

# Digital Image Processing

## Mean-Shift Segmentation

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# Segmentation

- Partitioning an image into regions
  - Labeling
- Criteria
  - **Small variation** of intensities / patterns **within** segment
  - **Large variation** of intensities / patterns **between** segments

# Mean-Shift Segmentation

- References
  - <https://en.wikipedia.org/wiki/Mean-shift>
  - Mean Shift: A Robust Approach Toward Feature Space Analysis.  
D Comaniciu and P Meer.  
IEEE Transactions on Pattern Analysis and Machine Intelligence 2002. 24(2):603-619

# Mean-Shift Segmentation

- **Goal:** Estimate probability density function (PDF)  $f(x)$  given observations  $x_i$  ( $d$ -dimensional) drawn from  $f(x)$
- **Nonparametric / kernel density estimation**
- **Strategy:** Superpose kernel functions placed at  $x_i$

$$f(\mathbf{x}) = \frac{1}{nh^d} \sum_{i=1}^n K\left(\frac{\mathbf{x} - \mathbf{x}_i}{h}\right)$$

–  $K(\cdot)$  = **kernel**

- Non-negative, integrates to 1, mean 0, finite valued, decays to 0 sufficiently fast

- Typically, radially symmetric  $K(\mathbf{x}) = c_{k,d} k(\|\mathbf{x}\|^2)$ 
  - $k(\cdot)$  = non-increasing,  $c$  = normalization constant

–  $h$  = **bandwidth** parameter

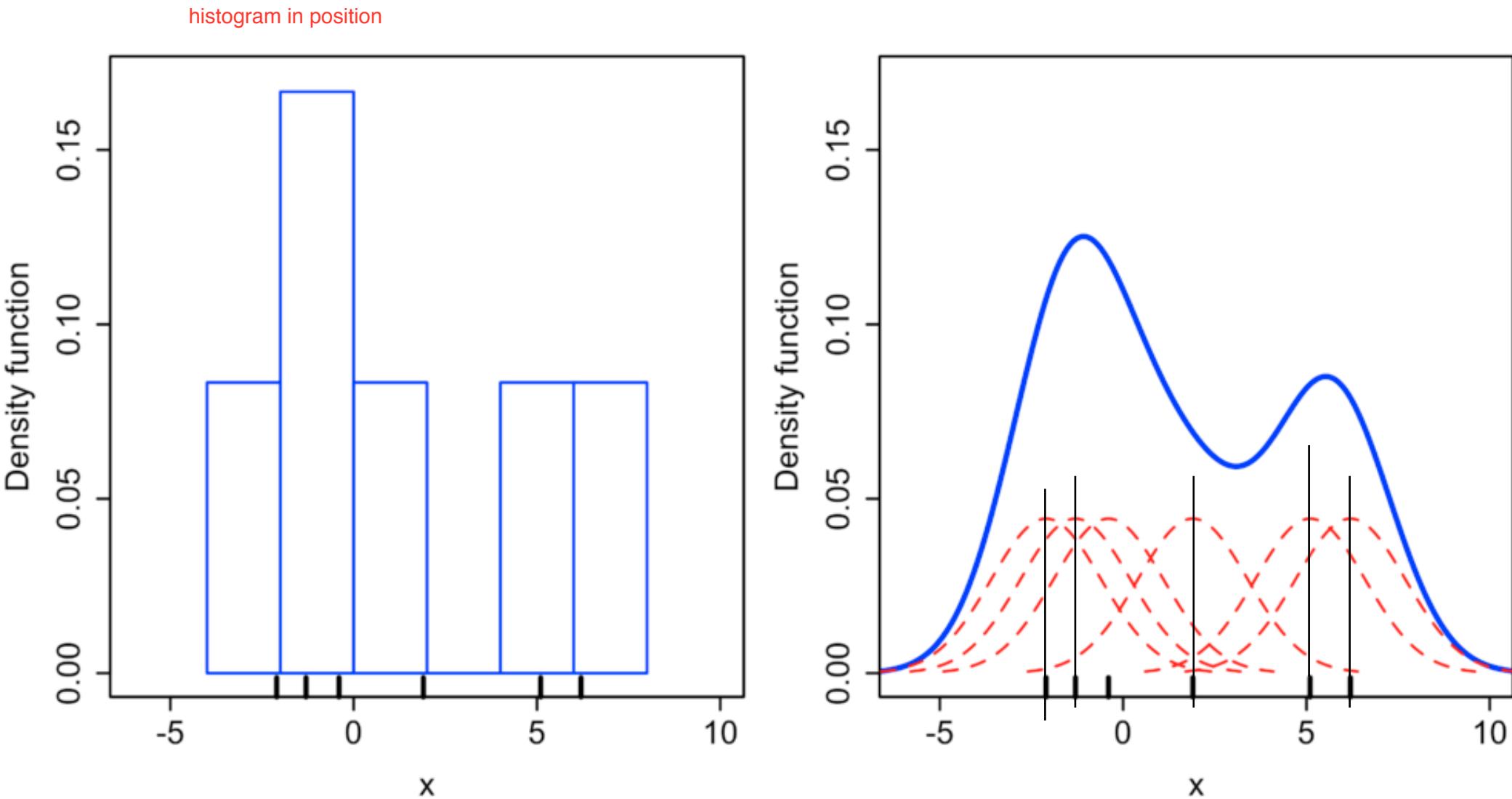
$$\int_{R^d} K(\mathbf{x}) d\mathbf{x} = 1$$

$$\int_{R^d} \mathbf{x} K(\mathbf{x}) d\mathbf{x} = 0$$

$$\lim_{\|\mathbf{x}\| \rightarrow \infty} \|\mathbf{x}\|^d K(\mathbf{x}) = 0$$

# Mean-Shift Segmentation

- Nonparametric / kernel density estimation
  - Histogram (left) versus Kernel density estimate (right)



# Mean-Shift Segmentation

- Nonparametric / kernel density estimation

- Bandwidth ( $h$ ) selection

- Too small: orange

- Small: green

- Desirable: blue

- True: gray

- What if

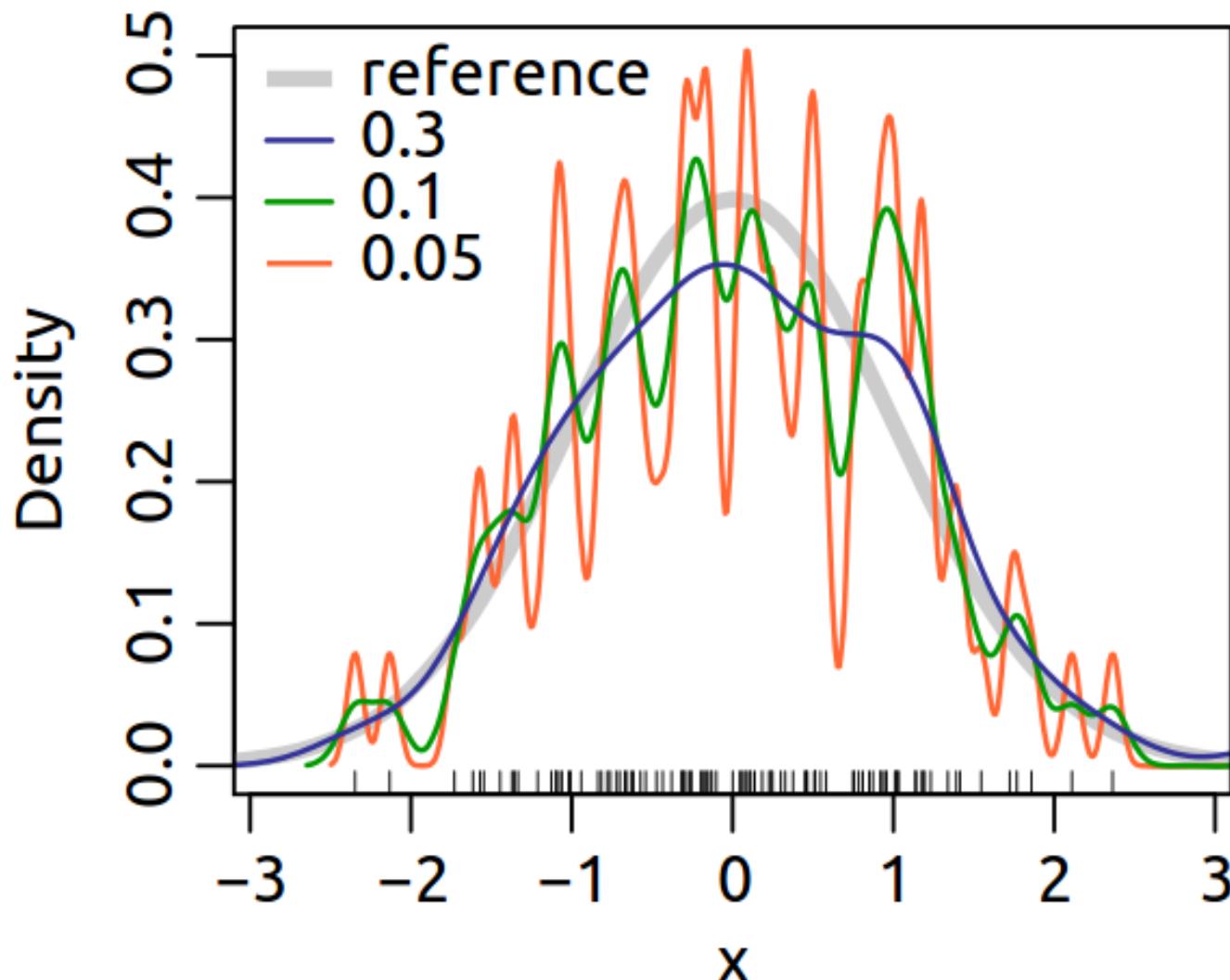
h is too large ?

graph will coincide to x axis

- What if

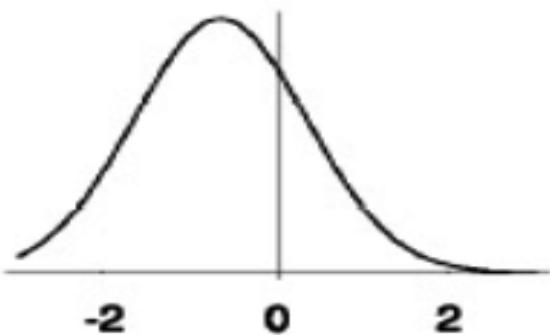
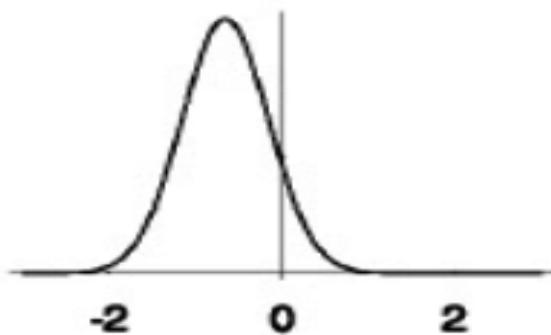
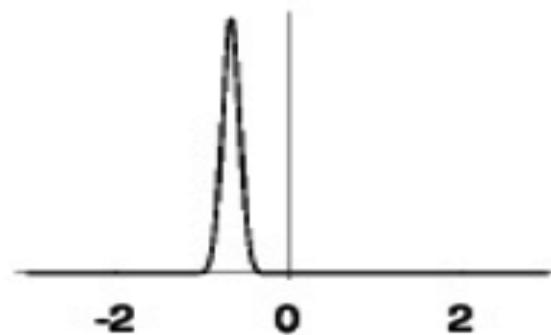
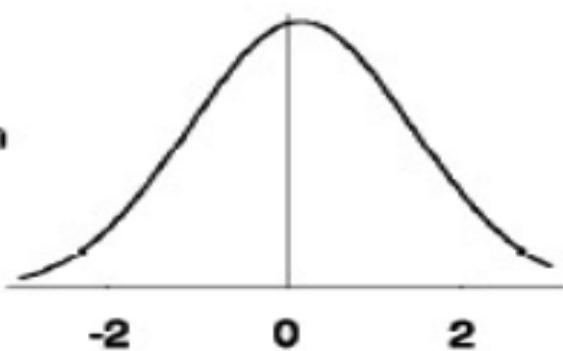
$h \rightarrow 0$  ?

there will be spikes in the graph

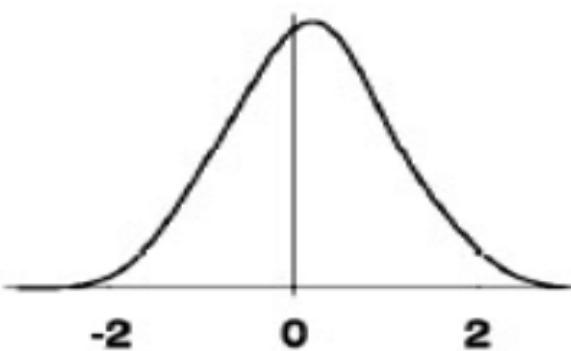
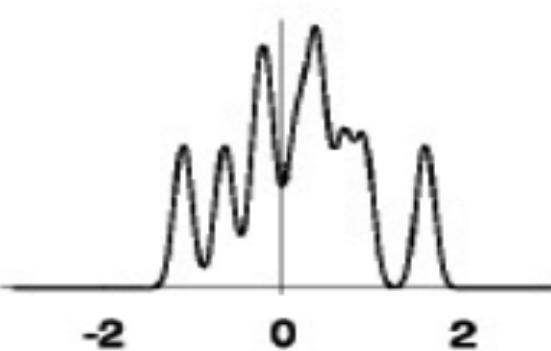
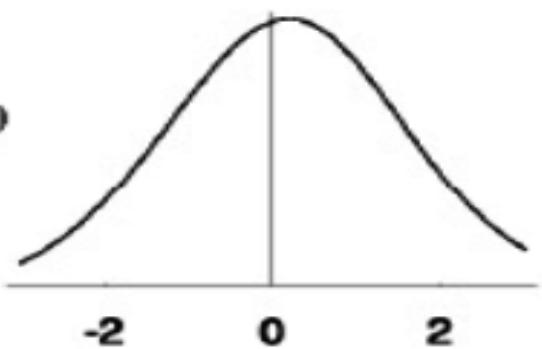
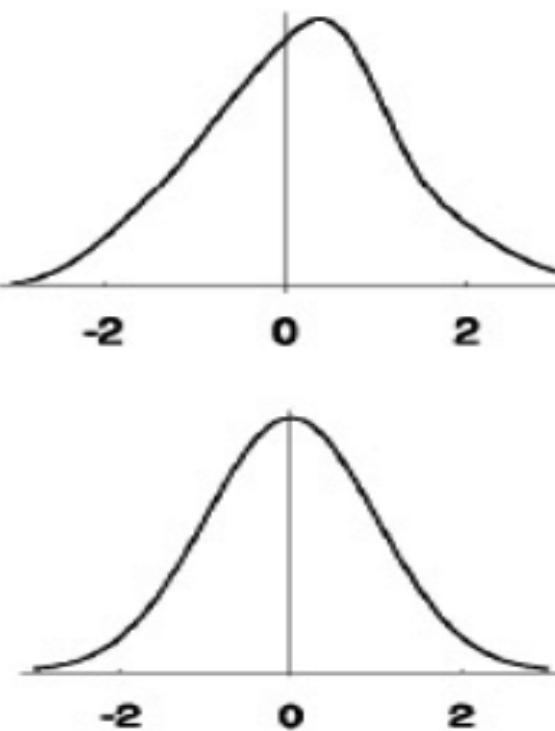
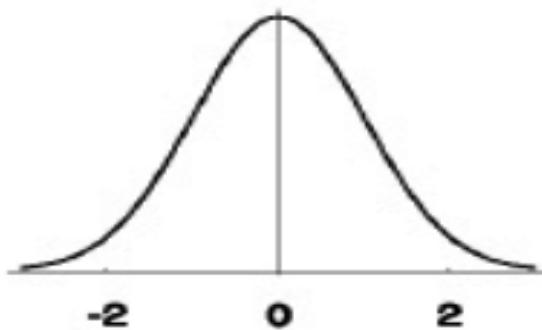


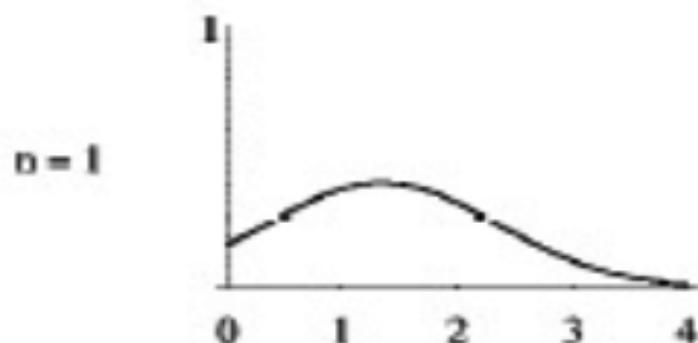
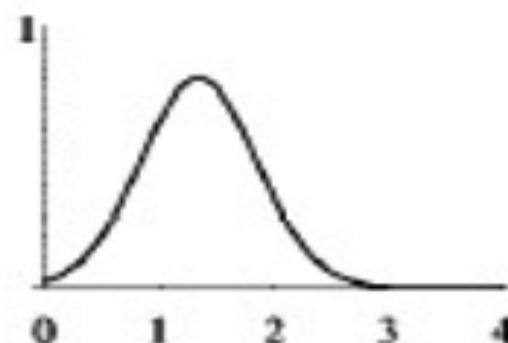
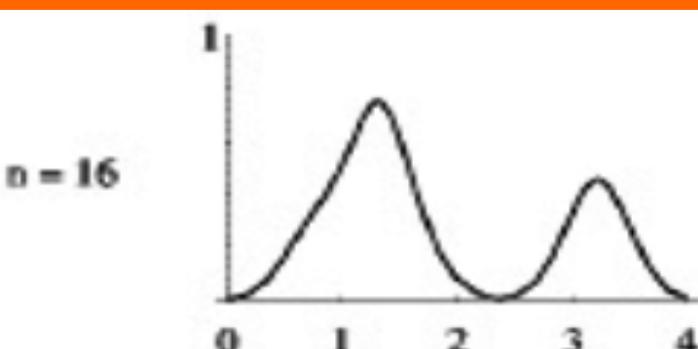
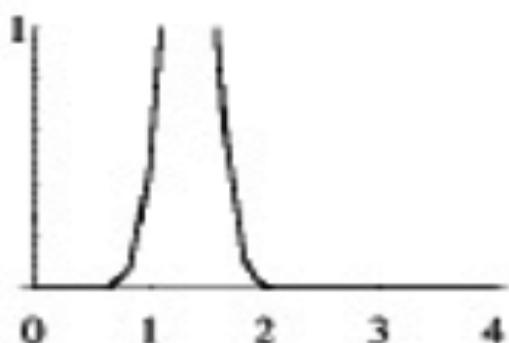
# Mean-Shift Segmentation

- Nonparametric / kernel density estimation
- Density estimate convergence as sample size  $n \rightarrow \infty$ 
  - Bandwidth  $h$  must reduce to 0 with increasing  $n$ 
    - Sufficiently fast
    - Sufficiently slow
    - $\lim_{n \rightarrow \infty} [h(n)]^d = 0$   
 $h$  should be function of no of observation
    - $\lim_{n \rightarrow \infty} n [h(n)]^d = \infty$
    - Example for 1D case ( $d=1$ ),  
 $h(n) = h(1) / \sqrt{n}$
  - Guaranteed convergence
    - $P_n(x)$  is estimate of density  $p(x)$  at ' $x$ ', using sample size ' $n$ '
    - $\lim_{n \rightarrow \infty} E [P_n(x)] = p(x)$
    - $\lim_{n \rightarrow \infty} \text{Var} [P_n(x)] = 0$  (convergence in mean square)

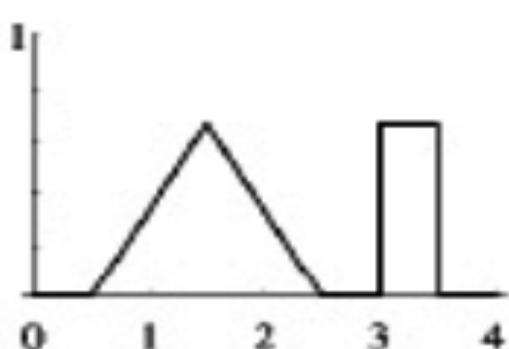
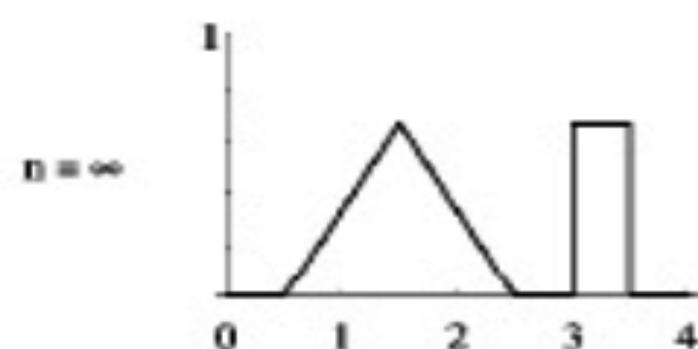
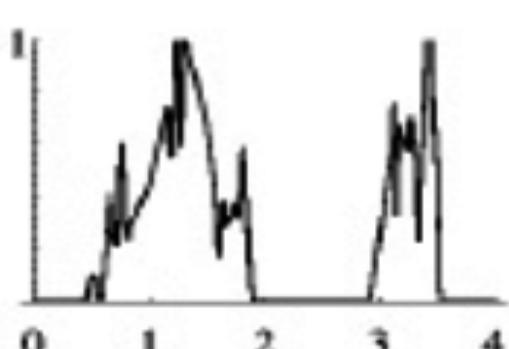
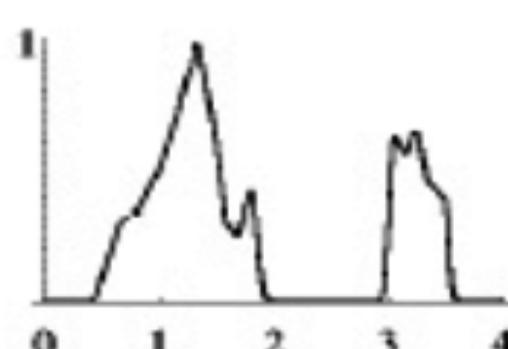
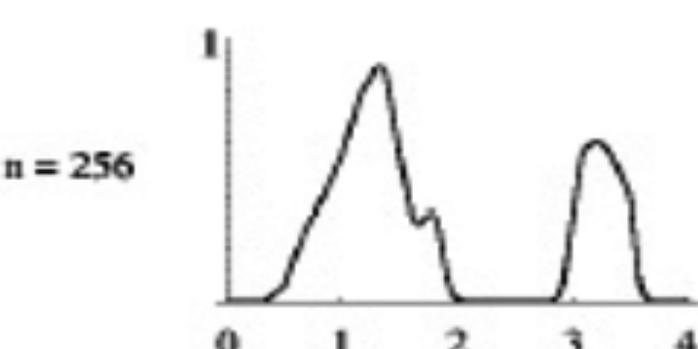
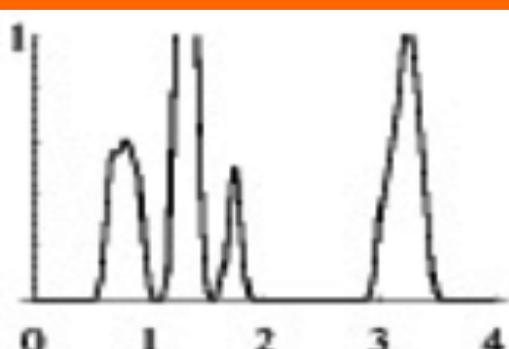
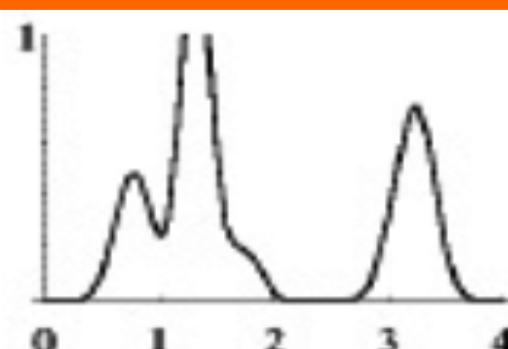
$h = 1$  $h = .5$  $h = .1$  $n = 10$ 

$$h(n) = h(1) / \sqrt{n}$$

 $n = 100$  $n = \infty$ 

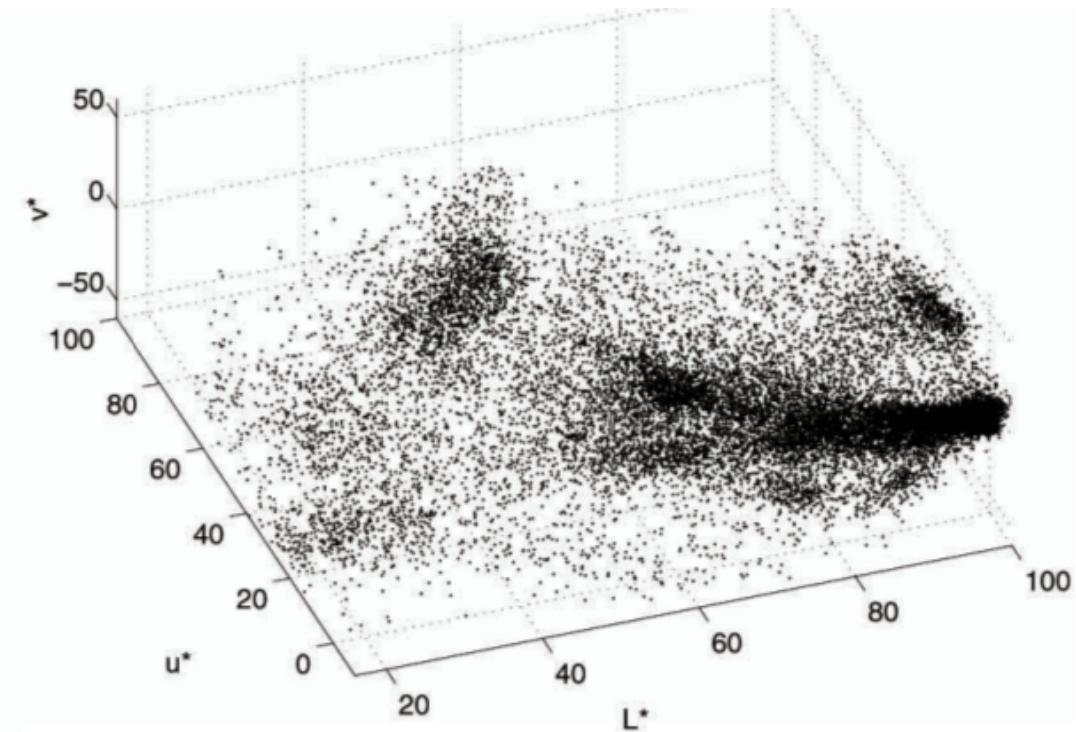
$h_1 = 1$  $h_1 = .5$  $h_1 = .2$ 

$$h(n) = h(1) / \text{sqrt}(n)$$



# Mean-Shift Segmentation

- Application to images
  - Left: color image, 3 color components
  - Right: Scatter plot of color 3-tuples
  - Assumption: Each object → cluster of color values
  - *Color can be replaced with any other feature*



# Mean-Shift Segmentation

- Segmentation algorithm:
  - (1) Choose bandwidth ‘ $h$ ’
    - User-defined / “free” parameter
  - (2) Use pixel colors  $\{x_i\}$  and ‘ $h$ ’ to get kernel density estimate  $f(x)$
  - (3) For each observation  $x_i$ , do the following:
    - Calculate gradient of  $\log(f(x))$  at observation  $x_i$
    - Update / move  $x_i$  along that gradient direction
      - (Kernel density estimate gets sharper next time)
  - (4) Repeat last 2 steps

# Mean-Shift Segmentation

- Evaluate gradient of log-PDF

- PDF (with **Gaussian** kernel)

$$f(\mathbf{x}) = \frac{1}{nh^d} \sum_{i=1}^n K\left(\frac{\mathbf{x} - \mathbf{x}_i}{h}\right)$$

$$K(\mathbf{x}) = c_{k,d} k(\|\mathbf{x}\|^2)$$

- Gradient of  $f(\mathbf{x})$

$$\nabla f(\mathbf{x}) = \frac{2c_{k,d}}{nh^{d+2}} \sum_{i=1}^n (\mathbf{x}_i - \mathbf{x}) g\left(\left\|\frac{\mathbf{x} - \mathbf{x}_i}{h}\right\|^2\right)$$

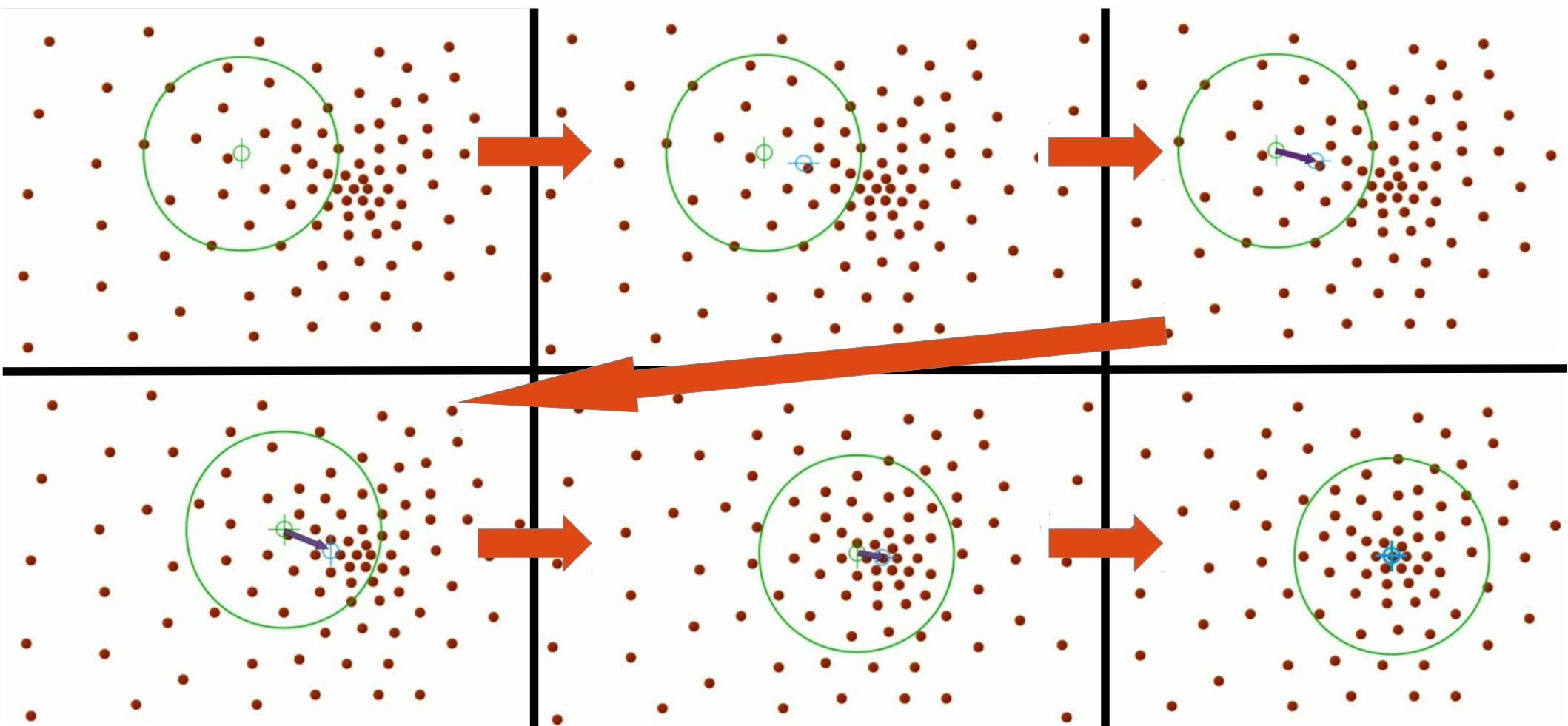
- Gradient of **log**  $f(\mathbf{x})$  is proportional to:

$$\left[ \frac{\sum_{i=1}^n \mathbf{x}_i g\left(\left\|\frac{\mathbf{x} - \mathbf{x}_i}{h}\right\|^2\right)}{\sum_{i=1}^n g\left(\left\|\frac{\mathbf{x} - \mathbf{x}_i}{h}\right\|^2\right)} - \mathbf{x} \right]$$

- This displacement is called the “**mean shift**”

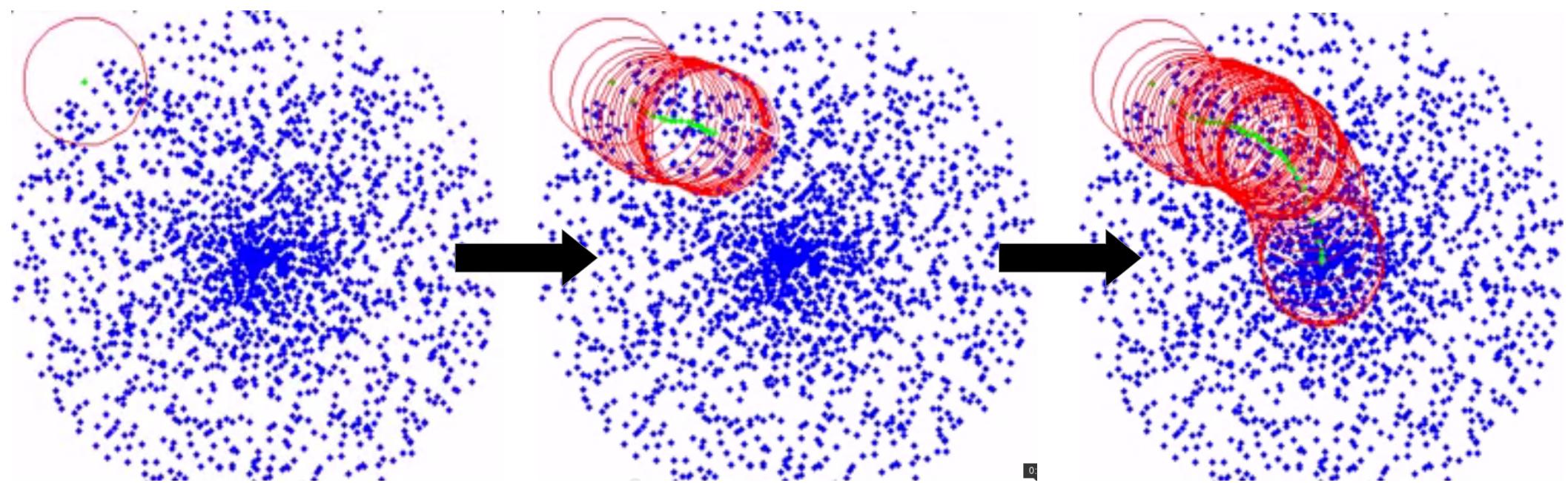
# Mean-Shift Segmentation

- Mesh-shift updates
  - Only 1 point moves, others remain at the same position
  - <https://www.youtube.com/watch?v=kmaQAsotT9s>



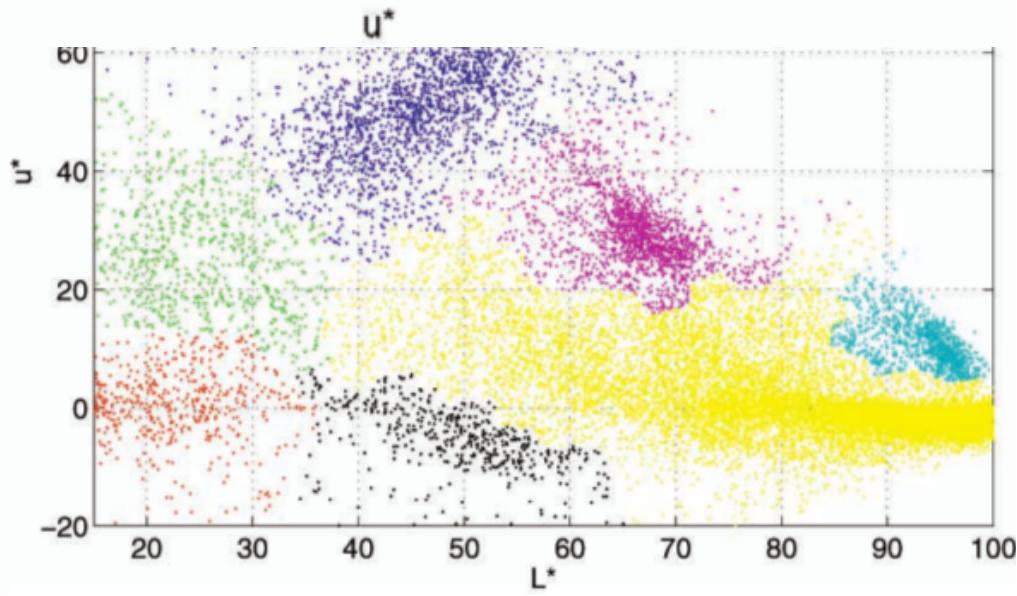
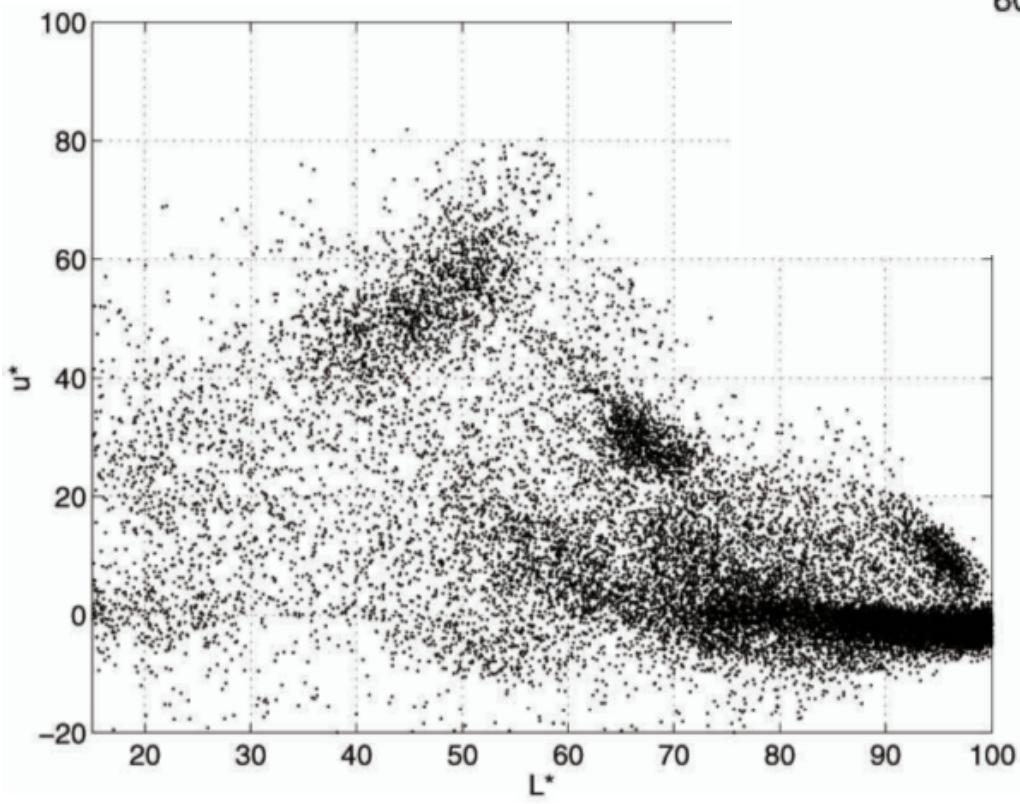
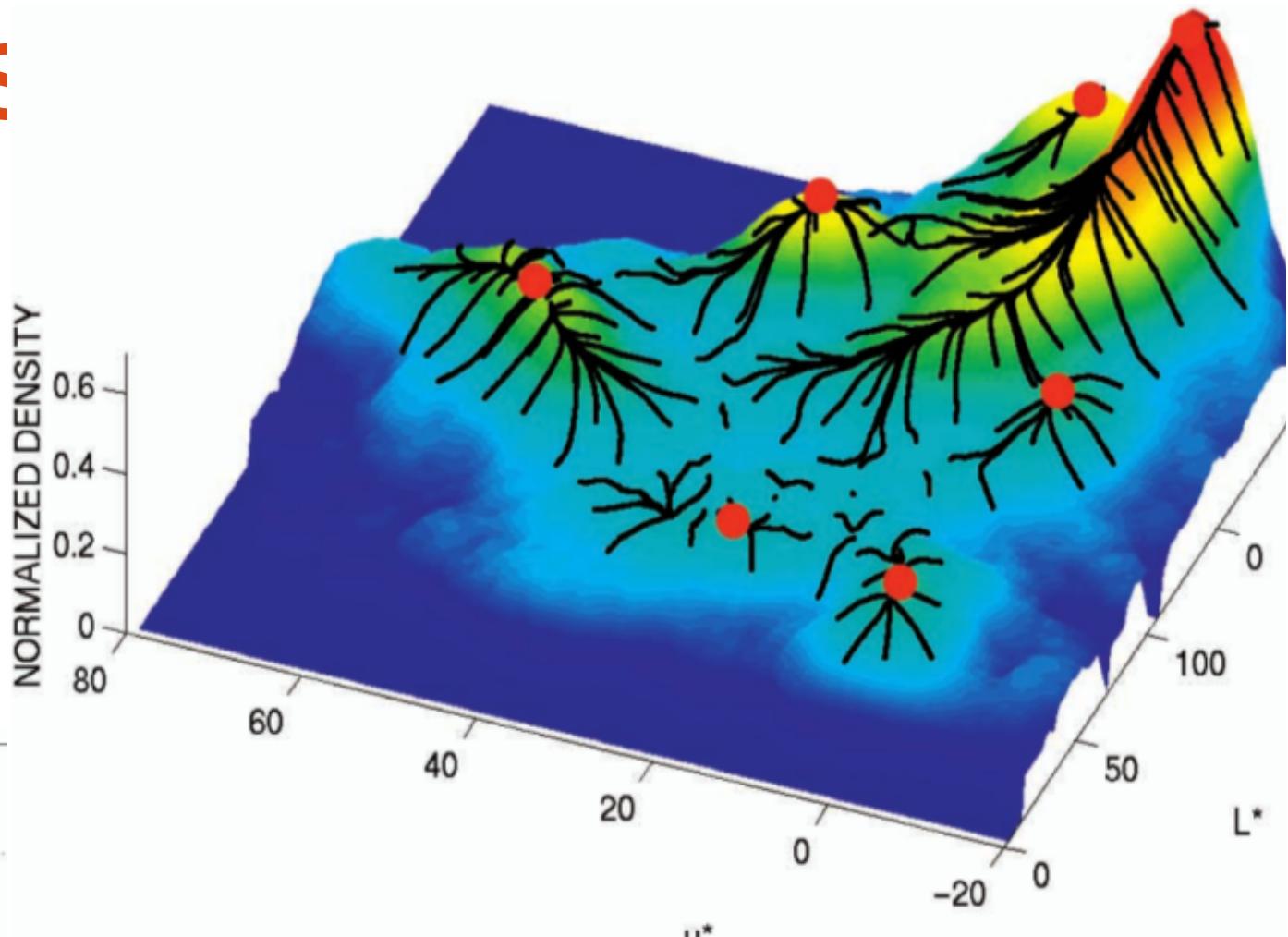
# Mean-Shift Segmentation

- Mean-shift updates
  - Demo 2: <https://www.youtube.com/watch?v=hJg7ik4x95U>
  - Red circle = support of kernel placed at green point



# Mean- $\zeta$

- Trajectories on log PDF
  - Steady state
  - **Finite**-support kernel

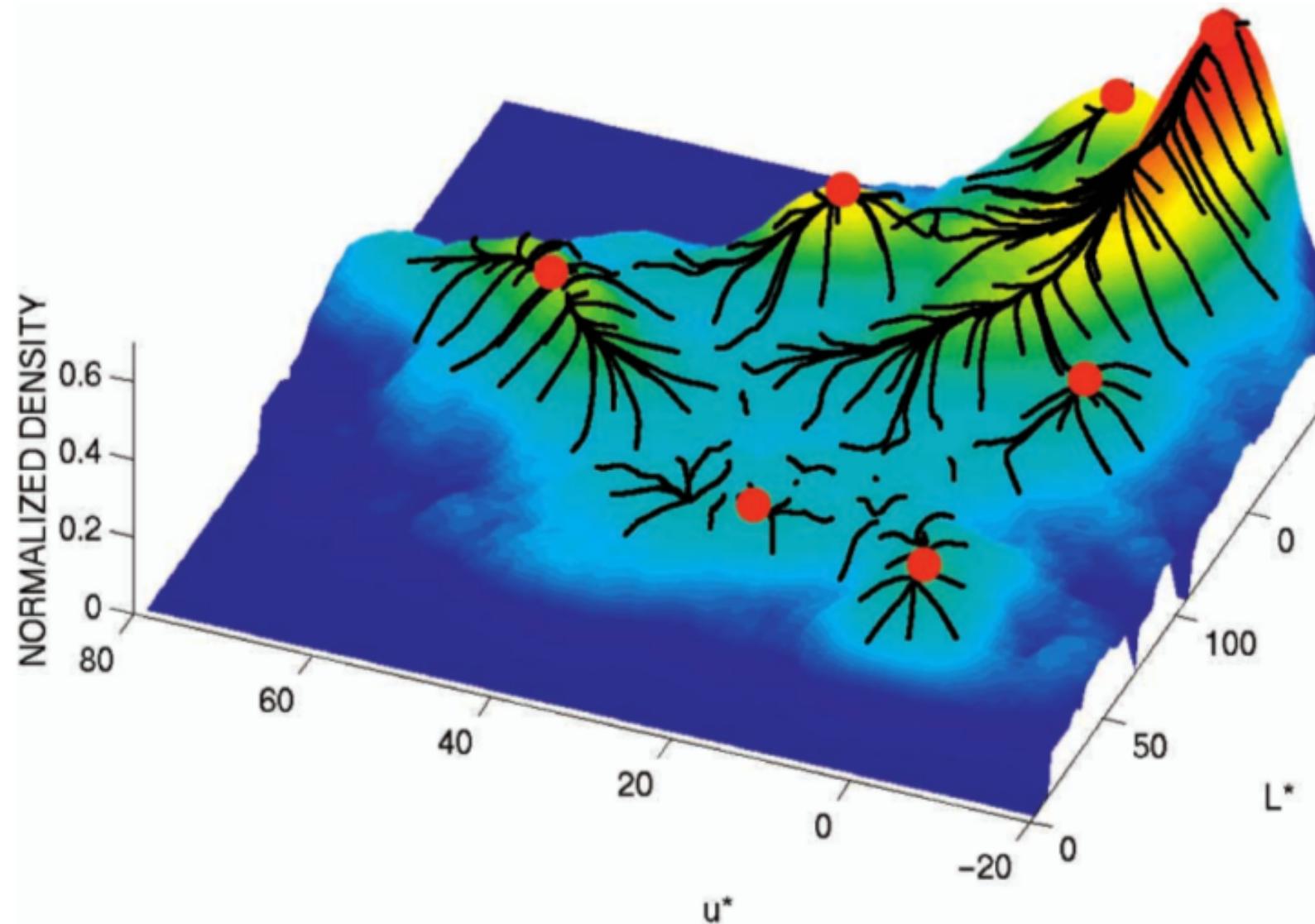


# Mean-Shift Segmentation

- Cluster results to get segmentation
  - Assign the same label to a group of points coalesced (very close) in feature space
  - K-means clustering
- Why not K-means ?
  - (1) How to select K ?
    - Mean shift implicitly does so by selecting bandwidth ‘h’
    - Selecting ‘h’ may be more intuitive than selecting K
  - (2) K-means doesn’t use PDF-gradient information
    - K-means can put points around a PDF’s local mode into 2 clusters, unlike mean shift
- Why does mean-shift use gradient of **log-PDF** ?

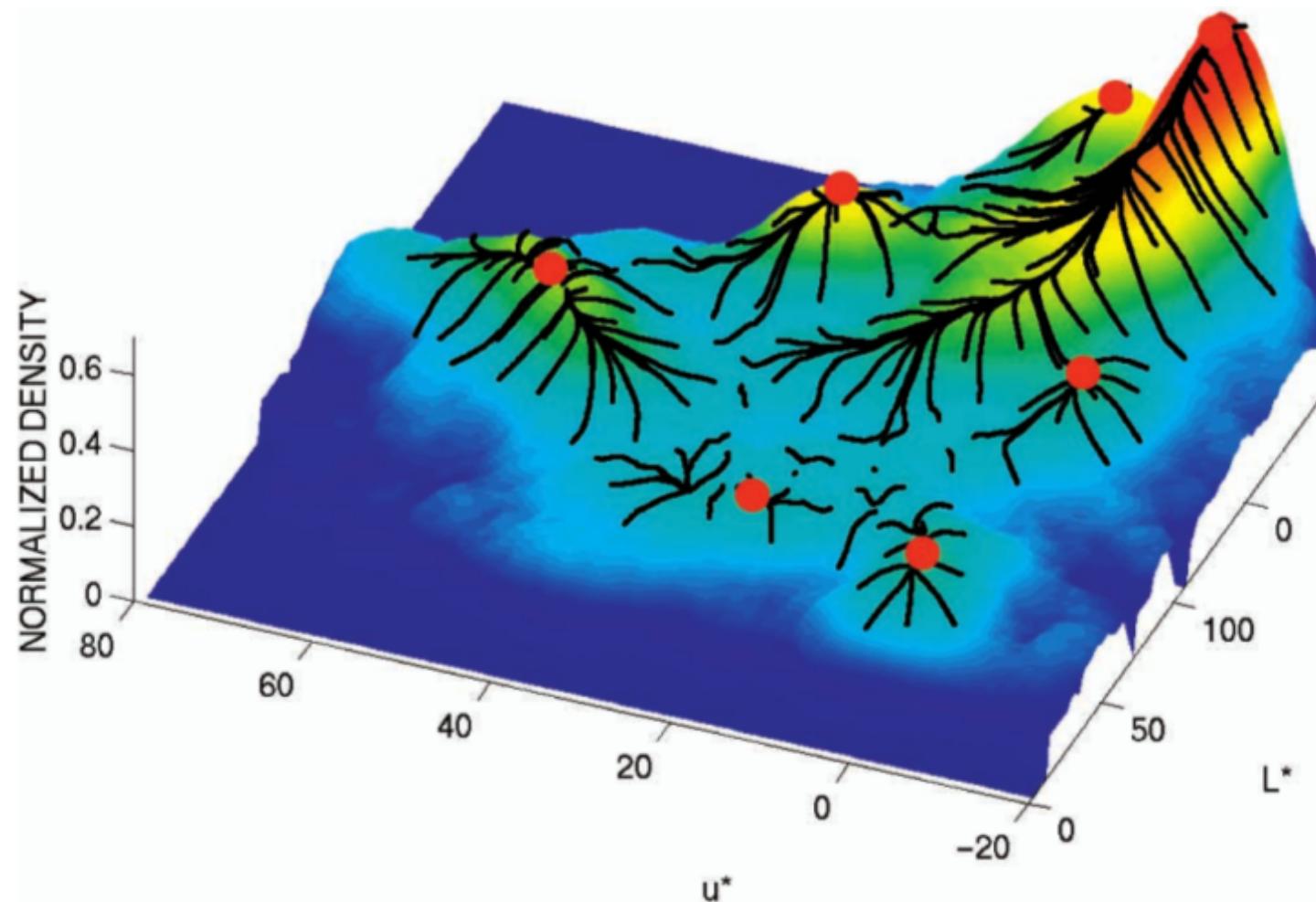
# Mean-Shift Segmentation

- Trajectories on log PDF
  - What is steady state with kernel of infinite support, e.g., Gaussian ?



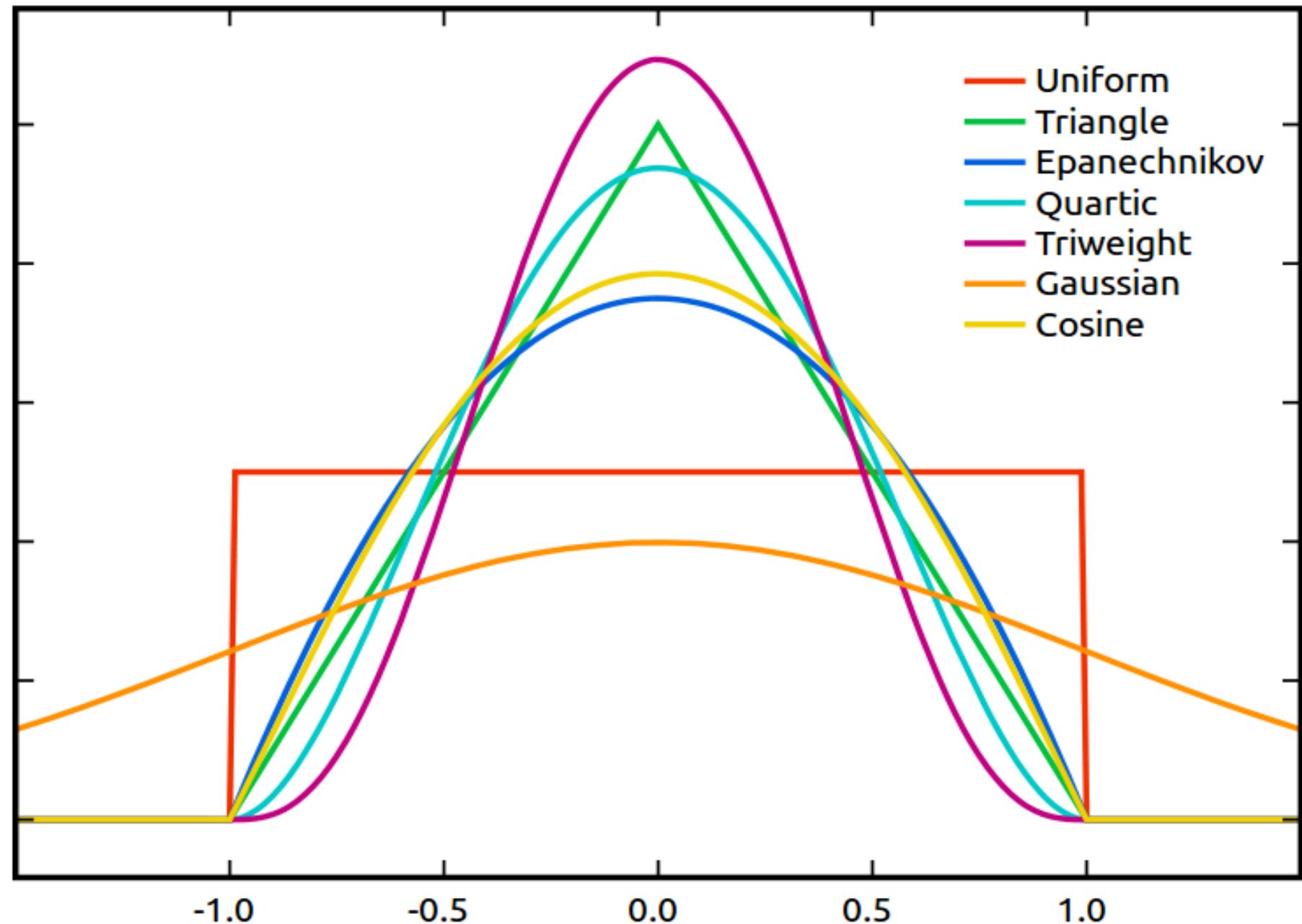
# Mean-Shift Segmentation

- Trajectories on log PDF
  - How to achieve this (in picture) as the steady state ?
  - Kernel needs to be of finite support
    - See next slide for examples



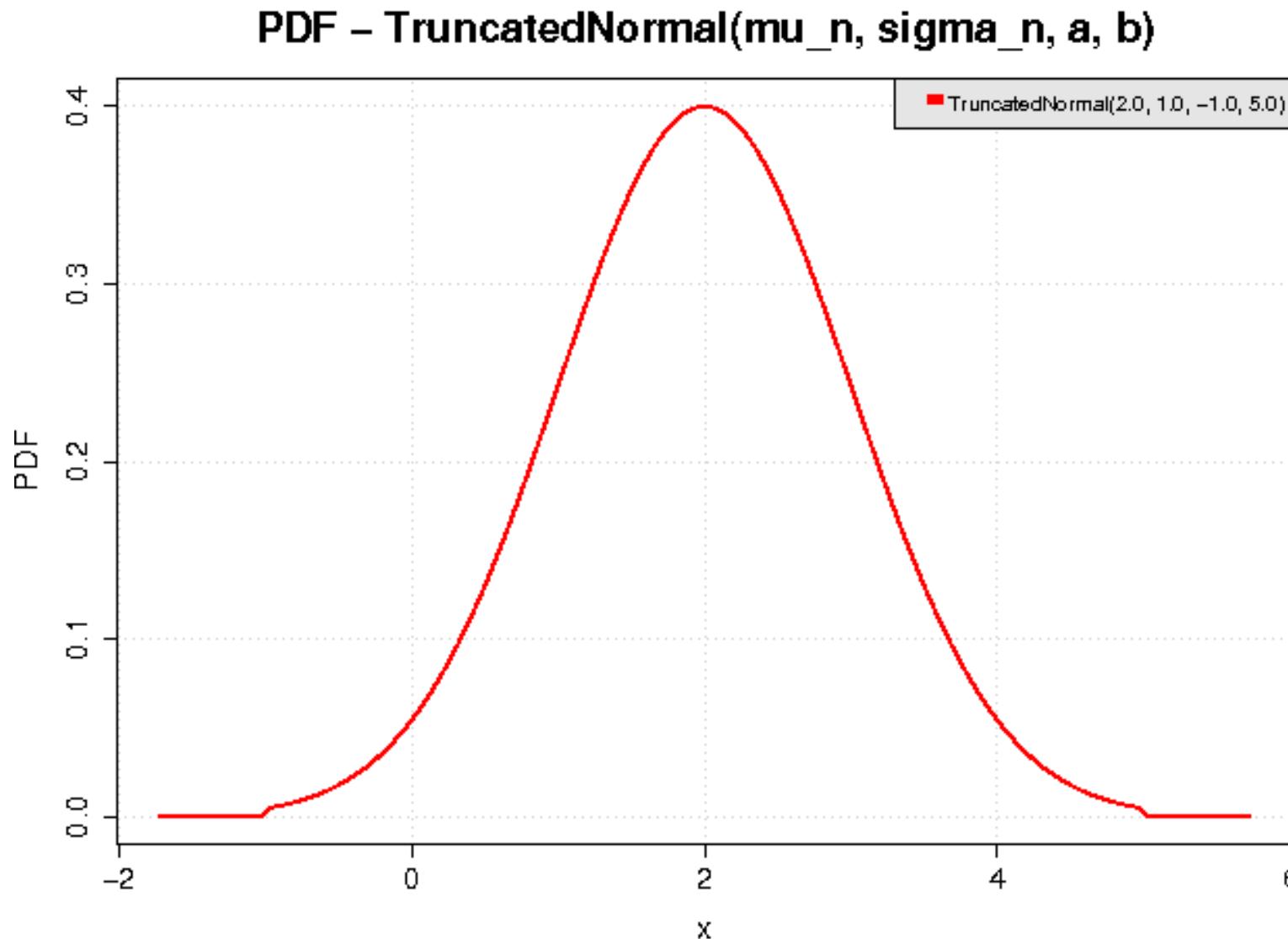
# Mean-Shift Segmentation

- Finite-support kernels (compared with the Gaussian)



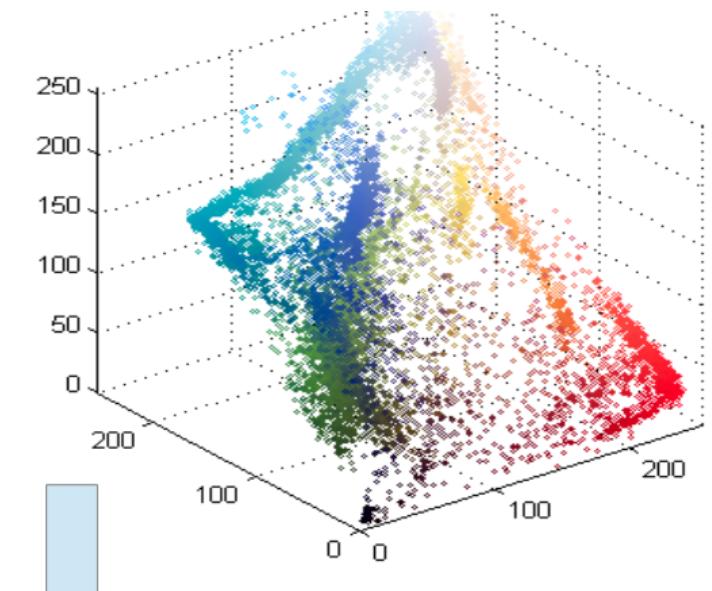
# Mean-Shift Segmentation

- Finite-support kernels
  - Truncated Gaussian

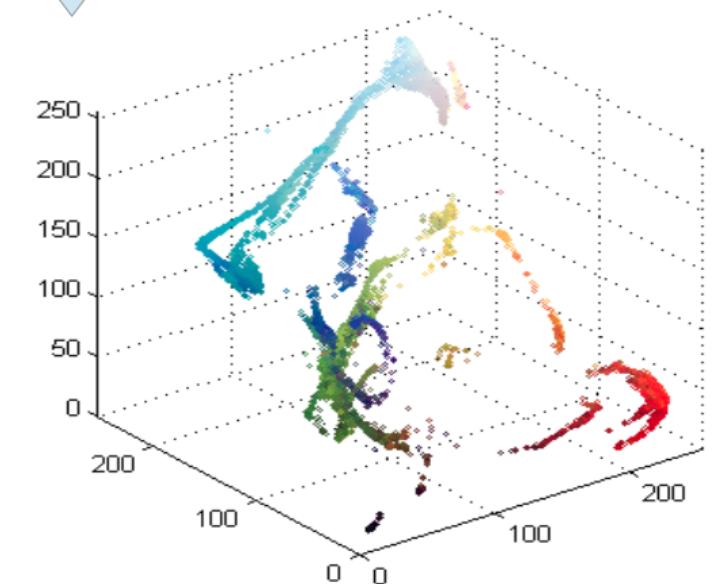


# Mean-Shift Segmentation

- Algorithm in action



Pixel Distribution After Meanshift



# Mean-Shift Segmentation

- Algorithm convergence
  - Guaranteed when using Epanechnikov kernel
    - Proof relies on 2 properties:
      - 1) Given finite sample size, the kernel density estimate is bounded (finite)
      - 2) Each update increases the kernel density estimate

# Mean-Shift Segmentation

- Combining color and spatial features
  - How to differentiate between different objects of similar color, but spatially apart ?
  - Need kernel on the joint space of pixel color + pixel coordinate
    - Product of kernels on each space
    - 2 bandwidth parameters

$$K_{h_s, h_r}(\mathbf{x}) = \frac{C}{h_s^2 h_r^p} k\left(\left\|\frac{\mathbf{x}^s}{h_s}\right\|^2\right) k\left(\left\|\frac{\mathbf{x}^r}{h_r}\right\|^2\right)$$

# Mean-Shift Segmentation



# Mean-Shift Segmentation

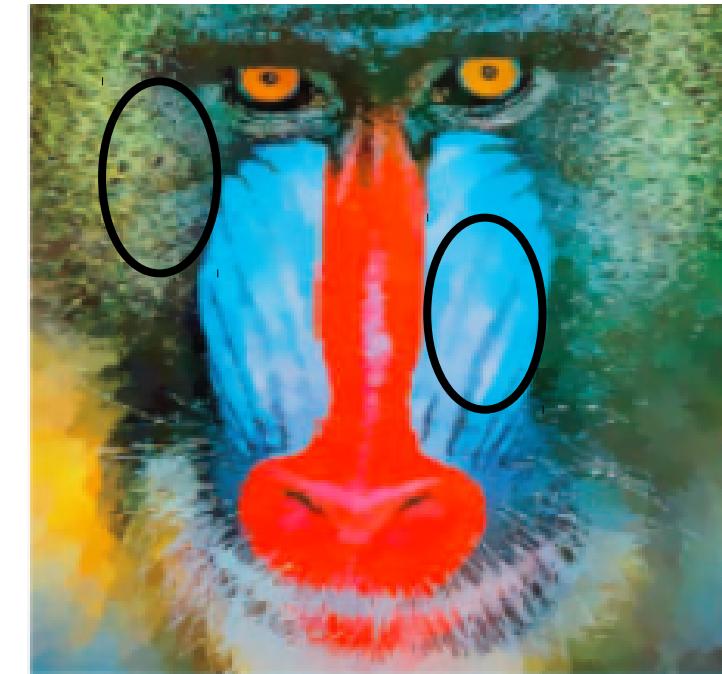


# Mean-Shift Segmentation

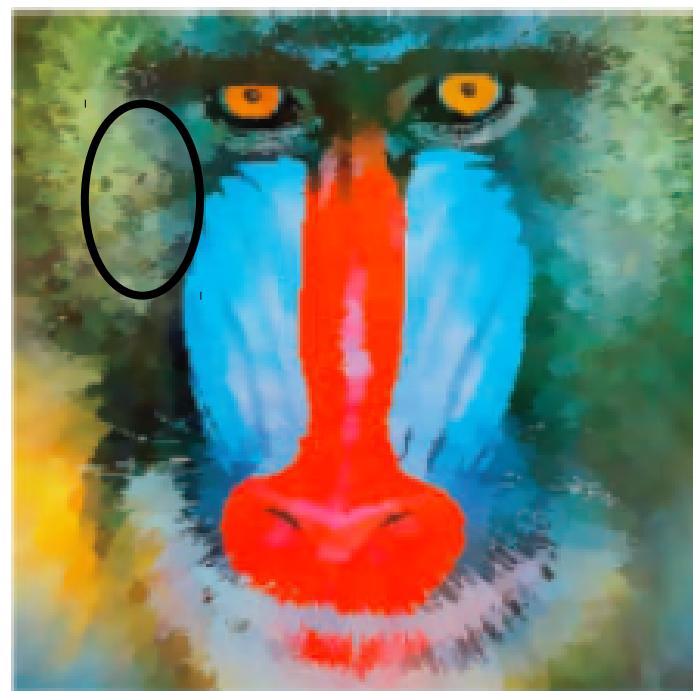


# Mean-Shift

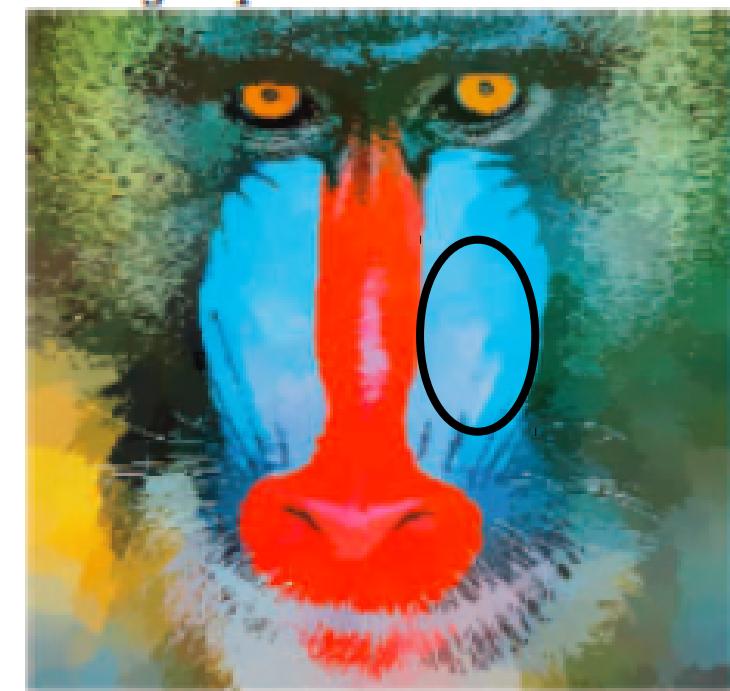
- Effect of bandwidth
  - Increase  $h_r$   
→ more color mixing
  - Increase  $h_s$   
→ more smooth



$(h_s, h_r) = (8, 8)$



$(h_s, h_r) = (8, 16)$



$(h_s, h_r) = (16, 8)$