# Lie Groups

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# 1 4th January 23

One can study Lie Groups from several points of view. The course is aimed to understand the structure of Lie Groups.

**Definition 1.1.** A smooth manifold M is a Hausdorff space which is locally Euclidean with a smooth atlas i.e. (i) given any  $x \in M$ ,  $\exists$  a chart  $(U, \phi)$ ,  $x \in U \subset M$  with  $\phi : U \to \phi(U)$  open in  $\mathbb{R}^m$ .

(ii) We have collection  $\{(U,\phi)\}\$  of charts such that

$$\phi(U \cap V) \xrightarrow{\psi \circ \phi^{-1}} \psi(U \cap V)$$

is a diffeomorphism.

Suppose  $f: M \to N$  is a continuous map between manifolds. We say that f is smooth if for  $(U, \phi) \in \Pi(M), (V, \psi) \in \Pi(N)$  such that  $f(U) \subset V$  and  $\psi \circ f \circ \phi^{-1}$  is smooth.

TO DO: Construction of tangent bundle and vector bundle

# 2 9th Jan 2023

**Definition 2.1.** G is a Lie group if

- 1. G is a smooth manifold
- 2. G is also a group s.t

$$\mu: G \times G \to G$$
  
 $(g,h) \mapsto gh$ 

and

$$i: G \to G$$
$$g \mapsto g^{-1}$$

are smooth maps.

**Definition 2.2.** A real (or complex) vector space V together with a bilinear map

$$[,]:V\times V\to V$$

is called a Lie Algebra if

- 1. [X, Y] = -[Y, X] skew symmetry
- 2. [[X,Y],Z] + [[Y,Z],X] + [[Z,X],Y] = 0 Jacobi identity

**Example.** 1.  $(\mathbb{R}, +)$ ,  $(\mathbb{C}, +)$ , V any f.d vector space over  $\mathbb{R}$  or  $\mathbb{C}$ .

- 2.  $(\mathbb{R}^{\times}, \cdot), (\mathbb{C}^{\times}, \cdot)$
- 3.  $S^1 = \{z \in \mathbb{C}^{\times} | |z| = 1\}$
- 4.  $GL_n(\mathbb{R}), GL_n(\mathbb{C})$
- 5.  $\mathbb{R}^n/\mathbb{Z}^n \cong (\mathbb{R}^n/\mathbb{Z}^n) \cong (S^1)^n$
- 6. Suppose  $\Gamma \subset V$  is a discrete subgroup. Then  $V/\Gamma$  is a Lie group.
- 7. N = unipotent upper triangular matrices, B = upper triangular matrices. As manifolds  $N \cong \mathbb{R}^{\binom{n}{2}}$  and  $B \cong (\mathbb{R}^{\times})^n \times N$ .
- 8.  $\operatorname{SL}_n(\mathbb{R}) = \{ X \in \operatorname{GL}_n(\mathbb{R}) | \det X = 1 \}, \operatorname{SL}_n(\mathbb{C}).$
- 9. O(n), SO(n).
- 10. U(n), SU(n).
- 11.  $\mathbb{H}^{\times}$ ,  $S^3$  with quaternion multiplication.
- 12.  $Sp(n) = \{X \in GL_n(\mathbb{R}) | X \text{ preserves quaternion structure as a subset of } Aut_{\mathbb{H}} \mathbb{H}^n \}$

**Problem.**  $V/\Gamma \cong \mathbb{R}^k \times (S^1)^{n-k}$  for *n*-dimensional vector space V.

**Theorem 2.1.** Suppose G is a compact, connected, simple Lie group. Then G is locally isomorphic to

- 1.  $SU(n), n \geq 2$  denoted by  $A_{n-1}$
- 2.  $SO(2n+1), n \geq 2$  denoted by  $B_n$
- 3.  $Sp(n), n \ge 1$  denoted by  $C_n$
- 4.  $SO(2n), n \geq 2$  denoted by  $D_n$

or one of the following exceptional Lie group  $G_2, F_4, E_6, E_7, E_8$ .

**Problem.** Prove that  $\mathrm{SL}_n(\mathbb{R})$  and O(n) are smooth manifold, hence Lie groups.

Examples of Lie algebra -

**Example.** 1.  $(V, [\cdot, \cdot] \equiv 0)$  is called trivial Lie algebra.

- 2.  $(\mathfrak{gl}_n(\mathbb{R}), [A, B] = AB BA), \, \mathfrak{gl}_n(\mathbb{C})$
- 3.  $\mathfrak{sl}_n(\mathbb{R})$  ( $\mathfrak{sl}_n(\mathbb{C})$ ) is the Lie subalgebra of  $\mathfrak{gl}_n(\mathbb{R})$  ( $\mathfrak{gl}_n(\mathbb{C})$ ) consisting of trace 0.
- 4.  $\mathfrak{so}_n$  is Lie subalgebra of  $\mathfrak{gl}_n(\mathbb{R})$  consisting of skew-symmetric matrices.

**Definition 2.3.** A vector field X on a Lie group G is called left invariant if  $(L_g)_*(X_h) = X_{gh}$ 

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Recall  $\mathbb{H} = \{a+bi+cj+dk: (a,b,c,d) \in \mathbb{R}^4, i^2=-1, j^2=-1, k^2=-1, ij=k, jk=l, ki=j\}$  is the quaternion division algebra with the norm

$$||a + bi + cj + dk||^2 = a^2 + b^2 + c^2 + d^2$$

which satisfies  $||q_1 \cdot q_2|| = ||q_1|| \cdot ||q_2||$ 

We can put this multiplication on  $S^3 \cong SU(2)$  to get a compact Lie group. To get the isomorphism  $SU(2) \cong S^3$ , we define a map

$$\phi: S^3 \to SU(2)$$

$$(a, b, c, d) \mapsto \begin{bmatrix} a+bi & c+di \\ -(c-di) & a-bi \end{bmatrix}$$

which is an algebra isomorphism.

**Definition 3.1.** The Lie algebra of G is the space of all left-invariant vector fields on G.

We have an isomorphism

$$\mathfrak{g} = \mathrm{Lie}(G) \to T_e G$$
$$X \mapsto X_e$$

**Example.** Let  $G = \mathbb{R}^n$ , with identity element  $0 \in \mathbb{R}^n$  and left-invariant vector fields  $\{\frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n}\}$ . Then the Lie bracket is

$$[\cdot,\cdot] \equiv 0$$

**Remark.** In general for any abelian Lie group G, the Lie bracket is  $[\cdot,\cdot] \equiv 0$ .

**Theorem 3.1.** Let G be a connected Lie group. Then

- 1. Lie(G) =  $\mathfrak{g}$  is isomorphic as a vector space to  $T_e(G)$ .
- 2. Left-invariant vector fields are smooth.
- 3. Lie(G) is closed under Lie bracket.

**Proof.** 1. Let X be a left-invariant vector field on G. We need to show that Xf is smooth for each  $f \in C^{\infty}(G)$ .

$$(Xf)(g) = X_g f$$

$$= (d\lambda_g X_e) f$$

$$= X_e (f \circ \lambda_g)$$

To show that Xf is smooth, it suffices to show that  $X_e(f \circ \lambda_g)$  is smooth. We realize  $X_e(f \circ \lambda_g)$  as evaluation of a smooth function on a smooth function.

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Let Y be a smooth vector field on G such that  $Y_e = X_e$ 

$$Y_e(f \circ \lambda_q) = X_e(f \circ \lambda_q)$$

We look at  $\lambda_q$  as the composition of

$$G \xrightarrow{i_g^2} G \times G \xrightarrow{\mu} G$$
$$x \mapsto (g, x) \mapsto gx$$

Regard Y as the vector field (0, Y) on  $G \times G$ . Now

$$\begin{split} (0,Y)(f \circ \mu) \circ i_e^1(g) &= (0,Y)_{(g,e)}(f \circ \mu) \\ &= 0_g(f \circ \mu \circ i_g^1) + Y_e(f \circ \mu \circ i_g^2) \\ &= Y_e(f \circ \lambda_g) \end{split}$$

which proves the smoothness.

2. Let X, Y left-invariant vector fields on G. We must show that [X, Y] is a left-invariant vector field.

$$\begin{split} d\lambda_g([X,Y]_e)f &= [X,Y]_g f \\ &= [X,Y]_e (f \circ \lambda_g) \\ &= X_e(Y(f \circ \lambda_g)) - Y_e(X(f \circ \lambda_g)) \\ &= X_e(d\lambda_g(Yf)) - Y_e(d\lambda_g(Yf)) \end{split}$$

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**Lemma 4.1.** Suppose  $\psi: M \to N$  is a smooth map. Let  $X_1, X_2$  be vector fields on M,  $Y_1, Y_2$  be vector fields on N such that  $X_i$  is  $\psi$ -related to  $Y_i$ . Then  $[X_1, X_2]$  is  $\psi$ -related to  $[Y_1, Y_2]$ .

**Proof.** Notice that

$$d\psi[X_1, X_2](f) = [X_1, X_2](f \circ \psi)$$

$$= X_1(X_2 f \circ \psi) - X_2(X_1 f \circ \psi)$$

$$= X_1(d\psi X_2 f) - X_2(Y_1 f \circ \psi)$$

$$= X_1(Y_2 f \circ \psi) - X_2(Y_1 f \circ \psi)$$

$$= d\psi X_1(Y_2 f) - d\psi X_2(Y_1 f)$$

$$= Y_1 Y_2 f \circ \psi - Y_2 Y_1 f \circ \psi$$

$$= [Y_1, Y_2](f) \circ \psi$$

This lemma proves that the set of left-invariant vector fields forms a Lie algebra.

Consider the Lie group  $\mathrm{GL}_n(\mathbb{R})$ . We want to verify the Lie algebra structure on  $\mathfrak{gl}_n(\mathbb{R})$ 

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 $M_n(\mathbb{R})$  with the isomorphism

$$Lie(\mathrm{GL}_n(\mathbb{R})) \to \mathfrak{gl}_n(\mathbb{R})$$

$$X \stackrel{\beta}{\mapsto} X_e$$

#### Lemma 4.2.

$$\beta([X,Y]) = [\beta(X), \beta(Y)]$$

**Proof.** Evaluating the bracket on coordinate function  $x_{ij}$ .

$$[X,Y]_e(x_{ij}) = X_e(Y(x_{ij})) - Y_e(X(x_{ij}))$$
(1)

Now

$$Y(x_{ij})(g) = d\lambda_g Y_e(x_{ij})$$
$$= Y_e(x_{ij} \circ \lambda_g)$$
$$= \sum_k x_{ik}(g) Y_e(x_{kj})$$

Considering the above as function of g and substituting this in Eq. (1) we get

$$[X,Y]_{e}(x_{ij}) = X_{e}Y_{e}(x_{ij}) - Y_{e}X_{e}(x_{ij})$$

$$= \sum_{k} \{X_{e}(x_{ik})Y_{e}(x_{kj}) - Y_{e}(x_{ik})X_{e}(x_{kj})\}$$

$$= [X_{e}, Y_{e}](x_{ij})$$

.

**Definition 4.1.** A Lie subgroup H of a Lie group G is a submanifold  $H \xrightarrow{\alpha} G$  where  $\alpha$  is smooth and a group homomorphism.

We say that H is closed Lie subgroup if it is Lie subgroup such that  $H \to \alpha(H)$  is a diffeomorphism.

**Example.** Consider the map  $\mathbb{R} \to S^1 \times S^1$  given by

$$t\mapsto (e^{2\pi it},e^{2\pi i\sqrt{2}t})$$

The image is a Lie subgroup of  $S^1 \times S^1$  but it is not a closed Lie subgroup. It is also known as "Skew-line" in the torus.

**Definition 4.2.** Let  $\mathfrak{g},\mathfrak{h}$  be Lie algebras and  $f:\mathfrak{g}\to\mathfrak{h}$  be a vector space homomorphism. Then we say that f is a Lie algebra homomorphism if

$$f([X,Y]) = [f(X), f(Y)]$$

**Theorem 4.3.** Suppose that  $\psi: G \to H$  is a Lie group homomorphism. Let X be a left-invariant vector field on G. Extend  $d\psi(X_e) = Y_e \in T_eH$  to a left-invariant vector field Y on H. Then X and Y are  $\psi$ -related. This implies  $d\psi_e: \mathfrak{g} \to \mathfrak{h}$  is a Lie algebra homomorphism.

**Proof.** Consider the commutative diagram

$$TG \xrightarrow{d\psi} TH$$

$$X \left( \downarrow \qquad \qquad \downarrow \right) Y$$

$$G \xrightarrow{\psi} H$$

We want to show that  $Y \circ \psi = d\psi \circ Y$ . Now

$$\lambda_{\psi(q)} \circ \psi = \psi \circ \lambda_g$$

so

$$Y_{\psi(g)} = d\lambda_{\psi(g)} Y_e$$

$$= d\lambda_{\psi(g)} d\psi X_e$$

$$= d(\lambda_{\psi(g)} \circ \psi)(X_e)$$

$$= d(\psi \circ \lambda_g)(X_e)$$

$$= d\psi d\lambda_g(X_e)$$

$$= d\psi X_g$$

**Theorem 4.4.** Let G, H be Lie groups with G connected. Let

$$\phi, \psi: G \to H$$

be homomorphism of Lie groups such that

$$d\phi = d\psi : T_eG \to T_eH$$

Then  $\phi = \psi$ .

### 5 23 Jan 2023

I missed the class. Regardless here are some definitions from Warner covered on this day.

**Definition 5.1.** Let M be a smooth d-dimensional manifold. For any integer  $1 \leq c \leq d$ , a c-dimensional distribution  $\mathscr{D}$  on manifold is a choice of c-dimensional subspace  $\mathscr{D}_p \subset T_pM$ .  $\mathscr{D}$  is smooth if for each  $p \in M$  there is an open neighborhood U of p and there are c smooth vector fields  $X_1, \ldots, X_c$  on U which span  $\mathscr{D}_m$  for each  $p \in U$ .

We say  $\mathscr{D}$  is **involutive** if  $[X,Y] \in \mathscr{D}$  whenever  $X,Y \in \mathscr{D}$ .

**Definition 5.2.** A submanifold  $(N, \phi)$  of M is an integral manifold of a distribution  $\mathcal{D}$  if

$$d\phi(N_p) = \mathscr{D}_{\phi(p)}$$

Suppose there exists an integral manifold N for a distribution  $\mathcal{D}$ , then for the points on N the distribution  $\mathcal{D}$  is necessarily involutive. Frobenius theorem states that it is sufficient condition for a distribution to be integral.

**Theorem 5.1. (Frobenius)** Let  $\mathscr{D}$  be a c-dimensional involutive smooth distribution on M. Then there exists an integral manifold of  $\mathscr{D}$  passing through each point of M.

#### **Differential Ideals**

Let  $E^*(M) = \bigoplus_{i=0}^{\infty} E^i(M)$  denote the graded algebra of smooth differential forms over manifold M.

**Definition 5.3.** Let  $\mathscr{D}$  be a smooth p-dimensional distribution on M. A q-form  $\omega$  is said to annihilate  $\mathscr{D}$  if for each  $x \in M$ 

$$\omega_x(v_1, \dots, v_q) = 0$$
 whenever  $v_1, \dots, v_q \in \mathcal{D}_x$ 

A form  $\omega \in E^*(M)$  is said to annihilate  $\mathcal{D}$  if each of the homogenous components of  $\omega$  annihilate  $\mathcal{D}$ . Define

$$\mathscr{I}(\mathscr{D}) \doteq \{\omega \in E^*(M) : \omega \text{ annihilates } \mathscr{D}\}\$$

**Definition 5.4.** An ideal  $\mathscr{I} \in E^*(M)$  is called a **differential ideal** if it is closed under exterior differentiation; i.e.

$$d(\mathscr{I}) \subset \mathscr{I}$$
.

**Theorem 5.2.** A smooth distribution  $\mathscr{D}$  on M is involutive if and only if the ideal  $\mathscr{I}(\mathscr{D})$  is a differential ideal.

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**Theorem 6.1.** If  $\phi: H \to G$  is a homomorphism of Lie groups and if  $\omega$  is a left-invariant differential form on G, then  $\phi^*(\omega)$  is again a left-invariant form on H.

Suppose that  $\phi: H \to G$  is a homomorphism of Lie groups. Let  $\omega_1, \ldots, \omega_d$  be a basis for  $E^1_{\text{inv}}(G)$ . Then

$$\mathcal{I}_{\phi} = \langle \{ \pi_1^* \phi^*(\omega_i) - \pi_2^*(\omega_i) \} : 1 \le j \le d \rangle$$

is a left-invariant differential ideal of  $H \times G$ .

**Lemma 6.2.** Suppose  $X_1, \ldots, X_d$  is a basis of  $\mathfrak{g}$  dual to  $\omega_1, \ldots, \omega_d$ . Suppose the Lie bracket

is given by

$$[X_i, X_j] = \sum_{k} c_{ij}^k X_k$$

Then the  $C^{\infty}$  functions  $c_{ij}^k$  are constant. Further,

$$d\omega_i = -c_{kj}^i \omega_k \wedge \omega_j$$

**Proof.** Notice that

$$d\omega_k(X_i, X_j) = -\omega_k([X_i, X_j])$$
$$= -c_{ij}^k$$

which is a constant because a left-invariant 1-form evaluated on a left-invariant vector field is a constant.  $\Box$ 

**Remark.** The  $c_{ij}^k$  are called the structural constants of G with respect to the basis  $\{X_i\}$  of  $\mathfrak{g}$ .

**Proof.** Theorem 4.4. Notice that  $\mathcal{I}_{\psi} = \mathcal{I}_{\phi}$  since  $d\phi = d\psi$  and these are invariant differential ideals; hence integral manifolds of  $\mathcal{I}_{\phi}$  and  $\mathcal{I}_{\psi}$  passing through (e, e) are the same. Thus,  $\phi = \psi$ .

**Lemma 6.3.** Suppose G is any Hausdorff topological group which is connected. Suppose  $e \in U \subset G$  is any open set. Then

$$G=\bigcup_{n\geq 1} U^n$$

where  $U^n = \{x_1 \cdots x_n | x_i \in U\}$ 

**Proof.** Since  $e \in U$  is open,  $U^{-1} = \{x^{-1} | x \in U\}$  is also an open neighborhood of e. Let  $V = U \cap U^{-1}$ . Note that

$$H \doteqdot \bigcup_{n \ge 1} V^n$$

is a subgroup of G, and it is open. Since the cosets gH are also open it follows that  $G = \bigcup_g H$  being connected must be H.

**Theorem 6.4.** Let G be a Lie group and  $\mathfrak{h} \subset \mathfrak{g}$  be a Lie subalgebra of  $\mathfrak{g}$ . Then there exists connected Lie subgroup H of G such that  $T_eH = \mathfrak{h}$ .

**Proof.** Consider the distribution  $\mathcal{D}$  defined as

$$\mathscr{D}_g = \{X_g | X \in \mathfrak{h}\}$$

on G. Suppose  $X_1, \ldots, X_c$  is a basis of  $\mathfrak{h}$ . Then  $\mathscr{D}$  is generated by  $X_1, \ldots, X_c$  and  $\mathscr{D}$  is involutive.

**Corollary.** (a) There is a one-to-one correspondence between connected Lie subgroups of G and Lie subalgebras of  $\mathfrak{g}$ .

(b) Suppose  $(H, i) \leftrightarrow \mathfrak{h} \subset \mathfrak{g}$ . Then (H, i) is an embedded manifold if and only if H is closed.

**Theorem 6.5.** Suppose that  $A \subset G$  is an abstract subgroup of G and if A has a manifold structure such that  $(A, i) \to G$  is a submanifold. Then the manifold structure is unique, A is a Lie group and hence (A, i) is a Lie subgroup of G.

**Theorem 6.6.** (Adó) Suppose that  $\mathfrak{g}$  is a finite dimensional Lie algebra. Then  $\mathfrak{g}$  can be realized as a subalgebra of  $\mathfrak{gl}(n,\mathbb{R})$ .

Given any connected Lie group G, it has a universal cover  $\tilde{G} \xrightarrow{\pi} G$ . Choose  $\tilde{e} \in \pi^{-1}(e) \in \tilde{G}$  such that the following diagram

$$\begin{array}{ccc}
\tilde{G} \times \tilde{G} & \longrightarrow & \tilde{G} \\
 \downarrow^{\pi} & \downarrow^{\pi} \\
 G \times G & \longrightarrow & G
\end{array}$$

commutes.

# 7 30 Jan 2023

**Lemma 7.1.** Suppose that G is a connected Lie group. Then  $\pi_1(G)$  is abelian.

**Proof.** Suppose  $\sigma, \tau: I \to G$  be two loops. Define  $\sigma \cdot \tau$  by

$$(\sigma \cdot \tau)(s) = \sigma(s) \cdot \tau(s)$$

Then we have

$$\sigma * \tau \cong \sigma \cdot \tau$$

where \* denote the product in the fundamental group  $\pi_1(G)$  (given by concatenation) and  $\cong$  denotes equivalent in homotopy. Also,

$$\sigma \cdot \tau \cdot \sigma^{-1} \cong \tau$$

which implies  $\sigma \tau \cong \tau \cdot \sigma$ 

**Theorem 7.2.** Suppose that G and H are Lie groups with Lie algebras  $\mathfrak{g}$  and  $\mathfrak{h}$  with G simply connected. Let  $\tilde{\phi}: \mathfrak{g} \to \mathfrak{h}$  be a Lie algebra homomorphism. Then there exists a Lie group homomorphism

$$\phi:G\to H$$

such that  $d\phi_e: T_e(G) = \mathfrak{g} \to \mathfrak{h} = T_eH$  is equal to  $\tilde{\phi}$ .

**Proof.** Let  $\{\omega_i\}$  be a basis for invariant differential forms in  $E^1(H)$ . Let  $\mathscr{I}$  be the ideal generated by  $\{\pi_1^*\tilde{\phi}^*(\omega_j) - \pi_2^*(\omega_j) | 1 \leq j \leq d\}$ . Then  $\mathscr{I}$  is an invariant differential ideal of  $G \times H$ , so it comes from vanishing of an integrable submanifold of  $G \times H$  passing through (e,e).

Then M is a Lie subgroup of  $G \times H$  and  $M \xrightarrow{p} G$  obtained by restriction of  $\pi_1$  is a group homomorphism and also a local diffeomorphism. So  $p: M \to G$  is a covering projection but G is simply connected so p is a diffeomorphism

$$G \xrightarrow{p^{-1}} M \hookrightarrow G \times H \to H.$$

**Corollary.** 1. Suppose  $\mathfrak{g} \cong \mathfrak{h}$  as Lie algebras and G and H are simply connected. Then  $G \cong H$  as Lie groups.

- 2. There exists a one-to-one correspondence between (finite dimensional) Lie algebras and simply connected Lie groups.
- 3. The differential structure of a Lie group is determined by its Lie algebra.

If G is a topological group which is locally Euclidean, does it support a Lie group structure? The answer is yes but the proof is quite difficult.

# **Exponential** map

Let X be a left-invariance vector field on G. We have a Lie algebra homomorphism

$$\operatorname{Lie}(\mathbb{R}) \cong \mathbb{R} \to \mathfrak{g}$$

$$c\frac{d}{dt} \to cX$$

This yields a Lie group homomorphism

$$\mathbb{R} \xrightarrow{\exp_X} G$$
$$x \mapsto \exp_X(x)$$

then  $d \exp_X(c\frac{d}{dt}) = cX$ . The map

$$\mathfrak{g} \xrightarrow{\exp} G$$
$$X \mapsto \exp_X(1)$$

is called the **exponential map**.

**Theorem 7.3.** Let  $X \in \text{Lie}(G)$ . Then

- 1.  $\exp(tX) = \exp_X(t)$
- 2.  $\exp(t_1X_1 + t_2X) = \exp(t_1X) \cdot \exp(t_2X)$
- 3.  $\exp(-tX) = (\exp(tX))^{-1}$

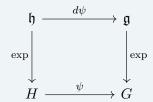
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- 4.  $\exp: \mathfrak{g} \to G$  is smooth and  $d \exp: T_0 \mathfrak{g} \to T_e G = \mathfrak{g}$  is the identity map
- 5.  $\lambda_g \circ \exp_X : \mathbb{R} \to G$  is the unique integral curve of X which is based at g.
- 6. The left-invariant vector fields are complete, i.e. their integral curves exist for all time.
- 7. The one-parameter group of diffeomorphism  $\psi_{X,t}$  for  $t \in \mathbb{R}$  is given by

$$\psi_{X,t} = \rho_{exp_X(t)}$$

where  $\rho_g$  denote right-multiplication by g.

**Theorem 7.4.** Suppose  $\psi: H \to G$  is a Lie group homomorphism. Then



commutes.

[DO THIS COMMUTATIVE DIAGRAM.]

# 8 1 Feb 2023

**Theorem 8.1.** Suppose that  $\mathfrak{h} \subset \mathfrak{g}$  is a Lie subalgebra where Lie(G). Let  $A \subset G$  an abstract subgroup such that there exists a neighbourhood  $0 \in V \subset \mathfrak{g}$  such that

$$\exp(V \cap \mathfrak{h}) = U \cap H$$

for some neighborhood  $e \in U \subset G$ . Then H has a unique manifold structure such that  $(H,i) \hookrightarrow G$  is an embedded submanifold of G and H is closed in subset topology.

**Remark.** Lines with irrational slope in torus doesn't satisfy the hypothesis.

#### Matrix exponentiation

Recall that  $\mathfrak{gl}(n,\mathbb{R})$  denotes the Lie algebra of  $n \times n$  matrices over  $\mathbb{R}$  and similarly for  $\mathfrak{gl}(n,\mathbb{C})$ .

**Definition 8.1.** Define a map

$$\mathfrak{gl}(n,\mathbb{C}) \to \mathrm{GL}(n,\mathbb{C})$$

$$A \mapsto e^A = \sum_{k=0}^{\infty} \frac{A^k}{k!}$$

It can be proved that the series is convergent with sup norm and further we have a lemma

**Lemma 8.2.** If AB = BA then

$$e^{A+B} = e^A e^B$$

which can be used to prove that  $e^A \in GL(n,\mathbb{C})$ , so the definition makes sense.

Fix A and consider the function

$$\mathbb{R} \ni t \mapsto e^{tA} \in \mathrm{GL}(n, \mathbb{C})$$

then its derivative is

$$\left.\frac{d}{dt}\right|_{t=0}e^{tA}=A$$

because we can differentiate term by term in uniform convergence. This confirms Theorem 7.3 4th part.

The left-invariant vector field given by  $A \in \mathfrak{gl}(n,\mathbb{C})$  is just multiplication by A on the right. Thus,  $t \mapsto e^{tA}$  is the integral curve associated to the vector field  $A \in \mathfrak{gl}(n,C)$  based at I. Hence, this is the exponential map in the cases of  $\mathrm{GL}(n,\mathbb{C})$ .

**Theorem 8.3.** The exponential map  $\exp : \mathfrak{g} \to G$  is smooth.

**Proof.** Let  $X \in \mathfrak{g}$  and consider the map

$$V: G \times \mathfrak{g} \to TG \times \mathfrak{g}$$
  
 $(g, X) \mapsto (X_q, 0)$ 

then V is smooth. Also, V is left-invariant on  $G \times \mathfrak{g}$ . Consider the integral curve  $\gamma$  based at (g,X) of V. Then

$$\gamma_V(t) = (g \exp_X(t), X)$$

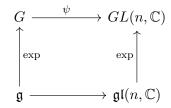
because of left invariance so

$$\gamma_V(1) = (g \exp(X), X)$$

$$G \times \mathfrak{g} \xrightarrow{\gamma_V(1)} G \times \mathfrak{g} \xrightarrow{\pi} G$$
$$(e, X) \mapsto \gamma_V(1) \to \exp(X)$$

9 6 Feb 2023

Note that exponential map commutes with Lie group homomorphisms. Using Ado's theorem we get that for any Lie group



Consider the Lie group  $\mathrm{SL}(n,\mathbb{C}) = \{X \in \mathrm{GL}(n,\mathbb{C}) | \det(X) = 1\}$ , for any  $A \in \mathfrak{gl}(n,\mathbb{C})$  upper triangular with diagonal entries  $\lambda_1, \ldots, \lambda_n$  then

$$\det(e^A) = e^{\lambda_1 + \dots + \lambda_n} = e^{\operatorname{tr}(A)}$$

Now  $\mathfrak{sl}(n,\mathbb{C}) = \{A \in \mathfrak{gl}(n,\mathbb{C}) | \operatorname{tr}(A) = 0\}$ , then  $\mathfrak{sl}(n,\mathbb{C})$  is a Lie subalgebra of  $\mathfrak{gl}(n,\mathbb{C})$  and exponential maps  $\mathfrak{sl}(n,\mathbb{C})$  to the Lie subgroup  $\operatorname{SL}(n,\mathbb{C})$ . As  $\operatorname{SL}(n,\mathbb{C})$  is a closed subgroup of  $\operatorname{GL}(n,\mathbb{C})$  and dimension  $2(n^2-1)$ . Using Theorem 8.1 on an appropriate neighborhood we can complete the proof.

Lie subgroup Lie subalgebra 
$$\mathfrak{gl}(n,\mathbb{C})$$
 
$$U(n) \longleftrightarrow \qquad u(n) = \text{skew-Hermitian matrices}$$
 
$$SU(n) \longleftrightarrow \qquad su(n) = \text{skew-Hermitian} + \text{trace} = 0$$

Prove the above given correspondence using this lemma (TO DO).

**Lemma 9.1.** Suppose that  $P \in GL(n, \mathbb{C})$  and  $A \in \mathfrak{gl}(n, \mathbb{C})$ , then

$$Pe^{A}P^{-1} = e^{PAP^{-1}}$$

**Theorem 9.2** (Baker-Campbell-Hausdorff formula). Let  $\mathfrak{g}$  be a Lie algebra corresponding to a connected Lie group G. Then in a neighborhood U of the identity, the multiplication  $U \times U \to G$  is completely determined by Lie algebra structure of  $\mathfrak{g}$ . There is a formula for  $Z = Z(X,Y), X,Y \in V \subset \mathfrak{g}$ , where  $e^X \cdot e^Y = e^Z$ 

$$Z = X + Y + \frac{1}{2}[X, Y] + \frac{1}{12}[X, [X, Y]] + \dots$$

Consider

$$e^{tX} \cdot e^{tY} = \left(\sum \frac{t^k X^k}{k!}\right) \left(\sum \frac{t^l Y^l}{l!}\right)$$
$$= \sum_{m \ge 0} \left(\sum_{k+l=m} \frac{X^k Y^l}{k! l!}\right) t^m$$

Suppose  $Z = tZ_1 + t^2 Z_2 + t^3 Z_3 ...,$  then

$$e^{Z} = 1 + (tZ_1 + t^2Z_2 + ...) + \frac{(tZ_1 + t^2Z_2 +)}{2!} + ...$$
  
=  $1 + t(Z_1) + t^2\left(Z_2 + \frac{Z_1^2}{2!}\right)$ 

So we get  $Z_1 = X + Y$ ,

$$\frac{X^2}{2!} + XY + \frac{Y^2}{2!} = Z_2 + \frac{Z_1^2}{2!}$$
$$= Z_2 + \frac{1}{2} (X^2 + XY + YX + Y^2)$$

so 
$$Z_2 = XY - \frac{1}{2}(XY + YX) = \frac{1}{2}(XY - YX) = \frac{1}{2}[X, Y]$$

**Theorem 9.3.** Suppose that  $\psi: R \to G$  is a continuous homomorphism. The  $\psi$  is smooth.

**Proof.** It is enough to show that  $\psi$  is smooth at 0. Let U be a star-like neighborhood of  $0 \in \mathfrak{g}$  such that  $\exp |_U : U \to G$  is a diffeomorphism onto  $\exp(U)$ . Let  $U' = \{\frac{X}{2} | X \in U\}$ . Choose  $Y \in U'$  and let  $\psi(t_0) = \exp(Y)$ . Choose  $t_0 > 0$  such that

$$\psi([-t_0, t_0]) \subset \exp(U')$$

Let  $n \geq 2$ , and suppose that  $X \in U'$  such that  $\exp(X) = \psi(\frac{t_0}{n})$ . Claim nX = Y

- 10 6 Feb
- 11 8 Feb
- 12 13 Feb

**Definition 12.1.** Let  $\mathfrak{a} \in \mathfrak{g}$  be a Lie subalgebra of a Lie algebra  $\mathfrak{g}$ . We say that  $\mathfrak{a}$  is an **ideal** in  $\mathfrak{g}$  if  $[X,Y] \in \mathfrak{a}$  for all  $X \in \mathfrak{g}$  and  $Y \in \mathfrak{a}$ .

**Theorem 12.1.** Suppose  $A \subset g$  is a connected Lie subgroup of a connected Lie group G. Then A is normal in G if and only if  $\mathfrak{a} = \text{Lie}(A)$  is an ideal in  $\mathfrak{g}$ .

**Proof.** Suppose that  $\mathfrak{a} \subset \mathfrak{g}$  is an ideal. Let  $g \in G$ ,  $h \in A$ . We must show that  $ghg^{-1} \in A$ , to do this it is enough to show this for g in a neighborhood of e and h in a neighborhood of e in A. So we may write  $g = \exp X$ ,  $h = \exp Y$ 

$$ghg^{-1} = \exp \operatorname{Ad}_{g}(Y)$$

$$= \exp \operatorname{Ad}_{\exp(X)}(Y)$$

$$= (\exp (\exp(id_{X})))$$

$$= \exp \left(I + \operatorname{ad}_{X} + \frac{\operatorname{ad}_{X}^{2}}{2!} + \dots\right)(Y)$$

$$= \exp \left(Y + [X, Y] + \frac{[X, [X, Y]]}{2!} + \dots\right) \in A$$

Now assume A is normal in G. Let  $X \in \mathfrak{g}$ ,  $Y \in \mathfrak{a}$ . Write  $g_t = \exp tX$ . We know that

$$A \ni g_t(\exp(sY))g_t^{-1} = \exp(\operatorname{Ad}_{g_t}(sY))$$
$$= \exp(s\operatorname{Ad}_{g_t})$$
$$= \exp(s\exp\operatorname{ad}_{tX}(Y))$$

This implies  $\exp \operatorname{ad}_{tX}(Y) \in \mathfrak{a}$  so  $Y + t[X,Y] + \frac{t^2}{2!}[X,[X,Y]] + \dots$  and using  $\frac{d}{dt}\Big|_{t=0} \exp \operatorname{ad}_{tX}(Y) = [X,Y] \in \mathfrak{a}.$ 

**Definition 12.2.** The center of a Lie algebra  $\mathfrak{g}$  is the vector space  $\mathfrak{z} = \mathfrak{z}(\mathfrak{g}) = \{X \in \mathfrak{g} \mid [X,Y] = 0 \,\forall Y \in \mathfrak{g}\}.$ 

**Remark.** Note that  $\mathfrak{z}$  is trivial Lie subalgebra of  $\mathfrak{g}$ .

**Theorem 12.2.** Let Z = Z(G) be the center of G. Then  $Z(G) = \ker(\operatorname{Ad}: G \to \operatorname{GL}(\mathfrak{g}))$ .

**Proof.** If  $\mathfrak{g} \in Z(G)$ , then  $i_g : G \to G = \mathrm{id}_G$  where  $i_g$  is the conjugation map. Taking the differential, this implies  $A_g : \mathfrak{g} \to \mathfrak{g}$  is identity, hence  $g \in \ker(\mathrm{Ad})$ .

Suppose that  $g \in \ker(\mathrm{Ad})$ , so  $\mathrm{Ad}_q(X) = X$ . Let  $X \in \mathfrak{g}$  then

$$\exp tX = \exp(t \operatorname{Ad}_g(X))$$
$$= g \exp(tX)g^{-1}$$

so g commutes with elements  $\exp(tX)$  in a neighborhood of e but that is enough since elements of the form  $\exp tX$  for any  $t \in \mathbb{R}, X \in \mathfrak{g}$  generate G. Therefore,  $g \in Z(G)$ .

**Proposition 12.3.** If  $X, Y \in \mathfrak{g}$  are such that [X, Y] = 0. Then

$$\exp(X + Y) = \exp(X) \exp(Y).$$

**Proof.** Let  $\mathfrak{a} = \mathbb{R}X + \mathbb{R}Y$ . Then  $\mathfrak{a}$  is abelian subalgebra of  $\mathfrak{g}$ . Then the corresponding Lie subgroup A is abelian. Define  $\alpha : \mathbb{R} \to G$  such that

$$\alpha(t) = \exp(tX) \exp(tY) \in A$$

It follows that  $\alpha(s+t) = \alpha(s)\alpha(t)$  since A is abelian. Now  $\alpha(t) = \exp(tZ)$  for some  $Z \in \mathfrak{g}$  where  $Z = \frac{d}{dt}\Big|_{t=0} \alpha(t)$ .

$$\frac{d}{dt}\alpha(t) = \frac{d}{dt}\Big|_{t=0} \exp(tX) + \frac{d}{dt}\Big|_{t=0} \exp(tY)$$
$$= X_e + Y_e$$

So  $Z_e = X_e + Y_e$  and  $\exp(tZ) = \exp(tX) \exp(tY)$  for all  $t \in \mathbb{R}$ .

# 13 15 Feb

**Motivation.** We will try to look into automorphism group of Lie group now and the expectation is that it is a Lie group itself.

Let  $\psi: V \otimes V \to V$  be a linear map. Consider the sets

$$A_{\psi}(V) = \{ \alpha \in \operatorname{GL}(V) | (\alpha u, \alpha v) = \alpha((u, v)) \},$$

i.e. the diagram commutes

$$\begin{array}{cccc} V \otimes V & \longrightarrow & \psi & & V \\ & & & & \downarrow & \\ & & & & \downarrow & \\ V \otimes V & \longrightarrow & V \end{array}$$

and

$$Dev_{\psi}(V) = \{ f \in \operatorname{End}(V) | f(\psi(u, v)) = \psi(f(u), v) + \psi(u, f(v)) \}$$

**Proposition 13.1.** 1.  $A_{\psi}(V)$  is a closed subgroup of GL(V).

2.  $Dev_{\psi}(V)$  is a Lie subalgebra of  $\mathfrak{g}(V)$ .

Proof. TO DO

**Theorem 13.2.** Lie algebra of  $A_{\psi}(V)$  equals  $Dev_{\psi}(V)$ .

**Proof.** Let  $\mathfrak{a} = Lie(A_{\psi}(V)) \subset \mathfrak{g}(V) = End(V)$ . We must show that  $\mathfrak{a} = Dev_{\psi}(V)$ . Suppose that  $f \in \mathfrak{a}$ , then  $\exp(tf) \in A_{\psi}(V)$  for all t. We need to show that

$$f \circ \psi = \psi \circ (f \otimes 1 + 1 \otimes f)$$

To do this, let  $u, v \in V$ , then

$$\exp tf(u,v) = (\exp tf(u), \exp tf(v))$$
$$= (u,v) + (tf(u),v) + (u,tf(v)) + \text{higher powers of } t$$

so

$$f(u,v) = \frac{d}{dt}\Big|_{t=0} \exp tf(u,v) = (f(u),v) + (u,f(v))$$

so  $f \in Dev_{\psi}(V)$ .

Let  $f \in Dev_{\psi}(V)$ , we must show that

$$\begin{split} \exp(tf)(u,v) &= (\exp(tf)u, \exp(tf)v) \\ i.e & \exp(tf) \circ \psi = \psi \circ (\exp(tf) \otimes \exp(tf)) \qquad \forall u,v \in V \text{ and } \forall t \in \mathbb{R} \end{split}$$

As  $f \in Dev_{\psi}(V)$ , we have

$$f \circ \psi = \psi \circ (f \otimes 1 + 1 \otimes f)$$
$$f^{2} \circ \psi = f \circ f \circ \psi$$
$$= f \circ \psi \circ (f \otimes 1 + 1 \otimes f)$$
$$= \psi \circ (f \otimes 1 + 1 \otimes f)^{2}$$

By induction,

$$f^n \circ \psi = \psi \circ (f \otimes 1 + 1 \otimes f)$$

and  $f \otimes 1, 1 \otimes f : V \otimes V \to V \otimes V$  commutes. It follows that

$$\exp(tf) \circ \psi = \sum \left( \frac{t^k f^k}{k!} \circ \psi \right)$$

$$= \sum \frac{t^k}{k!} \psi \circ (f \otimes 1 + 1 \otimes f)^k$$

$$= \psi \circ \sum \frac{t^k}{k!} (f \otimes 1 + 1 \otimes f)^k$$

$$= \psi \circ \exp(tf \otimes 1 + 1 \otimes tf)$$

$$= \psi \circ (tf \otimes 1) \circ \exp(1 \otimes tf)$$

$$= \psi \circ \exp(tf \otimes tf)$$

$$= \psi (\exp(tf) \otimes \exp(tf))$$

Let  $V = \mathfrak{g} = \text{Lie}(G)$  and  $\psi = [\cdot, \cdot] : \mathfrak{g} \otimes \mathfrak{g} \to \mathfrak{g}$  be the Lie bracket. Then

$$A_{\psi}(V) = \operatorname{Aut}_{Lie}(\mathfrak{g}) \subset \operatorname{GL}(\mathfrak{g})$$

and

$$\operatorname{Der}_{\psi}(V) = \operatorname{Lie}(\operatorname{Aut}(\mathfrak{g}))$$

by the theorem. Note that  $G \xrightarrow{\operatorname{Ad}} \operatorname{GL}(\mathfrak{g})$  factors through  $G \to \operatorname{Aut}_{\operatorname{Lie}}(\mathfrak{g})$  and  $\mathfrak{g} \xrightarrow{\operatorname{ad}} \operatorname{Der}(\mathfrak{g})$ . Let V be a finite dimensional vector space. Consider a bilinear form

$$B: V \times V \to F$$

equipped with a linear map

$$V \otimes V \to F$$

An element  $g \in GL(V)$  is B-invariant if

$$(u,v) = (gu, gv)$$
  $\forall u, v \in V$ 

An element  $f \in \text{End}(V)$  is B-invariant if

$$(fu, v) + (u, fv) = 0$$

Then  $O_B(V) = \{g \in GL(V) | g \text{ is } B\text{-invariant}\}$  is a closed Lie subgroup of GL(V) with Lie algebra B-invariant linear map endomorphisms of V.

**Example.** Take  $V = \mathbb{R}^n$  and B is the standard inner product. Then  $O_B(V) = O(n)$ .

#### 14 1 March

Missed

### 15 6 March

Missed

# 16 8 March

Missed

#### 17 13 March

# Fundamental group of Lie groups

Reference - Hall (?)

# Complexification

Let V be a real vector space. Then the complexification is the vector space  $V \otimes_{\mathbb{R}} \mathbb{C} = V_{\mathbb{C}}$ . If V is a Lie algebra, then  $V_{\mathbb{C}}$  is a Lie algebra where the bracket operates on  $V_{\mathbb{C}}$  is the  $\mathbb{C}$ -linear extension of that on V. It is given by

$$[X + iY, X' + iY'] = [X, X'] - [Y, Y'] + i([X, Y'] + [X', Y])$$

for all  $X, Y, X', Y' \in V$ . Suppose that V is a real Lie algebra and W is a complex Lie algebra. Suppose  $f: V \to W$  is a Lie algebra homomorphism where W is regarded as a  $\mathbb{R}$ -Lie algebra. Then f extends to a unique complex Lie algebra homomorphism

$$f_{\mathbb{C}}:V\otimes\mathbb{C}\to W$$

Suppose that W = V + iV as  $\mathbb{C}$  vector space and where  $V \cap iV = 0$  (internal direct sum). Then we say that V is a real form of W.

Suppose W is a complex Lie algebra and V is a real Lie subalgebra contained in W which is a real form of W. Then

$$V_{\mathbb{C}} \equiv W$$

as C-Lie algebra.

Q. Given a Lie algebra, when is it the Lie algebra of a compact Lie group?

A. Something about Killing form and non-degeneracy of complexified Lie algebra and semisimple Lie algebra.

# 18 20 March

Suppose that  $\psi: H \to G$  is a Lie algebra homomorphism into a connected  $\mathbb{C}$ -Lie group G. Then  $d\psi: \mathfrak{h} \to \mathfrak{g}$  extends to a complex Lie algebra homomorphism

$$\mathfrak{h}_{\mathbb{C}} \xrightarrow{d\psi \otimes \mathbb{C}} \mathfrak{g}.$$

**Definition 18.1.** We say that  $\psi: H \to G$  is a complexification of H if for any complex Lie group L and any real Lie group homomorphism  $f: H \to L$ , there exists a unique complex Lie group homomorphism  $\phi: G \to L$  such that

$$f = \phi \circ \psi$$
.

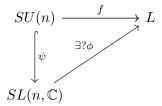
Also,

**Definition 18.2.** A homomorphism of Lie groups  $\psi: G \to L$  is a complex Lie group homomorphism if G, L are complex and  $d\psi: \mathfrak{g} \to \mathfrak{l}$  is a complex Lie algebra homomorphism.

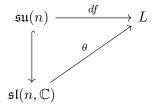
Idea: Like we can complexify a real Lie algebra, we would like to have a concept of complexification of a Lie group but we may not be able to do so for all real Lie groups.

Given a complex Lie group G, any  $(H, \psi)$  whose complexification is G will be called a real form. For a given complex Lie group there can be more than one real form. E.g consider  $SU(n) \subset SL(n,\mathbb{C})$  and it can be proven that SU(n) is a real form of  $SL(n,\mathbb{C})$  (also called compact form since SU(n) is compact) by dimension analysis.

Consider the diagram



where L is a complex Lie group. At the Lie algebra level



where  $\mathfrak{sl}(n,\mathbb{C}) = \mathfrak{su}(n) + i\mathfrak{su}(n)$ ,  $\exists \operatorname{SL}(n,\mathbb{C}) \xrightarrow{\phi} L$  such that  $d\phi = \theta$  since  $\operatorname{SL}(n,\mathbb{C})$  is simply connected. Then  $\phi$  restricts to f since  $d\phi|_{\mathfrak{su}(n)} = df$ .

**Theorem 18.1.** Let K be a compact connected Lie group. Then there exists a complex Lie group  $K_{\mathbb{C}}$  and a Lie group homomorphism  $f: K \to K_{\mathbb{C}}$  such that

- 1.  $f_*: \pi_1(K) \to \pi_1(K_{\mathbb{C}})$  is an isomorphism.
- 2.  $\operatorname{Lie}(K_{\mathbb{C}}) = \operatorname{Lie}(K) \otimes \mathbb{C}$ .
- 3.  $K_C$  is the complexification of K.

**Theorem 18.2.** Suppose that G is a complex linear connected semisimple Lie group. Then any maximal compact Lie subgroup  $K \subset G$  is a real form of G.

# 19 22 March

Let  $\beta$  be a symmetric bilinear form on V, where V is a finite-dimensional vector space over  $\mathbb{R}$  or  $\mathbb{C}$ . Let Q be the associated quadratic form

$$Q:V \to \mathbb{R}$$
 or  $Q:V \to \mathbb{C}$  
$$Q(\lambda v) = \lambda^2 v$$

We have  $Q(V) = \beta(v, v)$ , and  $\beta(u, v) = \frac{Q(u+v)-Q(u)-Qv}{2}$ . Suppose that  $(V, \beta)$ ,  $(V, \beta')$  are quadratic spaces. Then we say that  $(V, \beta)$ ,  $(V, \beta')$  are equivalent if there exists  $T: V \to V$  such that

$$\beta'(u, v) = \beta(Tu, TV) \quad \forall u, v \in V$$

Suppose that  $v_1, \ldots, v_n$  is a basis for V. Then the matrix of  $\beta$  is  $B = (\beta(v_i, v_j))$ .

Let B, B' be the matrices of  $\beta, \beta'$ . Then  $(V, \beta), (V, \beta')$  are equivalent if there exists  $T \in M_n(F)$  such that

$$B = {}^{t}TBT$$

where <sup>t</sup> denotes transpose. Now if  $x = (x_1 \dots x_n)^t$ ,  $y = (y_1, \dots, y_n)^t$  are vectors in  $F^n \equiv V$ , then

$$x^t B y = \beta(x, y)$$

and

$$\beta'(x,y) = \beta(Tx,Ty)$$
$$= x^{t}T^{t}BTy$$
$$= x^{t}B'y$$

which proves the statement. Suppose  $E_1 \subset E$ ,  $(E, \beta)$  is a quadratic space. Then  $(E_1, \beta|_{E_1})$  is a quadratic space.

$$E_1^{\perp} = \{ x \in E : \beta(x, y) = 0 \, \forall y \in E_1 \}$$

**Lemma 19.1.** Suppose that  $E_1 \subset E$  and  $(E, \beta|_{E_1})$  is non-degenerate. Then

$$E = E_1 \oplus E_1^{\perp} = E_1 \perp E_1^{\perp}$$

If  $(E,\beta)$  is non-degenerate, then  $(E_1^{\perp},\beta_{E_1^{\perp}})$  is also non-degenerate.

Example - Consider the quad space  $(H,\beta)$  where  $H=\mathbb{R}^2$  and  $Q((x,y))=x^2-y^2$ . Then  $(H,\beta)\cong (H,\beta')$  where Q'((x,y))=xy. One can calculate that

$$B = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

and

$$B' = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

so the forms are non-degenerate and similar using transformation  $T = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$ . Suppose  $(V, \beta)$  is non-singular, if  $\beta|_E \equiv 0$ , then dim  $E \leq \frac{1}{2} \dim V$ . Further

**Lemma 19.2.** If  $(V, \beta)$  is non-singular then

$$V = V_1 \oplus \cdots \oplus V_n$$

where each  $V_i$  is 1-dimensional and  $(V_i, \beta|_{V_i})$  is non-degenerate,  $V_i \perp V_j$  if  $i \neq j$ , i.e. there exists a basis of V with respect to the matrix B of  $\beta$  is diagonal.

**Proof.** The proof is by induction on dimension. First suppose that  $v \in V$  is non-zero then choose  $V_1 = Fv$  then

$$V = V_1 \oplus V_1^{\perp}$$

and  $(V_1^{\perp}, \beta|_{V_1^{\perp}})$  is non-degenerate. Apply induction to  $(V_1^{\perp}, \beta|_{V_1^{\perp}})$ . Suppose  $\beta(v, v) = 0$ . Choose by non-degeneracy of  $\beta$  a vector  $V \in V$  such that  $\beta(u, v) = 0$ . 0. Notice that  $\beta(u+v,u+v)=2\beta(u,v)\neq 0$  which lands us in earlier case.

Now suppose that  $(V,\beta)$  is arbitrary. Let  $V_0=\operatorname{rad}(\beta)=\{x\in V:\beta(x,y)=0\forall y\in V\}$  Consider the quotient  $(\frac{V}{V_0},\overline{\beta})$  with

$$\overline{\beta}(u+V_0,v+V_0) = \beta(u,v)$$

and  $\operatorname{rad}(\beta) = 0$ , so  $(\frac{V}{V_0}, \overline{\beta})$  is non-degenerate. Main theorem

**Theorem 19.3.** Over  $\mathbb{R}$  any non-degenerate  $\beta$  is equivalent to the bilinear form with basis

$$\begin{bmatrix} I_k & & \\ & -I_l \end{bmatrix}$$

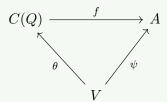
with k + l = n. Moreover, k, l are uniquely determined by  $\beta$ .

**Definition 19.1.** Let (V,Q) be a quadratic space. The Clifford algebra C(Q) associated to it is an algebra over F with a homomorphism  $\theta: V \to C(Q)$  such that

- 1.  $\theta(x)^2 = Q(x)$
- 2. C(Q) is universal with respect to 1st property, i.e. if  $\psi: V \to A$  is any vector space homomorphism to an F-algebra such that

$$\psi(x)^2 = Q(x)$$

then there exists a unique algebra homomorphism f such that



commutes.

We can construct the Clifford algebra by

$$C(Q) = \frac{T(V)}{\langle x \otimes x - \psi(x) \rangle}$$

where T(V) is the tensor algebra of V.

**Example.** 1.  $V = \mathbb{R}, \ Q(x) = -x^2 \ \mathrm{then}$ 

$$T(V) = \mathbb{R} \oplus \mathbb{R} e_1 \oplus \mathbb{R} (e_1 \otimes e_1) \oplus \dots$$

and  $C(Q) = \mathbb{R} \oplus \mathbb{R}e_1$ ,  $e_1^2 = -1$  so  $C(Q) \cong \mathbb{C}$ . 2.  $V = \mathbb{R}$ ,  $Q'(x) = x^2$ , then C(Q') = C(Q') = C(Q')

2. 
$$V = \mathbb{R}, Q'(x) = x^2$$
, then

$$C(Q') = \mathbb{R} \oplus \mathbb{R}e_1$$

with  $e_1^2 = 1$  so it is the polynomial ring  $\frac{\mathbb{R}[x]}{(x^2-1)}$ .