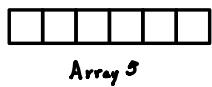


Introduction to Trees

Chapter 8

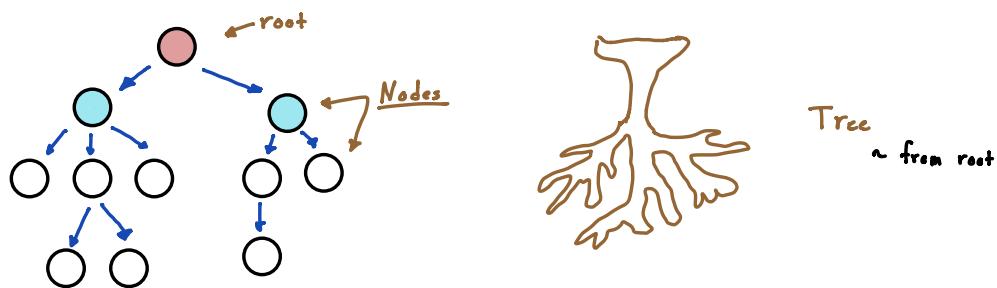
Linear data structures: Array, Stack, Linked List, Queue



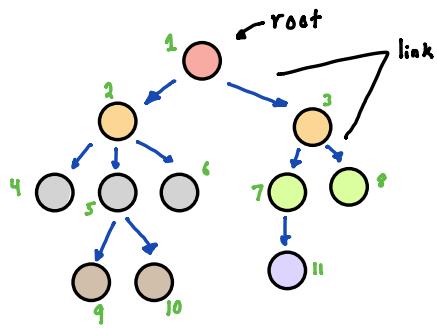
How should I decide which data structure to use?

- What needs to be stored?
- Cost of operation
- Memory usage
- Ease of implementation

Tree used for Hierarchical data representation ~ Some objects "above" and "below"



- Non-linear
- Node contains data and possibly reference to another node
- Natural Organization for data



Code in Python:

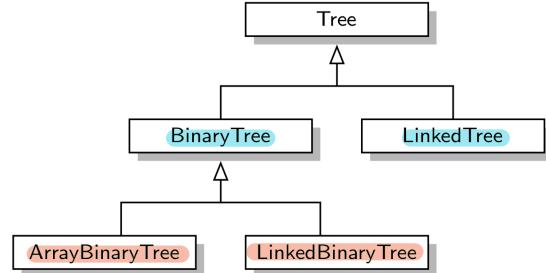
~ for Creating a Node Class

```
Class Node:
    def __init__(self, data):
        self.left = None
        self.right = None
        self.data = data
```

Common Terminology

Note: it's don't represent anything in our example

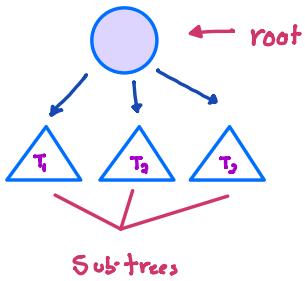
- 2 and 3 linked to Node 1 and our "children" of node 1
- Node 1 is called "Parent" of Nodes 2 and 3
- Children of the same parent are called siblings
- Any node in the tree w/o a child is called a leaf Node
- All nodes with 1 child are called internal nodes
- parent of a parent is called Grandparent
- When walking in the tree we can only go in one direction
- Ancestor and descendant
- Cousins, uncles



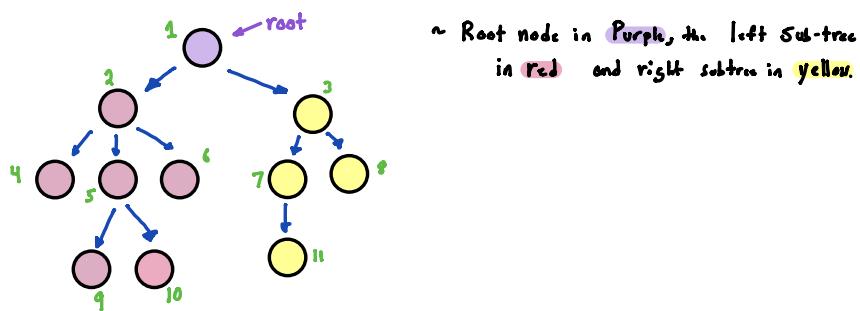
Properties of Trees

- Recursive data structure

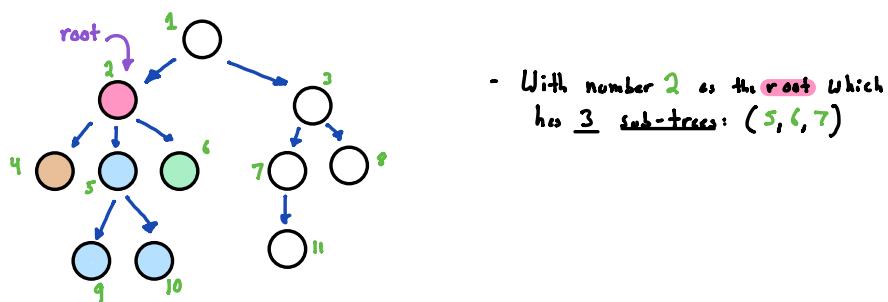
- We can define a tree recursively as a structure that consists of a distinguished node called a root and some sub-trees. And the arrangement is such that root of the tree contains link to roots of all the sub-trees (T_1, T_2, T_3) in our diagram.



Example from our diagram above:



We can also look further at the sub-tree.

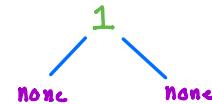


Basic Tree Python Implementation

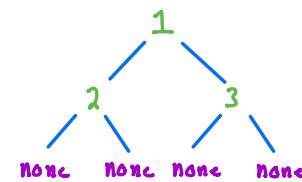
Creating a Node Class:

```
class Node:  
    def __init__(self, key):  
        self.left = None  
        self.right = None  
        self.val = key  
  
root = Node(1)  
root.left = Node(2)  
root.right = Node(3)  
root.left.left = Node(4)
```

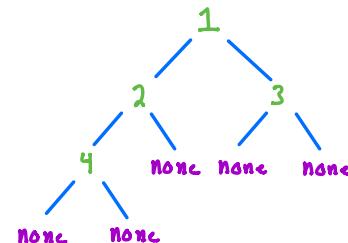
```
root = Node(1)
```



```
root.left = Node(2)  
root.right = Node(3)
```

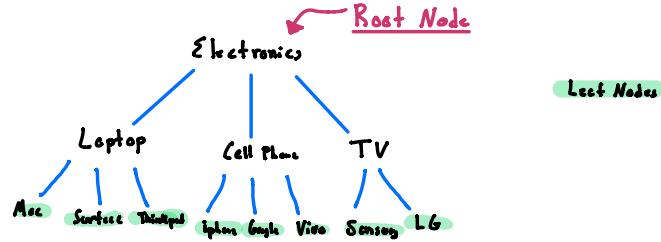


```
root.left.left = Node(4)
```



How it Visually looks

More Basic Tree Python Example



```

class TreeNode:
    def __init__(self, data):
        self.data = data
        self.children = []
        self.parent = None

    def get_level(self):
        level = 0
        p = self.parent
        while p:
            level += 1
            p = p.parent

        return level

    def print_tree(self):
        spaces = ' ' * self.get_level() * 3
        prefix = spaces + "|__" if self.parent else ""
        print(prefix + self.data)
        if self.children:
            for child in self.children:
                child.print_tree()

    def add_child(self, child):
        child.parent = self
        self.children.append(child)

def build_product_tree():
    root = TreeNode("Electronics")

    laptop = TreeNode("Laptop")
    laptop.add_child(TreeNode("Mac"))
    laptop.add_child(TreeNode("Surface"))
    laptop.add_child(TreeNode("Thinkpad"))

    cellphone = TreeNode("Cell Phone")
    cellphone.add_child(TreeNode("iPhone"))
    cellphone.add_child(TreeNode("Google Pixel"))
    cellphone.add_child(TreeNode("Vivo"))

    tv = TreeNode("TV")
    tv.add_child(TreeNode("Samsung"))
    tv.add_child(TreeNode("LG"))

    root.add_child(laptop)
    root.add_child(cellphone)
    root.add_child(tv)

    root.print_tree()

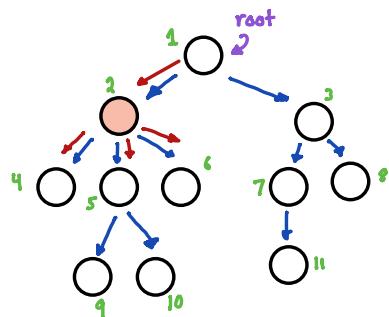
if __name__ == '__main__':
    build_product_tree()
  
```

```

Electronics
|__Laptop
    |__Mac
    |__Surface
    |__Thinkpad
|__Cell Phone
    |__iPhone
    |__Google Pixel
    |__Vivo
|__TV
    |__Samsung
    |__LG
  
```

Properties of Trees (Continued)

- In a tree with n nodes, there will be exactly $n-1$ links or edges
- All nodes except the root node will have exactly one edge



At node 2, we have one incoming link and 3 outgoing links

Depth and Height Properties

Depth of x : length of path from root to x

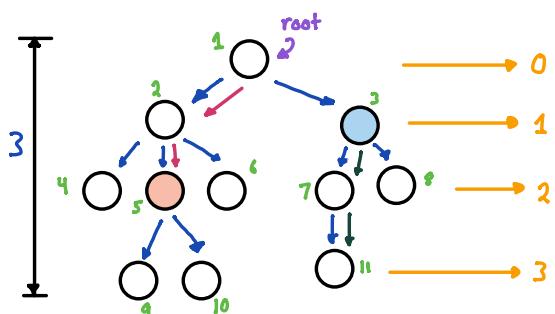
or

Number of edges in path from root to x

Height of x : Number of edges in longest path from x to a leaf.

Height of tree: Height of root node

Example of Depth and Height:



Depths of nodes in Orange

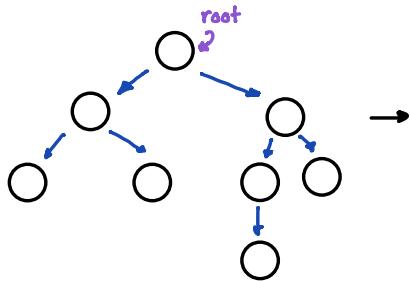
• In node 5 we have 2 edges in the path from root. So the depth is 2

• In node 3, the longest path from this node to any leaf is 2.

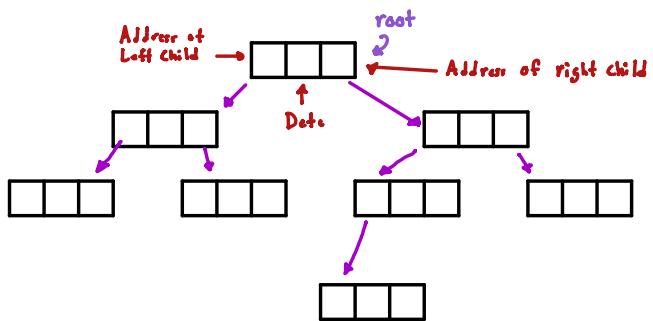
Different types of Trees

Binary Tree:

- a tree in which each node can have at most 2 children
- Each child is labeled as either being Left or Right
- most famous



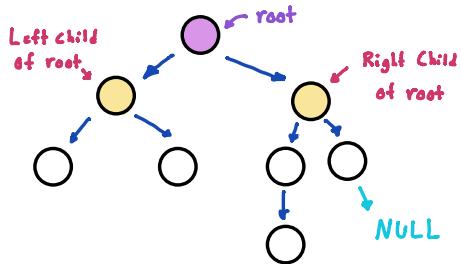
How a tree would look:



Application of trees

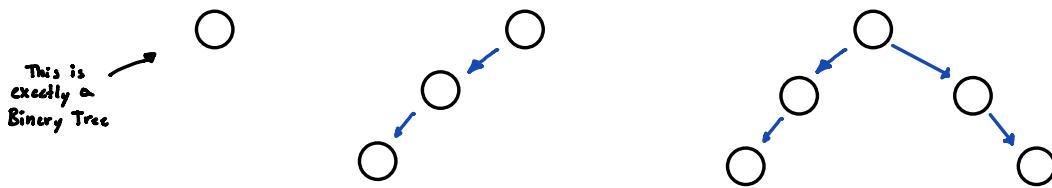
- 1) Storing naturally hierarchical data
 - e.g. file system
- 2) Organizing data for quick search, insertion, deletion
 - e.g. Binary Search trees
- 3) Trie
 - Dictionary
- 4) Network Rooting Algorithm

Binary Tree Properties:



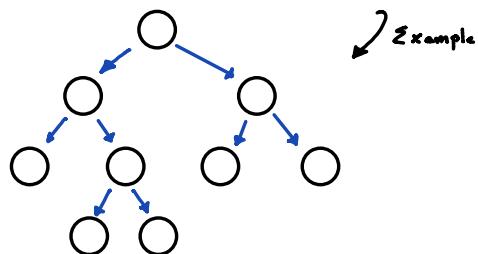
- A node may have both right and left child or a node can have either a right or Left child
- In a program we'll set reference of empty child to NULL
- For Leaf, we can set both Left and right to NULL

Different types of Binary trees



Strict/Proper Binary Tree

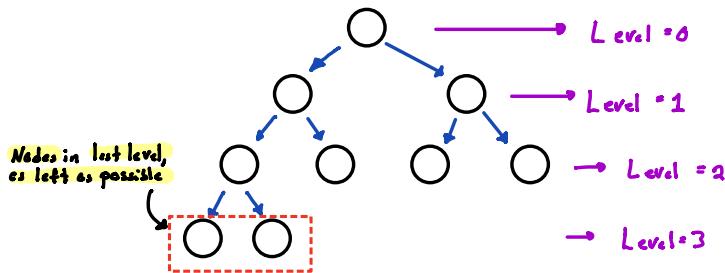
↳ Each node can have either 2 or 0 children.



Complete Binary Tree

all levels except possibly the last are completely filled
and all nodes are as left as possible

- max number of nodes at level $i = 2^i$



Perfect Binary Tree ~ Full Tree

Maximum number of nodes
in a binary tree with height h

$$\begin{aligned} &= 2^0 + 2^1 + \dots + 2^h \\ &= 2^{h+1} - 1 \\ &= 2^{(\text{# of levels})} - 1 \\ n &= 2^{h+1} - 1 \quad n = \text{# of nodes} \\ \rightarrow 2^{h+1} &= (n+1) \end{aligned}$$

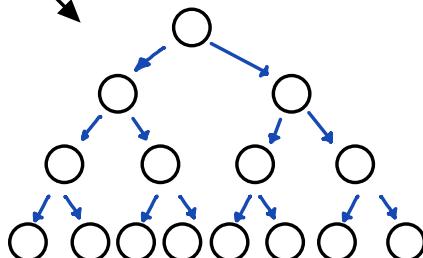
$$h = \log_2(n+1) - 1$$

$$h = \log_2 16 - 1$$

$$h = 4 - 1$$

$$h = 3 \quad 2^x = 16$$

- Solve for x

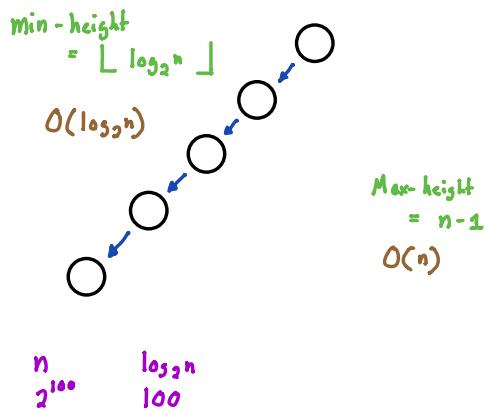


Height of Complete Binary tree

$$= \lfloor \log_2 n \rfloor$$

~ floor function
(discrete Math?)

Time Complexity: $O(h) \rightarrow h = \text{height of tree}$



Balanced Binary Tree

- difference b/w height of Left and right subtree for every node is not more than K (mostly 1)

Height \rightarrow number of edges in longest path from root to a leaf

Height of an Empty tree = -1

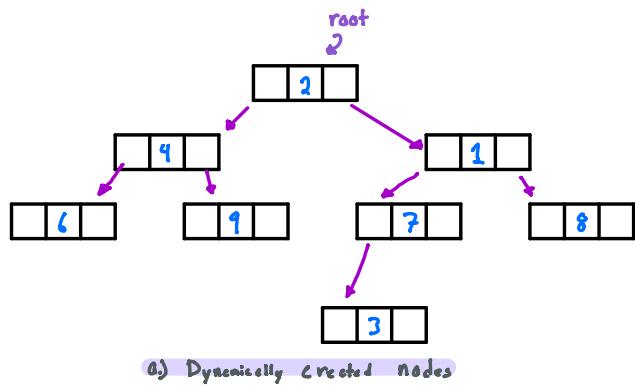
Height of tree with 1 node = 0

$$\text{diff} = |h_{\text{left}} - h_{\text{right}}|$$

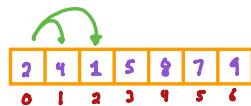
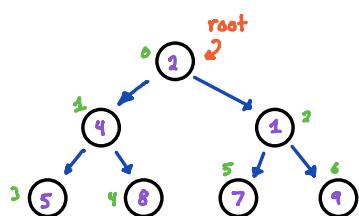
We can implement Binary tree using:

a) Dynamically created nodes

b) arrays
↓
Used for heaps



a) Dynamically Created nodes



for node at index i,
left-child-index = $2i + 1$
right-child-index = $2i + 2$] → in a Complete Binary tree

Running Time of different Data Structures

★ Storing integers (for simplicity) ★

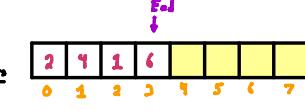
Array (Unsorted)

Time Complexity

Search (n)

$O(n)$

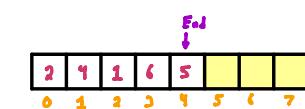
n being the # of elements in the array



Insert (n)

$O(1)$

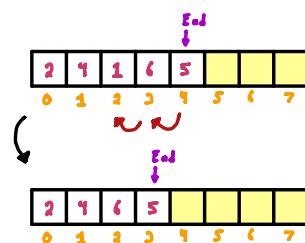
Constant time



Removal (n)

$O(n)$

Remove (n)



We have to shift all records to the right by one position.

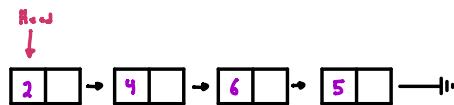
Linked List

Time Complexity

Search (n)

$O(n)$

n being the # of nodes in the linked list



Insert (n)

$O(1)$

At head

Removal (n)

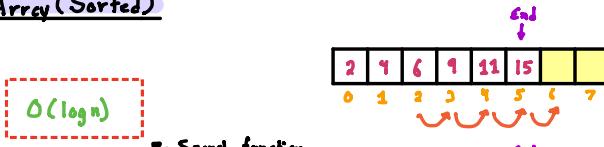
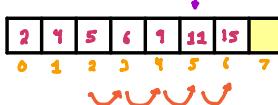
$O(n)$

For Search, n Comparisons in Worst Case

Let's say cost of 1 comparison = 10^{-6} sec $n = 10^6$, $T = 100$ sec

We can perform a Binary Search of an array if it's sorted

- Can perform in $O(\log n)$

<u>Time Complexity</u>		<u>Array (Sorted)</u>
Search (x)	$O(\log n)$	
Insert (x)	$O(n)$	<p>~ Search function is improved!</p> 
Removal (x)	$O(n)$	

- Insertion and Removal of an element still takes $O(n)$ operations in an Sorted Array.

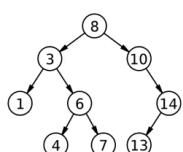
for n records, $\log_2 n$ Comparisons
if 1 comparison = 10^{-6} sec

$$n = 2^{31} \rightarrow 31 \times 10^{-6} \text{ sec}$$

Binary Search Tree (BST)

Time Complexity

Search (x)	$O(\log n)$	~ making sure the tree is <u>balanced</u>
Insert (x)	$O(\log n)$	
Removal (x)	$O(\log n)$	



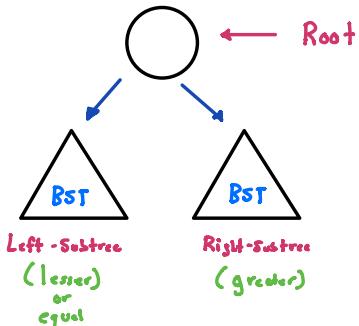
Binary Search Tree		
	Average	Worst Case
Space	$O(n)$	$O(n)$
Access	$O(\log n)$	$O(n)$
Search	$O(\log n)$	$O(n)$
Insertion	$O(\log n)$	$O(n)$
Deletion	$O(\log n)$	$O(n)$

Allows for faster operations

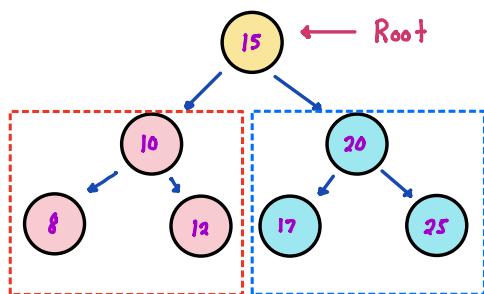
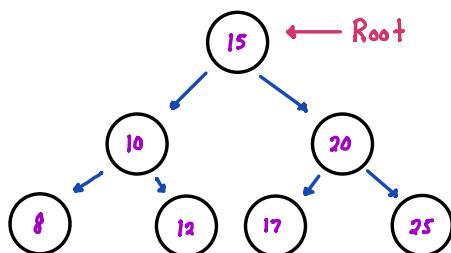
Array		
	Average	Worst Case
Space	$O(n)$	$O(n)$
Access	$O(1)$	$O(1)$
Search	$O(n)$	$O(n)$
Insertion	$O(n)$	$O(n)$
Deletion	$O(n)$	$O(n)$

Binary Search Tree (BST)

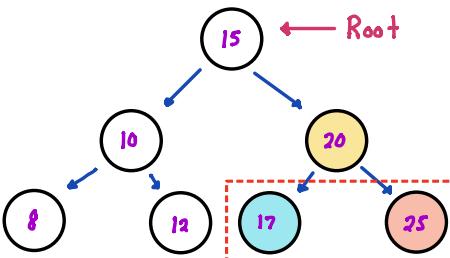
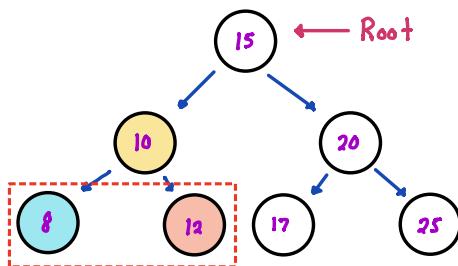
- A binary Tree, in which for each node, Value of all the nodes in left subtree is lesser or equal and value of all nodes in right subtree is greater.



Example: Is this a BST?

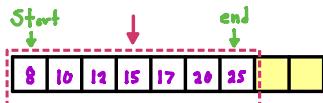


- All values in the left are less than our root
- In our right subtree the values are greater than our root

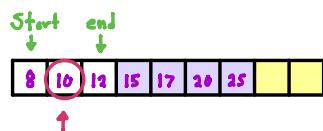
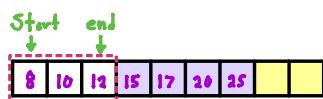


• Both are good ✓ • Binary Search Tree

What do we do in Binary Search?



Search(10)



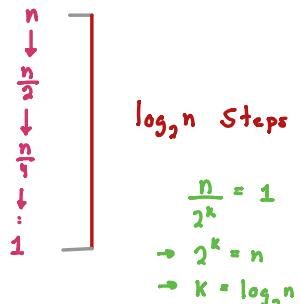
- Search Space: we start in the middle and determine if our Search Value is lesser or greater. Then we will adjust our search area.

- Our Search Space is reduced now

- Then we will compare to the middle again, and then Break we have a match.

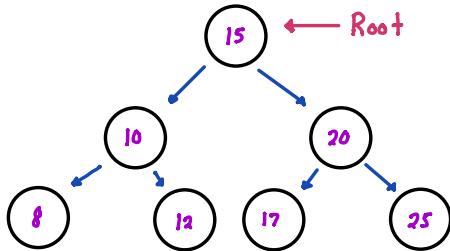
In Binary Search, we Start:

~ Keep reducing the Search space by half until we find our value or get to one value and return.

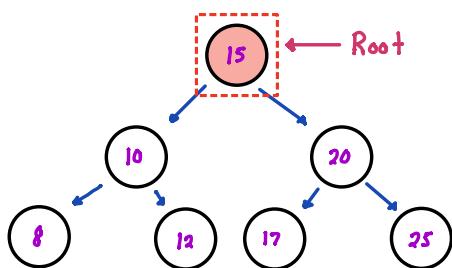


$\log_2 n$ Steps

Implementing Binary Search in a Tree:



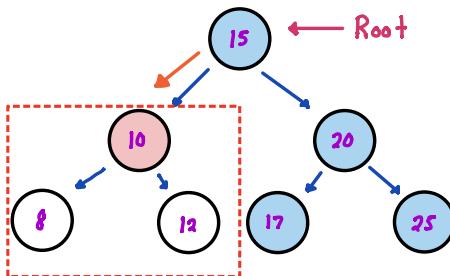
Search(12)



~ For searching we will start at the root

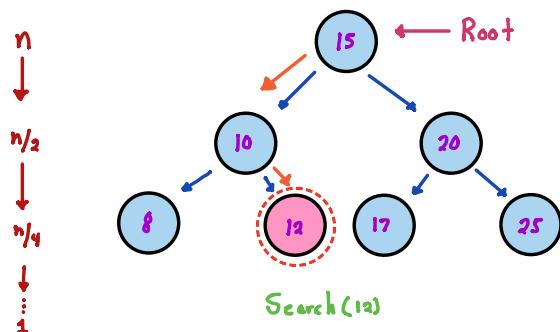
~ Compare it with root, if its less than our root we will go Left and if its greater than our root we will proceed to the right-Subtree

Search(12)



- We know that number 12 can only exist in this Subtree only and anything apart from this subtree can be discarded.

Search(12)



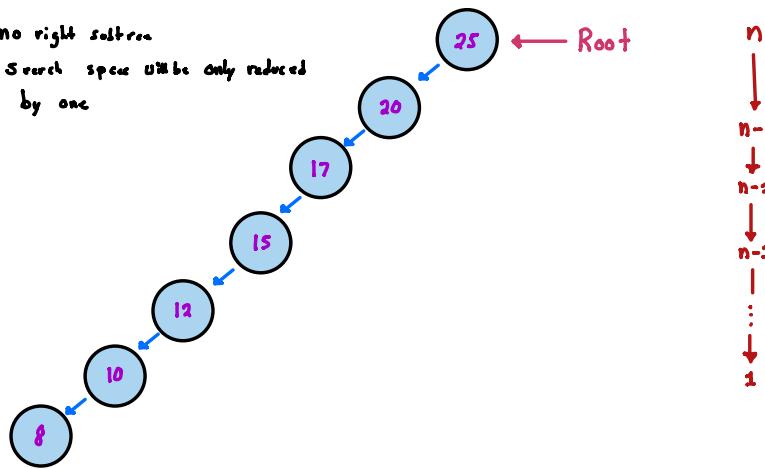
- Then we compare our node of 10 to our search value of 12, since 12 is greater we go to the right and discard everything else.

- We compare the Value at the node and we have a match.

Searching a tree you either go Left or Right

Unbalanced Binary Search Tree:

- no right subtree
- Search space will be only reduced by one



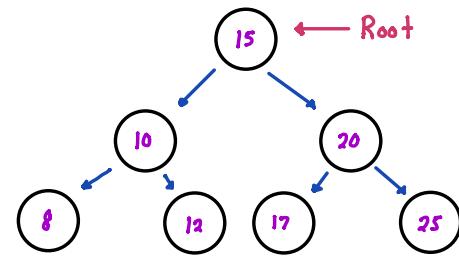
n
↓
 $n-1$
↓
 $n-2$
↓
 $n-3$
⋮
↓
1

In Binary Search tree in average case cost of Search, insertion or deletion is $O(\log n)$

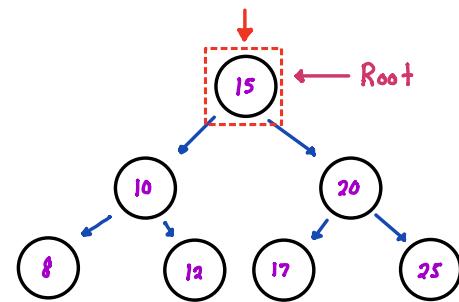
Worst Case is $O(n)$

Binary Tree Insertion

To insert some records in Binary Search Tree, we will first have to find the position in $O(\log n)$

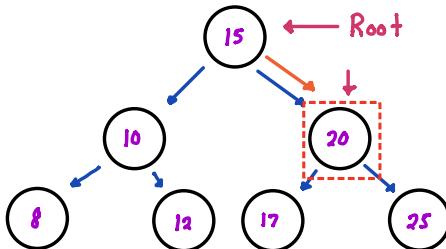


Insert(19)

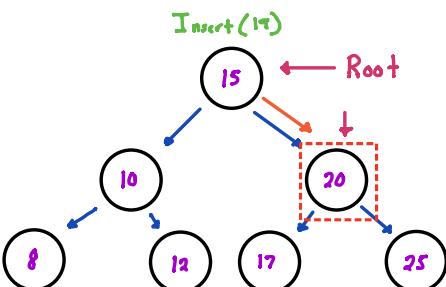


- If the Value to be inserted is lesser or equal, if there is no child, insert as left child or go left

Insert(19)

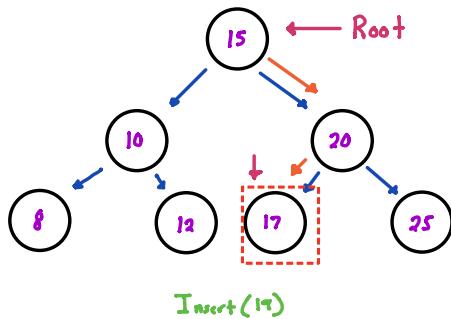


- In our example 19 is greater than our node of 15, So go right

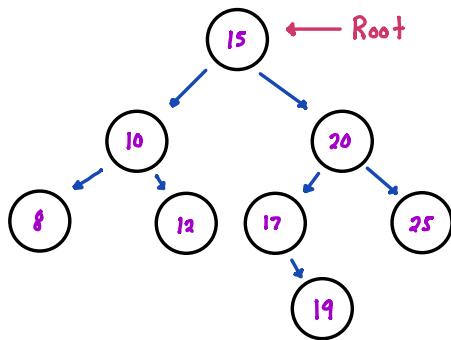


- At node of 20, 19 is lesser so we go left

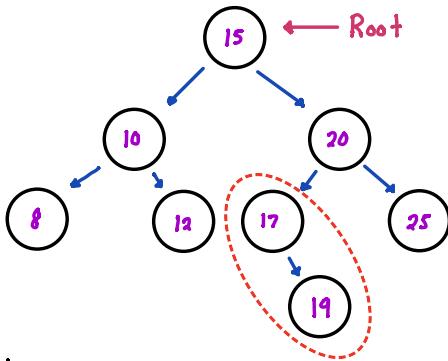
Insert(19)



- Our Value 19 is greater than 17
So it should go in right of 17.



- There is no right child of 17. So,
We will Create a node with Value
19 and link this node with Value
17 as right child (Using reference, like linked lists).



- no shifting is needed like an array
- Creating a link will take constant time

Delete a Node:

- Search: $O(\log n)$
- Deleting the node will only
mean adjusting some links
- Binary Tree gets unbalanced during
insertion and deletion

```

class Node(object):
    def __init__(self,value):
        self.value = value
        self.left = None
        self.right = None

class BinaryTree(object):
    def __init__(self,root):
        self.root = Node(root)

#          1
#        /   \
#      2     3
#     / \   / \
#    4   5 6   7

# set up Tree
tree = BinaryTree(1)
tree.root.left = Node(2)
tree.root.right = Node(3)
tree.root.left.left = Node(4)
tree.root.left.right = Node(5)
tree.root.right.left = Node(6)
tree.root.right.right = Node(7)

```

Example:

```
class Node(object):
    def __init__(self, value):
        self.value = value
        self.left = None
        self.right = None

class BinaryTree(object):
    def __init__(self, root):
        self.root = Node(root)

    def print_tree(self, traversal_type):
        if traversal_type == "preorder":
            return self.preorder_print(self.root, "")
        elif traversal_type == "inorder":
            return self.inorder_print(self.root, "")
        elif traversal_type == "postorder":
            return self.postorder_print(self.root, "")

        else:
            print("Traversal type " + str(traversal_type) + " is not supported.")
            return False

    def preorder_print(self, start, traversal):
        """Root->Left->Right"""
        if start:
            traversal += (str(start.value) + "-")
            traversal = self.preorder_print(start.left, traversal)
            traversal = self.preorder_print(start.right, traversal)
        return traversal

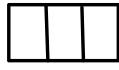
    def inorder_print(self, start, traversal):
        """Left->Root->Right"""
        if start:
            traversal = self.inorder_print(start.left, traversal)
            traversal += (str(start.value) + "-")
            traversal = self.inorder_print(start.right, traversal)
        return traversal

    def postorder_print(self, start, traversal):
        """Left->Right->Root"""
        if start:
            traversal = self.postorder_print(start.left, traversal)
            traversal = self.postorder_print(start.right, traversal)
            traversal += (str(start.value) + "-")
        return traversal

# 1-2-4-5-3-6-7-
# 4-2-5-1-6-3-7
# 4-2-5-6-3-7-1
#
#           1
#          /   \
#         2     3
#        / \   / \
#       4   5   6   7

# Set up tree:
tree = BinaryTree(1)
tree.root.left = Node(2)
tree.root.right = Node(3)
tree.root.left.left = Node(4)
tree.root.left.right = Node(5)
tree.root.right.left = Node(6)
tree.root.right.right = Node(7)

print(tree.print_tree("preorder"))
print(tree.print_tree("inorder"))
print(tree.print_tree("postorder"))
```



Node 3

Lucid Programming Video : [Video 34](#)

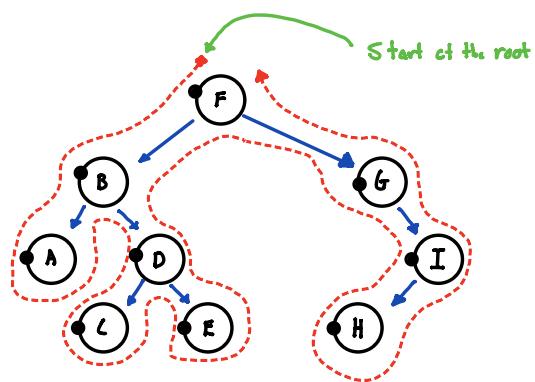
Binary Tree Traversal

Tree Traversal: Process of visiting (checking or updating) each node in a tree data structure exactly, once.

- Trees can be traversed in multiple ways, depth-first or Breadth-first order
- 3 common ways to traverse them in depth-first orders:
 - in-order
 - pre-order
 - post-order

Pre-Order Traversal

1. Check if the current node is empty/null
2. Display the data part of the node
3. Traverse the left subtree by recursively calling the pre-order function
4. Traverse the Right subtree by recursively calling the pre-order function

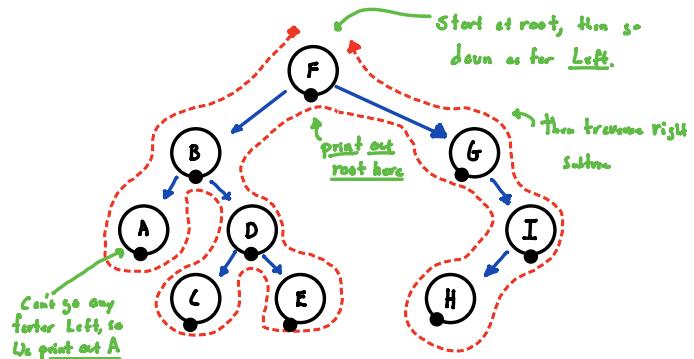


Pre-Order: F, B, A, D, L, E, G, I, H

Code for Pre-Order Traversal

In-Order Traversal

1. Check if the current node is empty/null
2. Traverse the left subtree by recursively calling the pre-order function
3. Display the data part of the node
4. Traverse the Right subtree by recursively calling the pre-order function

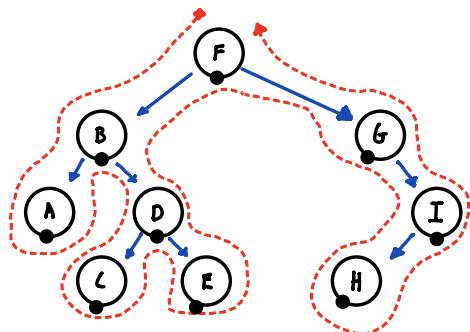


In-Order: A, B, C, D, E, F, G, H, I

In-Order Traversal Code

Post-Order Traversal

1. Check if the current node is empty/null
2. Traverse the left subtree by recursively calling the pre-order function
3. Display the data part of the node
4. Traverse the Right subtree by recursively calling the pre-order function



Post-Order Traversal Code