



FIRE DEPARTMENT BUREAU OF FIRE PREVENTION

9 METROTECH CENTER, BROOKLYN, N.Y. 11201-3857

TM-1 APPLICATION FOR TECH MGMT PLAN EXAMINATION/DOCUMENT REVIEW

General Instructions

All design and installation documents as per Fire Code shall be submitted to FDNY for examination. The submission must include a duly completed TM-1 form. All forms must be typed in black or blue color.

Fee for Plan Examination: use Supplement # 1 to calculate total fee and write it down in the box below.

All payments shall be made in money order, check, or credit card, payable to **NYC Fire Department. Do not send cash.**

Submit completed application in person at Window # 8 on the 1st floor, or mail it to the address shown in Supplement # 1.

Note: Fire Alarm Plans must be submitted in person at Window # 8 and resubmissions through Window # 16 on the 1st floor.

Initial Filing Date: _____ Resubmission Date: _____	Total Fee: \$ _____ <i>(as calculated in Supplement # 1)</i>	(FDNY USE ONLY) F P Index No. _____ FPIMS No. _____ Plan Examiner Initials _____
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1	<input type="checkbox"/> NEW SUBMISSION	<input type="checkbox"/> RESUBMISSION (provide the previously assigned FPIMS number and copy of latest objection issued by the respective unit) FPIMS No: _____
2	Design and Installation Documents Submitted to TECHNOLOGY MANAGEMENT (TECH MGMT)	
(Check the appropriate box for type of submission): Fire Alarm/Fire Suppression (Electrical) <input type="checkbox"/> Fire Suppression (Mechanical) <input type="checkbox"/> Plan examination as per FC105.4 <input type="checkbox"/> New Technology/Technical Analysis (incl.FC102.8 & 104.9) <input type="checkbox"/>		
3	DOB/SBS Filing Status (if applicable, see detailed instructions):	
DOB Job Application No: <input type="checkbox"/> SBS Job Application No: <input type="checkbox"/> Copy of PW-1, Schedule A and/or <input type="checkbox"/> Certificate of Occupancy attached		
4	Premises Information (Required for all applications):	
BIN: _____ Block : _____ Building No: _____ Street Name: _____ Lot : _____ Borough: _____ NY ZIP: _____ Work on floor(s): _____ Occupied by: _____ Occupancy classification of the area of work: _____ Business Name : _____ Building Dominant Occupancy Group : _____		
5	Applicant Information (Required for all applications. All fields must be completed):	
Last Name: _____ License Number: _____ First Name: _____ Business Tel: _____ Business Name: _____ Business Fax: _____ Business Address: _____ City: _____ State: _____ Zip: _____ Choose one: <input type="checkbox"/> P. E. <input type="checkbox"/> R. A. <input type="checkbox"/> Building Owner <input type="checkbox"/> Building Manager E-Mail: _____		
6	Filing Representative (Required if different from applicant specified in Section 5):	
Last Name: _____ Reg. No: _____ First Name: _____ Business Tel: _____ Business Name: _____ Business Fax: _____ Business Address: _____ City: _____ State: _____ Zip: _____ E-Mail: _____		

(FDNY USE ONLY)

F P Index No.

FPIMS No.

Plan Examiner Initials _____

7	Building Characteristics and Fire Protection Features:		
Building Height (ft.): _____	Building Stories: _____	Construction Classification: _____	Occupied floor located more than 75 ft above the lowest level of FD vehicle access: <input type="checkbox"/>
Fully Sprinklered <input type="checkbox"/>	Partially Sprinklered <input type="checkbox"/> Identify floor(s) protected _____		Non-Sprinklered <input type="checkbox"/>
8	Classification of Work (Required for Fire Alarm Applications only):		
New <input type="checkbox"/>	Additions/Modifications <input type="checkbox"/>	Post Approval Amendment (PAA) <input type="checkbox"/>	
9	Building Code Applicable To Project (As Required By Construction Codes/DOB Determination) (Required for Fire Alarm Applications only):		
<input type="checkbox"/> 1968	<input type="checkbox"/> 2008	<input type="checkbox"/> 2014	
10	Job Description (Required for all applications. Use separate sheet if necessary):		
11	Filed to Comply with Following Sections of Code, and/or Rules (Required for all applications):		
12	Asbestos Abatement Compliance Choose one . (if applicable, see detailed instructions):		
<input type="checkbox"/> The scope of work requires related asbestos abatement as defined in the rules of the NYC Department of Environmental Protection (DEP). (DEP ACP-7 & ACP-21 Required). <input type="checkbox"/> The scope of work is not an asbestos abatement as defined in the rules of the NYC DEP. DEP Control # is required. DEP ACP-5 Control No. _____. (DEP ACP-5 Required). <input type="checkbox"/> The scope of work exempt from the asbestos requirement as defined in the rules promulgated by the NYC DEP (15 RCNY 1-23 (b)) or is an alteration to a building constructed pursuant to plans submitted for approval on or after April 1, 1987, in accordance with Admin Code 28-106.1. (Certificate of Occupancy Required).			
13	Landmark Building (Required for all applications):		
Yes <input type="checkbox"/> No <input type="checkbox"/> (If yes, provide documentation as per instructions).			
14	Flood Hazard Area (Required for Fire Alarm Applications only):		
Yes <input type="checkbox"/> No <input type="checkbox"/> (If yes, provide documentation as per instructions).			

(FDNY USE ONLY)

F P Index No. _____

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15 Applicant's Statement and Signature *(Required for all applications):*

Falsification of any statement is a misdemeanor under the NYC Administrative Code and is punishable by a fine or imprisonment, or both. It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment, fine, or both.

I prepared or supervised the preparation of the plans and specifications herewith submitted and to the best of my knowledge and belief, the plans and work shown thereon comply with the provisions of the NYC Administrative Code.

I hereby acknowledge that the application fee submitted is non-refundable.

_____ (Print Name) _____ (Signature) _____ (Date)

16 Property Owner Information *(Required for all applications. All fields must be completed):*

Last Name: _____	First Name: _____	Business Tel: _____	
Business Name: _____		Business Fax: _____	
Business Address: _____	City: _____	State: _____	Zip: _____
E-Mail: _____		Mobile Tel: _____	

17 Property Owner's Statement and Signature *(if applicable, see detailed instructions):*

I have affixed my signature below hereto and certify that I am responsible for the entries made in this application filed on the date captured below, and that I have personally reviewed all of the information contained in the application and am attesting it is true and complete to the best of my knowledge.

_____ (Print Name) _____ (Signature) _____ (Date)

Note: In addition to filing this application the applicant is responsible for filing all other necessary applications required by other city, state, and federal laws, rules and regulations.

(FDNY USE ONLY)

Fee Paid <input type="checkbox"/> Amount: _____	Cashier Endorsement: _____
Check No: _____	

Plan assigned to: _____

Approved: <input type="checkbox"/>	Objection(s): _____ Date: _____	Disapproved/Denied: <input type="checkbox"/>
	Resubmission required: <input type="checkbox"/>	

Comment(s)/Stipulation(s):

Examiner: _____ (Signature) _____ (Print Name)



Fire Department • City of New York

Bureau of Fire Prevention

9 MetroTech, Third Floor
Brooklyn, NY 11201-3857

TM-1 (052119-6)

Supplement # 1

Supplement # 1

INSTRUCTIONS FOR COMPLETING TM-1 APPLICATION

General Instructions

- All design and installation documents submitted to the Fire Department for plan examination (as required by the New York City Fire Code or Fire Department rules) must be accompanied by a duly completed TM-1 form.
- A separate Fire Department application must be submitted (and separate application fee paid) for each installation or other work filed under separate application with the New York City Department of Buildings (DOB) or Small Business Services (SBS)
- All fees must be submitted with the application. Fees are non-refundable. See “Application Fee” below for more information.
- All forms must be typed in black or blue ink.
- If additional space is required, please use 8 ½ x 11 sheet and attach to the form.
- Submit completed application:
 - in person - at Window #8, 9 MetroTech Center, Brooklyn, NY 11201(Hrs. Mon to Fri 8AM to 3PM)
 - or by mail (except Fire Alarm applications)- to the following address:

All Technology Management Plans

Fire Department of City of New York
Bureau of Fire Prevention
Technology Management
9 MetroTech Center, Third Floor
Room 3W-2
Brooklyn, NY 11201-3857

Note: In addition to filing this application the applicant is responsible for filing all other necessary applications required by other city, state, and federal laws, rules and regulations.

Detailed Instructions

	Section	Instructions
1	New or Resubmission	Check (X) the appropriate box to indicate the application is new or resubmission. All resubmissions must have the assigned FPIMS # printed on TM-1 and include the latest objection/s issued by the respective unit/s as applicable.
2	Design and Installation Documents Submitted to	Check (X) the appropriate box to indicate the type and the unit the application will be submitted to.
3	DOB/SBS Filing Status	Provide DOB/SBS application number and copy of the PW-1 application for all scopes of work that include new buildings or change of use/occupancy. Copy of approved PW-1A (Schedule A) or copy of Certificate of Occupancy (CO) shall also be submitted for all fire alarm plan applications.
4	Premises Information	Indicate building number, street name, borough, zip code, and BIN #. BIN is Building Information Number issued by the Department of Buildings and must be submitted for all applications. Must include all floors of work, name of the tenant/s if applicable and occupancy classification of the area of work. Provide the building occupancy group or dominant occupancy of the building. Provide business name.
5	Applicant Information	Provide the name, business name, address, telephone, and e-mail of the applicant. License number is the Engineer's or the Architect's license number issued by New York State for PE or RA. Choose if the applicant is P.E., R.A., building owner or building manager.

6	Filing Representative	Provide name, business address, telephone, e-mail and Registration Number (Reg. No.) of the filing representative. Registration Number is the number issued by NYC Fire Department as filing representative (Expeditor).
7	Building Characteristics and Fire Protection Features	Indicate the height of the building, number of stories and type of construction, and if the building is located in an area of special flood hazard. Indicate if building is fully sprinklered, partially sprinklered, or non-sprinklered. If partial sprinklered protection is provided, indicate the floors that are protected by sprinklers.
8	Classification of Work	Check (X) the appropriate box to indicate whether the plan submission is new, additions/ modifications, or post approval amendment (PAA). If it is a PAA, indicate the document number and in job description (Section 10) include the reason for the PAA request. This section must be completed for all Fire Alarm applications.
9	Building Code Applicable To Project	Check (X) the appropriate review request. This section must be completed for all Fire Alarm applications.
10	Job Description	Give a detailed description of job. Use additional sheets if necessary. For fire alarm applications: Describe the type of system proposing to install as per Building Code/Fire Code for all "FA" applications.
11	Filed to comply with section of Code, Rules	Indicate the section of the code or rule. If additional factors to be considered, please specify. Use additional sheets if necessary.
12	Asbestos Abatement Compliance	Complete section and attach respective DEP Forms ACP 5 or ACP 7 & ACP 21 OR Certificate of Occupancy. Required for Fire Alarm and Non-Water Fire Suppression applications.
13	Landmarks Section	If marked yes: For Interior Landmarks, provide Landmarks approval. For Individual Landmarks & buildings in Historic Districts, provide documentation signed and stamped by P.E. or R.A. stating "Scope of work is under exemptions for Landmarks approval and the exterior will not be altered in any way." Statement may be on separate sheet of paper or on plans. If the exterior will be altered, provide Landmarks approval.
14	Flood Hazard Area	If yes, provide statement on plans; "Design is in compliance with NYC DOB Building Code Appendix G." Required for Fire Alarm applications only.
15	Applicant's Statement and Signature	Applicant must sign and date the application.
16	Property Owner Information	Provide the name, business name, address, telephone, fax, and e-mail of the property owner.
17	Property Owner's Statement and Signature	Owner must sign and date the application.

Application Fees: Choose type of your plan as indicated below and submit appropriate fees with each application:

1	Plan Examination FC 105.4	\$420
2	Fire Alarm Plan	\$420
3	Emergency Alarm Plan FC 908	\$420
4	Document Review	\$420
5	Fire Suppression Plan (mechanical portion)	\$420
6	New Technology/Technical Analysis including FC102.8 & FC104.9	\$525 (in addition to Plan Examination Fee)
7	Document Processing Fee	\$165 (in addition to other applicable fees)
***Late Plan Filing: 50% to 100% surcharge (based on filing delay) ***		