Chapter 4: SQL

- Basic Structure
- Set Operations
- Aggregate Functions
- Null Values
- Nested Subqueries
- Derived Relations
- Views
- Modification of the Database
- Joined Relations
- Data Definition Language
- Embedded SQL

Database Systems Concepts

4.1

Silberschatz, Korth and Sudarshan © 1997

Basic Structure

- SQL is based on set and relational operations with certain modifications and enhancements
- A typical SQL query has the form:

select
$$A_1$$
, A_2 , ..., A_n
from r_1 , r_2 , ..., r_m
where P

- A_is represent attributes
- r_is represent relations
- P is a predicate.
- This query is equivalent to the relational algebra expression:

$$\Pi_{A_1, A_2, \dots, A_n}(\sigma_P(r_1 \times r_2 \times \dots \times r_m))$$

• The result of an SQL query is a relation.

The select Clause

- The **select** clause corresponds to the projection operation of the relational algebra. It is used to list the attributes desired in the result of a query.
- Find the names of all branches in the *loan* relation
 select branch-name
 from *loan*

In the "pure" relational algebra syntax, this query would be:

 $\Pi_{branch-name}$ (loan)

• An asterisk in the select clause denotes "all attributes"

select * from loan

Database Systems Concepts

4.3

Silberschatz, Korth and Sudarshan © 1997

The select Clause (Cont.)

- SQL allows duplicates in relations as well as in query results.
- To force the elimination of duplicates, insert the keyword distinct after select.

Find the names of all branches in the *loan* relation, and remove duplicates

select distinct *branch-name* **from** *loan*

• The keyword **all** specifies that duplicates not be removed.

select all branch-name from loan

The select Clause (Cont.)

- The select clause can contain arithmetic expressions involving the operators, +, −, *, and /, and operating on constants or attributes of tuples.
- The query:

select branch-name, loan-number, amount * 100 **from** loan

would return a relation which is the same as the *loan* relation, except that the attribute *amount* is multiplied by 100

Database Systems Concepts

4.5

Silberschatz, Korth and Sudarshan © 1997

The where Clause

- The where clause corresponds to the selection predicate of the relational algebra. It consists of a predicate involving attributes of the relations that appear in the from clause.
- Find all loan numbers for loans made at the Perryridge branch with loan amounts greater than \$1200.

select loan-number
from loan
where branch-name = "Perryridge" and amount > 1200

 SQL uses the logical connectives and, or, and not. It allows the use of arithmetic expressions as operands to the comparison operators.

The where Clause (Cont.)

- SQL includes a **between** comparison operator in order to simplify **where** clauses that specify that a value be less than or equal to some value and greater than or equal to some other value.
- Find the loan number of those loans with loan amounts between \$90,000 and \$100,000 (that is, \geq \$90,000 and \leq \$100,000)

select loan-number from loan where amount between 90000 and 100000

Database Systems Concepts

4.7

Silberschatz, Korth and Sudarshan © 1997

The from Clause

- The **from** clause corresponds to the Cartesian product operation of the relational algebra. It lists the relations to be scanned in the evaluation of the expression.
- Find the Cartesian product *borrower* × *loan*

select *
from borrower, loan

• Find the name and loan number of all customers having a loan at the Perryridge branch.

select distinct customer-name, borrower.loan-number
from borrower, loan
where borrower.loan-number = loan.loan-number and
 branch-name = "Perryridge"

The Rename Operation

 The SQL mechanism for renaming relations and attributes is accomplished through the as clause:

old-name as new-name

 Find the name and loan number of all customers having a loan at the Perryridge branch; replace the column name loan-number with the name loan-id.

select distinct *customer-name, borrower.loan-number* **as** *loan-id* **from** *borrower, loan*

where borrower.loan-number = loan.loan-number **and** branch-name = "Perryridge"

Database Systems Concepts

4.9

Silberschatz, Korth and Sudarshan © 1997

Tuple Variables

- Tuple variables are defined in the **from** clause via the use of the **as** clause.
- Find the customer names and their loan numbers for all customers having a loan at some branch.

select distinct *customer-name, T.loan-number* **from** *borrower* **as** *T, loan* **as** *S* **where** *T.loan-number* = *S.loan-number*

 Find the names of all branches that have greater assets than some branch located in Brooklyn.

select distinct T.branch-name
from branch as T, branch as S
where T.assets > S.assets and S.branch-city = "Brooklyn"

String Operations

- SQL includes a string-matching operator for comparisons on character strings. Patterns are described using two special characters:
 - percent (%). The % character matches any substring.
 - underscore (_). The _ character matches any character.
- Find the names of all customers whose street includes the substring 'Main'.

select customer-name
from customer
where customer-street like "%Main%"

Match the name "Main%"

like "Main\%" escape "\"

Database Systems Concepts

4.11

Silberschatz, Korth and Sudarshan © 1997

Ordering the Display of Tuples

 List in alphabetic order the names of all customers having a loan at Perryridge branch

- We may specify desc for descending order or asc for ascending order, for each attribute; ascending order is the default.
- SQL must perform a sort to fulfill an **order by** request. Since sorting a large number of tuples may be costly, it is desirable to sort only when necessary.

Duplicates

- In relations with duplicates, SQL can define how many copies of tuples appear in the result.
- Multiset versions of some of the relational algebra operators given multiset relations r₁ and r₂:
 - 1. If there are c_1 copies of tuple t_1 in r_1 , and t_1 satisfies selection σ_{θ} , then there are c_1 copies of t_1 in $\sigma_{\theta}(r_1)$.
 - 2. For each copy of tuple t_1 in r_1 , there is a copy of tuple $\Pi_A(t_1)$ in $\Pi_A(r_1)$, where $\Pi_A(t_1)$ denotes the projection of the single tuple t_1 .
 - 3. If there are c_1 copies of tuple t_1 in r_1 and c_2 copies of tuple t_2 in r_2 , there are $c_1 \times c_2$ copies of the tuple $t_1.t_2$ in $r_1 \times r_2$.

Database Systems Concepts

4.13

Silberschatz, Korth and Sudarshan © 1997

Duplicates (Cont.)

Suppose relations r₁ with schema (A, B) and r₂ with schema
 (C) are the following multisets:

$$r_1 = \{(1, a), (2, a)\}$$
 $r_2 = \{(2), (3), (3)\}$

• Then $\Pi_B(r_1)$ would be $\{(a), (a)\}$, while $\Pi_B(r_1) \times r_2$ would be

$$\{(a, 2), (a, 2), (a, 3), (a, 3), (a, 3), (a, 3)\}$$

• SQL duplicate semantics:

select
$$A_1$$
, A_2 , ..., A_n
from r_1 , r_2 , ..., r_m
where P

is equivalent to the *multiset* version of the expression:

$$\Pi_{A_1, A_2, \ldots, A_n}(\sigma_P(r_1 \times r_2 \times \ldots \times r_m))$$

Set Operations

- The set operations union, intersect, and except operate on relations and correspond to the relational algebra operations ∪, ∩, and −.
- Each of the above operations automatically eliminates duplicates; to retain all duplicates use the corresponding multiset versions union all, intersect all and except all.
 Suppose a tuple occurs m times in r and n times in s, then, it occurs:
 - m + n times in r union all s
 - min(m, n) times in r intersect all s
 - max(0, m n) times in r except all s

Database Systems Concepts

4.15

Silberschatz, Korth and Sudarshan © 1997

Set Operations

- Find all customers who have a loan, an account, or both:
 - (select customer-name from depositor)
 union

(select customer-name from borrower)

- Find all customers who have both a loan and an account.
 - (select customer-name from depositor)

intersect

(select customer-name from borrower)

- Find all customers who have an account but no loan.
 - (select customer-name from depositor)

except

(**select** *customer-name* **from** *borrower*)

Aggregate Functions

These functions operate on the multiset of values of a column of a relation, and return a value

avg: average valuemin: minimum valuemax:maximum valuesum: sum of valuescount: number of values

Database Systems Concepts

4.17

Silberschatz, Korth and Sudarshan © 1997

Aggregate Functions (Cont.)

• Find the average account balance at the Perryridge branch.

select avg (balance)
from account
where branch-name = "Perryridge"

• Find the number of tuples in the *customer* relation.

select count (*) from customer

Find the number of depositors in the bank

select count (distinct *customer-name*) **from** *depositor*

Aggregate Functions – Group By

• Find the number of depositors for each branch.

select branch-name, count (distinct customer-name)
from depositor, account
where depositor.account-number = account.account-number
group by branch-name

Note: Attributes in **select** clause outside of aggregate functions must appear in **group by** list.

Database Systems Concepts

4.19

Silberschatz, Korth and Sudarshan © 1997

Aggregate Functions – Having Clause

• Find the names of all branches where the average account balance is more than \$1,200

select branch-name, avg (balance) from account group by branch-name having avg (balance) > 1200

Note: predicates in the **having** clause are applied after the formation of groups

Null Values

- It is possible for tuples to have a null value, denoted by null, for some of their attributes; null signifies an unknown value or that a value does not exist.
- The result of any arithmetic expression involving *null* is *null*.
- Roughly speaking, all comparisons involving *null* return *false*.
 More precisely,
 - Any comparison with null returns unknown
 - (true or unknown) = true, (false or unknown) = unknown
 (unknown or unknown) = unknown,
 (true and unknown) = unknown, (false and unknown) = false,
 (unknown and unknown) = unknown
 - Result of where clause predicate is treated as false if it evaluates to unknown
 - "P is unknown" evaluates to true if predicate P evaluates to unknown

Database Systems Concepts

4.21

Silberschatz, Korth and Sudarshan © 1997

Null Values (Cont.)

• Find all loan numbers which appear in the *loan* relation with null values for *amount*.

select loan-number from loan where amount is null

Total all loan amounts

select sum (amount)
from loan

Above statement ignores null amounts; result is null if there is no non-null amount.

 All aggregate operations except count(*) ignore tuples with null values on the aggregated attributes.

Nested Subqueries

- SQL provides a mechanism for the nesting of subqueries.
- A subquery is a **select-from-where** expression that is nested within another query.
- A common use of subqueries is to perform tests for set membership, set comparisons, and set cardinality.

Database Systems Concepts

4.23

Silberschatz, Korth and Sudarshan © 1997

Set Membership

• F in $r \Leftrightarrow \exists t \in r (t = F)$

$$(5 \text{ in } \begin{vmatrix} 0 \\ 4 \\ 5 \end{vmatrix}) = \text{true}$$

(5 **not in**
$$\begin{bmatrix} 0 \\ 4 \\ 6 \end{bmatrix}$$
) = true

 Find all customers who have both an account and a loan at bank.

select distinct customer-name
from borrower
where customer-name in (select customer-name
from depositor)

• Find all customers who have a loan at the bank but do not have an account at the bank.

select distinct customer-name from borrower where customer-name not in (select customer-name from depositor)

Database Systems Concepts

4.25

Silberschatz, Korth and Sudarshan © 1997

Example Query

 Find all customers who have both an account and a loan at the Perryridge branch.

Set Comparison

• Find all branches that have greater assets than some branch located in Brooklyn.

Database Systems Concepts

4.27

Silberschatz, Korth and Sudarshan © 1997

The Some Clause

- F <comp> some $r \Leftrightarrow \exists t(t \in r \land [F < comp > t])$ Where <comp> can be: $<, \le, >, \ge, =, \ne$
- (5 <**some** $\begin{vmatrix} 0 \\ 5 \end{vmatrix}) =$ false
- (5 =**some** $\begin{bmatrix} 0 \\ 5 \end{bmatrix}) =$ true
- $(5 \neq$ **some** $(5 \neq$ $(5 \neq$
- $\bullet \ \ \text{(= some)} \equiv \text{in}$
- However, $(\neq some) \not\equiv not in$

• Find all branches that have greater assets than some branch located in Brooklyn.

```
select branch-name
from branch
where assets > some
   (select assets
    from branch
    where branch-city = "Brooklyn")
```

Database Systems Concepts

4.29

Silberschatz, Korth and Sudarshan © 1997

The All Clause

• F < comp > all $r \Leftrightarrow \forall t (t \in r \land [F < comp > t])$

$$(5 < \mathbf{all} \quad \begin{array}{|c|c|} \hline 0 \\ \hline 5 \\ \hline 6 \\ \end{array}) = \mathsf{false}$$

$$(5 = \mathbf{all} \quad 5) = \text{false}$$

$$(5 \neq \mathbf{all} \quad 6) = \text{true (since } 5 \neq 4 \text{ and } 5 \neq 6)$$

- $(\neq all) \equiv not in$
- However, $(= all) \not\equiv in$

• Find the names of all branches that have greater assets than all branches located in Brooklyn.

```
select branch-name
from branch
where assets > all
    (select assets
    from branch
    where branch-city = "Brooklyn")
```

Database Systems Concepts

4.31

Silberschatz, Korth and Sudarshan © 1997

Test for Empty Relations

- The **exists** construct returns the value **true** if the argument subquery is nonempty.
- exists $r \Leftrightarrow r \neq \emptyset$
- not exists $r \Leftrightarrow r = \emptyset$

 Find all customers who have an account at all branches located in Brooklyn.

• Note that $X - Y = \emptyset \Leftrightarrow X \subseteq Y$

Database Systems Concepts

4.33

Silberschatz, Korth and Sudarshan © 1997

Test for Absence of Duplicate Tuples

- The **unique** construct tests whether a subquery has any duplicate tuples in its result.
- Find all customers who have only one account at the Perryridge branch.

```
select T.customer-name
from depositor as T
where unique (
    select R.customer-name
    from account, depositor as R
    where T.customer-name = R.customer-name and
        R.account-number = account.account-number and
        account.branch-name = "Perryridge")
```

 Find all customers who have at least two accounts at the Perryridge branch.

Database Systems Concepts

4.35

Silberschatz, Korth and Sudarshan © 1997

Derived Relations

• Find the average account balance of those branches where the average account balance is greater than \$1200.

select branch-name, avg-balance from (select branch-name, avg (balance) from account group by branch-name) as result (branch-name, avg-balance) where avg-balance > 1200

Note that we do not need to use the **having** clause, since we compute in the **from** clause the temporary relation *result*, and the attributes of *result* can be used directly in the **where** clause.

Views

 Provide a mechanism to hide certain data from the view of certain users. To create a view we use the command:

create view *v* **as** <query expression>

where:

- <query expression> is any legal expression
- the view name is represented by v

Database Systems Concepts

4.37

Silberschatz, Korth and Sudarshan © 1997

Example Queries

• A view consisting of branches and their customers

create view all-customer as

(select branch-name, customer-name

from depositor, account

where *depositor.account-number* = *account.account-number*)

union

(select branch-name, customer-name

from borrower, loan

where borrower.loan-number = loan.loan-number)

Find all customers of the Perryridge branch

select customer-name

from all-customer

where branch-name = "Perryridge"

Modification of the Database – Deletion

Delete all account records at the Perryridge branch

delete from account
where branch-name = "Perryridge"

Delete all accounts at every branch located in Needham.

delete from depositor

where account-number in (select account-number

from branch, account
where branch-city = "Needham"

and *branch.branch-name* = *account.branch-name*)

Database Systems Concepts

4.39

Silberschatz, Korth and Sudarshan © 1997

Example Query

 Delete the records of all accounts with balances below the average at the bank

- Problem: as we delete tuples from deposit, the average balance changes
- Solution used in SQL:
 - 1. First, compute avg balance and find all tuples to delete
 - 2. Next, delete all tuples found above (without recomputing avg or retesting the tuples)

Modification of the Database – Insertion

Add a new tuple to account

insert into account values ("Perryridge", A-9732, 1200)

or equivalently

insert into account (branch-name, balance, account-number) values ("Perryridge", 1200, A-9732)

Add a new tuple to account with balance set to null

insert into account
 values ("Perryridge", A-777, null)

Database Systems Concepts

4.41

Silberschatz, Korth and Sudarshan © 1997

Modification of the Database – Insertion

 Provide as a gift for all loan customers of the Perryridge branch, a \$200 savings account. Let the loan number serve as the account number for the new savings account

insert into account

select branch-name, loan-number, 200

from loan

where *branch-name* = "Perryridge"

insert into depositor

select customer-name, loan-number

from loan, borrower

where *branch-name* = "Perryridge"

and *loan.account-number* = *borrower.account-number*

Modification of the Database – Updates

- Increase all accounts with balances over \$10,000 by 6%, all other accounts receive 5%.
 - Write two **update** statements:

update account
set balance = balance * 1.06
where balance > 10000

update account
set balance = balance * 1.05
where balance < 10000</pre>

- The order is important
- Can be done better using the case statement (Exercise 4.11)

Database Systems Concepts

4.43

Silberschatz, Korth and Sudarshan © 1997

Update of a View

 Create a view of all loan data in the *loan* relation, hiding the amount attribute

> create view branch-loan as select branch-name, loan-number from loan

• Add a new tuple to branch-loan

insert into branch-loan values ("Perryridge", "L-307")

This insertion must be represented by the insertion of the tuple ("Perryridge", "L-307", *null*)

into the loan relation.

 Updates on more complex views are difficult or impossible to translate, and hence are disallowed.

Joined Relations

- Join operations take two relations and return as a result another relation.
- These additional operations are typically used as subquery expressions in the **from** clause.
- Join condition defines which tuples in the two relations match, and what attributes are present in the result of the join.
- Join type defines how tuples in each relation that do not match any tuple in the other relation (based on the join condition) are treated.

Join Types
inner join
left outer join
right outer join
full outer join

Database Systems Concepts

4.45

Silberschatz, Korth and Sudarshan © 1997

Joined Relations – Datasets for Examples

• Relation loan

branch-name	loan-number	amount
Downtown	L-170	3000
Redwood	L-230	4000
Perryridge	L-260	1700

Relation borrower

customer-name	loan-number
Jones	L-170
Smith	L-230
Hayes	L-155

Joined Relations – Examples

loan inner join borrower on
 loan.loan-number = borrower.loan-number

branch-name	loan-number	amount	customer-name	loan-number
Downtown	L-170	3000	Jones	L-170
Redwood	L-230	4000	Smith	L-230

• loan left outer join borrower on loan.loan-number=borrower.loan-number

branch-name	loan-number	amount	customer-name	loan-number
Downtown	L-170	3000	Jones	L-170
Redwood	L-230	4000	Smith	L-230
Perryridge	L-260	1700	null	null

Database Systems Concepts

4.47

Silberschatz, Korth and Sudarshan © 1997

Joined Relations – Examples

• loan natural inner join borrower

branch-name	loan-number	amount	customer-name
Downtown	L-170	3000	Jones
Redwood	L-230	4000	Smith

• loan natural right outer join borrower

branch-name	loan-number	amount	customer-name
Downtown	L-170	3000	Jones
Redwood	L-230	4000	Smith
null	L-155	null	Hayes

Joined Relations – Examples

• loan full outer join borrower using (loan-number)

branch-name	loan-number	amount	customer-name
Downtown	L-170	3000	Jones
Redwood	L-230	4000	Smith
Perryridge	L-260	1700	null
null	L-155	null	Hayes

 Find all customers who have either an account or a loan (but not both) at the bank.

> select customer-name from (depositor natural full outer join borrower) where account-number is null or loan-number is null

Database Systems Concepts

4.49

Silberschatz, Korth and Sudarshan © 1997

Data Definition Language (DDL)

Allows the specification of not only a set of relations but also information about each relation, including:

- The schema for each relation.
- The domain of values associated with each attribute.
- Integrity constraints.
- The set of indices to be maintained for each relation.
- Security and authorization information for each relation.
- The physical storage structure of each relation on disk.

Domain Types in SQL

- **char(n)**. Fixed length character string, with user-specified length *n*.
- **varchar(n)**. Variable length character strings, with user-specified maximum length *n*.
- int. Integer (a finite subset of the integers that is machine-dependent).
- **smallint**. Small integer (a machine-dependent subset of the integer domain type).
- **numeric(p,d)**. Fixed point number, with user-specified precision of *p* digits, with *n* digits to the right of decimal point.

Database Systems Concepts

4.51

Silberschatz, Korth and Sudarshan © 1997

Domain Types in SQL (Cont.)

- real, double precision. Floating point and double-precision floating point numbers, with machine-dependent precision.
- **float(n)**. Floating point number, with user-specified precision of at least *n* digits.
- date. Dates, containing a (4 digit) year, month and date.
- time. Time of day, in hours, minutes and seconds.
- Null values are allowed in all the domain types. Declaring an attribute to be **not null** prohibits null values for that attribute.
- create domain construct in SQL-92 creates user-defined domain types

create domain person-name char(20) not null

Create Table Construct

• An SQL relation is defined using the **create table** command:

```
create table r (A_1 D_1, A_2 D_2, ..., A_n D_n, \langle \text{integrity-constraint}_1 \rangle, ..., \langle \text{integrity-constraint}_k \rangle)
```

- r is the name of the relation
- each A_i is an attribute name in the schema of relation r
- D_i is the data type of values in the domain of attribute A_i
- Example:

create table branch

(branch-name char(15) **not null**, branch-city char(30), assets integer)

Database Systems Concepts

4.53

Silberschatz, Korth and Sudarshan © 1997

Integrity Constraints In Create Table

- not null
- primary key (A_1, \ldots, A_n)
- **check** (*P*), where *P* is a predicate

Example: Declare *branch-name* as the primary key for *branch* and ensure that the values of *assets* are non-negative.

create table branch

(branch-name char(15) **not null**, branch-city char(30), assets integer, **primary key** (branch-name), **check** (assets >= 0))

 primary key declaration on an attribute automatically ensures not null in SQL-92

Drop and Alter Table Constructs

- The **drop table** command deletes all information about the dropped relation from the database.
- The alter table command is used to add attributes to an existing relation. All tuples in the relation are assigned *null* as the value for the new attribute. The form of the alter table command is

alter table r add A D

where A is the name of the attribute be added to relation r and and D is the domain of A.

 The alter table command can also be used to drop attributes of a relation

alter table r drop A

where A is the name of an attribute of relation r.

Database Systems Concepts

4.55

Silberschatz, Korth and Sudarshan © 1997

Embedded SQL

- The SQL standard defines embeddings of SQL in a variety of programming languages such as such as Pascal, PL/I, Fortran, C, and Cobol.
- A language in which SQL queries are embedded is referred to as a host language, and the SQL structures permitted in the host language comprise embedded SQL.
- The basic form of these languages follows that of the System R embedding of SQL into PL/I.
- EXEC SQL statement is used to identify embedded SQL requests to the preprocessor

EXEC SQL <embedded SQL statement > END EXEC

From within a host language, find the names and account numbers of customers with more than the variable *amount* dollars in some account.

Specify the query in SQL and declare a cursor for it

EXEC SQL

declare c cursor for

select customer-name, account-number

from depositor, account

where *depositor.account-number* = *account.account-number*

and account.balance > :amount

END-EXEC

Database Systems Concepts

4.57

Silberschatz, Korth and Sudarshan © 1997

Embedded SQL (Cont.)

- The **open** statement causes the query to be evaluated
 - EXEC SQL **open** *c* END-EXEC
- The **fetch** statement causes the values of one tuple in the query result to be placed in host language variables.

EXEC SQL **fetch** c **into** :cn :an END-EXEC

Repeated calls to **fetch** get successive tuples in the query result; a variable in the SQL communication area indicates when end-of-file is reached.

• The **close** statement causes the database system to delete the temporary relation that holds the result of the query.

EXEC SQL close c END-EXEC

Dynamic SQL

- Allows programs to construct and submit SQL queries at run time.
- Example of the use of dynamic SQL from within a C program.

char * sqlprog = "update account set balance = balance *1.05
 where account-number = ?"

EXEC SQL **prepare** *dynprog* **from** *:sqlprog*;

char *account*[10] = "A-101";

EXEC SQL execute dynprog using :account;

 The dynamic SQL program contains a ?, which is a place holder for a value that is provided when the SQL program is executed.

Database Systems Concepts

4.59

Silberschatz, Korth and Sudarshan © 1997

Other SQL Features

- Fourth-generation languages special language to assist application programmers in creating templates on the screen for a user interface, and in formatting data for report generation; available in most commercial database products
- SQL sessions provide the abstraction of a client and a server (possibly remote)
 - client *connects* to an SQL server, establishing a session
 - executes a series of statements
 - disconnects the session
 - can *commit* or *rollback* the work carried out in the session
- An SQL environment contains several components, including a user identifier, and a *schema*, which identifies which of several schemas a session is using.