## LAB 8 - DEADLOCKS, LOCKING, SYNCHRONIZATION

For a multithreaded application spanning a single process or multiple processes to do useful work, some kind of common state must be shared between the threads. The degree of sharing that is necessary depends on the task. At one extreme, the only sharing necessary may be a single number that indicates the task to be performed. For example, a thread in a web server might be told only the port number to respond to. At the other extreme, a pool of threads might be passing information constantly among themselves to indicate what tasks are complete and what work is still to be completed.

#### **Data Races**

A data race occurs when multiple threads spanning a single process or multiple processes use the same data item and one or more of those threads are updating it. Suppose there is a function update, which takes an integer pointer and updates the value of the content pointer by 4. If multiple threads call the function, then there is a possibility of a data race. If the current value of \*a is 10, then when two threads simultaneously call update function, the final value of \*a might be 14, instead of 18.

To visualize this, we need to write the corresponding assembly language code for this function.

```
void update(int * a)
{
  *a = *a + 4;
}
```

Another situation might be when one thread is running, but the other thread has been context switched off of the processor. Imagine that the first thread has loaded the value of the variable a and then gets context switched off the processor. When it eventually runs again, the value of the variable a will have changed, and the final store of the restored thread will cause the value of the variable a to regress to an old value. The following code has a data race.

```
//race.c
#include <pthread.h>
   int counter = 0;
   void * func(void * params)
   {
      counter++;
   }
   void main()
   {
      pthread t thread1, thread2;
```

```
pthread_create(&thread1, 0, func, 0);
pthread_create(&thread2, 0, func, 0);
pthread_join(thread1, 0);
pthread_join(thread2, 0);
}
```

#### Using tools to detect data races

We can compile the above code using gcc, and then use Helgrind tool which is part of Valgrind suite to identify the data race.

```
$ gcc -g race.c -lpthread
$ valgrind -tool=helgrind ./a.out
```

#### **Avoiding Data Races**

Although it is hard to identify data races, avoiding them can be very simple. The easiest way to do this is to place a synchronization lock around all accesses to that variable and ensure that before referencing the variable, the thread must acquire the lock.

# Synchronization Primitives: Mutex Locks:

A mutex lock is a mechanism that can be acquired by only one thread at a time. Other threads that attempt to acquire the same mutex must wait until it is released by the thread that currently has it.

Mutex locks need to be initialized to the appropriate state by a call to pthread\_mutex\_init() or for statically defined mutexes by assignment with the PTHREAD\_MUTEX\_INITIALIZER. The call to pthread\_mutex\_init() takes an optional parameter that points to attributes describing the type of mutex required. Initialization through static assignment uses default parameters, as does passing in a null pointer in the call to pthread mutex init().

Once a mutex is no longer needed, the resources it consumes can be freed with a call to pthread mutex destroy().

```
#include <pthread.h>
...
pthread_mutex_t m1 = PTHREAD_MUTEX_INITIALIZER;
pthread_mutex_t m2;
pthread_mutex_init( &m2, 0 );
...
pthread_mutex_destroy( &m1 );
pthread_mutex_destroy( &m2 );
```

A thread can lock a mutex by calling pthread\_mutex\_lock(). Once it has finished with the mutex, the thread calls pthread\_mutex\_unlock(). If a thread calls pthread\_mutex\_lock() while another thread holds the mutex, the calling thread will wait, or block, until the other thread releases the mutex, allowing the calling thread to attempt to acquire the released mutex.

```
#include <pthread.h>
#include <stdio.h>
   pthread mutex t mutex;
   volatile int counter = 0;
   void * count( void * param)
      for (int i=0; i<100; i++)
   pthread mutex lock(&mutex);
   counter++:
      printf("Count = %i\n", counter);
   pthread mutex unlock(&mutex);
int main()
   pthread t thread1, thread2;
   pthread mutex init( &mutex, 0 );
   pthread create( &thread1, 0, count, 0 );
   pthread create( &thread2, 0, count, 0 );
   pthread join(thread1, 0);
   pthread join(thread2, 0);
   pthread mutex destroy( &mutex );
   return 0:
}
```

### **Semaphores:**

A semaphore is a counting and signaling mechanism. One use for it is to allow threads access to a specified number of items. If there is a single item, then a semaphore is essentially the same as a mutex, but it is more commonly used in a situation where there are multiple items to be managed.

A semaphore is initialized with a call to sem\_init(). This function takes three parameters. The first parameter is a pointer to the semaphore. The next is an integer to indicate whether the semaphore is shared between multiple processes or private to a single process. The final parameter is the value with which to initialize the semaphore. A semaphore created by a call to sem\_init() is destroyed with a call to sem\_destroy().

The code below initializes a semaphore with a count of 10. The middle parameter of the call to sem\_init() is zero, and this makes the semaphore private to the process; passing the value one rather than zero would enable the semaphore to be shared between multiple processes.

```
#include <semaphore.h>
int main()
{
sem_t semaphore;
sem_init( &semaphore, 0, 10 );
...
sem_destroy( &semaphore );
}
```

The semaphore is used through a combination of two methods. The function sem\_wait() will attempt to decrement the semaphore. If the semaphore is already zero, the calling thread will wait until the semaphore becomes nonzero and then return, having decremented the semaphore. The call to sem\_post() will increment the semaphore. One more call, sem\_getvalue(), will write the current value of the semaphore into an integer variable.

In the following program, an order is maintained in displaying Thread 1 and Thread 2. Try removing the semaphore and observe the output.

```
#include <pthread.h>
#include <stdio.h>
#include <semaphore.h>
   sem t semaphore;
   void *func1( void * param )
   printf( "Thread 1\n" );
   sem post( &semaphore );
   void *func2( void * param )
   sem wait( &semaphore );
   printf( "Thread 2\n" );
int main()
{
   pthread t threads[2];
   sem init( &semaphore, 0, 1 );
   pthread create( &threads[0], 0, func1, 0 );
   pthread create( &threads[1], 0, func2, 0 );
   pthread join(threads[0], 0);
   pthread join( threads[1], 0 );
   sem destroy( &semaphore );
```

#### **Solution to Producer-Consumer problem**

```
#include<stdio.h>
#include<pthread.h>
#include<semaphore.h>
   int buf[5],f,r;
   sem t mutex,full,empty;
void *produce(void *arg)
      int i;
      for(i=0;i<10;i++)
      sem_wait(&empty);
      sem wait(&mutex);
          printf("produced item is %d\n",i);
      buf[(++r)\%5]=i;
      sleep(1);
      sem post(&mutex);
      sem post(&full);
           printf("full %u\n",full);
       }
void *consume(void *arg)
      int item,i;
      for(i=0;i<10;i++)
       {
          sem wait(&full);
              printf("full %u\n",full);
          sem wait(&mutex);
           item=buf[(++f)\%5];
             printf("consumed item is %d\n",item);
          sleep(1);
          sem post(&mutex);
          sem post(&empty);
       }
main()
pthread t tid1,tid2;
sem init(&mutex,0,1);
sem init(&full,0,1);
sem init(&empty,0,5);
pthread create(&tid1,NULL,produce,NULL);
pthread create(&tid2,NULL,consume,NULL);
pthread join(tid1,NULL);
pthread join(tid2, NULL);
```

## **Solution to First Readers-Writers Problem using semaphores:**

- The reader processes share the following data structures: semaphore mutex , wrt; int readcount:
- The binary semaphores mutex and wrt are initialized to 1; readcount is initialized to 0:
- Semaphore wrt is common to both reader and writer process
  - wrt functions as a mutual exclusion for the writers
- It is also used by the first or last reader that enters or exits the critical section
- It is not used by readers who enter or exit while other readers are in the critical section
- The readcount variable keeps track of how many processes are currently reading the object
- The mutex semaphore is used to ensure mutual exclusion when readcount is updated.

The structure of a writer process

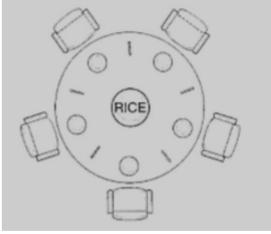
The structure of a reader process

```
do {
   wait(mutex);
   readcount++;
   if (readcount == 1)
      wait(wrt);
   signal(mutex);
      // reading is performed
      . . .
   wait(mutex);
   readcount--;
   if (readcount == 0)
      signal(wrt);
   signal(mutex);
} while (TRUE);
```

## The Dining Philosophers Problem:

- Five philosophers sit at a round table thinking and eating
- Each philosopher has one chopstick
  - five chopsticks total
- A philosopher needs two chopsticks to eat
  - philosophers must share chopsticks to eat
- No interaction occurs while thinking

The situation of the dining philosophers is shown in Fig.



Dining Philosophers Problem

#### **Lab Exercises:**

- 1. Modify the above Producer-Consumer program so that, a producer can produce at the most 10 items more than what the consumer has consumed.
- 2. Write a C program for the first readers-writers problem using semaphores.
- 3. Write a Code to access a shared resource which causes deadlock using improper use of semaphore.
- 4. Write a program using semaphore to demonstrate the working of sleeping barber problem.

#### **Additional Exercises:**

- 1. Write a C program for Dining-Philosophers problem using monitors.
- 2. Demonstrate the working of counting semaphore