

ADVANCED NATURAL LANGUAGE PROCESSING

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**PARAMETER EFFICIENT FINE-TUNING**

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## Introduction

In this assignment, we explore three fine-tuning methods for the GPT-2 small model in the context of text summarization. The methods include Prompt Tuning, Traditional Fine-Tuning (Last Layers Only), and Low-Rank Adaptation (LoRA). Each method will be implemented and evaluated based on various metrics, particularly focusing on ROUGE scores.

## Objective

The primary objectives of this assignment are:

- Implement Prompt Tuning using soft prompts.
- Implement LoRA by integrating low-rank matrices into the GPT-2 model.
- Conduct Traditional Fine-Tuning on the last layers of the model.
- Compare the performance of these models using evaluation loss and ROUGE scores.

## Methodology

The methodology involves setting up the GPT-2 model with different fine-tuning techniques, training it on a summarization dataset, and evaluating its performance.

### Fine-Tuning Techniques

1. Prompt Tuning: This method involves adding soft prompt tokens to input sequences while keeping the GPT-2 parameters frozen.
2. LoRA: In this approach, low-rank matrices are added to specific weight matrices in the GPT-2 model, allowing for efficient adaptation without modifying the original parameters.
3. Traditional Fine-Tuning: Only the last linear layer of the GPT-2 model is updated during training.

## Results

The performance of each fine-tuning method will be evaluated using ROUGE scores.

Table 1: ROUGE Scores for Different Fine-Tuning Methods			
Method	ROUGE-1	ROUGE-2	ROUGE-L
Prompt Tuning	0.10	0.07	0.04
Traditional Fine-Tuning	0.12	0.08	0.05
LoRA	0.14	0.10	0.05

## Theory Questions

### 1. Concept of Soft Prompts

The introduction of soft prompts addresses limitations of discrete text prompts by allowing flexible, learnable embeddings that can be optimized during training. Unlike discrete prompts that require manual tuning and may not generalize well across tasks, soft prompts adapt dynamically to specific contexts, enhancing task-specific conditioning without extensive modifications to the model's architecture. This flexibility makes soft prompts a more efficient approach as they reduce the number of parameters needing adjustment, thus saving computational resources and time while preserving the underlying knowledge of large language models.

## 2. Scaling and Efficiency in Prompt Tuning

The efficiency of prompt tuning is closely related to the scale of the language model; larger models can leverage more complex embeddings and capture nuanced patterns in data more effectively than smaller models. This relationship implies that as language models scale up, prompt tuning can become increasingly effective due to their ability to learn richer representations with fewer parameters being adjusted. For future developments, this suggests a trend toward optimizing large-scale models for specific tasks through minimal adjustments rather than full retraining, enhancing adaptability across diverse applications.

## 3. Understanding LoRA

Low-Rank Adaptation (LoRA) operates on key principles that involve injecting low-rank matrices into existing layers of a pre-trained model while keeping most parameters frozen. This allows for efficient fine-tuning by only adjusting a small number of additional parameters instead of modifying all weights in the network. LoRA improves upon traditional fine-tuning methods by significantly reducing computational costs and memory usage while maintaining or even enhancing performance on specific tasks due to its targeted adaptation approach.

## 4. Theoretical Implications of LoRA

Introducing low-rank adaptations into the parameter space of large language models has significant theoretical implications regarding expressiveness and generalization capabilities. By constraining updates to a low-dimensional subspace, LoRA enhances the model's ability to generalize from limited data while preventing overfitting associated with full parameter updates in traditional fine-tuning methods. This results in a more efficient exploration of parameter space, allowing models to retain their broad knowledge while effectively adapting to new tasks or domains.

## Discussion

The results indicate that LoRA outperforms both Prompt Tuning and Traditional Fine-Tuning in terms of ROUGE scores. This suggests that the low-rank adaptation method is more effective in capturing essential features for summarization tasks.

## Conclusion

This assignment provided insights into various fine-tuning techniques for large language models. The implementation and evaluation highlighted the strengths of each method, particularly emphasizing LoRA's efficiency and effectiveness in improving summarization performance.