
Problem Specification

Consider a state x , where

x_1 = distance to the car in front

x_2 = velocity of following car

and the safety constraint is $x_1 \geq 2 x_2$

a linear drag model is used, for simplicity

and the maximum acceleration and deceleration are 0.25 gs.

```
In[1]:= x = {x1, x2};
```

```
(*Params*)
```

```
m = 1650.0;
```

```
f0 = 0.1;
```

```
f1 = 5.0;
```

```
f2 = 0.25 ;
```

```
v0 = 13.89;
```

```
vmax = 24.0;
```

```
(*Dynamics:*)
```

```
f = {v0 - x2, - (f0 + f1 x2 + f2 x2^2) / m};
```

```
g = {0, 9.81};
```

```
(*Safety:*)
```

```
h = x1 - 1.8 x2;
```

```
(*Input Constraints:*)
```

```
umax = 0.25;
```

```
myAbs[x_] := If[x ≥ 0, x, -x];
```

Construct ICCBFs:

```
In[13]:= b0 = h // FullSimplify
         Lfb0 = Grad[b0, x].f // FullSimplify
         Lgb0 = Grad[b0, x].g // FullSimplify

         b0dotinf = Lfb0 - myAbs[Lgb0] * umax // FullSimplify
         b0dotsup = Lfb0 + myAbs[Lgb0] * umax // FullSimplify
```

```
Out[13]= x1 - 1.8 x2
```

```
Out[14]= 13.8901 + (-0.994545 + 0.000272727 x2) x2
```

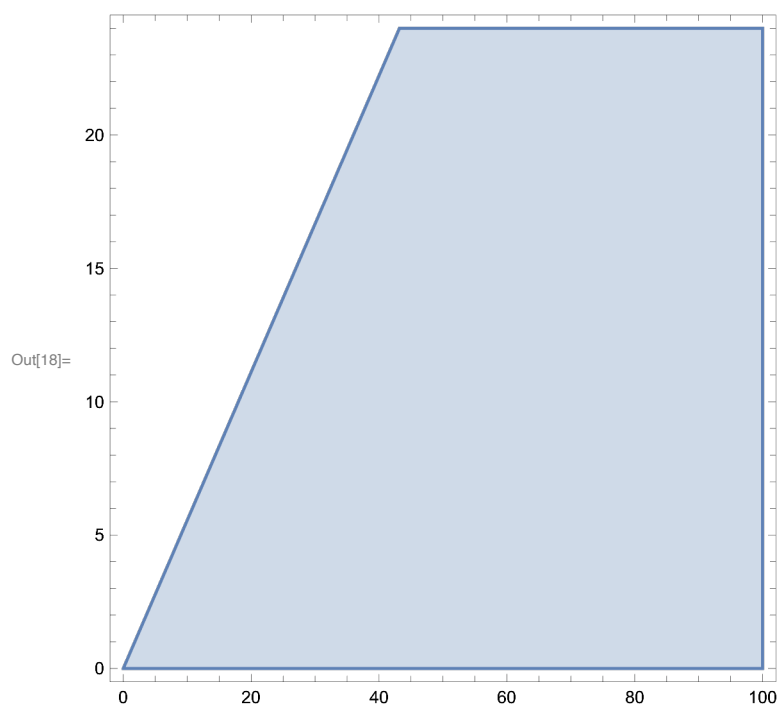
```
Out[15]= -17.658
```

```
Out[16]= 9.47561 + (-0.994545 + 0.000272727 x2) x2
```

```
Out[17]= 18.3046 + (-0.994545 + 0.000272727 x2) x2
```

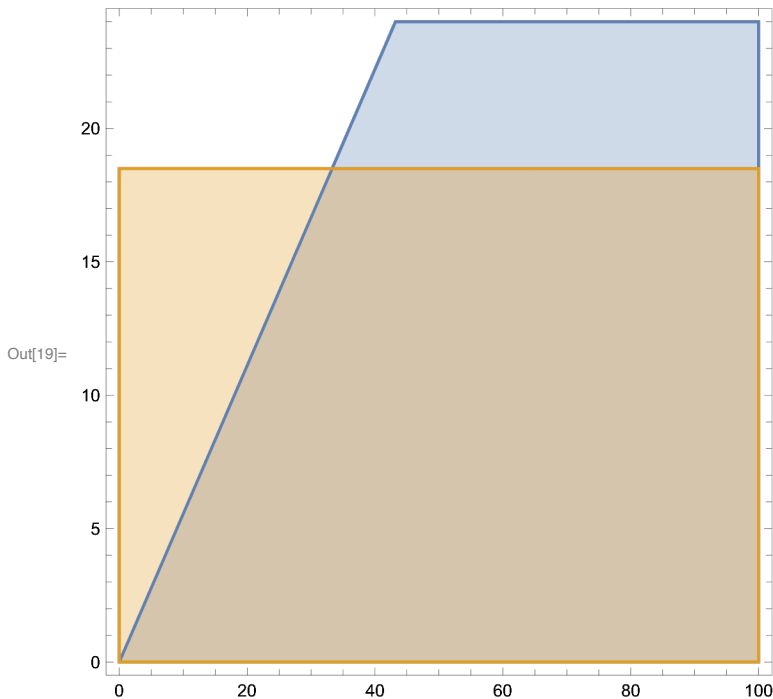
Thus, the safe region can be plotted.

```
In[18]:= safereg = RegionPlot[b0 ≥ 0, {x1, 0, 100}, {x2, 0, vmax}]
```



We can verify if h is a CBF, by plotting the region where $\sup(\dot{h}) \geq 0$:

```
In[19]:= RegionPlot[{b0 ≥ 0, b0dotsup ≥ 0}, {x1, 0, 100}, {x2, 0, vmax}]
```



and therefore, if the speed of the car is above ~17 m/s, on the boundary of the safe set, the car cannot decelerate fast enough to stay safe.

As such, we introduce a new function b1:

```
In[20]:= k0 = 4
b1 = b0dotinf + k0 * b0

Lfb1 = Grad[b1, x].f // FullSimplify
Lgb1 = Grad[b1, x].g // FullSimplify

b1dotinf = Lfb1 - myAbs[Lgb1] * umax // FullSimplify
b1dotsup = Lfb1 + myAbs[Lgb1] * umax // FullSimplify
```

Out[20]= 4

Out[21]= $9.47561 + 4 (x_1 - 1.8 x_2) + (-0.994545 + 0.000272727 x_2) x_2$

Out[22]= $55.5605 + x_2 (-3.97517 + (0.00123994 - 8.26446 \times 10^{-8} x_2) x_2)$

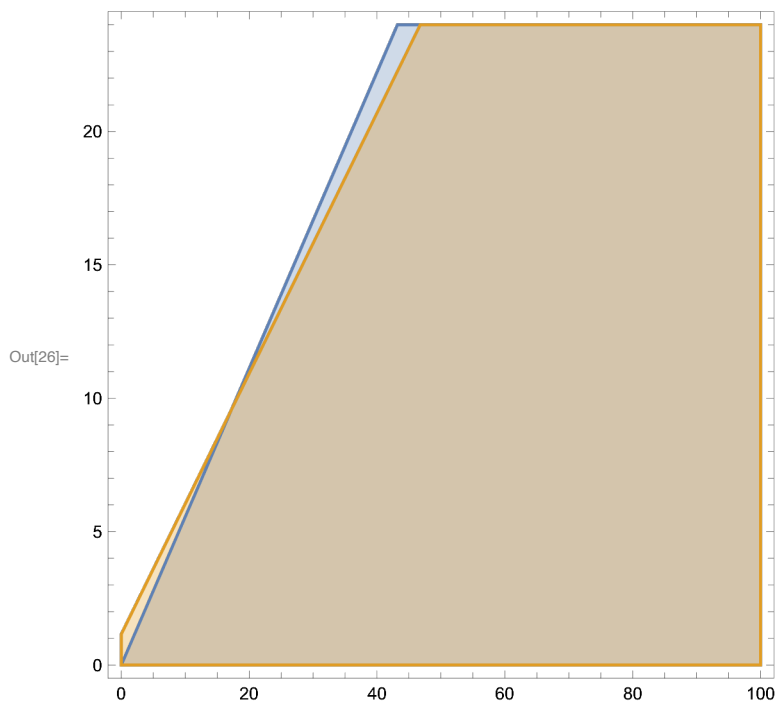
Out[23]= $-80.3885 + 0.00535091 x_2$

Out[24]= $\begin{cases} 35.4634 + x_2 (-3.97383 + (0.00123994 - 8.26446 \times 10^{-8} x_2) x_2) & x_2 < 15.023.3 \\ 75.6576 + x_2 (-3.97651 + (0.00123994 - 8.26446 \times 10^{-8} x_2) x_2) & \text{True} \end{cases}$

Out[25]= $\begin{cases} 35.4634 + x_2 (-3.97383 + (0.00123994 - 8.26446 \times 10^{-8} x_2) x_2) & x_2 \geq 15.023.3 \\ 75.6576 + x_2 (-3.97651 + (0.00123994 - 8.26446 \times 10^{-8} x_2) x_2) & \text{True} \end{cases}$

Now we can plot regions where $b1 \geq 0$

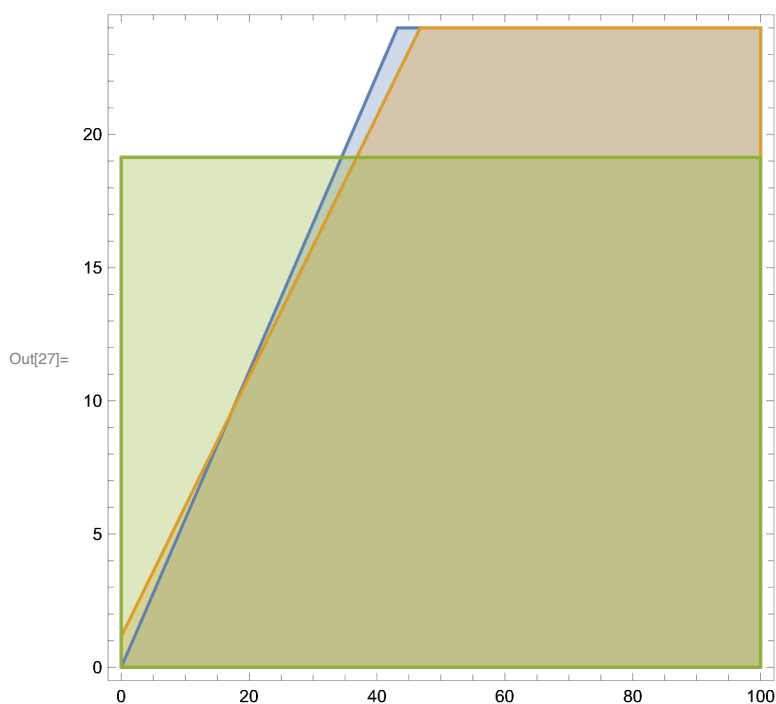
```
In[26]:= C1plot = RegionPlot[{b0 ≥ 0, b1 ≥ 0}, {x1, 0, 100}, {x2, 0, vmax}]
```



Which shows a shallower gradient - b1 has restricted the safe set to a smaller set.

Lets verify if b1 can be forward invariant, by plotting $\text{sup}(b1 \text{ dot})$:

```
In[27]:= RegionPlot[{b0 ≥ 0, b1 ≥ 0, b1dotsup ≥ 0}, {x1, 0, 100}, {x2, 0, vmax}]
```



Thus, no, it cannot be rendered safe, for any $x_2 > \sim 20$ m/s. Lets try again,

```

In[28]:= k1 = 7
b2 = b1dotinf + k1 * Sqrt[b1]

Lfb2 = Grad[b2, x].f // Simplify;
Lgb2 = Grad[b2, x].g // Simplify;

b2dotinf = Lfb2 - myAbs[Lgb2] * umax // Simplify;
b2dotsup = Lfb2 + myAbs[Lgb2] * umax // Simplify;

```

Out[28]= 7

```

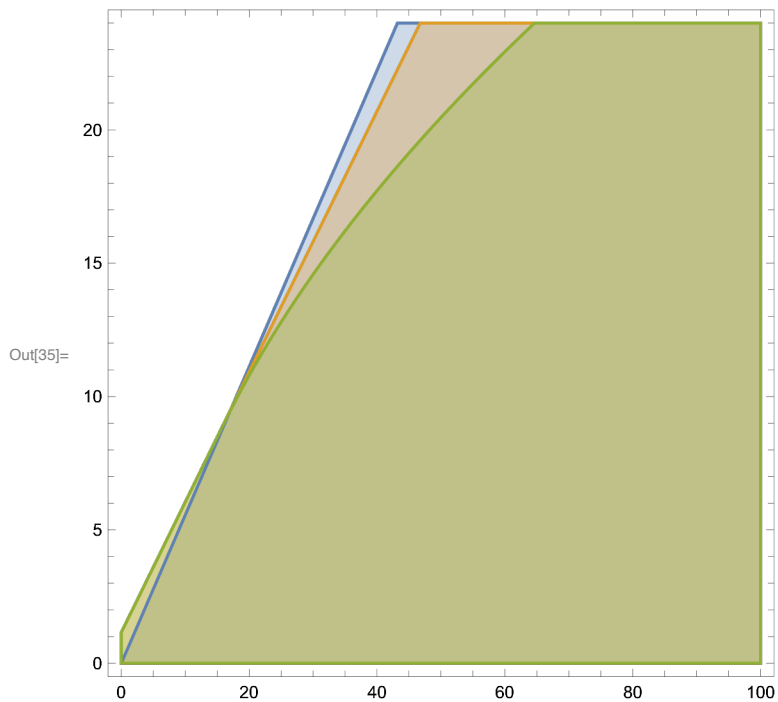
Out[29]= 7  $\sqrt{9.47561 + 4 (x1 - 1.8 x2) + (-0.994545 + 0.000272727 x2) x2} +$ 

$$\left( \begin{array}{l} 35.4634 + x2 (-3.97383 + (0.00123994 - 8.26446 \times 10^{-8} x2) x2) \quad x2 < 15023.3 \\ 75.6576 + x2 (-3.97651 + (0.00123994 - 8.26446 \times 10^{-8} x2) x2) \quad \text{True} \end{array} \right)$$


```

In[34]:=

```
In[35]:= C2plot = RegionPlot[{b0 ≥ 0, b1 ≥ 0, b2 ≥ 0}, {x1, 0, 100}, {x2, 0, vmax}]
RegionPlot[{b0 ≥ 0, b1 ≥ 0, b2 ≥ 0, b2dotsup ≥ 0}, {x1, 0, 100}, {x2, 0, vmax}]
```

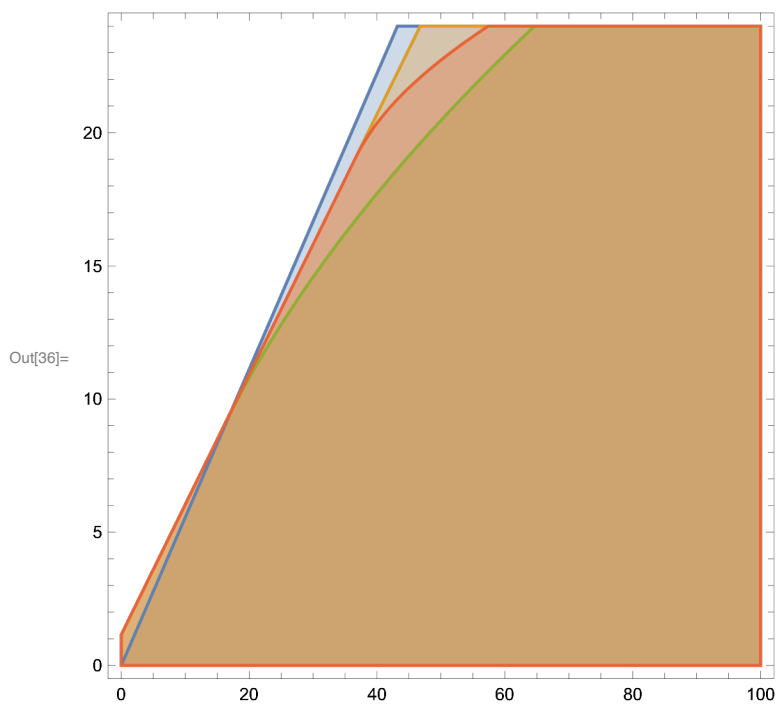


... GreaterEqual: Invalid comparison with $22.9268 + 16.9638 i$ attempted.

... GreaterEqual: Invalid comparison with $-38.9065 + 116.077 i$ attempted.

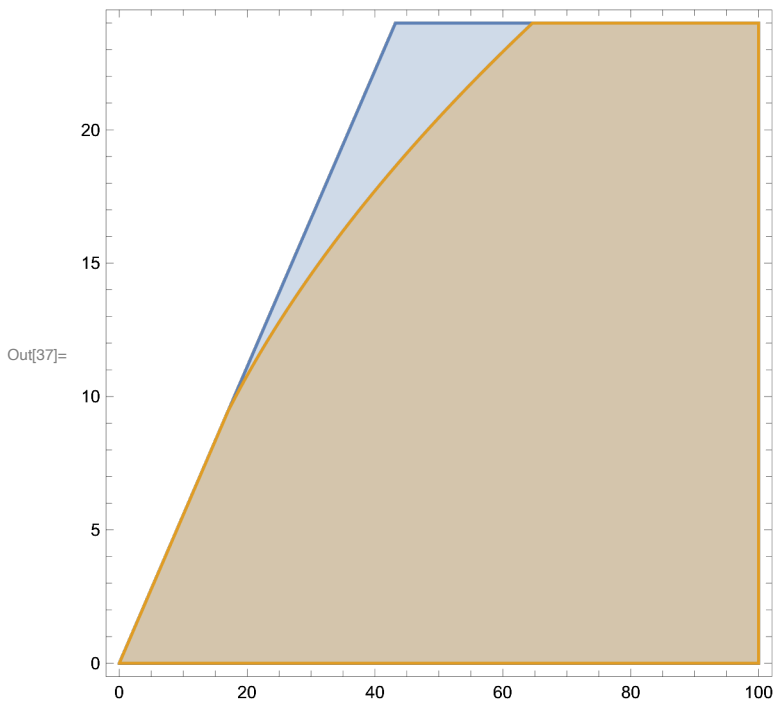
... GreaterEqual: Invalid comparison with $12.9153 + 16.4405 i$ attempted.

... General: Further output of GreaterEqual::nord will be suppressed during this calculation.



Thus, the safe set and inner safe set can be plotted:

```
In[37]:= regs = RegionPlot[{b0 ≥ 0, b0 ≥ 0 && b1 ≥ 0 && b2 ≥ 0 && 0 ≤ x2 ≤ vmax},
  {x1, 0, 100}, {x2, 0, vmax}]
```



Verification of ICCBF

Now we verify if we have found an ICCBF:

```
In[38]:= k2 = 2;
fun = b2dotsup + k2 b2 // Simplify;
(*and fun must be positive for all x in the domain of interest*)
Xdom = (0 ≤ x1) && (0 ≤ x2 ≤ vmax);
CstarSet = b0 ≥ 0 && b1 ≥ 0 && b2 ≥ 0;
domain = Xdom && CstarSet // Simplify;
```

```
In[43]:= Minimize[{fun, domain}, {x1, x2}]
```

... **NMinimize**: Failed to converge to the requested accuracy or precision within 100 iterations.

```
Out[43]= {2.33419, {x1 → 64.6367, x2 → 24.}}
```

Which is positive.

Therefore we have found an ICCBF.

We can be a bit more careful, and check local optimization results over a grid, with step size of 10 and 6 in the x_1 and x_2 directions respectively.

```
In[44]:= For[x10 = 0, x10 ≤ 100, x10 = x10 + 10,  
  For[x20 = 0, x20 ≤ vmax, x20 = x20 + 6,  
    If[domain /. {x1 → x10, x2 → x20}, (*if initial point is in the domain*)  
      Print[FindMinimum[{fun, domain}, {{x1, x10}, {x2, x20}}]]  
    ]  
  ]  
]
```


[illegible]

And therefore all the local solutions point to the same minimum.

```
In[45]:= b0 /. {x1 → 64.6371896885372`, x2 → 24.`}
b1 /. {x1 → 64.6371896885372`, x2 → 24.`}
b2 /. {x1 → 64.6371896885372`, x2 → 24.`}
```

```
Out[45]= 21.4372
```

```
Out[46]= 71.5124
```

```
Out[47]= 1.19949 × 10-6
```

The minimum is achieved at the boundary of b2.

QP-based Control Design

Now finally, we can define a controller using ICCBFs.

we will always find a u such that

$\dot{b}_2 \geq -\alpha b_2$

and also constrain it to lie inside U .

Therefore, we define the controller:

```
In[48]:= V = (x2 - vmax)^2;
LfV = Grad[V, x].f // FullSimplify;
LgV = Grad[V, x].g // FullSimplify;
ud = (u /. (Solve[LfV + LgV u == -10 V, u] // Simplify))[[1]]
```

```
Out[51]= 
$$\frac{-293.578 + 24.4574 x_2 - 0.509746 x_2^2 + 0.000015445 x_2^3}{-24. + x_2}$$

```

We define both the ICCBF-QP [this work], and the CBF-CLF-QP from [Ames 2014]

```
In[52]:= controllerICCBFQP =
  ArgMin[{(u - ud)^2, -umax ≤ u ≤ umax && Lfb2 + Lgb2 u ≥ -k2 b2}, u];
controllerCLFCBFQP = Clip[
  {1, 0}.ArgMin[{u^2 + (10^(-1)) δ, (LfV + LgV u ≤ -10 V + δ) &&
    (Lfb0 + Lgb0 u ≥ -k2 b0) && (δ ≥ 0)}, {u, δ}], {-umax, umax}]
(*controllerCLFCBFQP = ArgMin[{(u - ud)^2, Lfb0 + Lgb0 u ≥ -k2 b0}, u];*)
```

```
Out[53]= Clip[{1, 0}.ArgMin[{u^2 +  $\frac{\delta}{10}$ ,
  0.00290909 + 19.62 u (-24. + x2) + x2 (0.145333 + (0.00121212 - 0.00030303 x2) x2) ≤
  -10 (-24. + x2)^2 + δ && 13.8901 - 17.658 u + (-0.994545 + 0.000272727 x2) x2 ≥
  -2 (x1 - 1.8 x2) && δ ≥ 0}, {u, δ}], {-0.25, 0.25}]
```

Test:

```
In[54]:= controllerICCBFQP /. {x1 → 60, x2 → 22}
```

```
Out[54]= 0.0171714
```

```
In[55]:= controllerCLFCBFQP /. {x1 → 60, x2 → 22}
```

```
Out[55]= 0.25
```

Closed-loop Simulations

Define the closed loop dynamics:

```
In[56]:= fclICCBFQP = f + g * controllerICCBFQP // Simplify;
fclCLFCBFQP = f + g * controllerCLFCBFQP // Simplify;

In[58]:= fclICCBFQP1 = fclICCBFQP[[1]] /. {x1 → y1[t], x2 → y2[t]};
fclICCBFQP2 = fclICCBFQP[[2]] /. {x1 → y1[t], x2 → y2[t]};

fclCLFCBFQP1 = fclCLFCBFQP[[1]] /. {x1 → y1[t], x2 → y2[t]};
fclCLFCBFQP2 = fclCLFCBFQP[[2]] /. {x1 → y1[t], x2 → y2[t]};
```

Define the initial condition:

```
In[62]:= initial = {100, 20};
```


Construct the equations for NDSolve:

```
In[63]:= eqnsICCBFQP = {y1'[t] == fclICCBFQP1,
  y2'[t] == fclICCBFQP2, y1[0] == initial[[1]], y2[0] == initial[[2]]};
eqnsCLFCBFQP = {y1'[t] == fclCLFCBFQP1, y2'[t] == fclCLFCBFQP2,
  y1[0] == initial[[1]], y2[0] == initial[[2]]};
```

Solve:

```
In[65]:= tmax = 20;
solICCBFQP = NDSolve[eqnsICCBFQP, {y1, y2}, {t, 0, tmax}]
solCLFCBFQP = NDSolve[eqnsCLFCBFQP, {y1, y2}, {t, 0, tmax}]
```

```
Out[66]= {{y1 → InterpolatingFunction[ Domain: {{0., 20.}} Output: scalar],
  y2 → InterpolatingFunction[ Domain: {{0., 20.}} Output: scalar] ]}}
```

```
Out[67]= {{y1 → InterpolatingFunction[ Domain: {{0., 20.}} Output: scalar],
  y2 → InterpolatingFunction[ Domain: {{0., 20.}} Output: scalar] ]}}
```

Extract the domain where a solution was found:

```
In[68]:= domICCBFQP = (y1 /. solICCBFQP)[[1]][[1]][[1]][[2]]
(*Stores the domain of the solution*)
domCLFCBFQP = (y1 /. solCLFCBFQP)[[1]][[1]][[1]][[2]]
(*Stores the domain of the solution*)
```

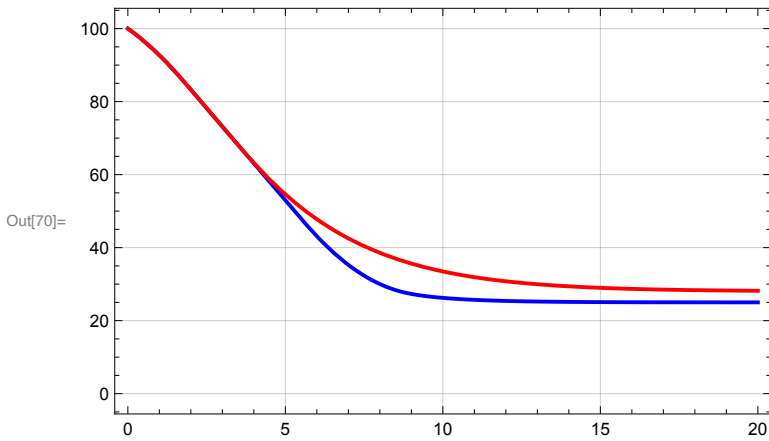
```
Out[68]= 20.
```

```
Out[69]= 20.
```

Solution Plots:

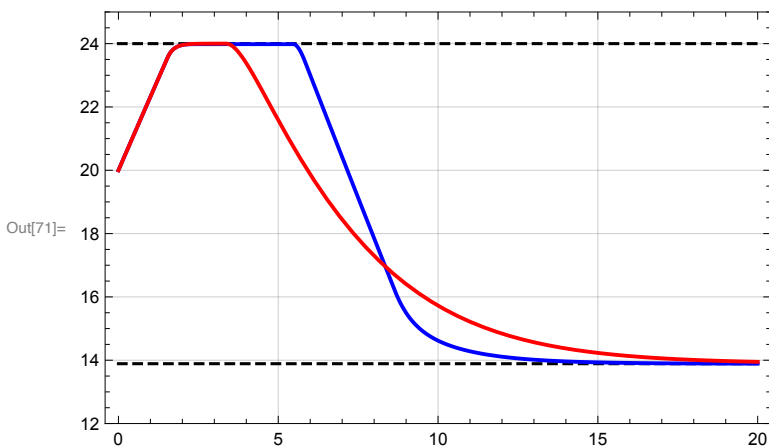
In[70]:= (*Plot of dist between cars vs time*)

```
Plot[{
  If[t ≤ domCLFCBFQP, Evaluate[y1[t] /. solCLFCBFQP], Indeterminate],
  If[t ≤ domICCBFQP, Evaluate[y1[t] /. solICCBFQP], Indeterminate]
}, {t, 0, tmax},
PlotRange → Full, AxesOrigin → {0, 0}, Frame → True,
GridLines → Automatic, PlotStyle → {{Thick, Blue}, {Thick, Red}}]
```



In[71]:= (*Plot of speed of car vs time*)

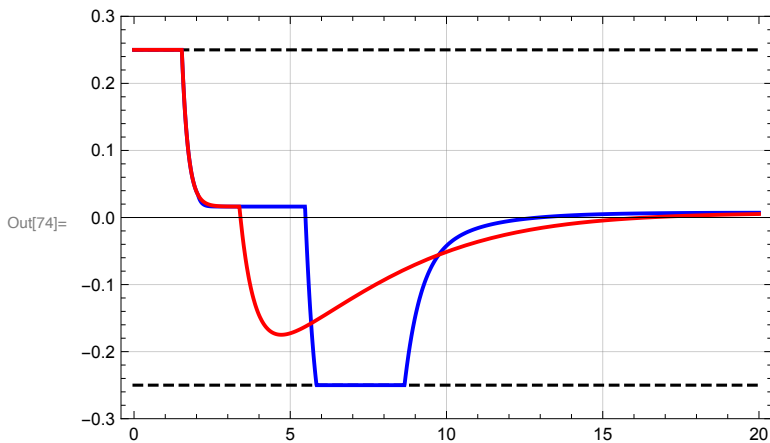
```
Plot[{vmax, v0,
  If[t ≤ domCLFCBFQP, Evaluate[y2[t] /. solCLFCBFQP], Indeterminate],
  If[t ≤ domICCBFQP, Evaluate[y2[t] /. solICCBFQP], Indeterminate]
}, {t, 0, tmax},
PlotRange → {12, 25}, AxesOrigin → {0, 0}, Frame → True, GridLines → Automatic,
PlotStyle → {{Dashed, Black}, {Dashed, Black}, {Thick, Blue}, {Thick, Red}}]
```



```

In[72]:= (*Plot of control history vs time*)
uICCBFQP = (controllerICCBFQP /. {x1 → y1[t], x2 → y2[t]}) /. solICCBFQP;
uCLFCBFQP = (controllerCLFCBFQP /. {x1 → y1[t], x2 → y2[t]}) /. solCLFCBFQP;
Plot[{umax, -umax,
  If[t ≤ domCLFCBFQP, uCLFCBFQP, Indeterminate],
  If[t ≤ domICCBFQP, uICCBFQP, Indeterminate]},
{t, 0, tmax},
PlotRange → {-0.3, 0.3}, Frame → True, GridLines → Automatic,
PlotStyle → {{Dashed, Black}, {Dashed, Black}, {Thick, Blue}, {Thick, Red}}]

```



```

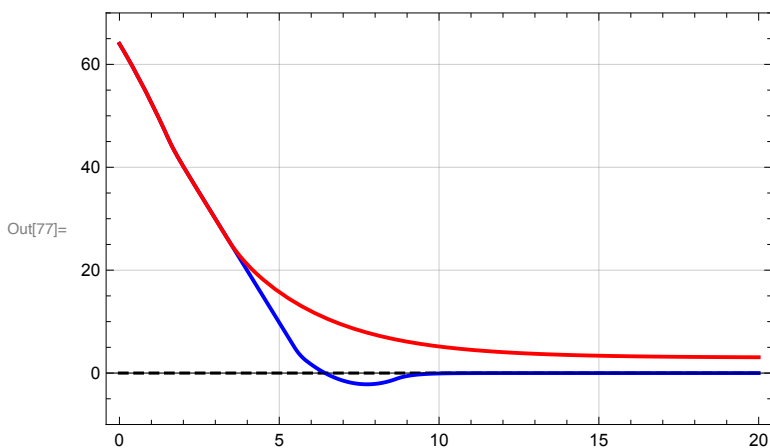
In[75]:= hICCBFQP = (b0 /. {x1 → y1[t], x2 → y2[t]}) /. solICCBFQP;
hCLFCBFQP = (b0 /. {x1 → y1[t], x2 → y2[t]}) /. solCLFCBFQP;

```

```

In[77]:= (*Plot of safety constraint vs time*)
Plot[{0,
  If[t ≤ domCLFCBFQP, hCLFCBFQP, Indeterminate],
  If[t ≤ domICCBFQP, hICCBFQP, Indeterminate]
},
{t, 0, tmax},
PlotRange → {-10, 70}, AxesOrigin → {0, 0}, GridLines → Automatic,
Frame → True, PlotStyle → {{Dashed, Black}, {Thick, Blue}, {Thick, Red}}]

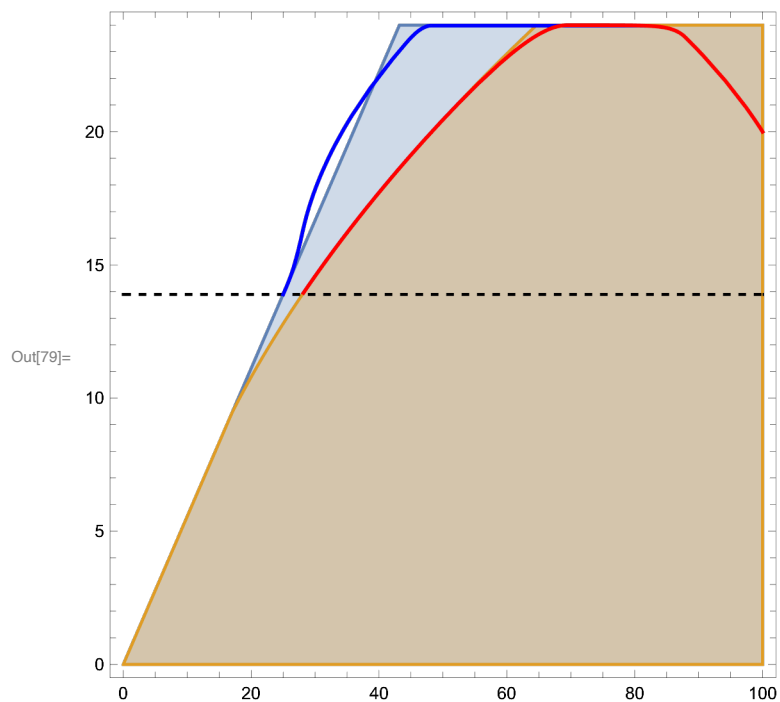
```



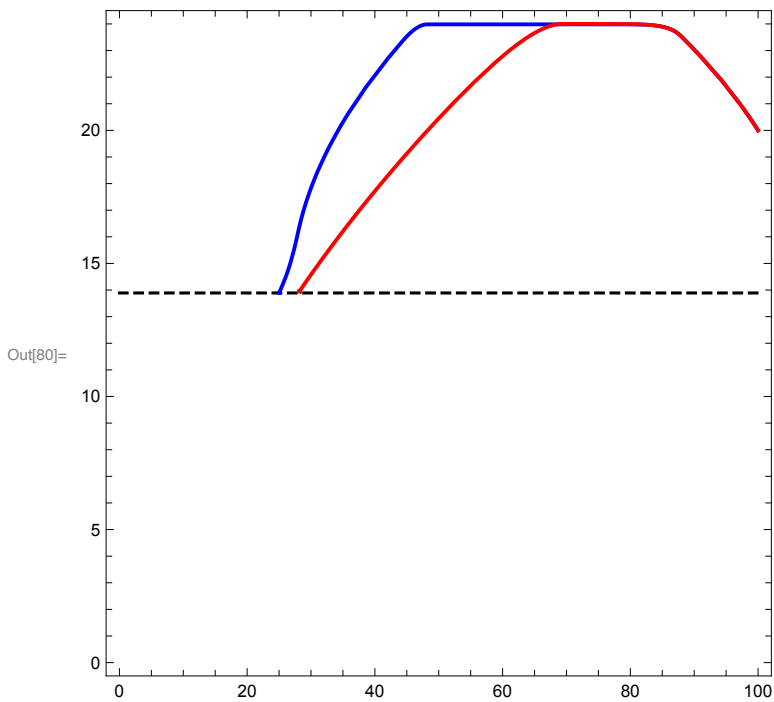
```

In[78]:= (*Plot of paths in state space*)
paths = ParametricPlot[
  {
    If[t ≤ domCLFCBFQP,
      Evaluate[{y1[t], y2[t]} /. solCLFCBFQP], Indeterminate],
    If[t ≤ domICCBFQP, Evaluate[{y1[t], y2[t]} /. solICCBFQP], Indeterminate]
  },
  {t, 0, tmax},
  PlotRange → Full, PlotStyle → {{Thick, Blue}, {Thick, Red}}];
Show[regs, Plot[v0, {x1, 0, 100}, PlotStyle → {Dashed, Black}], paths]

```



```
In[80]:= Show[RegionPlot[False, {x1, 0, 100}, {x2, 0, 24}],  
Plot[v0, {x1, 0, 100}, PlotStyle -> {Dashed, Black}], paths]
```



Comparison to Optimal Viability Set

Now, let's try to determine the true unsafe regions, by simulating backwards in time, applying the maximum brakes:

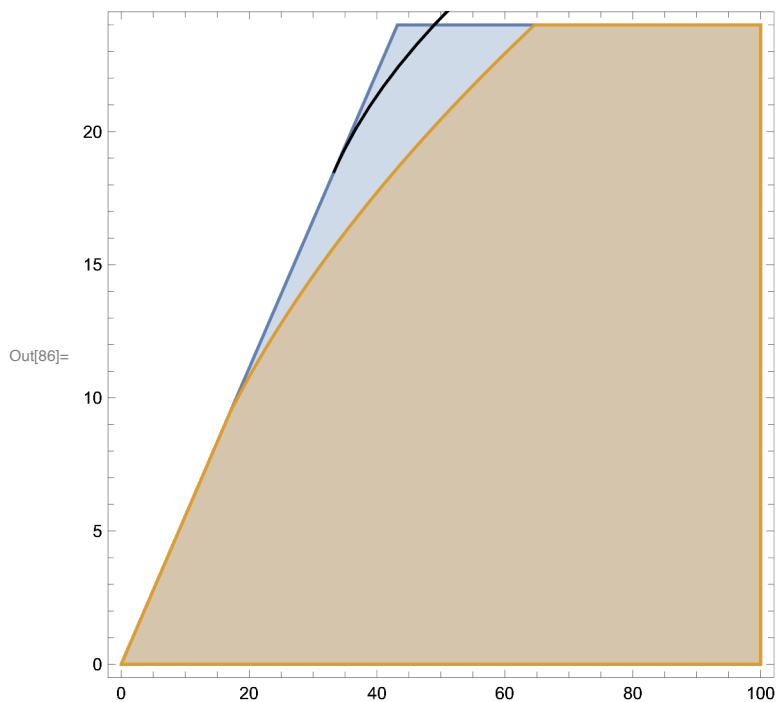
```
In[81]:= x2crit = x2 /. FindRoot[(f - g umax)[[2]] / (f - g umax)[[1]] == 1/1.8, {x2, 15}]
```

Out[81]= 18.4988

```

In[82]:= eqs = {y1'[t] == (f[[1]] + g[[1]] (-umax)) /. {x1 -> y1[t], x2 -> y2[t]},
  y2'[t] == (f[[2]] + g[[2]] (-umax)) /. {x1 -> y1[t], x2 -> y2[t]},
  y1[0] == 1.8 * x2crit, y2[0] == x2crit} /. s -> 30;
tmax = 15;
sol = NDSolve[eqs, {y1, y2}, {t, -tmax, tmax}];
para = ParametricPlot[
  Evaluate[{y1[t], y2[t]} /. sol], {t, -tmax, 0}, PlotStyle -> Black];
Show[
  regs,
  para]

```



Everything below the black line is the true viability kernel.