**UNIVERSITY OF CHESTER**

**CHESTER BUSINESS SCHOOL**

**Research Ethics Form 2022/23**

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| **Please refer to document “Ethical practice Guidelines 2022/23” before completing this form. (**[**link**](https://portal1.chester.ac.uk/FacultyofBusinessandManagement/pages/research.aspx)**)** |

**Guidelines for completion**

Please note you must not embark on your research until you have ethical approval

Insufficient detail will result in this application being returned to the student for further consideration.

Check your programme/ module guidance for specific issues relating to this process

Please note that your answers must be typed. Please expand the sections below.

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| **Section A: To be completed by student** | | | | | |
| **Section A1 Project outline** | | | | | |
| **Student / researcher name:** | |  | | **Student number:** |  |
| **Lead supervisor name:** | |  | | **Module number:** |  |
| **Programme name:** | |  | | **Site/partner:** |  |
| **Department:** | |  | | **Anticipated end date:** |  |
| **Title of project:** | **IMPACT OF CORPORATE PRIVATISATION ON WORLDWIDE MARKETPLACES** | | | | |
| **Main research question:** | **Research Question**  ● What is the impact of making corporate privatisation on worldwide marketplaces?  ● How to analyse the corporate firms’ activity that might affect worldwide marketplaces?  ● Why is it required for achieving success in worldwide marketplaces by corporate privatisation?  ● What are the risks associated with the corporate sectors from worldwide marketplaces?  ● How can the challenges be mitigated that are associated with corporate sectors due to worldwide marketplaces? | | | | |
| **Research aim:** | **Research Aim**  The research aims to focus on the impact of privatisation on worldwide marketplaces. | | | | |
| **Research objectives:** | **Research objectives**  ● To identify the specific impact of corporate privatisation on worldwide marketplaces  ● To analyse how corporate firms affect the worldwide marketplaces  ● To assess the strategy of achieving success from worldwide marketplaces by corporate privatisation  ● To evaluate the risks in corporate sectors from worldwide marketplaces  ● To mitigate the challenges that are associated with corporate sectors due to worldwide | | | | |
| **Research methodology** | | | | | |
| **Type(s) of data** | | | | | |
| Specify the type(s) of data will be used in this research project. *(for example Primary data, secondary data or mix of both types of data)* | | | **Type of Data**  The study will mostly collect secondary qualitative data in order to analyse the impact of corporate privatisation on the global marketplaces. | | |
| **Source(s) of data** | | | | | |
| Specify the source(s) of the data you intended to collect.  (*for example, from online databases, a specifiedorganisation, classmates, etc.)* | | | **Sources of Data**  The study will mostly consider the peer-reviewed journal articles published on varied authentic databases such as "MDPI", "Research Gate", "Science Direct", "Willey", "IEEE" and "Google Scholar". The Journal articles that are published after 2019 have only been considered as the source of collecting the secondary qualitative data. | | |
| Justify why the above source(s) of data fits for your research context. | | | Accordingly, it can be stated that authentic online databases will mostly serve as the source of data that will be collected for this research purpose | | |
| **Data collection** | | | | | |
| Describe how your data will be collected. (i.e. your data collection method and relevant activities)  *For example: Surveys, interview, focus group, observation, experiment, data downloaded from designated sources or others.* | | | **Data collection method and Activity**  The study will follow a secondary qualitative data collection in order to analyse the impact of corporate privatisation on the worldwide business scenario. Accordingly, the study will collect secondary peer-reviewed journal articles from authentic databases. In this regard, the study will focus on the inclusion of the relevant keywords related to the key research area. The study will derive significant keywords from the major variables of the research objectives and apply them to the online databases with the help of the ***Boolean Table*** operator. In order to streamline the process of data collection, the study will also apply a ***PRISMA framework*** to select the most suitable articles that meet the research objectives and then answer the research questions. | | |
| Justify why the above data collection method(s)fits for your research context. | | | **Justification of Data collection method**  The inclusion of secondary data collection has become effective in maintaining its time and cost efficiency. According to the findings of Myers (2020), secondary data collection allows the study to gain access to a huge amount of existing databases in relatively shorter time duration and maintain its cost-effectiveness. In addition, the inclusion of secondary data has also helped to increase the validity of the outcomes as it relies on pre-published and authentic data. On the other hand, as per the views of Mergel *et al.* (2019), qualitative findings become essential for deriving a real-time and deeper insight related to key research areas and providing solutions to real-life problems. As a result, considering secondary qualitative data will help the study to analyse the contemporary scenario of corporate privatisation in the business world and develop a theoretical perspective on its identified challenges. | | |
| If your data collection involves human respondents, describe how prospective participants have been identified and selected for contact regarding potential recruitment.Specify how they will be invited to participate your research project.  *(Please also provide a summary on how many participants there are likely to be, where the research will take place)* | | | NA | | |
| Specify your sample size if applicable  (No. ofrespondents, etc.) | | | **Sampling Size and Technique**  The study will follow a "Self-selection Non-probability sampling" in order to streamline data collection and select the most suitable samples for collecting data. According to the observations of Abrigo and Torres (2022), "Self-selection Non-probability sampling" helps to streamline data collection and sampling by reducing search time and search units based on pre-approved inclusion and exclusion criteria. As a result, the study will also follow a set of inclusion and exclusion criteria while selecting the samples from a vast database. For instance, journal articles published before 2019 will be excluded. On the other hand, articles that include relevant keywords such as "Corporate", "privatisation" and "world marketplace" will be included. Similarly, articles that are not accessible in English Pdf format will also be excluded. Following this sampling technique, the study will collect 15 journal articles as samples of the study. | | |
| **Data analysis** | | | | | |
| Describe how you are going to analyse the collected data.  *(i.e. data analysis approach and method. For example, Qualitative (i.e. thematic analysis, content analysis) and Quantitative (i.e. statistical analysis; content analysis etc.))* | | | **Data Analysis Method**  The study will follow a Thematic analysis in order to analyse the collected secondary qualitative data regarding the impact of corporate privatisation on the world marketplace. In order to conduct the thematic analysis, the study will derive significant variables from the research objectives and identify the major keywords from the collected articles. Accordingly, the study will codify these variables of research objectives along with the relevant keywords and develop themes in respect of the key research questions. Thus, the study will analyse the collected data in respect of the developed themes so that the underlying patterns within the collected samples can be met in respect of the research questions. | | |
| Specify the data analysis tool(s) you planned to use in this study.  *For example, SPSS, MS Excel, Nvivo, etc.* | | | NA | | |
| Justify your data analysis method and your chosen analysis tools. | | | **Justification for Data Analysis Choices**  Incorporating a thematic analysis has been effective in meeting the research objectives of this study and fulfilling the research purpose. As per the findings of Lochmiller (2021), the purpose of thematic analysis is to identify the underlying patterns of the collected data so that the research questions can be addressed properly. As a result, following the thematic analysis has helped this study to identify the major themes related to the contemporary risks associated with corporate privatisation and assess their impact on the world marketplace. In addition, Byrne (2022) has opined that thematic analysis becomes effective in developing a crucial view of varied opinions and values within a study. As a result, performing a thematic analysis of the collected secondary data will help the study to gain a critical overview of corporate privatisation in the world marketplace and establish objective findings. | | |
| **Data storage and disclosure** | | | | | |
| Describe in what way(s) you are going to store and secure your collected data during and after the research,including data transfer, sharing, encryption, protection. | | | **Data Storage and Disclosure**  The study will store the collected data within a separate folder and secure it with a unique and strong password in order to avoid the risks of cyber security. The unique password will help to reduce the threat of password theft and ensure an end-to-end data encryption during data transfer. In addition, the study will also create an additional soft copy of the collected data with help of cloud-storage. This will help to prevent any additional threat of malware attcak or password theft as well. | | |
| Describe in what way(s) you are going toprotect the identity and privacy of people and /or organisation(s) involved in your research. | | | NA | | |

**Reference List**

Abrigo, C.M. & Torres Jr, E., (2022). Change-readiness scale for library managers: development and analysis. https://docs.lib.purdue.edu/cgi/viewcontent.cgi?article=2325&context=iatul

Byrne, D., (2022). A worked example of Braun and Clarke’s approach to reflexive thematic analysis. *Quality & quantity*, *56*(3), pp.1391-1412. https://link.springer.com/article/10.1007/s11135-021-01182-y

Lochmiller, C.R., (2021). Conducting Thematic Analysis with Qualitative Data. *Qualitative Report*, *26*(6). https://pdfs.semanticscholar.org/e8eb/90dd8b21ae34045c78287df44956bffaf66d.pdf

Mergel, I., Edelmann, N. & Haug, N., (2019). Defining digital transformation: Results from expert interviews. *Government information quarterly*, *36*(4), p.101385. https://www.sciencedirect.com/science/article/pii/S0740624X18304131

Myers, C.A., (2020). Food insecurity and psychological distress: a review of the recent literature. *Current nutrition reports*, *9*, pp.107-118. https://link.springer.com/article/10.1186/s13063-023-07165-8

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| **Section A2: Supporting documents** | |
| **Notes to read before you complete this section**  It is recommended that you do not conduct research within the NHS given the time that the process can take for approval from the ‘Integrated Research Application System’ (IRAS). However if you are required to do so on your work-based programme, please seek your tutor’s and your line manager’s guidance as to the most effective route for approval. | |
| **A2.1 Research outside England**  In the event that a student intends to conduct research outside of England, they will be subject to the local ethical review regulations of each country in which they intend to carry out the research. This applies to online research as well. They may also require additional approvals via an appropriate review procedure in each country. It will be the responsibility of the student to ensure that they obtain the necessary authority to conduct research outside of England. | |
| Please select only one of the below items | Tick the applicable |
| 1. This researchwill not be conducted outside of England. |  |
| 1. This research will be conducted outside of England.Relevant documents such as approvalletter(s) from an authority of each country have been obtained and attached as supporting document for review. |  |
| **A2.2 Research within / for / or about organisation(s)**  If you are undertaking any form of research within / for / or about an organisation you will require written permission of that organisation and this should be submitted with this ethics form (e.g. an email from a line manager). The identity of the organisation should normally be anonymised unless the organisation has agreed to this in their written permission. | |
| Please select only one of the below items | Tick the applicable |
| 1. This research will not be conductedwithin / for / or about organisation(s). |  |
| 1. This research will be conductedwithin / for / or about organisation(s). Relevant documents such as signed official letter(s) of the organisation have been obtained and attached as supporting document for review. |  |
| **A2.3 Research involves human participants**  No research can be conducted with humans unless University of Chester ethical approval has been granted. | |
| Please select only one of the below items | Tick the applicable |
| 1. This research does not involve human participants. |  |
| 1. This research involves human participants. The Participant Information Sheet,Consent Formand other relevant documents have been attached as supporting document for review. In addition, I confirm **no face-to-face** research will be conducted. |  |
| 1. This research involves human participants. The Participant Information Sheet, Consent Form and other relevant documents have been attached as supporting document for review. In addition I confirm **face-to-face will be conducted**. Fuller details in Section A4.1: Risk Assessment – Face-to-Face Primary Data Collection only |  |

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| |  |  | | --- | --- | | **Section A3: Action Plan on ethical issue(s)**  Pleaseaddress ethical issue(s) listed below according to your research context and provide a brief description of the potential ethical issue and relevant action(s) that you will put in place to manage the situation. Please put a N/A for the item(s) not applicable in your research. | | | **Potential ethical issue applicable to your research** | **Your description and relevant action(s) to manage the situation** | | Involves potentially vulnerable participants | NA | | Safeguarding/child and young adult protection *(aged under 18)* | NA | | Risks to participants and/or researchers | NA | | Dissemination and use of findings | NA | | The demands on participants: time and travel requirements, monetary costs | NA | | Collection of recordings such as audio, photographic or video materials | NA | | Any other potential ethical issue or risk, please specify. | NA | | **Section A4.1: Risk Assessment** – Face-to-Face Primary Data Collection only  A risk assessment form needs to be completed in order to do Face-to-Face primary data collection. For Risk Assessment form click this link <https://portal1.chester.ac.uk/rkto/Pages/ResearchDuringC19.aspx>   |  |  | | --- | --- | |  | I confirm that I have received/will seek approval to do Face-to-Face data collection via the Risk Assessment for the work to take place. | | | | **Section A4.2: Governance**  Please give details below on how you plan to deal with any unexpected issues or risks during your project (related to any ethical or other project risk issue). For example, name individuals who you may seek advice or who may be able to offer supporting in managing any issues as they arise. This may also include people who need to be informed of unexpected information related to the Prevent Agenda (see [Prevent Agenda](http://ganymede.chester.ac.uk/index.php?page_id=1752063)). | | | In the case of any unexpected issues or risks occurring during the project, the allocated Supervisor will be my first point of contact. The Module leader and Programme leader should also be contacted. | |   **Section A5: Declaration and signature**  **Declaration**: I believe the information I have given in this form is correct. I have read the attached guidelines and have consulted an appropriate research textbook/source to help me develop an appropriate action plan to address any relevant issues identified. I also confirm that all data/information will be handled and stored in line with the Data Protection Act and GDPR.  I also confirm that I have included for my supervisor and the Ethics Committee to review the Participant Information Sheet and Consent form, both of which must include the following:  **Negligent Harm Statement**  *‘The University does not accept liability for harm which does not result from its negligence. In the event that something does go wrong and a participant is harmed during the research and the harm sustained is due to the negligent acts of those undertaking the research, then the participant may have grounds to bring legal action. Anyone bringing such legal action may incur legal costs.’* |
| **Signed by applicant:**  **Date:** |

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| **Section B: To be completed by Supervisor** | |
| **Peer review approval or Full review by ethics committee** | |
| **Section B1: checklist** | Choose from drown down menu |

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| Expose participants to high levels of risk, or levels of risks beyond those which the participant is likely to encounter in their everyday activities? These risks may be psychological, physical, social, economic, cause legal harm or devalue a person’s self-worth. *For example, untrained volunteers exposed to high levels of physical exertion; participants purposefully exposed to stressful situations; research where participants are persuaded to reveal information which they would not otherwise disclose in the course of everyday life.* | Select |
| Involve the administration of drugs, medicines or nutritional supplements as part of the research design? | Select |
| Include adults who may be classed as vulnerable? *For example, adults with learning disabilities or mental illness; drug/substance users; young offenders; prisoners/probationers; those in a dependent relationship with the researcher.* | Select |
| Include children or young adults (below 18 years of age)? | Select |
| Involve the discussion or disclosure of topics which participants might find sensitive or distressing? *For example, sexual activity; criminal activity; drug use; mental health; previous traumatic experiences; illness; bereavement.* | Select |
| Use questionnaires which focus on highly sensitive areas? *For example, illegal activity; criminal activity; disclosure and analysis of findings based on sensitive personal information as defined by Data Protection Act e.g. racial or ethnic origin; political opinions; religious beliefs; trade union membership; physical or mental health; sexual life.* | Select |
| Incorporate interviews or focus groups which involve the discussion of highly sensitive areas? *For example, illegal activity; criminal activity; disclosure and analysis of findings based on sensitive personal information as defined by Data Protection Act e.g. racial or ethnic origin; political opinions; religious beliefs; trade union membership; physical or mental health; sexual life.* | Select |
| Involve high levels of risks to the researcher?  *For example, lone working at night; interviewing in your own or participants homes, observation in potentially volatile or sensitive situations.* | Select |
| Involve deliberately misleading participants in any way? | Select |
| Involve the collection and/or use of human tissue from healthy volunteers?  *Under these circumstances human tissue is as defined by the Human Tissue Act 2004 - “Any, and all, constituent part/s of the human body formed by cells.” Research studies involving the use of plasma or serum are not covered by the HTA.* | Select |
| For research accessing and analysing existing datasets: will the dataset include information which would allow the identification of individual participants? | Select |
| If you have answered**NO to ALL**of the above checklist itemsthenthe research is eligible for Peer review approval (subjected to a)moderator approval and b)final online checking and confirmation). You can identify any academic colleague in the faculty as a moderator.  Please note that if the assigned reviewer finds that your application has been wrongly submitted for Peer review 1approval or has not been considered as low risk by the ethics committee through periodic sample review, then the supervisor will be notified, and the application will be forwarded for a full review by the ethics committee. This may cause additional delays to the outcome of the application.  If you have answered **YES to ANY**of the above checklist itemsthen this application will be assigned to the Ethics Committee for Full Review. | |

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| **Section B2**  **Options on Peer review approval or Full review by the ethics committee (select one appropriate option)** | |
| **Option 1) This application requires a Full review by the ethics committee**  **I have assessed 1) Section A and Section B1 of this application form and 2) the relevant supporting documents provided by the applicant. I consider this application should be passed on to the ethics committee for review** | |
| **Name of Supervisor:** |  |
| **Signature of Supervisor:** |  |
| **Date:** |  |
| **Option 2) This application is approved under the Peer review approval route and I confirm this application has been reviewed by a moderator.**  The moderator and I both have assessed1)Section A and Section B1 of this application form and 2) the relevant supporting documents provided by the applicant. I confirm that the below moderator and I have both considered this application as low risk and is approved under the Peer review approval route. | |
| **For supervisor to complete** | |
| Please list all the attached supporting documentson this application. |  |
| **Name of Supervisor:** |  |
| **Signature of Supervisor:** |  |
| **Date:** |  |
| **For moderator to complete** | |
| I confirm the above listed additional supporting documents has been reviewed |  |
| Name of Moderator: |  |
| Signature of Moderator: |  |
| Date: |  |

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| **Any comments from supervisor or moderator** |
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