

# Prep Work 10 - Recurrences

CS 234

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## 1. Recurrences

**1. The above description of the tree method finds three quantities to combine into the final result. What properties do each of those three quantities measure?**

Number of nodes in a specific row: Measures the number of recursive calls that are made at each step.

How tall the tree is: Maximum row number  $m$  and the input  $n$  can be expressed as  $m = \log_2(n)$  and since the row number starts with 0, there are  $\log_2(n) + 1$  rows, which is the height of the tree.

Locally accomplished work per node in a specific row: This corresponds to the sum of the local part of the recurrence in a specific row, which is  $cn(1/2)^r$ .

Suppose for the next tasks that you have the following recurrence:

$$T(n) = \begin{cases} 0 & n \leq 1 \\ 4 \cdot T(\frac{n}{3}) + cn^2 & n > 1 \end{cases}$$

**2. How many nodes will be in row  $r$  (starting from  $r = 0$ ) of the call tree?**

The coefficient of  $T(n/3)$  is 4, so it will be  $4^r$  nodes.

**3. How tall will the call tree be?**

The input size  $n$  is divided by 3 at each row, thus  $n \cdot (1/3)^m = 1 \leftrightarrow \log_3(n) = m$ , where  $m$  is the maximum number of rows.

Since the row numbering starts at 0, it should be  $\log_3(n) + 1$ .

**4. How much work is done locally to each node of row  $r$ ?**

By the definition of  $T(n)$ , the local part is  $cn^2$  and the argument  $n$  changes at every row by  $n/3^r$ . Thus, it should be  $c(n/3^r)^2$ .

5. How should the above quantities be combined to get the work represented by the whole tree?

$$\sum_{r=0}^{\log_3 n} 4^r \cdot \frac{cn^2}{9^r}$$

To compute these sums, it is necessary to know how to properly manipulate geometric series (sums of terms which differ by a common factor that is not equal to 0 or 1). The formula for such series is the following, where the common factor is  $x$ .

$$\sum_{i=0}^m a \cdot x^i = a \cdot \frac{x^{m+1} - 1}{x - 1}$$

6. How this formula is used in section G.6?

The formula is used to convert the work represented by the whole tree that is expressed in a summation form to a fractional form.

7. While the formula does not work when  $x = 1$  because you would divide by 0, a different formula can still be derived that works with  $x = 1$ . What is this new formula? (Hint: Look back at the original summation, replace  $x$  with 1, and then simplify.)

$$\sum_{i=0}^m a \cdot 1^i = a \cdot (m+1)$$

To compute these sums, it is also necessary to know various logarithm identities. The textbook lists some identities, but one identity that is not listed is that  $a^{\log_b(c)} = c^{\log_b(a)}$ .

8. How this identity is used in section G.6?

The identity is used for converting  $3^{(\log_2(m))}$  to  $m^{(\log_2(3))}$  and  $2^{(\log_2(3))}$  to  $3^{(\log_2(2))}$  to simplify the fraction.

**Aside from using the tree method to directly derive a solution to a recurrence, one can also use strong induction to verify a guessed solution. This verification of guesses is an equally valid way of providing a solution to a recurrence.**

**9. Why is strong induction typically the correct choice for verifying a guessed solution to these divide-and-conquer recurrences?**

The reason why strong induction is typically the correct choice is because divide-and-conquer recurrences involve the process assuming for all values of smaller input sizes that were derived from dividing the input size  $n$  holds, while normal induction would just assume that only  $n-1$  holds.