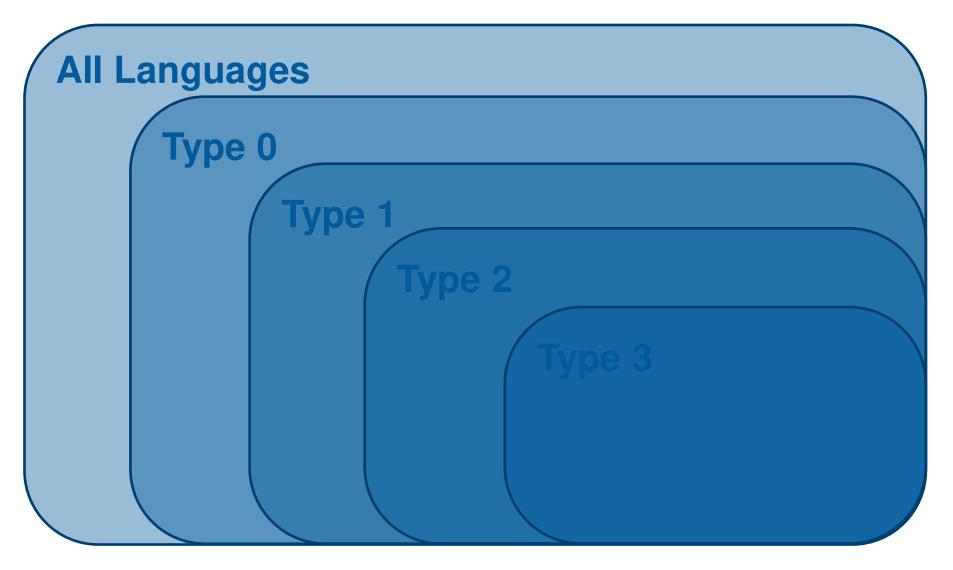


Chomsky hierarchy

Classification of Formal Languages

Chomsky hierarchy

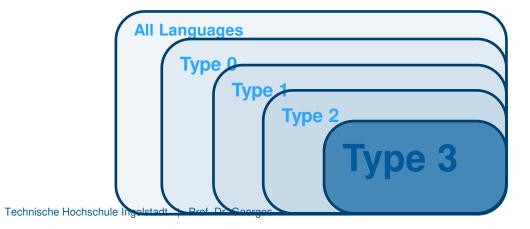






Type3 Languages

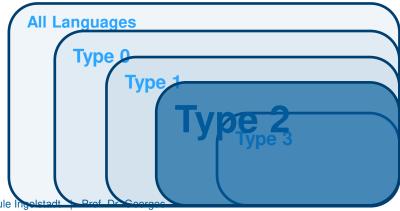
Regular Languages





Type2 Languages

Context free languages

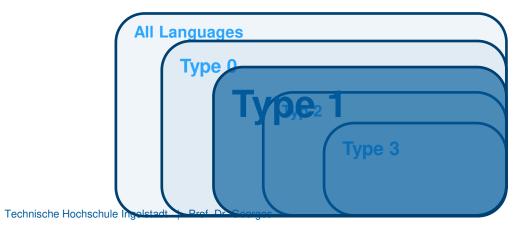


Technische Hochschule Ing



Type1 Languages

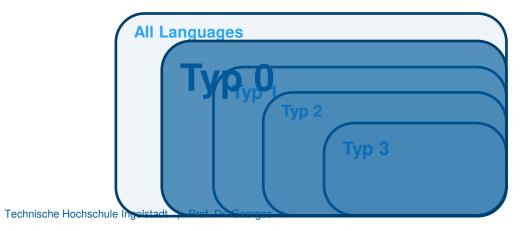
Context sensitive languages





Type0 Languages

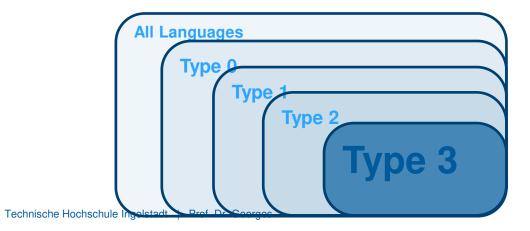
" unlimited grammars"





Type3 Languages

Regular Languages



Regular Grammar



Definition 3.3.4. A linear grammar $\mathcal{G} = (N, \Sigma, P, S)$ is said to be *right* linear if the nonterminal symbol in the righthand side of each production rule, if any, occurs at the right end. That is, righthand side of each production rule is of the form – a terminal string followed by at most one nonterminal symbol – as shown below.

$$A \to x$$
 or $A \to xB$

for some $x \in \Sigma^*$ and $B \in N$.

Note:

a right-linear grammar is also called regular

And for what else?



"Replication of a subset of natural languages."

Example:

 $NP \rightarrow the N$

 $N \rightarrow car \mid ship$

correct nominal phrases can be formed:

"the Auto", "the ship"

Comments



FST based speech recognition can only recognize type 3 languages.

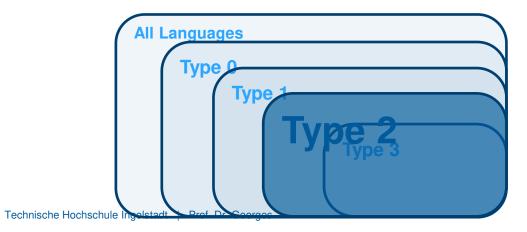
German texts are usually type 3

Natural language is often not type 3



Type2 Languages

Context free languages



Type 2: Context-free



On the left is exactly one terminal :

 $A \rightarrow BC$

B -> abCabA

 $C \rightarrow c$

■ In the industry: Backus-Naur Form

Type 2: Context-free



On the left is exactly one terminal :

 $A \rightarrow BC$

B -> abCabA

 $C \rightarrow c$

In the industry: Backus-Naur Form

Question: why is such a grammar called *context-free*?

(Hint: see Definition 3.1.2. [1])

[1] "Formal Languages and Automata Theory", D. Goswami and K. V. Krishna, Nov 5, 2010



```
\langle \operatorname{Stmt} \rangle \to \langle \operatorname{Id} \rangle = \langle \operatorname{Expr} \rangle ;
\langle \operatorname{Stmt} \rangle \to \{ \langle \operatorname{StmtList} \rangle \}
\langle \operatorname{Stmt} \rangle \to \operatorname{if} (\langle \operatorname{Expr} \rangle) \langle \operatorname{Stmt} \rangle
\langle \operatorname{StmtList} \rangle \to \langle \operatorname{Stmt} \rangle
\langle \operatorname{StmtList} \rangle \to \langle \operatorname{StmtList} \rangle \langle \operatorname{Stmt} \rangle
\langle \operatorname{Expr} \rangle \to \langle \operatorname{Id} \rangle
\langle \operatorname{Expr} \rangle \to \langle \operatorname{Num} \rangle
\langle \operatorname{Expr} \rangle \to \langle \operatorname{Expr} \rangle \langle \operatorname{Optr} \rangle \langle \operatorname{Expr} \rangle
\langle \operatorname{Id} \rangle \to \mathbf{x}
\langle \operatorname{Id} \rangle \to \mathbf{y}
\langle \operatorname{Num} \rangle \to \mathbf{0}
\langle \operatorname{Num} \rangle \to \mathbf{1}
\langle \operatorname{Num} \rangle \to \mathbf{9}
\langle \operatorname{Optr} \rangle \to \mathbf{+}
```

```
if (x > 9) \{ x = 0 ; y = y + 1 ; \}
```



```
 \begin{array}{c|c} \langle \operatorname{Stmt} \rangle \to \langle \operatorname{Id} \rangle = \langle \operatorname{Expr} \rangle \; ; \\ \langle \operatorname{Stmt} \rangle \to \left\{ \langle \operatorname{StmtList} \rangle \right\} \\ \hline \langle \operatorname{Stmt} \rangle \to \operatorname{if} \left( \langle \operatorname{Expr} \rangle \right) \langle \operatorname{Stmt} \rangle \\ \langle \operatorname{StmtList} \rangle \to \langle \operatorname{Stmt} \rangle \\ \langle \operatorname{StmtList} \rangle \to \langle \operatorname{StmtList} \rangle \langle \operatorname{Stmt} \rangle \\ \langle \operatorname{Expr} \rangle \to \langle \operatorname{Id} \rangle \\ \langle \operatorname{Expr} \rangle \to \langle \operatorname{Num} \rangle \\ \langle \operatorname{Expr} \rangle \to \langle \operatorname{Expr} \rangle \langle \operatorname{Optr} \rangle \langle \operatorname{Expr} \rangle \\ \langle \operatorname{Id} \rangle \to \mathbf{x} \\ \langle \operatorname{Id} \rangle \to \mathbf{x} \\ \langle \operatorname{Id} \rangle \to \mathbf{y} \\ \langle \operatorname{Num} \rangle \to \mathbf{0} \\ \langle \operatorname{Num} \rangle \to \mathbf{0} \\ \langle \operatorname{Num} \rangle \to \mathbf{9} \\ \langle \operatorname{Optr} \rangle \to \mathbf{>} \\ \langle \operatorname{Optr} \rangle \to \mathbf{+} \\ \end{array}
```

```
(Stmt)
if (
           \langle \text{Expr} \rangle
                                            (Stmt)
if (x
                   9 ) { x = 0 ; y = y + 1 ; }
```



```
\langle Stmt \rangle \rightarrow \langle Id \rangle = \langle Expr \rangle ;
\langle Stmt \rangle \rightarrow \{ \langle StmtList \rangle \}
\langle Stmt \rangle \rightarrow if (\langle Expr \rangle) \langle Stmt \rangle
\langle StmtList \rangle \rightarrow \langle Stmt \rangle
\langle StmtList \rangle \rightarrow \langle StmtList \rangle \langle Stmt \rangle
\langle Expr \rangle \rightarrow \langle Id \rangle
\langle Expr \rangle \rightarrow \langle Num \rangle
\langle Expr \rangle \rightarrow \langle Expr \rangle \langle Optr \rangle \langle Expr \rangle
\langle Id \rangle \rightarrow x
\langle Id \rangle \rightarrow x
\langle Id \rangle \rightarrow y
\langle Num \rangle \rightarrow 0
\langle Num \rangle \rightarrow 0
\langle Num \rangle \rightarrow 1
\langle Num \rangle \rightarrow 9
\langle Optr \rangle \rightarrow >
\langle Optr \rangle \rightarrow +
```

```
(Stmt)
if (
                     \langle \text{Expr} \rangle
                                                                                  (Stmt)
if \langle \overline{\langle \text{Expr} \rangle \langle \text{Optr} \rangle \langle \text{Expr} \rangle} \rangle
if (x
                                   9 ) { x = 0 ; y = y + 1 ; }
```



```
 \begin{array}{c|c} \langle \operatorname{Stmt} \rangle \to \langle \operatorname{Id} \rangle = \langle \operatorname{Expr} \rangle \; ; \\ \langle \operatorname{Stmt} \rangle \to \left\{ \langle \operatorname{StmtList} \rangle \right\} \\ \langle \operatorname{Stmt} \rangle \to \inf \left( \langle \operatorname{Expr} \rangle \right) \langle \operatorname{Stmt} \rangle \\ \langle \operatorname{StmtList} \rangle \to \langle \operatorname{Stmt} \rangle \\ \langle \operatorname{StmtList} \rangle \to \langle \operatorname{StmtList} \rangle \langle \operatorname{Stmt} \rangle \\ \hline \begin{pmatrix} \operatorname{Expr} \rangle \to \langle \operatorname{Id} \rangle \\ \langle \operatorname{Expr} \rangle \to \langle \operatorname{Num} \rangle \\ \langle \operatorname{Expr} \rangle \to \langle \operatorname{Expr} \rangle \langle \operatorname{Optr} \rangle \langle \operatorname{Expr} \rangle \\ \langle \operatorname{Id} \rangle \to \mathbf{x} \\ \langle \operatorname{Id} \rangle \to \mathbf{x} \\ \langle \operatorname{Id} \rangle \to \mathbf{y} \\ \langle \operatorname{Num} \rangle \to \mathbf{0} \\ \langle \operatorname{Num} \rangle \to \mathbf{1} \\ \langle \operatorname{Num} \rangle \to \mathbf{9} \\ \langle \operatorname{Optr} \rangle \to \mathbf{+} \\ \end{array}
```

```
(Stmt)
if (
                     \langle \text{Expr} \rangle
                                                                                      (Stmt)
if (
        \overline{\langle \text{Expr} \rangle \langle \text{Optr} \rangle \langle \text{Expr} \rangle}
         \langle Id \rangle \langle Optr \rangle \langle Expr \rangle
if (x
                                    9 ) { x = 0 ; y = y + 1 ; }
```



```
 \begin{array}{c} \langle \mathrm{Stmt} \rangle \to \langle \mathrm{Id} \rangle = \langle \mathrm{Expr} \rangle \; ; \\ \langle \mathrm{Stmt} \rangle \to \left\{ \langle \mathrm{StmtList} \rangle \right\} \\ \langle \mathrm{Stmt} \rangle \to \mathrm{if} \; (\langle \mathrm{Expr} \rangle) \; \langle \mathrm{Stmt} \rangle \\ \langle \mathrm{StmtList} \rangle \to \langle \mathrm{Stmt} \rangle \\ \langle \mathrm{StmtList} \rangle \to \langle \mathrm{StmtList} \rangle \; \langle \mathrm{Stmt} \rangle \\ \langle \mathrm{Expr} \rangle \to \langle \mathrm{Id} \rangle \\ \langle \mathrm{Expr} \rangle \to \langle \mathrm{Id} \rangle \\ \langle \mathrm{Expr} \rangle \to \langle \mathrm{Expr} \rangle \; \langle \mathrm{Optr} \rangle \; \langle \mathrm{Expr} \rangle \\ \hline \langle \mathrm{Id} \rangle \to \mathbf{x} \\ \langle \mathrm{Id} \rangle \to \mathbf{x} \\ \langle \mathrm{Id} \rangle \to \mathbf{y} \\ \langle \mathrm{Num} \rangle \to 0 \\ \langle \mathrm{Num} \rangle \to 1 \\ \langle \mathrm{Num} \rangle \to 9 \\ \langle \mathrm{Optr} \rangle \to \rangle \\ \langle \mathrm{Optr} \rangle \to \gamma \\ \langle \mathrm{Optr} \rangle \to \gamma \\ \end{array}
```

```
(Stmt)
if (
                          \langle \text{Expr} \rangle
                                                                                                        (Stmt)
           \overline{\langle \text{Expr} \rangle \langle \text{Optr} \rangle \langle \text{Expr} \rangle}
if (
if (
                       \overline{\phantom{a}} \langle \mathrm{Optr} \rangle \langle \mathrm{Expr} \rangle )
if (
                          \langle \text{Optr} \rangle \langle \text{Expr} \rangle
if (x
                                             9 ) { x = 0 ; y = y + 1 ; }
```



```
 \langle \operatorname{Stmt} \rangle \to \langle \operatorname{Id} \rangle = \langle \operatorname{Expr} \rangle ; 
 \langle \operatorname{Stmt} \rangle \to \left\{ \langle \operatorname{StmtList} \rangle \right\} 
 \langle \operatorname{Stmt} \rangle \to \operatorname{if} \left( \langle \operatorname{Expr} \rangle \right) \langle \operatorname{Stmt} \rangle 
 \langle \operatorname{StmtList} \rangle \to \langle \operatorname{Stmt} \rangle 
 \langle \operatorname{StmtList} \rangle \to \langle \operatorname{StmtList} \rangle \langle \operatorname{Stmt} \rangle 
 \langle \operatorname{Expr} \rangle \to \langle \operatorname{Id} \rangle 
 \langle \operatorname{Expr} \rangle \to \langle \operatorname{Num} \rangle 
 \langle \operatorname{Expr} \rangle \to \langle \operatorname{Expr} \rangle \langle \operatorname{Optr} \rangle \langle \operatorname{Expr} \rangle 
 \langle \operatorname{Id} \rangle \to \mathbf{x} 
 \langle \operatorname{Id} \rangle \to \mathbf{x} 
 \langle \operatorname{Id} \rangle \to \mathbf{y} 
 \langle \operatorname{Num} \rangle \to \mathbf{0} 
 \langle \operatorname{Num} \rangle \to \mathbf{0} 
 \langle \operatorname{Num} \rangle \to \mathbf{9} 
 \langle \operatorname{Optr} \rangle \to \mathbf{+}
```

```
(Stmt)
if (
                      \langle \text{Expr} \rangle
                                                                                      (Stmt)
         (Expr) (Optr) (Expr)
           \langle \mathrm{Id} \rangle
                    \langle \text{Optr} \rangle \langle \text{Expr} \rangle
                     \langle \mathrm{Optr} \rangle \langle \mathrm{Expr} \rangle
if
if (
                                 \langle \text{Expr} \rangle
if (x
                                     9 ) { x = 0 ; y = y + 1 ; }
```



```
 \begin{array}{c|c} \langle \operatorname{Stmt} \rangle \to \langle \operatorname{Id} \rangle = \langle \operatorname{Expr} \rangle \; ; \\ \langle \operatorname{Stmt} \rangle \to \left\{ \langle \operatorname{StmtList} \rangle \right\} \\ \langle \operatorname{Stmt} \rangle \to \operatorname{if} \left( \langle \operatorname{Expr} \rangle \right) \langle \operatorname{Stmt} \rangle \\ \langle \operatorname{StmtList} \rangle \to \langle \operatorname{Stmt} \rangle \\ \langle \operatorname{StmtList} \rangle \to \langle \operatorname{StmtList} \rangle \langle \operatorname{Stmt} \rangle \\ \langle \operatorname{Expr} \rangle \to \langle \operatorname{Id} \rangle \\ \langle \operatorname{Expr} \rangle \to \langle \operatorname{Num} \rangle \\ \langle \operatorname{Expr} \rangle \to \langle \operatorname{Expr} \rangle \langle \operatorname{Optr} \rangle \langle \operatorname{Expr} \rangle \\ \langle \operatorname{Id} \rangle \to \mathbf{x} \\ \langle \operatorname{Id} \rangle \to \mathbf{x} \\ \langle \operatorname{Id} \rangle \to \mathbf{y} \\ \langle \operatorname{Num} \rangle \to \mathbf{0} \\ \langle \operatorname{Num} \rangle \to \mathbf{0} \\ \langle \operatorname{Num} \rangle \to \mathbf{9} \\ \langle \operatorname{Optr} \rangle \to \mathbf{x} \\ \langle \operatorname{Optr} \rangle \to \mathbf{x}
```

```
\overline{\langle \mathrm{Expr} \rangle}
if (
                                                                                                                                                                 (Stmt)
                \overline{\langle \text{Expr} \rangle}
                                       \langle \text{Optr} \rangle \langle \text{Expr} \rangle
                                        \langle \text{Optr} \rangle \langle \text{Expr} \rangle)
                      \langle \mathrm{Id} \rangle
if
                                        \langle \mathrm{Optr} \rangle \langle \mathrm{Expr} \rangle
if (
                                                               \langle \text{Expr} \rangle
if (
                                                              \langle \text{Num} \rangle
if (x
```

(Stmt)



```
 \langle \operatorname{Stmt} \rangle \to \langle \operatorname{Id} \rangle = \langle \operatorname{Expr} \rangle ; 
 \langle \operatorname{Stmt} \rangle \to \left\{ \langle \operatorname{StmtList} \rangle \right\} 
 \langle \operatorname{Stmt} \rangle \to \operatorname{if} \left( \langle \operatorname{Expr} \rangle \right) \langle \operatorname{Stmt} \rangle 
 \langle \operatorname{StmtList} \rangle \to \langle \operatorname{Stmt} \rangle 
 \langle \operatorname{StmtList} \rangle \to \langle \operatorname{StmtList} \rangle \langle \operatorname{Stmt} \rangle 
 \langle \operatorname{Expr} \rangle \to \langle \operatorname{Id} \rangle 
 \langle \operatorname{Expr} \rangle \to \langle \operatorname{Num} \rangle 
 \langle \operatorname{Expr} \rangle \to \langle \operatorname{Expr} \rangle \langle \operatorname{Optr} \rangle \langle \operatorname{Expr} \rangle 
 \langle \operatorname{Id} \rangle \to \mathbf{x} 
 \langle \operatorname{Id} \rangle \to \mathbf{x} 
 \langle \operatorname{Id} \rangle \to \mathbf{y} 
 \langle \operatorname{Num} \rangle \to \mathbf{0} 
 \langle \operatorname{Num} \rangle \to \mathbf{1} 
 \langle \operatorname{Num} \rangle \to \mathbf{9} 
 \langle \operatorname{Optr} \rangle \to \mathbf{+}
```

```
(Stmt)
if (
                                     \langle \text{Expr} \rangle
                                                                                                                                                  (Stmt)
               \overline{\langle \text{Expr} \rangle}
                                   \langle \text{Optr} \rangle \langle \text{Expr} \rangle
                                    \langle \text{Optr} \rangle \langle \text{Expr} \rangle)
                   \langle \mathrm{Id} \rangle
                                    \langle \text{Optr} \rangle \langle \text{Expr} \rangle
if (
                                                        \langle \text{Expr} \rangle
if (
                                                         \overline{\langle \mathrm{Num} \rangle}
if (
                                                                                                                                                  (Stmt)
if (x
```



```
 \begin{array}{c|c} \langle \operatorname{Stmt} \rangle & \to \langle \operatorname{Id} \rangle = \langle \operatorname{Expr} \rangle \; ; \\ \hline \langle \operatorname{Stmt} \rangle & \to \left\{ \langle \operatorname{StmtList} \rangle \right\} \\ \hline \langle \operatorname{Stmt} \rangle & \to \operatorname{if} \left( \langle \operatorname{Expr} \rangle \right) \langle \operatorname{Stmt} \rangle \\ \langle \operatorname{StmtList} \rangle & \to \langle \operatorname{Stmt} \rangle \\ \langle \operatorname{StmtList} \rangle & \to \langle \operatorname{StmtList} \rangle \langle \operatorname{Stmt} \rangle \\ \hline \langle \operatorname{Expr} \rangle & \to \langle \operatorname{Id} \rangle \\ \langle \operatorname{Expr} \rangle & \to \langle \operatorname{Num} \rangle \\ \langle \operatorname{Expr} \rangle & \to \langle \operatorname{Expr} \rangle \langle \operatorname{Optr} \rangle \langle \operatorname{Expr} \rangle \\ \hline \langle \operatorname{Id} \rangle & \to \mathbf{x} \\ \langle \operatorname{Id} \rangle & \to \mathbf{y} \\ \langle \operatorname{Num} \rangle & \to \mathbf{0} \\ \langle \operatorname{Num} \rangle & \to \mathbf{1} \\ \langle \operatorname{Num} \rangle & \to \mathbf{9} \\ \langle \operatorname{Optr} \rangle & \to \mathbf{+} \\ \end{array}
```

```
(Stmt)
if (
                              \langle \text{Expr} \rangle
                                                                                                                      (Stmt)
            \langle \text{Expr} \rangle
                            \langle \text{Optr} \rangle \langle \text{Expr} \rangle
                             (Optr) (Expr)
                \langle \mathrm{Id} \rangle
                             \langle \text{Optr} \rangle \langle \text{Expr} \rangle
                                             \langle \text{Expr} \rangle
                                              \langle Num \rangle
                                                                                                                     (Stmt)
if (x
                                                                                                                 (StmtList)
if (x
```



```
\langle \operatorname{Stmt} \rangle \to \langle \operatorname{Id} \rangle = \langle \operatorname{Expr} \rangle ;
\langle \operatorname{Stmt} \rangle \to \{ \langle \operatorname{StmtList} \rangle \}
\langle \operatorname{Stmt} \rangle \to \operatorname{if} (\langle \operatorname{Expr} \rangle) \langle \operatorname{Stmt} \rangle
\langle \operatorname{StmtList} \rangle \to \langle \operatorname{Stmt} \rangle
\langle \operatorname{StmtList} \rangle \to \langle \operatorname{StmtList} \rangle \langle \operatorname{Stmt} \rangle
\langle \operatorname{Expr} \rangle \to \langle \operatorname{Id} \rangle
\langle \operatorname{Expr} \rangle \to \langle \operatorname{Num} \rangle
\langle \operatorname{Expr} \rangle \to \langle \operatorname{Expr} \rangle \langle \operatorname{Optr} \rangle \langle \operatorname{Expr} \rangle
\langle \operatorname{Id} \rangle \to \mathbf{x}
\langle \operatorname{Id} \rangle \to \mathbf{y}
\langle \operatorname{Num} \rangle \to \mathbf{0}
\langle \operatorname{Num} \rangle \to \mathbf{1}
\langle \operatorname{Num} \rangle \to \mathbf{9}
\langle \operatorname{Optr} \rangle \to \mathbf{+}
```

```
(Stmt)
if (
                                            \langle \text{Expr} \rangle
                                                                                                                                                                          \langle \text{Stmt} \rangle
                  \overline{\langle Expr \rangle}
                                          \langle \text{Optr} \rangle \langle \text{Expr} \rangle
                                           \langle \text{Optr} \rangle
                                                                   \langle \text{Expr} \rangle
                                                                    \langle \text{Num} \rangle
                                                                                                                                                                          (Stmt)
                                                                           9
                                                                                                                                                                    (StmtList)
                                                                                                                  (StmtList)
                                                                                                                                                                                                     (Stmt)
                                                                                                                        (Stmt)
                                                                                                         \langle \mathrm{Id} \rangle =
                                                                                                                              \langle \text{Expr} \rangle;
                                                                                                                               \langle Expr \rangle
                                                                                                                                (Num)
                                                                                                                                                                                                     (Stmt)
                                                                                                                                                              \langle \mathrm{Id} \rangle =
                                                                                                                                                                                                             \langle \text{Expr} \rangle
                                                                                                                                                                                                            \langle \text{Expr} \rangle
                                                                                                                                                                                    \overline{\langle \mathrm{Expr} \rangle}
                                                                                                                                                                                                             \langle \text{Optr} \rangle
                                                                                                                                                                                                                                    \langle \text{Expr} \rangle
                                                                                                                                                                                         \langle \mathrm{Id} \rangle
                                                                                                                                                                                                             \langle \text{Optr} \rangle
                                                                                                                                                                                                                                    \langle \text{Expr} \rangle
                                                                                                                                                                                                                                    \overline{\langle Num \rangle}
if (
```



Context-free grammars used for structured and nested concepts, i.e.

"Class declaration" or "If statement"

$$L_1=\{a^nb^n\mid n\in\mathbb{N}\}$$

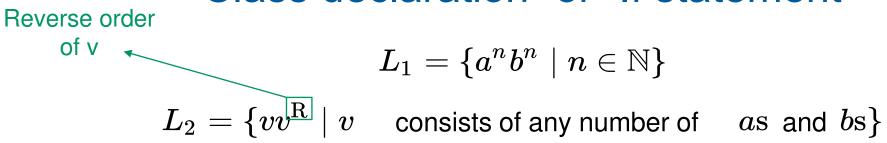
 $L_2 = \{ vv^{
m R} \mid v \quad ext{ consists of any number of } \quad a{
m s} \; ext{and } b{
m s} \}$

- Programming languages
- arithmetic expressions
- general (correct) bracket structures



Context-free grammars used for structured and nested concepts, i.e.

"Class declaration" or "If statement"



- Programming languages
- arithmetic expressions
- general (correct) bracket structures



Can only be formalized context-free:

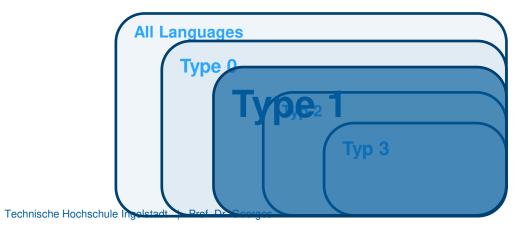
$$S
ightarrow arepsilon |a|b|aSa|bSb$$
 $G(\{S,a,b\},\{a,b\},P,S)$

A palindrome can only be recognized with a *pushdown* automaton!



Type1 Languages

Context sensitive languages



Type1: Context sensitive



 "individual non-terminal symbols can only be substituted in a given context"

```
aN -> ac
```

$$N \rightarrow C$$

- Type0 + length constraint
- Non-deterministic linear constrained



"Context sensitive but not context free"

$$\operatorname{count}_3 := \{a^n b^n c^n \mid n \in \mathbb{N}\}$$

- Turing machine with linear band constraint
- Type checking in programming languages

Further example



e.g.

$$xAy \rightarrow xvy$$
.

Further example



e.g.

$$xAy \rightarrow xvy$$
.

Question: When can A be replaced?

Swiss German



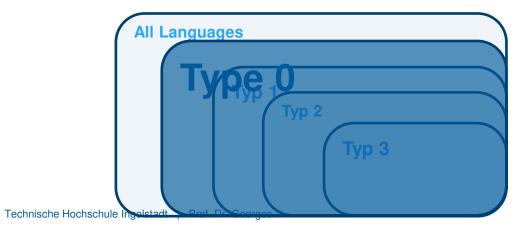
"It has been demonstrated for Swiss German that the language cannot be completely described by a context-free grammar.

Swiss German is probably a context sensitive language. "



Type0 Languages

" unlimited grammars"



Phrase structure grammar



- recursively enumerable languages or semi-decidable languages
- Turing machine without restrictions

Not really relevant for natural languages except you are talking about the human ability to "debug" programs.



Language models

"Every time I fire a linguist, the performance of the speech recognizer goes up"

Frederick Jelinek

(why?)

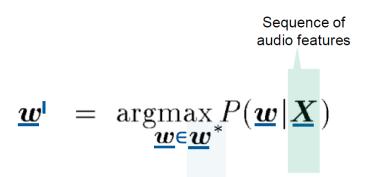


Applications

- Spelling Correction
- Suggestion in Messaging
- Machine Translation
- Speech Recogniton
- Handwriting recognition

• ...







```
Sequence of audio features m{w}^{m{l}} = rgmax_{m{w} \in m{\underline{w}}^*} P(m{\underline{w}} | m{\underline{X}})
```

```
\underline{\boldsymbol{w}}^* = \{ "Hallo", "wie", "geht", "es", "dir", ... "Hallo wie", "Hallo, wie geht es dir?", ... \}
```



$$\underline{\boldsymbol{w}}^{\text{l}} = \underset{\underline{\boldsymbol{w}} \in \underline{\boldsymbol{w}}^*}{\operatorname{argmax}} P(\underline{\boldsymbol{w}} | \underline{\boldsymbol{X}}) = \underset{\underline{\boldsymbol{w}} \in \underline{\boldsymbol{w}}^*}{\operatorname{argmax}} \frac{P(\underline{\boldsymbol{w}}) \cdot P(\underline{\boldsymbol{X}} | \underline{\boldsymbol{w}})}{P(\underline{\boldsymbol{X}})}$$



Sequence of audio features

$$\underline{\boldsymbol{w}}^{\scriptscriptstyle{\parallel}} = \underset{\underline{\boldsymbol{w}} \in \underline{\boldsymbol{w}}^*}{\operatorname{argmax}} P(\underline{\boldsymbol{w}} | \underline{\boldsymbol{X}}) = \underset{\underline{\boldsymbol{w}} \in \underline{\boldsymbol{w}}^*}{\operatorname{argmax}} \frac{P(\underline{\boldsymbol{w}}) \cdot P(\underline{\boldsymbol{X}} | \underline{\boldsymbol{w}})}{P(\underline{\boldsymbol{X}})} = \underset{\underline{\boldsymbol{w}} \in \underline{\boldsymbol{w}}^*}{\operatorname{argmax}} P(\underline{\boldsymbol{w}}) \cdot P(\underline{\boldsymbol{X}} | \underline{\boldsymbol{w}})$$



Model

ASR and Language Models

Sequence of audio features

$$\underline{\boldsymbol{w}}^{\parallel} = \underset{\underline{\boldsymbol{w}} \in \underline{\boldsymbol{w}}^*}{\operatorname{argmax}} P(\underline{\boldsymbol{w}} | \underline{\boldsymbol{X}}) = \underset{\underline{\boldsymbol{w}} \in \underline{\boldsymbol{w}}^*}{\operatorname{argmax}} \frac{P(\underline{\boldsymbol{w}}) \cdot P(\underline{\boldsymbol{X}} | \underline{\boldsymbol{w}})}{P(\underline{\boldsymbol{X}})} = \underset{\underline{\boldsymbol{w}} \in \underline{\boldsymbol{w}}^*}{\operatorname{argmax}} P(\underline{\boldsymbol{w}}) \cdot P(\underline{\boldsymbol{X}} | \underline{\boldsymbol{w}})$$



Model

$$\underline{\boldsymbol{w}}^{\text{l}} = \underset{\underline{\boldsymbol{w}} \in \underline{\boldsymbol{w}}^*}{\operatorname{argmax}} P(\underline{\boldsymbol{w}} | \underline{\boldsymbol{X}}) = \underset{\underline{\boldsymbol{w}} \in \underline{\boldsymbol{w}}^*}{\operatorname{argmax}} \frac{P(\underline{\boldsymbol{w}}) \cdot P(\underline{\boldsymbol{X}} | \underline{\boldsymbol{w}})}{P(\underline{\boldsymbol{X}})} = \underset{\underline{\boldsymbol{w}} \in \underline{\boldsymbol{w}}^*}{\operatorname{argmax}} P(\underline{\boldsymbol{w}}) \cdot P(\underline{\boldsymbol{X}} | \underline{\boldsymbol{w}})$$
Acoustic



"This lecture is exciting."



"This lecture is exciting." => fits, often



"This lecture is exciting." => fits, often "Exciting is this lecture." => fits, rarely



"This lecture is exciting." => fits, often

"Exciting is this lecture." => fits, rarely

"Is lecture exciting this." => does not fit, never



- "This lecture is exciting." => fits, often
- "Exciting is this lecture." => fits, rarely
- "Is lecture exciting this." => does not fit, never
- "This exciting is lecture." => does not fit, never



```
"This lecture is exciting." => fits, often
```

"Exciting is this lecture." => fits, rarely

"Is lecture exciting this." => does not fit, never

"This exciting is lecture." => does not fit, never

```
"This lecture is awesome." => fits, often
```

"Lecture this awesome is." => does not fit, never



"This lecture is exciting." => fits, often

"Exciting is this lecture." => fits, rarely

"Is lecture exciting this." => does not fit, never

"This exciting is lecture." => does not fit, never

Exception

"Languages with free-word-order"
Latin, Polish, Hungarian, Hindi, ...
... well, a distinction is made:
Colloquial vs. Formal vs. Literary



Language freedom

- Morphological freedom
- Grammatical freedom

- Freedom of speech ("ehm", "umm", …)
- Freedom in prosody

"Language is direct, intuitive and error-prone"



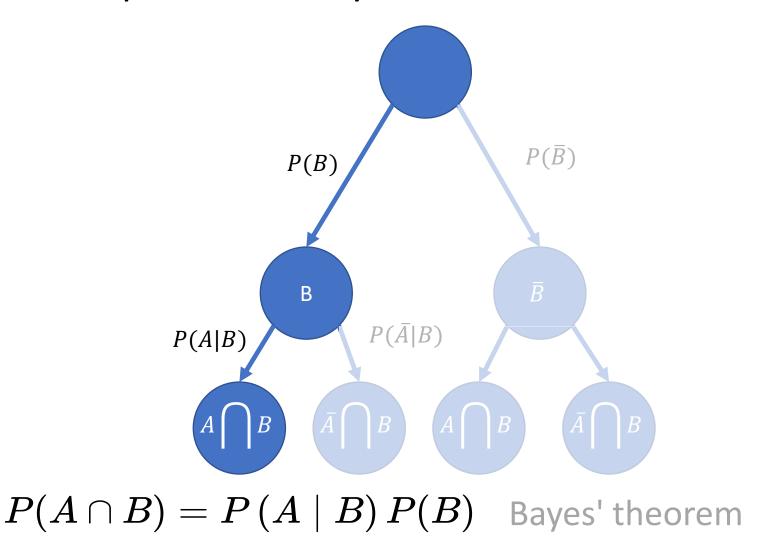
Refresh: Probabilities

Let $P(a_i)$ be the probability for an event a_i It always applies:

$$P(a_i) \geq 0$$
 $0 \leq P(a_i) \leq 1$ and P assigns a real number $\sum_i P(a_i) = 1$

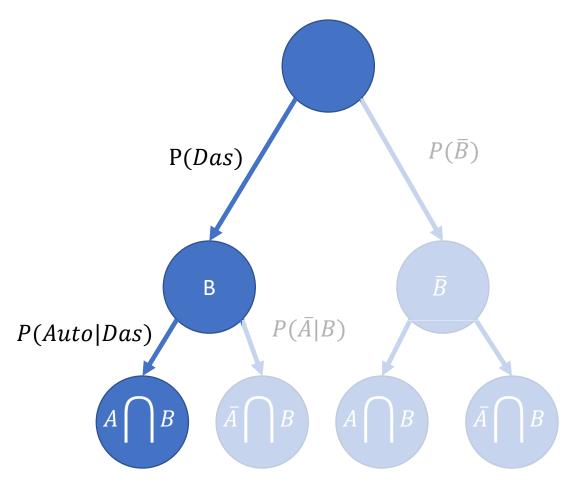


Axiom of probability





Axiom of probability



 $P(Das\ Auto) = P(Auto|Das)P(Das)$



$$P(A \cap B) = P(A \mid B) P(B)$$



$$P(A \cap B) = P(A \mid B) P(B)$$

$$P\left(igcap_{k=1}^{n}A_{k}
ight)=P\left(A_{1}
ight)\prod_{k=2}^{n}P\left(A_{k}\midigcap_{j=1}^{k-1}A_{j}
ight)$$

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$$egin{aligned} P\left(igcap_{k=1}^n A_k
ight) &= P\left(A_1
ight) \prod_{k=2}^n P\left(A_k \mid igcap_{j=1}^{k-1} A_j
ight) \ & \ & \ & \ & rac{P\left(A_1 \cap A_2
ight)}{P\left(A_1
ight)} \end{aligned}$$



$$P\left(igcap_{k=1}^n A_k
ight) = P\left(A_1
ight) \prod_{k=2}^n P\left(A_k \mid igcap_{j=1}^{k-1} A_j
ight) \ P\left(A_1 \cap A_2 \cap \cdots \cap A_n
ight) = P\left(A_1
ight) \cdot rac{P\left(A_1 \cap A_2
ight)}{P\left(A_1
ight)} \cdot rac{P\left(A_1 \cap A_2 \cap A_3
ight)}{P\left(A_1 \cap A_2
ight)} \cdots rac{P\left(A_1 \cap \cdots \cap A_n
ight)}{P\left(A_1 \cap \cdots \cap A_{n-1}
ight)}$$



$$egin{aligned} P\left(igcap_{k=1}^n A_k
ight) &= P\left(A_1
ight) \prod_{k=2}^n P\left(A_k \mid igcap_{j=1}^{k-1} A_j
ight) \ &P\left(A_1 \cap A_2 \cap \cdots \cap A_n
ight) &= P\left(A_1
ight) \cdot rac{P\left(A_1 \cap A_2
ight)}{P\left(A_1
ight)} \cdot rac{P\left(A_1 \cap A_2 \cap A_3
ight)}{P\left(A_1 \cap A_2
ight)} \cdots rac{P\left(A_1 \cap \cdots \cap A_n
ight)}{P\left(A_1 \cap \cdots \cap A_{n-1}
ight)} \ &= P(A_1) \cdot P\left(A_2 \mid A_1
ight) \cdot P\left(A_3 \mid A_1 \cap A_2
ight) \cdots P\left(A_n \mid A_1 \cap \cdots \cap A_{n-1}
ight) \end{aligned}$$



This lecture is exciting

P(<s> Diese Vorlesung ist spannend </s>) =



P(<s> Diese Vorlesung ist spannend </s>) =



P(<s> Diese Vorlesung ist spannend </s>) = $P(\leq_S>)$ $P(A_1) \cdot P(A_2 \mid A_1) \cdot P(A_3 \mid A_1 \cap A_2) \cdots P(A_n \mid A_1 \cap \cdots \cap A_{n-1})$



P(
$$\langle s \rangle$$
 Diese Vorlesung ist spannend $\langle s \rangle$) =

P($\langle s \rangle$)

·P(Diese| $\langle s \rangle$)

$$P(A_1) \cdot P(A_2 \mid A_1) \cdot P(A_3 \mid A_1 \cap A_2) \cdots P(A_n \mid A_1 \cap \cdots \cap A_{n-1})$$



```
P(<s> Diese Vorlesung ist spannend </s>) =
            P(\langle s \rangle)
            \cdot P(Diese | \leq s >)
           •P(Vorlesung|<s> Diese)
                               P(A_1) \cdot P\left(A_2 \mid A_1\right) \cdot P\left(A_3 \mid A_1 \cap A_2\right) \cdots P\left(A_n \mid A_1 \cap \cdots \cap A_{n-1}\right)
```



```
P(<s> Diese Vorlesung ist spannend </s>) =
       P(\langle s \rangle)
       \cdot P(Diese | \leq s > )
       •P(Vorlesung|<s> Diese)
       ·P(ist <s> Diese Vorlesung)
       •P(spannend|<s> Diese Vorlesung ist)
       ·P(</s> <s> Diese Vorlesung ist spannend)
                                               Word history of 5
```



```
P(<s> Diese Vorlesung ist spannend </s>) ≈
      P(\leq s>)
      ·P(Diese <s>)
      •P(Vorlesung|<s> Diese)
      ·P(ist <s> Diese Vorlesung)
      •P(spannend|<s> Diese Vorlesung ist)
      ·P(</s>  Diese Vorlesung ist spannend)
                                Word history of 4
```

Markov assumption



```
P(<s> Diese Vorlesung ist spannend </s>) ≈
      P(\leq s>)
      ·P(Diese <s>)
      •P(Vorlesung|<s> Diese)
      ·P(ist <s> Diese Vorlesung)
      ·P(spannend >>> Diese Vorlesung ist)
      ·P(</s> Diese Vorlesung ist spannend)
                                 Word history of 3
                                 Markov assumption
```



```
P(<s> Diese Vorlesung ist spannend </s>) ≈
      P(\leq s>)
      \cdot P(Diese < s >)
      •P(Vorlesung|<s> Diese)
      ·P(ist < Diese Vorlesung)
      ·P(spannend >> Diese Vorlesung ist)
      ·P(</s> Diese Vorlesung ist spannend)
                                 Word history of 2
                                 Markov assumption
```



```
P(<s> Diese Vorlesung ist spannend </s>) ≈
      P(\leq s>)
      ·P(Diese <s>)
      ·P(Vorlesung | ← Diese)
      ·P(ist < Diese Vorlesung)
      ·P(spannend <>> Diese Vorlesung ist)
      ·P(</s> | See Vorlesung ist spannend)
                                 Word history of 1
                                 Markov assumption
```



```
P(<s> Diese Vorlesung ist spannend </s>) ≈
      P(\leq s>)
      •P(Diese <>>)
      ·P(Vorlesung <>> Diese)
      ·P(ist < >> Diese Vorlesung)
      ·P(spannend <>> Diese Vorlesung ist)
      P(</s> | See Vorlesung ist spansend)
                                No word history
```

 $P(\le S > Diese \ Vorlesung \ ist \ spannend \ \le S >) = \\ P(\le S >) \cdot P(Diese) \cdot P(Vorlesung) \cdot P(ist) \cdot P(spannend) \cdot P(\le S >)$ Only applies if the wor are independent!



Summary

$$P(\underline{w}) = P(w_0 w_1 \dots w_n w_{n+1})$$



Summary

$$\begin{split} P(\underline{w}) = & P(w_0 w_1 ... w_n w_{n+1}) \\ = & P(w_0) P(w_1 | w_0) P(w_2 | w_0 w_1) \cdots P(w_n | w_1 w_2 ... w_{n-1}) \\ = & \prod_{i=1}^{|\underline{w}|} P(w_i | w_1 ... w_{i-1}) \end{split}$$



Summary

$$\begin{split} P(\underline{w}) = & P(w_0 w_1 ... w_n \, w_{n+1}) & \text{...axiom of probability...} \\ = & P(w_0) P(w_1 | w_0) P(w_2 | w_0 \, w_1) \cdots P(w_n | w_1 \, w_2 ... w_{n-1}) \\ = & \prod_{i=1}^{|\underline{w}|} P(w_i | w_1 ... w_{i-1}) \\ \approx & \prod_{i=1}^{|\underline{w}|} P(w_i | w_{i-n+1} ... w_{i-1}) \end{split}$$



Summary

$$\begin{split} P(\underline{w}) = & P(w_0 w_1 ... w_n w_{n+1}) \\ = & P(w_0) P(w_1 | w_0) P(w_2 | w_0 w_1) \cdots P(w_n | w_1 w_2 ... w_{n-1}) \\ = & \prod_{i=1}^{|\underline{w}|} P(w_i | w_1 ... w_{i-1}) \\ \approx & \prod_{i=1}^{|\underline{w}|} P(w_i | w_{i-n+1} ... w_{i-1}) \end{split}$$

$$\text{Markov assumption "reduce history/context" z.B. n=2 (this is a 2-gram) P(\underline{w}) \approx \prod_{i=1}^{|\underline{w}|} P(w_i | w_{i-1}) \end{split}$$

$$\sum_{w} P(\underline{w}) = 1$$
 A language model should (if possible) be normalized!



```
P(<s> Diese Vorlesung ist spannend </s>) ≈
P(<s>)
·P(Diese|<s>)
·P(Vorlesung|<s> Diese)
·P(ist| Diese Vorlesung)
·P(spannend| Vorlesung ist)
·P(</s>| ist spannend)
```



```
P(<s> Diese Vorlesung ist spannend </s>) ≈
       P(\leq s>)
       \cdot P(Diese | \le >)
       ·P(Vorlesung Diese)
       ·P(ist Vorlesung)
       ·P(spannend| ist)
           3/s>| spannend)|
```

 $P(w_i | w_{i-1}) = ?$

Erinnerung:
$$P(A \cap B) = P(A \mid B) P(B)$$



$$P(w_i|w_{i-1}) = \frac{P(W_iW_{i-1})}{P(W_{i-1})}$$



P(
$$\langle s \rangle$$
 Diese Vorlesung ist spannend $\langle s \rangle$) \approx

P($\langle s \rangle$)

•P(Diese| $\langle s \rangle$)

•P(Vorlesung| Diese)

•P(ist| Vorlesung)

•P(spannend| ist)

•P($\langle s \rangle$ | spannend)

P($\langle s \rangle$ | spannend)

| I := Count occurrences of .



P(
$$\langle s \rangle$$
 Diese Vorlesung ist spannend $\langle s \rangle$) \approx
P($\langle s \rangle$)
•P(Diese)
•P(Vorlesung)
•P(ist)
•P($\langle s \rangle$)
•P(spannend)
•P($\langle s \rangle$)



P(<s> Diese Vorlesung ist spannend </s>) ≈

$$P(\leq_S>)$$

- ·P(Diese)
- ·P(Vorlesung)
- ·P(ist)
- ·P(spannend)
- $\cdot P(</s>)$

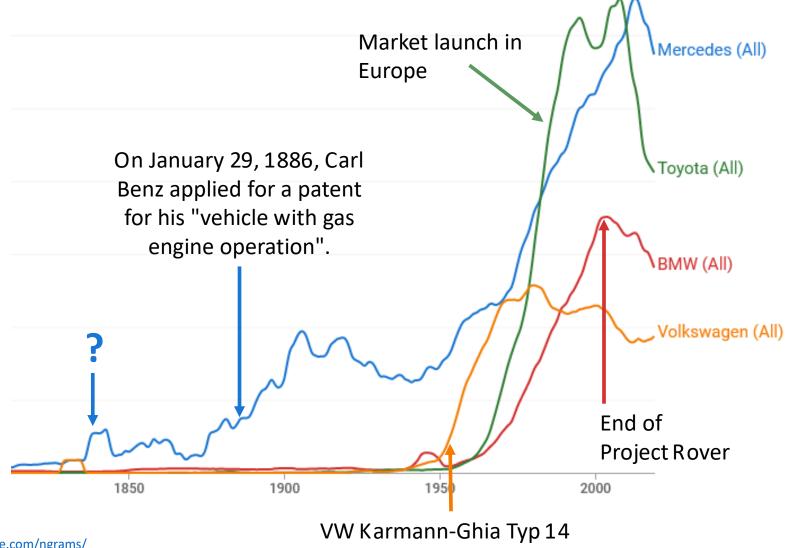
This assumes that the words are independent of each other. This is obviously not correct...

...and yet it works surprisingly well.



```
P(<s> Diese Vorlesung ist spannend </s>) ≈
       1/|Vocabulary|
       · 1/|Vocabulary|
       · 1/|Vocabulary|
       · 1/|Vocabulary|
       · 1/|Vocabulary|
       · 1/|Vocabulary|
       = 1/|Vocabulary|^6
                                  The word itself doesn't even matter anymore... ....as long as it is in the .
```

Word frequencies





Information content IC of a word, sentence, text w:

$$IC(w) = log_2 \frac{1}{P(w)} = -log_2 P(w)$$



Information content IC of a word, sentence, text w:

$$IC(w) = log_2 \frac{1}{P(w)} = -log_2 P(w)$$

Probability of occurrence or how likely is w. Typically calculated with a language model P



Information content IC of a word, sentence, text w:

$$IC(w) = log_2 \frac{1}{P(w)} = -log_2 P(w)$$

Example:

$$P(w) = \frac{1}{2}$$
 => $IC(w) = ?$



Information content IC of a word, sentence, text w:

$$IC(w) = log_2 \frac{1}{P(w)} = -log_2 P(w)$$

Example:

$$P(w) = \frac{1}{2}$$
 => $IC(w) = ?$
 $P(v) = \frac{1}{16}$ => $IC(v) = ?$