

# Lie Groups

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## 1 4th January 23

One can study Lie Groups from several points of view. The course is aimed to understand the structure of Lie Groups.

**Definition 1.1.** A smooth manifold  $M$  is a Hausdorff space which is locally Euclidean with a smooth atlas i.e. (i) given any  $x \in M$ ,  $\exists$  a chart  $(U, \phi)$ ,  $x \in U \subset M$  with  $\phi : U \rightarrow \phi(U)$  open in  $\mathbb{R}^m$ .  
(ii) We have collection  $\{(U, \phi)\}$  of charts such that

$$\phi(U \cap V) \xrightarrow{\psi \circ \phi^{-1}} \psi(U \cap V)$$

is a diffeomorphism.

Suppose  $f : M \rightarrow N$  is a continuous map between manifolds. We say that  $f$  is smooth if for  $(U, \phi) \in \mathcal{H}(M)$ ,  $(V, \psi) \in \mathcal{H}(N)$  such that  $f(U) \subset V$  and  $\psi \circ f \circ \phi^{-1}$  is smooth.

TO DO : Construction of tangent bundle and vector bundle

## 2 9th Jan 2023

**Definition 2.1.**  $G$  is a Lie group if

1.  $G$  is a smooth manifold
2.  $G$  is also a group s.t

$$\begin{aligned} \mu : G \times G &\rightarrow G \\ (g, h) &\mapsto gh \end{aligned}$$

and

$$\begin{aligned} i : G &\rightarrow G \\ g &\mapsto g^{-1} \end{aligned}$$

are smooth maps.

**Definition 2.2.** A real (or complex) vector space  $V$  together with a bilinear map

$$[, ] : V \times V \rightarrow V$$

is called a **Lie Algebra** if

1.  $[X, Y] = -[Y, X]$  - skew symmetry
2.  $[[X, Y], Z] + [[Y, Z], X] + [[Z, X], Y] = 0$  - Jacobi identity

**Example.** 1.  $(\mathbb{R}, +), (\mathbb{C}, +), V$  any f.d vector space over  $\mathbb{R}$  or  $\mathbb{C}$ .

2.  $(\mathbb{R}^\times, \cdot), (\mathbb{C}^\times, \cdot)$

3.  $S^1 = \{z \in \mathbb{C}^\times \mid |z| = 1\}$

4.  $\text{GL}_n(\mathbb{R}), \text{GL}_n(\mathbb{C})$

5.  $\mathbb{R}^n / \mathbb{Z}^n \cong (\mathbb{R}^n / \mathbb{Z}^n) \cong (S^1)^n$

6. Suppose  $\Gamma \subset V$  is a discrete subgroup. Then  $V/\Gamma$  is a Lie group.

7.  $N$  = unipotent upper triangular matrices,  $B$  = upper triangular matrices. As manifolds  $N \cong \mathbb{R}^{\binom{n}{2}}$  and  $B \cong (\mathbb{R}^\times)^n \times N$ .

8.  $\text{SL}_n(\mathbb{R}) = \{X \in \text{GL}_n(\mathbb{R}) \mid \det X = 1\}, \text{SL}_n(\mathbb{C})$ .

9.  $O(n), SO(n)$ .

10.  $U(n), SU(n)$ .

11.  $\mathbb{H}^\times, S^3$  with quaternion multiplication.

12.  $Sp(n) = \{X \in \text{GL}_n(\mathbb{R}) \mid X \text{ preserves quaternion structure as a subset of } \text{Aut}_{\mathbb{H}} \mathbb{H}^n\}$

**Problem.**  $V/\Gamma \cong \mathbb{R}^k \times (S^1)^{n-k}$  for  $n$ -dimensional vector space  $V$ .

**Theorem 2.1.** Suppose  $G$  is a compact, connected, simple Lie group. Then  $G$  is locally isomorphic to

1.  $SU(n), n \geq 2$  denoted by  $A_{n-1}$
2.  $SO(2n+1), n \geq 2$  denoted by  $B_n$
3.  $Sp(n), n \geq 1$  denoted by  $C_n$
4.  $SO(2n), n \geq 2$  denoted by  $D_n$

or one of the following exceptional Lie group  $G_2, F_4, E_6, E_7, E_8$ .

**Problem.** Prove that  $SL_n(\mathbb{R})$  and  $O(n)$  are smooth manifold, hence Lie groups.

Examples of Lie algebra -

**Example.** 1.  $(V, [\cdot, \cdot] \equiv 0)$  is called trivial Lie algebra.

2.  $(\mathfrak{gl}_n(\mathbb{R}), [A, B] = AB - BA), \mathfrak{gl}_n(\mathbb{C})$

3.  $\mathfrak{sl}_n(\mathbb{R})$  ( $\mathfrak{sl}_n(\mathbb{C})$ ) is the Lie subalgebra of  $\mathfrak{gl}_n(\mathbb{R})$  ( $\mathfrak{gl}_n(\mathbb{C})$ ) consisting of trace 0.

4.  $\mathfrak{so}_n$  is Lie subalgebra of  $\mathfrak{gl}_n(\mathbb{R})$  consisting of skew-symmetric matrices.

**Definition 2.3.** A vector field  $X$  on a Lie group  $G$  is called left invariant if  $(L_g)_*(X_h) = X_{gh}$

### 3 11th Jan 2023

Recall  $\mathbb{H} = \{a + bi + cj + dk : (a, b, c, d) \in \mathbb{R}^4, i^2 = -1, j^2 = -1, k^2 = -1, ij = k, jk = i, ki = j\}$  is the quaternion division algebra with the norm

$$||a + bi + cj + dk||^2 = a^2 + b^2 + c^2 + d^2$$

which satisfies  $||q_1 \cdot q_2|| = ||q_1|| \cdot ||q_2||$

We can put this multiplication on  $S^3 \cong SU(2)$  to get a compact Lie group. To get the isomorphism  $SU(2) \cong S^3$ , we define a map

$$\begin{aligned} \phi : S^3 &\rightarrow SU(2) \\ (a, b, c, d) &\mapsto \begin{bmatrix} a + bi & c + di \\ -(c - di) & a - bi \end{bmatrix} \end{aligned}$$

which is an algebra isomorphism.

**Definition 3.1.** The Lie algebra of  $G$  is the space of all left-invariant vector fields on  $G$ .

We have an isomorphism

$$\begin{aligned} \mathfrak{g} = \text{Lie}(G) &\rightarrow T_e G \\ X &\mapsto X_e \end{aligned}$$

**Example.** Let  $G = \mathbb{R}^n$ , with identity element  $0 \in \mathbb{R}^n$  and left-invariant vector fields  $\{\frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n}\}$ . Then the Lie bracket is

$$[\cdot, \cdot] \equiv 0$$

**Remark.** In general for any abelian Lie group  $G$ , the Lie bracket is  $[\cdot, \cdot] \equiv 0$ .

**Theorem 3.1.** Let  $G$  be a connected Lie group. Then

1.  $\text{Lie}(G) = \mathfrak{g}$  is isomorphic as a vector space to  $T_e(G)$ .
2. Left-invariant vector fields are smooth.
3.  $\text{Lie}(G)$  is closed under Lie bracket.

**Proof.** 1. Let  $X$  be a left-invariant vector field on  $G$ . We need to show that  $Xf$  is smooth for each  $f \in C^\infty(G)$ .

$$\begin{aligned}(Xf)(g) &= X_g f \\ &= (d\lambda_g X_e) f \\ &= X_e(f \circ \lambda_g)\end{aligned}$$

To show that  $Xf$  is smooth, it suffices to show that  $X_e(f \circ \lambda_g)$  is smooth. We realize  $X_e(f \circ \lambda_g)$  as evaluation of a smooth function on a smooth function.

Let  $Y$  be a smooth vector field on  $G$  such that  $Y_e = X_e$

$$Y_e(f \circ \lambda_g) = X_e(f \circ \lambda_g)$$

We look at  $\lambda_g$  as the composition of

$$\begin{aligned}G &\xrightarrow{i_g^2} G \times G \xrightarrow{\mu} G \\ x &\mapsto (g, x) \mapsto gx\end{aligned}$$

Regard  $Y$  as the vector field  $(0, Y)$  on  $G \times G$ . Now

$$\begin{aligned}(0, Y)(f \circ \mu) \circ i_e^1(g) &= (0, Y)_{(g, e)}(f \circ \mu) \\ &= 0_g(f \circ \mu \circ i_g^1) + Y_e(f \circ \mu \circ i_g^2) \\ &= Y_e(f \circ \lambda_g)\end{aligned}$$

which proves the smoothness.

2. Let  $X, Y$  left-invariant vector fields on  $G$ . We must show that  $[X, Y]$  is a left-invariant vector field.

$$\begin{aligned}d\lambda_g([X, Y]_e)f &= [X, Y]_g f \\ &= [X, Y]_e(f \circ \lambda_g) \\ &= X_e(Y(f \circ \lambda_g)) - Y_e(X(f \circ \lambda_g)) \\ &= X_e(d\lambda_g(Yf)) - Y_e(d\lambda_g(Xf))\end{aligned}$$

□

## 4 18 Jan 2023

**Lemma 4.1.** Suppose  $\psi : M \rightarrow N$  is a smooth map. Let  $X_1, X_2$  be vector fields on  $M$ ,  $Y_1, Y_2$  be vector fields on  $N$  such that  $X_i$  is  $\psi$ -related to  $Y_i$ . Then  $[X_1, X_2]$  is  $\psi$ -related to  $[Y_1, Y_2]$ .

**Proof.** Notice that

$$\begin{aligned}
d\psi[X_1, X_2](f) &= [X_1, X_2](f \circ \psi) \\
&= X_1(X_2 f \circ \psi) - X_2(X_1 f \circ \psi) \\
&= X_1(d\psi X_2 f) - X_2(Y_1 f \circ \psi) \\
&= X_1(Y_2 f \circ \psi) - X_2(Y_1 f \circ \psi) \\
&= d\psi X_1(Y_2 f) - d\psi X_2(Y_1 f) \\
&= Y_1 Y_2 f \circ \psi - Y_2 Y_1 f \circ \psi \\
&= [Y_1, Y_2](f) \circ \psi
\end{aligned}$$

□

This lemma proves that the set of left-invariant vector fields forms a Lie algebra.

Consider the Lie group  $GL_n(\mathbb{R})$ . We want to verify the Lie algebra structure on  $\mathfrak{gl}_n(\mathbb{R}) = M_n(\mathbb{R})$  with the isomorphism

$$\begin{aligned}
Lie(GL_n(\mathbb{R})) &\rightarrow \mathfrak{gl}_n(\mathbb{R}) \\
X &\xrightarrow{\beta} X_e
\end{aligned}$$

**Lemma 4.2.**

$$\beta([X, Y]) = [\beta(X), \beta(Y)]$$

**Proof.** Evaluating the bracket on coordinate function  $x_{ij}$ .

$$[X, Y]_e(x_{ij}) = X_e(Y(x_{ij})) - Y_e(X(x_{ij})) \quad (1)$$

Now

$$\begin{aligned}
Y(x_{ij})(g) &= d\lambda_g Y_e(x_{ij}) \\
&= Y_e(x_{ij} \circ \lambda_g) \\
&= \sum_k x_{ik}(g) Y_e(x_{kj})
\end{aligned}$$

Considering the above as function of  $g$  and substituting this in Eq. (1) we get

$$\begin{aligned}
[X, Y]_e(x_{ij}) &= X_e Y_e(x_{ij}) - Y_e X_e(x_{ij}) \\
&= \sum_k \{X_e(x_{ik}) Y_e(x_{kj}) - Y_e(x_{ik}) X_e(x_{kj})\} \\
&= [X_e, Y_e](x_{ij})
\end{aligned}$$

□

**Definition 4.1.** A **Lie subgroup**  $H$  of a Lie group  $G$  is a submanifold  $H \xrightarrow{\alpha} G$  where  $\alpha$  is smooth and a group homomorphism.

We say that  $H$  is closed Lie subgroup if it is Lie subgroup such that  $H \rightarrow \alpha(H)$  is a diffeomorphism.

**Example.** Consider the map  $\mathbb{R} \rightarrow S^1 \times S^1$  given by

$$t \mapsto (e^{2\pi it}, e^{2\pi i\sqrt{2}t})$$

The image is a Lie subgroup of  $S^1 \times S^1$  but it is not a closed Lie subgroup. It is also known as “Skew-line” in the torus.

**Definition 4.2.** Let  $\mathfrak{g}, \mathfrak{h}$  be Lie algebras and  $f : \mathfrak{g} \rightarrow \mathfrak{h}$  be a vector space homomorphism. Then we say that  $f$  is a Lie algebra homomorphism if

$$f([X, Y]) = [f(X), f(Y)]$$

**Theorem 4.3.** Suppose that  $\psi : G \rightarrow H$  is a Lie group homomorphism. Let  $X$  be a left-invariant vector field on  $G$ . Extend  $d\psi(X_e) = Y_e \in T_e H$  to a left-invariant vector field  $Y$  on  $H$ . Then  $X$  and  $Y$  are  $\psi$ -related. This implies  $d\psi_e : \mathfrak{g} \rightarrow \mathfrak{h}$  is a Lie algebra homomorphism.

**Proof.** Consider the commutative diagram

$$\begin{array}{ccc} TG & \xrightarrow{d\psi} & TH \\ \downarrow X & & \downarrow Y \\ G & \xrightarrow{\psi} & H \end{array}$$

We want to show that  $Y \circ \psi = d\psi \circ X$ . Now

$$\lambda_{\psi(g)} \circ \psi = \psi \circ \lambda_g$$

so

$$\begin{aligned} Y_{\psi(g)} &= d\lambda_{\psi(g)} Y_e \\ &= d\lambda_{\psi(g)} d\psi X_e \\ &= d(\lambda_{\psi(g)} \circ \psi)(X_e) \\ &= d(\psi \circ \lambda_g)(X_e) \\ &= d\psi d\lambda_g(X_e) \\ &= d\psi X_g \end{aligned}$$

□

**Theorem 4.4.** Let  $G, H$  be Lie groups with  $G$  connected. Let

$$\phi, \psi : G \rightarrow H$$

be homomorphism of Lie groups such that

$$d\phi = d\psi : T_e G \rightarrow T_e H$$

Then  $\phi = \psi$ .

## 5 23 Jan 2023

I missed the class. Regardless here are some definitions from Warner covered on this day.

**Definition 5.1.** Let  $M$  be a smooth  $d$ -dimensional manifold. For any integer  $1 \leq c \leq d$ , a  **$c$ -dimensional distribution**  $\mathcal{D}$  on manifold is a choice of  $c$ -dimensional subspace  $\mathcal{D}_p \subset T_p M$ .  $\mathcal{D}$  is smooth if for each  $p \in M$  there is an open neighborhood  $U$  of  $p$  and there are  $c$  smooth vector fields  $X_1, \dots, X_c$  on  $U$  which span  $\mathcal{D}_m$  for each  $p \in U$ .

We say  $\mathcal{D}$  is **involutive** if  $[X, Y] \in \mathcal{D}$  whenever  $X, Y \in \mathcal{D}$ .

**Definition 5.2.** A submanifold  $(N, \phi)$  of  $M$  is an integral manifold of a distribution  $\mathcal{D}$  if

$$d\phi(N_p) = \mathcal{D}_{\phi(p)}$$

Suppose there exists an integral manifold  $N$  for a distribution  $\mathcal{D}$ , then for the points on  $N$  the distribution  $\mathcal{D}$  is necessarily involutive. Frobenius theorem states that it is sufficient condition for a distribution to be integral.

**Theorem 5.1. (Frobenius)** Let  $\mathcal{D}$  be a  $c$ -dimensional involutive smooth distribution on  $M$ . Then there exists an integral manifold of  $\mathcal{D}$  passing through each point of  $M$ .

## Differential Ideals

Let  $E^*(M) = \bigoplus_{i=0}^{\infty} E^i(M)$  denote the graded algebra of smooth differential forms over manifold  $M$ .

**Definition 5.3.** Let  $\mathcal{D}$  be a smooth  $p$ -dimensional distribution on  $M$ . A  $q$ -form  $\omega$  is said to **annihilate**  $\mathcal{D}$  if for each  $x \in M$

$$\omega_x(v_1, \dots, v_q) = 0 \quad \text{whenever } v_1, \dots, v_q \in \mathcal{D}_x$$

A form  $\omega \in E^*(M)$  is said to annihilate  $\mathcal{D}$  if each of the homogenous components of  $\omega$  annihilate  $\mathcal{D}$ . Define

$$\mathcal{I}(\mathcal{D}) \doteq \{\omega \in E^*(M) : \omega \text{ annihilates } \mathcal{D}\}$$

**Definition 5.4.** An ideal  $\mathcal{I} \in E^*(M)$  is called a **differential ideal** if it is closed under exterior differentiation; i.e.

$$d(\mathcal{I}) \subset \mathcal{I}.$$

**Theorem 5.2.** A smooth distribution  $\mathcal{D}$  on  $M$  is involutive if and only if the ideal  $\mathcal{I}(\mathcal{D})$  is a differential ideal.



**Theorem 6.1.** If  $\phi : H \rightarrow G$  is a homomorphism of Lie groups and if  $\omega$  is a left-invariant differential form on  $G$ , then  $\phi^*(\omega)$  is again a left-invariant form on  $H$ .

Suppose that  $\phi : H \rightarrow G$  is a homomorphism of Lie groups. Let  $\omega_1, \dots, \omega_d$  be a basis for  $E_{\text{inv}}^1(G)$ . Then

$$\mathcal{I}_\phi = \langle \{\pi_1^* \phi^*(\omega_j) - \pi_2^*(\omega_j)\} : 1 \leq j \leq d \rangle$$

is a left-invariant differential ideal of  $H \times G$ .

**Lemma 6.2.** Suppose  $X_1, \dots, X_d$  is a basis of  $\mathfrak{g}$  dual to  $\omega_1, \dots, \omega_d$ . Suppose the Lie bracket is given by

$$[X_i, X_j] = \sum c_{ij}^k X_k$$

Then the  $C^\infty$  functions  $c_{ij}^k$  are constant. Further,

$$d\omega_i = -c_{kj}^i \omega_k \wedge \omega_j$$

**Proof.** Notice that

$$\begin{aligned} d\omega_k(X_i, X_j) &= -\omega_k([X_i, X_j]) \\ &= -c_{ij}^k \end{aligned}$$

which is a constant because a left-invariant 1-form evaluated on a left-invariant vector field is a constant.  $\square$

**Remark.** The  $c_{ij}^k$  are called the structural constants of  $G$  with respect to the basis  $\{X_i\}$  of  $\mathfrak{g}$ .

**Proof.** Theorem 4.4. Notice that  $\mathcal{I}_\psi = \mathcal{I}_\phi$  since  $d\phi = d\psi$  and these are invariant differential ideals; hence integral manifolds of  $\mathcal{I}_\phi$  and  $\mathcal{I}_\psi$  passing through  $(e, e)$  are the same. Thus,  $\phi = \psi$ .  $\square$

**Lemma 6.3.** Suppose  $G$  is any Hausdorff topological group which is connected. Suppose  $e \in U \subset G$  is any open set. Then

$$G = \bigcup_{n \geq 1} U^n$$

where  $U^n = \{x_1 \cdots x_n | x_i \in U\}$

**Proof.** Since  $e \in U$  is open,  $U^{-1} = \{x^{-1} | x \in U\}$  is also an open neighborhood of  $e$ . Let  $V = U \cap U^{-1}$ . Note that

$$H \doteq \bigcup_{n \geq 1} V^n$$

is a subgroup of  $G$ , and it is open. Since the cosets  $gH$  are also open it follows that  $G = \cup_g H$  being connected must be  $H$ .  $\square$

**Theorem 6.4.** Let  $G$  be a Lie group and  $\mathfrak{h} \subset \mathfrak{g}$  be a Lie subalgebra of  $\mathfrak{g}$ . Then there exists connected Lie subgroup  $H$  of  $G$  such that  $T_e H = \mathfrak{h}$ .

**Proof.** Consider the distribution  $\mathcal{D}$  defined as

$$\mathcal{D}_g = \{X_g | X \in \mathfrak{h}\}$$

on  $G$ . Suppose  $X_1, \dots, X_c$  is a basis of  $\mathfrak{h}$ . Then  $\mathcal{D}$  is generated by  $X_1, \dots, X_c$  and  $\mathcal{D}$  is involutive.  $\square$

**Corollary.** (a) There is a one-to-one correspondence between connected Lie subgroups of  $G$  and Lie subalgebras of  $\mathfrak{g}$ .

(b) Suppose  $(H, i) \leftrightarrow \mathfrak{h} \subset \mathfrak{g}$ . Then  $(H, i)$  is an embedded manifold if and only if  $H$  is closed.

**Theorem 6.5.** Suppose that  $A \subset G$  is an abstract subgroup of  $G$  and if  $A$  has a manifold structure such that  $(A, i) \rightarrow G$  is a submanifold. Then the manifold structure is unique,  $A$  is a Lie group and hence  $(A, i)$  is a Lie subgroup of  $G$ .

**Theorem 6.6. (Adó)** Suppose that  $\mathfrak{g}$  is a finite dimensional Lie algebra. Then  $\mathfrak{g}$  can be realized as a subalgebra of  $\mathfrak{gl}(n, \mathbb{R})$ .

Given any connected Lie group  $G$ , it has a universal cover  $\tilde{G} \xrightarrow{\pi} G$ . Choose  $\tilde{e} \in \pi^{-1}(e) \in \tilde{G}$  such that the following diagram

$$\begin{array}{ccc} \tilde{G} \times \tilde{G} & \xrightarrow{\quad} & \tilde{G} \\ \pi \times \pi \downarrow & & \downarrow \pi \\ G \times G & \xrightarrow{\quad} & G \end{array}$$

commutes.

**7 30 Jan 2023**

**Lemma 7.1.** Suppose that  $G$  is a connected Lie group. Then  $\pi_1(G)$  is abelian.

**Proof.** Suppose  $\sigma, \tau : I \rightarrow G$  be two loops. Define  $\sigma \cdot \tau$  by

$$(\sigma \cdot \tau)(s) = \sigma(s) \cdot \tau(s)$$

Then we have

$$\sigma * \tau \cong \sigma \cdot \tau$$

where  $*$  denote the product in the fundamental group  $\pi_1(G)$  (given by concatenation) and  $\cong$  denotes equivalent in homotopy. Also,

$$\sigma \cdot \tau \cdot \sigma^{-1} \cong \tau$$

which implies  $\sigma\tau \cong \tau \cdot \sigma$   $\square$

**Theorem 7.2.** Suppose that  $G$  and  $H$  are Lie groups with Lie algebras  $\mathfrak{g}$  and  $\mathfrak{h}$  with  $G$  simply connected. Let  $\tilde{\phi} : \mathfrak{g} \rightarrow \mathfrak{h}$  be a Lie algebra homomorphism. Then there exists a Lie group homomorphism

$$\phi : G \rightarrow H$$

such that  $d\phi_e : T_e(G) = \mathfrak{g} \rightarrow \mathfrak{h} = T_e H$  is equal to  $\tilde{\phi}$ .

**Proof.** Let  $\{\omega_i\}$  be a basis for invariant differential forms in  $E^1(H)$ . Let  $\mathcal{I}$  be the ideal generated by  $\{\pi_1^* \tilde{\phi}^*(\omega_j) - \pi_2^*(\omega_j) | 1 \leq j \leq d\}$ . Then  $\mathcal{I}$  is an invariant differential ideal of  $G \times H$ , so it comes from vanishing of an integrable submanifold of  $G \times H$  passing through  $(e, e)$ .

Then  $M$  is a Lie subgroup of  $G \times H$  and  $M \xrightarrow{p} G$  obtained by restriction of  $\pi_1$  is a group homomorphism and also a local diffeomorphism. So  $p : M \rightarrow G$  is a covering projection but  $G$  is simply connected so  $p$  is a diffeomorphism

$$G \xrightarrow{p^{-1}} M \hookrightarrow G \times H \rightarrow H.$$

□

**Corollary.** 1. Suppose  $\mathfrak{g} \cong \mathfrak{h}$  as Lie algebras and  $G$  and  $H$  are simply connected. Then  $G \cong H$  as Lie groups.

2. There exists a one-to-one correspondence between (finite dimensional) Lie algebras and simply connected Lie groups.

3. The differential structure of a Lie group is determined by its Lie algebra.

If  $G$  is a topological group which is locally Euclidean, does it support a Lie group structure? The answer is yes but the proof is quite difficult.

## Exponential map

Let  $X$  be a left-invariance vector field on  $G$ . We have a Lie algebra homomorphism

$$\begin{aligned} \text{Lie}(\mathbb{R}) &\cong \mathbb{R} \rightarrow \mathfrak{g} \\ c \frac{d}{dt} &\rightarrow cX \end{aligned}$$

This yields a Lie group homomorphism

$$\begin{aligned} \mathbb{R} &\xrightarrow{\exp_X} G \\ x &\mapsto \exp_X(x) \end{aligned}$$

then  $d\exp_X(c \frac{d}{dt}) = cX$ . The map

$$\begin{aligned} \mathfrak{g} &\xrightarrow{\exp} G \\ X &\mapsto \exp_X(1) \end{aligned}$$

is called the **exponential map**.

**Theorem 7.3.** Let  $X \in \text{Lie}(G)$ . Then

1.  $\exp(tX) = \exp_X(t)$
2.  $\exp(t_1X_1 + t_2X) = \exp(t_1X) \cdot \exp(t_2X)$
3.  $\exp(-tX) = (\exp(tX))^{-1}$
4.  $\exp : \mathfrak{g} \rightarrow G$  is smooth and  $d\exp : T_0\mathfrak{g} \rightarrow T_eG = \mathfrak{g}$  is the identity map
5.  $\lambda_g \circ \exp_X : \mathbb{R} \rightarrow G$  is the unique integral curve of  $X$  which is based at  $g$ .
6. The left-invariant vector fields are complete, i.e. their integral curves exist for all time.
7. The one-parameter group of diffeomorphism  $\psi_{X,t}$  for  $t \in \mathbb{R}$  is given by

$$\psi_{X,t} = \rho_{\exp_X(t)}$$

where  $\rho_g$  denote right-multiplication by  $g$ .

**Theorem 7.4.** Suppose  $\psi : H \rightarrow G$  is a Lie group homomorphism. Then

$$\begin{array}{ccc} \mathfrak{h} & \xrightarrow{d\psi} & \mathfrak{g} \\ \exp \downarrow & & \downarrow \exp \\ H & \xrightarrow{\psi} & G \end{array}$$

commutes.

[DO THIS COMMUTATIVE DIAGRAM.]

**8 1 Feb 2023**

**Theorem 8.1.** Suppose that  $\mathfrak{h} \subset \mathfrak{g}$  is a Lie subalgebra where  $\text{Lie}(G)$ . Let  $A \subset G$  an abstract subgroup such that there exists a neighbourhood  $0 \in V \subset \mathfrak{g}$  such that

$$\exp(V \cap \mathfrak{h}) = U \cap H$$

for some neighborhood  $e \in U \subset G$ . Then  $H$  has a unique manifold structure such that  $(H, i) \hookrightarrow G$  is an embedded submanifold of  $G$  and  $H$  is closed in subset topology.

**Remark.** Lines with irrational slope in torus doesn't satisfy the hypothesis.

## Matrix exponentiation

Recall that  $\mathfrak{gl}(n, \mathbb{R})$  denotes the Lie algebra of  $n \times n$  matrices over  $\mathbb{R}$  and similarly for  $\mathfrak{gl}(n, \mathbb{C})$ .

**Definition 8.1.** Define a map

$$\begin{aligned} \mathfrak{gl}(n, \mathbb{C}) &\rightarrow \mathrm{GL}(n, \mathbb{C}) \\ A &\mapsto e^A = \sum_{k=0}^{\infty} \frac{A^k}{k!} \end{aligned}$$

It can be proved that the series is convergent with sup norm and further we have a lemma

**Lemma 8.2.** If  $AB = BA$  then

$$e^{A+B} = e^A e^B$$

which can be used to prove that  $e^A \in \mathrm{GL}(n, \mathbb{C})$ , so the definition makes sense.

Fix  $A$  and consider the function

$$\mathbb{R} \ni t \mapsto e^{tA} \in \mathrm{GL}(n, \mathbb{C})$$

then its derivative is

$$\left. \frac{d}{dt} \right|_{t=0} e^{tA} = A$$

because we can differentiate term by term in uniform convergence. This confirms Theorem 7.3 4th part.

The left-invariant vector field given by  $A \in \mathfrak{gl}(n, \mathbb{C})$  is just multiplication by  $A$  on the right. Thus,  $t \mapsto e^{tA}$  is the integral curve associated to the vector field  $A \in \mathfrak{gl}(n, \mathbb{C})$  based at  $I$ . Hence, this is the exponential map in the cases of  $\mathrm{GL}(n, \mathbb{C})$ .

**Theorem 8.3.** The exponential map  $\exp : \mathfrak{g} \rightarrow G$  is smooth.

**Proof.** Let  $X \in \mathfrak{g}$  and consider the map

$$\begin{aligned} V : G \times \mathfrak{g} &\rightarrow TG \times \mathfrak{g} \\ (g, X) &\mapsto (X_g, 0) \end{aligned}$$

then  $V$  is smooth. Also,  $V$  is left-invariant on  $G \times \mathfrak{g}$ . Consider the integral curve  $\gamma$  based at  $(g, X)$  of  $V$ . Then

$$\gamma_V(t) = (g \exp_X(t), X)$$

because of left invariance so

$$\gamma_V(1) = (g \exp(X), X)$$

$$\begin{aligned} G \times \mathfrak{g} &\xrightarrow{\gamma_V(1)} G \times \mathfrak{g} \xrightarrow{\pi} G \\ (e, X) &\mapsto \gamma_V(1) \rightarrow \exp(X) \end{aligned}$$

□

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Note that exponential map commutes with Lie group homomorphisms. Using Ado's theorem we get that for any Lie group

$$\begin{array}{ccc} G & \xrightarrow{\psi} & GL(n, \mathbb{C}) \\ \uparrow \exp & & \uparrow \exp \\ \mathfrak{g} & \longrightarrow & \mathfrak{gl}(n, \mathbb{C}) \end{array}$$

Consider the Lie group  $SL(n, \mathbb{C}) = \{X \in GL(n, \mathbb{C}) | \det(X) = 1\}$ , for any  $A \in \mathfrak{gl}(n, \mathbb{C})$  upper triangular with diagonal entries  $\lambda_1, \dots, \lambda_n$  then

$$\det(e^A) = e^{\lambda_1 + \dots + \lambda_n} = e^{\text{tr}(A)}$$

Now  $\mathfrak{sl}(n, \mathbb{C}) = \{A \in \mathfrak{gl}(n, \mathbb{C}) | \text{tr}(A) = 0\}$ , then  $\mathfrak{sl}(n, \mathbb{C})$  is a Lie subalgebra of  $\mathfrak{gl}(n, \mathbb{C})$  and exponential maps  $\mathfrak{sl}(n, \mathbb{C})$  to the Lie subgroup  $SL(n, \mathbb{C})$ . As  $SL(n, \mathbb{C})$  is a closed subgroup of  $GL(n, \mathbb{C})$  and dimension  $2(n^2 - 1)$ . Using Theorem 8.1 on an appropriate neighborhood we can complete the proof.

Lie subgroup	Lie subalgebra $\mathfrak{gl}(n, \mathbb{C})$
$U(n) \longleftrightarrow$	$u(n) = \text{skew-Hermitian matrices}$
$SU(n) \longleftrightarrow$	$su(n) = \text{skew-Hermitian} + \text{trace} = 0$

Prove the above given correspondence using this lemma (TO DO).

**Lemma 9.1.** Suppose that  $P \in GL(n, \mathbb{C})$  and  $A \in \mathfrak{gl}(n, \mathbb{C})$ , then

$$Pe^A P^{-1} = e^{PAP^{-1}}.$$

**Theorem 9.2 (Baker-Campbell-Hausdorff formula).** Let  $\mathfrak{g}$  be a Lie algebra corresponding to a connected Lie group  $G$ . Then in a neighborhood  $U$  of the identity, the multiplication  $U \times U \rightarrow G$  is completely determined by Lie algebra structure of  $\mathfrak{g}$ . There is a formula for  $Z = Z(X, Y)$ ,  $X, Y \in V \subset \mathfrak{g}$ , where  $e^X \cdot e^Y = e^Z$

$$Z = X + Y + \frac{1}{2}[X, Y] + \frac{1}{12}[X, [X, Y]] + \dots$$

Consider

$$\begin{aligned} e^{tX} \cdot e^{tY} &= \left( \sum \frac{t^k X^k}{k!} \right) \left( \sum \frac{t^l Y^l}{l!} \right) \\ &= \sum_{m \geq 0} \left( \sum_{k+l=m} \frac{X^k Y^l}{k!l!} \right) t^m \end{aligned}$$

Suppose  $Z = tZ_1 + t^2Z_2 + t^3Z_3 \dots$ , then

$$\begin{aligned} e^Z &= 1 + (tZ_1 + t^2Z_2 + \dots) + \frac{(tZ_1 + t^2Z_2 + \dots)^2}{2!} + \dots \\ &= 1 + t(Z_1) + t^2 \left( Z_2 + \frac{Z_1^2}{2!} \right) \end{aligned}$$

So we get  $Z_1 = X + Y$ ,

$$\begin{aligned} \frac{X^2}{2!} + XY + \frac{Y^2}{2!} &= Z_2 + \frac{Z_1^2}{2!} \\ &= Z_2 + \frac{1}{2} (X^2 + XY + YX + Y^2) \end{aligned}$$

so  $Z_2 = XY - \frac{1}{2}(XY + YX) = \frac{1}{2}(XY - YX) = \frac{1}{2}[X, Y]$

**Theorem 9.3.** Suppose that  $\psi : R \rightarrow G$  is a continuous homomorphism. The  $\psi$  is smooth.

**Proof.** It is enough to show that  $\psi$  is smooth at 0. Let  $U$  be a star-like neighborhood of  $0 \in \mathfrak{g}$  such that  $\exp|_U : U \rightarrow G$  is a diffeomorphism onto  $\exp(U)$ . Let  $U' = \{\frac{X}{2} | X \in U\}$ . Choose  $Y \in U'$  and let  $\psi(t_0) = \exp(Y)$ . Choose  $t_0 > 0$  such that

$$\psi([-t_0, t_0]) \subset \exp(U')$$

Let  $n \geq 2$ , and suppose that  $X \in U'$  such that  $\exp(X) = \psi(\frac{t_0}{n})$ . Claim  $nX = Y$  □

**10 6 Feb**

**11 8 Feb**

**12 13 Feb**

**Definition 12.1.** Let  $\mathfrak{a} \in \mathfrak{g}$  be a Lie subalgebra of a Lie algebra  $\mathfrak{g}$ . We say that  $\mathfrak{a}$  is an **ideal** in  $\mathfrak{g}$  if  $[X, Y] \in \mathfrak{a}$  for all  $X \in \mathfrak{g}$  and  $Y \in \mathfrak{a}$ .

**Theorem 12.1.** Suppose  $A \subset G$  is a connected Lie subgroup of a connected Lie group  $G$ . Then  $A$  is normal in  $G$  if and only if  $\mathfrak{a} = \text{Lie}(A)$  is an ideal in  $\mathfrak{g}$ .

**Proof.** Suppose that  $\mathfrak{a} \subset \mathfrak{g}$  is an ideal. Let  $g \in G$ ,  $h \in A$ . We must show that  $ghg^{-1} \in A$ , to do this it is enough to show this for  $g$  in a neighborhood of  $e$  and  $h$  in a neighborhood of  $e$  in  $A$ . So we may write  $g = \exp X$ ,  $h = \exp Y$

$$\begin{aligned} ghg^{-1} &= \exp \circ \text{Ad}_g(Y) \\ &= \exp \text{Ad}_{\exp(X)}(Y) \\ &= (\exp(\exp(\text{id}_X))) \\ &= \exp \left( I + \text{ad}_X + \frac{\text{ad}_X^2}{2!} + \dots \right) (Y) \\ &= \exp \left( Y + [X, Y] + \frac{[X, [X, Y]]}{2!} + \dots \right) \in A \end{aligned}$$

Now assume  $A$  is normal in  $G$ . Let  $X \in \mathfrak{g}$ ,  $Y \in \mathfrak{a}$ . Write  $g_t = \exp tX$ . We know that

$$\begin{aligned} A \ni g_t(\exp(sY))g_t^{-1} &= \exp(\text{Ad}_{g_t}(sY)) \\ &= \exp(s \text{Ad}_{g_t}) \\ &= \exp(s \exp \text{ad}_{tX}(Y)) \end{aligned}$$

This implies  $\exp \text{ad}_{tX}(Y) \in \mathfrak{a}$  so  $Y + t[X, Y] + \frac{t^2}{2!}[X, [X, Y]] + \dots$  and using  $\frac{d}{dt} \Big|_{t=0} \exp \text{ad}_{tX}(Y) = [X, Y] \in \mathfrak{a}$ . □

**Definition 12.2.** The center of a Lie algebra  $\mathfrak{g}$  is the vector space  $\mathfrak{z} = \mathfrak{z}(\mathfrak{g}) = \{X \in \mathfrak{g} \mid [X, Y] = 0 \forall Y \in \mathfrak{g}\}$ .

**Remark.** Note that  $\mathfrak{z}$  is trivial Lie subalgebra of  $\mathfrak{g}$ .

**Theorem 12.2.** Let  $Z = Z(G)$  be the center of  $G$ . Then  $Z(G) = \ker(\text{Ad} : G \rightarrow \text{GL}(\mathfrak{g}))$ .

**Proof.** If  $g \in Z(G)$ , then  $i_g : G \rightarrow G = \text{id}_G$  where  $i_g$  is the conjugation map. Taking the differential, this implies  $\text{Ad}_g : \mathfrak{g} \rightarrow \mathfrak{g}$  is identity, hence  $g \in \ker(\text{Ad})$ .

Suppose that  $g \in \ker(\text{Ad})$ , so  $\text{Ad}_g(X) = X$ . Let  $X \in \mathfrak{g}$  then

$$\begin{aligned} \exp tX &= \exp(t \text{Ad}_g(X)) \\ &= g \exp(tX) g^{-1} \end{aligned}$$

so  $g$  commutes with elements  $\exp(tX)$  in a neighborhood of  $e$ , but that is enough since elements of the form  $\exp tX$  for any  $t \in \mathbb{R}$ ,  $X \in \mathfrak{g}$  generate  $G$ . Therefore,  $g \in Z(G)$ . □

**Proposition 12.3.** If  $X, Y \in \mathfrak{g}$  are such that  $[X, Y] = 0$ . Then

$$\exp(X + Y) = \exp(X) \exp(Y).$$

**Proof.** Let  $\mathfrak{a} = \mathbb{R}X + \mathbb{R}Y$ . Then  $\mathfrak{a}$  is abelian subalgebra of  $\mathfrak{g}$ . Then the corresponding Lie subgroup  $A$  is abelian. Define  $\alpha : \mathbb{R} \rightarrow G$  such that

$$\alpha(t) = \exp(tX) \exp(tY) \in A$$

It follows that  $\alpha(s + t) = \alpha(s)\alpha(t)$  since  $A$  is abelian. Now  $\alpha(t) = \exp(tZ)$  for some  $Z \in \mathfrak{g}$  where  $Z = \frac{d}{dt} \Big|_{t=0} \alpha(t)$ .

$$\begin{aligned} \frac{d}{dt} \alpha(t) &= \frac{d}{dt} \Big|_{t=0} \exp(tX) + \frac{d}{dt} \Big|_{t=0} \exp(tY) \\ &= X_e + Y_e \end{aligned}$$

So  $Z_e = X_e + Y_e$  and  $\exp(tZ) = \exp(tX) \exp(tY)$  for all  $t \in \mathbb{R}$ . □



## 13 15 Feb

**Motivation.** We will try to look into automorphism group of Lie group now and the expectation is that it is a Lie group itself.

Let  $\psi : V \otimes V \rightarrow V$  be a linear map. Consider the sets

$$A_\psi(V) = \{\alpha \in \text{GL}(V) | (\alpha u, \alpha v) = \alpha((u, v))\},$$

i.e. the diagram commutes

$$\begin{array}{ccc} V \otimes V & \xrightarrow{\psi} & V \\ \downarrow \alpha \otimes \alpha & & \downarrow \alpha \\ V \otimes V & \xrightarrow{\psi} & V \end{array}$$

and

$$\text{Dev}_\psi(V) = \{f \in \text{End}(V) | f(\psi(u, v)) = \psi(f(u), v) + \psi(u, f(v))\}$$

**Proposition 13.1.** 1.  $A_\psi(V)$  is a closed subgroup of  $\text{GL}(V)$ .

2.  $\text{Dev}_\psi(V)$  is a Lie subalgebra of  $\mathfrak{g}(V)$ .

**Proof.** TO DO □

**Theorem 13.2.** Lie algebra of  $A_\psi(V)$  equals  $\text{Dev}_\psi(V)$ .

**Proof.** Let  $\mathfrak{a} = \text{Lie}(A_\psi(V)) \subset \mathfrak{g}(V) = \text{End}(V)$ . We must show that  $\mathfrak{a} = \text{Dev}_\psi(V)$ . Suppose that  $f \in \mathfrak{a}$ , then  $\exp(tf) \in A_\psi(V)$  for all  $t$ . We need to show that

$$f \circ \psi = \psi \circ (f \otimes 1 + 1 \otimes f)$$

To do this, let  $u, v \in V$ , then

$$\begin{aligned} \exp tf(u, v) &= (\exp tf(u), \exp tf(v)) \\ &= (u, v) + (tf(u), v) + (u, tf(v)) + \text{higher powers of } t \end{aligned}$$

so

$$f(u, v) = \left. \frac{d}{dt} \right|_{t=0} \exp tf(u, v) = (f(u), v) + (u, f(v))$$

so  $f \in \text{Dev}_\psi(V)$ .

Let  $f \in \text{Dev}_\psi(V)$ , we must show that

$$\begin{aligned} \exp(tf)(u, v) &= (\exp(tf)u, \exp(tf)v) \\ \text{i.e.} \quad \exp(tf) \circ \psi &= \psi \circ (\exp(tf) \otimes \exp(tf)) \quad \forall u, v \in V \text{ and } \forall t \in \mathbb{R} \end{aligned}$$

As  $f \in \text{Dev}_\psi(V)$ , we have

$$\begin{aligned} f \circ \psi &= \psi \circ (f \otimes 1 + 1 \otimes f) \\ f^2 \circ \psi &= f \circ f \circ \psi \\ &= f \circ \psi \circ (f \otimes 1 + 1 \otimes f) \\ &= \psi \circ (f \otimes 1 + 1 \otimes f)^2 \end{aligned}$$

By induction,

$$f^n \circ \psi = \psi \circ (f \otimes 1 + 1 \otimes f)$$

and  $f \otimes 1, 1 \otimes f : V \otimes V \rightarrow V \otimes V$  commutes. It follows that

$$\begin{aligned} \exp(tf) \circ \psi &= \sum \left( \frac{t^k f^k}{k!} \circ \psi \right) \\ &= \sum \frac{t^k}{k!} \psi \circ (f \otimes 1 + 1 \otimes f)^k \\ &= \psi \circ \sum \frac{t^k}{k!} (f \otimes 1 + 1 \otimes f)^k \\ &= \psi \circ \exp(tf \otimes 1 + 1 \otimes tf) \\ &= \psi \circ (tf \otimes 1) \circ \exp(1 \otimes tf) \\ &= \psi \circ \exp(tf \otimes tf) \\ &= \psi(\exp(tf) \otimes \exp(tf)) \end{aligned}$$

□

Let  $V = \mathfrak{g} = \text{Lie}(G)$  and  $\psi = [\cdot, \cdot] : \mathfrak{g} \otimes \mathfrak{g} \rightarrow \mathfrak{g}$  be the Lie bracket. Then

$$A_\psi(V) = \text{Aut}_{\text{Lie}}(\mathfrak{g}) \subset \text{GL}(\mathfrak{g})$$

and

$$\text{Der}_\psi(V) = \text{Lie}(\text{Aut}(\mathfrak{g}))$$

by the theorem. Note that  $G \xrightarrow{\text{Ad}} \text{GL}(\mathfrak{g})$  factors through  $G \rightarrow \text{Aut}_{\text{Lie}}(\mathfrak{g})$  and  $\mathfrak{g} \xrightarrow{\text{ad}} \text{Der}(\mathfrak{g})$ .

Let  $V$  be a finite dimensional vector space. Consider a bilinear form

$$B : V \times V \rightarrow F,$$

equipped with a linear map

$$V \otimes V \rightarrow F$$

An element  $g \in \text{GL}(V)$  is  $B$ -invariant if

$$(u, v) = (gu, gv) \quad \forall u, v \in V$$

An element  $f \in \text{End}(V)$  is  $B$ -invariant if

$$(fu, v) + (u, fv) = 0$$

Then  $O_B(V) = \{g \in \text{GL}(V) | g \text{ is } B\text{-invariant}\}$  is a closed Lie subgroup of  $\text{GL}(V)$  with Lie algebra  $B$ -invariant linear map endomorphisms of  $V$ .

**Example.** Take  $V = \mathbb{R}^n$  and  $B$  is the standard inner product. Then  $O_B(V) = O(n)$ .

## 14 1 March

Missed

## 15 6 March

Missed

## 16 8 March

Missed

## 17 13 March

### Fundamental group of Lie groups

Reference - Hall (?)

### Complexification

Let  $V$  be a real vector space. Then the complexification is the vector space  $V \otimes_{\mathbb{R}} \mathbb{C} = V_{\mathbb{C}}$ . If  $V$  is a Lie algebra, then  $V_{\mathbb{C}}$  is a Lie algebra where the bracket operates on  $V_{\mathbb{C}}$  is the  $\mathbb{C}$ -linear extension of that on  $V$ . It is given by

$$[X + iY, X' + iY'] = [X, X'] - [Y, Y'] + i([X, Y'] + [X', Y])$$

for all  $X, Y, X', Y' \in V$ . Suppose that  $V$  is a real Lie algebra and  $W$  is a complex Lie algebra. Suppose  $f : V \rightarrow W$  is a Lie algebra homomorphism where  $W$  is regarded as a  $\mathbb{R}$ -Lie algebra. Then  $f$  extends to a unique complex Lie algebra homomorphism

$$f_{\mathbb{C}} : V \otimes \mathbb{C} \rightarrow W$$

Suppose that  $W = V + iV$  as  $\mathbb{C}$  vector space and where  $V \cap iV = 0$  (internal direct sum). Then we say that  $V$  is a real form of  $W$ .

Suppose  $W$  is a complex Lie algebra and  $V$  is a real Lie subalgebra contained in  $W$  which is a real form of  $W$ . Then

$$V_{\mathbb{C}} \equiv W$$

as  $\mathbb{C}$ -Lie algebra.

Q. Given a Lie algebra, when is it the Lie algebra of a compact Lie group?

A. Something about Killing form and non-degeneracy of complexified Lie algebra and semisimple Lie algebra.

## 18 20 March

Suppose that  $\psi : H \rightarrow G$  is a Lie algebra homomorphism into a connected  $\mathbb{C}$ -Lie group  $G$ . Then  $d\psi : \mathfrak{h} \rightarrow \mathfrak{g}$  extends to a complex Lie algebra homomorphism

$$\mathfrak{h}_{\mathbb{C}} \xrightarrow{d\psi \otimes \mathbb{C}} \mathfrak{g}.$$

**Definition 18.1.** We say that  $\psi : H \rightarrow G$  is a complexification of  $H$  if for any complex Lie group  $L$  and any real Lie group homomorphism  $f : H \rightarrow L$ , there exists a unique complex

Lie group homomorphism  $\phi : G \rightarrow L$  such that

$$f = \phi \circ \psi.$$

Also,

**Definition 18.2.** A homomorphism of Lie groups  $\psi : G \rightarrow L$  is a complex Lie group homomorphism if  $G, L$  are complex and  $d\psi : \mathfrak{g} \rightarrow \mathfrak{l}$  is a complex Lie algebra homomorphism.

Idea : Like we can complexify a real Lie algebra, we would like to have a concept of complexification of a Lie group, but we may not be able to do so for all real Lie groups.

Given a complex Lie group  $G$ , any  $(H, \psi)$  whose complexification is  $G$  will be called a real form. For a given complex Lie group there can be more than one real form. E.g. consider  $SU(n) \subset SL(n, \mathbb{C})$ , and it can be proven that  $SU(n)$  is a real form of  $SL(n, \mathbb{C})$  (also called compact form since  $SU(n)$  is compact) by dimension analysis.

Consider the diagram

$$\begin{array}{ccc} SU(n) & \xrightarrow{f} & L \\ \downarrow \psi & \nearrow \exists? \phi & \\ SL(n, \mathbb{C}) & & \end{array}$$

where  $L$  is a complex Lie group. At the Lie algebra level

$$\begin{array}{ccc} \mathfrak{su}(n) & \xrightarrow{df} & L \\ \downarrow & \nearrow \theta & \\ \mathfrak{sl}(n, \mathbb{C}) & & \end{array}$$

where  $\mathfrak{sl}(n, \mathbb{C}) = \mathfrak{su}(n) + i\mathfrak{su}(n)$ ,  $\exists SL(n, \mathbb{C}) \xrightarrow{\phi} L$  such that  $d\phi = \theta$  since  $SL(n, \mathbb{C})$  is simply connected. Then  $\phi$  restricts to  $f$  since  $d\phi|_{\mathfrak{su}(n)} = df$ .

**Theorem 18.1.** Let  $K$  be a compact connected Lie group. Then there exists a complex Lie group  $K_{\mathbb{C}}$  and a Lie group homomorphism  $f : K \rightarrow K_{\mathbb{C}}$  such that

1.  $f_* : \pi_1(K) \rightarrow \pi_1(K_{\mathbb{C}})$  is an isomorphism.
2.  $\text{Lie}(K_{\mathbb{C}}) = \text{Lie}(K) \otimes \mathbb{C}$ .
3.  $K_{\mathbb{C}}$  is the compactification of  $K$ .

**Theorem 18.2.** Suppose that  $G$  is a complex linear connected semisimple Lie group. Then any maximal compact Lie subgroup  $K \subset G$  is a real form of  $G$ .

## 19 22 March

Let  $\beta$  be a symmetric bilinear form on  $V$ , where  $V$  is a finite-dimensional vector space over  $\mathbb{R}$  or  $\mathbb{C}$ . Let  $Q$  be the associated quadratic form

$$Q : V \rightarrow \mathbb{R} \quad \text{or} \quad Q : V \rightarrow \mathbb{C}$$

$$Q(\lambda v) = \lambda^2 v$$

We have  $Q(V) = \beta(v, v)$ , and  $\beta(u, v) = \frac{Q(u+v) - Q(u) - Q(v)}{2}$ . Suppose that  $(V, \beta)$ ,  $(V, \beta')$  are quadratic spaces. Then we say that  $(V, \beta)$ ,  $(V, \beta')$  are equivalent if there exists  $T : V \rightarrow V$  such that

$$\beta'(u, v) = \beta(Tu, TV) \quad \forall u, v \in V$$

Suppose that  $v_1, \dots, v_n$  is a basis for  $V$ . Then the matrix of  $\beta$  is  $B = (\beta(v_i, v_j))$ .

Let  $B, B'$  be the matrices of  $\beta, \beta'$ . Then  $(V, \beta)$ ,  $(V, \beta')$  are equivalent if there exists  $T \in M_n(F)$  such that

$$B = {}^t T B T$$

where  ${}^t$  denotes transpose. Now if  $x = (x_1 \dots x_n)^t$ ,  $y = (y_1, \dots, y_n)^t$  are vectors in  $F^n \equiv V$ , then

$$x^t B y = \beta(x, y)$$

and

$$\begin{aligned} \beta'(x, y) &= \beta(Tx, Ty) \\ &= x^t T^t B T y \\ &= x^t B' y \end{aligned}$$

which proves the statement. Suppose  $E_1 \subset E$ ,  $(E, \beta)$  is a quadratic space. Then  $(E_1, \beta|_{E_1})$  is a quadratic space.

$$E_1^\perp = \{x \in E : \beta(x, y) = 0 \forall y \in E_1\}$$

**Lemma 19.1.** Suppose that  $E_1 \subset E$  and  $(E, \beta|_{E_1})$  is non-degenerate. Then

$$E = E_1 \oplus E_1^\perp = E_1 \perp E_1^\perp$$

If  $(E, \beta)$  is non-degenerate, then  $(E_1^\perp, \beta|_{E_1^\perp})$  is also non-degenerate.

**Proof.** TO DO. □

Example - Consider the quad space  $(H, \beta)$  where  $H = \mathbb{R}^2$  and  $Q((x, y)) = x^2 - y^2$ . Then  $(H, \beta) \cong (H, \beta')$  where  $Q'((x, y)) = xy$ . One can calculate that

$$B = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

and

$$B' = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix},$$

so the forms are non-degenerate and similar using transformation  $T = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$ . Suppose  $(V, \beta)$  is non-singular, if  $\beta|_E \equiv 0$ , then  $\dim E \leq \frac{1}{2} \dim V$ . Further

**Lemma 19.2.** If  $(V, \beta)$  is non-singular then

$$V = V_1 \oplus \cdots \oplus V_n$$

where each  $V_i$  is 1-dimensional and  $(V_i, \beta|_{V_i})$  is non-degenerate,  $V_i \perp V_j$  if  $i \neq j$ , i.e. there exists a basis of  $V$  with respect to the matrix  $B$  of  $\beta$  is diagonal.

**Proof.** The proof is by induction on dimension. First suppose that  $v \in V$  is non-zero then choose  $V_1 = Fv$  then

$$V = V_1 \oplus V_1^\perp$$

and  $(V_1^\perp, \beta|_{V_1^\perp})$  is non-degenerate. Apply induction to  $(V_1^\perp, \beta|_{V_1^\perp})$ .

Suppose  $\beta(v, v) = 0$ . Choose by non-degeneracy of  $\beta$  a vector  $u \in V$  such that  $\beta(u, v) \neq 0$ . Notice that  $\beta(u + v, u + v) = 2\beta(u, v) \neq 0$  which lands us in earlier case.  $\square$

Now suppose that  $(V, \beta)$  is arbitrary. Let  $V_0 = \text{rad}(\beta) = \{x \in V : \beta(x, y) = 0 \forall y \in V\}$ . Consider the quotient  $(\frac{V}{V_0}, \bar{\beta})$  with

$$\bar{\beta}(u + V_0, v + V_0) = \beta(u, v)$$

and  $\text{rad}(\bar{\beta}) = 0$ , so  $(\frac{V}{V_0}, \bar{\beta})$  is non-degenerate. Main theorem

**Theorem 19.3.** Over  $\mathbb{R}$  any non-degenerate  $\beta$  is equivalent to the bilinear form with basis

$$\begin{bmatrix} I_k & \\ & -I_l \end{bmatrix}$$

with  $k + l = n$ . Moreover,  $k, l$  are uniquely determined by  $\beta$ .

**Definition 19.1.** Let  $(V, Q)$  be a quadratic space. The **Clifford algebra**  $C(Q)$  associated to it is an algebra over  $F$  with a homomorphism  $\theta : V \rightarrow C(Q)$  such that

1.  $\theta(x)^2 = Q(x)$
2.  $C(Q)$  is universal with respect to 1st property, i.e. if  $\psi : V \rightarrow A$  is any vector space homomorphism to an  $F$ -algebra such that

$$\psi(x)^2 = Q(x)$$

then there exists a unique algebra homomorphism  $f$  such that

$$\begin{array}{ccc} C(Q) & \xrightarrow{f} & A \\ & \swarrow \theta \quad \searrow \psi & \\ & V & \end{array}$$

commutes.

We can construct the Clifford algebra by

$$C(Q) = \frac{T(V)}{\langle x \otimes x - \psi(x) \rangle}$$

where  $T(V)$  is the tensor algebra of  $V$ .

**Example.** 1.  $V = \mathbb{R}$ ,  $Q(x) = -x^2$  then

$$T(V) = \mathbb{R} \oplus \mathbb{R}e_1 \oplus \mathbb{R}(e_1 \otimes e_1) \oplus \dots$$

and  $C(Q) = \mathbb{R} \oplus \mathbb{R}e_1$ ,  $e_1^2 = -1$  so  $C(Q) \cong \mathbb{C}$ .

2.  $V = \mathbb{R}$ ,  $Q'(x) = x^2$ , then

$$C(Q') = \mathbb{R} \oplus \mathbb{R}e_1$$

with  $e_1^2 = 1$  so it is the polynomial ring  $\frac{\mathbb{R}[x]}{(x^2-1)}$ .

## 20 27 March

Missed

## 21 29 March

Missed

## 22 3 April

Missed

## 23 5 April

**Lemma 23.1 (Schur's lemma).** Suppose that  $G$  is a compact Lie group. Let  $V_0, V_1$  be a finite dimensional irreducible representation over  $\mathbb{C}$ . Then any  $G$ -homomorphism  $\psi : V_0 \rightarrow V_1$  is either 0 or an isomorphism. Moreover, any  $G$ -homomorphism  $V_0 \rightarrow V_0$  is a scalar multiple of the identity.

**Proof.** If  $V$  is any irreducible representation, then  $V$  is simple i.e. the only subrepresentation of  $V$  are 0 and  $V$ . Now  $\text{im}(\psi) \subset V_1$  is a subrepresentation. Assume  $\psi \neq 0$ . Then  $\text{im}(\psi) = V_1$ .

Also,  $\ker \psi \subset V_0$  is a subrepresentation. If  $\ker \psi = V_0$ , then  $\psi = 0$  therefore  $\ker \psi \neq V_0$  which implies  $\ker \psi = 0$ . Since  $V_0$  is irreducible, the map  $\psi$  is one-one hence  $\psi$  is an isomorphism.

For the second part, suppose  $\phi : V_0 \rightarrow V_0$  is a  $G$ -homomorphism. Let  $\lambda$  be an eigenvalue of  $\phi$ . Then  $(\lambda I - \phi)$  is singular and is a  $G$ -homomorphism. By previous part we get  $\lambda I - \phi \equiv 0$  or  $\phi = \lambda I$ .  $\square$

### Representation ring of $G$

Let  $[V]$  denote the isomorphism class of finite dimensional  $G$ -representation  $V/\mathbb{C}$ . Consider the free abelian group  $A$  with basis  $\{[V] : V \text{ is a } G\text{-representation}\}$ . We consider the subgroup of elements of the form

$$S = \{[V_0 \oplus V_1] - [V_0] - [V_1] : V_0, V_1 \text{ are } G\text{-representations}\}$$

then  $RG \doteq A/S$  is an abelian group. Further we can define multiplication by

$$[V] \cdot [W] = [V \otimes W]$$

Distributivity follows from  $(V_1 \oplus V_2) \otimes W \cong (V_1 \otimes W) \oplus (V_2 \otimes W)$

Remark : Given two representations  $(V, \pi)$  and  $(W, \sigma)$  the tensor  $(V \otimes W, \rho)$  is also  $G$ -representation via

$$\rho(g)(a \otimes b) = \pi(g)a \otimes \sigma(g)b$$

i.e.  $g \cdot (a \otimes b) = ga \otimes gb$ .

This makes  $RG$  a ring generated by the classes of irreducible representations of  $G$ .

**Example.** Any irreducible representation of  $S^1$  is one-dimensional. Let

$$\begin{aligned} \chi_n : S^1 &\rightarrow U(1) = S^1 \\ z &\mapsto z^n \end{aligned}$$

If  $V_n = (\mathbb{C}, \chi_n)$ , then  $V_m \otimes V_n = \mathbb{C}$  as a vector space.

$$g(u_1 \otimes u_2) = gu_1 \otimes gu_2 = g^m u_1 \otimes g^n u_2 = g^{m+n} u_1 \otimes u_2$$

Further calculations gives  $RS^1 \cong \mathbb{Z}[\chi_1, \chi_1^{-1}]$

Let  $V$  be a  $G$ -representation over  $\mathbb{C}$  endowed with a  $G$ -invariant. Fix  $u, v \in V$ , we have a function  $\psi_{\pi, u, v} : G \rightarrow \mathbb{C}$  given by

$$\psi_{\pi, u, v}(g) = \langle \pi(g)u, v \rangle.$$

This is called a matrix coefficient of  $G$ . Then  $\psi_{\pi, u, v} \in L^2(G)$ .

**Remark.** Matrix coefficients form a dense subset of  $L^2(G)$  but we will not prove it.

Given a representation  $(V, \pi)$  of  $G$ , we have a function

$$\begin{aligned} \chi_\pi : G &\rightarrow \mathbb{C} \\ \chi_\pi(g) &= \text{tr}(\pi(g)). \end{aligned}$$

This is called the characteristic function of  $V$ . Properties

1.  $\chi_\pi = \chi_\sigma$  if  $\pi \cong \sigma$ .
2.  $\chi_{\pi \oplus \sigma} = \chi_\pi + \chi_\sigma$
3.  $\chi_{\pi \otimes \sigma} = \chi_\pi \cdot \chi_\sigma$

**Lemma 23.2.** The characteristic function  $\chi_\pi$  is a matrix coefficient.

**Proof.** Let  $v_1, \dots, v_n$  be a Hermitian basis, i.e.  $\langle v_i, v_j \rangle = \delta_{ij}$ . Then

$$\pi(g) = (\langle \pi(g)v_i, v_j \rangle)_{i,j}$$



therefore

$$\chi_\pi(g) = \sum_{i=1}^n \langle \pi(g)v_i, v_i \rangle$$

Now it is enough to show that sum of two matrix coefficients is again a matrix coefficient. Suppose  $\rho_1, \rho_2$  are  $G$ -representation and  $u_i, v_i \in V_i$ ,

$$\psi_{\rho_1, u_1, v_1}(g) + \psi_{\rho_2, u_2, v_2}(g) = \psi_{\rho_1 \oplus \rho_2, (u_1, u_2), (v_1, v_2)}(g)$$

on  $V_{\rho_1 \oplus \rho_2} = V_{\rho_1} \oplus V_{\rho_2}$ . □

**Theorem 23.3 (Schur orthogonality).** If  $(V_1, \rho_1)$  and  $(V_2, \rho_2)$  are irreducible representations over  $\mathbb{C}$  of a compact Lie group  $G$ , then

$$\langle \chi_{\rho_1}, \chi_{\rho_2} \rangle = \begin{cases} 0 & \text{if } V_1 \not\cong V_2 \\ 1 & \text{if } V_1 \cong V_2 \end{cases}$$

Let  $\text{Ch}(G)$  or  $\chi G$  denote the ring given by characteristic of representation of  $G$ .

$$\begin{aligned} RG &\xrightarrow{\chi} \chi G \\ [V_\pi] &\mapsto \chi_\pi \end{aligned}$$

is a ring homomorphism.

**Theorem 23.4.**  $RG \cong \chi(G)$

**Proof.** We need only show that  $\chi$  is a monomorphism. Suppose

$$a = \sum a_i [V_i]$$

where  $V_i$  are irreducible such that  $\chi(a) = 0$ . So

$$\sum a_i \chi_{V_i} = 0$$

this implies

$$\sum a_i \delta_{ij} = \sum a_i \langle \chi_{V_i}, \chi_{V_j} \rangle = 0$$

for all  $j$ . Thus,  $a_j = 0$  hence  $a = 0$ . □

Suppose that  $g \sim h$  in  $G$ , so  $g = hxh^{-1}$  for some  $x \in G$ . Then  $\chi_\pi(g) = \chi_\pi(h)$ , i.e.  $\chi_\pi$  is constant on conjugacy classes.

Suppose  $T \subset G$  is torus and  $G$  is compact connected. We say that  $T$  is a maximal torus if

$$T \subset T'$$

and  $T'$  a torus implies  $T' = T$ .

**Lemma 23.5.** Any  $g \in G$  is contained in a maximal torus.

**Theorem 23.6.** Fix any maximal torus  $T \subset G$ . Then

$$G = \bigcup_{x \in G} xTx^{-1}.$$

$$\begin{array}{ccc} RG & \xrightarrow{\text{res}} & RT \\ \text{zigzag} \downarrow & & \downarrow \text{zigzag} \\ \chi(G) & \xrightarrow{\text{res}} & \chi(T) \end{array}$$

where zigzag lines denote isomorphism. Further

$$R(G \times H) = RG \otimes RH$$

$$R(T^n) = \mathbb{Z}[\chi_1, \chi_1^{-1}, \dots, \chi_n, \chi_n^{-1}]$$

## 24 10 April

**Lemma 24.1.** Suppose that  $\langle \cdot, \cdot \rangle$  is a  $G$ -invariant Hermitian inner product on  $V_1$  where  $G$  is compact. Let  $v_i \in V_i$ . Then we obtain a linear transformation  $T : V_1 \rightarrow V_2$  defined by

$$T(\omega) = \int_G \langle \pi_1(g)\omega, v_1 \rangle \pi_2(g^{-1})v_2 dg \in V_2$$

where  $dg$  is a Haar measure (unimodular here because  $G$  is compact). Then  $T$  is a  $G$ -equivariant, i.e.  $T(\pi_1(h)\omega) = \pi_2(h)T(\omega)$ .

**Proof.**

$$\begin{aligned} T(\pi_1(h)\omega) &= \int_G \langle \pi_1(g)\pi_1(h)\omega, v_1 \rangle \pi_2(g^{-1})v_2 dg \\ &= \int_G \langle \pi_1(gh)\omega, v_1 \rangle \pi_2(g^{-1})v_2 dg \end{aligned}$$

Put  $gh = x$ , then  $g = xh^{-1} = \rho_h(x)$  and  $dg = dx$ . So

$$\begin{aligned} T(\pi_1(h)\omega) &= \int_G \langle \pi_1(x)\omega, v_1 \rangle \pi_2(h)\pi_2(x^{-1})v_2 dx \\ &= \pi_2(h) \int_G \langle \pi_1(x)\omega, v_1 \rangle \pi_2(x^{-1})v_2 dx \\ &= \pi_2(h)T(\omega) \end{aligned}$$

□

Recall

**Lemma 24.2 (Schur's ortho).** Suppose that  $(\pi_1, V_1)$  and  $(\pi_2, V_2)$  are irreducible. Then every matrix coefficient  $\psi_{\pi_1, u, v}$  is orthogonal to  $\psi_{\pi_2, u', v'}$  or  $(\pi_1, V_1)$  is isomorphic to  $(\pi_2, V_2)$ .

Now

**Proof.** continuing Assume  $\psi_{\pi_1, u, v}$  and  $\psi_{\pi_2, u', v'}$  are not orthogonal. So

$$\begin{aligned} 0 &\neq \int_G \langle \pi_1(g)u, v \rangle \overline{\langle \pi_2(g)u', v' \rangle} dg \\ &= \int_G \langle \pi_1(g)u, v \rangle \langle v', \pi_2(g)u', v' \rangle dg \\ &= \int_G \langle \pi_1(g)u, v \rangle \langle \pi_2(g^{-1})v', u' \rangle dg \end{aligned}$$

which is  $\langle T(u), u' \rangle$  hence  $T$  is non-zero so by  $T$  is an isomorphism by Schur's lemma.  $\square$

Let  $T$  be a subgroup of  $G$ , then we know that there is a map

$$RG \xrightarrow{Res} RT$$

Basic fact : If  $(\pi, V)$  is an irreducible representation of a torus  $T$ . Then  $V$  is one-dimensional.

**Proof.** Let  $t \in T$ . Consider  $\pi(t) : V \rightarrow V$ . Because  $T$  is abelian,  $\pi(t)$  is  $T$ -linear, i.e.

$$\pi(ts)(v) = \pi(t)(\pi(s)v) = \pi(s)\pi(t)v = \pi(st)(v)$$

hence by Schur's lemma

$$\pi(t)v = \chi(t)v$$

for all  $v$  where  $\chi : T \rightarrow C^\times$  so

$$\chi(st) = \chi(s)\chi(t)$$

holds. Now

$$\begin{aligned} \chi(st)v &= \pi(st)v = \pi(s)\pi(t)v \\ &= \pi(s)(\chi(t)v) = \chi(t)\pi(s)v \\ &= \chi(t)\chi(s)v \end{aligned}$$

Since every non-zero subspace of  $V$  is a  $T$ -representation ( as  $\pi(t) = \chi(t)I$  ) we must have  $\dim V = 1$  as  $V$  is irreducible.  $\square$

**Example.** Let  $G = SU(2)$  with torus

$$T = \left\{ \begin{bmatrix} e^{i\theta} & \\ & e^{-i\theta} \end{bmatrix} : 0 \leq \theta \leq 2\pi \right\}$$

where  $T$  is maximal since the only matrices in  $SU(2)$  which commute with every  $\begin{bmatrix} e^{i\theta} & \\ & e^{-i\theta} \end{bmatrix}$  is itself diagonal and hence in  $T$ .

Std :  $V = \mathbb{C}^2 = V_1 \oplus V_2$  be irreducible where  $V_i = \mathbb{C}e_i$  and

$$\begin{pmatrix} e^{i\theta} & \\ & e^{-i\theta} \end{pmatrix} e_1 = \begin{pmatrix} e^{i\theta} & \\ & e^{-i\theta} \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = e^{i\theta} \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

thus

$$\chi_1 \begin{pmatrix} e^{i\theta} & \\ & e^{-i\theta} \end{pmatrix} = e^{i\theta}$$

similarly

$$\chi_2 \begin{pmatrix} e^{i\theta} & \\ & e^{-i\theta} \end{pmatrix} = e^{-i\theta}$$

Let  $S^k(V)$  be the  $k$ -th symmetric power of  $V$  which is same as polynomials of degree  $k$  in  $e_1, e_2$ . The characters of  $S^k$  are

$$\begin{pmatrix} e^{i\theta} & \\ & e^{-i\theta} \end{pmatrix} e_1^j e_2^{k-j} = e^{ij\theta} e^{-i(k-j)\theta} e_1^j e_2^{k-j} = e^{i(2j-k)\theta} e_1^j e_2^{k-j}$$

$$RSU(2) \xrightarrow{Res} RT$$

$$S^k \mapsto V_k \oplus V_{k-2} \oplus \cdots \oplus V_{-k}$$

where  $V_i \leftrightarrow x_j$

**Theorem 24.3.** The  $S^k$  are the only irreducible representations of  $SU(2)$ .

Known as  $SL(2)$  theory.

Let  $G$  be a compact connected Lie group. Let  $T$  be a maximal torus. Then we define the Weyl group  $W = W(G, T)$  of  $G$  with respect to  $T$  as

$$W = N_G(T)/T$$

where  $N_G(T) = \{g \in G : gTg^{-1} = T\}$  and  $N_G \subset \text{Aut}(T)$  via conjugation. Hence,  $W$  acts in  $T$  via automorphism.

**Theorem 24.4.**  $W$  is a finite group.

**Proof.**  $W$  acts on  $\text{Lie}(T)$  as linear map. Consider the projection map

$$\mathbb{R}^n \cong \text{Lie}(T) \xrightarrow{p} T \cong \mathbb{R}^n / \mathbb{Z}^n$$

$$(t_1, \dots, t_n) \mapsto (e^{2\pi i t_1}, \dots, e^{2\pi i t_n})$$

$$\begin{array}{ccc} \text{Lie}(T) & \xrightarrow{w} & \text{Lie}(T) \\ \downarrow p & & \downarrow p \\ T & \xrightarrow{w} & T \end{array}$$

where  $w(\mathbb{Z}^n) = \mathbb{Z}^n$  for all  $w \in W$ . Now  $N_G(T)$  is closed in  $G$  and hence compact. So  $W$  is compact and  $W$  is finite since  $W \subset \text{GL}(n, \mathbb{Z})$  which is discrete.  $\square$

**Example.** Take  $G = U(n)$  and  $T = \left\{ \begin{pmatrix} t_1 & \cdots & \\ & \ddots & \\ & & t_n \end{pmatrix} : t_i \in S^1 \right\}$ . Note that  $U(n) = \bigcup_{g \in U(n)} gTg^{-1}$  since given any  $x \in U(n)$ , there exists a unitary basis  $\mathcal{U} = u_1, \dots, u_n$  of  $\mathbb{C}^n$  such that the matrix of  $x$  with respect to  $\mathcal{U}$  is diagonal. Take  $g$  to be such that  $g(e_i) = u_i$  for all  $i$ . Then  $(g^{-1}xg)(e_i) = g^{-1}x(u_i) = \lambda_i g^{-1}u_i = \lambda_i e_i$ .  
On the other hand if  $gTg^{-1} = T$ , then choose

$$g \begin{pmatrix} \lambda_1 & & \\ & \ddots & \\ & & \lambda_n \end{pmatrix} g^{-1} \in T$$

where  $\lambda_1, \dots, \lambda_n$  are pairwise distinct. This implies  $ge_i = z_i e_{\sigma(i)}$  for some  $j$ , for some  $\sigma \in S_n$ . Thus  $N(T)$  is a monomial matrix which implies  $N(T)/T \cong S_n$ .

## 25 12 April

Let  $G$  be a compact connected Lie group and  $T \subset G$  be a maximal torus. Define  $W = W(G, T) = N_G(T)/T$  as the Weyl group of  $G$  with respect to  $T$ . It is finite.

$$RG \rightarrow RT = R(S^1)^n = \mathbb{Z}[\chi_1^\pm, \dots, \chi_n^\pm], \quad \chi_j : T \rightarrow S^1 \text{ is projection}$$

where  $n$  is the dimension of  $T$  and is called the rank of  $G$ .

**Theorem 25.1.** 1.  $RG \hookrightarrow (RT)^W$

2. Equality holds if  $G$  is simply connected. Here  $RT^W =$  fixed ring for the  $W$ -action on  $RT$ .

Recall :  $(\pi, V_\pi), (\sigma, V_\sigma)$  are isomorphic as  $G$ -representation if  $\exists f : V_\pi \rightarrow V_\sigma$  a  $\mathbb{C}$ -linear isomorphism such that  $\forall g \in G$ ,

$$\begin{array}{ccc} V_\pi & \xrightarrow{f} & V_\sigma \\ \pi(g) \downarrow & & \downarrow \sigma(g) \\ V_\pi & \xrightarrow{f} & V_\sigma \end{array}$$

Also since  $V_\pi$  is determined by its characters  $\chi_\pi : G \rightarrow \mathbb{C}$  with  $g \mapsto \text{tr}(\pi(g))$ . If  $H \xrightarrow{\theta} G$  is a homomorphism of compact Lie groups then  $\theta$  induces a ring automorphism

$$\theta^* : RG \rightarrow RH$$

If  $\theta : G \rightarrow G$  is an inner automorphism then  $\theta^* = \text{id} : RG \rightarrow RG$

$N(T)$  acts on  $T$  via automorphism therefore it acts on  $RT$  via ring automorphism.  $N(T) \subset G$  acts via conjugation inducing identity on  $RG$ . Since  $N(T)$  action on  $RT$  passes to  $W$  action on  $RT$ , we obtain the first part of the previous theorem.

**Example.** Consider  $G = U(n)$  with  $T = U(1)^n \subset U(n)$ , then  $W = S_n$  the set of permutation matrices. If  $\sigma \in S_n$ , viewed as a permutation, then

$$\sigma \begin{pmatrix} \lambda_1 & & \\ & \ddots & \\ & & \lambda_n \end{pmatrix} \sigma^{-1} = \begin{pmatrix} \lambda_{\sigma(1)} & & \\ & \ddots & \\ & & \lambda_{\sigma(n)} \end{pmatrix}$$

and

$$RG \xrightarrow{\sigma^*} RT$$

$$\mathbb{Z}[\chi_1^\pm, \dots, \chi_n^\pm] \xrightarrow{\sigma^*} \mathbb{Z}[\chi_1^\pm, \dots, \chi_n^\pm]$$

with  $\sigma^* \chi_j = \chi_{\sigma(j)}$ . From this can conclude that the fixed ring is the ring of symmetric polynomials given by

**Lemma 25.2.**  $RT^W = \mathbb{Z}[\lambda_1, \dots, \lambda_n, \lambda_n^{-1}]$  where  $\lambda_n^{-1} = \chi_1^{-1} \cdots \chi_n^{-1}$ . Also

$$\chi_1^{-1} + \dots, \chi_n^{-1} = \frac{\lambda_{n-1}}{\chi_1 \cdots \chi_n}$$

$U(n)$  operates on  $\mathbb{C}^n$  is the standard representation of  $U(n)$ .  $\Lambda^j(V)$  is also  $U(n)$ -representation.

$$V = \mathbb{C}e_1 \oplus \cdots \oplus \mathbb{C}e_n = V_{\chi_1} \oplus \cdots \oplus V_{\chi_n}$$

as a  $T$ -representation. Therefore  $V = \lambda_1 \in RT^W$

$$\Lambda^j(V) = \sum_{1 \leq i_1 < \cdots < i_j \leq n} V_{i_1} \otimes \cdots \otimes V_{i_n}$$

as  $T$ -representation. Therefore  $[\Lambda^j(V) = \lambda_j] \in RT$  and  $\det V = \Lambda^n(V)$  as a  $T$ -representation is  $V_1 \otimes \cdots \otimes V_n$ .

For  $t \in T$   $t \cdot (e_1 \wedge \cdots \wedge e_n) = te_1 \wedge \cdots \wedge e_n = \chi_1(t)\chi_2(t) \cdots \chi_n(t)e_1 \wedge \cdots \wedge e_n$  so  $\Lambda^n V = \lambda_n$ .

Next example done is  $G = SU(n) \subset U(n)$  where

$$T_0 = \left\{ \begin{pmatrix} t_1 & & \\ & \ddots & \\ & & t_n \end{pmatrix} : \prod t_i = 1 \text{ and } |t_i| = 1 \right\} \cong (S^1)^{n-1}$$

with  $RT_0 = \mathbb{Z}[y_1^\pm, \dots, y_n^\pm] / \langle y_1 \cdots y_n - 1 \rangle \cong \mathbb{Z}[y_1^\pm, \dots, y_{n-1}^\pm]$

$W = W(SU(n), T_0)$  and  $W \cong S_n$ ,  $RSU(n) = \mathbb{Z}[\lambda_1, \dots, \lambda_{n-1}] = \mathbb{Z}[y_1^\pm, \dots, y_n^\pm]^{S_n} / \sim$

Next example  $G = SO(n)$ . Let  $n = 2m$ , then

$$T = \begin{pmatrix} (R(\theta_1)) & & \\ & \ddots & \\ & & (R(\theta_n)) \end{pmatrix}$$

where  $R(\theta_j) = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$  is a torus

**Lemma 25.3.**  $T \subset G = \mathrm{SO}(2m)$  is a maximal torus.

Let  $V = \mathbb{R}^{2m} = \mathbb{R}^n$  be the standard representation of  $\mathrm{SO}(n)$ . Write  $V_j = \mathbb{R}e_{2j-1} \oplus \mathbb{R}e_{2j}$ ,  $1 \leq j \leq m$ . The  $V_j$  is a  $T$ -representation and  $V = V_1 \oplus \cdots \oplus V_m$

**Lemma 25.4.** If  $g \in \mathrm{SO}(n)$  is such that

$$gt = tg$$

$\forall g \in G$ , then  $g \in T$ .

This is because,  $gV_j = V_j \forall j$  and

$$\begin{aligned} gtg^{-1}(g(V_j)) &= g(V_j) \\ t(g(V_j)) &= g(V_j) \end{aligned}$$

Therefore,  $gV_j = V_{\sigma(j)}$  for some permutation  $\sigma$  of  $\{1, \dots, m\}$ .  $\sigma$  has to be identity :

$$\sigma \begin{pmatrix} R(\theta_1) & & \\ & \ddots & \\ & & R(\theta_n) \end{pmatrix} \sigma^{-1} = \begin{pmatrix} R(\theta_{\sigma(1)}) & & \\ & \ddots & \\ & & R(\theta_{\sigma(n)}) \end{pmatrix}$$

a lot of calculations . . .

Write  $RT = \mathbb{Z}[\chi_1^\pm, \dots, \chi_m^\pm]$  where  $\chi_j : T \rightarrow S^1$  and  $\chi_j(R(\theta_1, \dots, \theta_m)) = e^{2\pi i \theta_j}$ . Then  $W \cong S_m \ltimes \mathbb{Z}_2^m$ . It acts on  $RT$  as follows :  $S_m$  permutes  $\chi_1, \dots, \chi_m$  the  $j$ th factor of  $\mathbb{Z}_2$  interchanges  $\chi_j \longleftrightarrow \chi_j^{-1}$

Let  $Y = V \otimes \mathbb{C}$  be the complexification of the standard representation  $\mathbb{R}^{2n}$  of  $\mathrm{SO}(2m)$   $\Lambda^j Y$ ,  $1 \leq j \leq n$ .

$$\begin{aligned} * : \Lambda^j Y &\rightarrow \Lambda^{n-j} Y \\ e_{i_1} \wedge \cdots \wedge e_{i_j} &\mapsto \pm e_{k_1} \wedge \cdots \wedge e_{k_{n-j}} \end{aligned}$$

where  $i_1 < \cdots < i_j$  and  $k_1 < \cdots < k_{n-j}$ . Further,

$$** = (-1)^{j(n-j)}$$

so for  $j = m$ ,  $** = (-1)^{m^2} = (-1)^m$  and we get a splitting

$$\Lambda^m V = \Lambda_+^m V \oplus \Lambda_-^m V$$

where  $\Lambda_+$  is itself has an  $\mathrm{SO}$  representation.

## 26 17 April

Consider the representation ring  $RSO(2m)$  which acts linearly on  $\mathbb{R}^{2m}$ . Denote its complexification by  $V$ .

$$\lambda_1 = [V] \in RSO(2m)$$

and

$$\lambda_j = [\Lambda^j V], \quad 1 \leq j \leq m$$

We have the Hodge  $*$  operator

$$* : \Lambda^j V \xrightarrow{\sim} \Lambda^{2m-j}$$

is an isomorphism of  $\mathrm{SO}(2m)$ . Also  $** = (-1)^m \mathrm{id}_{V^m}$  for  $j = m$ . Decompose  $\Lambda^m V$  as  $W^+ \oplus W^-$ ,  $V^+, V^-$  are the  $\pm 1$  (or  $\pm i$ ) are eigenspaces of  $*$ . Now let  $g \in G$ ,

$$\begin{aligned} *(gv) &= g*(v) \\ &= g(cv) = cg(v) \quad \forall v \in W^+ \end{aligned}$$

Therefore  $V^+, V^-$  are again  $\mathrm{SO}(2m)$  representation.

**Theorem 26.1.**  $RSO(2m) = \mathbb{Z}[\lambda_1, \dots, \lambda_{m-1}, \lambda^+, \lambda^-] / \sim \subset RT = \mathbb{Z}[u_1^{\pm 1}, \dots, u_m^{\pm 1}]$   
 $\lambda^\pm = [W^\pm]$

**Proof.** Recall  $T = (\mathrm{SO}(2))^m = \begin{pmatrix} \mathrm{SO}(2) & & \\ & \ddots & \\ & & \mathrm{SO}(2) \end{pmatrix} \subset \mathrm{SO}(2m)$

and  $W = S_m \ltimes (\mathbb{Z}_2)^{m-1}$  □

For even dimension, let  $n = 2m + 1$  and let

$$T = \begin{pmatrix} \mathrm{SO}(2) & & \\ & \ddots & \\ & & \mathrm{SO}(2) \\ & & & 1 \end{pmatrix}$$

**Lemma 26.2.**  $T \subset \mathrm{SO}(2m + 1)$  is a maximal torus.

And the Weyl group is  $W = S_m \ltimes \mathbb{Z}_2^m$ .

$$RT = \mathbb{Z}[u_1^\pm, \dots, u_m^\pm]$$

and

$$RSO(2m + 1) = \mathbb{Z}[\lambda_1, \dots, \lambda_{2m}] = RT^W$$

which is a polynomial algebra even though it is not simply connected. Reference : Husemoller and Fulton-Harris.

Now we come to Spin groups. Recall the commutative diagram

$$\begin{array}{ccc} Spin(n) & \xrightarrow{p} & SO(n) \\ \uparrow & & \uparrow \\ p^{-1}(T) & \longrightarrow & T \end{array}$$



**Lemma 26.3.** Suppose  $G$  is compact connected Lie group. Then  $Z(G) = \bigcup_{g \in G} gTg^{-1}$ , for any maximal torus of  $G$ .

**Proof.** If  $z \in gTg^{-1}$ , then  $z$  commutes with every element of  $gTg^{-1}$ . Since  $G = \bigcup gTg^{-1}$  it follows that  $z$  commutes with any  $x \in G$  ( since  $x \in gTg^{-1}$  for some  $g$ ). This implies  $\bigcup gTg^{-1} \subset Z(G)$ .  $\square$

From this,

$$W(\text{Spin}(n), p^{-1}(T)) \cong W(\text{SO}(n), T)$$

Q : What is  $RT \rightarrow R\tilde{T}$  where  $\tilde{T} = p^{-1}(T)$ .

Let  $v : (u_1 \cdots u_m)^{\frac{1}{2}} : \tilde{T} \rightarrow S^1$  be the “unique” (upto conjugation) homomorphism such that  $v^2 = u_1 \cdots u_m : T \rightarrow S^1$  where  $u_i$  are the projection maps of the torus (previously denoted by  $\chi$ ) which are characters as well.

$$\begin{array}{ccc} \tilde{T} & & \\ \downarrow p & \searrow \chi & \\ T & \xrightarrow{u_1 \cdots u_m} & S^1 \end{array}$$

with  $\ker(p) = \{\pm 1\}$ . Then  $R\tilde{T} = \mathbb{Z}[u_1^\pm, \dots, u_m^\pm, v] / \sim$  and  $v^2 = u_1 \cdots u_m$  and  $R\tilde{T} \subset \mathbb{Z}[v_1^\pm, \dots, v_m^\pm]$  where  $u_i = v_i^2$ .

$W = W(\text{Spin}(n), \tilde{T})$  operates on  $R\tilde{T}$  by permuting the suffixes and inventing (even number of )  $v_i \mapsto v_j^{-1}$  for  $n = 2m + 1$  (  $n = 2m$ ).

Representation of  $\text{Spin}(n)$  - We have the representations  $\lambda_1, \dots, \lambda_m$  arising from  $\text{SO}(n)$  representation.  $n = 2m$  or  $n = 2m + 1$ . Consider

$$\Delta = \sum v_1^{\epsilon_1} \cdots v_m^{\epsilon_m} \in (R\tilde{T})^W, \quad \epsilon_j = \pm 1$$

where  $n = 2m$ , then

$$\Delta = \Delta^+ + \Delta^-$$

where  $\Delta^+ = \sum v_1^{\epsilon_1} \cdots v_m^{\epsilon_m}$  with  $\prod \epsilon_i = 1$  and  $\Delta^- = \sum v_1^{\epsilon_1} \cdots v_m^{\epsilon_m}$  with  $\prod \epsilon_i = -1$

**Theorem 26.4.**

$$R\text{Spin}(2m) = \mathbb{Z}[\lambda_1, \dots, \lambda_{m-2}, \Delta^+, \Delta^-]$$

is a polynomial algebra.

$$R\text{Spin}(2m + 1) = \mathbb{Z}[\lambda_1, \dots, \lambda_{m-1}, \Delta].$$

Let  $G$  be a compact connected Lie group. Let  $T \subset G$  be a maximal torus. Let  $\mathfrak{g} = \text{Lie}(G)$ , viewed as the adjoint representation of  $G$ . Restrict it to  $T$ . This is a real representation. Since  $T$  is abelian any irreducible representation of  $T$  is either one-dimensional (which is trivial) or two-dimensional, given by a homomorphism

$$\chi : T \rightarrow \text{SO}(2)$$

Therefore

$$\text{Lie}(G) = V_0 \oplus_{\alpha \in R'} V_\alpha$$

where  $V_0$  is trivial and  $R'$  consists of non-zero characters.

**Lemma 26.5.**  $\dim V_0 = \dim T = \text{rank}(G)$ .