

# Mean Curvature Flow

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# Preface

The goal of this thesis is to give an introduction to mean curvature flow and explore some of its properties. The mean curvature flow is a PDE on a hypersurface immersed in Euclidean space. It is the negative of the area functional, so it flows hypersurface in the direction of their steepest descent of area functional. We explore some properties of this flow and also look at some results giving an idea about its singularities.

## Organization

The thesis is divided into three chapters where the first chapter serves as an introduction to the mean curvature flow. One of the crucial results done here is Huisken's monotonicity formula which describes the limit of type I singularities as a self-shrinker solution.

Following this, we study the asymptotic properties of the flow in the more general mean-convex setting in Chapter 2. Huisken-Sinestrari proved that asymptotically the flow converges to a weakly convex hypersurface.

Chapter 3 is on the Noncollapsing of mean-convex hypersurfaces. The result of Sheng-Wang and Andrews states that non-collapsing is preserved under mean curvature flow. The proof goes through deriving a differential inequality for inscribed curvature and using the maximal principle for viscosity solutions.

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# 1 Introduction to Mean curvature flow

We introduce the second fundamental form and mean curvature associated with an immersion of a hypersurface. The mean curvature flow is then the negative gradient flow of the volume functional on hypersurfaces.

## 1.1 Fundamentals of hypersurfaces

Let  $M^n$  be a smooth  $n$ -dimensional manifold with a smooth immersion  $X : M^n \rightarrow \mathbb{R}^{n+1}$ . If  $X$  is a diffeomorphism onto its image, we say  $X$  is an **embedding** and its image  $\mathcal{M}^n = X(M^n)$  has the structure of a smooth  $n$ -dimensional submanifold of  $\mathbb{R}^{n+1}$ . We say that  $M^n$  is an **immersed hypersurface** and  $\mathcal{M}$  is an **embedded hypersurface** respectively. Throughout this thesis, we will denote the embedded manifold  $X(M)$  by script  $\mathcal{M}$  to differentiate between the domain and its image. Let  $(U, \{x^i\})$  be a coordinate system on  $M^n$ , in Euclidean coordinates the pushforward of tangent vectors will be

$$dX(\partial_i) := \frac{\partial X}{\partial x^i} = \partial_i X$$

where  $dX : TM^n \rightarrow T\mathbb{R}^{n+1}$  is the derivative of  $X$ . Since  $dX$  is an injection for each point in  $M^n$ , we can define an inner product on  $TM^n$  which in local coordinates is given by

$$g(\partial_i, \partial_j) = \langle \partial_i X, \partial_j X \rangle$$

where  $\langle \cdot, \cdot \rangle$  denotes the standard inner product on Euclidean space. Further, we can define the Levi-Civita connection on  $M^n$  from the Levi-Civita connection on  $\mathbb{R}^{n+1}$ . Let  $X_p \in T_p\mathbb{R}^{n+1}$  be a vector and  $Y : U \rightarrow T\mathbb{R}^{n+1}|_U$  be a local vector field in a neighborhood  $U$  containing  $p$ . The Levi-Civita connection of  $Y$  with respect to  $X$  on  $\mathbb{R}^{n+1}$  is given by

$$D_{X_p} Y = X_p(Y^i) \partial_i$$

where  $Y = (Y^1, \dots, Y^{n+1})$  are the components of  $Y$  in the standard coordinates. Using the immersion condition, we define a connection on  $TM^n$  induced from  $D$ . Let  $x \in M^n$  and  $u \in T_p M^n, \tilde{v} \in TM^n|_U$  for some open set  $U$  containing  $x$ . Define a connection  $\nabla$  by

$$dX(\nabla_u \tilde{v}) = D_{dX(u)}(\tilde{V}) \tag{1.1.1}$$

where  $\tilde{V}$  is an extension of  $dX(\tilde{v})$  to an open set of  $\mathbb{R}^{n+1}$  containing  $X(U)$ .

**Lemma 1.1.1.** The connection defined by Eq. (1.1.1) is well-defined and is the unique Levi-Civita connection on  $(M^n, g)$ .

When  $X$  is an embedding, the restriction of the tangent bundle of  $T\mathbb{R}^{n+1}|_{\mathcal{M}}$  can be decomposed as the direct sum

$$T\mathcal{M} \oplus N\mathcal{M}$$

where  $N\mathcal{M}$  is the **normal bundle** which can be described as

$$N\mathcal{M} = \{(p, \nu) \in T\mathbb{R}^{n+1}|_{\mathcal{M}} : \langle u, \nu \rangle = 0 \text{ for all } u \in T_p\mathcal{M}\}.$$

For dimension reasons, the normal bundle at each point is one-dimensional. We fix a choice of unit normal  $\nu_p$  for each  $p \in \mathcal{M}$ . This leads to **tangential projection**  $\cdot^T : T\mathbb{R}^{n+1} \rightarrow T\mathcal{M}$  and **normal projection**  $\cdot^\perp : T\mathbb{R}^{n+1} \rightarrow N\mathcal{M}$  maps of vectors in  $T\mathbb{R}^{n+1}$  given by

$$u^T = u - \langle u, \nu \rangle \nu, \quad \text{and} \quad u^\perp = \langle u, \nu \rangle \nu$$

respectively. We can define the Levi-Civita connection on an embedded hypersurface  $\mathcal{M}$  using the normal projection,

$$\nabla_u V = (D_u V)^T$$

where  $u$  is a vector and  $V$  is a local vector field. Notice that this is consistent with Eq. (1.1.1) since  $dX^{-1}$  is the tangential component. The next step is to calculate the Christoffel symbols of the connection  $\nabla$ . For local coordinates  $(U, \{x^i\})$  in  $M^n$ , the Christoffel symbols  $\Gamma_{ij}^k : U \rightarrow \mathbb{R}$  are obtained by the formula

$$\nabla_{\partial_i X} \partial_j X = \partial_i(\partial_j X) + \Gamma_{ij}^k \partial_k X$$

which simplifies to

$$\Gamma_{ij}^k \partial_k X = \partial_i(\partial_j X) - (\partial_i(\partial_j X))^T = (\partial_i(\partial_j X))^\perp$$

## 1.2 Mean Curvature Flow

Now we define the Mean Curvature Flow (MCF) on hypersurfaces.

**Definition 1.2.1.** A one-parameter family of immersion  $X : M^n \times I \rightarrow \mathbb{R}^{n+1}$  is said to evolve by **Mean Curvature Flow** (MCF) if

$$\frac{\partial}{\partial t} X(p, t) = \vec{H}(X(p, t)) = -H(X(p, t))\nu(X(p, t)) \quad \forall (p, t) \in M^n \times I. \quad (1.2.1)$$

Notice that the mean curvature vector  $\vec{H} = -H\nu$  is independent of the direction of normal  $\nu$ . The following lemma demonstrates the similarity of mean curvature flow with the heat equation



**Lemma 1.2.1.** The mean curvature vector is equal to the Laplace-Beltrami operator of the hypersurface

$$\vec{H} = -H\nu = \Delta_{\mathcal{M}}X.$$

**Proof.** Notice that  $\partial_i \partial_j X = \Gamma_{ij}^k \partial_k X - h_{ij} \nu$ . Contracting this,

$$\begin{aligned} \Delta_{\mathcal{M}}X &= g^{ij} \nabla_i \nabla_j X \\ &= g^{ij} (\partial_i \partial_j X - \Gamma_{ij}^k \partial_k X) \\ &= -H\nu \end{aligned}$$

□

### 1.2.1 Examples of the mean curvature flow

It is difficult to solve the Mean curvature flow PDE on an arbitrary hypersurface. The limited number of examples come from ansatz or special cases,

1. **Shrinking spheres:** Let  $\mathbb{S}^n(r) \subset \mathbb{R}^{n+1}$  be sphere of dimension  $n$  with radius  $r$ . Since the mean curvature  $H = \frac{n}{r}$  is constant across the sphere, we make the ansatz that the hypersurface remains spherical under mean curvature flow. Let  $\mathcal{M}_t = \mathbb{S}^n(r(t))$  be the solution, then the PDE is reduced to an ODE given by

$$\frac{d}{dt} r(t) = -\frac{n}{r(t)} \quad (1.2.2)$$

whose solution is  $r(t) = \sqrt{r_0^2 - 2nt}$  with  $r(0) = r_0$ . So the shrinking spheres  $\mathbb{S}^n(\sqrt{r_0^2 - 2nt})$  are a solution to the mean curvature flow for  $t \in [0, \frac{r_0^2}{2n})$ .

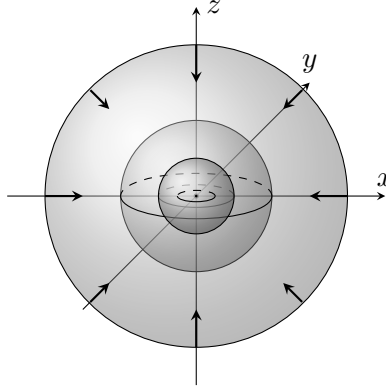


Figure 1.1: Shrinking spheres of dimension 2

2. **Evolution of Graphs:** Let  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  be a smooth function. The graph of  $f$  in  $\mathbb{R}^{n+1}$ ,

$$\mathcal{M} = \{(x, f(x)) \in \mathbb{R}^{n+1} : x \in \mathbb{R}^n\}$$

is a smooth hypersurface. The mean curvature vector at  $(x, f(x))$  for the hypersurface can be calculated to be,

$$\sqrt{1 + |\nabla f|^2} \operatorname{div} \left( \frac{\nabla f}{\sqrt{1 + |\nabla f|^2}} \right).$$

Ecker and Huisken proved in [EH89] that graphs evolving under the mean curvature flow remain graphs. So a family of graphs  $\mathcal{M}_t = \{(x, f_t(x)) : x \in \mathbb{R}^{n+1}\}$  with the condition

$$\frac{\partial}{\partial t} f_t(x) = \sqrt{1 + |\nabla f_t|^2} \operatorname{div} \left( \frac{\nabla f_t}{\sqrt{1 + |\nabla f_t|^2}} \right)$$

is a solution of the mean curvature flow.

3. **Minimal surfaces:** Minimal surfaces are the critical points of the volume functional. A hypersurface  $\mathcal{M}$  is said to be a **minimal hypersurface** if it satisfies  $H(x) = 0$  for all  $x \in \mathcal{M}$ . Hence, minimal hypersurfaces are stationary solutions of the mean curvature flow.
4. **Products of solutions with Euclidean space :** Suppose  $\mathcal{M}_t^n \subset \mathbb{R}^{n+1}$  is a solution of the mean curvature flow. It is easy to verify that the mean curvature vector of the product  $\mathcal{M}_t^n \times \mathbb{R}^m \subset \mathbb{R}^{n+1} \times \mathbb{R}^m$  is given by

$$\vec{H}(x, y) = (H(x)\nu(x), 0),$$

which implies that the time-parametrized product  $\mathcal{N}_t = \mathcal{M}_t \times \mathbb{R}^{n+1}$  is a solution of the mean curvature flow as well.

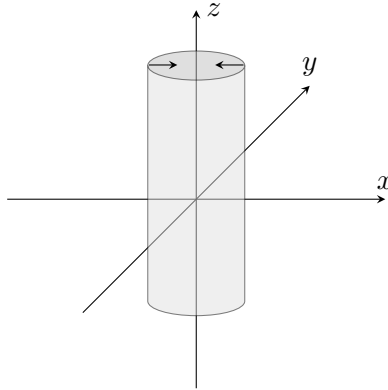


Figure 1.2: Cylinder  $S^1 \times \mathbb{R}$

### 1.2.2 Mean curvature flow as the gradient of the area functional

Let  $\mathcal{M}_0 \subset \mathbb{R}^{n+1}$  be a smooth hypersurface and  $X : M^n \times (-\epsilon, \epsilon) \rightarrow \mathbb{R}^{n+1}$  be a variation with  $X(\cdot, 0) = \mathcal{M}_0$ . Considering area as a function of time over the variation, we get

$$\frac{d}{dt} \text{Area}(\mathcal{M}_t) = \int_{\mathcal{M}_t} \langle \partial_t X, H\nu \rangle \quad (1.2.3)$$

Using this, the gradient of the area functional is

$$\nabla \text{Area} = H\nu$$

so the most efficient way to reduce the volume is to choose the variation so that

$$\partial_t X = -\nabla \text{Area} = -H\nu$$

which is the mean curvature flow. In particular, we get the following equation for the evolution of area under mean curvature flow,

$$\frac{d}{dt} \text{Area}(\mathcal{M}_t) = - \int_{\mathcal{M}_t} H^2$$

which is the steepest descent of area in the space of hypersurface up to speed-parametrization.

### 1.2.3 Short-time existence

The following theorem about the uniqueness and existence of mean curvature flow is proved in [ACGL22].

**Theorem 1.2.2.** Short time existence: Let  $X_0 : M^n \rightarrow \mathbb{R}^{n+1}$  be a smooth immersion of a compact manifold without boundary. There exists an  $\epsilon > 0$  and a smooth solution  $X : M^n \times [0, \epsilon) \rightarrow \mathbb{R}^{n+1}$  to MCF, with  $X(\cdot, 0) = X_0$ . Moreover, the solution is unique.

## 1.3 Maximum principle

We can extend the maximum principle on Euclidean space to general Riemannian manifolds in the following fashion.

**Lemma 1.3.1** (Scalar maximum principle). Let  $g(t) \in [0, T)$  be a 1-parameter family of Riemannian metrics on a closed manifold  $\mathcal{M}^n$  and  $\beta : \mathcal{M}^n \times [0, T) \rightarrow \mathbb{R}$  be a locally bounded function. Let  $u : \mathcal{M}^n \times [0, T) \rightarrow \mathbb{R}$  be a  $C^2$  function satisfying the following inequality

$$\frac{\partial}{\partial t} u(x, t) \geq \Delta_{g(t)} u + \beta u$$

If  $u(x, 0) \geq 0$  for all  $x \in \mathcal{M}^n$ , then  $u(x, t) \geq 0$  for all  $(x, t) \in \mathcal{M}^n \times [0, T)$ .

**Proof.** □

This can be generalized to include a non-linear term as well.

**Lemma 1.3.2** (Comparison lemma). Let  $u : M^n \times [0, T) \mapsto \mathbb{R}$  be  $C^2$  supersolution to the equation

$$\frac{\partial v}{\partial t} = \Delta_{g(t)} v + \langle X, \nabla v \rangle + F(v)$$

on a closed manifold. Suppose there exists  $C \in \mathbb{R}$  such that  $u(x, 0) \geq C$  for all  $x \in M^n$ , and let  $\psi$  be the solution to the associated ordinary differential equation

$$\frac{d\psi}{dt} = F(\psi)$$

satisfying

$$\psi(0) = C$$

Then  $u(x, t) \geq \psi(t)$  for all  $x \in M^n$  and  $t \in [0, T)$  such that  $\psi(t)$  exists.

The above stated scalar maximum principle can be further extended to tensors. This was done by Hamilton in [Ham82] in the context of Ricci flow. Let  $M = M_{ij} dx^i \otimes dx^j$  be a symmetric 2-tensor. We say  $M$  is non-negative if  $v^T M v = M_{ij} v^i v^j \geq 0$  for all vectors  $v$ . Let  $N_{ij} = p(M_{ij}, g_{ij})$  be a tensor formed by contracting products of  $M_{ij}$  with itself using the metric. Also suppose that whenever  $v$  is a null-eigenvector of  $M_{ij}$  (i.e.  $M_{ij} v^j = 0$ ), we have  $N_{ij} v^i v^j \geq 0$ . Then the following maximum principle holds

**Lemma 1.3.3** (Tensor maximum principle). Let  $g(t) \in [0, T)$  be a 1-parameter family of Riemannian metrics on a closed manifold  $\mathcal{M}^n$ . Let  $M_{ij}$  be a symmetric non-negative tensor evolving by the equation

$$\frac{\partial}{\partial t} M_{ij} = \Delta M_{ij} + u^k \nabla_k M_{ij} + N_{ij} \text{ for all } (x, t) \in \mathcal{M}^n \times [0, T)$$

where  $N_{ij} = p(M_{ij}, g_{ij})$  satisfies the null-eigenvector condition above. If  $M$  is non-negative at  $t = 0$ , then it remains non-negative on  $[0, T)$ .

The tensor maximum principle can be used to prove that convexity is preserved under mean curvature flow.

**Lemma 1.3.4.** Let  $X : M^n \times [0, T) \rightarrow \mathbb{R}^{n+1}$  be a solution to mean curvature flow. If the initial hypersurface  $X(\cdot, 0) = \mathcal{M}_0$  is convex then  $\mathcal{M}_t$  is also convex for all  $t \in [0, T)$ .

**Proof.** The condition of convexity is equivalent to  $h_{ij} \geq 0$ . We will prove a stronger result. Suppose that

$$\epsilon h g_{ij} \leq h_{ij}$$

for some constant  $0 < \epsilon \leq \frac{1}{n}$  at  $t = 0$ , then the inequality remains true for  $0 \leq t < T$ . In the tensor maximum principle set

$$M_{ij} = \frac{h_{ij}}{H} - \epsilon g_{ij}, \quad u^k = \frac{2}{H} g^{kl} \nabla_l H$$

and

$$N_{ij} = 2\epsilon H h_{ij} - 2h_{im} g^{ml} h_{lj}.$$

To calculate the time derivatives, we use Lemma 1.4.1,

$$\partial_t \left( \frac{h_{ij}}{H} \right) = \frac{H \Delta h_{ij} - h_{ij} \Delta H}{H^2} - 2h_{im} g^{ml} h_{lj},$$

$$\Delta \left( \frac{h_{ij}}{H} \right) = \frac{H \Delta h_{ij} - h_{ij} \Delta H}{H^2} - \frac{2}{H} g^{kl} \nabla_k \nabla_l \left( \frac{h_{ij}}{H} \right)$$

It remains to check that  $N_{ij}$  satisfies the null-eigenvector in this setting. Assume that for some vector  $X = \{X^j\}$ ,

$$h_{ij} X^j = \epsilon H X_i$$

then

$$\begin{aligned} N_{ij} X^i X^j &= 2\epsilon H h_{ij} X^i X^j - 2h_{im} g^{ml} h_{lj} X^i X^j \\ &= 2\epsilon^2 H^2 |X|^2 - 2\epsilon^2 H^2 X^2 = 0. \end{aligned}$$

Substituting  $\epsilon = 0$  yields the result.  $\square$

## 1.4 Evolution equations

To understand the properties of mean curvature flow it is essential to know the evolution of geometric quantities of the hypersurface. Let  $X : M^n \times I \rightarrow \mathbb{R}^{n+1}$  be a smooth solution of mean curvature flow, so

$$\partial_t X(x, t) = \vec{H}(x, t) = -H(x, t) \nu(x, t).$$

The induced metric on the hypersurface is given by  $g = X^*(\delta)$  where  $\delta$  is the flat metric on  $\mathbb{R}^{n+1}$ . This means that if  $\{x^i\}$  are local coordinates on  $M^n$ , then the components of the induced metric are given by

$$g_{ij} = \delta(X_*(\partial_i), X_*(\partial_j)) = \left\langle \frac{\partial X}{\partial x^i}, \frac{\partial X}{\partial x^j} \right\rangle = \langle \partial_i X, \partial_j X \rangle.$$

**Lemma 1.4.1.** Let  $X : M^n \times I \rightarrow \mathbb{R}^{n+1}$  be a solution of mean curvature flow. Then the evolution equation of the metric, normal, second fundamental form and mean curvature is given by

$$\partial_t g_{ij} = -2Hh_{ij} \quad (1.4.1)$$

$$\partial_t \nu = \nabla H \quad (1.4.2)$$

$$\partial_t h_{ij} = \Delta h_{ij} - 2Hh_{il}g^{lm}h_{mj} + |A|^2 h_{ij} \quad (1.4.3)$$

$$\partial_t H = \Delta H + |A|^2 H \quad (1.4.4)$$

$$\partial_t |A|^2 = \Delta |A|^2 - 2|\nabla A|^2 + 2|A|^4 \quad (1.4.5)$$

**Proof.** 1. In local coordinates we have

$$\begin{aligned} \partial_t g_{ij} &= \partial_t \langle \partial_i X, \partial_j X \rangle \\ &= \langle \partial_i (\partial_t X), \partial_j X \rangle + \langle \partial_i X, \partial_t (\partial_j X) \rangle \\ &= \langle \partial_t (-H\nu), \partial_j X \rangle + \langle \partial_i X, \partial_j (-H\nu) \rangle \\ &= -H \langle \partial_t \nu, \partial_j X \rangle - H \langle \partial_i X, \partial_t \nu \rangle \\ &= -2Hh_{ij} \end{aligned}$$

2. Since  $\langle \nu, \nu \rangle = 1$ , we have  $2\langle \partial_t \nu, \nu \rangle = 0$ , so the vector  $\partial_t \nu$  is in the tangent plane of the hypersurface. We can write it as a linear combination of tangent vectors  $\{\partial_j X\}$  to get

$$\begin{aligned} \partial_t \nu &= \langle \partial_t \nu, \partial_i X \rangle \partial_j X g^{ij} = -\langle \nu, \partial_i X (\partial_t X) \rangle \partial_j X g^{ij} \\ &= \langle \nu, \partial_i (H\nu) \rangle \partial_j X g^{ij} \\ &= \partial_i H \partial_j X g^{ij} + H \langle \nu, \partial_i \nu \rangle \partial_j X g^{ij} \\ &= \partial_i H \partial_j X g^{ij} = \nabla H \end{aligned}$$

3. From the relations

$$\partial_i \partial_j X = \Gamma_{ij}^k \partial_k X - h_{ij} \nu \quad \text{and} \quad \partial_j \nu = h_{jl} g^{lm} \partial_m X$$

we get

$$\begin{aligned} \partial_t h_{ij} &= -\partial_t \langle \partial_i \partial_j X, \nu \rangle \\ &= \langle \partial_i \partial_j (H\nu), \nu \rangle - \left\langle \partial_i \partial_j X, \partial_t H \partial_m X g^{lm} \right\rangle \\ &= \partial_i \partial_j H + H \left\langle \partial_i \left( h_{jm} g^{ml} \partial_l X \right), \nu \right\rangle - \left\langle \Gamma_{ij}^k \partial_k X - h_{ij} \nu, \partial_l H \partial_m X g^{lm} \right\rangle \\ &= \partial_i \partial_j H - \Gamma_{ij}^k \partial_k H + H h_{jm} g^{ml} \langle \Gamma_{il}^p \partial_p X - h_{il} \nu, \nu \rangle \\ &= \nabla_i \nabla_j H - H h_{il} g^{lm} h_{mj}. \end{aligned}$$

4. Utilizing the previous evolution equation with the product formula of derivatives,

$$\begin{aligned}
\partial_t H &= \partial_t (g^{ij} h_{ij}) = (\partial_t g^{ij}) h_{ij} + g^{ij} \partial_t h_{ij} \\
&= -g^{ik} (\partial_t g_{kl}) g^{lj} h_{ij} + g^{ij} (\Delta h_{ij} - 2H h_{il} g^{lm} h_{mj} + |A|^2 h_{ij}) \\
&= -g^{ik} (-2H h_{kl}) g^{lj} h_{ij} + \Delta (g^{ij} h_{ij}) - 2H g^{ij} g^{lm} h_{il} h_{mj} + |A|^2 H \\
&= 2H |A|^2 + \Delta H - 2H |A|^2 + |A|^2 H \\
&= \Delta H + |A|^2 H.
\end{aligned}$$

5. Again from the previous result on the evolution of  $h_{ij}$  we get

$$\begin{aligned}
\partial_t |A|^2 &= \partial_t (g^{ik} g^{jl} h_{ij} h_{kl}) \\
&= 4H g^{im} g^{kn} h_{mn} g^{jl} h_{ij} h_{kl} + 2g^{ik} g^{jl} h_{kl} (\Delta h_{ij} - 2H h_{im} g^{mn} h_{nj} + |A|^2 h_{ij}) \\
&= 2g^{ik} g^{jl} h_{kl} \Delta h_{ij} + 2|A|^4,
\end{aligned}$$

and

$$\Delta |A|^2 = g^{kl} \nabla_k \nabla_l (g^{pq} g^{mn} h_{pm} h_{qn}) = 2g^{pq} g^{mn} h_{pm} \Delta h_{qn} + 2|\nabla A|^2.$$

□

**Corollary.** If mean curvature is positive everywhere on the initial hypersurface, then it remains so throughout the flow.

**Proof.** We apply the maximum principle to the evolution equation of  $H$ .

□

**Remark.** This property of mean curvature holds even when the hypersurface is embedded in an arbitrary Riemannian manifold with positive Ricci curvature.

## 1.5 Long time existence

In this subsection, we will prove that the blow-up of the second fundamental form is the only obstruction to continuing the flow. The proof goes by contrapositive, relying on the Bernstein-type estimates. This technique is very similar to the one Hamilton used for Ricci flow [Ham82]. A solution of the mean curvature flow  $X : M^n \times [0, T) \rightarrow \mathbb{R}^{n+1}$  is said to be **maximal** if given any other solution  $Y : M^n \times [0, S) \rightarrow \mathbb{R}^{n+1}$  which coincides with  $X$  for  $t \in [0, T) \cap [0, S)$  we have  $T \geq S$ . Such a  $T$  is said to be the **maximal time** for  $X$ .

**Theorem 1.5.1.** Let  $X : M^n \times [0, T) \rightarrow \mathbb{R}^{n+1}$  be a solution of the mean curvature

flow with  $M^n$  compact. If  $X$  is maximal then  $T < \infty$  and

$$\sup_{M^n \times [0, T)} |A| = \infty.$$

Before jumping into the proof we need a general notation for complicated tensor expressions occurring in evolution equations.

**Definition 1.5.1.** Given any two tensors  $A$  and  $B$ , we write  $A*B$  to denote any linear combination of tensors formed by contraction on  $A_{i_1 \dots i_k}^{a_1 \dots a_p} B_{j_1 \dots j_l}^{b_1 \dots b_q}$  with  $g$  or  $g^{-1}$ . The iterated product  $A*B*C$  can be viewed as  $A*(B*C)$  which is associative and can be written without brackets. Also, denote the multifold product  $\underbrace{A * \dots * A}_{p\text{-times}}$  by  $A^{*p}$ .

The Gauss equation in this notation yields  $\text{Rm} = A * A$  which after differentiation gives  $\nabla \text{Rm} = A * \nabla A$ .

The following lemma will be necessary to find out the time derivative of covariant derivatives and gives the commutator relation between them.

**Lemma 1.5.2.** Let  $S$  be a tensor with an evolution equation given by

$$\partial_t S = \Delta S + T$$

where  $T$  is another tensor of the same rank. Then the evolution equation of the covariant derivative is

$$\partial_t \nabla S = \Delta \nabla S + A * A * \nabla S + A * \nabla A * S + \nabla T. \quad (1.5.1)$$

**Proof.** Recall the time evolution of Christoffel symbol is given by

$$\partial_t \Gamma_{ij}^k = \frac{1}{2} g^{kl} (\nabla_i \partial_t g_{jl} + \nabla_j \partial_t g_{il} - \nabla_l \partial_t g_{ij}). \quad (1.5.2)$$

Substituting  $\partial_t g_{ij} = -2Hh_{ij}$  we get

$$\partial_t \Gamma_{ij}^k = -g^{kl} (\nabla_i (H g_{jl}) + \nabla_j (H g_{il}) - \nabla_l (h_{ij})) = A * \nabla A.$$

Consider the commutator

$$\begin{aligned} \partial_t \nabla S &= \nabla \partial_t S + \partial_t \Gamma * S \\ &= \nabla (\Delta S + T) + A * \nabla A * S \\ &= \Delta \nabla S + \nabla \text{Rm} * S + \text{Rm} * \nabla S + \nabla T + A * \nabla A * S \\ &= \Delta \nabla S + A * A * \nabla S + A * \nabla A * S + \nabla T \end{aligned}$$

where we have used the Ricci identity  $[\nabla, \Delta]S = \nabla \text{Rm} * S + \text{Rm} * \nabla A$ .  $\square$



**Lemma 1.5.3.** The evolution equation of the higher gradient of the second fundamental form is given by

$$\partial_t \nabla^m A = \Delta \nabla^m A + \sum_{i+j+k=m} \nabla^i A * \nabla^j A * \nabla^k A \quad (1.5.3)$$

where  $m \in \mathbb{N} \cup \{0\}$ . Further, the norm of the gradient satisfies

$$\partial_t |\nabla^m A|^2 = \Delta |\nabla^m A|^2 - 2 |\nabla^{m+1} A|^2 + \sum_{i+j+k=m} \nabla^i A * \nabla^j A * \nabla^k A * \nabla^m A \quad (1.5.4)$$

where  $m \in \mathbb{N} \cup \{0\}$ .

**Proof.** We induct on  $m$ . For base case  $m = 0$ , the second fundamental form evolution equation is

$$\begin{aligned} \partial_t A &= \Delta A - 2HA^2 + |A|^2 A \\ &= \Delta A + A * A * A. \end{aligned}$$

Now suppose the equation holds for  $m$ , then for  $m + 1$  we have

$$\begin{aligned} \partial_t \nabla^{m+1} A &= \nabla \partial_t (\nabla^k A) + (\partial_t \Gamma) * \nabla^m A \\ &= \nabla \left( \Delta \nabla^m A + \sum_{i+j+k=m} \nabla^i A * \nabla^j A * \nabla^k A \right) + A * \nabla A * \nabla^m A \\ &= \Delta \nabla^{m+1} A + \nabla \text{Rm} * \nabla^m A + \text{Rm} * \nabla^{m+1} A + \sum_{i+j+k=m+1} \nabla^i A * \nabla^j A * \nabla^k A \\ &= \Delta \nabla^{m+1} A + \sum_{i+j+k=m+1} \nabla^i A * \nabla^j A * \nabla^k A \end{aligned}$$

using Ricci identity and Gauss equation  $\text{Rm} = A * A$ . For the norm, we get

$$\partial_t |\nabla^m A|^2 = 2 \langle \partial_t \nabla^m A, \nabla^m A \rangle + A * A * \nabla^m A * \nabla^m A$$

where the second term comes from time derivative  $\partial_t g^{ij} = -2Hh^{ij} = A * A$ . This simplifies to

$$\begin{aligned} \partial_t |\nabla^m A|^2 &= 2 \left\langle \Delta \nabla^m A + \sum_{i+j+k=m} \nabla^i A * \nabla^j A * \nabla^k A, \nabla^m A \right\rangle + A * A * \nabla^m A * \nabla^m A \\ &= 2 \langle \Delta \nabla^m A, \nabla^m A \rangle + \sum_{i+j+k=m} \nabla^i A * \nabla^j A * \nabla^k A \nabla^m A \\ &= \Delta |\nabla^m A|^2 - 2 |\nabla^{m+1} A|^2 + \sum_{i+j+k=m} \nabla^i A * \nabla^j A * \nabla^k A * \nabla^m A \end{aligned}$$

□

We can consider the maximum principle on the previous lemma. This gives control of derivatives of the second fundamental form based on the bound of the second fundamental form.

**Lemma 1.5.4.** Let  $X : M^n \times [0, T) \rightarrow \mathbb{R}^{n+1}$  be a solution of the mean curvature flow with  $M^n$  compact. Suppose that  $T < \infty$  and  $C_0 = \sup_{M^n \times [0, T)} |A| < \infty$ . Then for each  $m \in \mathbb{N}$  there exists a constant  $C_m < \infty$  depending only on initial manifold such that

$$\sup_{M^n \times [0, T)} |\nabla^m A| \leq C_m. \quad (1.5.5)$$

**Proof.** From Eq. (1.5.4), we can estimate

$$\partial_t |\nabla^m A|^2 \leq \Delta |\nabla^m A|^2 - 2|\nabla^{m+1} A|^2 + C_{n,m} \sum_{i+j+k=m} |\nabla^i A| |\nabla^j A| |\nabla^k A| |\nabla^m A|$$

where  $C_{n,m} < \infty$  only depends on  $n$  and  $m$ . We now proceed by induction on  $m$ . Suppose that for  $l \in \{1, \dots, m-1\}$  we have

$$\sup_{M \times [0, T)} |\nabla^l A|^2 \leq C_l$$

for some constants  $C_l < \infty$ . From the previous relation,

$$\begin{aligned} \partial_t |\nabla^m A|^2 &\leq \Delta |\nabla^m A|^2 - 2|\nabla^{m+1} A|^2 + C_{n,m} \left( |A|^2 |\nabla^m A|^2 + \sum_{\substack{i+j+k=m \\ i,j,k \leq m-1}} |\nabla^i A| |\nabla^j A| |\nabla^k A| |\nabla^m A| \right) \\ &\leq \Delta |\nabla^m A|^2 + C_{n,m} C_0^2 |\nabla^m A|^2 + c_m |\nabla^m A| \\ &\leq \Delta |\nabla^m A|^2 + 2C_{n,m} C_0^2 |\nabla^m A|^2 + \frac{c_m}{4C_0^2 C_{n,m}} \end{aligned} \quad (1.5.6)$$

using the induction hypothesis. To absorb the  $|\nabla^m A|^2$  term on the right-hand side consider the inequality for  $m-1$ ,

$$\begin{aligned} \partial_t |\nabla^{m-1} A|^2 &\leq \Delta |\nabla^{m-1} A|^2 - 2|\nabla^m A|^2 + C_{n,m-1} \sum_{i+j+k=m-1} |\nabla^i A| |\nabla^j A| |\nabla^k A| |\nabla^{m-1} A| \\ &\leq \Delta |\nabla^{m-1} A|^2 - 2|\nabla^m A|^2 + c_{m-1}. \end{aligned} \quad (1.5.7)$$

Multiplying Eq. (1.5.7) by  $C_{n,m} C_0^2$  and adding to Eq. (1.5.6),

$$\begin{aligned} \partial_t (|\nabla^m A|^2 + C_{n,m} C_0^2 |\nabla^{m-1} A|^2) &\leq \Delta (|\nabla^m A|^2 + C_{n,m} C_0^2 |\nabla^{m-1} A|^2) + \frac{c_m}{4C_0^2 C_{n,m}} \\ &\quad + c_{m-1} C_{n,m} C_0^2. \end{aligned}$$

Now by comparison principle, we get a bound

$$\sup_{\mathcal{M}^n \times [0, T)} (|\nabla^m A|^2 + C_{n,m} C_0^2 |\nabla^{m-1} A|^2) \leq \overline{C_m}$$

from this, we can deduce that

$$\sup_{\mathcal{M} \times [0, T)} |\nabla^m A|^2 \leq C_m$$

for some constant  $C_m$  depending only on  $n, m, C_0, \dots, C_{m-1}$  and the initial hypersurface. This completes the induction.  $\square$

We can improve the higher-order covariant derivative bound to include a time factor as well. The following lemma will imply a rapid decrease in the norm of higher covariant derivatives of the second fundamental form with respect to time.

**Lemma 1.5.5.** Let  $X : M^n \times [0, r^2] \rightarrow \mathbb{R}^{n+1}$  be a solution of the mean curvature flow. Suppose there exists a constant  $C_0 < \infty$  such that

$$|A|^2 \leq C_0 r^{-2}$$

on  $\mathcal{M}^n \times [0, r^2]$ . Then for each  $m \in \mathbb{N}$ , there exists a constant  $C_m$  depending only on  $n, \mathcal{M}_0$  and  $C_0$  such that

$$t^m |\nabla^m A|^2 \leq C_m r^{-2}$$

on  $\mathcal{M}^n \times [0, r^2]$ .

**Proof.** First, we will demonstrate how to obtain the bound for  $m = 1$  and then extend the method for general  $m$  using induction. We know that

$$\begin{aligned} \partial_t |\nabla A|^2 &= \Delta |\nabla A|^2 - 2 |\nabla^2 A|^2 + \sum_{i+j+k=1} \nabla^i A * \nabla^j A * \nabla^k A * \nabla^m A \\ &\leq \Delta |\nabla A|^2 - 2 |\nabla^2 A|^2 + C_{n,1} \sum_{i+j+k=1} |\nabla^i A| |\nabla^j A| |\nabla^k A| |\nabla A| \\ &\leq \Delta |\nabla A|^2 - 2 |\nabla^2 A|^2 + 3 C_{n,1} |A|^2 |\nabla A|^2 \\ &\leq \Delta |\nabla A|^2 - 2 |\nabla^2 A|^2 + 3 C_{n,1} C_0 r^{-2} |\nabla A|^2. \end{aligned}$$

To obtain a better bound we want to utilize the good term  $-2 |\nabla^2 A|^2$  and one way to do this is to define another term with a  $t$ -factor and  $|A|^2$ ,

$$F = t |\nabla A|^2 + \beta |A|^2$$

which is bounded at  $t = 0$  by  $\beta C_0 r^{-2}$ . Notice that

$$\begin{aligned} \partial_t F &\leq |\nabla A|^2 + t (\Delta |\nabla A|^2 - 2 |\nabla^2 A|^2 + 3 C_{n,1} C_0 r^{-2} |\nabla A|^2) + \beta (\Delta |A|^2 - 2 |\nabla A|^2 + 2 |A|^4) \\ &\leq \Delta F - 2 |\nabla^2 A|^2 + (1 + 3 t C_{n,1} C_0 r^{-2} - 2 \beta) |\nabla A|^2 + 2 \beta C_0^2 r^{-4} \\ &\leq \Delta F + (1 + 3 C_{n,1} C_0^2 - 2 \beta) |\nabla A|^2 + 2 \beta C_0^2 r^{-4}. \end{aligned}$$

## CHAPTER 1. INTRODUCTION TO MEAN CURVATURE FLOW

Choose  $\beta > (1 + 3C_{n,1}C_0^2)/2$ , so that the coefficient of  $|\nabla A|^2$  is negative. The comparison theorem then gives

$$\sup_{x \in \mathcal{M}} F(x, t) \leq \beta C_0 r^{-2} + 2\beta C_0^2 r^{-4} t \leq C r^{-2}$$

for some constant  $C_1 > 0$ . Hence,

$$t|\nabla A|^2 \leq C_1 r^{-2}$$

on  $\mathcal{M} \times [0, r^2]$  which establishes the inequality for  $m = 1$ .

Now assume the inequality holds for  $1, \dots, m-1$ . Then,

$$\begin{aligned} \partial_t |\nabla^m A|^2 &\leq \Delta |\nabla^m A|^2 - 2|\nabla^{m+1} A|^2 + C_{n,m} \sum_{i+j+k=m} |\nabla^i A| |\nabla^j A| |\nabla^k A| |\nabla^m A| \\ &\leq \Delta |\nabla^m A|^2 - 2|\nabla^{m+1} A|^2 + C_{n,m} \left( 3|A|^2 |\nabla^m A|^2 + \sum_{\substack{i+j+k=m \\ i,j,k \leq m-1}} |\nabla^i A| |\nabla^j A| |\nabla^k A| |\nabla^m A| \right) \\ &\leq \Delta |\nabla^m A|^2 - 2|\nabla^{m+1} A|^2 + 3C_{n,m} C_0 r^{-2} |\nabla^m A|^2 + C_{n,m} L_m r^{-3} t^{-\frac{m}{2}} |\nabla^m A| \\ &\leq \Delta |\nabla^m A|^2 - 2|\nabla^{m+1} A|^2 + C_{n,m} r^{-2} \left( 6C_0 |\nabla^m A|^2 + \frac{L_m^2 r^{-2} t^{-m}}{4C_0} \right) \\ &\leq \Delta |\nabla^m A|^2 - 2|\nabla^{m+1} A|^2 + r^{-2} (T_m |\nabla^m A|^2 + S_m r^{-2} t^{-m}) \end{aligned}$$

where  $L_m = \sum_{i+j+k=m} \sqrt{C_i C_j C_k}$ ,  $T_m = 6C_{n,m} C_0$  and  $S_m = (C_{n,m} L_m^2)/4C_0$ .

Now like in the  $m = 1$  case, we define

$$F = \sum_{l=0}^m a_l t^l |\nabla^l A|^2$$

where  $a_l$  are positive constants to be determined later. The time derivative of  $F$  satisfies

$$\begin{aligned} \partial_t F &\leq \sum_{l=0}^m a_l t^l \left( \Delta |\nabla^l A|^2 - 2|\nabla^{l+1} A|^2 + r^{-2} (T_l |\nabla^l A|^2 + S_l r^{-2} t^{-l}) \right) + \sum_{l=0}^{m-1} (l+1) a_{l+1} t^l |\nabla^{l+1} A|^2 \\ &\leq \Delta F + \sum_{l=0}^{m-1} ((l+1) a_{l+1} - 2a_l) t^l |\nabla^l A|^2 - 2|\nabla^{m+1} A|^2 + \sum_{l=0}^m a_l r^{-4} S_l \end{aligned}$$

$$\begin{aligned}
\partial_t Q_m &\leq \Delta Q_m - 2a_m t^m |\nabla^{m+1} A|^2 + c|A|^4 + \sum_{\ell=1}^m (\ell a_\ell - 2a_{\ell-1}) t^{\ell-1} |\nabla^\ell A|^2 \\
&\quad + c \sum_{\ell=1}^m t^\ell a_\ell \left( |A|^2 |\nabla^\ell A|^2 + 2A_\ell^{\frac{1}{2}} r^{-3} t^{-\frac{1}{2}} |\nabla^\ell A| \right) \\
&\leq -2a_m t^m |\nabla^{m+1} A|^2 + cr^{-4} \left( C_0^2 + \sum_{\ell=1}^m A_\ell^{\frac{2}{3}} a_\ell \right) \\
&\quad + \sum_{\ell=1}^m \left( \left( C_0 + A_\ell^{\frac{1}{3}} \right) c a_\ell r^{-2} t + \ell a_\ell - 2a_{\ell-1} \right) t^{\ell-1} |\nabla^\ell A|^2
\end{aligned}$$

where

$$A_\ell \doteq \frac{1}{4} \sum_{\substack{i+j+k=\ell \\ i,j,k < \ell}} \frac{C_i C_j C_k}{a_i a_j a_k}$$

If we choose

$$a_\ell \doteq \frac{2a_{\ell-1}}{\left( C_0 + A_\ell^{\frac{1}{3}} \right) c + \ell}$$

then

$$\partial_t \leq \Delta Q_m cr^{-4} \left( C_0^2 + \sum_{\ell=1}^m A_\ell \right) \doteq C_m r^{-4}$$

and the maximum principle yields

$$Q_m \leq C_m r^{-2}$$

in  $M^n \times [0, r^2]$ .

□

**Remark.** The parabolic nature of the mean curvature flow shows up here as the dimension of time is double the spatial dimension coming from  $|\nabla^m A|$ .

**Proof.** Assume on the contrary that  $\sup_{M^n \times [0, T)} |A|^2 \leq C$ . We aim to show that the manifold  $X(\cdot, t) = \mathcal{M}_t$  converges to a smooth limit  $\mathcal{M}_T$  as  $t \rightarrow T$  which will give a contradiction by applying the short time existence of the mean curvature flow at  $t = T$ .

$$|X(x, t) - X(x, 0)| \leq \left| \int_0^t H(x, s) ds \right| \leq T \sup |H| \leq T \sqrt{n C_0}$$

hence a subsequence of  $X(t, \cdot) \rightarrow X(T, \cdot)$  converges to a continuous limit.

□

## 1.6 Monotonicity Formula

Mean curvature flow is invariant under parabolic scaling, i.e. if  $X : M^n \times I \rightarrow \mathbb{R}^{n+1}$  is solution, then so is  $X_\lambda(x, t) = \lambda X(x, \lambda^{-2}t)$ . We construct a weighted area functional which is invariant under *parabolic* scaling along any solution to mean curvature flow which will be monotonous.

Let  $\rho(x, t)$  be the backward heat kernel at  $(X_0, t_0)$ , i.e.,

$$\rho(x, t) = \frac{1}{(4\pi(t_0 - t))^{\frac{n}{2}}} \cdot \exp\left(-\frac{|X(x, t) - X_0|^2}{4(t_0 - t)}\right), \quad t < t_0$$

**Theorem 1.6.1.** If  $M_t$  is a solution of mean curvature flow for  $t < t_0$ , then we have the formula

$$\frac{d}{dt} \int_{M_t} \rho(x, t) d\mu_t = - \int_{M_t} \rho(x, t) \left( H - \frac{\langle X(x, t) - X_0, \eta \rangle}{2(t_0 - t)} \right)^2 d\mu_t$$

**Proof.** To simplify the formula assume that  $(X_0, t_0) = (0, 0)$ . We know that  $\frac{d}{dt}\mu_t = -H^2\mu_t$ , so differentiating  $\rho$  with respect to time we get,

$$\begin{aligned} \frac{d}{dt} \int_{M_t} \rho(x, t) d\mu_t &= \int_{M_t} \rho(x, t) (-H^2) d\mu_t + \int_{M_t} \frac{\partial}{\partial t} \rho(x, t) d\mu_t \\ &= - \int_{M_t} \rho(x, t) H^2 d\mu_t + \int_{M_t} \left( \frac{\langle X(x, t), H(x, t)\eta \rangle}{2(-t)} \rho(x, t) \right) d\mu_t \\ &\quad + \int_{M_t} \left( \frac{n}{2(4\pi)(-t)} (4\pi) \rho(x, t) - \frac{|X(x, t)|^2}{4(-t)^2} \rho(x, t) \right) d\mu_t \\ &= \int_{M_t} \rho \left( \frac{n}{2(-t)} + \frac{\langle X, H\eta \rangle}{2(-t)} - \frac{|X|^2}{4(-t)^2} - H^2 \right) d\mu_t \end{aligned} \quad (1.6.1)$$

Now  $\Delta X = -H\eta$ , using this relation for the second term and divergence theorem we get

$$\begin{aligned} \int_{M_t} \rho \langle X, H\eta \rangle d\mu_t &= - \int_{M_t} \rho \langle X, \Delta X \rangle d\mu_t \\ &= - \sum_{k=1}^{n+1} \int_{M_t} \rho X_k \Delta X_k d\mu_t \\ &= \sum_{k=1}^{n+1} \int_{M_t} \langle \nabla(\rho X_k), \nabla X_k \rangle d\mu_t \\ &= \sum_{k=1}^{n+1} \int_{M_t} (\langle \nabla \rho, \nabla X_k \rangle X_k + \rho \langle \nabla X_k, \nabla X_k \rangle) d\mu_t \end{aligned} \quad (1.6.2)$$

Let  $(U, \{x^i\})$  be some local coordinates on the hypersurface. In these coordinates we can write  $\nabla \rho = g^{ij} \partial_i \rho \partial_j$ , so  $\langle \nabla \rho, \nabla X_k \rangle = \nabla \rho(X_k) = g^{ij} (\partial_i \rho) (\partial_j X_k)$  which implies

$$\begin{aligned} \sum_{k=1}^{n+1} \langle \nabla \rho, \nabla X_k \rangle X_k &= \sum_{k=1}^{n+1} g^{ij} (\partial_i \rho) (\partial_j X_k) X_k \\ &= g^{ij} (\partial_i \rho) \langle X, \partial_j X \rangle \\ &= g^{ij} \rho \left( \frac{-\langle X, \partial_i X \rangle}{2(-t)} \right) \langle X, \partial_j X \rangle \\ &= -\frac{\rho}{2(-t)} |X^T|^2 \end{aligned} \quad (1.6.3)$$

and

$$\sum_{k=1}^{n+1} \rho \langle \nabla X_k, \nabla X_k \rangle = \sum_{k=1}^{n+1} \rho g^{ij} (\partial_i X_k) (\partial_j X_k) = \rho g^{ij} \langle \partial_i X, \partial_j X \rangle = \rho g^{ij} g_{ij} = n\rho \quad (1.6.4)$$

Substituting Eq. (1.6.3) and Eq. (1.6.4) into Eq. (1.6.2) and multiplying by  $\frac{1}{2(-t)}$ , we get

$$\int_{M_t} \rho \frac{\langle X, H\eta \rangle}{2(-t)} d\mu_t = \int_{M_t} \rho \left( \frac{n}{2(-t)} - \frac{1}{4(-t)^2} |X^T|^2 \right) d\mu_t$$

or

$$\int_{M_t} \frac{n\rho}{2(-t)} d\mu_t = \int_{M_t} \rho \left( \frac{\langle X, H\eta \rangle}{2(-t)} + \frac{1}{4(-t)^2} |X^T|^2 \right) d\mu_t \quad (1.6.5)$$

where  $X^T$  denotes the tangential part of the vector  $X$ . Substituting Eq. (1.6.5) into Eq. (1.6.1)

$$\begin{aligned} \frac{d}{dt} \int_{M_t} \rho(x, t) d\mu_t &= \int_{M_t} \rho \left( \frac{\langle X, H\eta \rangle}{(-t)} - \frac{|X|^2}{4(-t)^2} - H^2 + \frac{1}{4(-t)^2} |X^T|^2 \right) d\mu_t \\ &= - \int_{M_t} \rho \left( H - \frac{\langle X, \eta \rangle}{2(-t)} \right)^2 d\mu_t. \end{aligned}$$

□

### 1.6.1 Rescaled Monotonicity formula

From section Section 1.5 we know that the curvature blows up at the maximal time  $T$  and satisfies the inequality

$$\max_{p \in M} |A(p, t)| \geq \frac{1}{\sqrt{2(T-t)}}$$

**Definition 1.6.1.** Let  $T$  be the maximal time of existence of a mean curvature flow. If there exists a constant  $C > 1$  such that

$$\max_{p \in M} |A(p, t)| \leq \frac{C}{\sqrt{2(T-t)}}$$

we say the flow is developing at time  $T$  a *type I singularity*.

Conversely, if such a constant does not exist, that is

$$\limsup_{t \rightarrow T} \max_{p \in M} |A(p, t)| \sqrt{T-t} = \infty$$

we say that we have a *type II singularity*. We will restrict ourselves to type I singularity for the rest of this section. We want to rescale  $M_t$  near a singular point as  $t \rightarrow T$ , such that the curvature of the rescaled surfaces remains uniformly bounded. Using the inequality  $|H| \leq \sqrt{n}|A|$ ,

$$|X(p, t) - X(p, s)| \leq \int_s^t |H(p, \tau)| d\tau \leq \sqrt{n}C \left[ (T-s)^{1/2} - (T-t)^{1/2} \right]$$

for all  $p \in M^n$  and  $0 \leq s < t < T$ . Thus,  $X(\cdot, t)$  converges uniformly as  $t \rightarrow T$ . Using this we define a blow-up point as follows

**Definition 1.6.2.** We say that  $x \in \mathbb{R}^{n+1}$  is a **blow-up point** if there is  $p \in M^n$  such that  $X(p, t) \rightarrow x$  as  $t \rightarrow T$  and  $|A|(p, t)$  becomes unbounded as  $t \rightarrow T$ .

Let us assume now that  $0 \in \mathbb{R}^{n+1}$  is a blow-up point. Then we define the rescaled immersions  $\tilde{X}(p, s)$  by

$$\tilde{X}(p, s) = (2(T-t))^{-1/2} X(p, t), \quad s(t) = -\frac{1}{2} \log(T-t).$$

The surfaces  $\tilde{M}_s = \tilde{X}(\cdot, s)(M^n)$  are therefore defined for  $-\frac{1}{2} \log T \leq s < \infty$  and satisfy the equation

$$\frac{d}{ds} \tilde{X}(p, s) = \tilde{H}(p, s) + \tilde{X}(p, s).$$

For finding out the evolution of the second fundamental form and mean curvature after rescaling, we use the following lemma

**Lemma 1.6.2.** Suppose  $P$  is a tensor which satisfies

$$\frac{dP}{dt} = \Delta P + Q$$



for the original evolution equation and  $P$  has degree  $\alpha$ , i.e . Then  $Q$  has degree  $(\alpha - 2)$  and  $d\tilde{P}/ds = \tilde{\Delta}\tilde{P} + \tilde{Q} + \alpha\tilde{P}$



## 2 Convexity estimates

As observed in the previous chapter, a convex hypersurface evolving under (rescaled) mean curvature flow converges smoothly to a round sphere. This convergence cannot be expected for general mean-convex hypersurfaces as singularities might appear. Huisken and Sinestrari proved in [HS99a, HS99b] that mean convex hypersurface are asymptotically convex i.e. blowing the flow near singularity gives a convex ancient solution.

### 2.1 Elementary symmetric polynomials and cones

The mean curvature of a hypersurface at a point is the sum of principal curvatures which is a symmetric function. Similarly, Gauss curvature is the product of the principal curvatures. The study of elementary symmetric functions of principal curvatures will be crucial to analyze the convexity of singularities. We begin by recalling the definition of elementary symmetric polynomials.

**Definition 2.1.1.** For any  $k = 1, \dots, n$ , the  $k$ -th elementary symmetric polynomial  $S_k : \mathbb{R}^n \rightarrow \mathbb{R}$  is defined by

$$S_k(\lambda) = \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} \lambda_{i_1} \lambda_{i_2} \dots \lambda_{i_k}$$

where  $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{R}^n$  with the convention  $S_0 \equiv 1$ .

Associated to each  $k$  we can also define the domain of positivity of first  $k$  elementary symmetric polynomials  $\Gamma_k$  given by

$$\Gamma_k = \{\lambda \in \mathbb{R}^n : S_1(\lambda) > 0, \dots, S_k(\lambda) > 0\}$$

It is easy to see that  $\Gamma_k$  are cones in the Euclidean space and satisfy  $\Gamma_{k+1} \subset \Gamma_k$ . In this formulation a hypersurface is mean-convex if the vector  $(\kappa_1, \dots, \kappa_n)$  is in  $\Gamma_1$ . The following proposition was proved in [HS99a] regarding the cones  $\Gamma_k$ .

**Proposition 2.1.1.** Let  $A = \{x \in \mathbb{R}^n : x_1 > 0, \dots, x_n > 0\}$  denote the positive cone. The sets  $\Gamma_k$  coincide with the connected component of the domain  $\{\lambda \in \mathbb{R}^{n+1} : S_k(\lambda) > 0\}$  containing the positive cone  $A$ . Further, the cone  $\Gamma_n$  coincides with the positive cone  $A$ .

This establishes a hierarchy of convexity with the last one being uniformly convex where the principal curvature vector  $(\kappa_1, \dots, \kappa_n) \in \Gamma_n$  for all points in the hypersurface. The main result of the chapter is the following theorem.

**Theorem 2.1.2.** Let  $X : M^n \times [0, T) \rightarrow \mathbb{R}^{n+1}$  be a smooth solution of the mean curvature flow with  $n \geq 2$  such that  $X(M^n, 0) = \mathcal{M}_0$  is compact and of positive mean curvature. Then, for any  $\eta > 0$  there exists a constant  $C_\eta > 0$  depending only on  $n, \eta$  and  $\mathcal{M}_0$  such that

$$S_k \geq -\eta H^k - C_{\eta, k} \quad (2.1.1)$$

on  $\mathcal{M}_t$  for any  $t \in [0, T)$ .

This means that the negative part of  $S_k$  cannot grow faster than  $H^k$ . We will only prove the theorem for  $k = 2$  adapted from [HS99b]. A complete proof is done using induction in [HS99a].

## 2.2 Estimate of $S_2$

For any  $\eta \in \mathbb{R}$  and  $\sigma \in [0, 2]$  let

$$g_{\sigma, \eta} = \left( \frac{|A|^2}{H^2} - (1 + \eta) \right) H^\sigma = \frac{|A|^2 - (1 + \eta)H^2}{H^{2-\sigma}} = \frac{-2S_2 - \eta H^2}{H^{2-\sigma}}.$$

Our aim is to derive a uniform bound of  $g_{\sigma, \eta}$  which using Young's inequality will imply the desired estimate. The proof of Theorem 2.1.2 for  $k = 2$  is divided into two parts. The first part is obtaining an  $L^p$  estimate of  $g_{\sigma, \eta}$  and the second part is utilizing Stampacchia lemma using Michael-Simon inequality in order to get an  $L^\infty$  bound. In order to prove the first part we derive the evolution equation of  $g_{\sigma, \eta}$  using the product rule but before that we need the following lemmas.

**Lemma 2.2.1.** The following equality holds:

$$|\nabla A \cdot H - \nabla H \otimes A|^2 = |\nabla A|^2 H^2 + |A|^2 |\nabla H|^2 - \langle \nabla |A|^2, \nabla H \rangle H. \quad (2.2.1)$$

**Proof.** Computing the norm,

$$\begin{aligned} |\nabla A \cdot H - \nabla H \otimes A|^2 &= \langle \nabla A \cdot H - \nabla H \otimes A, \nabla A \cdot H - \nabla H \otimes A \rangle \\ &= |\nabla A|^2 H^2 + |\nabla H|^2 |A|^2 - 2H \langle \nabla A, \nabla H \otimes A \rangle \\ &= |\nabla A|^2 H^2 + |\nabla H|^2 |A|^2 - \langle \nabla |A|^2, \nabla H \rangle H. \end{aligned}$$

□

**Lemma 2.2.2.** The quantity  $\frac{|A|^2}{H^2}$  satisfies the differential equation

$$\frac{\partial}{\partial t} \frac{|A|^2}{H^2} = \Delta \frac{|A|^2}{H^2} + \frac{2}{H} \left\langle \nabla H, \nabla \frac{|A|^2}{H^2} \right\rangle - \frac{2}{H^4} |\nabla A \cdot H - \nabla H \otimes A|^2. \quad (2.2.2)$$

**Proof.** Computing the time derivative we get

$$\begin{aligned} \frac{\partial}{\partial t} \frac{|A|^2}{H^2} &= \frac{1}{H^2} \frac{\partial |A|^2}{\partial t} - 2 \frac{|A|^2}{H^3} \frac{\partial H}{\partial t} \\ &= \frac{1}{H^2} (\Delta |A|^2 - 2|\nabla A|^2 + 2|A|^4) - 2 \frac{|A|^2}{H^3} (\Delta H + |A|^2 H) \\ &= \frac{\Delta |A|^2}{H^2} - 2 \frac{|\nabla A|^2}{H^2} - 2|A|^2 \frac{\Delta H}{H^3}. \end{aligned}$$

Recall the division formula for Laplacian,

$$\Delta \left( \frac{u}{v} \right) = \frac{\Delta u}{v} - u \frac{\Delta v}{v^2} - \frac{2}{v^2} \langle \nabla u, \nabla v \rangle + 2 \frac{u}{v^3} |\nabla v|^2.$$

Calculating the Laplace-Beltrami operator using this,

$$\begin{aligned} \Delta \frac{|A|^2}{H^2} &= \frac{\Delta |A|^2}{H^2} - |A|^2 \frac{\Delta H^2}{H^4} - \frac{2}{H^4} \langle \nabla |A|^2, \nabla H^2 \rangle + \frac{2|A|^2}{H^6} |\nabla H^2|^2 \\ &= \frac{\Delta |A|^2}{H^2} - |A|^2 \left( \frac{2H\Delta H + 2|\nabla H|^2}{H^4} \right) - \frac{2}{H^4} \langle \nabla |A|^2, 2H\nabla H \rangle + 8 \frac{|A|^2}{H^6} |\nabla H|^2 \\ &= \frac{\Delta |A|^2}{H^2} - 2|A|^2 \frac{\Delta H}{H^3} + 6|A|^2 \frac{|\nabla H|^2}{H^4} - \frac{4}{H^3} \langle \nabla |A|^2, \nabla H \rangle \end{aligned}$$

which substituted in the time derivative gives

$$\begin{aligned} \frac{\partial}{\partial t} \frac{|A|^2}{H^2} &= \Delta \frac{|A|^2}{H^2} - 6|A|^2 \frac{|\nabla H|^2}{H^4} + \frac{4}{H^3} \langle \nabla |A|^2, \nabla H \rangle - 2 \frac{|\nabla A|^2}{H^2} \\ &= \Delta \frac{|A|^2}{H^2} + \frac{2}{H} \left\langle \nabla H, \frac{\nabla |A|^2}{H^2} - \frac{2}{H^3} |A|^2 \nabla H \right\rangle \\ &\quad - \frac{2}{H^4} (|A|^2 |\nabla H|^2 + |\nabla A|^2 H^2 - H \langle \nabla |A|^2, \nabla H \rangle) \\ &= \Delta \frac{|A|^2}{H^2} + \frac{2}{H} \left\langle \nabla H, \nabla \frac{|A|^2}{H^2} \right\rangle - \frac{2}{H^4} |\nabla A \cdot H - \nabla H \otimes A|^2. \end{aligned}$$

□

Using this we compute the time derivative of  $g_{\sigma,\eta}$ .

**Lemma 2.2.3.** The evolution equation of  $g_{\sigma,\eta}$  is given by

$$\begin{aligned} \frac{\partial g_{\sigma,\eta}}{\partial t} = & \Delta g_{\sigma,\eta} + 2 \frac{(1-\sigma)}{H} \langle \nabla H, \nabla g_{\sigma,\eta} \rangle - \frac{\sigma(1-\sigma)}{H^2} g_{\sigma,\eta} |\nabla H|^2 \\ & - \frac{2}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 + \sigma |A|^2 g_{\sigma,\eta}. \end{aligned} \quad (2.2.3)$$

**Proof.** We can write  $g_{\sigma,\eta} = \left( \frac{|A|^2}{H^2} - (1+\eta) \right) H^\sigma$  so

$$\begin{aligned} \frac{\partial g_{\sigma,\eta}}{\partial t} &= \left\{ \Delta \frac{|A|^2}{H^2} + \frac{2}{H} \left\langle \nabla H, \nabla \frac{|A|^2}{H^2} \right\rangle - \frac{2}{H^4} |\nabla A \cdot H - \nabla H \otimes A|^2 \right\} H^\sigma \\ &\quad + \left( \frac{|A|^2}{H^2} - (1+\eta) \right) (\Delta H^\sigma - \sigma(\sigma-1) H^{\sigma-2} |\nabla H|^2 + \sigma |A|^2 H^\sigma) \\ &= \Delta g_{\sigma,\eta} + 2 \frac{(1-\sigma)}{H} \left\langle \nabla H, \nabla \frac{|A|^2}{H^2} \right\rangle H^\sigma - \frac{\sigma(\sigma-1)}{H^2} g_{\sigma,\eta} |\nabla H|^2 \\ &\quad - \frac{2}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 + \sigma |A|^2 g_{\sigma,\eta} \\ &= \Delta g_{\sigma,\eta} + 2 \frac{(1-\sigma)}{H} \left( \langle \nabla H, \nabla g_{\sigma,\eta} \rangle - \frac{\sigma}{H} g_{\sigma,\eta} |\nabla H|^2 \right) - \frac{\sigma(\sigma-1)}{H^2} g_{\sigma,\eta} |\nabla H|^2 \\ &\quad - \frac{2}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 + \sigma |A|^2 g_{\sigma,\eta} \\ &= \Delta g_{\sigma,\eta} + 2 \frac{(1-\sigma)}{H} \langle \nabla H, \nabla g_{\sigma,\eta} \rangle - \frac{\sigma(1-\sigma)}{H^2} g_{\sigma,\eta} |\nabla H|^2 \\ &\quad - \frac{2}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 + \sigma |A|^2 g_{\sigma,\eta}. \end{aligned}$$

□

Applying the maximum principle on Lemma 2.2.2 gets that  $\frac{|A|^2}{H^2}$  is uniformly bounded so there exists a positive constant depending only on  $\mathcal{M}_0$  such that

$$|A|^2 \leq \tilde{c}_0 H^2 \quad \text{on} \quad \mathcal{M}_t,$$

for all time  $t \in [0, T)$ . This also implies  $g_{\sigma,\eta} \leq c_0 H^\sigma$  but as  $H$  blows up this isn't sufficient to prove the uniform bound. The following estimate of the good term in Eq. (2.2.3) will be required for the  $L^p$  estimate.

**Lemma 2.2.4.** [HS99b] If  $(1+\eta)H^2 \leq |A|^2 \leq c_0 H^2$  for some  $\eta, c_0 > 0$ . Then

1.  $-2Z \geq \eta H^2 |A|^2$
2.  $|\nabla A \cdot H - \nabla H \otimes A|^2 \geq \frac{\eta^2}{4n(n-1)^2 c_0} H^2 |\nabla H|^2$

For the rest of proof we will restrict  $\eta, \sigma \in (0, 1)$  and  $c_i$  will denote a constant depending only on  $n, \eta$  and  $\mathcal{M}_0$ . For brevity, we will write  $g = g_{\sigma,\eta}$  as long as  $\sigma, \eta$  is fixed. Let

$g_+ = \max\{g(x, t), 0\}$  denote the positive part of  $g$ . Then  $g_+^p \in C^1(\mathcal{M} \times [0, T))$  for  $p > 1$  and

$$\partial_t g_+^p = p g_+^{p-1} \partial_t g, \quad \nabla(g_+^p) = p g_+^{p-1} \nabla g.$$

**Lemma 2.2.5.** There exists constant  $c_2, c_3$  such that

$$\begin{aligned} \frac{d}{dt} \int_{\mathcal{M}} g_+^p d\mu &\leq -\frac{p(p-1)}{2} \int_{\mathcal{M}} g_+^{p-2} |\nabla g|^2 d\mu - \frac{p}{c_3} \int_{\mathcal{M}} \frac{g_+^{p-1}}{H^{2-\sigma}} |\nabla H|^2 d\mu \\ &\quad - p \int_{\mathcal{M}} \frac{g_+^{p-1}}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 d\mu + p\sigma \int_{\mathcal{M}} |A|^2 g_+^p d\mu \end{aligned} \quad (2.2.4)$$

for any  $p \geq c_2$ .

**Proof.** Differentiating with respect to time and using Lemma 2.2.3 for  $p \geq 2$

$$\begin{aligned} \frac{d}{dt} \int_{\mathcal{M}} g_+^p d\mu &= \int \left( p g_+^{p-1} \partial_t g - H^2 g_+^p \right) d\mu \\ &\leq \int p g_+^{p-1} \left( \Delta g + 2 \frac{(1-\sigma)}{H} \langle \nabla H, \nabla g \rangle - \frac{2}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 \right) d\mu \\ &\quad + p \int \sigma |A|^2 g_+^p d\mu \end{aligned} \quad (2.2.5)$$

Using integration by parts,

$$\begin{aligned} \int p g_+^{p-1} \Delta g d\mu &= -p \int \langle \nabla g_+^{p-1}, \nabla g \rangle d\mu \\ &= -p(p-1) \int g_+^{p-2} |\nabla g|^2 d\mu \end{aligned} \quad (2.2.6)$$

Also from Lemma 2.2.4 we deduce that if  $c_1 \geq 4n(n-1)^2 c_0 \eta^{-2}$

$$\begin{aligned} \frac{g_+^{p-1}}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 &\geq \frac{g_+^{p-1}}{c_1 H^{2-\sigma}} |\nabla H|^2 \\ &\geq \frac{g_+^{p-1}}{2c_1 H^{2-\sigma}} |\nabla H|^2 + \frac{1}{2c_0 c_1} \frac{g_+^p}{H^2} |\nabla H|^2 \end{aligned} \quad (2.2.7)$$

To handle the gradient term, let  $p \geq \max\{2, 1 + 4c_0 c_1\}$  to obtain

$$\begin{aligned} 2(1-\sigma)p \frac{g_+^{p-1}}{H} \langle \nabla H, \nabla g \rangle &\leq 2p \frac{g_+^{p-1}}{H} |\nabla H| |\nabla g| \\ &\leq \frac{p}{2c_0 c_1} \frac{g_+^p}{H^2} |\nabla H|^2 + 2c_0 c_1 p g_+^{p-2} |\nabla g|^2 \quad [\text{Peter-Paul inequality}] \\ &\leq p \frac{g_+^{p-1}}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 - p \frac{g_+^{p-1}}{2c_1 H^{2-\sigma}} |\nabla H|^2 \\ &\quad + \frac{p(p-1)}{2} g_+^{p-2} |\nabla g|^2 \quad [\text{Using Eq. (2.2.7)}] \end{aligned}$$

Substituting this back in Eq. (2.2.5) and using integration by parts from Eq. (2.2.6),

$$\begin{aligned} \frac{d}{dt} \int_{\mathcal{M}} g_+^p d\mu &\leq -p(p-1) \int g_+^{p-2} |\nabla g|^2 d\mu + p \int \frac{g_+^{p-1}}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 d\mu \\ &\quad + \frac{p(p-1)}{2} \int g_+^{p-2} |\nabla g|^2 d\mu - \frac{p}{c_3} \int \frac{g_+^{p-1}}{H^{2-\sigma}} |\nabla H|^2 d\mu \\ &\quad - 2p \int \frac{g_+^{p-1}}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 d\mu + p\sigma \int |A|^2 g_+^p d\mu \end{aligned}$$

which gives the desired inequality with  $c_3 = \frac{1}{2c_1}$ .  $\square$

To handle the bad positive term appearing in Eq. (2.2.4) we use the following lemma

**Lemma 2.2.6.** There exists a constant  $c_4$  such that

$$\begin{aligned} \frac{1}{c_4} \int |A|^2 g_+^p d\mu &\leq \left(p + \frac{p}{\beta}\right) \int g_+^{p-2} |\nabla g|^2 + (1 + \beta p) \int \frac{g_+^{p-1}}{H^{2-\sigma}} |\nabla H|^2 d\mu \\ &\quad + \int \frac{g_+^{p-1}}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 d\mu \end{aligned}$$

for any  $\beta > 0, p > 2$ .

**Proof.** The Laplacian-Beltrami operator satisfies,

$$\Delta(f^\sigma) = \sigma f^{\sigma-1} \Delta f + \sigma(\sigma-1) f^{\sigma-2} |\nabla f|^2$$

We have an expression for the Laplacian of  $\frac{|A|^2}{H^2}$  in Lemma 2.2.2 from which it follows



that

$$\begin{aligned}
\Delta g &= \Delta \left( \frac{|A|^2}{H^2} \right) H^\sigma + \left( \frac{|A|^2}{H^2} - (1 + \eta) \right) \Delta H^\sigma + 2 \left\langle \nabla \frac{|A|^2}{H^2}, \nabla H^\sigma \right\rangle \\
&= \left( \frac{\Delta |A|^2}{H^2} - 2|A|^2 \frac{\Delta H}{H^3} + 6|A|^2 \frac{|\nabla H|^2}{H^4} - \frac{4}{H^3} \langle \nabla |A|^2, \nabla H \rangle \right) H^\sigma \\
&\quad + \left( \frac{|A|^2}{H^2} - (1 + \eta) \right) (\sigma H^{\sigma-1} \Delta H + \sigma(\sigma-1) H^{\sigma-2} |\nabla H|^2) \\
&\quad + 2\sigma H^{\sigma-1} \left\langle \frac{\nabla |A|^2}{H^2} - 2 \frac{|A|^2}{H^3} \nabla H, \nabla H \right\rangle \\
&= \frac{\Delta |A|^2}{H^{2-\sigma}} + \left( (\sigma-2) \frac{|A|^2}{H^{3-\sigma}} - \sigma(1+\eta) H^{\sigma-1} \right) \Delta H + 6 \frac{|A|^2}{H^{4-\sigma}} |\nabla H|^2 - \frac{4}{H^{3-\sigma}} \langle \nabla |A|^2, \nabla H \rangle \\
&\quad + \sigma(\sigma-1) \frac{g}{H^2} |\nabla H|^2 + \frac{2\sigma}{H^{3-\sigma}} \langle \nabla |A|^2, \nabla H \rangle - 4\sigma \frac{|A|^2}{H^{4-\sigma}} |\nabla H|^2 \\
&= \frac{\Delta |A|^2}{H^{2-\sigma}} + \left( (\sigma-2) \frac{g}{H} - 2(1+\eta) H^{\sigma-1} \right) \Delta H + (6-4\sigma) \frac{|A|^2}{H^{4-\sigma}} |\nabla H|^2 \\
&\quad - \frac{2}{H^{4-\sigma}} H \langle \nabla |A|^2, \nabla H \rangle + \sigma(\sigma-1) \frac{g}{H^2} |\nabla H|^2 + \frac{2(\sigma-1)}{H^{3-\sigma}} \langle \nabla |A|^2, \nabla H \rangle \\
&= \frac{\Delta |A|^2}{H^{2-\sigma}} + \left( (\sigma-2) \frac{g}{H} - 2(1+\eta) H^{\sigma-1} \right) \Delta H + (6-4\sigma) \frac{|A|^2}{H^{4-\sigma}} |\nabla H|^2 \\
&\quad - \frac{2}{H^{4-\sigma}} (|\nabla A|^2 H^2 + |A|^2 |\nabla H|^2 - |\nabla A \cdot H - \nabla H \otimes A|^2) + \sigma(\sigma-1) \frac{g}{H^2} |\nabla H|^2 \\
&\quad + \frac{2(\sigma-1)}{H^{3-\sigma}} \langle \nabla |A|^2, \nabla H \rangle \\
&= \frac{\Delta |A|^2 - 2|\nabla A|^2}{H^{2-\sigma}} + \frac{2}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 + \left( (\sigma-2) \frac{g}{H} - 2(1+\eta) H^{\sigma-1} \right) \Delta H \\
&\quad - 4(\sigma-1) \frac{|A|^2}{H^{4-\sigma}} |\nabla H|^2 + \sigma(\sigma-1) \frac{g}{H^2} |\nabla H|^2 + \frac{2(\sigma-1)}{H^{3-\sigma}} \langle \nabla |A|^2, \nabla H \rangle.
\end{aligned}$$

Now similar to time derivative in Lemma 2.2.3, we calculate inner product of  $\nabla g$  with  $\nabla H$ ,

$$\begin{aligned}
\langle \nabla g, \nabla H \rangle &= \left\langle \nabla \frac{|A|^2}{H^2}, \nabla H \right\rangle H^\sigma + \sigma \left( \frac{|A|^2}{H^2} - (1 + \eta) \right) H^{\sigma-1} |\nabla H|^2 \\
&= \left\langle \frac{\nabla |A|^2}{H^2}, \nabla H \right\rangle H^\sigma - 2 \frac{|A|^2}{H^{3-\sigma}} |\nabla H|^2 + \sigma \frac{g}{H} |\nabla H|^2.
\end{aligned}$$

Using Simon's identity and the previous expression to eliminate the last mixed inner

product term

$$\begin{aligned}
 \Delta g &= \frac{\Delta|A|^2 - 2|\nabla A|^2}{H^{2-\sigma}} + \frac{2}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 + \left( (\sigma - 2) \frac{g}{H} - 2(1 + \eta) H^{\sigma-1} \right) \Delta H \\
 &\quad - 4(\sigma - 1) \frac{|A|^2}{H^{4-\sigma}} |\nabla H|^2 + \sigma(\sigma - 1) \frac{g}{H^2} |\nabla H|^2 \\
 &\quad + \frac{2(\sigma - 1)}{H} \left( \langle \nabla g, \nabla H \rangle + 2 \frac{|A|^2}{H^{3-\sigma}} |\nabla H|^2 - \sigma \frac{g}{H} |\nabla H|^2 \right) \\
 &= \frac{2 \langle h_{ij}, \nabla_i \nabla_j H \rangle + 2Z}{H^{2-\sigma}} + \frac{2}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 + \left( (\sigma - 2) \frac{g}{H} - 2(1 + \eta) H^{\sigma-1} \right) \Delta H \\
 &\quad - \sigma(\sigma - 1) \frac{g}{H^2} |\nabla H|^2 + \frac{2(\sigma - 1)}{H} \langle \nabla g, \nabla H \rangle \tag{2.2.8}
 \end{aligned}$$

Recall Green's identity for compact manifold without boundary,

$$\int_M u \Delta v = - \int_M \langle \nabla u, \nabla v \rangle.$$

Multiplying Eq. (2.2.8) by  $g_+^p H^{-\sigma}$  and using Green's identity the left-hand side evaluates to

$$\begin{aligned}
 A &= \int g_+^p H^{-\sigma} \Delta g d\mu = - \int \langle \nabla(g_+^p H^{-\sigma}), \nabla g \rangle d\mu \\
 &= -p \int \frac{1}{H^\sigma} g_+^{p-1} |\nabla g|^2 d\mu + \sigma \int \frac{g_+^p}{H^{1+\sigma}} \langle \nabla g, \nabla H \rangle d\mu \tag{2.2.9}
 \end{aligned}$$

while the right-hand side is

$$\begin{aligned}
 B &= 2 \int \frac{\langle h_{ij}, \nabla_i \nabla_j H \rangle g_+^p}{H^2} d\mu + 2 \int \frac{g_+^p Z}{H^2} d\mu + 2 \int \frac{g_+^p}{H^4} |\nabla A \cdot H - \nabla H \otimes A|^2 d\mu \\
 &\quad + (\sigma - 2) \int \frac{g_+^{p+1}}{H^{1+\sigma}} \Delta H d\mu - 2(1 + \eta) \int \frac{g_+^p}{H} \Delta H d\mu - \sigma(\sigma - 1) \int \frac{g_+^{p+1}}{H^{2+\sigma}} |\nabla H|^2 d\mu \\
 &\quad + 2(\sigma - 1) \int \frac{g_+^{p+1}}{H^{1+\sigma}} \langle \nabla g, \nabla H \rangle d\mu \tag{2.2.10}
 \end{aligned}$$

For the first term of Eq. (2.2.10) we can use divergence-type theorem for tensors to get,

$$\begin{aligned}
 2 \int \frac{\langle h_{ij}, \nabla_i \nabla_j H \rangle g_+^p}{H^2} d\mu &= -2 \int \left\langle \text{tr}_{ik} \left( \nabla_k \left( \frac{g_+^p h_{ij}}{H^2} \right) \right), \nabla_j H \right\rangle d\mu \\
 &= -2p \int \frac{g_+^{p-1}}{H^2} \langle \nabla^i g \otimes h_{ij}, \nabla_j H \rangle d\mu \\
 &\quad + 4 \int \frac{g_+^p}{H^3} \langle \nabla^i H \otimes h_{ij}, \nabla_j H \rangle d\mu - 2 \int \frac{g_+^p}{H^2} \langle \nabla^i h_{ij}, \nabla_j H \rangle d\mu \tag{2.2.11}
 \end{aligned}$$

Using Codazzi equation  $\nabla^i h_{ij} = \nabla_j h_i^i$  for the last term,

$$\begin{aligned} 2 \int \frac{\langle h_{ij}, \nabla_i \nabla_j H \rangle g_+^p}{H^2} d\mu &= -2p \int \frac{g_+^{p-1}}{H^2} \langle h_{ij}, \nabla_i g \nabla_j H \rangle d\mu \\ &\quad + 4 \int \frac{g_+^p}{H^3} \langle h_{ij}, \nabla_i H \nabla_j H \rangle d\mu - 2 \int \frac{g_+^p}{H^2} |\nabla H|^2 d\mu \end{aligned} \quad (2.2.12)$$

Applying Green's formula on  $\Delta H$  terms in Eq. (2.2.10) and putting together Eq. (2.2.9), Eq. (2.2.10) and Eq. (2.2.12)

$$\begin{aligned} &-p \int \frac{1}{H^\sigma} g_+^{p-1} |\nabla g|^2 d\mu + \underbrace{\sigma \int \frac{g_+^p}{H^{1+\sigma}} \langle \nabla g, \nabla H \rangle d\mu}_1 \\ &= -2p \int \frac{g_+^{p-1}}{H^2} \langle h_{ij}, \nabla_i g \nabla_j H \rangle d\mu + 4 \int \frac{g_+^p}{H^3} \langle h_{ij}, \nabla_i H \nabla_j H \rangle d\mu - 2 \underbrace{\int \frac{g_+^p}{H^2} |\nabla H|^2 d\mu}_2 \\ &\quad + 2 \int \frac{g_+^p Z}{H^2} d\mu + 2 \int \frac{g_+^p}{H^4} |\nabla A \cdot H - \nabla H \otimes A|^2 d\mu - \underbrace{(\sigma - 2)(p + 1) \int \frac{g_+^p}{H^{1+\sigma}} \langle \nabla g, \nabla H \rangle d\mu}_1 \\ &\quad + \underbrace{(\sigma - 2)(1 + \sigma) \int \frac{g_+^{p+1}}{H^{2+\sigma}} |\nabla H|^2 d\mu}_3 + 2(1 + \eta)p \int \frac{g_+^{p-1}}{H} \langle \nabla g, \nabla H \rangle d\mu \\ &\quad - \underbrace{2(1 + \eta) \int \frac{g_+^p}{H^2} |\nabla H|^2 d\mu}_2 - \underbrace{\sigma(\sigma - 1) \int \frac{g_+^{p+1}}{H^{2+\sigma}} |\nabla H|^2 d\mu}_3 + \underbrace{2(\sigma - 1) \int \frac{g_+^{p+1}}{H^{1+\sigma}} \langle \nabla g, \nabla H \rangle d\mu}_1 \end{aligned}$$

clubbing the terms with same-numbered under bracket,

$$\begin{aligned} -2 \int \frac{g_+^p Z}{H^2} d\mu &= p \int \frac{1}{H^\sigma} g_+^{p-1} |\nabla g|^2 d\mu - 2p \int \frac{g_+^{p-1}}{H^2} \langle h_{ij}, \nabla_i g \nabla_j H \rangle d\mu \\ &\quad + 4 \int \frac{g_+^p}{H^3} \langle h_{ij}, \nabla_i H \nabla_j H \rangle d\mu + 2 \int \frac{g_+^p}{H^4} |\nabla A \cdot H - \nabla H \otimes A|^2 d\mu \\ &\quad + p \int \left( (2 - \sigma) \frac{g_+^p}{H^{1+\sigma}} + 2(1 + \eta) \frac{g_+^{p-1}}{H} \right) \langle \nabla g, \nabla H \rangle d\mu \\ &\quad - 2 \int \left( \frac{g_+^{p+1}}{H^{2+\sigma}} + (2 + \eta) \frac{g_+^p}{H^2} \right) |\nabla H|^2 d\mu \end{aligned} \quad (2.2.13)$$

From Lemma 2.2.4  $-2Z \geq \eta H^2 |A|^2$  and using utilizing  $g \leq c_0 H^\sigma$  (and  $|A| \leq c_0 H$ ) with Cauchy-Schwarz inequality in Eq. (2.2.13),

$$\begin{aligned}
 \eta \int g_+^p |A|^2 d\mu &\leq c_0 p \int g_+^{p-2} |\nabla g|^2 d\mu + 4p(c_0 + 1) \int \frac{g_+^{p-1}}{H} |\nabla g| |\nabla H| d\mu \\
 &\quad + 4c_0^2 \int \frac{g_+^{p-1}}{H^{2-\sigma}} |\nabla H|^2 d\mu + 2c_0 \int \frac{g_+^{p-1}}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 d\mu
 \end{aligned} \tag{2.2.14}$$

Also, for any  $\beta > 0$ ,

$$\begin{aligned}
 2 \frac{g_+^{p-1}}{H} |\nabla H| |\nabla g| &\leq \frac{g_+^{p-2}}{\beta} |\nabla g|^2 + \beta \frac{g_+^p}{H^2} |\nabla H|^2 \\
 &= \frac{g_+^{p-2}}{\beta} |\nabla g|^2 + c_0 \beta \frac{g_+^{p-1}}{H^{2-\sigma}} |\nabla H|^2
 \end{aligned} \tag{2.2.15}$$

Combining Eq. (2.2.13), Eq. (2.2.14) and Eq. (2.2.15) proves the lemma.  $\square$

**Proposition 2.2.7.** For any  $\eta \in (0, 1)$  there exists constants  $c_5, c_6$  such that the  $L^p(\mathcal{M})$  norm of  $(g_{\sigma, \eta})_+$  is non-decreasing function of  $t$  if the following holds

$$p \geq c_5, \quad \sigma \leq (c_6 p)^{-\frac{1}{2}}.$$

**Proof.** Choose  $\beta \sim p^{-\frac{1}{2}}$  and  $\sigma \sim cp^{-\frac{1}{2}}$  in the previous lemma.  $\square$

**Lemma 2.2.8 (Stampacchia lemma).** Let  $\psi : [k_0, \infty) \rightarrow \mathbb{R}$  be a non-negative, non-increasing function which satisfies

$$\psi(h) \leq \frac{C}{(h-k)^\alpha} \psi(k)^\beta \text{ for all } h > k > k_0 \tag{2.2.16}$$

for some constants  $C > 0$ ,  $\alpha > 0$  and  $\beta > 1$ . Then

$$\psi(k_0 + d) = 0, \tag{2.2.17}$$

where  $d^\alpha = C \psi(k_0)^{\beta-1} 2^{\frac{\alpha\beta}{\beta-1}}$ .

We complete the proof of Theorem 2.1.2 using Stampacchia lemma which gives an  $L^\infty$  bound from the  $L^p$  bounds.

**Proof.** Let  $k \geq k_0$ , where

$$k_0 = \sup_{\sigma \in [0, 1]} \sup_{\mathcal{M}_0} g_{\sigma, \eta}$$

Define  $v = (g_{\sigma,\eta} - k)_+^{\frac{p}{2}}$  and  $A(k, t) = \{x \in \mathcal{M}_t : v(x, t) > 0\}$ . Differentiating  $v^2$  with respect to time we get for  $p$  large enough (similar to Lemma 2.2.5)

$$\frac{d}{dt} \int_{\mathcal{M}_t} v^2 d\mu + \int_{\mathcal{M}_t} |\nabla v|^2 d\mu \leq \sigma p \int_{\mathcal{M}_t} |A|^2 v^2 d\mu \leq c_0 \sigma p \int_{A(k,t)} H^2 g_{\sigma,\eta}^p d\mu \quad (2.2.18)$$

Also from the Michael-Simon result in [MS73], we have a Sobolev-type inequality given by

$$\left( \int_{\mathcal{M}_t} v^{2q} d\mu \right)^{\frac{1}{q}} \leq C(n) \int_{\mathcal{M}_t} |\nabla v|^2 d\mu + C(n) \left( \int_{A(k,t)} H^n d\mu \right)^{\frac{2}{n}} \left( \int_{\mathcal{M}_t} v^{2q} d\mu \right)^{\frac{1}{q}} \quad (2.2.19)$$

where  $q = \frac{n}{n-2}$  if  $n > 2$  and an arbitrary number greater than 1 if  $n = 2$ . We can estimate the  $H^n$  factor in the integral on  $A(k, t)$  using the previous proposition and the equality

$$\int_{\mathcal{M}_t} H^n g_{\sigma,\eta}^p d\mu = \int_{\mathcal{M}_t} g_{\sigma',\eta}^p d\mu$$

where  $\sigma' = \sigma + \frac{n}{p}$ . Let

$$p \geq \max\{c_5, 4n^2 c_6\} \quad \text{and} \quad \sigma \leq (4c_6 p^{-\frac{1}{2}})$$

so that

$$\sigma' = \sigma + \frac{n}{p} \leq \frac{1}{2\sqrt{c_6 p}} + \frac{1}{\sqrt{p}} \frac{n}{\sqrt{p}} \leq \frac{1}{\sqrt{c_6 p}}$$

which allows us to use Proposition 2.2.7,

$$\begin{aligned} \left( \int_{A(k,t)} H^n d\mu \right)^{\frac{2}{n}} &\leq \left( \int_{A(k,t)} H^n \left( \frac{g_{\sigma,\eta}^p}{k^p} \right) d\mu \right)^{\frac{2}{n}} \\ &= k^{-\frac{2p}{n}} \left( \int_{A(k,t)} g_{\sigma',\eta}^p d\mu \right)^{\frac{2}{n}} \\ &\leq k^{-\frac{2p}{n}} \left( \int_{\mathcal{M}_t} (g_{\sigma',\eta})_+^p d\mu \right)^{\frac{2}{n}} \\ &\leq k^{-\frac{2p}{n}} \left( \int_{\mathcal{M}_0} (g_{\sigma',\eta})_+^p d\mu \right)^{\frac{2}{n}} \\ &\leq \left( \frac{|\mathcal{M}_0| k_0}{k} \right)^{\frac{2p}{n}} \end{aligned}$$

We can fix  $k_1 > k_0$  such that for any  $k \geq k_1$  the term  $\int_{A(k,t)} H^n d\mu$  in Eq. (2.2.19) is less than  $\frac{1}{2C(n)}$ . For such  $k$ , using Eq. (2.2.18) with Eq. (2.2.19) to eliminate the gradient term,

$$\frac{d}{dt} \int_{\mathcal{M}_t} v^2 d\mu + \frac{1}{2C(n)} \left( \int_{\mathcal{M}_t} v^{2q} d\mu \right)^{\frac{1}{q}} \leq c_0 \sigma p \int_{A(k,t)} H^2 g_{\sigma,\eta}^p d\mu. \quad (2.2.20)$$

## CHAPTER 2. CONVEXITY ESTIMATES

Let  $t_0 \in [0, T]$  be the time when  $\sup_{t \in [0, T]} \int_{\mathcal{M}_t} v^2 d\mu$  is attained (we let  $t_0 = T$  if it is not attained in the interior). Integrating Eq. (2.2.20) from 0 to  $t_0$ ,

$$\int_{\mathcal{M}_{t_0}} v^2 d\mu + \frac{1}{2C(n)} \int_0^{t_0} \left( \int_{\mathcal{M}_t} v^{2q} d\mu \right)^{\frac{1}{q}} dt \leq c_0 \sigma p \int_0^{t_0} \int_{A(k,t)} H^2 g_{\sigma, \eta}^p d\mu dt \quad (2.2.21)$$

where we used the fact that  $k > k_0 \geq \sup_{\mathcal{M}_0} g_{\sigma, \eta}$  so  $\int_{\mathcal{M}_0} v^2 d\mu = 0$ . Now integrating Eq. (2.2.20) from  $t_0$  to  $T$ ,

$$\int_{\mathcal{M}_T} v^2 d\mu - \int_{\mathcal{M}_{t_0}} v^2 d\mu + \frac{1}{2C(n)} \int_{t_0}^T \left( \int_{\mathcal{M}_t} v^{2q} d\mu \right)^{\frac{1}{q}} dt \leq c_0 \sigma p \int_{t_0}^T \int_{A(k,t)} H^2 g_{\sigma, \eta}^p d\mu dt. \quad (2.2.22)$$

Throwing away  $\int_{\mathcal{M}_T} v^2 d\mu$  term and adding Eq. (2.2.21) to half of Eq. (2.2.22),

$$\frac{1}{2} \int_{\mathcal{M}_{t_0}} v^2 d\mu + \frac{1}{4C(n)} \int_0^T \left( \int_{\mathcal{M}_t} v^{2q} d\mu \right)^{\frac{1}{q}} dt \leq c_0 \sigma p \int_0^T \int_{A(k,t)} H^2 g_{\sigma, \eta}^p d\mu dt$$

which is same as

$$\sup_{[0, T]} \int_{\mathcal{M}_t} v^2 d\mu + \int_0^T \left( \int_{\mathcal{M}_t} v^{2q} d\mu \right)^{\frac{1}{q}} dt \leq 2 \max\{1, 2C(n)\} c_0 \sigma p \int_0^T \int_{A(k,t)} H^2 g_{\sigma, \eta}^p d\mu dt. \quad (2.2.23)$$

Recall the interpolation inequality for  $L^p$  spaces for any  $f \in L^q \cap L^r$ ,

$$\|f\|_{q_0} \leq \|f\|_q^\alpha \|f\|_r^{1-\alpha}$$

where  $\frac{1}{q_0} = \frac{\alpha}{q} + \frac{1-\alpha}{r}$  and  $1 < q_0 < q$ . Setting  $r = 1, \alpha = \frac{1}{q_0}$  and  $f = v^2$  we get

$$\left( \int_{\mathcal{M}_t} v^{2q_0} d\mu \right)^{\frac{1}{q_0}} \leq \left( \int_{\mathcal{M}_t} v^{2q} d\mu \right)^{\frac{1}{q_0 q}} \left( \int_{\mathcal{M}_t} v^2 d\mu \right)^{1 - \frac{1}{q_0}}. \quad (2.2.24)$$

Integrating this in time and using Young's inequality,

$$\begin{aligned} \left( \int_0^T \int_{A(k,t)} v^{2q_0} d\mu dt \right)^{\frac{1}{q_0}} &\leq \left( \sup_{[0, T]} \int_{A(k,t)} v^2 d\mu \right)^{1 - \frac{1}{q_0}} \left( \int_0^T \left( \int_{A(k,t)} v^{2q} d\mu \right)^{\frac{1}{q}} dt \right)^{\frac{1}{q_0}} \\ &\leq \frac{\sup_{[0, T]} \int_{A(k,t)} v^2 d\mu}{\frac{q_0}{q_0-1}} + \frac{\int_0^T \left( \int_{A(k,t)} v^{2q} d\mu \right)^{\frac{1}{q}} dt}{q_0} \\ &\leq \sup_{[0, T]} \int_{A(k,t)} v^2 d\mu + \int_0^T \left( \int_{A(k,t)} v^{2q} d\mu \right)^{\frac{1}{q}} dt \\ &\leq c_8 \sigma p \int_0^T \int_{A(k,t)} H^2 g_{\sigma, \eta}^p d\mu dt \end{aligned}$$

where  $c_8 = 2 \max\{1, 2C(n)\}c_0$ . Set  $\psi(k) = \int_0^T \int_{A(k,t)} d\mu dt$ . We will obtain bounds on  $\psi$  which along with the Stampacchia lemma will imply a uniform bound of  $g_{\sigma,\eta}$ . Now Eq. (2.2.23) and Hölder inequality yields,

$$\int_0^T \int_{A(k,t)} v^2 d\mu dt \leq \left( \int_0^T \int_{A(k,t)} 1 d\mu dt \right)^{1-\frac{1}{q_0}} \left( \int_0^T \int_{A(k,t)} v^{2q_0} d\mu dt \right)^{\frac{1}{q_0}} \quad (2.2.25)$$

$$\leq c_8 \sigma p \psi(k)^{1-\frac{1}{q_0}} \int_0^T \int_{A(k,t)} H^2 g_{\sigma,\eta}^p d\mu dt \quad (2.2.26)$$

Let  $r > 1$  which will be chosen later. Applying Hölder again on the right side with weights  $r$  and  $\frac{r}{r-1}$ ,

$$\begin{aligned} \int_0^T \int_{A(k,t)} H^2 g_{\sigma,\eta}^p d\mu dt &\leq \left( \int_0^T \int_{A(k,t)} d\mu dt \right)^{1-\frac{1}{r}} \left( \int_0^T \int_{A(k,t)} H^{2r} g_{\sigma,\eta}^{pr} d\mu dt \right)^{\frac{1}{r}} \\ &= \psi(k)^{1-\frac{1}{r}} \left( \int_0^T \int_{A(k,t)} g_{\sigma'',\eta}^{pr} d\mu dt \right)^{\frac{1}{r}} \end{aligned}$$

where  $\sigma'' = \sigma + \frac{2}{p}$ . For  $r$  large enough and  $p, \sigma^{-1}$  small enough from Proposition 2.2.7 there exists a constant  $c_9 > 0$  independent of time such that

$$\int_0^T \int_{A(k,t)} H^2 g_{\sigma,\eta}^p d\mu dt \leq c_9^{\frac{1}{r}} \psi(k)^{1-\frac{1}{r}}. \quad (2.2.27)$$

Combining Eq. (2.2.26) and Eq. (2.2.27) for all  $h > k \geq k_1$ , we have

$$\begin{aligned} (h-k)^p \psi(h) &= \int_0^T \int_{A(h,t)} (h-k)^p d\mu dt \\ &\leq \int_0^T \int_{A(k,t)} v^2 d\mu dt \\ &\leq c_8 \sigma p c_9^{\frac{1}{r}} \psi(k)^{2-\frac{1}{r}-\frac{1}{q_0}}. \end{aligned}$$

Let  $\gamma = 2 - \frac{1}{r} - \frac{1}{q_0}$  and  $c_{10} = c_8 c_9^{\frac{1}{r}}$ . Fix  $r > \frac{q_0}{q_0-1}$  (so  $\gamma > 1$ ) and  $p$  large enough,  $\sigma$  small enough while satisfying the hypothesis of Proposition 2.2.7 such that  $\sigma p < 1$  then gives

$$\psi(h) \leq \frac{c_{10}}{(h-k)^p} \psi(k)^\gamma \quad (2.2.28)$$

Stampacchia lemma now implies  $\psi(k) = 0$  for all  $k \geq k_1 + d$  where  $d^p = c_{10} 2^{\frac{\gamma p}{\gamma-1}+1} \psi(k_1)^{\gamma-1}$ . Hence,

$$g_{\sigma,\eta} \leq k_1 + d \leq K := k_1 + c_{10} 2^{\frac{\gamma p}{\gamma-1}+1} (|\mathcal{M}_0|T)^{\gamma-1}$$

or

$$|A|^2 - (1+\eta)H^2 \leq KH^{2-\sigma}$$

so by Young's inequality there exists a constant  $C_\eta$  such that,

$$|A|^2 - H^2 \leq \eta H^2 + K H^{2-\sigma} \leq 2\eta H^2 + 2C_\eta.$$

Notice that  $|A|^2 - H^2 = -\sum_{i \neq j} \kappa_i \kappa_j = -2S_2$  which implies the desired estimate.  $\square$

### 2.3 Asymptotic convexity

As mentioned in Section 1.6.1, we classify the singularities based on the blow-up rate of  $|A|^2$ . Recall from maximum principle on Lemma 2.2.2 there exists a  $c_0$  such that  $|A|^2 \leq c_0 H^2$  and from algebra we get  $H^2 \leq n|A|^2$  so  $|A|^2$  and  $H^2$  have same rate of growth. We will focus on the growth of  $H^2$ .

The estimates obtained in the previous section will be very useful to obtain an asymptotic analysis of type II singularities. Following [HS99b] suppose a maximal solution  $X : M \times [0, T) \rightarrow \mathbb{R}^{n+1}$  develops a type II singularity. Choose a sequence of points  $\{(x_k, t_k)\}$  in spacetime as follows. For each integer  $k \geq 1$ , let  $t_k \in [0, T - \frac{1}{k}]$ ,  $x_k \in M$  such that

$$H^2(x_k, t_k) \left( T - \frac{1}{k} - t_k \right) = \sup_{(x,t) \in M \times [0, T - \frac{1}{k}]} H^2(x, t) \left( T - \frac{1}{k} - t \right) \quad (2.3.1)$$

Set  $L_k = H(x_k, t_k)$ ,  $\alpha_k = -L_k^2 t_k$  and  $\omega_k = L_k^2 (T - \frac{1}{k} - t_k)$ .

**Lemma 2.3.1.** For singularities of type II, the following holds as  $k \rightarrow \infty$ ,

$$t_k \rightarrow T, \quad L_k \rightarrow \infty, \quad \alpha_k \rightarrow -\infty, \quad \text{and} \quad \omega_k \rightarrow \infty.$$

**Proof.** Fix  $M > 0$ . As the singularity is of type II, there exists a  $t_M \in [0, T)$  and  $x_M \in M$  such that  $H^2(x_M, t_M)(T - t_M) > 2M$ . For  $k$  large enough we have

$$\bar{t} < T - 1/k, \quad H^2(\bar{x}, \bar{t})(T - \bar{t} - 1/k) > M.$$

It follows

$$\omega_k = H^2(x_k, t_k) (T - t_k - 1/k) \geq H^2(\bar{x}, \bar{t})(T - \bar{t} - 1/k) > M.$$

$\square$

Now we will rescale the hypersurfaces to analyze the limiting behavior. For each  $k \geq 1$ , define a family of immersions by

$$X_k(x, t) = L_k(X(x, L_k^{-2}t + t_k) - X(x_k, t_k)) \text{ for } t \in [\alpha_k, \omega_k].$$

Let  $A_k$  and  $H_k$  denote the fundamental form of the rescaled immersions. Then by the definition of  $L_k$  and  $X_k$  we have

$$X_k(x_k, 0) = 0 \quad \text{and} \quad H_k(x_k, 0) = 1.$$



Further, observe that

$$H_k^2(x, t) = L_k^{-2} H^2(x, L_k^{-2}t + t_k) \leq \frac{T - \frac{1}{k} - t_k}{T - \frac{1}{k} - t_k - L_k^{-2}t} = \frac{\omega_k}{\omega_k - t}.$$

From the previous lemma  $\omega_k \rightarrow \infty$ , so for any  $\epsilon > 0$  and  $\bar{\omega}$ , there exists a  $k_0$  such that

$$\max_{x \in M} H_k(x, t) \leq 1 + \epsilon$$

for any  $k \geq k_0$  and  $t \in [\alpha_{k_0}, \bar{\omega}]$ . This curvature bound implies analogous bounds on the second fundamental form as well as its covariant derivatives. Invoking Arzela-Ascoli theorem there exists a subsequence of  $X_k$  converging uniformly on compact subsets of  $\mathbb{R}^{n+1} \times \mathbb{R}$  to a limiting solution  $X_\infty$  of the mean curvature flow. This proves the asymptotic convexity of the flow in the following sense.

**Theorem 2.3.2.** Let  $X : M \times [0, T) \rightarrow \mathbb{R}^{n+1}$  be a smooth maximal solution of the mean curvature flow with  $X(\cdot, 0) = \mathcal{M}_0$  compact and of positive mean curvature. Further, assume that the flow develops a singularity of type II. Then there exists a sequence of rescaled flow  $X_k(\cdot, t)$  converging smoothly on every compact set to a mean curvature flow  $X_\infty(\cdot, t)$  which is defined for  $t \in (-\infty, \infty)$ . Also, the limit hypersurface  $X_\infty$  is convex (not necessarily uniformly convex) for each  $t \in (-\infty, \infty)$  and satisfies  $0 < H_\infty \leq 1$  everywhere with equality at least at one point.



### 3 Noncollapsing

Noncollapsing in mean curvature flow is a powerful result that gives a geometric idea about the structure of singularities. It can be used to rule out certain singularity profiles for mean convex mean curvature flow.

#### 3.1 Inscribed curvature

Let  $\mathcal{M} \subset \mathbb{R}^{n+1}$  be a smooth hypersurface which is the boundary of an open set  $\Omega \subset \mathbb{R}^{n+1}$ . For  $x \in \mathcal{M}$ , we want to find the radius of the largest inscribed sphere in  $\mathcal{M}$  touching it at  $x$ . For any  $y \in \mathcal{M} \setminus \{x\}$ , the radius of the sphere passing through  $x$  and  $y$  and touching  $\mathcal{M}$  at  $x$  is given by

$$r(x, y) = \frac{\|x - y\|^2}{2 \langle x - y, \nu(x) \rangle} \quad (3.1.1)$$

where  $\nu(x)$  is the outward unit normal vector of  $\mathcal{M}$  at  $x$ . The inverse of the radius is the **extrinsic ball curvature**  $k : \mathcal{M} \times \mathcal{M} \setminus \{(x, x) : x \in \mathcal{M}\} \rightarrow \mathbb{R}$  defined by

$$k(x, y) = \frac{2 \langle x - y, \nu(x) \rangle}{\|x - y\|^2}. \quad (3.1.2)$$

Now for each point  $x \in \mathcal{M}$ , we can get the radius of the largest inscribed sphere touching  $\mathcal{M}$  at  $x$  which we call the **inradius** function  $r : \mathcal{M} \rightarrow (0, \infty]$  given by

$$r(x) = \inf_{y \in \mathcal{M} \setminus \{x\}} r(x, y). \quad (3.1.3)$$

Similarly, the **inscribed curvature**  $k : \mathcal{M} \rightarrow [0, \infty)$  is obtained by the reciprocal of the inradius, so

$$k(x) = \frac{1}{r(x)} = \sup_{y \in \mathcal{M} \setminus \{x\}} k(x, y). \quad (3.1.4)$$

The inscribed curvature is greater than the largest principal curvature, which can be proved in the following fashion.

**Lemma 3.1.1.** Let  $\mathcal{M}$  be an embedded hypersurface in  $\mathbb{R}^{n+1}$  and the inscribed curvature be defined as above. Then for any point  $x \in \mathcal{M}$ ,

$$k(x) \geq \kappa_n(x)$$

where  $\kappa_1(x) \leq \dots \leq \kappa_n(x)$  are the principal curvatures of the hypersurface at the point  $x$ .

**Proof.** TO DO □

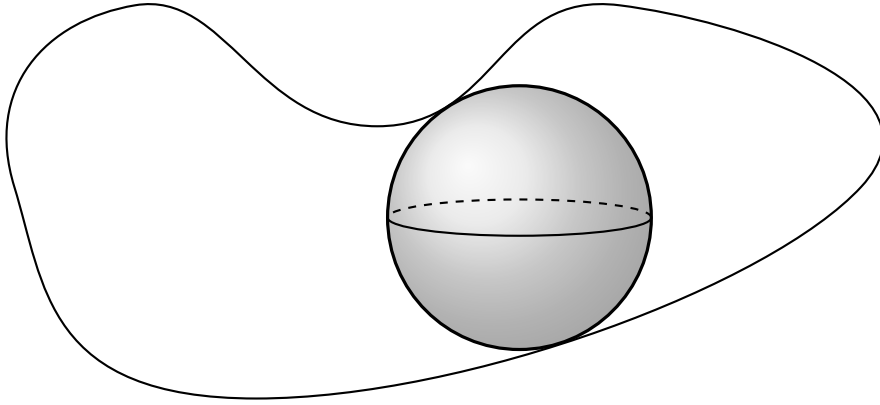


Figure 3.1: Inscribed sphere of maximum radius

**Definition 3.1.1.** Let  $\mathcal{M}$  be a mean convex hypersurface bounding an open set  $\Omega \subset \mathbb{R}^{n+1}$ , so  $\partial\Omega = \mathcal{M}$ . We say that  $\mathcal{M}$  is  $\alpha$ -**noncollapsed** if for every  $x \in \mathcal{M}$  there exists an open ball  $B$  of radius  $\frac{\alpha}{H(x)}$  touching  $\mathcal{M}$  at  $x$  and contained entirely in  $\Omega$ . In terms of inscribed curvature, this is the same as the inequality

$$k(x) \leq \frac{1}{\alpha} H(x) \quad \text{for all } x \in \mathcal{M}. \quad (3.1.5)$$

We will prove in the following section that noncollapsing is preserved under mean curvature flow.

### 3.2 Differential inequality for inscribed curvature

Following [ACGL22, Bre15] the time evolution equation of inscribed curvature satisfies an inequality which implies noncollapsing. The main difficulty of the proof lies in manipulating the complicated time derivative of  $k$  to get the useful gradient terms with signs.

**Theorem 3.2.1.** Let  $X : M^n \times [0, T) \rightarrow \mathbb{R}^{n+1}$  be a smooth solution of the mean curvature flow with  $\mathcal{M}_0$  properly embedded. Then

$$\frac{\partial k}{\partial t} \leq \Delta k + |A|^2 k - 2 \sum_{\kappa_i < k} \frac{(\nabla_i k)^2}{k - \kappa_i} \quad (3.2.1)$$

where the inequality holds in the viscosity sense.

**Proof.** For any given point  $(x_0, t_0) \in \mathcal{M} \times [0, T)$ , either of the two cases occur

1.  $k(x_0, t_0) = \lim_{y \rightarrow x_0} k(x_0, y, t_0)$ , or
2.  $k(x_0, t_0) = k(x_0, y_0, t_0)$  for some  $y_0 \in \mathcal{M}_{t_0} \setminus \{x_0\}$ .

We will be concentrating only on the second case which happens on an open subset of spacetime, where the supremum is achieved from a sphere touching the hypersurface at two separate points. The first case occurs on a set with measure zero and is covered in Proposition 12.8 in [ACGL22].

Let  $U$  be an open neighborhood of  $x_0$  and  $\psi : U \times (t_0 - \alpha, t_0] \rightarrow \mathbb{R}$  be a smooth function such that  $\psi(x_0, t_0) = k(x_0, t_0)$  and  $\psi(x, t) \geq k(x, t)$  for all  $(x, t) \in U \times (t_0 - \alpha, t_0]$ . We want to prove

$$\frac{\partial \psi}{\partial t} \leq \Delta \psi + |A|^2 \psi - 2 \sum_{i=1}^n \frac{(\nabla_i \psi)^2}{\psi - \kappa_i}. \quad (3.2.2)$$

Define

$$Z(x, y, t) = \frac{1}{2} \psi(x, t) |X(x, t) - X(y, t)|^2 - \langle X(x, t) - X(y, t), \nu(x, t) \rangle \quad (3.2.3)$$

which can be further simplified to

$$Z(x, y, t) = \frac{|X(x, t) - X(y, t)|^2}{2} (\psi(x, t) - k(x, t))$$

for all  $x \neq y$ . Observe that  $Z(x_0, y_0, t_0) = 0$  and  $Z(x, y, t) \geq 0$  for all  $(x, y, t) \in U \times M \times (t_0 - \alpha, t_0]$  from the hypothesis on  $\psi$ .

The space derivatives in local coordinates are

$$\begin{aligned} \frac{\partial Z}{\partial x_i} &= \frac{1}{2} \frac{\partial \psi}{\partial x_i}(x, t) |X(x, t) - X(y, t)|^2 + \psi(x, t) \left\langle X(x, t) - X(y, t), \frac{\partial X}{\partial x_i}(x, t) \right\rangle \\ &\quad - h_i^k(x, t) \left\langle X(x, t) - X(y, t), \frac{\partial X}{\partial x_k}(x, t) \right\rangle \end{aligned} \quad (3.2.4)$$

and

$$\frac{\partial Z}{\partial y_i} = -\psi(x, t) \left\langle \frac{\partial X}{\partial y_i}(y, t), X(x, t) - X(y, t) \right\rangle + \left\langle \frac{\partial X}{\partial y_i}(y, t), \nu(x, t) \right\rangle \quad (3.2.5)$$

### CHAPTER 3. NONCOLLAPSING

Choose normal coordinates around  $x_0$  such that  $h_{ij}(x_0, t_0)$  is diagonal. Then Eq. (3.2.4) at the minima  $(x_0, y_0)$  gives

$$\left\langle \eta, \frac{\partial X}{\partial x_i}(x_0, t_0) \right\rangle = -\frac{1}{2} \frac{1}{\psi(x_0, t_0) - \kappa_i(x_0, t_0)} \frac{\partial \psi}{\partial x_i}(x_0, t_0) |X(x_0, t_0) - X(y_0, t_0)| \quad (3.2.6)$$

where  $\eta = \frac{X(x_0, t_0) - X(y_0, t_0)}{|X(x_0, t_0) - X(y_0, t_0)|}$ . The tangent space at  $y_0$  can be obtained by reflection across the hyperplane with normal  $\eta$ . In particular,

$$\begin{aligned} \nu(y_0, t_0) &= \nu(x_0, t_0) - 2\eta \langle \nu(x_0, t_0), \eta \rangle \\ &= \nu(x_0, t_0) - \psi(x_0, t_0)(X(x_0, t_0) - X(y_0, t_0)). \end{aligned} \quad (3.2.7)$$

Now we choose normal coordinates around  $y_0$ , such that the reflection of  $\frac{\partial X}{\partial x_i}$  across  $\eta$  is  $\frac{\partial X}{\partial y_i}$ , so

$$\frac{\partial X}{\partial y_i} = \frac{\partial X}{\partial x_i} - 2\eta \left\langle \frac{\partial X}{\partial x_i}, \eta \right\rangle \quad (3.2.8)$$

Also,

$$\left\langle \frac{\partial X}{\partial y_i}(y_0, t_0), \frac{\partial X}{\partial x_i}(x_0, t_0) \right\rangle = 1 - 2\eta \left\langle \frac{\partial X}{\partial x_i}(x_0, t_0), \eta \right\rangle^2 \quad (3.2.9)$$

Further, calculating the double space derivatives,

$$\begin{aligned} \frac{\partial^2 Z}{\partial x_i^2}(x, y, t) &= \frac{1}{2} \frac{\partial^2 \psi}{\partial x_i^2} |X(x, t) - X(y, t)|^2 + 2 \frac{\partial \psi}{\partial x_i} \left\langle X(x, t) - X(y, t), \frac{\partial X}{\partial x_i} \right\rangle \\ &\quad + \psi \left\langle \frac{\partial X}{\partial x_i}, \frac{\partial X}{\partial x_i} \right\rangle + \psi \left\langle X(x, t) - X(y, t), \frac{\partial^2 X}{\partial x_i^2} \right\rangle \\ &\quad - \frac{\partial h_i^k}{\partial x_i} \left\langle X(x, t) - X(y, t), \frac{\partial X}{\partial x_k} \right\rangle - h_i^k \left\langle \frac{\partial X}{\partial x_i}, \frac{\partial X}{\partial x_k} \right\rangle \\ &\quad - h_i^k \left\langle X(x, t) - X(y, t), \frac{\partial^2 X}{\partial x_i \partial x_k} \right\rangle. \end{aligned} \quad (3.2.10)$$

Recall we had chosen normal coordinates at  $x_0$  such that the matrix  $h_{ij}(x_0, t_0)$  is diagonal so

$$\frac{\partial^2 X}{\partial x_i^2} = \Gamma_{ii}^k \frac{\partial X}{\partial x_k} - h_{ii} \nu = -\kappa_i \nu.$$

Adding the Eq. (3.2.10) from  $i = 1$  to  $n$ , and evaluating it at  $(x_0, y_0, t_0)$ ,

$$\begin{aligned} \sum_{i=1}^n \frac{\partial^2 Z}{\partial x_i^2}(x_0, y_0, t_0) &= \frac{1}{2} \Delta \psi |X(x_0, t_0) - X(y_0, t_0)|^2 - 2 \sum_{i=1}^n \frac{\partial \psi}{\partial x_i}(x_0, t_0) \left\langle X(x_0, t_0) - X(y_0, t_0), \frac{\partial X}{\partial x_i} \right\rangle \\ &\quad + n\psi + \psi \langle X(x_0, t_0) - X(y_0, t_0), -H(x_0, t_0)\nu(x_0, t_0) \rangle \\ &\quad - \sum_{i=1}^n \frac{\partial H}{\partial x_i}(x_0, t_0) \left\langle X(x_0, t_0) - X(y_0, t_0), \frac{\partial X}{\partial x_i} \right\rangle - H(x_0, t_0) \\ &\quad + |A(x_0, t_0)|^2 \langle X(x_0, t_0) - X(y_0, t_0), \nu(x_0, t_0) \rangle \end{aligned} \quad (3.2.11)$$

### 3.2. DIFFERENTIAL INEQUALITY FOR INSCRIBED CURVATURE

where we used the Codazzi equation  $\sum \partial_i h_i^k = \partial_k H$  for normal coordinates and the mean curvature vector equation  $\Delta X = -H\nu$ . Using Eq. (3.2.6) this can be written as,

$$\begin{aligned} \sum_{i=1}^n \frac{\partial^2 Z}{\partial x_i^2}(x_0, y_0, t_0) &= \frac{1}{2} \left( \Delta \psi(x_0, t_0) + |A(x_0, t_0)|^2 \psi(x_0, t_0) \right. \\ &\quad - \sum_{i=1}^n \frac{2}{\psi(x_0, t_0) - \kappa_i(x_0, t_0)} \left( \frac{\partial \psi}{\partial x_i}(x_0, t_0) \right)^2 \Big) |X(x_0, t_0) - X(y_0, t_0)|^2 \\ &\quad - \sum_{i=1}^n \frac{\partial H}{\partial x_i}(x_0, t_0) \left\langle X(x_0, t_0) - X(y_0, t_0), \frac{\partial X}{\partial x_i}(x_0, t_0) \right\rangle \\ &\quad - H(x_0, t_0) \psi(x_0, t_0) \langle X(x_0, t_0) - X(y_0, t_0), \nu(x_0, t_0) \rangle \\ &\quad + n\psi(x_0, t_0) - H(x_0, t_0). \end{aligned} \quad (3.2.12)$$

Now the mixed derivatives are given by

$$\begin{aligned} \frac{\partial^2 Z}{\partial x_i \partial y_i}(x, y, t) &= -\frac{\partial \psi}{\partial x_i}(x, t) \left\langle \frac{\partial X}{\partial y_i}(y, t), X(x, t) - X(y, t) \right\rangle - \psi(x, t) \left\langle \frac{\partial X}{\partial y_i}(y, t), \frac{\partial X}{\partial x_i}(x, t) \right\rangle \\ &\quad + \left\langle \frac{\partial X}{\partial y_i}(y, t), \frac{\partial \nu}{\partial x_i}(x, t) \right\rangle. \end{aligned}$$

Evaluating this at  $(x_0, y_0, t_0)$  and using Eq. (3.2.6) and Eq. (3.2.9), we get

$$\begin{aligned} \frac{\partial^2 Z}{\partial x_i \partial y_i}(x_0, y_0, t_0) &= -\frac{\partial \psi}{\partial x_i}(x_0, t_0) \left\langle \frac{\partial X}{\partial y_i}(y_0, t_0), X(x_0, t_0) - X(y_0, t_0) \right\rangle \\ &\quad - (\psi(x_0, t_0) - \kappa_i(x_0, t_0)) \left\langle \frac{\partial X}{\partial y_i}(y_0, t_0), \frac{\partial X}{\partial x_i}(x_0, t_0) \right\rangle \\ &= \frac{\partial \psi}{\partial x_i}(x_0, t_0) \left\langle X(x_0, t_0) - X(y_0, t_0), \frac{\partial X}{\partial x_i}(x_0, t_0) \right\rangle \\ &\quad - (\psi(x_0, t_0) - \kappa_i(x_0, t_0)) \left( 1 - 2\eta \left\langle \frac{\partial X}{\partial x_i}(x_0, t_0) \right\rangle^2 \right) \\ &= -(\psi(x_0, t_0) - \kappa_i(x_0, t_0)). \end{aligned}$$

For the second order  $y$  derivative,

$$\begin{aligned} \frac{\partial^2 Z}{\partial y_i^2}(x, y, t) &= -\psi(x, t) \left\langle \frac{\partial^2 X}{\partial y_i^2}(y, t), X(x, t) - X(y, t) \right\rangle - \psi(x, t) \left\langle \frac{\partial X}{\partial y_i}(y, t), -\frac{\partial X}{\partial y_i}(y, t) \right\rangle \\ &\quad + \left\langle \frac{\partial^2 X}{\partial y_i^2}(y, t), \nu(x, t) \right\rangle \end{aligned}$$

so from Eq. (3.2.7) at  $(x_0, y_0, t_0)$ ,

$$\begin{aligned}
 \frac{\partial Z}{\partial y_i^2}(x_0, y_0, t_0) &= \psi(x_0, t_0) \kappa_i(y_0, t_0) \langle X(x_0, t_0) - X(y_0, t_0), \nu(y_0, t_0) \rangle + \psi(x_0, t_0) \\
 &\quad - \kappa_i(y_0, t_0) \langle \nu(y_0, t_0), \nu(x_0, t_0) \rangle \\
 &= \psi(x_0, t_0) \kappa_i(y_0, t_0) (\langle X(x_0, t_0) - X(y_0, t_0), \nu(x_0, t_0) \rangle - \psi(x_0, t_0) |X(x_0, t_0) - X(y_0, t_0)|^2) \\
 &\quad + \psi(x_0, t_0) - \kappa_i(y_0, t_0) (1 - \psi(x_0, t_0) \langle X(x_0, t_0) - X(y_0, t_0), \nu(x_0, t_0) \rangle) \\
 &= \psi(x_0, t_0) - \kappa_i(y_0, t_0). \tag{3.2.13}
 \end{aligned}$$

Now the time derivative is,

$$\begin{aligned}
 \frac{\partial Z}{\partial t}(x_0, y_0, t_0) &= \frac{1}{2} \frac{\partial \psi}{\partial t}(x_0, t_0) |X(x_0, t_0) - X(y_0, t_0)|^2 \\
 &\quad + \psi(x_0, t_0) \langle -H(x_0, t_0) \nu(x_0, t_0) + H(y_0, t_0) \nu(y_0, t_0), X(x_0, t_0) - X(y_0, t_0) \rangle \\
 &\quad - \langle -H(x_0, t_0) \nu(x_0, t_0) + H(y_0, t_0) \nu(y_0, t_0), \nu(x_0, t_0) \rangle \\
 &\quad - \sum_{i=1}^n \frac{\partial H}{\partial x_i}(x_0, t_0) \left\langle X(x_0, t_0) - X(y_0, t_0), \frac{\partial X}{\partial x_i}(x_0, t_0) \right\rangle \\
 &= \frac{1}{2} \frac{\partial \psi}{\partial t}(x_0, t_0) |X(x_0, t_0) - X(y_0, t_0)|^2 \\
 &\quad - \sum_{i=1}^n \frac{\partial H}{\partial x_i}(x_0, t_0) \left\langle X(x_0, t_0) - X(y_0, t_0), \frac{\partial X}{\partial x_i}(x_0, t_0) \right\rangle \\
 &\quad - H(x_0, t_0) \psi(x_0, t_0) \langle X(x_0, t_0) - X(y_0, t_0), \nu(x_0, t_0) \rangle + H(x_0, t_0) - H(y_0, t_0)
 \end{aligned}$$

where  $\nu(x_0, t_0) - \psi(x_0, t_0)(X(x_0, t_0) - X(y_0, t_0)) = \nu(y_0, t_0)$  was used for last equality.

Putting together we get the elliptic term,

$$\begin{aligned}
 \frac{\partial Z}{\partial t}(x_0, y_0, t_0) &- \sum_{i=1}^n \left( \frac{\partial^2 X}{\partial x_i^2} + 2 \frac{\partial^2 Z}{\partial x_i \partial y_i} + \frac{\partial^2 Z}{\partial y_i^2} \right) (x_0, y_0, t_0) \\
 &= \frac{1}{2} \left( \frac{\partial \psi}{\partial t}(x_0, t_0) - \Delta \psi(x_0, t_0) - |A(x_0, t_0)|^2 \psi(x_0, t_0) \right. \\
 &\quad \left. \sum_{i=1}^n \frac{2}{\psi(x_0, t_0) - \kappa_i(x_0, t_0)} \left( \frac{\partial \psi}{\partial x_i}(x_0, t_0) \right)^2 \right) |X(x_0, t_0) - X(y_0, t_0)|^2.
 \end{aligned}$$

As  $(x_0, y_0, t_0)$  is a local minimum of  $Z$ , the left-hand side of the previous equation is negative from which the inequality Eq. (3.2.2) follows.

**Remark.** Notice that the inequality is in one variable however, the proof goes through the maximum principle on a two-variable function. There are a lot of applications of such two-point functions considered in [Bre14, And14].

□



**Corollary (Noncollapsing).** Let  $X : M^n \times [0, T) \rightarrow \mathbb{R}^{n+1}$  be a smooth solution of the mean curvature with  $X(\cdot, 0) = \mathcal{M}_0$  compact and  $\alpha$ -noncollapsed. Then  $X(\cdot, t) = \mathcal{M}_t$  is  $\alpha$ -noncollapsed for all  $t \in [0, T)$ .

**Proof.** From Eq. (3.2.1), it follows that

$$\frac{\partial k}{\partial t} \leq \Delta k + |A|^2 k.$$

Recall that the mean curvature  $H$ , satisfies Eq. (1.4.4) so the time derivative of the quotient  $\frac{k}{H}$  satisfies

$$\begin{aligned} \frac{\partial}{\partial t} \left( \frac{k}{H} \right) &\leq \frac{(\Delta k + |A|^2 k)H - (\Delta H + |A|^2 H)k}{H^2} \\ &= \Delta \left( \frac{k}{H} \right) + \frac{2}{H} \left\langle \nabla \left( \frac{k}{H} \right), \nabla H \right\rangle. \end{aligned}$$

Now maximum principle (for viscosity solutions) yields  $\alpha k \leq H$  for all  $t \in [0, T)$ . □



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