

# **Dublin City University School of Computing**

# APPLICATION FOR APPROVAL OF AN UNDERGRADUATE OR TAUGHT MASTERS PROJECT INVOLVING HUMAN PARTICIPANTS

# Please read the following information carefully before completing and submitting your application.

- Applications must be submitted via the project dashboard
- Student applicants must include their supervisor as the Principal Investigator (PI). The form should be checked, approved and signed in digital form by the supervisor in advance of submission.
- The application should consist of one electronic file only, in PDF format, with an electronic signature from the PI (the project supervisor) and yourselves, the students. The completed application must incorporate all supplementary documentation, especially those being given to the proposed participants.
- All sections of the application form must be answered as instructed and within the word limits given.

Applications must be completed on the form; answers in the form of attachments will not be accepted, except where indicated. No hardcopy applications will be accepted. The project <u>must not</u> commence until approval has been received from the School Research Ethics Committee.

PROJECT TITLE	DCU Munch - A website application that is based on the DCU Catering and is used to help students to find food to eat on campus and to aid students in making better food choices. Available on all devices with browsers. Students can log in and add all their dietary requirements and any useful information, the application will show different meals catered to their choices.
PRINCIPAL INVESTIGATOR(S)  The Principal Investigator is the project supervisor and s/he has primary responsibility for the project.	Dr Mark Humphrys
START AND END DATE	29th October 2022 - Friday 3rd March 2023
STUDENT NAME(S), COURSE AND YEAR (E.G. EC4)	Joseph Adedayo CASE3 / Genesis Uwumangbe CASE3
LEVEL OF RISK  Please confirm that this project requires notification only	Notification only YES or NO

# 1. ADMINISTRATIVE DETAILS

# 1.1 WILL THE PROJECT BE UNDERTAKEN ON-SITE AT DUBLIN CITY UNIVERSITY?

YES or NO

If NO, state details of the off-campus location – provide details of the approval to gain access to that location in section 2.7

The project will be done online and students can log in with their DCU Email to try and use the application.

# **DECLARATION BY PRINCIPAL INVESTIGATOR / SUPERVISOR**

The information contained herein is, to the best of my knowledge and belief, accurate. I have read the University's current research ethics guidelines, and accept responsibility for the conduct of the procedures set out in the attached application in accordance with the form guidelines, the REC guidelines (https://www.dcu.ie/researchsupport/researchethics.shtml), the University's policy on Conflict of Interest, Code of Good Research Practice and any other condition laid down by the Dublin City University Research Ethics Committee. I have attempted to identify all risks related to the project that may arise in conducting this project and acknowledge my obligations and the rights of the participants.

If there exists any affiliation or financial interest for researcher(s) in this project or its outcomes or any other circumstances which might represent a perceived, potential or actual conflict of interest this should be declared in accordance with Dublin City University policy on Conflicts of Interest.

I and my co-investigators and/or supporting staff have the appropriate qualifications, experience and facilities to conduct the project set out in the attached application and to deal with any emergencies and contingencies related to the project that may arise. Supervisor(s) signature(s) are required as evidence that they have read and approve the submission.

## Please note:

- 1. Any amendments to the original approved proposal must receive prior School Ethics Committee approval.
- As a condition of approval investigators are required to document and report immediately to the School
  of Computing Ethics Committee any adverse events, any issues which might negatively impact on the
  conduct of the project and/or any complaint from a participant relating to their participation in the study

Electronic Signature(s):
Principal investigator / Supervisor:
Print Name(s) here: Mark Humphrys
Date: <u>05/12/22</u>
I/We, the students on this proposal, have read and approve this submission
Student(s) signature(s):
Print Name(s) here: Joseph Adedayo, Genesis Uwumangbe

2.	PROJECT OUTLINE
2.1	LAY DESCRIPTION, AIMS & JUSTIFICATION, METHODOLOGY (up to 100 words)  Please outline, in terms that any non-expert would understand, what your project is about, including what participants will be required to do. Please explain any technical terms or discipline-specific phrases. State the aims and significance of the project.
	Our project is a website application that is based on DCU Catering (DCU Cafeteria, Business Café and DCU Nubar) it is used to help students to find foods to eat on campus and to aid students in making better food choices. Students can log in and add all their dietary requirements and any useful information like sex, height, weight, activity level etc. The application will then show different meals catered to their choices.
2.2	PARTICIPANT PROFILE
	List and very briefly describe each participant group where applicable. For instance, participant group 1 will consist of, participant group 2 will consist of etc. Indicate if minors (Under 18) are involved Provide the number, age range and source of participants. Please provide a justification of your proposed sample size.
	The participants will be DCU Students from the age of 18 – 30. For our project the age does not really matter we just need any random DCU Students to try out the app and see what they think of it.
2.3	PARTICIPANT RECRUITMENT Please provide specific details as to how you will be recruiting participants. How will people be informed that you are doing this research? How will they be approached and asked if they are willing to participate? If you are mailing or phoning people, please explain how you have obtained their names and contact details. If a recruitment advertisement (e.g. through social media, if so include the text at the end of the form) is to be used, please ensure you attach a copy to this application (Approx. 100 words).
	The recruitment process will be in person and a random selection of students will be chosen around campus. We will stop and ask them to sign in and try use their app.
2.41	IS IT LIKELY THAT ANY PARTICIPANTS COULD BE CONSIDERED POTENTIALLY VULNERABLE?  Are some or all participants vulnerable in any way? (e.g by virtue of the group they belong to, people who have undergone traumatic or adverse emotional events, people with diminished cognitive ability, power relations between students and participants etc.)?  YES or NO
	No
	If Yes, please state and describe what this vulnerability (or vulnerabilities) is and justify why this research is being done with such participants
2.5	WILL THE IDENTITY OF THE PARTICIPANTS BE PROTECTED?  YES or NO  Yes
	If NO, please explain why

# IF YOU ANSWERED YES TO 2.5, PLEASE ANSWER THE FOLLOWING QUESTION:

### 2.6 HOW WILL THE ANONYMITY OF THE PARTICIPANTS BE RESPECTED?

Please bear in mind that where the sample size is very small, it may be impossible to guarantee anonymity/confidentiality of participant identity. Participants involved in such projects need to be advised of this limitation in the Plain Language Statement/Information Sheet. If you intend to fully anonymize the data, please provide details

All data from the app will be removed after they have used it. This is for testing purposes only.

### 2.7 LEGAL LIMITATIONS TO DATA CONFIDENTIALITY

Participants need to be made aware that confidentiality of information provided cannot always be guaranteed by researchers and can only be protected within the limitations of the law - i.e., it is possible for data to be subject to subpoena, freedom of information claim or mandated reporting by some professions. This information should be included in your Plain Language Statement and Informed Consent Form. Depending on the project proposal and academic discipline, you may need to state additional specific limitations.

State how and where participants will be informed of these limitations

We will give the students a leaflet telling them the limitations before they use the application.

### 2.8(a) EXPLAIN HOW PARTICIPANTS ARE TO BE RECRUITED

Please provide specific details as to how you will be recruiting participants. How will people be informed that you are doing this research? How will they be approached and asked if they are willing to participate? If you are e-mailing, mailing or phoning people, please explain how you have obtained their names and contact details. If a recruitment advertisement is to be used, please ensure you attach a copy to this application.

The recruitment process will be in person and a random selection of students will be chosen around campus. We will stop and ask them to sign in and try use their app.

# 2.8(b) CHILD PARTICIPANTS (anyone under 18 years old)

If your participants include children, you must confirm that you are in compliance with the research specific guidelines as detailed in "Keeping Children Safe - Policies and Procedures supporting Child Protection at DCU" - available at: https://www4.dcu.ie/sites/default/files/policy/157%20-%20child protection handbook rev1%282%29%281%29.pdf

Please indicate your compliance with the following guidelines:	Mark here
We confirm that we have read and agree to act in accordance with the DCU Child Protection policy and procedures	
We confirm that we have put in place safeguards for the children participating in the project	
We confirm that we have supports in place for children who may disclose current or historical abuse (whether or not this is the focus of the project)	

# 2.9 PLEASE EXPLAIN WHEN, HOW, WHERE, AND TO WHOM RESULTS WILL BE DISSEMINATED, INCLUDING WHETHER PARTICIPANTS WILL BE PROVIDED WITH ANY INFORMATION AS TO THE FINDINGS OR OUTCOMES OF THE PROJECT?

The results will be us seeing what they think of the app in person after using it that day. The results will only be for us and our supervisor to take note of.

# 2.10 ARE OTHER APPROVALS REQUIRED TO GAIN ACCESS TO ANOTHER LOCATION, ORGANISATION, SCHOOL ETC.?



If YES, please specify from whom and attach a copy of the approval documentation. If this is not yet available, please explain when this will be obtained.

## 3. RISK AND RISK MANAGEMENT

# 3.1 EXPLAIN AND JUSTIFY THE STATED LEVEL OF RISK TO PARTICIPANTS

You must provide a justification that the stated level of risk and its corresponding level of review is notification only and not Full Committee or Expedited, as indicated on the cover page of your application. No project is completely without risk. Note that the level of risk may be influenced by the vulnerability of the research group, the methods employed and the nature of the project itself. For further information on risk levels, please refer to the Levels of Review information on the website: https://www.dcu.ie/researchsupport/researchethics.shtml

The risk is notification only as we are not using any surveys, running any human tests etc. All the users will be doing is signing up and entering information about their body and dietary requirements then seeing how the app reacts with the data. After that the data will be wiped.

# 3.2 POTENTIAL RISKS TO PARTICIPANTS AND RISK MANAGEMENT PROCEDURES

Identify, as far as possible, all potential risks to participants (physical, psychological, social, legal, economic, etc.), associated with the proposed project. Will your project involve deception, investigation of participants involved in illegal activities, performance of any acts which might diminish the self-esteem of participants or cause them to experience embarrassment, regret or depression? Please explain what risk management procedures will be put in place to minimise these risks.

The only risk is psychological some users will not feel comfortable entering their weight, height etc. At that point we will not force the student to add in the information if they do not want to.

# 3.3 ARE THERE LIKELY TO BE ANY BENEFITS (DIRECT OR INDIRECT) TO PARTICIPANTS FROM THIS RESEARCH?



## If YES, provide details

You may enjoy using the application and it will give you a better understanding on how to make healthier food choices based on your dietary requirements.

# 3.4 ARE THERE ANY SPECIFIC RISKS TO YOURSELVES IN CARRYING OUT THIS PROJECT?

Examples include use of dangerous materials, asking certain types of questions, The project being undertaken in certain locations, researchers working alone in isolated areas, etc.



DEALING WIT	TH ADVERSE/UNEXPECTED OUTCOMES
Please describe effects to partici	what measures/protocols you have put in place in the event that there are any unexpected outcomes or adverse pants arising from involvement in the project.
Ne agree to reg and this will prov	ularly meet with our supervisor to monitor the project and enable them to help deal with unexpected outcomes, vide support for participants and monitor the project
YES or NO	
YES	
	OR PARTICIPANTS isks to participants you may need to consider having additional support for participants during/after the study.
	er your project would require additional support, e.g., external counselling available to participants. Please advise
Our project	will not need any additional support.
	HE CONDUCT OF THE PROJECT BE MONITORED?
Please explain h	
ir recruiting or	interviewing, administering procedures, etc.) to ensure that it conforms with the procedures set out in this
application. In t	now the principal investigator will monitor the conduct of the project (especially where several people are involved interviewing, administering procedures, etc.) to ensure that it conforms with the procedures set out in this he case of student projects please give details of how the supervisor(s) will monitor the conduct of the project.
We will info then give u	interviewing, administering procedures, etc.) to ensure that it conforms with the procedures set out in this he case of student projects please give details of how the supervisor(s) will monitor the conduct of the project.  Form the supervisor of when and how we plan to test the application with students. He w
We will info then give u	interviewing, administering procedures, etc.) to ensure that it conforms with the procedures set out in this he case of student projects please give details of how the supervisor(s) will monitor the conduct of the project.  Firm the supervisor of when and how we plan to test the application with students. He was the go ahead and any improvements that need to be made to our form of testing, or
We will info then give us supervisor v	interviewing, administering procedures, etc.) to ensure that it conforms with the procedures set out in this he case of student projects please give details of how the supervisor(s) will monitor the conduct of the project.  Firm the supervisor of when and how we plan to test the application with students. He was the go ahead and any improvements that need to be made to our form of testing, or
We will info then give us supervisor v	interviewing, administering procedures, etc.) to ensure that it conforms with the procedures set out in this he case of student projects please give details of how the supervisor(s) will monitor the conduct of the project.  Form the supervisor of when and how we plan to test the application with students. He we set the go ahead and any improvements that need to be made to our form of testing, or will be told the results and how the testing went after we are done.
We will info then give us supervisor w	interviewing, administering procedures, etc.) to ensure that it conforms with the procedures set out in this he case of student projects please give details of how the supervisor(s) will monitor the conduct of the project.  Firm the supervisor of when and how we plan to test the application with students. He was the go ahead and any improvements that need to be made to our form of testing, or will be told the results and how the testing went after we are done.
We will info then give us supervisor volume.  DO YOU PROYES or NO No	interviewing, administering procedures, etc.) to ensure that it conforms with the procedures set out in this he case of student projects please give details of how the supervisor(s) will monitor the conduct of the project.  The supervisor of when and how we plan to test the application with students. He we set the go ahead and any improvements that need to be made to our form of testing, or will be told the results and how the testing went after we are done.  The property of the project.  The project of the pro
We will info then give us supervisor volume.  DO YOU PROYES or NO No	interviewing, administering procedures, etc.) to ensure that it conforms with the procedures set out in this he case of student projects please give details of how the supervisor(s) will monitor the conduct of the project.  Firm the supervisor of when and how we plan to test the application with students. He was the go ahead and any improvements that need to be made to our form of testing, owill be told the results and how the testing went after we are done.
We will info then give us supervisor v	interviewing, administering procedures, etc.) to ensure that it conforms with the procedures set out in this he case of student projects please give details of how the supervisor(s) will monitor the conduct of the project.  The supervisor of when and how we plan to test the application with students. He we set the go ahead and any improvements that need to be made to our form of testing, owill be told the results and how the testing went after we are done.  The project of the proje
We will info then give us supervisor v	interviewing, administering procedures, etc.) to ensure that it conforms with the procedures set out in this he case of student projects please give details of how the supervisor(s) will monitor the conduct of the project.  To the supervisor of when and how we plan to test the application with students. He was the go ahead and any improvements that need to be made to our form of testing, owill be told the results and how the testing went after we are done.  TO OFFER PAYMENTS OR INCENTIVES TO PARTICIPANTS?
We will info then give us supervisor we DO YOU PRO YES or NO No	interviewing, administering procedures, etc.) to ensure that it conforms with the procedures set out in this he case of student projects please give details of how the supervisor(s) will monitor the conduct of the project.  The supervisor of when and how we plan to test the application with students. He was the go ahead and any improvements that need to be made to our form of testing, owill be told the results and how the testing went after we are done.  PPOSE TO OFFER PAYMENTS OR INCENTIVES TO PARTICIPANTS?  THE RESEARCHERS ON THIS PROJECT HAVE A PERSONAL, PHILOSOPHICAL, FINANCIAL DEOLOGICAL, OR COMMERCIAL INTEREST IN ITS OUTCOME THAT MIGHT INFLUENCE
We will info then give us supervisor we will info then give us supervisor we will be a supervisor with the supervisor we will be a supervisor with the supervisor we will be a supervisor with the supervisor will be a supervisor will be a supervisor with the supervisor will be a supervisor with the supervisor will be a supervisor will be a supervisor with the supervisor will be a superviso	interviewing, administering procedures, etc.) to ensure that it conforms with the procedures set out in the case of student projects please give details of how the supervisor(s) will monitor the conduct of the project.  The supervisor of when and how we plan to test the application with students. He was the go ahead and any improvements that need to be made to our form of testing, owill be told the results and how the testing went after we are done.  POSE TO OFFER PAYMENTS OR INCENTIVES TO PARTICIPANTS?  POSE TO OFFER PAYMENTS OR INCENTIVES TO PARTICIPANTS?  THE RESEARCHERS ON THIS PROJECT HAVE A PERSONAL, PHILOSOPHICAL, FINANCIAL

3.5

3.6

3.7

3.8

3.9

YES or NO

... NO

If YES, please specify how this co	onflict of interest will be addressed	

# 4. PERSONAL DATA - COMPLIANCE WITH THE GENERAL DATA PROTECTION REGULATION (GDPR) Applicant declaration:

0

I understand that the proposed project, as set out in this form, is to be carried out by me in my capacity as a student of Dublin City University.



....

### **Definition of Personal Data**

Personal data is any information about a living person, where that person is either identified or could be identified, from the data itself or when it is combined with other data. Typical examples of personal data in a research context are:

- a) paper based records e.g. consent forms, research participant files, patient records, interview notes etc.
- b) electronic records e.g. database of participant details, online survey returns, photos, audio & visual recordings, IP addresses, diagnostic / clinical imaging etc.
- c) other e.g. genetic data, biometric data, clinical or medical samples etc.

Note: If personal data is to be obtained and / or processed in the course of the proposed research then there are certain legal obligations and principles to be followed. These are set out in the EU 2016 General Data Protection Regulation (GDPR) and associated Irish Law.

Any data that is <u>fully and completely anonymous</u> is not considered to be 'personal data'. However, any data that is merely pseudo-anonymised is deemed to be 'personal data'.

Further information on data protection issues is available from the University's <u>Data Protection Unit</u> (DPU). You should also consider consulting with your Unit's <u>GDPR Advocate</u> for help and advice on filling out this section of the form.

# 4.1 ASSESSING DATA PROTECTION RISKS & REQUIREMENTS

(A) Your knowledge of Data Protection

Have you taken and completed the online data protection training course ('Data Protection Course') that is available to all staff and students through the DCU Loop

System?

If you answered 'No' to the previous question then the DPU strongly recommends that all applicants complete the course on Loop before completing section # 4 of the REC Application Form.

If you experience difficulties in accessing the Loop course at the link above, please contact the  $\underline{\text{Teaching}}$   $\underline{\text{Enhancement Unit}}$  for assistance.

(B) Initial Assessment of whether any of the data to be used in the proposed research is 'Personal Data' (see definition above)			
_	Will the conservation and in about 15 days become publicate 2	VEST	
1	Will the proposed research include living human subjects?	YES or NO	
	Rationale – personal data applies only to living individuals.		
2	Will the proposed research use any data that can be linked to an identified,	YES or NO	
	or an identifiable, person?		
	Rationale – to be personal data it must be possible to associate it with an		
	identified, or an identifiable, living person.		
3	Will the proposed research use any data identifiers that can be linked to a	YES or NO	
	living person? Examples are a participant's name, code or ID number, their		
	address, their IP address etc.		
	Rationale: fully anonymised data is not deemed to be 'personal data' but data		
	that has been deemed to be merely pseudo-anonymised is deemed to be		
	'personal data'.		

If you answered 'Yes' to any of the questions 1 to 3 in sub-section (B), then continue to sub-section (C) and answer questions 1-8. If you answered 'No' to all of the questions 1 to 3 in sub-section (B), then proceed directly to section # 5 of this Application Form.

(C) Assessing the degree of risk inherent in the personal data			
1	Will the proposed research involve the use of <u>personal data</u> on individuals that reveals any of the following attributes or characteristics about them?		
	(State 'Yes' or 'No' as appropriate to all of the following)		
	Racial or Ethnic Origin	YES o NO	

	Political Opinions	YES or NO	
	Religious or Philosophical Beliefs	YES or NO	
	Trade Union Membership	YES or NO	
	Genetic Data	YES ONO	
	Biometric Data	YES or NO	
	Data Concerning Health	YES or NO	
	Data concerning a Person's Sex Life or Sexual Orientation	YES OF NO	
2	Will the proposed research involve the use of <u>personal data</u> relating to children or vulnerable individuals?  A child, for data protection purposes, is defined as an individual below 18 years of age. Where the processing relates to 'electronic marketing' the age limit is reduced to 16 years. A vulnerable individual may be anyone who is unable to consent to, or to oppose, the processing of his or her data for any reason, including disability.	YES or NO	
3	Will the proposed research involve the use of data relating to an individual's criminal convictions and / or offences?	YES or NO	

4	Will the proposed research involve the large-scale processing of personal data?  This may include: a wide range or large volume of personal data; processing which takes place over a large geographical area; processing where a large number of people are affected (e.g. over 100 individuals); or where the processing is extensive or it has potential long-lasting effects on individuals.	YES or NO	
5	Will the proposed research involve any form of <u>automated processing</u> of personal data?  In particular, to analyse or predict aspects concerning that person's performance at work, economic situation, health, personal preferences, interests, reliability, behaviour, location or movements.	YES or NO	
6	Will the proposed research involve the sharing or transferring of any personal data to a 3 <sup>rd</sup> party outside of DCU?  For example, other research partners, providers of translation or transcription services, etc.  For clarity, this question is not intended to refer to any standard software services already provided by DCU, for example the university's email system or its cloud-based storage provider (Google Drive).	YES or NO	
7	Will the proposed research require the sharing or processing of personal data outside the EU or the EEA? (e.g. the US, the UK, Canada, Australia, China etc.)  The EEA refers to the 'European Economic Area' (i.e. the EU plus Norway, Liechtenstein and Iceland).	YES o NO	

Will the proposed research involve the matching or combining of separate datasets of information on individuals in a way that would exceed their reasonable expectations of privacy?

This is especially important where two or more previously anonymous datasets are combined in such a way so as to allow for the identification of individuals. An example would be combining mobile phone location data along with any other dataset to identify individuals.

**Important Point: Next Step** 

If you answered 'Yes' to one or more of the questions 1 to 8 in sub-section (C) You should consult with your Supervisor / Principal Investigator to who will assess whether there are any further data protection issues to be addressed or additional procedures to be followed.

# Note 1: What does 'Minor' and 'Vulnerable Individual' mean?

A **minor** is defined as an individual below 18 years of age. Where the processing relates to 'electronic marketing' the age limit is reduced to 16 years. A **vulnerable individual** may be anyone who is unable to consent to, or oppose, the processing of his or her personal data for any reason. Both of these are of particular importance if the project compels the provision of data from individuals.

# Note 2: What does 'large scale processing' mean?

The GDPR does not define what constitutes large-scale. EU guidance recommends that the following factors, in particular, be considered when determining whether the processing is carried out on a large scale:

- the number of data subjects (either as a specific number or proportion of the relevant population);
- the volume of data and/or the range of different data items being processed;
- the duration, or permanence, of the data processing activity; &
- the geographical extent of the processing activity.

Examples of large-scale processing include, but are not limited to:

- processing of patient data in the regular course of business by a hospital;
- processing of travel data of individuals using a public transport system (e.g. tracking via travel cards);
- processing of real time geo-location data of customers of an international fast food chain for statistical purposes by a processor specialised in these activities;
- processing of customer data in the regular course of business by an insurance company or a bank;
- processing of personal data for behavioural advertising by a search engine; &
- processing of data (content, traffic, location) by telephone or internet service providers.

Examples that do **not** constitute large-scale processing include, but are not limited to:

- processing of patient data by an individual physician; and
- processing of personal data relating to criminal convictions and offences by an individual lawyer.

	B. Applicant Data Protection Assessment Questionnaire – Part II		
5(a)	Does your project include the use of Personal Data of individuals which reveals any of the attributes or characteristics below?	YES or NO	
	If 'Yes,' please indicate which will be used in your project (tick all that apply):		

		1	
	racial or ethnic origin	YES or NO	
	political opinions	YES or NO	
	religious or philosophical beliefs	YES or NO	
	trade union membership	YES or NO	
	genetic data	YES or NO	
	biometric data	YES or NO	
	data concerning health	YES or NO	
	data concerning a natural person's sex life or sexual orientation	YES or NO	
5(b)	Does your project include the use of Personal Data relating to minors or vulnerable individuals? (See <b>Note 1</b> , below)	YES or NO	
6	Does your project include the use of Personal Data of individuals relating to their criminal convictions and/or offences?	YES or NO	
7	Does your project include large-scale processing of personal data relating to living individuals?	YES or NO	
	This may include: a wide range or large volume of personal data; processing which takes place over a large geographical area; or where a large number of people are affected (e.g. over 100 individuals); or where the processing is extensive or has long-lasting effects. (See <b>Note 2</b> , below)		
8	Does your project include any form of automated processing of personal data, used to evaluate certain personal aspects relating to a living individual?	YES or NO	
	In particular, to analyse or predict aspects concerning that person's performance at work, economic situation, health, personal preferences, interests, reliability, behaviour, location or movements		
9	Does your project include any partners which are third parties outside of DCU?	YES of NO	
	e.g. Research partners, third party software providers or other providers such as translation or transcription services, etc.		
10 (a)	Does your project involve the sharing or processing of Personal Data outside the EU or the EEA?	YES or NO	
	i.e. the EEA is the European Economic Area (the EU plus Norway, Liechtenstein and Iceland)		

10 (b)	If 'Yes', please state which non-EU or EEA country is involved:		
11	Does the project require the matching or combining of separate datasets of information on individuals in a way that would exceed their reasonable expectations of privacy?  An example would be combining mobile phone location data along with any other dataset to identify individuals.	YES or	:

If you answered 'Yes' to one or more of these questions, you should make sure that you have strong and secure data privacy risk mitigation safeguards in place, discuss these with your supervisor.

# 4.2 WILL ANONYMISATION OR PSEUDONYMISATION OF THE PERSONAL DATA, WHERE APPLICABLE, BE UNDERTAKEN?

**Anonymisation** is the process of removing personal identifiers, both direct and indirect, that may lead to an individual being identified. **Pseudonymisation** is the processing of personal data in such a manner that the personal data can no longer be attributed to a specific living individual without the use of additional information, provided that such additional information is kept separately and is subject to technical and organisational measures to ensure its security.



If YES, please explain below the methods by which you intend to anonymise/pseudonymise the personal data:

All data will be wiped from our database by the end of testing that day.

# DATA/SAMPLE STORAGE, SECURITY AND DISPOSAL

For the purpose of this section the term 'Data' includes personal data that is in a raw or a processed state (e.g. interview audiotape, transcript or analysis, etc.). The term 'Samples' include body fluids and/or tissue samples.

# 5.1 HOW AND WHERE WILL THE DATA/SAMPLES BE STORED?

DCU recommends that any data stored electronically offsite should utilise the DCU Google Drive. Alternative offsite storage will need to be justified and must meet data protection and GDPR compliance requirements.

When the user signs in to application the data is kept in an SQL Database.

# 5.2 WHO WILL HAVE ACCESS TO DATA/SAMPLES?

If people other than the main researchers have access, please name who they are and explain for what purpose.

Only the main researchers will have access to the data/samples.

## 5.3 HOW LONG IS THE DATA TO BE HELD OR RETAINED?

Note that, with very few exceptions, **Personal Data** may not be retained indefinitely. It is up to the project team to establish an upper retention limit for each category of Personal Data used within the project and to ensure it is applied at the expiry of that limit. The School of Computing Research Ethics Committee recommends that Personal Data is retained until after the Progression and Awards Board for the current academic year.

24 hours

5.4 IF YOUR PROJECT DOES INVOLVE THE USE OF PERSONAL DATA THEN WILL THIS BE USED AT A LATER DATE FOR THE PURPOSE OF PUBLICATION OF THE RESULTS OF THE PROJECT?



Where it is intended that the personal data used in the project will be used at a later date for the purposes of publication please explain how consent to do so will be obtained.

# 5.5 IF THE DATA/SAMPLES ARE TO BE DISPOSED OF AT THE END OF THE PROJECT PLEASE EXPLAIN HOW, WHEN AND BY WHOM THIS WILL BE DONE?

Note that simply deleting files is not sufficiently secure. The additional steps to be taken to maintain data security should be given. **Personal data** must be disposed of in a safe and secure manner at the end of its retention period. If the data is stored in (a) a paper-based format, then shredding or disposal via a secure bin is recommended; or (b) in an electronic-based format, then deletion of the record or the full anonymization of the data is recommended. If data/samples are **not** being disposed of, please justify that intention.

How will the data/samples be disposed of?	
Please describe the means by which the personal data will be deleted or destroyed. This includes personal data held in hard copy and digital formats.	All data will be removed permanently (deleted) from our SQL Database.
By whom will the data/samples be disposed?	
Please indicate the designated team member(s) with responsibility for deletion and/or destruction of the research project's personal data.	Joseph Adedayo

# 6. PLAIN LANGUAGE STATEMENT (Attach to this document. Up to a max of 400 words)

A Plain Language Statement (PLS) should be used in all cases. This is written information in plain language that you will be providing to participants, outlining the nature of their involvement in the project and inviting their participation. The PLS should specifically describe what will be expected of participants, the risks and inconveniences for them, and other information relevant to their involvement. Please note that the language used must reflect the participant age group and corresponding comprehension level— if your participants have different comprehension levels (e.g. both adults and children) then separate forms should be prepared for each group. The PLS can be embedded in an email to which an online survey is attached, or handed/sent to individuals in advance of their consent being sought. See link to sample templates on the website: https://www.dcu.ie/researchsupport/ethicsapproval.shtml

# PLEASE CONFIRM WHETHER THE FOLLOWING ISSUES HAVE BEEN ADDRESSED IN YOUR PLAIN LANGUAGE STATEMENT/ INFORMATION SHEET FOR PARTICIPANTS:

Note that this list is a check-list of all of the things that you should include in your plain language statement, if they are relevant (they are in most cases). In the earlier sections of this form you have already written the text that can be used to create your plain language statement. References to the relevant sections are provided on each line.

	YES or NO
Introductory Statement (Student(s) and supervisor names, school, title of the project) [Table, p 1]	YES
What is this project about? [section 2.1]	YES
Why is this project being conducted? [section 2.1]	YES
What will the participant be expected to do/have to do if they decide to participate in the study?[section 2.1]	YES
How will their privacy be protected? [section 2.5, section 2.6]	YES
How will the data be used and subsequently disposed of? [section 5.3]	YES
What are the legal limitations to data confidentiality? [section 2.7]	YES
Are there any benefits of taking part in the study? [section 3.3]	YES
Are there any risks of taking part in the study? [section 3.2]	YES
Confirmation that participants can change their mind at any stage and withdraw from the study [see plain language statement template, appendix 1]	YES
How will participants find out what happens with the project? [section 2.9]	YES
Contact details for further information [see plain language statement template, appendix 1]	YES

If any of these issues are marked NO, please justify their exclusion:	

# 7. INFORMED CONSENT FORM (Attach to this document. Approx. 300 words, see appendices 2 and 3 for templates.)

In most cases where interviews or focus groups are taking place, an Informed Consent Form is required. This is an important document requiring participants to indicate their consent to participate in the study and give their signature. In cases where an anonymous questionnaire is being used, it is not enough to include a tick box in the questionnaire. Participants should indicate their consent to each aspect of the research in a staged manner by checking mandatory checkboxes.

See link to sample templates on the website: https://www.dcu.ie/researchsupport/ethicsapproval.shtml

NB - IF AN INFORMED CONSENT FORM IS NOT BEING USED, THE REASON FOR THIS MUST BE JUSTIFIED HERE.

# 8. ASSENT FORM & PLAIN LANGUAGE STATEMENT FOR CHILDREN (Attach to this document.)

A child specific Plain Language Statement (PLS) should be used in project where children will be involved. The PLS must be written in a way that is understandable for children within your targeted age group. It also must state, in plain language, the nature of their involvement in the project and inviting their participation. The PLS should specifically describe what will be expected of participants, the risks and inconveniences for them, and other information relevant to their involvement. In addition, child participants should also be provided with an Assent Form. Parents/guardians will be provided with the Informed Consent Form, but each child should provide assent before taking part in the project. The Assent Form needs to be understandable to the age-group you are targeting. See link to sample templates on the website: https://www.dcu.ie/researchsupport/researchethics.shtml

NB – IF AN ASSENT FORM IS NOT BEING USED, THE REASON FOR THIS MUST BE JUSTIFIED HERE.
There will be no children using the application.
Thore will be no difficulty doing the application.

# 9. SUBMISSION CHECKLIST (Attach to this document)

Please confirm that <u>all</u> supplementary information is included in your application (in electronic copy). If questionnaire or interview questions are submitted in draft form, please indicate this by putting (draft) after YES. A copy of the final documentation must be submitted for final approval when available.

My application has been collated as one electronic PDF file which includes the following documentation:	INCLUDED (mark as YES)	NOT APPLICABLE (mark as N/A)
Recruitment advertisement [consistent with section 2.3]		N/A
Plain language statement/Information Statement [see section 6 and appendix 1]	Yes	
Informed Consent form [see appendices 2 and 3]	Yes	
Informed Assent form (children only)		N/A
Evidence of external approvals related to the research [see sections 1.1 and 2.10]		N/A
Questionnaire/Survey		N/A
Interview/Focus Group Questions		N/A



# DCU Munch Plain Language Statement

Project Title: DCU MUNCH (School of Computing)

**Student 1:** Joseph Adedayo **Student 2:** Genesis Uwumangbe **Supervisor:** Dr Mark Humphrys

DCU Data Protection Officer: Mr. Martin Ward (data.protection@dcu.ie Ph:

7005118 / 7008257)

# Introduction:

You are invited to take part in this 3<sup>rd</sup> year project being conducted by students Joseph Adedayo & Genesis Uwumangbe. The data controller is Dublin City University, data processor is Google Authentication service. This Plain Language Statement contains detailed information about the project. Its purpose is to explain to you as openly and clearly as possible all the procedures involved in this project so that you can make a fully informed decision regarding your participation. Once you understand what the project is about and if you agree to take part, you will be asked to sign the Consent Form. By signing the Consent Form, you indicate that you understand the information and agree to be a part of the testing.

# **Purpose and Background**

DCU Munch is a website application that is based on DCU Catering (DCU Cafeteria, Business Café and DCU Nubar) it is used to help students to find foods to eat on campus and to aid students in making better food choices. It will be available on all devices with browsers. Students can log in and add all their dietary requirements and any useful information like sex, height, weight, activity level etc. The application will then show different meals catered to their choices.

# **Possible Benefits:**

You may enjoy using the application and it will give you a better understanding on how to make healthier food choices based on your dietary requirements.

# **Possible Risks:**

There are no anticipated risks involving this project.

# **Privacy and Confidentiality of information:**

You will be asked to log in using your DCU email, you will then be asked to put in some personal data concerning your health and general information about you. Your age, sex, weight, height, dietary requirements and activity level will be asked to see how the app will react, any bugs that may occur and if you like the application or not. No information will be shared outside of the researchers or supervisor. All data entered into the app will removed and deleted from our database 24 hours after the testing. This is only for testing purposes. GDPR Rules will be followed according to DCU's standard stated in their private policy.

The data processors Google authentication service processes your DCU email and sends your name, id, profile image to our application. Goggle authentication service is used widely by DCU in many different systems. Your data will not be transferred between other institutions or countries.

# Information regarding success of the project:

This project will be part of our third year project which will hopefully become a fully functioning application. To hear updates about the application contact us (<a href="mailto:joseph.adedayo2@mail.dcu.ie">joseph.adedayo2@mail.dcu.ie</a>, genesis.uwumangbe2@mail.dcu.ie)

# Right to withdraw from Participation:

Participation in any project is voluntary. If you do not wish to take part you are not obliged to. If you decide to take part and later change your mind, you are free to withdraw from the project at any stage. To do this contact the students running the testing, all data will be erased and no further data will be collected.

# **Contact Details and Information:**

If you would like any further information concerning this project or if you have any problems which may be related to your involvement in the project you can contact the project superviosor Dr Mark Humphrys in the School of Computing, Dublin City University, Collins Ave Ext, Whitehall, Dublin 9, on +353 1 700-8059. or email: mark.humphrys@dcu.ie.

# **Complaints:**

If there are any problems with the testing you have every right to lodge a complaint with the Irish Data protection Commission. DCU Students have the right to access their own personal information at all times and can contact the DCU Data protection unit to access this.

Please Note - "Confidentiality of information can only be protected within the limitations of the law - i.e., it is possible for data to be subject to subpoena, freedom of information claim or mandated reporting by some professions".

If participants have concerns about this study and wish to contact an independent person, please contact:

The Secretary, Dublin City University Research Ethics Committee, c/o Research and Innovation Support, Dublin City University, Dublin 9. Tel 01-7008000, e-mail rec@dcu.ie

# Participant - please complete the following (Circle Yes or No for each question)

question) Project Title: DCU MUNCH (School of Computing) Student 1: Joseph Adedayo Student 2: Genesis Uwumangbe Supervisor: Dr Mark Humphrey's You will be asked to log in using your DCU email, you will then be asked to put in some personal data concerning your health and general information about you. Your age, sex, weight, height, dietary requirements and activity level will be asked to see how the app will react, any bugs that may occur and if you like the application or not. joseph.adedayo2@mail.dcu.ie (not shared) Switch accounts  $\odot$ I have read the Plain Language Statement (or had it read to me) O Yes O No I understand the information provided O Yes O No I understand the information provided in relation to data protection O Yes O No I have had an opportunity to ask questions and discuss this study O Yes O No I have received satisfactory answers to all my questions Yes O No

Submit Clear form