

THE STORY

Remittances are an essential source of income for many households. The total global value of remittances – sent both through formal and informal channels – is unknown, but estimates suggest that formal flows alone account for nearly one fifth of total international resources (US\$43.9 billion) to the top recipient countries of humanitarian assistance. For some of these countries they represent the largest single international inflow – in Pakistan they account for 66% and in Haiti, 39%. This woman survived the 2010 Haitian earthquake which destroyed her family home. She is the primary carer for her eight grandchildren and relies on money sent from her son, who lives abroad, to pay their school fees.

CREDIT

© Frédéric Dupoux/ HelpAge International www.helpage.org



DATA & GUIDES

What is humanitarian assistance?

Humanitarian action is designed to save lives, alleviate suffering and maintain and protect human dignity during and in the aftermath of emergencies. This definition is set out in the Good Humanitarian Donorship (GHD) Principles and Good Practice Guidelines. In this report, when used in the context of data, humanitarian assistance refers to the financial resources for this action.

As well as being focused on emergencies, humanitarian assistance differs from other forms of foreign and development assistance because it is intended to be governed by the key humanitarian principles of:

- humanity saving human lives and alleviating suffering wherever it is found
- impartiality acting solely on the basis of need, without discrimination between or within affected populations
- neutrality acting without favouring any side in an armed conflict or other dispute
- independence ensuring autonomy of humanitarian objectives from political, economic, military or other objectives.

These principles are set out in the fundamental principles of the Red Cross and Red Crescent Movement, reaffirmed in UN General Assembly resolutions and enshrined in numerous humanitarian standards and guidelines such as the Sphere Humanitarian Charter.

There is no universal obligation or system for reporting expenditure on humanitarian assistance (see Chapter 9), so what is counted in humanitarian assistance reporting can vary by donor. However, the Organisation for Economic Co-operation and Development (OECD)'s Development Assistance Committee (DAC) does set out clear definitions of humanitarian assistance for those donors (both member and non-member) that report to its databases.

We include what donors themselves report as 'humanitarian' in our analysis, but aim to consistently label and source the data we have used. OECD DAC donors report their humanitarian assistance as a sub-sector of official development assistance (ODA) against strict criteria. Yet other providers of development cooperation outside the OECD DAC who voluntarily report their humanitarian assistance to the UN Office for the Coordination of Humanitarian Affairs (OCHA) Financial Tracking Service (FTS) are not bound by the same criteria as donors reporting to the OECD DAC.

Methodology and definitions

Cash

Our analysis of cash transfers is based on UN OCHA FTS data. There is currently no specific identifier or flag within the source data, so we carry out a keyword search on the project title, description and cluster. Our keywords include: cash, cash transfer, unconditional cash, conditional cash transfer, cash grant, voucher, cash for work, CfW, cash for assets, CfA, tokens, coupons, e-money, e-cash, food for work, voucher for work, public works programme, and cash transfer programme. Our coding also distinguishes between full and partial cash programmes. Projects that are labelled 'full' are designed primarily for cash transfer interventions; those labelled 'partial' combine cash transfer interventions with other activities.

Channels of delivery

We use this term to describe the agencies and organisations receiving funding for the delivery of humanitarian assistance – UN agencies, non-governmental organisations (NGOs), the public sector, pooled funds and the International Red Cross and Red Crescent Movement – whether they deliver the assistance themselves or pass it on to partner organisations. For example, a donor may fund a UN agency, which may in turn fund an international NGO, which may in turn partner with a local NGO to deliver the assistance. Currently, we are only able to track humanitarian assistance expenditure to the first transaction level. However, in this year's report we have carried out further analysis of funding to NGOs as the channel of delivery, based on our own categorisation of NGOs (see 'NGO classifications' and Chapter 5).

Our channels of delivery data comes from both the OECD DAC Creditor Reporting System (CRS) and the UN OCHA FTS.

Conflict, peace and security ODA

Funding to conflict prevention and resolution, peace and security appears as its own category within sector-specific ODA. In this category, activities include support of security system management and reform, removal of land mines and other explosives, demobilisation of child soldiers, reintegration of demobilised military personnel, small arms and light weapons control, peace-building, conflict prevention and resolution and participation in international peacekeeping operations.

Domestic governments

Data on what domestic governments spend in response to disasters and crises within their own borders is not systematically collated and reported to a single body. In this year's report we include the results of our own research into the domestic disaster relief and disaster risk reduction contributions of three governments – Kenya, India and the Philippines – using publicly available national budget documents (see pages 41–44). We use the following sources of data for our analysis:

- India Chakrabarti D, Prabodh G, 'Understanding Existing Methodologies for Allocating and Tracking DRR Resources in India', UNISDR, Geneva, 2012 and Ministry of Finance, 'Union Budget', Government of India, New Delhi. Analysis includes data for the Central Response Fund/State Disaster Response Fund and the National Calamity Contingency Fund/National Disaster Response Fund.
- Philippines Jose, Susan Rachel G, 'Preliminary Examination of Existing Methodologies for Allocating and Tracking National Government Budget for Disaster Risk Reduction (DRR) in the Philippines', UNISDR, Geneva, December 2012 and Department of Budget and Management, 'National Expenditure Program 2014', Government of the Philippines, Manila.
- Kenya the World Bank's BOOST Initiative data for the fiscal years 2006/07 to 2012/13.

Exchange rates

We predominately use OECD DAC exchange rates for OECD DAC members and exchange rates from the International Monetary Fund's World Economic Outlook database (April 2014 edition) for countries outside of the OECD DAC group.

Financing mechanisms

All of our humanitarian assistance categories include money spent through pooled funds and financing mechanisms such as the Central Emergency Response Fund (CERF) and country-level humanitarian pooled funds.

Forgotten crises

Our analysis of forgotten crises is based on the European Commission Department of Humanitarian Aid and Civil Protection (ECHO)'s forgotten crisis assessment (FCA) index, which is compiled annually using a series of weighted indicators to come up with an overall ranking of emergency situations.

Governments (and European Union) institutions

Our data and definition of international government funding for humanitarian crises comprises:

- the total 'official' humanitarian assistance expenditure of the 29 members of the OECD DAC Australia, Austria, Belgium, Canada, Czech Republic, Denmark, Finland, France, Germany, Greece, Iceland, Ireland, Italy, Japan, Korea, Luxembourg, the Netherlands, New Zealand, Norway, Poland, Portugal, Slovak Republic, Slovenia, Spain, Sweden, Switzerland, the United Kingdom, the United States and the European institutions a subset of ODA, which is reported to the OECD DAC each year
- expenditure by 'other governments', sometimes referred to as 'non-DAC donors' or 'South-South development partners', as captured by UN OCHA FTS.

Note that:

- domestic government expenditure is treated separately (see Domestic governments methodology, page 117)
- although it is not an OECD DAC donor, Turkey's reporting to the OECD DAC is more comprehensive than its reporting through UN OCHA FTS. We therefore use OECD DAC data when reporting on Turkey's humanitarian assistance contributions.
- when we report on the individual contributions of OECD DAC donors who are members of the European Union (EU), we also include an imputed calculation of their humanitarian assistance channelled through the EU institutions.

Humanitarian needs

Our analysis in Chapter 1 of who was affected by crises is based on:

- the number of people affected by crises data is sourced from UN-coordinated appeals, the CRED EM-DAT disaster database (data downloaded 8 May 2014) and United Nations High Commissioner for Refugees (UNHCR) and reflects the highest number of people affected within each country at any given point during the year
- the proportion of the total population affected in crisis countries (based on World Bank 2012 population data).

International humanitarian response

This comprises the combined contributions of:

- international governments (data taken from both OECD DAC and UN OCHA FTS)
- individuals, trusts and foundations, and private companies and corporations (either using our own research or as reported in UN OCHA FTS).

Long-term humanitarian assistance countries

In this report, long-term humanitarian assistance countries are defined as those receiving a greater than average proportion of ODA (excluding debt relief) in the form of humanitarian assistance for more than eight years between 1998 and 2012. 'Medium term' refers to those receiving a lower than average proportion for between three and seven years inclusive, and 'short term' means under three years.

NGO classifications

Analysis of funding to NGOs is based on our own categorisation of five types of NGO, which was established following consultation with a range of recognised sources and stakeholders. Categories include:

- international NGOs defined as those based in an OECD DAC member country and carrying out operations in one or more developing countries (e.g. Save the Children UK, Catholic Relief Services, Oxfam GB, Norwegian Refugee Council)
- southern international NGOs (SINGOs) those not based in an OECD DAC member country and carrying out operations in one or more developing countries (e.g. BRAC, Mercy Malaysia)
- affiliated national NGOs nationally-operating NGOs that are affiliated to an international NGO (e.g. World Vision South Sudan and Food for the Hungry)
- national NGOs those operating in the developing country where they are headquartered, working in multiple sub-national regions, and not affiliated to an international NGO (e.g. Almanar Voluntary Organization, Somali Humanitarian Aid and Development Organization (SHADO))
- local NGOs those operating in a specific, geographically defined, sub-national area, without affiliation to either a national or international NGO; this grouping can also include community-based organisations (CBOs, e.g. Abyei Community Action for Development, Nuba Mountain Relief, Rehabilitation and Development Organization).

Official development assistance (ODA)

ODA is a grant or loan from an OECD member country to a developing country (as defined by the OECD) or multilateral agency for the promotion of economic development and welfare. It is reported by members of the OECD DAC, along with several other government donors and institutions, according to strict criteria each year. It includes sustainable and poverty-reducing development assistance (for sectors such as governance and security, social services, education, health, and water and sanitation) as well as humanitarian assistance from OECD DAC members and other donors reporting to the OECD DAC.

In this report we express our total ODA figures as net of debt relief, apart from in Chapter 8, where development assistance is based on gross ODA.

Other official flows (OOFs)

Other official flows are official sector transactions reported by governments to the OECD DAC that do not meet the ODA criteria, because their primary purpose is not development-motivated, or because their grant element is below the 25% threshold that would make them eligible to be recorded as ODA. Transactions classified as OOFs include export- and investment-related transactions, rescheduling of OOF loans, and other bilateral securities and claims.

Poverty

We refer to three international poverty lines in this report and use World Bank data in our analyses:

- \$1.25 a day ('extreme' or 'absolute' poverty)
- \$2 a day
- \$4 a day

These measures are expressed in 'international dollars', based on purchasing power parity (PPP) exchange rates from 2005.

Private funding

This comprises contributions from individuals, private foundations, trusts, private companies and corporations. We have developed a unique methodology to attempt to quantify and analyse this under-reported resource flow (see opposite).

Rounding

There may be minor discrepancies in some of the totals in our graphs and charts, and between those and the text; this is because of rounding.

Total 'official' humanitarian assistance

Total 'official' humanitarian assistance forms a core part of our international government and humanitarian response calculations and is used when making comparisons with other development assistance and other resource flows to developing countries.

Total 'official' humanitarian assistance includes:

- bilateral humanitarian expenditure of OECD DAC members, as reported through the OECD DAC
- multilateral (core and totally unearmarked) ODA contributions to UNHCR, United Nations Relief and Works Agency for Palestine Refugees in the Near East (UNRWA), United Nations Children's Fund (UNICEF) and World Food Programme (WFP).

GHA's unique calculations

INTERNATIONAL HUMANITARIAN ASSISTANCE FROM GOVERNMENTS

Our calculation of international humanitarian assistance from government donors is the sum of:

- total 'official' humanitarian assistance (OECD DAC)
- humanitarian assistance from providers of development cooperation outside the OECD DAC (see Government donors page 26)

Our total 'official' humanitarian assistance calculation comprises:

- bilateral humanitarian assistance of the 29 OECD DAC donors, as reported in the OECD DAC database under table 'DAC1 Official and Private Flows', item 'Hist: Humanitarian aid grants' (net disbursements)
- total multilateral ODA disbursements to UNHCR, UNRWA, UNICEF and WFP, as recipients, reported in the OECD DAC database under table 'DAC2a ODA Disbursements'. We do not include all ODA to WFP and UNICEF but apply a percentage in order to take into account the fact that these two agencies also have a 'development' mandate. These shares (applied to all years retrospectively) have been calculated using 2010–2012 data from www.unsceb.org.
- When reporting on the total official humanitarian assistance of individual donors, we include imputed calculations of humanitarian assistance contributed through the EU institutions. When reporting on the total official humanitarian assistance of individual donors to specific countries (e.g. the United Kingdom to Afghanistan), we impute contributions made via the Central Emergency Response Fund (CERF). Until 2009 CERF contributions were reported in table DAC2a as 'bilateral unspecified', from 2010 data is not reported in sufficient detail in the DAC tables, so we take this data directly from the CERF.

To calculate the funding from government donors outside the OECD DAC we use UN OCHA FTS data. However, while Turkey is not an OECD DAC donor, its reporting to this database is more comprehensive than through UN OCHA FTS. We therefore use OECD DAC data to report Turkey's humanitarian contributions.

PRIVATE FUNDING

Our definition of private funding includes contributions from individuals, trusts and foundations, and private companies and corporations. We approach humanitarian delivery agencies (including NGOs, UN agencies with a humanitarian mandate and the International Red Cross and Red Crescent Movement) directly and request financial information on their income and expenditure by means of a standardised dataset. Where direct data collection is not possible, we use publicly available annual reports and audited accounts to extract key data and complete the dataset ourselves.

Our dataset includes the following:

- 75 NGOs that form part of nine representative and well-known NGO alliances and umbrella organisations, such as Oxfam International (see table)
- six key UN agencies engaged in humanitarian response: UNICEF, UNHCR, UNRWA, WFP, United Nations Development Programme (UNDP) and the World Health Organization (WHO)
- the International Federation of Red Cross and Red Crescent Societies (IFRC) and the International Committee of the Red Cross (ICRC).

Our private funding calculation comprises an estimate of total private humanitarian income for all NGOs, plus the private humanitarian income reported by the six UN agencies, the IFRC and ICRC. To estimate the total private humanitarian income of NGOs globally, we calculate the annual proportion that the 75 NGOs in our dataset represent of all NGOs reporting to the UN OCHA FTS. The total private humanitarian income reported to us by the NGOs in our dataset is then scaled up according to this proportion.

Due to limited data, we provide an estimate for 2013 private funding by calculating the share of overall private humanitarian assistance represented by Médecins Sans Frontières (MSF) for the previous five years. Using data for 2013 provided to us by MSF, we then scale their private humanitarian income figure up according to the average share, to reach a global estimate.

ORGANISATION	NUMBER OF MEMBER ORGANISATIONS IN THE DATASET
Action Contre la Faim	1
Caritas	1
Concern Worldwide	3
Danish Refugee Council	1
EMERGENCY	1
GOAL	1
HelpAge	1
International Medical Corps	2
International Rescue Committee	4
Islamic Relief	15
Medair	1
Médecins Sans Frontières	23
Mercy Corps	2
Norwegian Refugee Council	1
_Oxfam	16
World Relief	1
ZOA	1
Total	75

For further details, please visit our website: www.globalhumanitarianassistance.org/tools.

Data sources

Bangko Sentral Ng Pilipinas, 'Economic and Financial Statistics', Manila	www.bsp.gov.ph/statistics/efs_ext3.asp accessed 3 July 2014.
Centre for Research on the Epidemiology of Disasters, 'EM-DAT International Disaster Database', CRED, Université Catholique de Louvain, Brussels	www.emdat.be/database accessed 8 May 2014.
Climate Funds Update, 'The data', Climate Funds Update, Overseas Development Institute (ODI) and Heinrich Böll Stiftung North America, Berlin and Washington DC	www.climatefundsupdate.org accessed 10 July 2014.
Management, 'National Expenditure Program 2014', Government of the Philippines, Manila	www.dbm.gov.ph accessed 30 July 2014.
Department for International Development, 'Development Tracker', UK Government, London	http://devtracker.dfid.gov.uk accessed 6 July 2014.
European Union Humanitarian Aid and Civil Protection, 'Global Vulnerability and Crisis Assessment / Forgotten Crisis Assessment', ECHO, Brussels	http://echo-global-vulnerability-and-crisis.jrc. ec.europa.eu accessed 12 May 2014.
Ministry of Finance, 'Union Budget', Government of India, New Delhi	http://indiabudget.nic.in accessed 30 April 2014.
International Committee of the Red Cross, 'Annual Report', ICRC, Geneva	www.icrc.org/eng/resources/annual-report accessed 21 April 2014.
International Federation of Red Cross and Red Crescent Societies, 'Donor response to programmes and appeals', IFRC, Geneva	www.ifrc.org/en/publications-and-reports/ donor-response accessed 21 April 2014 (unless otherwise stated).
International Monetary Fund, 'World Economic Outlook Database', IMF, Washington DC	http://www.imf.org/external/pubs/ft/ weo/2014/01/weodata/index.aspx accessed April 2014.
Office of the UN High Commissioner for Refugees, 'Syria Regional Refugee Response', Inter-Agency Information Sharing Portal, UNHCR	http://data.unhcr.org/syrianrefugees/ regional.php accessed 28 July 2014.
Office of the UN High Commissioner for Refugees, 'Statistical Online Database', UNHCR, Geneva	http://popstats.unhcr.org/Default.aspx accessed 22 July 2014.
Organisation for Economic Co-operation and Development, 'OECD.Stat', OECD, Paris	http://stats.oecd.org accessed 16 April 2014.
Stockholm International Peace Research Institute, 'SIPRI Multilateral Peace Operations Database', Solna	www.sipri.org/databases/pko accessed 12 May 2014.
UN Conference on Trade and Development, 'UNCTADstat', UNCTAD, Geneva	http://unctadstat.unctad.org accessed June 2014.
UN Office for the Coordination of Humanitarian Affairs, 'Central Emergency Response Fund', UN OCHA, New York	www.unocha.org/cerf accessed 8 May 2014.
UN Office for the Coordination of Humanitarian Affairs, 'Financial Tracking Service', UN OCHA, Geneva	http://fts.unocha.org accessed 14 April 2014 (unless stated otherwise).
UN System Chief Executives Board for Coordination, 'UN System Statistics', UN CEB, Geneva and New York	www.unsceb.org/content/stats-fb accessed 24 April 2014.
Uppsala Conflict Data Program, 'UCDP Conflict Encyclopedia', UCDP, Department of Peace and Conflict Research, Uppsala Universitet, Uppsala	www.ucdp.uu.se/gpdatabase/search.php accessed 22 April 2014.
World Bank, 'B00ST Initiative', World Bank, Washington DC	http://wbi.worldbank.org/boost /boost-initiative accessed 24 March 2014.
World Bank, Data, World Bank, Washington DC	http://data.worldbank.org

Our analysis of money raised by national fundraising platforms uses the following data sources:

Humanitarian Coalition, Canada http://humanitariancoalition.ca

Disasters Emergency Committee (DEC), UK www.dec.org.uk

Belgian Consortium for Emergency Situations, Belgium www.1212.be/nl

Aktion Deutschland Hilft, AGIRE, Italy www.agire.it/it/agire_onlus/english.html

Japan Platform, Japan www.japanplatform.org/E

Dutch Cooperating Aid Agencies (SHO), the Netherlands http://samenwerkendehulporganisaties.nl

Radiohjälpen, Sweden www.svt.se/radiohjalpen

Chaîne du Bonheur, Switzerland www.swiss-solidarity.org/en.html

Acronyms and abbreviations

ACAPS Assessment Capacities Project
CAP Consolidated appeal process (UN)

CAR Central African Republic

CBO Community-based organisation
CERF Central Emergency Response Fund
CHF Common Humanitarian Fund

CPA Comprehensive Peace Agreement (between Sudan and southern Sudan)

CRED Centre for Research on the Epidemiology of Disasters

CRS Creditor Reporting System (OECD DAC)

DAC Development Assistance Committee (OECD)

DEC Disasters Emergency Committee

DFID Department for International Development (UK)

DPP Disaster prevention and preparednessDPRK Democratic People's Republic of Korea

DRC Democratic Republic of Congo
DRM Disaster risk management
DRR Disaster risk reduction
EC European Commission

ECHO Department of Humanitarian Aid and Civil Protection (EC)

ECOWAS Economic Community of West African States

ERC Emergency Relief Coordinator
ERF Emergency Response Fund

EU European Union

FAiTH Foreign Aid Transparency Hub (Philippines)

FAO Food and Agriculture Organization
FCA Forgotten crisis assessment (ECHO)

FDI Foreign direct investment

FTS Financial Tracking Service (UN OCHA)

GDP Gross domestic product

GHA Global Humanitarian Assistance (the programme)

GHD Good Humanitarian Donorship

GNI Gross national income

HNO Humanitarian needs overview

IASC Inter-Agency Standing Committee

IATI International Aid Transparency Initiative

ICRC International Committee of the Red Cross

IDP Internally displaced person(s)/people

IDMC Internal Displacement Monitoring Centre

IFRC International Federation of Red Cross and Red Crescent Societies

IMF International Monetary Fund

INGO International non-governmental organisationIOM International Organization for Migration

L3 'Level 3' emergency (UN)

MDG Millennium Development Goals

MINUSMA Multidimensional Integrated Stabilization Mission in Mali (UN)

MIRA Multi-cluster initial rapid assessment

MSF Médecins Sans Frontières

NATO North Atlantic Treaty OrganisationND-GAIN Notre Dame Global Adaptation IndexNGO Non-governmental organisation

NNSC Neutral Nations Supervisory Commission

OCHA Office for the Coordination of Humanitarian Affairs (UN)

ODA Official development assistance

OECD Organisation for Economic Co-operation and Development

OOFs Other official flows

oPt Occupied Palestinian territory (UN)

OSCE Organization for Security and Co-operation in Europe

PPP Purchasing power parity

R4 The R4 Rural Resilience Initiative

RCRC Red Cross Red Crescent
RRF Rapid response facility (RRF)

RRP Regional refugee response plan (South Sudan and Syria)

SGBV Sexual and gender-based violence

SHARP Syria Humanitarian Assistance Response Plan

SidaSwedish International Development Cooperation AgencySINGOSouthern international non-governmental organisationSIPRIStockholm International Peace Research Institute

SNAP Syria Needs Analysis Project
 SomReP Somalia Resilience Program
 SRP Strategic response plan
 UAE United Arab Emirates

UN United Nations

UNCTAD United Nations Conference on Trade and Development

UNDG United Nations Development Group

UNDP United Nations Development Programme

UNFPA United Nations Population Fund

UNHCR Office of the United Nations High Commissioner for Refugees

UNICEF United Nations Children's Fund

UNISDR United Nations International Strategy for Disaster Reduction

UNRWA United Nations Relief and Works Agency for Palestine Refugees in the Near East

UK United KingdomUS United States

USAID US Agency for International Development

WEO World Economic Outlook (IMF)

WFP World Food Programme
WHO World Health Organization

Reference tables

TABLE 10.

UN-coordinated appeals, 2004–2013

ALL UN-COORDINATED APPEALS	2004	2005	2008	2007	2008	2009	2010	2011	2012	2013
Revised requirements (US\$ billions)	3.5	0.9	5.9	5.5	8.1	10.0	12.9	9.5	10.5	13.2
Funding (US\$ billions)	2.2	4.0	3.9	4.0	5.7	7.1	8.0	5.8	6.3	8.5
Unmet need (US\$ billions)	1.3	2.0	2.0	1.6	2.3	2.8	6.4	3.6	4.2	4.6
% needs met	94%	%29	%99	71%	71%	72%	62%	62%	%09	%59
Number of appeals in year	32	26	33	37	33	27	25	24	26	23
Average requirements per appeal (US\$ millions)	109	230	179	150	244	369	516	394	707	573
Average funding per appeal (US\$ millions)	69	155	118	107	174	265	321	243	240	371
CONSOLIDATED APPEALS	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Revised requirements (US\$ billions)	3.0	3.8	6.4	4.8	6.3	9.5	7.7	8.1	9.2	12.9
Funding (US\$ billions)	2.0	2.3	3.2	3.5	4.6	8.9	4.7	5.2	5.7	8.3
Unmet need (US\$ billions)	0.9	1.5	1.7	1.3	1.7	2.6	3.0	3.0	3.5	4.5
% needs met	%89	26%	%59	74%	73%	72%	61%	%89	62%	%59
Number of appeals in year	22	15	17	15	13	15	15	15	21	19
Average requirements per appeal (US\$ millions)	135	253	287	318	787	631	512	543	437	929
Average funding per appeal (US\$ millions)	92	150	187	234	351	456	312	344	273	439
FLASH APPEALS	2004	2002	2008	2007	2008	2009	2010	2011	2012	2013
Revised requirements (US\$ millions)	451	2,181	322	373	836	280	3,577	773	38	none
Funding (US\$ millions)	179	1,766	275	213	592	144	2,549	476	24	none
Unmet need (US\$ millions)	271	415	47	159	244	135	1,027	297	15	none
% needs met	%07	81%	85%	21%	71%	25%	71%	97%	62%	none
Number of appeals in year	6	10	7	15	10	8	7	9	1	none
Average requirements per appeal (US\$ millions)	20	218	97	25	84	32	894	129	38	none
Average funding per appeal (US\$ millions)	20	177	39	14	29	18	637	79	24	none
NON-CAP APPEALS	2004	2002	2008	2007	2008	2009	2010	2011	2012	2013
Revised requirements (US\$ millions)	73	14	707	391	929	214	1,645	542	1,274	338
Funding (US\$ millions)	27	7	433	234	575	159	791	190	501	202
Unmet need (US\$ millions)	97	10	274	157	354	22	854	351	773	133
% needs met	36%	28%	%19	%09	97%	74%	%87	35%	39%	61%
Number of appeals in year	_	_	6	7	10	7	9	3	7	4
Average requirements per appeal (US\$ millions)	73	14	79	29	93	54	274	181	318	84
Average funding per appeal (US\$ millions)	27	7	87	33	22	70	132	63	125	51
CHAIL CO MILE	-1-1- 0011									

TABLE 10.2

Top 20 government donors, plus EU institutions, international humanitarian assistance, 2004–2013

	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
—	US	US	US	US	US	US	US	US	US	US
	2,959	3,907	3,381	3,263	4,669	4,629	5,087	4,396	3,963	4,686
2	EU institutions	EU institutions	EU institutions	EU institutions	EU institutions	EU institutions	EU institutions	EU institutions	EU institutions	EU institutions
	1,422	1,631	1,772	1,582	1,869	1,538	1,650	1,744	1,751	1,881
က	Japan	Japan	UK	UK	UK	UK	UK	UK	UK	UK
	1.088	1.048	1.151	822	971	1.106	1.010	1.227	1.166	1.825
7	UK	UK	Germany	Germany	Germany	Sweden	Sweden	Japan	Turkey	Turkey
	851	921	775	610	684	688	770	972	1 046	1 638
2	Germany 547	Germany 736	Netherlands 628	Sweden 578	Sweden 647	Germany 677	Germany 740	Sweden 818	Germany 811	Japan 1 112
9	Netherlands 445	Norway 672	Sweden 606	Norway 552	Saudi Arabia	Spain 583	Japan 692	Germany 794	Sweden 777	Germany 949
7	Norway 433	Netherlands 620	Norway 536	Netherlands 525	Netherlands 591	Norway 494	Canada 601	Norway 559	Japan 698	Sweden 785
œ	Sweden	Sweden	France	Canada	Spain	Netherlands	Norway	Canada	Canada	Canada
	386	558	434	383	561	494	557	510	538	691
6	France	Australia	Canada	France	Norway	Australia	Spain	Australia	Norway	Norway
	356	370	365	362	508	474	488	499	527	613
10	Italy	France	Spain	Spain	Canada	Canada	Netherlands	Spain	Australia	France
	329	368	343	359	461	435	469	434	455	427
11	Canada	Italy	Italy	Italy	Australia	France	Australia	Netherlands	Netherlands	Netherlands
	257	345	335	352	420	370	462	415	417	410
12	Switzerland	Denmark	Australia	Saudi Arabia	France	UAE	France	France	France	Denmark
	254	314	328	268	401	370	432	411	391	409
13	Australia	Switzerland	Denmark	Denmark	Italy	Japan	Saudi Arabia	Italy	Switzerland	Switzerland
	223	305	306	266	383	337	305	340	345	399
14	Spain	Canada	Japan	Switzerland	Japan	Italy	Italy	Switzerland	Denmark	Australia
	204	301	297	263	346	335	283	326	308	357
15	Denmark	Spain	Switzerland	Australia	Denmark	Denmark	Denmark	Denmark	Italy	Kuwait
	174	277	282	241	283	238	268	290	283	327
16	Belgium	Turkey	Belgium	Ireland	Switzerland	Switzerland	Switzerland	Turkey	Spain	Italy
	130	232	174	211	230	221	241	264	232	276
17	UAE	Saudi Arabia	Saudi Arabia	Japan	Belgium	Belgium	Belgium	Belgium	Belgium	Spain
	123	161	172	171	209	199	234	259	186	253
18	Finland	Belgium	Turkey	Belgium	Ireland	Finland	Finland	UAE	Finland	Belgium
	89	148	149	161	208	152	176	189	157	250
19	Ireland	Finland	Finland	Finland	Finland	Ireland	Turkey	Finland	Ireland	Finland
	66	141	141	153	142	133	145	167	152	162
20	Turkey	China	Ireland	Austria	UAE	Saudi Arabia	Ireland	Ireland	Qatar	Ireland
	65	120	123	54	111	120	129	126	105	147

Source: Development Initiatives based on OECD DAC, UN OCHA FTS and CERF data.

members and Turkey. All figures include contributions through the UN's Central Emergency Response Fund (CERF). All funding is in constant 2012 prices. Data for 2013 is partial and preliminary for OECD DAC data. EU institutions Notes: Data for members for the OECD DAC 2000-2012, includes their core ODA to UNHCR, UNRWA, as well as calculated proportions of WFP and UNICEF ODA contributions. EU contributions are also imputed for OECD DAC EU are highlighted with white as part of this funding has already been imputed into EU members' total figures. The EU is in this table to show where it ranks alongside government donors.

TABLE 10.3

Top 20 recipients of international humanitarian response from government donors and private contributions, 2003–2012

RANK	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012
1	Iraq	Iraq	Sudan	Sudan	Sudan	Sudan	Sudan	Haiti	Pakistan	Syria
	1,424	1,166	1,464	1,474	1,428	1,530	1,501	3,201	1,420	1,536
2	Ethiopia	Sudan	Indonesia	West Bank & Gaza	West Bank &	Ethiopia	West Bank &	Pakistan	Somalia	South Sudan
	840	1,039	1,002	Strip, 615	Gaza Strip, 623	937	Gaza Strip 1,178	2,242	1,088	865
က	Afghanistan	West Bank &	Pakistan	Indonesia	DRC	Afghanistan	Ethiopia	Sudan	West Bank &	West Bank &
	530	Gaza Strip, 702	951	586	443	930	738	958	Gaza Strip, 838	Gaza Strip, 654
7	West Bank &	Ethiopia	Iraq	Lebanon	Iraq	West Bank &	Afghanistan	Ethiopia	Afghanistan	Somalia
	Gaza Strip, 488	474	757	581	412	Gaza Strip, 661	693	674	768	627
2	Sudan	Afghanistan	Ethiopia	Pakistan	Afghanistan	Somalia	DRC	West Bank &	Ethiopia	Pakistan
	384	463	698	553	351	634	614	Gaza Strip, 644	685	529
9	Angola	DRC	Sri Lanka	DRC	Bangladesh	DRC	Pakistan	Afghanistan	Japan	Afghanistan
	340	301	626	464	340	562	611	642	566	492
7	DRC	Angola	West Bank &	Iraq	Lebanon	Myanmar	Somalia	DRC	Sudan	Ethiopia
	273	234	Gaza Strip 383	435	339	522	600	491	547	484
∞	Eritrea	Liberia	Afghanistan	Afghanistan	Ethiopia	Iraq	Iraq	Kenya	Kenya	DRC
	189	186	340	381	328	410	525	304	533	464
6	Burundi	Uganda	DRC	Ethiopia	Somalia	Zimbabwe	Kenya	Chad	Haiti	Sudan
	157	180	323	378	291	354	422	292	527	441
10	Uganda	Burundi	Zimbabwe	Somalia	Pakistan	China	Zimbabwe	Somalia	Libya	Lebanon
	154	172	230	341	265	336	420	252	518	404
11	Somalia	Somalia	Somalia	Kenya	Indonesia	Kenya	Chad	Niger	South Sudan	Kenya
	154	171	207	269	246	323	336	246	488	404
12	DPRK	Iran	Eritrea	Uganda	Uganda	Chad	Indonesia	Sri Lanka	DRC	Yemen
	153	167	199	243	242	259	281	213	444	305
13	Jordan	DPRK	Uganda	Sri Lanka	Sri Lanka	Sri Lanka	Sri Lanka	Iraq	Iraq	Jordan
	141	145	192	185	221	256	266	209	302	303
14	Serbia	Serbia	Burundi	Burundi	Kenya	Uganda	Syria	Zimbabwe	Chad	Chad
	137	137	177	161	205	252	185	209	259	298
15	Sierra Leone	Eritrea	India	Liberia	Chad	Pakistan	Myanmar	Jordan	Yemen	Niger
	136	131	156	157	197	222	167	177	221	291
16	Tanzania	Jordan	Liberia	Zimbabwe	Zimbabwe	Haiti	Uganda	Indonesia	Niger	Mali
	130	115	152	124	170	219	164	143	182	258
17	Liberia	Chad	Chad	Chad	Colombia	Lebanon	Haiti	Lebanon	Indonesia	Haiti
	114	113	136	116	114	194	148	127	169	237
18	Zimbabwe	Bangladesh	Angola	Jordan	Burundi	Yemen	Georgia	Syria	Zimbabwe	Zimbabwe
	98	109	127	111	114	152	144	125	162	152
19	Lebanon	Kenya	Niger	Colombia	Jordan	Indonesia	Jordan	Yemen	Jordan	Philippines
	86	99	127	106	114	147	141	118	151	139
20	Kenya	Lebanon	Jordan	India	Liberia	Jordan	Lebanon	Myanmar	Cote d'Ivoire	Myanmar
	82	95	114	87	113	147	141	112	137	130

Source: Development Initiatives based on OECD DAC data for DAC governments, Turkey and EU institutions. All other data from UN OCHA FTS and UN CERF

TABLE 10.4

Top 20 government donors of official development assistance (ODA), 2004–2013

RANK	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
_	US 23.0	US 27.2	US 74.7	3.4	US 27.7	US 30.1	US 31.5	US 30 4	US 30.6	US 30.9
2	Japan 10.6	Japan 10.9	EU institutions	EU institutions	EU institutions	EU institutions	UK 13.8	EU institutions	EU institutions	UK 17.7
က	EU institutions	EU institutions	Japan 10.8	Germany 9.4	Germany 10.7	UK 12.2	Germany 12.8	UK 13.7	UK 13.8	EU institutions 15.2
7	France 8.0	France 7.7	UK 8.9	UK 8.8	UK 10.5	Japan 11.5	EU institutions	Germany 13.0	Germany 12.4	Germany 13.2
വ	Germany 7.9	UK 7.5	Germany 8.6	France 8.4	Japan 9.7	Germany 11.5	Japan 11.6	France 11.0	Japan 10.6	Japan 11.8
9	UK 7.4	Germany 7.4	France 8.0	Japan 8.4	France	France 10.7	France	Japan 10.6	France 10.6	France 10.2
7	Netherlands 4.6	Netherlands 5.4	Netherlands 5.7	Netherlands 5.8	Netherlands 6.3	Netherlands 6.1	Netherlands 5.8	Netherlands 5.8	Canada 5.5	Sweden 5.6
ω	Canada 3.9	Canada 4.7	Sweden 4.5	Canada 4.8	Spain 5.8	Spain 6.0	Canada 5.6		Netherlands 5.4	Norway 5.5
6	Norway 3.8	Norway 4.2	Canada 4.4	Spain 4.7	Canada 5.3	Sweden 5.3	Spain 5.4		Australia 5.4	Australia 5.2
10	Sweden 3.4	Sweden 4.2	Norway 4.1	Sweden 4.6	Saudi Arabia 5.1	Norway 5.1	Norway 5.0	Saudi Arabia 5.0	Sweden 5.2	Netherlands 5.1
11	Italy 2.8	Italy 4.0	Spain 3.6	Norway 4.5	Sweden 4.9	Canada 4.9	Sweden 4.9	Australia 5.0	Norway 4.7	UAE 5.1
12	Australia 2.7	Australia 2.9	Australia 3.1	Italy 3.5	Norway 4.3	Australia 4.0	Australia 4.5	Norway 4.7	Switzerland 3.0	Canada 5.0
13	Spain 2.6	Spain 2.7	Denmark 2.5	Australia 3.4	Italy 3.7	Saudi Arabia 3.3	Saudi Arabia 3.6	Spain 3.8	Italy 2.7	Turkey 3.3
14	Denmark 2.5	Denmark 2.5	Saudi Arabia 2.3	UAE 2.6	Australia 3.7	Italy 3.0	Denmark 2.8	Italy 3.5	Denmark 2.7	Switzerland 3.2
15	Switzerland 2.2	Switzerland2	Italy 2.3	Denmark 2.6	Denmark 2.6	Denmark 2.8	Italy 2.8	Switzerland 2.8	Turkey 2.5	Italy 3.1
16	Saudi Arabia 2.1	Belgium 1.8	Switzerland 2.2	Switzerland 2.1	Switzerland 2.3	Switzerland 2.5	Switzerland 2.5	Denmark 2.8	Belgium 2.0	Denmark 2.8
17	Belgium 1.5	Saudi Arabia 1.2	Belgium 1.8	Belgium 1.8	Belgium 2.2	Belgium 2.5	Belgium 2.5	Belgium 2.5	Spain 2.0	Belgium 2.2
18	Finland 0.8	Finland 0.9	Ireland 1.0	Saudi Arabia 1.7	UAE 1.3	Finland 1.3	Finland 1.4	Finland 1.3	Korea 1.6	Spain 1.9
19	Austria 0.7	Korea 0.8	Finland 1.0	Ireland 1.0	Ireland 1.1	Austria 1.1	Korea 1.2	Korea 1.3	Finland 1.3	Korea 1.7
20	Ireland 0.6	Austria 0.8	UAE 0.9	Finland 1.0	Finland 1.1	Korea 1.0	Austria 1.1	Turkey 1.3	Saudi Arabia 1.3	Finland 1.4
Source.	Development Initiatives based on OECD		000000							

Source: Development Initiatives based on OECD DAC data Notes: Data for 2013 is preliminary. ODA excludes debt relief.

TABLE 10.5

Top 20 recipients of official development assistance (ODA), 2003–2012

\$ billion

RANK	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012
—	Iraq 2.9	Iraq 5.4	Iraq 9.3	Iraq 6.0	Iraq 4.6	Afghanistan 4.9	Afghanistan 6.4	Afghanistan 6.5	Afghanistan 6.6	Afghanistan 6.5
2	Vietnam 2.4	Afghanistan 2.8	Afghanistan 3.3	Afghanistan 3.3	anistan		Vietnam 4.0	Ethiopia 3.6	Vietnam 3.5	Vietnam 4.1
က	Tanzania 2.2	Vietnam 2.3			Vietnam 2.9	opia			Ethiopia 3.5	Ethiopia 3.2
4	Afghanistan 2.1	China 2.1	Vietnam 2.3						Pakistan 3.2	Turkey 3.0
വ	Ethiopia 2.1	Ethiopia 2.0	Ethiopia 2.2							Tanzania 2.8
9	China 1.8	Tanzania 2.0	China 2.2	F		i.	Pakistan 2.7		Turkey 3.0	Kenya 2.6
7	Bangladesh 1.7	Bangladesh 1.7	India 2.2			k rrip, 2.3		tan		DRC 2.3
∞	Indonesia 1.6	Pakistan 1.7	Sudan 2.1	Mozambique 1.8	Mozambique 1.8			k :rip, 2.5	. <u>e</u>	Bangladesh 2.2
6	Jordan 1.5	Egypt 1.5	Pakistan 1.8			ladesh	DRC 2.4		DRC 2.3	Mozambique 2.1
10	Serbia 1.5	Mozambique 1.5	Tanzania 1.7		nk Strip, 1.7		Sudan 2.4	DRC 2.2	West Bank & Gaza Strip, 2.3	Nigeria 1.9
11	Mozambique 1.4	Uganda 1.5	DRC 1.6	DRC 1.5	China 1.7	DRC 1.8	Mozambique 2.1	Nigeria 2.1	Mozambique 2.0	Pakistan 1.9
12	Uganda 1.3	DRC 1.4	Mozambique 1.5	œ.	Bangladesh 1.6	da				West Bank & Gaza Strip, 1.8
13	West Bank & Gaza Strip, 1.3	Serbia 1.4	Bangladesh 1.5	West Bank & Gaza Strip, 1.4	India 1.4	Pakistan 1.5		Mozambique 2.0	р	Ghana 1.8
14	Ghana 1.2	West Bank & Gaza Strip. 1.3	Sri Lanka 1.4		Kenya 1.4	China 1.5	_	Uganda 1.8	Nigeria 1.7	India 1.7
15	Bolivia 1.2	Ghana 1.3	Uganda 1.4		DRC 1.3	Kenya 1.4	Ghana 1.6	Ghana 1.7	Haiti 1.7	Uganda 1.7
16	Colombia 1.0	Sudan 1.2	Ghana 1.3	Bangladesh 1.4	Nigeria 1.2	Ghana 1.3	Turkey 1.3	Kenya 1.7	da	South Sudan 1.6
17	Philippines 1.0	Bolivia 0.9	West Bank & Gaza Strip, 1.2	Colombia 1.1	Ghana 1.2	Indonesia 1.3	Zambia 1.3	Indonesia 1.5	Bangladesh 1.5	Morocco 1.5
18	Sri Lanka 0.9	Zambia 0.9	Serbia 1.0	Morocco 1.1	Zambia 1.0	Nigeria 1.2	Bangladesh 1.3	yladesh	South Africa 1.4	Brazil 1.3
19	Egypt 0.9	India 0.9		-aso	Morocco 1.0	Zambia 1.1	Indonesia 1.2		Morocco 1.4	Iraq 1.3
20	South Africa 0.9	Madagascar 0.9	Nigeria 0.9	Serbia 1.0	Burkina Faso 1.0	Turkey 1.1	Haiti 1.2	Burkina Faso 1.1	Cote d'Ivoire 1.3	Haiti 1.3
0.00000	100000000000000000000000000000000000000		(+							

Source: Development Initiatives based on OECD DAC data Notes: 0DA from OECD DAC donors and multilateral institutions. 0DA excludes debt relief.

TABLE 10.6

20 largest government contributors of international humanitarian assistance, 2013

BIGGEST DONORS 2013 (US\$ MILLIONS)		MOST GENEROUS COUNTRIES IN 2013 (% GNI)		MOST GENEROUS COUNTRIES IN 2013 (PER CITIZEN)		MOST PRIORITY TO HUMANITARIAN ASSISTANCE WITHIN OVERALL AID PROGRAMMES IN 2013 (% ODA)	
' SN	7,686	Turkey	0.21%	Norway 12	120	Turkey	20%
UK	1,825	Kuwait	0.20%	Luxembourg 11	110	Ireland	18%
Turkey	1,638	Luxembourg	0.15%	Kuwait 9	95	Russian Federation	18%
Japan	1,112	Sweden	0.14%	Sweden 8	81	Estonia	17%
Germany	676	Denmark	0.12%	Denmark 7	73	US	15%
Sweden	785	Norway	0.12%	Switzerland 4	67	Luxembourg	15%
Canada	691	Ireland	0.08%	Ireland	32	Denmark	15%
Norway	613	UK	0.07%	Finland	30	Sweden	14%
France	427	Finland	0.07%	Qatar 2	29	Canada	14%
Netherlands	410	Switzerland	%90.0	UK 2	29	Spain	13%
Denmark	404	Netherlands	0.05%	Netherlands 2	24	Switzerland	13%
Switzerland	399	Belgium	0.05%	Belgium 2	22	Latvia	13%
Australia	357	Canada	0.04%	Turkey 2	22	Belgium	12%
Kuwait	327	Qatar	0.03%	Canada 2	20	Norway	11%
Italy	276	NS	0.03%	Australia 1	15	Poland	11%
Spain	253	Bahrain	0.03%	US 1	15	Slovak Republic	11%
Belgium	250	Germany	0.03%	Liechtenstein 1	13	Czech Republic	10%
Finland	162	UAE	0.02%	Monaco 1	13	UK	10%
Ireland	147	Australia	0.02%	Germany	12	Greece	10%
Saudi Arabia	109	New Zealand	0.02%	UAE	6	Japan	%6

Source: Development Initiatives based on OECD DAC, UN OCHA FTS and World Bank data
Notes: ODA for all donors is based on OECD DAC data inclusive of debt relief, except for Brazil, Russia, India, China and South Africa (BRICS) which is ODA-like concessional flows collected by the OECD DAC.

TABLE 10.7

International humanitarian assistance to top 20 recipients, in the context of other official, domestic and private flows, 2012

	DOMESTIC FLOWS	OTHER INTE	ERNATIONAL FLOWS	ŀ		PRIVATE FLOWS	OTHER FLOWS
	GOVERNMENT EXPENDITURE	OTHER OFFICIAL FLOWS (GROSS)	DEVELOPMENT ASSISTANCE	INTERNATIONAL HUMANITARIAN ASSISTANCE	REMITTANCES	FOREIGN DIRECT INVESTMENT	PEACEKEEPING
Afghanistan	5,079	54	6,253	492	7460	76	924
Chad	2,963	36	239	298	•	323	1
DRC	5,376	77	2,417	797		3,312	1,479
Ethiopia	7,181	26	2,863	484	524	970	1
Haiti	2,224	10	1,058	237	1,612	179	721
Indonesia	173,120	4,121	2,272	51	7,212	19,853	1
Iraq	93,517	•	1,220	86	271	2,549	202
Jordan	8/9'6	618	1,441	303	3,574	1,403	1
Kenya	12,183	264	2,789	404	1,227	259	1
Lebanon	13,306	116	489	404	7,322	3,787	535
Myanmar	15,140	-	403	130	266	2,243	1
Pakistan	48,572	916	2,383	529	14,007	847	1
Somalia	1		491	627			219
South Sudan	3,562	•	725	865		1	789
Sri Lanka	11,697	334	931	83	6,001	776	1
Sudan	8,544	63	940	441	401	2,466	1,786
Syria	1	16	1,153	1,536			99
Uganda	4,058	146	1,628	80	733	1,721	5
West Bank & Gaza Strip	1	31	1,375	654			13
Zimbabwe	3,569	22	873	152		400	ı

Source: Development Initiatives based on IMF World Economic Outlook, OECD DAC, UN OCHA FTS, World Bank, UNCTAD and SIPRI data Note: Top 20 recipients reflect 2003–2012 period. Funding flows based on 2012 data.

TABLE 10.8

Most frequently appearing countries on ECHO's forgotten crisis assessment (FCA) index, 2004–2014

SANK	CRISIS	INTERNATIONAL HUMANITARIAN ASSISTANCE, 2012	VOLUME CHANGE 2011–2012 (US\$m)	% CHANGE 2011–2012 [%]	FCA APPEARANCES (2004–2014)	% UN- COORDINATED APPEAL FUNDED 2013	% UN- COORDINATED APPEAL UNDERFUNDED 2013
	Myanmar (conflict in Rakhine and Kachin States, and Myanmar refugees in Thailand)	130	38	41%		72%	78%
	Algeria (Sahrawi crisis)	31	-	3%	10	No appeal	n/a
	India (Naxalite-affected regions, Jamma and Kashmir, North East India conflicts)	33	0.4	1%	10	No appeal	n/a
	Nepal (Bhutanese Refugees)	45	-7	-14%	6	No appeal	n/a
	Colombia (Internal armed conflict)	75	-14	-15%	œ	No appeal	n/a
	Thailand (Myanmar border)	85	12	17%	7	No appeal	n/a
	Bangladesh (Chittagong Hill Tracts, Rohingyas)	87	ಬ	%9	7	No appeal	n/a
	CAR (internal armed conflict and the humanitarian crisis caused by the LRA)	69	-1	-1%	9	23%	7%
	Yemen (conflict in the north and refugees from the Horn of Africa)	305	84	38%	9	25%	45%

2 8 4 2 9 6

Source: Development Initiatives based on ECHO FCA index, OECD DAC, UN OCHA FTS and UN appeals data