

Midterm 2 Prep

CS 234

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1 Midterm 2 Prep

7.2 Show that if x and y are odd length strings and z is an even length string that xyz is an even length string.

7.8 Show that for all $n \geq 3$, $4n^2 + 6n \leq 2n^3$.

7.10 Define the *NOR* operation as $\text{NOR}(L_1, L_2) = \{x : x \notin L_1 \wedge x \notin L_2\}$. Show that regular languages are closed under the *NOR* operator.

Prove the following by giving constants and then showing that the inequalities hold:

D.4 $2n^2 + 4n = O(n^2)$

D.5 $3n^2 - 4n + 5 = O(n^2)$

D.9 $4n^2 - 3n = \Omega(n^2)$

D.10 $n^2 - 2n + 3 = \Omega(n^2)$

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D.16 $n^2 = o(n^3)$

D.17 $100n^3 = o(n^4)$

D.18 $n^5 = \omega(n^4)$

D.19 $10n^3 = \omega(n^2)$

Prove the following using induction:

8.5 Show that $\sum_{i=1}^n \frac{1}{i(i+1)} = \frac{n}{n+1}$ for all $n \geq 1$.

8.12 Write a recursive function to find the minimum of a list and show that it is correct.

8.29 Show that it is possible to use postage stamps of values 3 and 5 to make any amount of postage $n \geq 8$.

The n^{th} Fibonacci number is given by $F(n)$, which is defined by the following recursive recurrence equation:

$$F(n) = \begin{cases} 0 & n = 0 \\ 1 & n = 1 \\ F(n-1) + F(n-2) & n \geq 2 \end{cases}$$

For this task, show that $F(n+1) \geq 1.5 \cdot F(n)$ for all $n \geq 2$.

Draw DFAs with as few states as possible for each of the following languages and then prove that they accept that language.

9.3 $\{w \in \{0,1\}^* : w \text{ has } 01 \text{ as a substring}\}$

9.12 $\{w \in \{0,1\}^* : w \text{ has exactly one } 0\}$

7.2 Show that if x and y are odd length strings and z is an even length string that xyz is an even length string.

Let x and y be odd length of strings and z be an even length string.
WTS that xyz is an even length of string.

By the def. 7.2 in the text book, we know that there exist some integers $p, q \geq 0$ such that $|x| = 2p + 1, |y| = 2q + 1$.

Also, by the def. 7.1 in the text book, we know that there exists some integer $r \geq 0$ such that $|z| = 2r$.

Then, the length of the string xyz is the sum of the lengths of x, y , and z , or $2p + 1 + 2q + 1 + 2r = 2(p + q + r + 1)$. [def. 7.1]

Since we can write the length of xyz as $2s$ (where $s = p + q + r + 1 \geq 0$ is an integer), this means that it follows by def 7.1 that xyz is an even length string. *Q.E.D.*

7.8 Show that for all $n \geq 3, 4n^2 + 6n \leq 2n^3$.

Let us assume that $n \geq 3$. Then we can write

$$\begin{aligned} 4n^2 + 6n &\leq 4n^2 + 2n^2 && [\text{ as } 6n \leq 2n^2 \text{ since } 3 \leq n] \\ &= 6n^2 && [\text{ math }] \\ &\leq 2n^3 && [\text{ as } 3 \leq n], \end{aligned}$$

which shows that $4n^2 + 6n \leq 2n^3$. Thus, $4n^2 + 6n$ is at most $2n^3$ for all $n \geq 3$. *Q.E.D.*

7.10 Define the *NOR* operation as $\text{NOR}(L_1, L_2) = \{x : x \notin L_1 \wedge x \notin L_2\}$. Show that regular languages are closed under the *NOR* operator.

Suppose L_1 and L_2 are regular languages.

WTS $\text{NOR}(L_1, L_2) = \{x : x \notin L_1 \wedge x \notin L_2\}$ is regular.

By the proof of theorem which was demonstrated in class that regular languages are closed under union, we know that $\{x : x \in L_1\} \cup \{x : x \in L_2\} = \{x : x \in L_1 \vee x \in L_2\}$ is regular.

Also by the proof of theorem which was demonstrated in class that regular languages are closed under complement, we know that $\{x : x \in L_1 \vee x \in L_2\}^c = \{x : x \notin L_1 \wedge x \notin L_2\}$ is regular. [De Morgan's laws]

Thus, regular languages are closed under the *NOR* operator by the theorems above. *Q.E.D.*

Prove the following by giving constants and then showing that the inequalities hold:

D.4 $2n^2 + 4n = O(n^2)$

Proof. Let n be an arbitrary real number that is at least 4.

Then the following inequality holds:

$$\begin{aligned} 2n^2 + 4n &\leq 2n^2 + n^2 \quad [n \geq 4] \\ &= 3n^2. \end{aligned}$$

Thus $2n^2 + 4n \leq 3n^2$, so picking $n_0 = 4$ and $c = 3$ witnesses $\exists n_0, c > 0. \quad \forall n \geq n_0. \quad 2n^2 + 4n \leq c \cdot n^2$.

Thus, by the definition of big-O, $2n^2 + 4n \in O(n^2)$.

□

D.5 $3n^2 - 4n + 5 = O(n^2)$

Proof. Let n be an arbitrary real number that is at least 1.

Then the following inequality holds:

$$\begin{aligned} 3n^2 - 4n + 5 &\leq 3n^2 + n^2 + 5n^2 \quad [\text{as } -4n \leq n^2 \text{ and } 5 \leq 5n^2 \text{ for all } n \geq 1] \\ &= 9n^2. \end{aligned}$$

Thus $3n^2 - 4n + 5 \leq 9n^2$, so picking $n_0 = 1$ and $c = 9$ witnesses

$\exists n_0, c > 0. \quad \forall n \geq n_0. \quad 3n^2 - 4n + 5 \leq c \cdot n^2$.

Thus, by the definition of big-O, $3n^2 - 4n + 5 \in O(n^2)$.

□

D.9 $4n^2 - 3n = \Omega(n^2)$

Proof. Let n be an arbitrary real number that is at least 1 and let c be 1. We want to show that there exists $n_0, c > 0$ such that for all $n \geq n_0$ we have that $4n^2 - 3n \geq c \cdot n^2$.

Observe the following inequality:

$$4n^2 - 3n = n(4n - 3) \geq c \cdot n \cdot n = n^2 \quad [c = 1].$$

Since we initially assumed that n is an arbitrary real number that is at least 1, we know by arithmetic that

$$4n - 3 \geq n \quad [\text{math}].$$

Therefore,

$$3(n - 1) \geq 0 \quad [\text{math}].$$

Thus picking $n_0 = 1$ and $c = 1$ witnesses

$$\exists n_0, c > 0. \quad \forall n \geq n_0. \quad 4n^2 - 3n \geq c \cdot n^2.$$

Thus, by the definition of big- Ω , $4n^2 - 3n \in \Omega(n^2)$. □

D.10 $n^2 - 2n + 3 = \Omega(n^2)$

Proof. Let n be an arbitrary real number that is at least 1 and let c be $\frac{1}{2}$. We want to show that there exists $n_0, c > 0$ such that for all $n \geq n_0$ we have that $n^2 - 2n + 3 \geq c \cdot n^2$.

Observe the following inequality:

$$n^2 - 2n + 3 \geq c \cdot n \cdot n = \frac{1}{2} \cdot n^2 \quad \left[c = \frac{1}{2} \right].$$

Therefore, by arithmetics, we know that

$$\frac{1}{2}n^2 - 2n + 3 \geq 0 \quad [\text{math}].$$

We can rewrite the above mathematical expression as

$$\frac{1}{2}(n^2 - 4n) + 3 \geq 0 \quad [\text{math}].$$

Therefore, by arithmetics, we know that

$$\frac{1}{2}(n - 2)^2 - 2 + 3 = \frac{1}{2}(n - 2)^2 + 1 \geq 0 \quad [\text{math}].$$

Thus picking $n_0 = 1$ and $c = \frac{1}{2}$ witnesses

$$\exists n_0, c > 0. \quad \forall n \geq n_0. \quad n^2 - 2n + 3 \geq c \cdot n^2.$$

Thus, by the definition of big- Ω , $n^2 - 2n + 3 \in \Omega(n^2)$. □

D.13 $n + 8 = \Theta(n)$

Proof. To show this, by definition of big- Θ , it suffices to show $n+8 \in O(n)$ and $n+8 \in \Omega(n)$.

Let n be an arbitrary real number that is at least 1.

Then the following inequality holds:

$$\begin{aligned} n + 8 &\leq n + 8n \quad [n \geq 1] \\ &= 9n. \end{aligned}$$

Thus $n + 8 \leq 9n$, so picking $n_0 = 1$ and $c_1 = 9$ witnesses

$\exists n_0, c_1 > 0. \quad \forall n \geq n_0. \quad n + 8 \leq c_1 \cdot n.$

Thus, by the definition of big- O , $n + 8 \in O(n)$.

Also, let n be an arbitrary real number that is at least 1 and let c_2 be 1.

Observe the following inequality:

$$n + 8 \geq n \quad [n \geq 1].$$

Thus picking $n_0 = 1$ and $c_2 = 1$ witnesses

$\exists n_0, c_2 > 0. \quad \forall n \geq n_0. \quad n + 8 \geq c_2 \cdot n.$

Thus, by the definition of big- Ω , $n + 8 \in \Omega(n)$.

Finally, because both $n + 8 \in O(n)$ and $n + 8 \in \Omega(n)$, it follows that $n + 8 \in \Theta(n)$ by definition. □

D.14 $n^2 + 2n = \Theta(n^2)$

Proof. To show this, by definition of big- Θ , it suffices to show $n^2 + 2n \in O(n^2)$ and $n^2 + 2n \in \Omega(n^2)$.

Let n be an arbitrary real number that is at least 1.

Then the following inequality holds:

$$\begin{aligned} n^2 + 2n &\leq n^2 + 2n^2 \quad [n \geq 1] \\ &= 3n^2. \end{aligned}$$

Thus $n^2 + 2n \leq 3n^2$, so picking $n_0 = 1$ and $c_1 = 3$ witnesses

$\exists n_0, c_1 > 0. \quad \forall n \geq n_0. \quad n^2 + 2n \leq c_1 \cdot n^2.$

Thus, by the definition of big- O , $n^2 + 2n \in O(n^2)$.

Also, let n be an arbitrary real number that is at least 1 and let c_2 be 1.

Observe the following inequalities:

$$n^2 + 2n \geq n^2 \quad [n \geq 1].$$

By arithmetic, we know that

$$2n \geq 0 \quad [\text{math}].$$

Thus picking $n_0 = 1$ and $c_2 = 1$ witnesses
 $\exists n_0, c_2 > 0. \quad \forall n \geq n_0. \quad n^2 + 2n \geq c_2 \cdot n^2$.
Thus, by the definition of big- Ω , $n^2 + 2n \in \Omega(n^2)$.
Finally, because both $n^2 + 2n \in O(n^2)$ and $n^2 + 2n \in \Omega(n^2)$, it follows
that $n^2 + 2n \in \Theta(n^2)$ by definition. □

D.16 $n^2 = o(n^3)$

Proof. Let c be an arbitrary positive real number. Then let n be an arbitrary real number that is strictly greater than $\frac{1}{c}$. Observe the following inequalities that they hold.

$$\begin{aligned} c \cdot n^3 &> c \cdot \frac{1}{c} \cdot n^2 & \left[n > \frac{1}{c} \right] \\ &= n^2 & [\text{math}]. \end{aligned}$$

Thus picking an arbitrary positive real number n_0 to be strictly greater than $\frac{1}{c}$ witnesses that $\forall c > 0. \exists n_0 > 0. \forall n \geq n_0. n^2 < c \cdot n^3$. By the definition of little- o , this means that $n^2 \in o(n^3)$. □

D.17 $100n^3 = o(n^4)$

Proof. Let c be an arbitrary positive real number. Then let n be an arbitrary real number that is strictly greater than $\frac{100}{c}$. Observe the following inequalities that they hold.

$$\begin{aligned} c \cdot n^4 &> c \cdot \frac{100}{c} \cdot n^3 & \left[n > \frac{100}{c} \right] \\ &= 100n^3 & [\text{math}]. \end{aligned}$$

Thus picking an arbitrary positive real number n_0 to be strictly greater than $\frac{100}{c}$ witnesses that $\forall c > 0. \exists n_0 > 0. \forall n \geq n_0. 100n^3 < c \cdot n^4$. By the definition of little- o , this means that $100n^3 \in o(n^4)$. □

D.18 $n^5 = \omega(n^4)$

Proof. Let c be an arbitrary positive real number. Then let n be an arbitrary real number that is strictly greater than c . We want to show that for all $c > 0$, there exists a constant $n_0 > 0$ such that for all $n \geq n_0$, $n^5 > c \cdot n^4$. Observe the following inequalities that they hold.

$$\begin{aligned} n^5 &> c \cdot n^4 & [n > c > 0] \\ \iff n &> c & [\text{math}]. \end{aligned}$$

We earlier assumed that $n > c$ so we can observe that for all $n \geq n_0$, $n \geq n_0 > c$. Thus picking n_0 to be strictly greater than c witnesses that $\forall c > 0. \exists n_0 > 0. \forall n \geq n_0. n^5 > c \cdot n^4$. By the definition of little- ω , it follows that $n^5 \in \omega(n^4)$. □

D.19 $10n^3 = \omega(n^2)$

Proof. Let c be an arbitrary positive real number. Then let n be an arbitrary real number that is strictly greater than $\frac{c}{10}$. We want to show that for all $c > 0$, there exists a constant $n_0 > 0$ such that for all $n \geq n_0$, $10n^3 > c \cdot n^2$. Observe the following inequalities that they hold.

$$\begin{aligned} 10n^3 &> c \cdot n^2 & \left[n > \frac{c}{10} > 0 \right] \\ \iff n &> \frac{c}{10} & [\text{math}]. \end{aligned}$$

We earlier assumed that $n > \frac{c}{10}$ so we can observe that for all $n \geq n_0$, $n \geq n_0 > \frac{c}{10}$. Thus picking n_0 to be strictly greater than $\frac{c}{10}$ witnesses that $\forall c > 0. \exists n_0 > 0. \forall n \geq n_0. 10n^3 > c \cdot n^2$. By the definition of little- ω , it follows that $10n^3 \in \omega(n^2)$. □

Prove the following using induction:

8.5 Show that $\sum_{i=1}^n \frac{1}{i(i+1)} = \frac{n}{n+1}$ for all $n \geq 1$.

Proof. The statement can be proven by induction, letting the inductive predicate $P(n)$ be defined as $\sum_{i=1}^n \frac{1}{i(i+1)} = \frac{n}{n+1}$.

Base case $n = 1$: For the base case, when $n = 1$, the sum shows that $\sum_{i=1}^1 \frac{1}{i(i+1)} = \frac{1}{1 \cdot 2} = \frac{1}{2}$. The formula $\frac{n}{n+1} = \frac{1}{1+1}$ is also equal to $\frac{1}{2}$. Hence the base case $P(1)$ holds.

Inductive case : Suppose for the inductive hypothesis that $P(k)$ holds for an arbitray $k \geq 1$. That is, suppose $\sum_{i=1}^k \frac{1}{i(i+1)} = \frac{k}{k+1}$. We then want to show that $P(k+1)$ holds. That is, we want to show that $\sum_{i=1}^{k+1} \frac{1}{i(i+1)} = \frac{k+1}{k+1+1} = \frac{k+1}{k+2}$.

To show this statement, we use the following chain of equalities:

$$\begin{aligned}
\sum_{i=1}^{k+1} \frac{1}{i(i+1)} &= \left(\sum_{i=1}^k \frac{1}{i(i+1)} \right) + \frac{1}{(k+1)(k+2)} && [\text{sum def}] \\
&= \frac{k}{k+1} + \frac{1}{(k+1)(k+2)} && [\text{I H}] \\
&= \frac{k(k+2) + 1}{(k+1)(k+2)} && [\text{algebra}] \\
&= \frac{k^2 + 2k + 1}{(k+1)(k+2)} && [\text{algebra}] \\
&= \frac{(k+1)^2}{(k+1)(k+2)} && [\text{algebra}] \\
&= \frac{k+1}{k+2} && [\text{algebra}].
\end{aligned}$$

Thus $P(k+1)$ holds.

Conclusion: Because both the base case and inductive case hold, induction allows us to conclude $\sum_{i=1}^n \frac{1}{i(i+1)} = \frac{n}{n+1}$ for all $n \geq 1$. \square

8.12 Write a recursive function to find the minimum of a list and show that it is correct.

input: A list of integers of length at least 1.

output: A minimum of the given list.

```

1. def minList(intList):
2.     if len(intList) == 1:
3.         return intList[0]
4.     endElt = intList.pop()
5.     minVal = minList(intList)
6.     if minVal < endElt:
7.         return minVal
8.     else:
9.         return endElt

```

Proof. The proof of the recursive function `minList(intList)` follows by induction over a given list. For this purpose, let $P(l)$ be the inductive predicate that the minimum of the list l is `minList(l)`. We now show both the base case and inductive case.

Base case $\text{len}(l) = 1$: Note that the length of the list should be at least 1. For the list l_1 where its length is 1, we know that there is only one element in the list, which is of course the only one element would be the

minimum of the given list. And because the length of the list l_1 is 1, the conditional statement in the #2 codeline of `minList(intList)` will be true, so `minList(l_1)` will return the only one element in the list by #3 codeline. Thus $P(l_1)$ where l_1 is the list with its length is 1 holds.

Inductive case : For the inductive hypothesis, assume $P(l_2)$ holds for an arbitrary list l_2 of length $n \geq 1$. We want to show that an arbitrary list l_3 of length $n + 1$ also holds by returning the minimum of the list l_3 . Because by #4 codeline where it would extract the last element in list l_3 , the length of list l_3 will turn into n , which is the same length with l_2 . And by the inductive hypothesis, we know that with #5 codeline being run, the minimum of list l_3 , which its length was reduced to n , will be correctly saved in the `minVal` variable. We now proceed by cases on whether `minVal < endElt`:

Subcase `minVal < endElt`: Suppose `minVal < endElt`. Then we know that this means the minimum of the list l_3 , which its length was reduced to n , is less than the extracted element `endElt`. Therefore, we witness that `minVal` is the minimum of the original list l_3 with its length $n + 1$. The conditional statement in #6 codeline will be true and therefore the `minList(intList)` function returns `minVal` by running the #7 codeline. Thus $P(l_3)$ where l_3 is the list with its length $n + 1$ holds.

Subcase `minVal ≥ endElt`: Suppose `minVal ≥ endElt`. Then we know that this means the extracted element `endElt` is less than or equal to the minimum of the list l_3 , which its length was reduced to n . Therefore, we witness that `endElt` is the minimum of the original list l_3 with its length $n + 1$. The conditional statement in #6 codeline will be false, which makes the #8 codeline being run and the `minList(intList)` function returns `endElt` by running the #9 codeline. Thus $P(l_3)$ where l_3 is the list with its length $n + 1$ also holds in this case too.

Conclusion: Because both the base case and inductive case hold, induction allows us to conclude that for all lists of length $n \geq 1$, the minimum of the list l is `minList(l)`.

□

8.29 Show that it is possible to use postage stamps of values 3 and 5 to make any amount of postage $n \geq 8$.

Proof. The statement can be proven by strong induction, letting the inductive predicate $P(n)$ be defined as it is possible to use postage stamps of values 3 and 5 to make any amount of postage $n \geq 8$.

Base case $n = 8$: To show that $P(8)$ holds, first observe that $8 = 3 + 5$. Then observe that it is possible to make 8 amounts of postage by using one postage stamp of value 3 and one postage stamp of value 5. These two observations show that $P(8)$ holds.

Base case $n = 9$: To show that $P(9)$ holds, first observe that $9 = 3+3+3$. Then observe that it is possible to make 9 amounts of postage by using three postage stamps of value 3. These two observations show that $P(9)$ holds.

Base case $n = 10$: To show that $P(10)$ holds, first observe that $10 = 5+5$. Then observe that it is possible to make 10 amounts of postage by using two postage stamps of value 5. These two observations show that $P(10)$ holds.

Inductive case : Assume for the inductive hypothesis that, for some $n \geq 10$, $P(k)$ holds for all $8 \leq k \leq n$, where k can be expressed with nonnegative integers x and y as follows:

$$k = 3x + 5y.$$

We now want to show that $P(n+1)$ holds. First, we know that $n-2 \geq 8$ since $n \geq 10$ and by the inductive hypothesis, we can express $n-2$ with nonnegative integers x and y as follows:

$$n-2 = 3x + 5y \quad [\text{I H}].$$

Second observe that by using one more postage stamp of value 3 allows us to observe the following equalities:

$$\begin{aligned} (n-2) + 3 &= n+1 && [\text{math}] \\ &= 3x + 5y + 3 && [\text{I H}] \\ &= 3(x+1) + 5y && [\text{math}]. \end{aligned}$$

We know that $x+1$ and y are nonnegative integers, thus $P(n+1)$ holds.

Conclusion: Thus, by strong induction, we can conclude that it is possible to use postage stamps of values 3 and 5 to make any amount of postage $n \geq 8$. \square

The n^{th} Fibonacci number is given by $F(n)$, which is defined by the following recursive recurrence equation:

$$F(n) = \begin{cases} 0 & n = 0 \\ 1 & n = 1 \\ F(n-1) + F(n-2) & n \geq 2 \end{cases}$$

For this task, show that $F(n+1) \geq 1.5 \cdot F(n)$ for all $n \geq 2$.

Proof. The statement can be proven by strong induction, letting the inductive predicate $P(n)$ be defined as $F(n+1) \geq 1.5 \cdot F(n)$.

Base case $n = 2$: For the base case, when $n = 2$, observe the following equalities:

$$\begin{aligned} F(3) &= F(2) + F(1) && [\text{F def}] \\ &= F(1) + F(0) + F(1) && [\text{F def}] \\ &= 2 && [\text{math}]. \end{aligned}$$

Now observe the following equalities:

$$\begin{aligned} F(2) &= F(1) + F(0) && [\text{F def}] \\ &= 1 && [\text{math}]. \end{aligned}$$

We now know that $F(3) = 2$ and $F(2) = 1$, we can witness that $F(3) \geq 1.5 \cdot F(2)$, and so $P(2)$ holds.

Base case $n = 3$: For the base case, when $n = 3$, observe the following equalities:

$$\begin{aligned} F(4) &= F(3) + F(2) && [\text{F def}] \\ &= 3 && [\text{as } F(3) = 2 \text{ and } F(2) = 1]. \end{aligned}$$

We now know that $F(4) = 3$ and $F(3) = 2$, we can witness that $F(4) \geq 1.5 \cdot F(3)$, and so $P(3)$ holds.

Inductive case : Assume for the inductive hypothesis that, for some natural $n \geq 3$, $P(k)$ holds for all $2 \leq k \leq n$. We then want to show that $P(n+1)$ holds, i.e., that $F(n+2) \geq 1.5 \cdot F(n+1)$.

We know that by the given definition of $F(n)$, we can observe the following equality:

$$F(n+2) = F(n+1) + F(n) \quad [\text{F def}].$$

So to show that $P(n+1)$ holds, we need to show the following inequality:

$$F(n+1) + F(n) \geq 1.5 \cdot F(n+1).$$

By the inductive hypothesis, observe the following inequalities that they hold when $k = n-1$:

$$\begin{aligned} F(n) &\geq 1.5 \cdot F(n-1) && [\text{I H}] \\ \iff F(n-1) &\leq \frac{F(n)}{1.5} = \frac{2}{3} \cdot F(n) && [\text{math}]. \end{aligned}$$

As we know that $F(n+1) = F(n) + F(n-1)$ by definition, observe the following inequality:

$$\begin{aligned} F(n+1) &= F(n) + F(n-1) \leq F(n) + \frac{2}{3} \cdot F(n) && [\text{I H}] \\ &= \frac{5}{3} \cdot F(n) && [\text{math}]. \end{aligned}$$

We can rewrite the above inequality as

$$F(n) \geq \frac{3}{5} \cdot F(n+1) \quad [\text{math}].$$

Now, observe the following inequality:

$$F(n+2) = F(n+1) + F(n) \geq F(n+1) + \frac{3}{5} \cdot F(n+1) = 1.6 \cdot F(n+1) \quad [\text{math}].$$

Observe that $1.6 \cdot F(n+1) \geq 1.5 \cdot F(n+1)$.

Therefore,

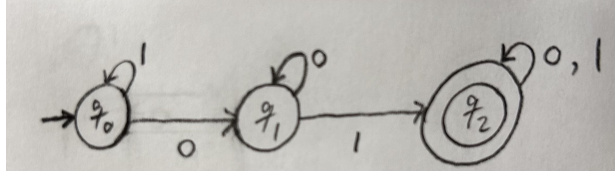
$$F(n+2) \geq 1.6 \cdot F(n+1) \geq 1.5 \cdot F(n+1) \quad [\text{math}].$$

Thus, $P(n+1)$ holds.

Conclusion: Thus, by strong induction, we can conclude that $F(n+1) \geq 1.5 \cdot F(n)$ for all $n \geq 2$. \square

Draw DFAs with as few states as possible for each of the following languages and then prove that they accept that language.

9.3 $\{w \in \{0,1\}^* : w \text{ has } 01 \text{ as a substring}\}$



Proof. This statement is proven by mutual induction using the following 3 predicates:

$A(n) := \forall w \in \{0,1\}^*. |w| = n \rightarrow \left(\hat{\delta}(q_0, w) = q_0 \leftrightarrow w \text{ doesn't have } 01 \text{ as a substring and doesn't end with } 0 \right)$

$B(n) := \forall w \in \{0,1\}^*. |w| = n \rightarrow \left(\hat{\delta}(q_0, w) = q_1 \leftrightarrow w \text{ doesn't have } 01 \text{ as a substring and ends with } 0 \right)$

$C(n) := \forall w \in \{0,1\}^*. |w| = n \rightarrow \left(\hat{\delta}(q_0, w) = q_2 \leftrightarrow w \text{ has } 01 \text{ as a substring} \right)$

Base case $n = 0$: Let w be an arbitrary string over the alphabet $\{0,1\}$ that is of length 0. Then we know that $w = \lambda$ and $\hat{\delta}(q_0, w) = \hat{\delta}(q_0, \lambda)$. By definition of $\hat{\delta}$, we find that $\hat{\delta}(q_0, \lambda) = q_0$.

Thus, both sides of $A(0)$'s biconditional are satisfied, rendering $A(0)$ true. At the same time, since $q_0 \neq q_1$, $q_0 \neq q_2$, λ does not end with 0, and λ does not have 01 as a substring, both sides of $B(0)$'s biconditional are false and

both sides of $C(0)$'s biconditional are false, rendering $B(0)$ and $C(0)$ true.

Inductive case : Suppose for the inductive hypothesis that all of $A(n)$, $B(n)$, and $C(n)$ hold for some natural n . We want to show each of $A(n+1)$, $B(n+1)$, and $C(n+1)$.

Let w be an arbitrary string over the alphabet $\{0, 1\}$ of length $n+1$. Because $n+1 \geq 1$, it must be that $w = vc$ for some string $v \in \{0, 1\}^*$ of length n and $c \in \{0, 1\}$.

The proof now proceeds by cases over the result of $\hat{\delta}(q_0, v)$ and the identity of c .

Subcase $\hat{\delta}(q_0, v) = q_0$ and $c = 0$: Suppose that $\hat{\delta}(q_0, v) = q_0$ and $c = 0$. Observe then the following:

$$\begin{aligned} \hat{\delta}(q_0, w) &= \hat{\delta}(q_0, v0) && [w = vc, c = 0] \\ &= \delta(\hat{\delta}(q_0, v), 0) && [\hat{\delta} \text{ def}] \\ &= \delta(q_0, 0) && [\hat{\delta}(q_0, v) = q_0] \\ &= q_1 && [\delta \text{ def}]. \end{aligned}$$

Further, because $\hat{\delta}(q_0, v) = q_0$, the inductive hypothesis tells us that v does not have 01 as a substring and does not end with 0. Thus, we know that $w = v0$ does not have 01 as a substring and ends with 0.

This leaves both sides of $B(n+1)$'s biconditional true, rendering $B(n+1)$ true.

At the same time, because $\hat{\delta}(q_0, w)$ is not q_0 or q_2 and w does not have 01 as a substring and ends with 0, both sides of $A(n+1)$ and $C(n+1)$'s biconditionals are false, rendering both $A(n+1)$ and $C(n+1)$ true.

Subcase $\hat{\delta}(q_0, v) = q_0$ and $c = 1$: Suppose that $\hat{\delta}(q_0, v) = q_0$ and $c = 1$. Observe then the following:

$$\begin{aligned} \hat{\delta}(q_0, w) &= \hat{\delta}(q_0, v1) && [w = vc, c = 1] \\ &= \delta(\hat{\delta}(q_0, v), 1) && [\hat{\delta} \text{ def}] \\ &= \delta(q_0, 1) && [\hat{\delta}(q_0, v) = q_0] \\ &= q_0 && [\delta \text{ def}]. \end{aligned}$$

Further, because $\hat{\delta}(q_0, v) = q_0$, the inductive hypothesis tells us that v does not have 01 as a substring and does not end with 0. Thus, we know that $w = v1$ does not have 01 as a substring and doesn't end with 0.

This leaves both sides of $A(n+1)$'s biconditional true, rendering $A(n+1)$ true.

At the same time, because $\hat{\delta}(q_0, w)$ is not q_1 or q_2 and w does not have 01 as a substring and doesn't end with 0, both sides of $B(n+1)$ and $C(n+1)$'s biconditionals are false, rendering both $B(n+1)$ and $C(n+1)$ true.

Subcase $\hat{\delta}(q_0, v) = q_1$ and $c = 0$: Suppose that $\hat{\delta}(q_0, v) = q_1$ and $c = 0$. Observe then the following:

$$\begin{aligned}
\hat{\delta}(q_0, w) &= \hat{\delta}(q_0, v0) & [w = vc, c = 0] \\
&= \delta(\hat{\delta}(q_0, v), 0) & [\hat{\delta} \text{ def}] \\
&= \delta(q_1, 0) & [\hat{\delta}(q_0, v) = q_1] \\
&= q_1 & [\delta \text{ def}].
\end{aligned}$$

Further, because $\hat{\delta}(q_0, v) = q_1$, the inductive hypothesis tells us that v does not have 01 as a substring and ends with 0. Thus, we know that $w = v0$ does not have 01 as a substring and ends with 0. This leaves both sides of $B(n+1)$'s biconditional true, rendering $B(n+1)$ true.

At the same time, because $\hat{\delta}(q_0, w)$ is not q_0 or q_2 and w does not have 01 as a substring and ends with 0, both sides of $A(n+1)$ and $C(n+1)$'s biconditionals are false, rendering both $A(n+1)$ and $C(n+1)$ true.

Subcase $\hat{\delta}(q_0, v) = q_1$ and $c = 1$: Suppose that $\hat{\delta}(q_0, v) = q_1$ and $c = 1$. Observe then the following:

$$\begin{aligned}
\hat{\delta}(q_0, w) &= \hat{\delta}(q_0, v1) & [w = vc, c = 1] \\
&= \delta(\hat{\delta}(q_0, v), 1) & [\hat{\delta} \text{ def}] \\
&= \delta(q_1, 1) & [\hat{\delta}(q_0, v) = q_1] \\
&= q_2 & [\delta \text{ def}].
\end{aligned}$$

Further, because $\hat{\delta}(q_0, v) = q_1$, the inductive hypothesis tells us that v does not have 01 as a substring and ends with 0. Thus, we know that $w = v1$ has 01 as a substring.

This leaves both sides of $C(n+1)$'s biconditional true, rendering $C(n+1)$ true.

At the same time, because $\hat{\delta}(q_0, w)$ is not q_0 or q_1 and w has 01 as a substring, both sides of $A(n+1)$ and $B(n+1)$'s biconditionals are false, rendering both $A(n+1)$ and $B(n+1)$ true.

Subcase $\hat{\delta}(q_0, v) = q_2$ and $c = 0$: Suppose that $\hat{\delta}(q_0, v) = q_2$ and $c = 0$.

Observe then the following:

$$\begin{aligned}
\hat{\delta}(q_0, w) &= \hat{\delta}(q_0, v0) & [w = vc, c = 0] \\
&= \delta(\hat{\delta}(q_0, v), 0) & [\hat{\delta} \text{ def}] \\
&= \delta(q_2, 0) & [\hat{\delta}(q_0, v) = q_2] \\
&= q_2 & [\delta \text{ def}].
\end{aligned}$$

Further, because $\hat{\delta}(q_0, v) = q_2$, the inductive hypothesis tells us that v has 01 as a substring. Thus, we know that $w = v0$ has 01 as a substring. This leaves both sides of $C(n+1)$'s biconditional true, rendering $C(n+1)$ true.

At the same time, because $\hat{\delta}(q_0, w)$ is not q_0 or q_1 and w has 01 as a substring, both sides of $A(n+1)$ and $B(n+1)$'s biconditionals are false, rendering both $A(n+1)$ and $B(n+1)$ true.

Subcase $\hat{\delta}(q_0, v) = q_2$ and $c = 1$: Suppose that $\hat{\delta}(q_0, v) = q_2$ and $c = 1$. Observe then the following:

$$\begin{aligned}
\hat{\delta}(q_0, w) &= \hat{\delta}(q_0, v1) & [w = vc, c = 1] \\
&= \delta(\hat{\delta}(q_0, v), 1) & [\hat{\delta} \text{ def}] \\
&= \delta(q_2, 1) & [\hat{\delta}(q_0, v) = q_2] \\
&= q_2 & [\delta \text{ def}].
\end{aligned}$$

Further, because $\hat{\delta}(q_0, v) = q_2$, the inductive hypothesis tells us that v has 01 as a substring. Thus, we know that $w = v1$ has 01 as a substring. This leaves both sides of $C(n+1)$'s biconditional true, rendering $C(n+1)$ true.

At the same time, because $\hat{\delta}(q_0, w)$ is not q_0 or q_1 and w has 01 as a substring, both sides of $A(n+1)$ and $B(n+1)$'s biconditionals are false, rendering both $A(n+1)$ and $B(n+1)$ true.

Conclusion : Thus, by mutual induction, $A(n)$, $B(n)$, and $C(n)$ hold for all naturals n .

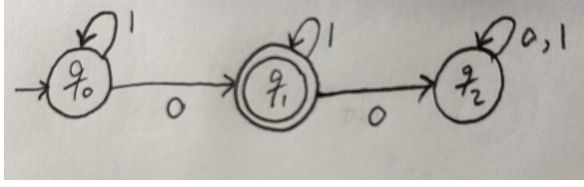
Now observe that the following identities hold for the language of the automaton M :

$$\begin{aligned}
\mathcal{L}(M) &= \{w \in \{0, 1\}^* \mid \hat{\delta}(q_0, w) \in \{q_2\}\} & [\mathcal{L} \text{ def}] \\
&= \{w \in \{0, 1\}^* \mid \hat{\delta}(q_0, w) = q_2\} & [\text{logic}] \\
&= \{w \in \{0, 1\}^* \mid w \text{ has 01 as a substring}\} & [C(|w|)]
\end{aligned}$$

This confirms the desired identity for the language of M .

□

9.12 $\{w \in \{0,1\}^* : w \text{ has exactly one } 0\}$



Proof. This statement is proven by mutual induction using the following 3 predicates:

$$A(n) := \forall w \in \{0,1\}^*. |w| = n \rightarrow (\hat{\delta}(q_0, w) = q_0 \leftrightarrow w \text{ has no } 0)$$

$$B(n) := \forall w \in \{0,1\}^*. |w| = n \rightarrow (\hat{\delta}(q_0, w) = q_1 \leftrightarrow w \text{ has exactly one } 0)$$

$$C(n) := \forall w \in \{0,1\}^*. |w| = n \rightarrow (\hat{\delta}(q_0, w) = q_2 \leftrightarrow w \text{ has at least two } 0s)$$

Base case $n = 0$: Let w be an arbitrary string over the alphabet $\{0,1\}$ that is of length 0. Then we know that $w = \lambda$ and $\hat{\delta}(q_0, w) = \hat{\delta}(q_0, \lambda)$. By definition of $\hat{\delta}$, we find that $\hat{\delta}(q_0, \lambda) = q_0$.

Thus, both sides of $A(0)$'s biconditional are satisfied, rendering $A(0)$ true. At the same time, since $q_0 \neq q_1, q_0 \neq q_2, \lambda$ does not have exactly one 0, and λ does not have at least two 0s, both sides of $B(0)$'s biconditional are false and both sides of $C(0)$'s biconditional are false, rendering $B(0)$ and $C(0)$ true.

Inductive case : Suppose for the inductive hypothesis that all of $A(n), B(n)$, and $C(n)$ hold for some natural n . We want to show each of $A(n+1), B(n+1)$, and $C(n+1)$.

Let w be an arbitrary string over the alphabet $\{0,1\}$ of length $n+1$. Because $n+1 \geq 1$, it must be that $w = vc$ for some string $v \in \{0,1\}^*$ of length n and $c \in \{0,1\}$.

The proof now proceeds by cases over the result of $\hat{\delta}(q_0, v)$ and the identity of c .

Subcase $\hat{\delta}(q_0, v) = q_0$ and $c = 0$: Suppose that $\hat{\delta}(q_0, v) = q_0$ and $c = 0$. Observe then the following:

$$\begin{aligned} \hat{\delta}(q_0, w) &= \hat{\delta}(q_0, v0) && [w = vc, c = 0] \\ &= \delta(\hat{\delta}(q_0, v), 0) && [\hat{\delta} \text{ def}] \\ &= \delta(q_0, 0) && [\hat{\delta}(q_0, v) = q_0] \\ &= q_1 && [\delta \text{ def}]. \end{aligned}$$

Further, because $\hat{\delta}(q_0, v) = q_0$, the inductive hypothesis tells us that v has no 0. Thus, we know that $w = v0$ has exactly one 0.

This leaves both sides of $B(n+1)$'s biconditional true, rendering $B(n+1)$ true.

At the same time, because $\hat{\delta}(q_0, w)$ is not q_0 or q_2 and w has exactly one 0, both sides of $A(n+1)$ and $C(n+1)$'s biconditionals are false, rendering both $A(n+1)$ and $C(n+1)$ true.

Subcase $\hat{\delta}(q_0, v) = q_0$ and $c = 1$: Suppose that $\hat{\delta}(q_0, v) = q_0$ and $c = 1$. Observe then the following:

$$\begin{aligned}\hat{\delta}(q_0, w) &= \hat{\delta}(q_0, v1) && [w = vc, c = 1] \\ &= \delta(\hat{\delta}(q_0, v), 1) && [\hat{\delta} \text{ def}] \\ &= \delta(q_0, 1) && [\hat{\delta}(q_0, v) = q_0] \\ &= q_0 && [\delta \text{ def}].\end{aligned}$$

Further, because $\hat{\delta}(q_0, v) = q_0$, the inductive hypothesis tells us that v has no 0. Thus, we know that $w = v1$ has no 0.

This leaves both sides of $A(n+1)$'s biconditional true, rendering $A(n+1)$ true.

At the same time, because $\hat{\delta}(q_0, w)$ is not q_1 or q_2 and w has no 0, both sides of $B(n+1)$ and $C(n+1)$'s biconditionals are false, rendering both $B(n+1)$ and $C(n+1)$ true.

Subcase $\hat{\delta}(q_0, v) = q_1$ and $c = 0$: Suppose that $\hat{\delta}(q_0, v) = q_1$ and $c = 0$. Observe then the following:

$$\begin{aligned}\hat{\delta}(q_0, w) &= \hat{\delta}(q_0, v0) && [w = vc, c = 0] \\ &= \delta(\hat{\delta}(q_0, v), 0) && [\hat{\delta} \text{ def}] \\ &= \delta(q_1, 0) && [\hat{\delta}(q_0, v) = q_1] \\ &= q_2 && [\delta \text{ def}].\end{aligned}$$

Further, because $\hat{\delta}(q_0, v) = q_1$, the inductive hypothesis tells us that v has exactly one 0. Thus, we know that $w = v0$ has at least two 0s.

This leaves both sides of $C(n+1)$'s biconditional true, rendering $C(n+1)$ true.

At the same time, because $\hat{\delta}(q_0, w)$ is not q_0 or q_1 and w has at least two 0s, both sides of $A(n+1)$ and $B(n+1)$'s biconditionals are false, rendering both $A(n+1)$ and $B(n+1)$ true.

Subcase $\hat{\delta}(q_0, v) = q_1$ and $c = 1$: Suppose that $\hat{\delta}(q_0, v) = q_1$ and $c = 1$.

Observe then the following:

$$\begin{aligned}
\hat{\delta}(q_0, w) &= \hat{\delta}(q_0, v1) & [w = vc, c = 1] \\
&= \delta(\hat{\delta}(q_0, v), 1) & [\hat{\delta} \text{ def}] \\
&= \delta(q_1, 1) & [\hat{\delta}(q_0, v) = q_1] \\
&= q_1 & [\delta \text{ def}].
\end{aligned}$$

Further, because $\hat{\delta}(q_0, v) = q_1$, the inductive hypothesis tells us that v has exactly one 0. Thus, we know that $w = v1$ has exactly one 0. This leaves both sides of $B(n+1)$'s biconditional true, rendering $B(n+1)$ true.

At the same time, because $\hat{\delta}(q_0, w)$ is not q_0 or q_2 and w has exactly one 0, both sides of $A(n+1)$ and $C(n+1)$'s biconditionals are false, rendering both $A(n+1)$ and $C(n+1)$ true.

Subcase $\hat{\delta}(q_0, v) = q_2$ and $c = 0$: Suppose that $\hat{\delta}(q_0, v) = q_2$ and $c = 0$. Observe then the following:

$$\begin{aligned}
\hat{\delta}(q_0, w) &= \hat{\delta}(q_0, v0) & [w = vc, c = 0] \\
&= \delta(\hat{\delta}(q_0, v), 0) & [\hat{\delta} \text{ def}] \\
&= \delta(q_2, 0) & [\hat{\delta}(q_0, v) = q_2] \\
&= q_2 & [\delta \text{ def}].
\end{aligned}$$

Further, because $\hat{\delta}(q_0, v) = q_2$, the inductive hypothesis tells us that v has at least two 0s. Thus, we know that $w = v0$ has at least two 0s. This leaves both sides of $C(n+1)$'s biconditional true, rendering $C(n+1)$ true.

At the same time, because $\hat{\delta}(q_0, w)$ is not q_0 or q_1 and w has at least two 0s, both sides of $A(n+1)$ and $B(n+1)$'s biconditionals are false, rendering both $A(n+1)$ and $B(n+1)$ true.

Subcase $\hat{\delta}(q_0, v) = q_2$ and $c = 1$: Suppose that $\hat{\delta}(q_0, v) = q_2$ and $c = 1$. Observe then the following:

$$\begin{aligned}
\hat{\delta}(q_0, w) &= \hat{\delta}(q_0, v1) & [w = vc, c = 1] \\
&= \delta(\hat{\delta}(q_0, v), 1) & [\hat{\delta} \text{ def}] \\
&= \delta(q_2, 1) & [\hat{\delta}(q_0, v) = q_2] \\
&= q_2 & [\delta \text{ def}].
\end{aligned}$$

Further, because $\hat{\delta}(q_0, v) = q_2$, the inductive hypothesis tells us that v has at least two 0s. Thus, we know that $w = v1$ has at least two 0s.

This leaves both sides of $C(n+1)$'s biconditional true, rendering $C(n+1)$ true.

At the same time, because $\hat{\delta}(q_0, w)$ is not q_0 or q_1 and w has at least two 0s, both sides of $A(n+1)$ and $B(n+1)$'s biconditionals are false, rendering both $A(n+1)$ and $B(n+1)$ true.

Conclusion : Thus, by mutual induction, $A(n)$, $B(n)$, and $C(n)$ hold for all naturals n .

Now observe that the following identities hold for the language of the automaton M :

$$\begin{aligned}\mathcal{L}(M) &= \left\{ w \in \{0,1\}^* \mid \hat{\delta}(q_0, w) \in \{q_1\} \right\} && [\mathcal{L} \text{ def}] \\ &= \left\{ w \in \{0,1\}^* \mid \hat{\delta}(q_0, w) = q_1 \right\} && [\text{logic}] \\ &= \{ w \in \{0,1\}^* \mid w \text{ has exactly one } 0 \} && [B(|w|)]\end{aligned}$$

This confirms the desired identity for the language of M . □