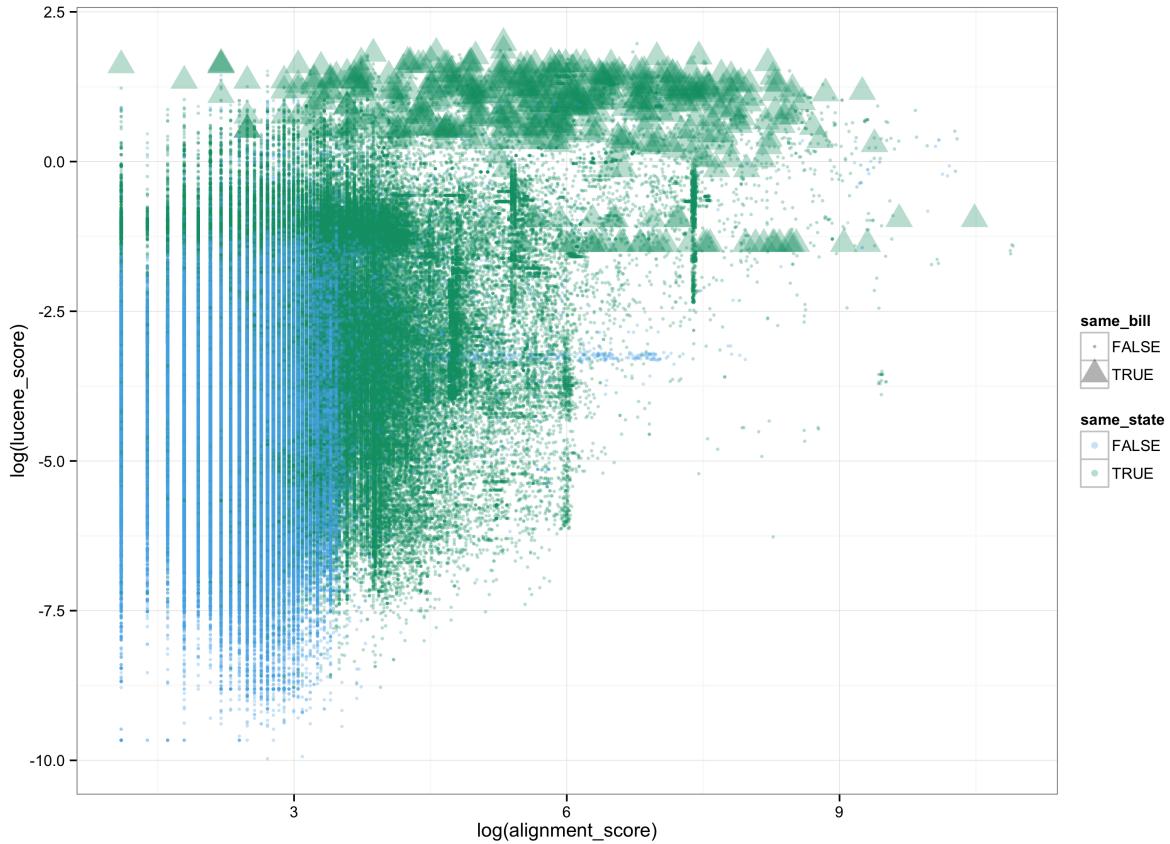


Figure 4: Alignment scores and lucene scores for a sample of 500,000 alignments (sections). The color indicates if the bills of the alignment are from the same state. The larger triangles indicate alignment and lucene scores for sections of bills compared to themselves.

Identifying procedural alignment text

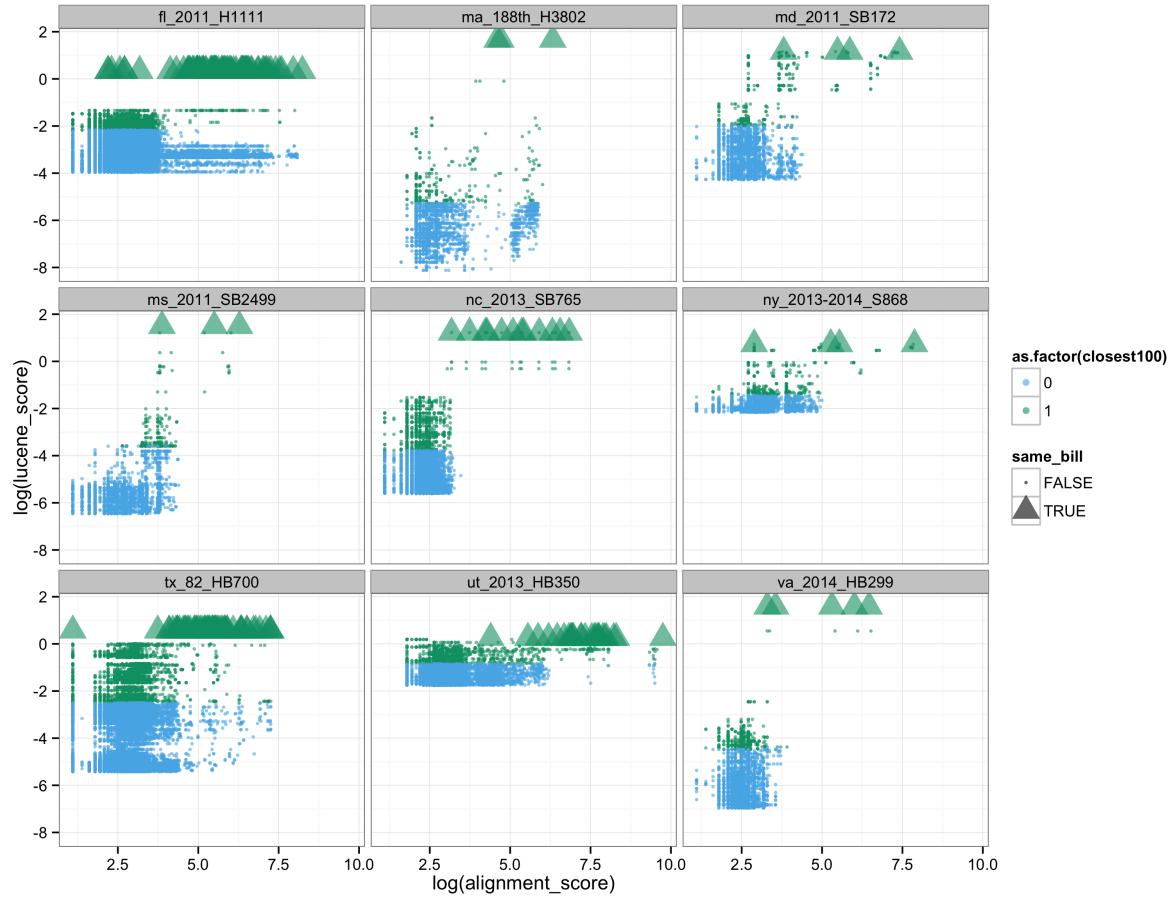


Figure 5: Alignment and lucene scores for a sample of nine focus bills (panels). Each point in the plot is an alignment for a section of one comparison bill. The color indicates, if the section comes from a bill that is among the 100 bills with the highest lucene score for the specific focus bill. The large triangles indicate that an alignment is from a comparison of the focus bill with itself.

Bills share a lot of language that is not related to the policy content of the bill. Especially within states bills have similar headers or formatting content. Further more there is procedural language and common phrases that are used across many, substantively unrelated bills and across states. In order to identify such alignments, we use the fact that we know that within states these matches are so common. We use the following procedure:

For each state:

- sample n bills
- calculate alignments between all sections of these bills
- Identify matching alignments with one of the following:

- Perfect string match
- length normalized alignment score (threshold?)
- String similarity measure (threshold?)
- label these alignments as boilerplate
- (Optional:) Train classifier on this labeled data
- For all alignments found remove the ones that are labeled as boilerplate by the classifier

4.1 Tasks

- Scrape Google urls for NCSL tables (FL) – lower priority
 1. Scrapped 64 urls.
 2. Can't get around the Google API limitation yet. Also get blocked when trying to scrape the search result.
- Extract state & bill # from tables (FL) – lower priority
 1. Didn't do this yet, let's first check how many are potentially suitable and then probably better to do by hand
- See tasks in analysis – create metadata file and dyadic file, then put them on the ACI (FL).
 1. We have a preliminary dyadic file for all similar bill pairs and their alignment scores (this is from the LID approach: each bill is only compared to the 100 most similar ones)
 2. Matt transferring the database to a new server at the moment, it is therefore unavailable. Should be online again sometime today.
- Store MALP data on ACI and make sure the identifiers match those in the bill data (FL).
 1. data is on aci
 2. Legislators can be matched by Name, State, Party and Term. This information should be contained in the database. If not, the open state api has a legislator search method, that returns all necessary information.
- Put policy diffusion data in project folder on ACI (BD).

5 Analysis

Below we list X separate analyses designed to test the degree to which measuring text reuse measures policy overlap/diffusion.

- We use statistical topic modeling to assess the major content areas represented by the text identified in the alignment algorithm. We consider whether the resultant topics align with policy areas.
- The Measuring American Legislators Project (MALP) provides data that we will use to assess the significance of text re-use in US state legislation SHOR and McCARTY (2011). The most recent release of the MALP data covers 1993-2014. The MALP data provide ideological scores of legislators on an annual basis. We conduct a bill-level statistical network analysis to see whether the rate of bill-to-bill alignment is positively related to the ideological similarity of their sponsors.
- We conduct a state-level analysis to see whether the volume of state-to-state text alignment is positively related to the policy diffusion networks inferred in DESMARAIS et al. (2015).

5.1 Topic Modeling

Topics of 20 inferred on 200,000 alignments.

5.2 Diffusion networks and Text re-use

To evaluate whether text re-use corresponds to the transfer of policy, we test whether the presence of a diffusion network tie between two states is a predictor of text reuse. We use the policy diffusion networks inferred in DESMARAIS et al. (2015). We use [FL FILL IN] to measure the incidence of dyadic text alignment between all state bills covering the time period 200–[??]. For each bill, we first gather the 100 closest bills based on cosine similarity in the term vectors characterizing the bills. We then represent a dyad of bills by the text alignments between them. For each state-pair we calculate the number of alignments between bills from each state. The median number of alignments between states is 3,491 with a mean of 6,309 and standard deviation of 8,809. The “Diffusion Ties” variable measures the number of diffusion edges between states in the 2008 diffusion network, as measured by DESMARAIS et al. (2015). The number of diffusion edges between two states is either 0, 1, or 2. The diffusion network in 2008 is inferred using policy adoptions in the 35 years preceding (and excluding) 2008. As such, we do not risk double-counting bills in the policy adoption and text re-use data. There is one observation in the analysis for each of the 1,225 unique state-pairs. Since this is dyadic data, we use a matrix permutation method, quadratic assignment procedure, to calculate p -values (Krackhardt, 1988). As a robustness check, we run the model with both the identity and log link.²

²The p -values were calculated using 5,000 random matrix permutations.

0.135	0.119	0.087	0.073	0.067	0.058	0.054	0.053	0.043	0.043	0.041	0.038	0.032	0.027	0.026	0.021	0.012	0.007
1 board	state	general	tax	state	property	section	child	means	health	medical	vehicle	benefit	feet	distance	state	salts	state
2 department	enacted	assembly	section	court	person	principal	person	sale	care	insurance	motor	states	isomers	substances	party	parties	parties
3 state	legislature	senate	income	order	interest	electronic	information	product	patient	motor	policy	distance	isomers	substances	consumer	consumer	consumer
4 public	people	enacted	state	person	amount	statement	person	person	plan	applicant	policy	awarded	state	commissione	commissione	commissione	commissione
5 education	section	act	action	action	year	power	medical	device	manufacturer	patient	portable	compact	state	information	information	information	information
6 county	house	appointed	real	qualified	section	real	woman	device	services	section	electronics	compact	state	substance	substance	substance	substance
7 services	firearm	members	law	revenue	company	taxable	parent	products	section	section	coverage	substance	state	preparation	preparation	preparation	preparation
8 program	unintended	read	taxable	taxable	attorney	degree	age	dealer	physician	qualifying	person	substance	state	company	company	company	company
9 director	read	member	credit	credit	attorney	trust	record	dealer	provider	person	substance	substance	state	police	police	police	police
10 office	ammunition	force	code	code	agent	physical	physical	physical	provider	identification	substance	substance	state	chemical	chemical	chemical	chemical
11 local	represented	representatives	force	force	enforcement	record	record	food	individual	driver	substance	substance	state	food	food	food	food
12 agency	task	years	representatives	representatives	agreement	subsubsection	subsubsection	facility	travel	president	substance	substance	state	practice	practice	practice	practice
13 fund	firearms	internal	years	years	provided	subsubsection	subsubsection	facility	travel	popular	substance	substance	state	material	material	material	material
14 year	texas	community	internal	internal	service	subsubsection	subsubsection	equipment	travel	period	substance	substance	state	indole	indole	indole	indole
15 division	relating	community	community	community	address	subsubsection	subsubsection	equipment	travel	votes	substance	substance	state	leisure	leisure	leisure	leisure
16 health	chapter	committee	proceeding	proceeding	entity	subsubsection	subsubsection	drug	travel	degrees	substance	substance	state	information	information	information	information
17 secretary	arizona	commonwealth	jurisdiction	jurisdiction	entity	subsubsection	subsubsection	means	retail	degrees	substance	substance	state	oil	oil	oil	oil
18 fiscal	manufactured	presidential	proceeding	proceeding	means	subsubsection	subsubsection	family	means	seconds	substance	substance	state	contracts	contracts	contracts	contracts
19 provide	related	term	petition	petition	business	subsubsection	subsubsection	abuse	means	seconds	substance	substance	state	subject	subject	subject	subject
20 city	resolved	resolved	count	percent	limited	tribunal	tribunal	person	means	seconds	substance	substance	state	compact	compact	compact	compact

	Identity Link		Log Link	
	Coefficient	p-value	Coefficient	p-value
Intercept	5717.18	0.0000	8.0431	0.0000
Diffusion Ties	2417.00	0.0308	0.3288	0.0452

Table 1: Predicting number of alignments in legislation across states with diffusion ties. Coefficients calculated with OLS regression. *p*-values based on 5,000 QAP permutations.

Results of the simple dyadic regression are presented in Table 1. In both specifications there is a positive relationship between the number of diffusion ties and the number of alignments, and the relationship is statistically significant at the 0.05 level (two-tailed). Furthermore, the magnitudes of the relationships are substantively significant. A shift from the minimum to the maximum number of diffusion ties corresponds to more than a half of a standard deviation increase in the expected number of cross-state alignments. On the log scale, the addition of a diffusion tie corresponds to a 40% increase in the expected number of cross-state alignments.³.

5.3 Tasks

- Develop bill metadata dataset (FL)
 - unique bill identifier
 - sponsor identifier from MALP data
 - state identifier
 - chamber identifier
 - Introduction date
 - Anything else, even if some is missing (status, committees, etc)
- Develop bill-to-bill edgelists (FL)
 - Sparse dyadic dataset (i.e., no observations when there is 0 alignment/overlap)
 - alignment score(s)
 - name of a text file in which aligned text is stored
- Topic models, possibly of a relational form, applied to aligned text
- Computationally intensive analysis of bill-to-bill alignment and sponsor ideology.
 - Get big sample of bills
 - Assess relationship between alignment between bills and ideological similarity between sponsors

³Calculated as $100 \times [\exp(0.3288) - 1] = 38.93$

- Analysis of alignment aggregated at the state level.
 - How do we score overlap at the bill and state level?

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