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1	$\mathbf{T}$	he Classical Foundations	
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	1. B	urning lighter	
	2. Fo	oundations of Physical Chemistry	
	(	a) Quantum mechanics	
	(	b) Statistical mechanics	
	(	(c) Thermodynamics, kinetics, spectroscopy	
	(	d) Physical and chemical properties of matter	
1.	2 I	Lecture 1: Basic statistics	
1.	2.1	Discrete probability distributions—Coin flip	
	1. E	xample of Bernoulli trial, $2^n$ possible outcomes from $n$ flips	
	2. N	umber of ways to get i heads in n flips, ${}_{n}C_{i}=n!/i!(n-i)!$	
	3. P	robability of i heads $P_i \propto {}_n C_i$	

 $\overline{\mathrm{mol}^{-1}}$  $6.02214 \times 10^{23}$  $N_{\rm Av}$ :  $1.6605 \times 10^{-27}$ 1 amu: kg  $1.38065\times 10^{-23}$  $\rm J~K^{-1}$  $8.61734 \times 10^{-5}$  eV K<sup>-1</sup>  $k_{\rm B}$ :  $\rm J~K^{-1}~mol^{-1}$  $8.2057 \times 10^{-2}$  l atm mol<sup>-1</sup> K<sup>-1</sup> R: 8.314472 ${
m J}~{
m s}^{-1}~{
m m}^{-2}~{
m K}^{-4}$  $5.6704 \times 10^{-8}$  $\sigma_{\mathrm{SB}}$ :  $\rm m\ s^{-1}$  $2.99792458 \times 10^{8}$ c:  $6.62607 \times 10^{-34}$ h: J s $4.13566 \times 10^{-15}$ eV s  $1.05457 \times 10^{-34}$  $6.58212 \times 10^{-16}$  eV s J s $\hbar$ : hc: 1239.8 eV nm  $1.60218 \times 10^{-19}$  $\mathbf{C}$ e:  $9.10938215 \times 10^{-31}$  $MeV c^{-2}$ kg 1: 0.5109989  $m_e$ :  $5.52635 \times 10^{-3}$   $e^2 \text{ Å}^{-1} \text{ eV}^{-1}$  $C^2 J^{-1} m^{-1}$  $8.85419 \times 10^{-12}$  $e^2/4\pi\epsilon_0$ :  $2.30708 \times 10^{-28}$ 14.39964 eV ÅJ m  $0.529177 \times 10^{-10}$ 0.529177Å  $\mathbf{m}$  $a_0$ : 27.212  $E_{\rm H}$ : Ha eV

Table 1: Key units in Physical Chemistry

- 4. Normalized probability,  $\tilde{P}_i = P_i / \sum_i P_i = {}_n C_i / 2^n$
- 5. Expectation value  $\langle i \rangle = \sum_i i \tilde{P}_i$

#### 1.2.2 Continuous distributions—temperature

- 1. Probability density  $\phi(x)$  has units 1/x
- 2. Normalized  $\tilde{\phi}(x) = \phi(x) / \int \phi(x) dx$
- 3. (Unitless) probability  $a < x < b = \int_a^b \tilde{\phi}(x) dx$
- 4. Expectation value  $\langle f(x) \rangle = \int f(x)\tilde{\phi}(x)dx$
- 5. Mean =  $\langle x \rangle$
- 6. Mean squared =  $\langle x^2 \rangle$
- 7. Variance  $\sigma^2 = \langle x^2 \rangle \langle x \rangle^2$
- 8. Standard deviation  $\Delta x = \sigma$

#### 1.2.3 Temperature example

https://colab.research.google.com/github/wmfschneider/CHE30324/blob/master/Resources/Probability.ipynb

#### 1.2.4 Boltzmann distribution

- 1.  $P(E) \propto e^{-E/k_BT}$ , in some sense the definition of temperature (Figure 1)
- 2. Energy and its units

- 3. Absolute temperature and its units
- 4.  $k_BT$  as an energy scale, 0.026 eV at 298 K
- 5. Equipartition energy freely exchanged within and between all degrees of freedom

#### 1.2.5 Boltzmann distribution: Gravity example

- 1. E(h) = mgh, linear, continuous energy spectrum
- 2. Exponential distribution

$$P(h) = \frac{1}{\int_0^\infty \exp\left(-mgh/k_BT\right)dh} \exp\left(\frac{-mgh}{k_BT}\right) = \frac{mg}{k_BT} \exp\left(\frac{-mgh}{k_BT}\right)$$

- 3. molecule vs car in a gravitational field (Table 2)
- 4. Implies exponential decrease in gas density with altitude
- 5. Barometric law for gases,  $P = P_0 e^{-mgh/k_BT}$

#### 1.2.6 Boltzmann distribution: Kinetic energy in 1-D example

- 1.  $KE = \frac{1}{2}mv_x^2$ ,  $P(v_x) \propto \exp\left(-mv_x^2/2k_BT\right)$
- 2. Standard Normalized Gaussian distribution of mean  $\mu$  and variance  $\sigma^2$

$$G(x) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left(-\frac{(x-\mu)^2}{2\sigma^2}\right)$$

- 3. By inspection,  $\mu = \langle v_x \rangle = 0$ ,  $\sigma^2 = \langle v_x^2 \rangle = k_B T/m$
- 4. Normalized velocity distribution

$$P_{1D}(v_x) = \left(\frac{m}{2\pi k_B T}\right)^{1/2} \exp\left(-\frac{m|v_x|^2}{2k_B T}\right)$$

5. Molecule vs car again (Table 2)

## 1.3 Lecture 2: Kinetic theory of gases

- 1. Postulates
  - (a) Gas is composed of molecules in constant random, thermal motion
  - (b) Molecules only interact by perfectly elastic collisions
  - (c) Volume of molecules is << total volume
- 2. Maxwell-Boltzmann distribution of molecular speeds (Figure 3)

Table 2: Car vs gas molecule at the earth's surface

	car	gas molecule
m	$1000\mathrm{kg}$	$1 \times 10^{-26} \mathrm{kg}$
h	$1\mathrm{m}$	$1\mathrm{m}$
mgh	$9800\mathrm{J}$	$9.8 \times 10^{-26}  \mathrm{J}$
	$6.1\times10^{22}\mathrm{eV}$	$6.1 \times 10^{-7} \mathrm{eV}$
T	$298\mathrm{K}$	$298\mathrm{K}$
$k_BT$	$0.026\mathrm{eV}$	$0.026\mathrm{eV}$
$mgh/k_BT$	$2.4 \times 10^{24}$	$2.3 \times 10^{-5}$
$P(1{\rm m})/P(0)$	$e^{-2.4 \times 10^{-24}}$	0.99998
$\langle h \rangle$	$0\mathrm{m}$	$42\mathrm{km}$
$\langle v_x \rangle^{1/2}$	$2\times10^{-12}\mathrm{m/s}$	$640\mathrm{m/s}$

Table 3: Energy conversions and correspondences

	J	eV	Hartree	$kJ \text{ mol}^{-1}$	$\mathrm{cm}^{-1}$
1 J =	1	$6.2415 \times 10^{18}$	$2.2937 \times 10^{17}$	$6.0221 \times 10^{20}$	$5.0340 \times 10^{22}$
1  eV =	$1.6022 \times 10^{-19}$	1	0.036748	96.485	8065.5
1 Ha =	$4.3598 \times 10^{-18}$	27.212	1	2625.6	219474.6
$1 \text{ kJ mol}^{-1} =$	$1.6605 \times 10^{-21}$	0.010364	$3.8087 \times 10^{-4}$	1	83.5935
$1 \text{ cm}^{-1} =$	$1.986410^{-23}$	$1.23984 \times 10^{-4}$	$4.55623 \times 10^{-6}$	0.011963	1

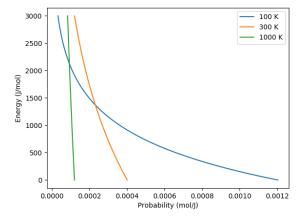


Figure 1: Boltzmann distribution at various temperatures

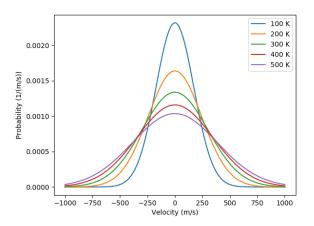


Figure 2: One-dimensional (Gaussian) velocities of  $N_2$  gas

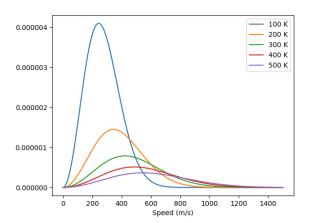


Figure 3: Maxwell-Boltzmann speed distribution of  $N_2$  gas

(a) Speed  $v = \sqrt{v_x^2 + v_y^2 + v_z^2}$ , spherical coordinates

$$P_{\text{MB}}(v) = \int \int P_{1D}(v_x) P_{1D}(v_y) P_{1D}(v_z) v^2 \sin(\theta) d\theta d\phi$$
$$= 4\pi v^2 \left(\frac{m}{2\pi k_B T}\right)^{3/2} \exp\left(-\frac{mv^2}{2k_B T}\right)$$

- (b) mean speeds  $\langle v \rangle = \int_0^\infty v P_{MB}(v) dv \propto \sqrt{T}$
- (c) mean kinetic energy  $\langle U \rangle = \frac{1}{2} m \langle v^2 \rangle = \frac{3}{2} RT$
- (d) heat capacity  $C_v = dU/dT = \frac{3}{2}R$

#### 3. Flux and pressure

- (a) Velocity flux  $j(v_x)dv_x = v_x \frac{N}{V} P(v_x) dv_x$ , molecules /area /time / $v_x$
- (b) Wall collisions,  $J_w = \int j(v_x) dv_x$ , total collisions /area /time
- (c) Momentum change with wall collisions ( $\Delta$  momentum/area/time):

$$P = \int_0^\infty 2mv_x j_x(v_x) dv_x = m(N/V)v_x^2 \rangle = Nk_B T/V$$

#### 4. Collisions and mean free path

- (a) Collision cross section  $\sigma = \pi d^2$ , area swept by molecule
- (b) Molecular collisions per molecule = volume swept \* density of targets =  $z = \sigma \langle v \rangle (N/V) \sqrt{2}$
- (c) Total collisions per volume =  $z_{AA} = z(N/V)(1/2)$
- (d) Mean free path,  $\lambda = \langle v \rangle / z$ , mean distance between collisions

**Table 4:**  $N_2$  at 298 K and 25 L mol<sup>-1</sup>

## 1.4 Lecture 3: Transport

- 1. Transport of energy, momentum, mass across a gradient.
- 2. Infinite gradient: effusion and Graham's law, effusion rate  $\propto MW^{-1/2}$
- 3. Finite gradient: Fick's first law
  - (a) net flux proportional to concentration gradient

Table 5: Kinetic theory of gases key equations

Boltzmann distribution $(g(E))$ : degeneracy of $E$ )	$P(E) = g(E)e^{-E/k_BT}$
Maxwell-Boltzmann distribution	$P_{\text{MB}}(v) = 4\pi v^2 \left(\frac{m}{2\pi k_B T}\right)^{3/2} \exp\left(-\frac{mv^2}{2k_B T}\right)$
Mean and RMS speeds	$\langle v \rangle = \left(\frac{8k_BT}{\pi m}\right)^{1/2} \qquad \langle v^2 \rangle^{1/2} = \left(\frac{3k_BT}{m}\right)^{1/2}$
Pressure	$\langle P \rangle = \frac{\Delta p}{\Delta t} = m \frac{N}{V} \frac{1}{3} \langle v^2 \rangle = \frac{N k_B T}{V} = \frac{nRT}{V}$
Wall collision frequency	$J_W = \frac{1}{4} \frac{N}{V} \langle v \rangle = \frac{P}{(2\pi m k_B T)^{1/2}}$
Molecular collision frequency	$z = \sqrt{2}\sigma \langle v \rangle \frac{N}{V} = \frac{4\sigma P}{(\pi m k_B T)^{1/2}}$
Total collisions	$z_{AA}=rac{1}{2}rac{N}{V}z$
Mean free path	$\lambda = \frac{\langle v \rangle}{z} = \frac{V}{\sqrt{2}\sigma N}$
Graham's effusion law	$\frac{dN}{dt} = \text{Area} \cdot J_w \propto 1/m^{1/2}$
Self-diffusion constant	$D_{11} = \frac{1}{3} \langle v \rangle \lambda$
Diffusion rate	$\langle x^2 \rangle^{1/2} = \sqrt{2Dt}  \langle r^2 \rangle^{1/2} = \sqrt{6Dt}$
Einstein-Smoluchowski equation	$D_{11} = \frac{\delta^2}{2\tau}$
Stokes-Einstein equation for liquids	$D_{11} = \frac{k_B T}{4\pi \eta r}$ "Slip" boundary
	$D_{\mathrm{Brownian}} = \frac{k_B T}{6\pi \eta r}$ "Stick" boundary

- (b)  $j_x = -D\frac{dc}{dx}$
- (c) Self-diffusion constant,  $D = \frac{1}{3}\lambda \langle v \rangle$
- 4. Fick's second law: time evolution of concentration gradient
  - (a) Continuity with no advection:  $\frac{\partial c}{\partial t} = -\nabla \cdot \vec{j} + \mathrm{gen}$
  - (b) One-dimension, point source:  $\frac{dc}{dt} = D \frac{d^2c}{dx^2}$ ,  $c(x, t = 0) = c_0$
  - (c) Separate variables c(x,t) = X(x)t(t)
  - (d) Diffusion has Gaussian probability distribution:  $c(x,t)/c_0 = [2\sqrt{\pi Dt}]^{-1} \exp(-x^2/4Dt)$
- 5. Random walk model of diffusion
  - (a) N steps,  $n = n_r n_l$  net to the right,  $P(n) = \binom{N}{n_r} 2^{-N}$
  - (b) Large N and Stirling approximation,  $N! \approx (2\pi N)^{1/2} N^N e^{-N}$
  - (c) Let  $x = \delta(n_r n_l)$ ,  $N = t/\tau$ , Gaussian reappears!

$$P(x,t) = \left(\frac{2\tau}{\pi t}\right)^{1/2} e^{-x^2\tau/2t\delta^2}$$

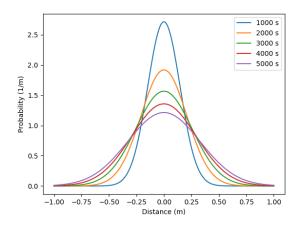
- (d) Einstein-Smoluchowski relation  $D = \delta^2/2\tau$
- 6. Knudsen diffusion,  $\delta = (3/2)l$ ,  $\delta/\tau = \langle v \rangle$ ,  $D = \frac{1}{3}l\langle v \rangle$
- 7. Seeing is believing—Brownian motion
  - (a) Seemingly random motion of large particles ("dust") due to "kicks" from invisible molecules
  - (b) Einstein in one of his four 1905 Annus Mirabilis papers shows
    - i. Motion of particles suspened in a fluid of molecules must follow same Gaussian diffusion behavior
    - ii. From steady-state arguments in a field, diffusion constant is Boltzmann energy,  $k_BT$ , times mobility
    - iii. Mobility inversely related to viscosity
  - (c) Stokes-Einstein equation
  - (d) Allows measurement of Avogadro's number, final proof of kinetic theory of matter
  - (e) Similar model for diffusion of liquid molecules, slip boundary

## 2 Quantum Mechanics: Blurred Lines Between Particles and Waves

## 2.1 Lecture 4: Duality and demise of classical physics

### 2.1.1 Properties of waves

- 1. Characterized by frequency, wavelength, amplitude, ...
- 2. Traveling waves, standing waves
- 3. Interference, diffraction
- 4. Characteristic of light, among other thing
- 5. Expected energy of a classical wave,  $\langle \epsilon \rangle_{\nu} = k_B T$  for all  $\nu$



**Figure 4:** Diffusional spreading,  $\sqrt{\langle x^2 \rangle} = \sqrt{2Dt}$ 

Table 6: Classical waves

The free wave equation	$\frac{\partial^2 \Psi(x,t)}{\partial x^2} = \frac{1}{v^2} \frac{\partial^2 \Psi(x,t)}{\partial t^2}$
General solution	$\Psi(x,t) = A\sin(kx - \omega t)$
Wavelength (distance)	$\lambda = 2\pi/k$
Frequency (/time)	$ u = \omega/2\pi$
Speed	$v = \lambda \nu$
Amplitude (distance)	A
Energy	$E \propto A^2$
Standing wave	$\Psi(x,t) = A\sin(kx)\cos(\omega t),  k = n\pi/a$

#### 2.1.2 Blackbody radiation - light emitted by all bodies due to their temperature

- 1. Blackbody/Hohlraum spectrum (like the sun)
  - (a) Stefan-Boltzmann law, total irradiance  $I(\lambda, T)$
  - (b) Wien's displacement law,  $\lambda_{\text{text}}T = \text{constant}$
- 2. Rayleigh-Jeans predicts spectrum using classical physics
  - (a) standing waves + classical wave energy  $\rightarrow$  ultraviolet catastrophe
  - (b)  $I(\lambda, T) = (8\pi/\lambda^4) \cdot k_B T \cdot c$
- 3. Planck model, 1900
  - (a) Energy spectrum of waves are quantized,  $\epsilon_{\nu} = nh\nu$ , n = 0, 1, 2, ...
  - (b) Expected energy of a quantized wave:

$$\langle \epsilon \rangle_{\nu} = \sum_{n=0}^{\infty} nh\nu e^{-nh\nu/k_BT} = h\nu/\left(e^{h\nu/k_BT} - 1\right)$$

(c) Intensity:

$$I(\lambda, T) = \frac{8\pi}{\lambda^4} \cdot \langle \epsilon \rangle_{\nu} \cdot c$$

(d) Correctly reproduces Stefan-Boltzmann and Wien Laws!

## 2.1.3 Heat capacities of solids

- 1. Law of DuLong and Pettite,  $C_v = 3R$ , fails at low T
- 2. Einstein model
  - (a) Energy of atomic vibrations  $\nu$  are quantized,  $\epsilon_{\nu} = nh\nu$ , n = 0, 1, 2, ...
  - (b) Expected energy of vibration exactly same as Planck's quantized waves
  - (c) Heat capacity = derivative of energy wrt temperature goes to zero at low T

#### 2.1.4 Photoelectric effect - electrons emitted when light shined on a metal

- 1. Energy of most weakly bound electrons to a material defined as work function, W
- 2. Shine light on metal, observe kinetic energy of electrons  $E_{\text{kinetic}} = h\nu W$
- 3. Kinetic energy varies with light frequency, number of electrons varies with light intensity
- 4. Einstein model, 1905 (Nobel prize)
  - (a) Light is both wave-like and composed of particle-like "photons"
  - (b) Photon energy related to frequency:  $\epsilon = h\nu = hc/\lambda$
  - (c) Light intensity related to number of photons

## 2.1.5 Special theory of relative (Einstein, 1905)

- 1. speed of light c in a vacuum is a constant for all observes, independent of
- 2. photons carry momentum  $p = h/\lambda$
- 3. demonstrated by Compton effect, light scattering off electrons changes  $\lambda$

## 2.1.6 Rutherford, planetary model of atom

1. Inconsistent with Maxwell's equations

#### 2.1.7 Bohr model of H atom

- 1. Bohr model (the old quantum mechanics)
  - (a) Stable electron "orbits," quantized angular momentum
  - (b) Light emission corresponds to orbital jumps,  $\nu = \Delta E/h$
  - (c) Bohr equations
  - (d) Comparison with Rydberg formula
  - (e) Failure for larger atoms
- 2. Explains discrete H energy spectrum and Rydberg formala

## 2.1.8 de Broglie relation

- 1.  $\lambda = h/p$  universally
- 2. Relation to Bohr orbits
- 3. Davison and Germer experiment,  $e^-$  diffraction off Ni
- 4. Basis of modern electron diffraction to observe structure of materials

#### 2.1.9 Wave-particle duality

#### 2.2 Lecture 5: Postulates of quantum mechanics

## 2.2.1 Schrödinger equation describes wave-like properties of matter

- 1. Attempt to mathematically elaborate de'Broglie idea
- 2. Statement of conservation of energy, kinetic + potential = total
- 3. One-dimensional, time-independent, single particle Schrödinger equation:

$$-\frac{\hbar^2}{2m}\frac{d^2\psi(x)}{dx^2} + V(x)\psi(x) = E\psi(x)$$

- 4. Second-order differential equation, solutions are steady-states of the system, discrete eigenvalues E and eigenvectors  $\psi(x)$
- 5. Applied to H atom by Schrödinger to recover Bohr energies

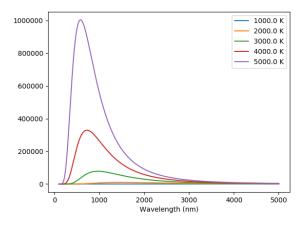


Figure 5: Blackbody irradiance

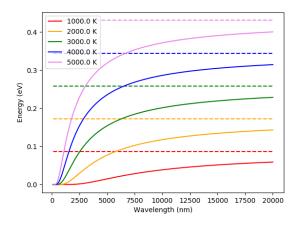


Figure 6: Average energy of a Planck quantized oscillator

**Table 7:** The new physics

Stefan-Boltzmann Law	$\int I(\lambda, T) d\lambda = \sigma_{\rm SB} T^4$
Wien's Law	$\lambda_{\rm max}T=2897768~{\rm nm~K}$
Rayleigh-Jeans eq	$I(\lambda, T) = \frac{8\pi}{\lambda^4} k_B T c$
Blackbody irradiance	$I(\lambda, T) = \frac{8\pi}{\lambda^5} \frac{hc^2}{e^{hc/\lambda k_B T} - 1}$
Einstein crystal	$C_v = 3R \left(\frac{h\nu}{k_B T}\right)^2 \frac{e^{h\nu/k_B T}}{\left(e^{h\nu/k_B T} - 1\right)^2}$
Photon energy	$\epsilon = h  u = h c / \lambda$
Rydberg equation	$\nu = R_H c \left( 1/n^2 - 1/k^2 \right)$
Bohr equations	$l_n = n\hbar$
$n=1,2,\ldots$	$r_n = n^2 \left( \frac{4\pi\epsilon_0 \hbar^2}{e^2 m_e} \right) = n^2 a_0$
	$E_n = -\frac{m_e e^4}{8\epsilon_0^2 h^2} \frac{1}{n^2} = -\frac{E_H}{2} \frac{1}{n^2}$ $p_n = \frac{e^2}{4\pi\epsilon_0} \frac{m_e}{\hbar} \frac{1}{n} = p_0 \frac{1}{n}$
de Broglie equation	$\lambda = rac{h}{p}$

#### 2.2.2 Born interpretation

- 1. wavefunction  $\psi(x)$  is a probability amplitude
- 2. wavefunction squared  $|\psi(x)|^2$  is probability density

#### 2.2.3 Postulates

- 1. Wavefunction contains all information about a system
- 2. Operators used to extract that information
  - (a) QM operators are *Hermitian*
  - (b) Have eigenvectors and real eigenvalues,  $\hat{O}\psi_i = o\psi_i$
  - (c) Are orthogonal,  $\langle \psi_i | \psi_j \rangle = \delta_{ij}$
  - (d) Always observe an eigenvalue when making an observation
- 3. Expectation values
- 4. Energy-invariant wavefunctions given by Schröodinger equation
- 5. Uncertainty principle

#### 2.2.4 Particle in a box illustrations

#### 2.3 Lecture 6: Particle in a box model

#### 2.3.1 Particle between infinite walls, electron confined in a wire

1. Classical solution, either stationary or uniform bouncing back and forth

## 2.3.2 One-dimesional QM solutions

- 1. Schrödinder equation and boundary conditions
- 2. discrete, quantized solutions
- 3. standing waves,  $\lambda = 2L/n$ , n-1 nodes, non-uniform probability
- 4. Ho paper, STM of Pd wire
- 5. zero point energy and uncertainty
- 6. correspondence principle
- 7. superpositions

#### 2.3.3 Multiple dimensions

- 1. separation of variables, one quantum number for each dimension
- 2.  $\Psi_{lmn}(x,y,z) = \psi_l(x)\psi_m(y)\psi_n(z)$ , 3dbox notebook
- 3.  $E_{lmn} = (l^2 + m^2 + n^2)\pi^2\hbar^2/2L^2 \longrightarrow degeneracies$

## Table 8: Postulates of Non-relativistic Quantum Mechanics

Postulate 1: The physical state of a system is completely described by its wavefunction  $\Psi$ . In general,  $\Psi$  is a complex function of the spatial coordinates and time.  $\Psi$  is required to be:

- I. Single-valued
- II. continuous and twice differentiable
- III. square-integrable  $(\int \Psi^* \Psi d\tau)$  is defined over all finite domains)
- IV. For bound systems,  $\Psi$  can always be normalized such that  $\int \Psi^* \Psi d\tau = 1$

Postulate 2: To every physical observable quantity M there corresponds a Hermitian operator  $\hat{M}$ . The only observable values of M are the eignevalues of  $\hat{M}$ .

Physical quantity	Operator	Expression
Position $x, y, z$	$\hat{x},\hat{y},\hat{z}$	$x\cdot,y\cdot,z\cdot$
		a
Linear momentum $p_x, \dots$	$\hat{p}_x, \dots$	$-i\hbar \frac{\partial}{\partial x}, \dots$
Angular momentum $l_x, \dots$	$\hat{p}_x,\dots$	$-i\hbar \frac{\partial}{\partial x}, \dots$ $-i\hbar \left( y \frac{\partial}{\partial z} - z \frac{\partial}{\partial y} \right), \dots$
Angular momentum $t_x, \dots$	$p_x, \dots$	$-in\left(y\overline{\partial z}-z\overline{\partial y}\right),\cdots$
Kinetic energy $T$	$\hat{T}$	$-\frac{\hbar^2}{2m}\nabla^2$
Potential energy $V$	$\hat{V}$	1/ (r +)
Total energy $E$	$\hat{H}$	$-\frac{\hbar^2}{2m}\nabla^2 + V(\mathbf{r},t)$
rotal energy L	11	$-2m^{\mathbf{V}}+\mathbf{V}(\mathbf{I},t)$

**Postulate 3:** If a particular observable M is measured many times on many identical systems is a state  $\Psi$ , the average resuts with be the expectation value of the operator  $\hat{M}$ :

$$\langle M \rangle = \int \Psi^*(\hat{M}\Psi) d\tau$$

Postulate 4: The energy-invariant states of a system are solutions of the equation

$$\hat{H}\Psi(\mathbf{r},t) = i\hbar \frac{\partial}{\partial t}\Psi(\mathbf{r},t)$$

$$\hat{H} = \hat{T} + \hat{V}$$

The time-independent, stationary states of the system are solutions to the equation

$$\hat{H}\Psi(\mathbf{r}) = E\Psi(\mathbf{r})$$

Postulate 5: (The uncertainty principle.) Operators that do not commute  $(\hat{A}(\hat{B}\Psi) \neq \hat{B}(\hat{A}\Psi))$  are called *conjugate*. Conjugate observables cannot be determined simultaneously to arbitrary accuracy. For example, the standard deviation in the measured positions and momenta of particles all described by the same  $\Psi$  must satisfy  $\Delta x \Delta p_x \geq \hbar/2$ .

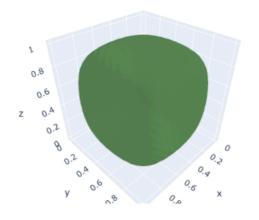
Table 9: Particle-in-a-box model

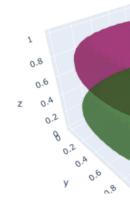
$$V(x) = \begin{cases} 0 & 0 < x < L \\ \infty & x \le 0 \text{ or } x \ge L \end{cases}$$
 
$$\psi_n(x) = \sqrt{\frac{2}{L}} \sin\left(\frac{n\pi x}{L}\right)$$
 
$$E_n = \frac{n^2\pi^2\hbar^2}{2mL^2}, n = 1, 2, \dots$$
 
$$\frac{\text{Absorption Selection Rule}}{\Delta n = \text{odd}}$$
 Energies and wavefunctions of an electron confined to a 1 nm box 
$$\frac{10}{10} = \frac{1}{10} = \frac{1}{10}$$
 
$$\frac{1}{10} = \frac{1}{10} = \frac{1}{10}$$
 
$$\frac{1}{10} = \frac{1}{10} = \frac{1}{10}$$
 
$$\frac{1}{10} = \frac{1}{10}$$
 
$$\frac{1}{$$

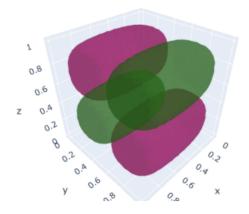
## 2.3.4 Finite walls and tunneling

- 1. Potential well of finite depth  $V_0$
- 2. Finite number of bound states
- 3. Classical region,  $\psi(x)$   $e^{ikx} + e^{-ikx}$ ,  $k = \sqrt{2mE}/\hbar$
- 4. "Forbidden" region,  $\psi(x)$   $e^{\kappa x} + e^{-\kappa x}$ ,  $\kappa = \sqrt{2m(V_0 E)}/\hbar$
- 5. Non-zero probability to "tunnel" into forbidden region
- 6. Tunneling between two adjacent wells: chemical bonding, STM, nanoelectronics
- 7. H atom tunneling: NH<sub>3</sub> inversion, H transfer, kinetic isotope effect

Table 10: Three-dimensional particle-in-a-box s-like, p-like, d-like, and f-like wavefunctions









## 2.3.5 Pauli principle for fermions

#### 2.4 Lecture 7: Harmonic oscillator

#### 2.4.1 Classical harmonic oscillator

- 1. Hooke's law,  $F = -k(x x_0)$ , k spring constant
- 2. Continuous sinusoidal motion
- 3.  $x(t) = A \sin(\frac{k}{\mu})^{1/2} t, \nu = \frac{1}{2\pi} (\frac{k}{\mu})^{1/2}, E = \frac{1}{2} k A^2$
- 4. Exchanging kinetic and potential energies

## 2.4.2 Quantum harmonic oscillator

- 1. Schrödinger equation and boundary conditions
- 2. Solutions like P-I-A-B + tunneling at boundaries (see Table 10)
- 3. Zero-point energy and uniform energy ladder
- 4. Parity operator and even/odd symmetry:  $\langle x \rangle = 0$
- 5. Recursion relations:  $\langle x^2 \rangle = \alpha^2(v+1/2), \langle V(x) \rangle = \frac{1}{2}h\nu(v+\frac{1}{2})$
- 6. Virial theorem:  $V(x) \propto x^n \to \langle T \rangle = \frac{n}{2} \langle V \rangle$
- 7. Classical turning point and tunneling
- 8. Classical limiting behavior: large

#### 2.4.3 HCl example

- 1. Reduced mass,  $\frac{1}{\mu} = \frac{1}{m_A} + \frac{1}{m_B}$
- 2. ZPE, energy spacing in IR, Boltzmann probabilities

#### 2.4.4 Diatomic vibrational spectroscopy

- 1. Apply harmonic oscillator model
- 2. Vibrational constant  $\tilde{\nu} = (\sqrt{k/\mu}/2\pi)/hc \text{ cm}^{-1}$
- 3. Gross selection rule: dynamic dipole  $d\mu/dx$  non-zero (heteronuclear, non homonuclear)
- 4. Specific selection rule: dipole integral  $\langle \psi_v | \hat{\mu} | \psi_{v'} \rangle = 0$  unless  $\Delta v = \pm 1$
- 5. Allowed  $\Delta \tilde{E}_v = \tilde{\nu} \text{ cm}^{-1}$
- 6. Boltzmann distribution implies v=0 states dominate at normal T

Table 11: Harmonic oscillator model

$$V(x) = \frac{1}{2}kx^2, -\infty < x < \infty$$

$$\psi_v(x) = N_v H_v(x/\alpha) e^{-x^2/2\alpha^2}, v = 0, 1, 2, \dots$$

$$\alpha = (\hbar^2/\mu k)^{1/4}, N_v = (2^v v! \alpha \sqrt{\pi})^{-1/2}$$

$$\frac{\text{Hermite polynomials}}{H_0(y) = 1}$$

$$H_1(y) = 2y$$

$$H_2(y) = 4y^2 - 2$$

$$H_{n+1}(y) = 2yH_n(y) - 2nH_{n-1}(y)$$

$$\nu = \frac{1}{2\pi} \sqrt{\frac{k}{\mu}}$$

$$E_v = (v + \frac{1}{2})h\nu, v = 0, 1, 2, \dots$$

$$\frac{\text{Specific Selection Rule for Absorption}}{\Delta v = \pm 1}$$

$$\frac{\Delta v}{4}$$

$$\frac{\Delta v}{35}$$

$$\frac{\Delta v$$

## 2.4.5 Polyatomic vibrational spectroscopy

- 1. Polyatomics, 3n-6 (3n-5 for linear polyatomic) vibrational modes
- 2. Selection rules and degeneracies affect number of observed features
- 3.  $CO_2$  example

## 2.5 Lecture 8: Rigid Rotor

## 2.5.1 Classical rigid rotor

- 1. Compare rotation about an axis vs linear motion
- 2. Moment of intertia  $I = \mu r^2$
- 3. Angular momentum,  $\mathbf{l} = I\omega = \mathbf{r} \times \mathbf{p}, T = l^2/2I$ 
  - (a) Angular momentum and energy continuous variables

#### 2.5.2 Quantum rotor in a plane

- 1. Angular momentum and kinetic energy operators in polar coordinates,  $\hat{l}_z = -i\hbar \frac{d}{d\phi}$
- 2. Eigenfunctions degenerate, cw and ccw rotation
- 3. No zero point energy
- 4. Angular momentum eignefunctions,  $l_z = m_l \hbar$
- 5. Energy superpositions and localization

```
import matplotlib.pyplot as plt
    import numpy as np
    def s(theta):
4
        return np.full(np.size(theta), 1./np.sqrt(2*np.pi))
6
    def py(theta):
        return s(theta)*np.sin(theta)
8
10
   rad = np.arange(0, 2*np.pi, 0.01)
   radpi = np.arange(0, np.pi, 0.01)
11
   rad2pi = np.arange(np.pi, 2*np.pi, 0.01)
13
    plt.figure()
    plt.subplot(1,3,1,projection=polar)
15
   plt.plot(rad,s(rad))
16
17
   plt.subplot(1,3,2,projection=polar)
18
    plt.plot(radpi,py(radpi))
   plt.plot(rad2pi,np.abs(py(radpi)), color=red)
20
```







**Figure 7:** Pythonic s (l = 0), p (l = 1), and d (l = 2) spherical harmonics. Color scale from red to white to blue corresponds to positive to zero to negative sign of wavefunction.

## 2.5.3 Quantum rotor in 3-D

- 1. Angular momentum and kinetic energy operators in spherical coordinates
- 2. Spherical harmonic solutions,  $Y_{lm_l}$
- 3. Azimuthal QN  $l = 0, 1, \dots$
- 4. Magnetic QN  $m_l = -l, -l + 1, ..., l$
- 5. Energy spectrum, 2l + 1 degeneracy
- 6. Vector model can only know total total |L| and  $L_z$
- 7. Wavefunctions look like atomic orbitals, l nodes

#### 2.5.4 Particle angular momentum

- 1. Fermions, mass, half-integer spin
  - (a) Electron,  $s = 1/2, m_s = \pm 1/2$
- 2. Bosons, force-carrying, integer spin

#### 2.5.5 Diatomic rotational spectroscopy

- 1. Apply rigid rotor model
- 2. Rotational constant  $\tilde{B} = (\hbar^2/2I)/hc = \hbar/4\pi Ic \text{ cm}^{-1}$ ,  $I = \mu R_{\text{eq}}^2$
- 3. Gross selection rule: dynamic dipole moment non-zero (heteronuclear, not homonuclear)
- 4. Specific selection rule:  $\Delta l = \pm 1, \, \Delta m_l = 0, \pm 1$
- 5.  $\Delta \tilde{E}_l = 2\tilde{B}(l+1) \text{ cm}^{-1}$
- 6. Rotational state populations

## 2.6 Lecture 11: Hydrogen atom

## 2.6.1 Schrödinger equation

- 1. Spherical coordinates and separation of variables
- 2. Coulomb potential  $v_{\text{Coulomb}}(r) = -\frac{e^2}{4\pi\epsilon_0} \frac{1}{r}$
- 3. Centripetal potential  $v=\hbar^2 \frac{l(l+1)}{2\mu r^2}$

## 2.6.2 Solutions

- 1.  $\psi(r,\theta,\phi) = R_{nl}(r)Y_{lm}(\theta,\phi)$
- 2. Principle quantum number n = 1, 2, ...
  - (a)  $K, L, M, N, \ldots$  shells
  - (b) n-1 radial nodes
- 3. Azimuthal quantum number l = 0, 1, ..., n 1
  - (a)  $s, p, d, \ldots$  orbital sub-shells
  - (b) l angular nodes
- 4. Magnetic quantum number  $m_l = -l, -l+1, ..., l$
- 5. Spin quantum number  $m_s = \pm 1/2$
- 6. Energy spectrum and populations
- 7. Electronic selection rules

(a) 
$$\Delta l = \pm 1$$
  $\Delta m_s = 0$   $\Delta m_l = 0, \pm 1$ 

- 8. Wavefunctions = "orbitals", 3d H atom notebook
- 9. Integrate out angular components to get radial probability function  $P_{nl}(r) = r^2 R_{nl}^2(r)$

(a) 
$$\langle r \rangle = \int r P_{nl}(r) dr = \left(\frac{3}{2}n^2 - l(l+1)\right) a_0$$

### 2.6.3 Variational principle

- 1. Solutions of Schrödinger equation always form a complete set
- 2. True wavefunction energy is therefore lower bound on energy of any trial wavefunction

$$\langle \psi_{\text{trial}}^{\lambda} | \hat{H} | \psi_{\text{trial}}^{\lambda} \rangle = E_{\text{trial}}^{\lambda} \ge E_0$$

3. Optimize wavefunction with respect to variational parameter

$$\left(\frac{\partial \langle \psi_{\rm trial}^{\lambda} | \hat{H} | \psi_{\rm trial}^{\lambda} \rangle}{\partial \lambda}\right) = 0 \to \lambda_{\rm opt}$$

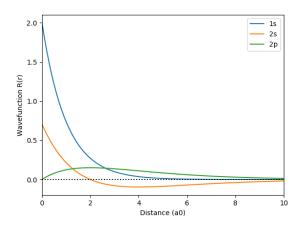


Figure 8: H atom wavefunctions

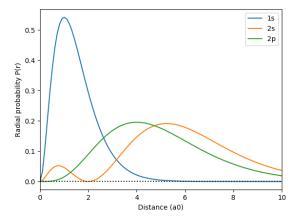


Figure 9: H atom radial probability

## 2.7 Lecture 12: Many-electron atoms

## 2.7.1 Many-electron problem, Schrödinger equation not exactly solvable (Sad!)

- 1.  $e^- e^-$  interaction terms prevent separation of variables
- 2. Independent electron model basis of all solutions, describes each electron (pair) by its own wavefunction, or "orbital,"  $\psi_i$

$$\left\{ -\frac{\hbar^2}{2m_e} \nabla^2 - \frac{Z}{r} + v_{\rm ee} \right\} \psi_i = \epsilon_i \psi_i$$

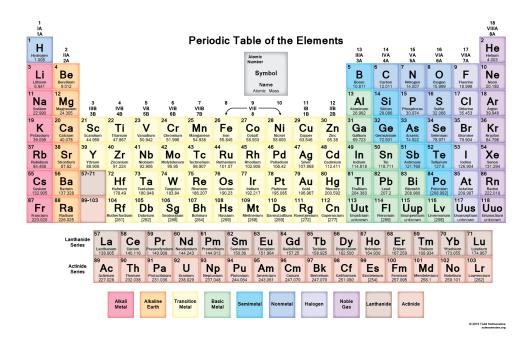
#### 2.7.2 Qualitative solutions

- 1.  $\psi_i$  look like H atom orbitals, labeled by same quantum numbers
- 2. Aufbau principle: "Build-up" electron configuration by adding electrons into H-atom-like orbitals, from bottom up
- 3. Pauli exclusion principle: Every electron in atom must have a unique set of quantum numbers, so only two per orbital (with opposite spin)
- 4. Pauli exclusion principle (formally): The wavefunction of a multi-particle system must be anti-symmetric to coordinate exchange if the particles are fermions, and symmetric to coordinate exchange if the particles are bosons
- 5. *Hund's rule*: Electrons in degenerate orbitals prefer to be spin-aligned. Configuration with highest *spin multiplicity* is the most preferred

S	2S + 1	multiplicity
0	1	singlet
1/2	2	doublet
1	3	triplet
3/2	4	quartet

#### 2.7.3 Structure of the periodic table

- 1. Electrons in different subshells experience different effective nuclear charge  $Z_{\rm eff} = Z \sigma_{nl}$
- 2. Inner ("core") shells not shielded well, decrease precipitously in energy with increasing Z
- 3. Inner shell electrons "shield" outer electrons well
- 4. Within a family (column), outmost n increases, further from nucleus, energy goes up
- 5. Within a period (row), s shielded less than p less than  $d \dots$ , causes degeneracy to break down
- 6. Electrons in same subshell shield each other poorly, causing ionization energy to increase across the subshell



## 2.7.4 Quantitative solutions

1. Schrödinger equation

$$\begin{split} \hat{H}\Psi(\mathbf{r}_1,\mathbf{r}_2,\ldots) &= E\Psi(\mathbf{r}_1,\mathbf{r}_2,\ldots) \\ \hat{H} &= \sum_i \hat{h}_i + \frac{e^2}{4\pi\epsilon_0} \sum_i \sum_{j>i} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} \\ \hat{h}_i &= -\frac{\hbar^2}{2m_e} \nabla_i^2 - \frac{Ze^2}{4\pi\epsilon_0} \frac{1}{|\mathbf{r}_i|} \end{split}$$

2. Construct candidate many-electron wavefunction  $\Psi$  from one electron wavefunctions (mathematical details vary with exact approach)

$$\Psi(\mathbf{r}_1, \mathbf{r}_2, ...) \approx \psi_1(\mathbf{r}_1)\psi_2(\mathbf{r}_2)...\psi_n(\mathbf{r}_n)$$

3. Calculate expectation value of E of approximate model and apply variational principle to find equations that describe "best" (lowest total energy) set of  $\psi_i$ 

$$\begin{split} \frac{\partial E}{\partial \psi_i} &= 0 \quad \forall i \\ \hat{f}\psi &= \left\{ \hat{h} + \hat{v}_{\text{Coul}}[\psi_i] + \hat{v}_{\text{ex}}[\psi_i] + \hat{v}_{\text{corr}}[\psi_i] \right\} \psi = \epsilon \psi \\ E &= \sum_i \epsilon_i - \frac{1}{2} \langle \Psi | \hat{v}_{\text{Coul}}[\psi_i] + \hat{v}_{\text{ex}}[\psi_i] + \hat{v}_{\text{corr}}[\psi_i] | \Psi \rangle \end{split}$$

4. Motivate as equation for an electron moving in a "field" of other electrons, adding an electron to a known set of  $\psi_i$ 

#### 2.7.5 Electron-electron interactions

- 1. Coulomb ( $\hat{v}_{\text{Coul}}$ ): classical repulsion between distinguishable electron "clouds"
- 2. Exchange  $(\hat{v}_{ex})$ : accounts for electron indistinguishability (Pauli principle for fermions). Decreases Coulomb repulsion because electrons of like spin intrinsically avoid one another
- 3. Correlation ( $\hat{v}_{corr}$ ): decrease in Coulomb repulsion due to dynamic ability of electrons to avoid one another; "fixes" orbital approximation
- 4. General form of exchange potential is expensive to calculate; general form of correlation potential is unknown

## 2.7.6 Popular models

- 1. Hartree model: Include only classical Coulomb repulsion  $\hat{v}_{\text{Coul}}$
- 2. Hartree-Fock model: Include Coulomb and exchange
- 3. Density-functional theory (DFT): Include Coulomb and approximate expressions for exchange and correlation

#### 2.7.7 Numerical solution

- 1. All potential terms  $\hat{v}$  depend on the solutions, so equations must be solved *iteratively* to self-consistency
- 2. Solved numerically on a grid or by expanding solutions in a basis set

#### 2.7.8 DFT calculations on atoms

1. See README at ../Resources/fda

```
H Orbital Summary
```

```
nl occ E KE <1/r>
1s 1.00 -0.5002 0.5003 1.0005 1.4994
```

Energy Summary

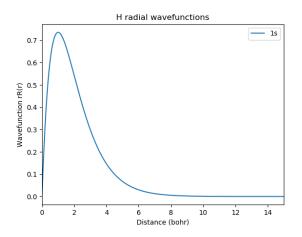
```
kinetic energy = 0.5003

potential energy = -1.0005

one-electron energy = -0.5001

two-electron energy = -0.0000
```

```
total energy = -0.5002
virial ratio = -1.9996
```



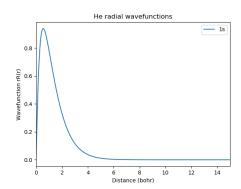
He Orbital Summary

nl occ E KE <1/r>
1s 2.00 -0.8998 1.5175 1.7352 0.9133

Energy Summary

kinetic energy = 3.0349 potential energy = -5.8876 one-electron energy = -3.9058 two-electron energy = 1.0531

total energy = -2.8527 virial ratio = -1.9399

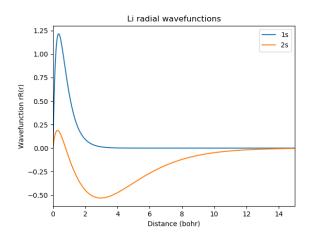


Li Orbital Summary

nl	occ	E	KE	<1/r>	<r></r>
1s	2.00	-2.2989	3.9238	2.7994	0.5490
2s	1.00	-0.2044	0.2483	0.3695	3.7083

Energy Summary

kinetic energy = 8.0959 potential energy = -15.4017 one-electron energy = -9.8094 two-electron energy = 2.5036 total energy = -7.3058virial ratio = -1.9024



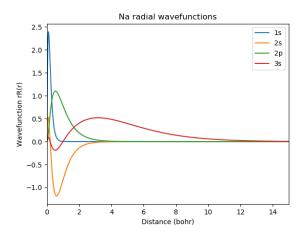
## Na Orbital Summary

nl	осс	E	KE	<1/r>	<r></r>
1s	2.00	-39.3997	57.1958	10.6955	0.1417
2s	2.00	-2.4534	7.2764	1.9224	0.7596
2p	6.00	-1.4174	6.5643	1.7927	0.7529
3s	1.00	-0.1925	0.3691	0.3310	3.9570

## Energy Summary

kinetic energy = 168.6993 potential energy = -330.3286 one-electron energy = -230.8553 two-electron energy = 69.2261

total energy = -161.6293 virial ratio = -1.9581



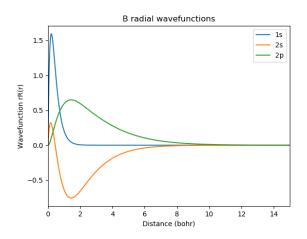
## B Orbital Summary

nl	occ	E	KE	<1/r>	<r></r>
1s	2.00	-7.3382	11.3935	4.7725	0.3195
2s	2.00	-0.4862	1.1651	0.7749	1.8633
2p	1.00	-0.2627	0.8572	0.6432	2.1503

## Energy Summary

kinetic energy = 25.9745 potential energy = -50.2880 one-electron energy = -32.7155 two-electron energy = 8.4020

total energy = -24.3135 virial ratio = -1.9361



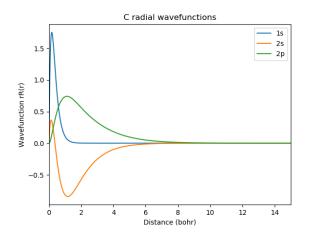
## C Orbital Summary

nl	occ	E	KE	<1/r>	<r></r>
1s	2.00	-10.8710	16.5840	5.7583	0.2643
2s	2.00	-0.6769	1.8255	0.9670	1.5010
2σ	2.00	-0.3555	1.4282	0.8313	1.6628

## Energy Summary

kinetic energy = 39.6755 potential energy = -77.0810 one-electron energy = -51.0043 two-electron energy = 13.5987

total energy = -37.4055virial ratio = -1.9428



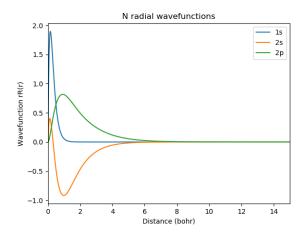
## N Orbital Summary

nl	occ	E	KE	<1/r>	<r></r>
1s	2.00	-15.0801	22.7490	6.7446	0.2254
2s	2.00	-0.8883	2.5980	1.1518	1.2645
2p	3.00	-0.4550	2.1076	1.0101	1.3691

## Energy Summary

kinetic energy = 57.0168 potential energy = -111.0407 one-electron energy = -74.7460 two-electron energy = 20.7221

total energy = -54.0239virial ratio = -1.9475



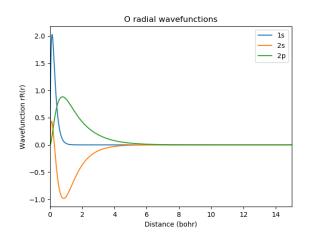
## O Orbital Summary

nl	occ	E	KE	<1/r>	<r></r>
1s	2.00	-19.9695	29.8903	7.7313	0.1964
2s	2.00	-1.1208	3.4852	1.3328	1.0956
2p	4.00	-0.5609	2.8966	1.1841	1.1696

Energy Summary

kinetic energy = 78.3376 potential energy = -152.8395 one-electron energy = -104.5798 two-electron energy = 30.0778

total energy = -74.5019virial ratio = -1.9510



## 2.8 Lecture 13: Qualitative models of bonding

#### 2.8.1 Qualtitative bonding

- 1. What does a molecule (or a solid) have that an atom doesn't?...more nuclei!
- 2. Why might those atoms clump together to form molecules or solids?...tunneling! Electrons are happier (lower in energy) when they can wander out of their local potential well
- 3. Recall particle in a finite well. What matters? Depths of wells and distance between them.

#### 2.8.2 Clamped nucleus ("Born-Oppenheimer") approximation

1. Write one-electron equations parametrically in terms of positions of all atoms

$$\hat{h} = -\frac{\hbar^2}{2m_e} \nabla^2 - \sum_{\alpha} \frac{Z_{\alpha} e^2}{4\pi\epsilon_0} \frac{1}{|\mathbf{r} - \mathbf{R}_{\alpha}|}$$
(1)

$$\hat{f}\psi = \left\{\hat{h} + \hat{v}_{\text{Coul}}[\psi_i] + \hat{v}_{\text{ex}}[\psi_i] + \hat{v}_{\text{corr}}[\psi_i]\right\}\psi = \epsilon\psi$$
 (2)

- 2. Solve as for atoms, using some model for electron-electron interactions
- 3. Potential energy surface (PES)

$$E(\mathbf{R}_{\alpha}, \mathbf{R}_{\beta}, ...) = E_{\text{elec}} + \frac{e^2}{4\pi\epsilon_0} \sum_{\alpha} \sum_{\beta > \alpha} \frac{Z_{\alpha} Z_{\beta}}{|\mathbf{R}_{\alpha} - \mathbf{R}_{\beta}|}$$

## 2.8.3 H<sub>2</sub> molecule as perturbation on two H atoms brought from infinite distance

- 1. "Bonding" orbital,  $\sigma_g(\mathbf{r}) = 1s_A + 1s_B$
- 2. "Anti-bonding" orbital,  $\sigma_u(\mathbf{r}) = 1s_A 1s_B$
- 3. Interaction scales with "overlap"  $S = \langle 1s_A | 1s_B \rangle$
- 4. Normalize

$$\sigma_g = \frac{1}{\sqrt{2(1-S)}} (1s_A + 1s_B)$$
  $\sigma_u = \frac{1}{\sqrt{2(1+S)}} (1s_A - 1s_B)$ 

5. Energy expectation value

$$\epsilon_{g} = \langle \sigma_{g} | \hat{f} | \sigma_{g} \rangle = \frac{1}{2(1+S)} \left\{ \langle 1s_{A} | \hat{f} | 1s_{A} \rangle + \langle 1s_{B} | \hat{f} | 1s_{B} \rangle + 2 \langle 1s_{A} | \hat{f} | 1s_{B} \rangle \right\}$$

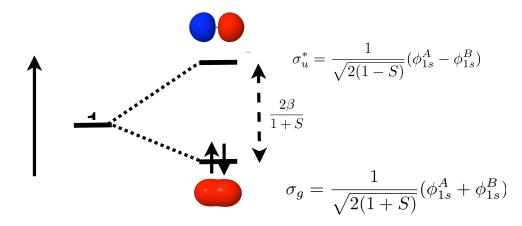
$$= \frac{1}{1+S} \left( F_{AA} + F_{AB} \right)$$

$$\epsilon_{u} = \langle \sigma_{u} | \hat{f} | \sigma_{u} \rangle = \frac{1}{2(1+S)} \left\{ \langle 1s_{A} | \hat{f} | 1s_{A} \rangle + \langle 1s_{B} | \hat{f} | 1s_{B} \rangle - 2 \langle 1s_{A} | \hat{f} | 1s_{B} \rangle \right\}$$

$$= \frac{1}{1-S} \left( F_{AA} - F_{AB} \right)$$

6. Matrix elements

$$F_{\rm AA} = F_{\rm BB} \approx \epsilon_{\rm 1s} = \alpha$$
  
 $F_{\rm AB} = F_{\rm BA} = \beta$   
 $\alpha < \beta < 0$  typically

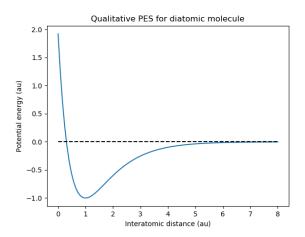


7. From Taylor expansion get picture of atomic orbitals destabilized by electron repulsion  $\beta S$  and split by interaction  $\beta$ 

$$\epsilon_{+} \approx \alpha - \beta S + \beta$$
 $\epsilon_{-} \approx \alpha - \beta S - \beta$ 

- 8. Makes clear that bonding stabilization < anti-bonding destabilization
- 9. Ground configuration =  $\sigma_g^2$

- 10. Bond order =  $\frac{1}{2}(n n^*)$
- 11. Electron-driven bonding in competetition with 1/R repulsion between nuclei.



## 2.8.4 Heteronuclear diatomic: LiH, HF, BH example

1. Only AOs of appropriate symmetry, overlap, and energy match can combine to form MOs

$$\epsilon_{+} \approx \alpha_{1} - \beta S - \beta^{2}/|\alpha_{1} - \alpha_{2}|$$
  
 $\epsilon_{-} \approx \alpha_{2} - \beta S + \beta^{2}/|\alpha_{1} - \alpha_{2}|$ 

- 2. LiH: H 1s + Li 2s, bond polarized towards H
- 3. HF: H 1s + F 2p, bond polarized towards F, lots of non-bonding orbitals
- 4. BH: H 1s, B 2s and  $2p_z \rightarrow$  bonding, non-bonding, anti-bonding orbitals

## 2.8.5 Homonuclear diatomic: $O_2$

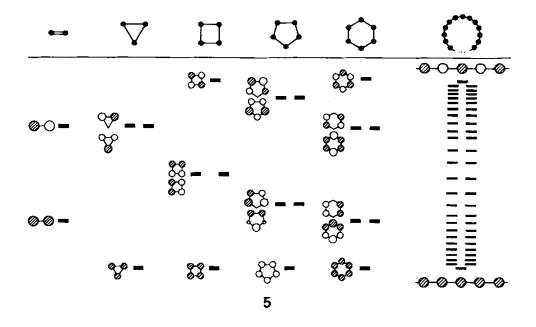
- 1. Assign aos, 1s, 2s, 2p for each atom (10 total)
- 2. In principle, solve  $10 \times 10$  secular matrix
- 3. In practice, matrix elements rules mean only a few off-diagonal elements survive
  - (a) 1s + 1s do nothing
  - (b) 2s + 2s form  $\sigma$  bond and anti-bond
  - (c)  $2p_z + 2p_z$  form second bond and anti-bond
  - (d)  $2p_{x,y} + 2p_{x,y}$  form degenerate  $\pi$  bonds and anti-bonds
  - (e) O<sub>2</sub> is a triplet, consistent with experiment!

## 2.8.6 The Hückel/tight binding model: Roberts, Notes on Molecular Orbital Theory

- 1.  $F_{ii} = \alpha, S_{ij} = \delta_{ij}, F_{ij} = \beta$  iff i adjacent to j
- 2. Ethylene example
- 3. Butadiene example
- 4. Benzene example
- 5. Infinite chain example

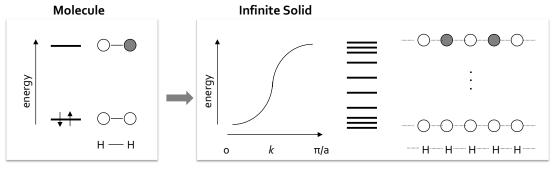
```
from sympy import *
    initprinting(useunicode=True)
    print(Huckel model for pi orbitals of cyclobutadienen)
    alpha,beta = symbols(alpha beta)
    M = Matrix([[alpha, beta, 0 , beta],[beta, alpha, beta, 0],[0,beta,alpha,beta],[beta,0,beta,alpha]])
    pprint(M)
9
    M = Matrix([[alpha,beta],[beta,alpha]])
10
11
    eigs = M.eigenvects()
12
13
    pprint(nEnergy state, degeneracyn)
14
    for state in [0, 1, 2]:
15
                  1n.format(eigs[state][0],eigs[state][1]))
16
        print(0
17
    pprint(nEigenvectors)
    for state in [2,1,0]:
19
20
        print(Eigenvector(s) of state, state,:, eigs[state][2])
21
```

```
Huckel model for pi orbitals of cyclobutadiene
```



#### 2.8.7 Band structure of solids

- 1. Discrete molecular orbitals transform into continuous bands
- 2. Results in rich range of physical and chemical properties



Discrete energy states

Continuous energy bands: insulators, conductors, semiconductors, ...

## 2.8.8 Non-bonding interactions

- 1. Chemical covalent bonds have energies on the order of several eV
- 2. Even things that are not "bonded" still attract one another
  - (a) permanent dipoles (~0.1 eV)
  - (b) induced dipoles (dispersion)—scales with number of electrons
- 3. Results in physical properties, eg trends in boiling point (He < Ne < Kr < Xe;  $\rm CH_4 < C_2H_6$  <  $\rm C_3H_8$  )

## 2.9 Lecture 14: Quantitative Models of Bonding

# 2.9.1 Numerical Schrödinger equation solvers for discrete (molecule) and periodic (solids/liquids/interfaces) readily available today

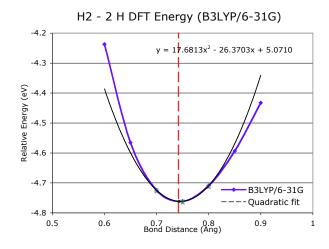
## 2.9.2 Have to specify:

- 1. Identity of atoms
- 2. Positions of atoms (distances, angles, ...)
- 3. (spin multiplicity)
- 4. exact theoretical model (how are Coulomb, exchange, and correlation described?)
  - (a) Hartree, Hartree-Fock, DFT (various flavors), ...
- 5. basis set to express wavefunctions in terms of
- 6. initial guess of wavefunction coefficients (often guessed for you)

#### 2.9.3 Secular equations solved iteratively until input coefficients = output coefficients

- 1. "self-consistent field"
- 2. Output
  - (a) energies of molecular orbitals
  - (b) occupancies of molecular orbitals
  - (c) coefficients describing molecular orbitals
  - (d) total electron wavefunction, total electron density, dipole moment, ...
  - (e) total molecular energy
  - (f) derivatives ("gradients") of total energy w.r.t. atom positions
- 3. Plot total energy vs internal coordinates: potential energy surface (PES)
- 4. Search iteratively for minimum point on PES (by hand or using gradient-driven search): equilibrium geometry
- 5. Find second derivative of energy at minimum point on PES: harmonic vibrational frequency
- 6. Find energy at minimum relative to atoms (or other molecules): reaction energy

## 2.9.4 $H_2$ example



	Bond length			
	H-H exp't:	0.742Å		
_	B3LYP opt:	0.743	1	

Bond energy			
H-H exp't	= 4.478 eV		
2 H: 2(-0.4969) at H <sub>2</sub> : -1.1687 a	u = -27.041 eV u = <u>-31.803</u> eV		
Dissociation E	= 4.762 eV		
ZPE corrected	= 4.484 eV		

Vibrational frequencies			
Experiment:	4401 cm <sup>-1</sup>		
B3LYP harmonic:	4487 cm <sup>-1</sup>		
ZPE:	0.278 eV		

## 2.9.5 Polyatomic molecules

- 1. Gradient-driven optimizations, 3n-6 degrees of freedom
- 2. Hessian matrix for frequencies

# 3 Statistical Mechanics: The Bridge from the Tiny to the Many

#### 3.1 Lecture 17: Statistical mechanics

#### 3.1.1 Need machinary to average QM information over macroscopic systems

#### 3.1.2 Equal a priori probabilities

# 3.1.3 Two-state model

- 1. Box of particles, each of which can have energy 0 or  $\epsilon$
- 2. Thermodynamic state defined by number of elements N, and number of quanta  $q, U = q\epsilon$
- 3. Degeneracy of given N and q given by binomial distribution:

$$\Omega(N,q) = \frac{N!}{q!(N-q)!}$$

4. Allow energy (heat!) to exchange between two such systems

- (a) Energy of composite system is sum of individual systems (first law,  $q_1 + q_2 = q$ )
- (b) Degeneracy of composite system is always  $\geq$  degeneracy of the starting parts!

$$\Omega(N_1 + N_2, q_1 + q_2) > \Omega(N_1, q_1) \cdot \Omega(N_2, q_2)$$

- (c) Boltzmann's tombstone,  $S = k_B \ln \Omega$
- (d) Second Law:

Die Energie der Welt ist constant. Die Entropie der Welt strebt einem Maximum zu. - Clausius

#### 3.1.4 Large two-state system

1. Stirling's approximation:

$$\Omega(N,q) \approx N^N/(N-q)^{(N-q)}$$

2. Composite system

$$\Omega(N,q) = \sum_{i \le q} \Omega(N_1, i) \cdot \Omega(N_2, q - i)$$

3. For large N, one term overwhelmingly dominates sum

#### 3.1.5 Consequences of energy flow between two large systems

- 1. Each subsystem has energy  $U_i$  and degeneracy  $\Omega_i(U_i)$
- 2. Bring in thermal contact,  $U = U_1 + U_2$ ,  $\Omega = \sum_{U_1} \Omega_1(U_1)\Omega_2(U U_1)$
- 3. If systems are very large, one combination of  $U_1$ ,  $U_2$  will dominate  $\Omega$  sum. Find largest term.

$$\left(\frac{\partial \Omega}{\partial U_1}\right)_N = 0$$

$$\left(\frac{\partial \ln \Omega_1}{\partial U_1}\right)_N = \left(\frac{\partial \ln \Omega_2}{\partial U_2}\right)_N$$

$$\left(\frac{\partial S_1}{\partial U_1}\right)_N = \left(\frac{\partial S_2}{\partial U_2}\right)_N$$

4. Thermal equilibrium is determined by equal temperature!

$$\frac{1}{T} = \left(\frac{\partial S}{\partial U}\right)_N$$

- 5. Equal temperatures  $\rightarrow$  most probable distribution of energy between subsystems.
- 6. (Same arguments lead to requirement that equal pressures  $(P_i)$  and equal chemical potentials  $(\mu_i)$  maximize entropy when volumes or particles are exchanged)

## 3.1.6 Two-state model in limit of large N

- 1. Large N and Stirling's approximation
- 2. Fundamental thermodynamic equation of two-state system:

$$S(U) = -k_B (x \ln x + (1-x) \ln(1-x))$$
, where  $x = q/N = U/N\epsilon$ 

3. Temperature is derivative of entropy wrt energy, yields

$$U(T) = \frac{N\epsilon}{1 + e^{\epsilon/k_B T}}$$

- 4.  $T \to 0, U \to 0, S \to 0$ , minimum disorder
- 5.  $T \to \infty, U \to N\epsilon/2, S \to k_B \ln 2$ , maximum disorder
- 6. Differentiate again to get heat capacity

## 3.1.7 Example of microcanonical ("NVE") ensemble

1. Direct evaluation of S(U) is generally intractable, so seek simpler approach

# 3.2 Lecture 18: Canonical (NVT) ensemble

#### 3.2.1 Partition function

- 1. Imagine a system brought into thermal equilibrium with a much larger "reservoir" of constant T, such that the aggregate has a total energy U
- 2. Degeneracy of a given system microstate j with energy  $U_j$  is  $\Omega_{res}(U-U_j)$

$$T = \frac{dU_{res}}{k_B d \ln \Omega_{res}}$$
  
$$\Omega_{res}(U - U_j) \propto e^{-U_j/k_B T}$$

3. Probability for system to be in a microstate with energy  $U_i$  given by Boltzmann distribution!

$$P(U_j) \propto e^{-U_j/k_B T} = e^{-U_j \beta}$$

- 4. Partition function "normalizes" distribution,  $Q(T, V) = \sum_{j} e^{-U_{j}\beta}$
- 5. Partition function counts the number of states accessible to a system at a given V and in equilibrium with a reservoir at T

## 3.2.2 Energy factoring (sidebar)

- 1. If system is large, how to determine it's energy states  $U_j$ ? There would be many, many of them!
- 2. One simplification is if we can write energy as sum of energies of individual elements (atoms, molecules, degrees of freedom) of system:

$$U_j = \epsilon_j(1) + \epsilon_j(2) + \dots + \epsilon_j(N) \tag{3}$$

$$Q(N, V, T) = \sum_{j} e^{-U_{j}\beta} \tag{4}$$

$$=\sum_{j}^{3} e^{-(\epsilon_{j}(1)+\epsilon_{j}(2)+\ldots+\epsilon_{j}(N))\beta}$$
(5)

3. If molecules/elements of system can be distinguished from each other (like atoms in a fixed lattice), expression can be factored:

$$Q(N, V, T) = \left(\sum_{j} e^{-\epsilon_{j}(1)\beta}\right) \cdots \left(\sum_{j} e^{-\epsilon_{j}(N)\beta}\right)$$
 (6)

$$= q(1) \cdots q(N) \tag{7}$$

Assuming all the elements are the same:

$$=q^{N} \tag{9}$$

$$q = \sum_{i} e^{-\epsilon_{i}\beta}$$
: molecular partition function (10)

- 4. If not distinguishable (like molecules in a liquid or gas, or electrons in a solid), problem is difficult, because identical arrangements of energy amongst elements should only be counted once.
- 5. Approximate solution, good almost all the time:

$$Q(N, V, T) = q^N / N! \tag{11}$$

6. Sidebar: "Correct" factoring depends on whether individual elements are fermions or bosons, leads to funny things like superconductivity and superfluidity.

#### 3.2.3 Distinguishable vs. indistinguishable particles

- 1. q(V,T) counts states available to a single element of a system, like a molecule in a gas or in a solid
- 2. Distinguishable (e.g., in a solid):  $Q(N, V, T) = q(V, T)^N$
- 3. Indistinguishable (e.g., a gas):  $Q(N, V, T) \approx q(V, T)^N/N!$

(8)

## 3.2.4 Two-state system again

- 1. Partition function,  $q(T) = 1 + e^{-\epsilon \beta}$
- 2. State probabilities
- 3. Internal energy U(T)

$$U(T) = -N\left(\frac{\partial \ln(1 + e^{-\epsilon\beta})}{\partial \beta}\right) = \frac{N\epsilon e^{-\epsilon\beta}}{1 + e^{-\epsilon\beta}}$$
(12)

- 4. Heat capacity  $C_v$ 
  - (a) Minimum when change in states with T is small
  - (b) Maximize when chagne in states with T is large
- 5. Helmholtz energy,  $A = -\ln q/\beta$ , decreasing function of T
- 6. Entropy

## 3.2.5 Thermodynamic functions in canonical ensemble

# 3.3 Lecture 19: Molecular Partition Functions

# 3.3.1 Ideal gas of molecules

$$Q_{ig}(N, V, T) = \frac{(q_{\text{trans}}q_{\text{rot}}q_{\text{vib}})^{N}}{N!}$$

#### 3.3.2 Particle-in-a-box (translational states of a gas)

- 1. Energy states  $\epsilon_n = n^2 \epsilon_0, n = 1, 2, ..., \epsilon_0$  tiny for macroscopic V
- 2.  $\Theta_{\text{trans}} = \epsilon_0/k_B$  translational temperature
- 3.  $\Theta_{\rm trans} \ll T \rightarrow {\rm many\ states\ contribute\ to\ } q_{\rm trans} \rightarrow {\rm integral\ approximation}$

$$q_{
m trans,1D} pprox \int_0^\infty e^{-x^2eta\epsilon_0} dx = L/\Lambda$$
 
$$\Lambda = \left(\frac{h^2eta}{2\pi m}\right)^{1/2} \ {
m thermal \ wavelength}$$

- 4. Internal energy
- 5. Heat capacity
- 6. Equation of state (!)
- 7. Entropy: Sackur-Tetrode equation

## 3.3.3 Rigid rotor (rotational states of a gas)

- 1. sum over rigid energy states and degeneracies of rigid rotor
- 2.  $\Theta_{\rm rot} = \hbar^2/2Ik_B$
- 3. "High" T  $q_{\rm rot}(T) \approx \sigma \Theta_{\rm rot}/T$ , most often true

## 3.3.4 Harmonic oscillator (vibrational states of a gas)

- 1. sum over harmonic oscillator energy states
- 2.  $\Theta_{\text{vib}} = h\nu/k_B$ , typically 100's to 1000's K
- 3. introduce strong non-linear T dependence to thermodynamic properties

## 3.3.5 Electronic partition functions $\rightarrow$ spin multiplicity

## 3.3.6 Many-particle molecule

1. partition function is a product of all degrees of freedom

$$q(T, V) = q_{\text{trans}} \left( \prod_{i=1}^{3} q_{\text{rot}}^{(i)} \right) \left( \prod_{i=1}^{3N-6} q_{\text{vib}}^{(i)} \right) q_{\text{elec}}$$

2. thermodynamic quantities are sums of all degrees of freedom

#### 3.3.7 Non-ideality

- 1. Real molecules interact through vdW interactions
- 2. Particle-in-a-box model is a start, have to elaborate to get at properties of liquids, solutions, . . . .
- 3. See Hill, J. Chem. Ed. 1948, 25, p. 347 http://dx.doi.org/10.1021/ed025p347

#### 3.4 Lecture 20: Chemical reactions and equilibria

3.4.1 Isothermal, isbaric separation for ideal gas mixture

$$A/B(N_A, N_B, V, T) \rightarrow A(N_A, x_A V, T) + B(N_B, x_B, V, T)$$

- 1. Apply ideal gas expressions to all parts and compute a difference!
- 2. Internal energy,  $\Delta U(T) = 0$
- 3. Entropy,  $\Delta S(T)/(N_A + N_B) = k_B(x_A \ln(A) + x_B \ln(x_B))$
- 4. Minimum work of separation,  $\Delta A(T) = \Delta U T\Delta S > 0$

#### 3.4.2 Chemical reaction

- 1. General chemical reaction  $\sum_{i} \nu_{i} A_{i} = 0$ ,  $\nu_{i}$  stoichiometric coefficients
- 2. Thermodynamic change  $\Delta W^{\circ}(T) = \sum_{i} \nu_{i} W_{i}^{\circ}(T)$ , where  $W = A, U, S, G, \dots$
- 3. "Standard state" derives from concentration dependence of entropy
- 4. "Standard state" corresponds to some standard choice,  $(N/V)^{\circ} = c^{\circ}$ , e.g. 1 mol/l (T-independent), or  $(N/V)^{\circ} = P^{\circ}/RT$ , e.g. 1 bar (T-dependent)
- 5. Permits functions to be easily computed at other concentrations, e.g.

$$A(T, N/V) = A^{\circ}(T) + kT \ln ((N/V)/(N/V)^{\circ}) = A^{\circ}(T) + kT \ln (c/c^{\circ})$$

- 6. Example: ethane dehydrogenation,  $C_2H_6 \longrightarrow C_2H_4 + H_2$ , 1 bar standard state
- 7. Reaction entropy captures contributions of all degrees of freedom
- 8. Reaction energy (internal, Helmholtz, ...) must also capture difference in 0 K electronic energy

$$\Delta U^{\circ}(T) = U_{\rm B}^{\circ}(T) - U_{\rm A}^{\circ}(T) + \Delta E(0)$$

#### 3.4.3 Chemical equilibrium

1. At chemical equilbrium, total free energy minimized with respect to reaction advancement  $\xi$ 

$$G(T,\xi) = \xi(\Delta G^{\circ} + kT \sum_{i} \nu_{i} \ln P_{i}/P^{\circ})$$

- 2. Convolution of energy and entropy effects
- 3. Equilibrium condition—equate chemical potentials

$$\begin{array}{rcl} \mu_A(N,V,T) & = & \mu_B(N,V,T) \\ E_A(0) - kT \ln(q_A/N_A) & = & E_B(0) - kT \ln(q_B/N_B) \\ \frac{N_B}{N_A} = \frac{N_B/V}{N_A/V} & = & \frac{q_B(T,V)/V}{q_A(T,V)/V} e^{-\Delta E(0)/kT} \end{array}$$

- 4.  $q/V = 1/\Lambda^3$  has units of number/volume, or concentration
- 5. Equilibrium constant—convert units to some standard concentration  $c^{\circ}$  or pressure  $P^{\circ}$

$$q_A^{\circ}(T) = (q_A(T, V)/V)(1/c^{\circ})$$
  
 $q_A^{\circ}(T) = (q_A(T, V)/V)(RT/P^{\circ})$   
 $K_{eq}(T) = \frac{q_B^{\circ}(T)}{q_A^{\circ}(T)}e^{-\Delta E(0)/kT} = e^{-\Delta G^{\circ}(T)/kT}$ 

#### 3.4.4 Le'Chatlier's principle

- 1. Example: ethane dehydrogenation,  $C_2H_6 \longrightarrow C_2H_4 + H_2$ , endothermic, positive entropy
- 2. Equilibrium composition starting from C<sub>2</sub>H<sub>6</sub>, at constant pressure

$$K_p(T) = \frac{q_{\text{C}_2\text{H}_4}^{\circ}(T)q_{\text{H}_2}^{\circ}(T)}{q_{\text{C}_2\text{H}_6}^{\circ}(T)}e^{-\Delta E(0)/k_BT} = \frac{P_{\text{C}_2\text{H}_4}P_{\text{H}_2}}{P_{\text{C}_2\text{H}_6}}\frac{1}{P^{\circ}} = \frac{P}{P^{\circ}}\frac{x^2}{(1-x)}$$

- 3. Response to temperature: Boltzmann distribution favors higher energy things as T increases
- 4. Response to pressure change: translational DOFs increasingly favor side with more molecules as volume increases/pressure decreases

## 3.5 Lecture 21: Chemical kinetics

#### 3.5.1 Kinetics and reaction rates

1. Rate: number per unit time per unit something

## 3.5.2 Empirical chemical kinetics

- 1. Rate laws, rate orders, and rate constants
- 2. Functions of T, P, composition  $C_i$
- 3. differential vs integrated rate laws
- 4. Arrhenius expression,  $k = Ae^{-E_a/k_BT}$ 
  - (a) Arrhenius plot,  $\ln k \text{ vs } 1/T$

#### 3.5.3 Reaction mechanisms

- 1. Elementary steps and molecularity
- 2. Ozone decomposition, rate second-order at high  $P_{O_2}$ , first-order at low  $P_{O_2}$

$$2O_3 \longrightarrow 3O_2$$

$$O_3 \xrightarrow{k_1} O_2 + O$$

$$O_2 + O \xrightarrow{k_2} O_3$$

$$O + O_3 \xrightarrow{k_2} 2O_2$$

- 3. Collision theory
  - (a)  $A + B \rightarrow products$
  - (b) rate proportional to A/B collision frequency  $z_{AB}$  weighted by fraction of collisions with energy  $> E_a$

$$r = kC_A C_B, k = \left(\frac{8k_B T}{\pi \mu}\right)^{1/2} \sigma_{AB} N_{av} e^{-E_a/k_B T}$$

(c) upper bound on real rates

## 3.5.4 Transition state theory (TST)

- 1. Assumptions
  - (a) Existence of reaction coordinate (PES)
  - (b) Existence of dividing surface
  - (c) Equilibrium between reactants and "transition state"
  - (d) Harmonic approximation for transition state
- 2. rate proportional to concentration of "activated complex" over reactants times crossing frequency

$$r = kC_A C_B$$

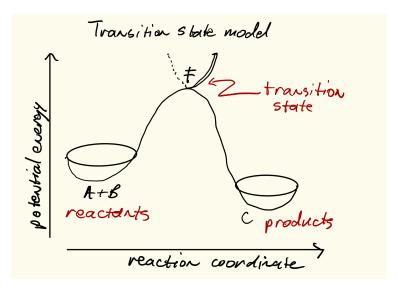
$$= k^{\ddagger} C_{AB}^{\ddagger}$$

$$= \nu^{\ddagger} K^{\ddagger} C_A C_B$$

$$= \nu^{\ddagger} \frac{k_B T}{h \nu^{\ddagger}} \bar{K}^{\ddagger}(T) C_A C_B$$

$$= \frac{k_B T}{h} \frac{q^{\ddagger}(T)}{q_A(T) q_B(T)} e^{-\Delta E(0)/k_B T} C_A C_B$$

- 3. application to atom atom collision
- 4. application to two molecules vinyl alcohol to acetaldehyde
- 5. microscopic reversibility
- 6. equilibrium requirement  $K_{eq}(T) = k_f(T)/k_r(T)$



#### 3.5.5 Locating transition states computationally

#### 3.5.6 Thermodynamic connection

1. Relate activated complex equilibrium constant to activation free energy

$$\bar{K}^{\ddagger}(T) = e^{-\Delta G^{\circ\ddagger}(T)/kT} = e^{-\Delta H^{\circ\ddagger}(T)/k_BT} e^{\Delta S^{\circ\ddagger}(T)/k_B}$$

2. Compare to Arrhenius expression

$$E_a = \Delta H^{\circ \ddagger}(T) + kT, A = \frac{k_B T}{h} e^1 e^{\Delta S^{\circ \ddagger}(T)/k_B}$$

# 3.5.7 Heterogeneous reactions and catalysis

- 1. molecule-surface collisions
- 2. surface reactions

./Images/TS-Ethylene.gif

## 3.5.8 Diffusion-controlled reactions

- 1. Intermediate complex
- 2. Steady-state approximation
- 3. Diffusion-controlled limit  $(k_D = 4\pi(r_A + r_B)D_{AB})$
- 4. Reaction-controlled limit  $(k_{app} = (k_D/k_{-D})k_r)$

#### 3.6 Lecture 22: Conclusion

1. Do you think about the burning lighter any differently now?

Table 12: 2-D rigid rotor model

$$V(\phi) = 0, 0 \le \phi \le 2\pi$$

$$\hat{H} = -\frac{\hbar^2}{2I} \frac{\partial^2}{\partial \phi^2}, \qquad I = \mu R^2$$

$$\psi_{m_l}(\phi) = \frac{1}{\sqrt{2\pi}} e^{-im_l \phi}, m_l = 0, \pm 1, \pm 2, \dots$$

$$E_{m_l} = \frac{\hbar^2}{2I} m_l^2$$

$$L_z = m_l \hbar$$

$$\frac{\text{Absorption Selection Rules}}{\Delta m_l = \pm 1}$$

Table 13: 3-D rigid rotor model

$$V(\theta,\phi) = 0, 0 \le \phi \le 2\pi, 0 \le \theta < \pi$$

$$\hat{L}^2 = -\hbar^2 \left[ \frac{1}{\sin^2 \theta} \frac{\partial^2}{\partial \phi^2} + \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial}{\partial \theta} \right) \right]$$

$$\hat{H}_{rot} = \frac{1}{2I} \hat{L}^2$$

$$Y_{lm_l}(\theta,\phi) = N_l^{|m|} P_l^{|m|} (\cos(\theta)) e^{im_l \phi}$$

$$l = 0, 1, 2, \dots, \qquad m_l = 0, \pm 1, \dots, \pm l$$

$$E_l = \frac{\hbar^2}{2I} l(l+1)$$

$$|L| = \hbar \sqrt{l(l+1)}, L_z = m_l \hbar$$

$$\frac{\text{Selection Rules}}{\Delta l = \pm 1} \Delta m_l = 0, \pm 1$$

Table 14: Hydrogen atom

$$V(r) = -\frac{e^2}{4\pi\epsilon_0} \frac{1}{r}, 0 < r < \infty$$

$$\hat{H} = -\frac{\hbar^2}{2m_e} \frac{1}{r^2} \left[ \frac{\partial}{\partial r} r^2 \frac{\partial}{\partial r} + \hat{L}^2 \right] + V(r)$$

$$\psi(r, \theta, \phi) = R(r) Y_{l,m_l}(\theta, \phi)$$

$$\left\{ -\frac{\hbar^2}{2m_e} \frac{1}{r^2} \frac{d}{dr} \left( r^2 \frac{d}{dr} \right) + \frac{\hbar^2 l(l+1)}{2m_e r^2} - \frac{e^2}{4\pi\epsilon_0} \frac{1}{r} \right\} R(r) = ER(r)$$

$$R_{nl}(r) = N_{nl} e^{-x/2} x^l L_{nl}(x), \quad x = \frac{2r}{na_0}$$

$$P_{nl}(r) = r^2 R_{nl}^2$$

$$n = 1, 2, \dots, \quad l = 0, \dots, n-1 \quad m_l = 0, \pm 1, \dots, \pm l$$

$$N_{nl} = \sqrt{\left(\frac{2}{na_0}\right)^3 \frac{(n-l-1)!}{2n(n+l)!}}$$

$$L_{10} = L_{21} = L_{32} = \dots = 1 \quad L_{20} = 2 - x \quad L_{31} = 4 - x$$

$$E_n = -\frac{1}{2} \frac{\hbar^2}{m_e a_0^2} \frac{1}{n^2} = -\frac{E_H}{2} \frac{1}{n^2}$$

$$|L| = \hbar \sqrt{l(l+1)}, L_z = m_l \hbar$$

$$\langle r \rangle = \left\{ \frac{3}{2} n^2 - \frac{1}{2} l(l+1) \right\} \frac{a_0}{Z}$$

$$\frac{\text{Selection Rules}}{\Delta m_l = 0, \pm 1} \quad \Delta m_s = 0$$

Hydrogen (Z = 1) Helium (Z = 2) KE <1/r> 1.5175 1.7352 0.9133 0.60 total energy = -0.5002 virial ratio = -1.9996 total energy = -2.8527 virial ratio = -1.9399 0.20 0.10 Neon (Z = 10)Argon (Z = 18) total energy = -128.3615 virial ratio = -1.9561 total energy = -526.8275 virial ratio = -1.9719 2s distance (bohr) distance (boly) Krypton (Z = 18) DFT-computed vs experimental 1st ionization energies 24 22 05 TH (eV) 14 distance (bohr)

**Table 15:** Numerical DFT Solutions for Atoms

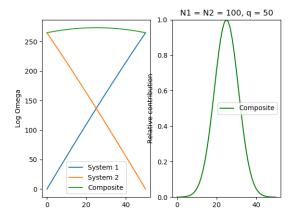
24

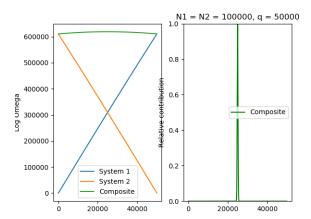
22

18 20 Experiment (eV)

14

**Table 16:** Left: Energy distribution in two small, interacting two-state systems. Right: Energy distribution in two large interacting two-state systems





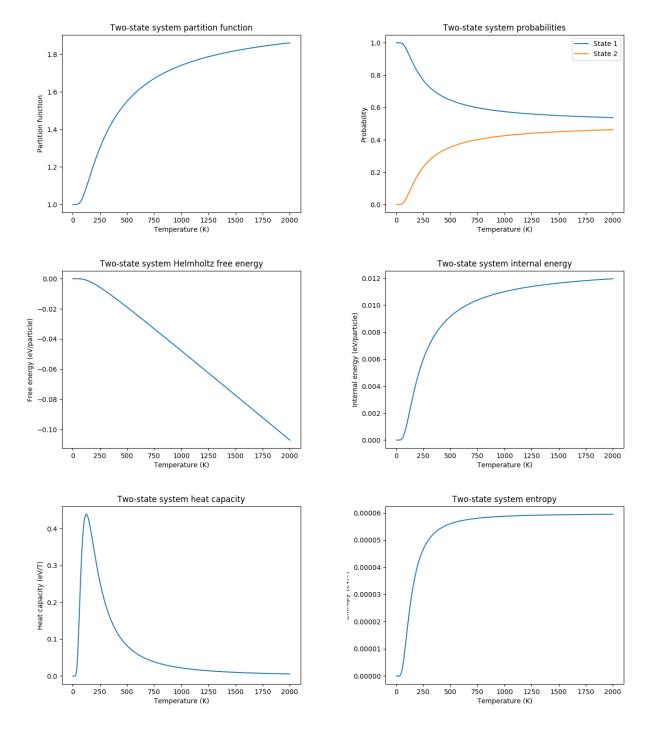


Table 17: Two-state system thermodynamics

**Table 18:** Equations of the Canoncial (NVT) Ensemble

$\beta = 1/k_B T$	Full Ensemble	Distinguishable particles (e.g. atoms in a lattice)	Indistinguishable particles (e.g. molecules in a fluid)
Single particle partition function		$q(V,T) = \sum_{i} e^{-\epsilon_{i}\beta}$	$q(V,T) = \sum_{i} e^{-\epsilon_{i}\beta}$
Full partition function	$Q(N, V, T) = \sum_{j} e^{-U_{j}\beta}$ $\ln Q$	$Q = q(V, T)^N$	$Q = q(V, T)^N / N!$
Log partition function	$\ln Q$	$N \ln q$	$N \ln q - \ln N!$ $\approx N(\ln q - \ln N + 1)$
Helmholtz energy $(A = U - TS)$	$-\frac{\ln Q}{\beta}$	$-\frac{N\ln q}{\beta}$	$-\frac{N}{\beta} \left( \ln \frac{q}{N} + 1 \right)$
Internal energy $(U)$	$-\left(\frac{\partial \ln Q}{\partial \beta}\right)_{NV}$	$-N\left(\frac{\partial \ln q}{\partial \beta}\right)_V$	$-N\left(\frac{\partial \ln q}{\partial \beta}\right)_V$
Pressure $(P)$	$\frac{1}{\beta} \left( \frac{\partial \ln Q}{\partial V} \right)_{N\beta}$	$\frac{N}{\beta} \left( \frac{\partial \ln q}{\partial V} \right)_{\beta}$	$\frac{N}{\beta} \left( \frac{\partial \ln q}{\partial V} \right)_{\beta}$
Entropy $(S/k_B)$	$\beta U + \ln Q$	$\beta U + N \ln q$	$\beta U + N\left(\ln(q/N) + 1\right)$
Chemical potential $(\mu)$	$-\frac{1}{\beta} \left( \frac{\partial \ln Q}{\partial N} \right)_{VT}$	$-\frac{\ln q}{\beta}$	$-\frac{\ln(q/N)}{\beta}$

**NOTE!** All energies are referenced to their values at 0 K. Enthalpy H = U + PV, Gibb's Energy G = A + PV.

Table 19: Statistical Thermodynamics of an Ideal Gas

**Translational DOFs** 3-D particle in a box model

$$\begin{split} \theta_{\rm trans} &= \frac{\pi^2 \hbar^2}{2mL^2 k_B}, \, \Lambda = h \left(\frac{\beta}{2\pi m}\right)^{1/2} \\ \text{For } T >> \Theta_{\rm trans}, \, \Lambda << L, \, q_{\rm trans} = V/\Lambda^3 \text{ (essentially always true)} \\ U_{\rm trans} &= \frac{3}{2}RT \quad C_{\rm v,trans} = \frac{3}{2}R \quad S_{\rm trans}^{\circ} = R \ln \left(\frac{e^{5/2}V^{\circ}}{N^{\circ}\Lambda^3}\right) = R \ln \left(\frac{e^{5/2}k_BT}{P^{\circ}\Lambda^3}\right) \end{split}$$

## Rotational DOFs Rigid rotor model

Linear molecule  $\theta_{\rm rot} = hcB/k_B$ 

$$q_{\rm rot} = \frac{1}{\sigma} \sum_{l=0}^{\infty} (2l+1) e^{-l(l+1)\theta_{\rm rot}/T}, \approx \frac{1}{\sigma} \frac{T}{\theta_{\rm rot}}, \quad T >> \theta_{\rm rot} \quad \sigma = \left\{ \begin{array}{ll} 1, & {\rm unsymmetric} \\ 2, & {\rm symmetric} \end{array} \right.$$

$$U_{\text{rot}} = RT$$
  $C_{\text{v,rot}} = R$   $S_{\text{rot}}^{\circ} = R(1 - \ln(\sigma\theta_{\text{rot}}/T))$ 

Non-linear molecule  $\theta_{\text{rot},\alpha} = hcB_{\alpha}/k_B$ 

$$q_{\mathrm{rot}} pprox rac{1}{\sigma} \left( rac{\pi T^3}{\theta_{\mathrm{rot},\alpha} \theta_{\mathrm{rot},\beta} \theta_{\mathrm{rot},\gamma}} 
ight)^{1/2}, \quad T >> \theta_{\mathrm{rot},\alpha,\beta,\gamma} \quad \sigma = \mathrm{rotational\ symmetry\ number}$$

$$U_{\rm rot} = \frac{3}{2}RT \quad C_{\rm v,rot} = \frac{3}{2}R \quad S_{\rm rot}^{\circ} = \frac{R}{2}\left(3 - \ln\frac{\sigma\theta_{\rm rot,\alpha}\theta_{\rm rot,\beta}\theta_{\rm rot,\gamma}}{\pi T^3}\right)$$

## Vibrational DOFs Harmonic oscillator model

Single harmonic mode  $\theta_{\rm vib} = h\nu/k_B$ 

$$q_{\mathrm{vib}} = \frac{1}{1 - e^{-\theta_{\mathrm{vib}}/T}} \approx \frac{T}{\theta_{\mathrm{vib}}}, \quad T >> \theta_{\mathrm{vib}}$$

$$U_{\text{vib}} = C_{\text{v,vib}} = S_{\text{vib},i}^{\circ} = R \frac{\theta_{\text{vib}}}{e^{\theta_{\text{vib}}/T} - 1} R \left( \frac{\theta_{\text{vib}}}{T} \frac{e^{\theta_{\text{vib}}/2T}}{e^{\theta_{\text{vib}}/T} - 1} \right)^{2} R \left( \frac{\theta_{\text{vib}}/T}{e^{\theta_{\text{vib}}/T} - 1} - \ln(1 - e^{-\theta_{\text{vib}}/T}) \right)$$

Multiple harmonic modes  $\theta_{\text{vib},i} = h\nu_i/k_B$ 

$$q_{\text{vib}} = \prod_{i} \frac{1}{1 - e^{-\theta_{\text{vib},i}/T}}$$

$$U_{\text{vib}} = C_{\text{v,vib}} = S_{\text{vib},i}^{\circ} = R \sum_{i} \frac{\theta_{\text{vib},i}}{e^{\theta_{\text{vib},i}/T} - 1} R \sum_{i} \left( \frac{\theta_{\text{vib},i}}{T} \frac{e^{\theta_{\text{vib},i}/2T}}{e^{\theta_{\text{vib},i}/T} - 1} \right)^{2} R \left( \frac{\theta_{\text{vib},i}/T}{e^{\theta_{\text{vib},i}/T} - 1} - \ln(1 - e^{-\theta_{\text{vib},i}/T}) \right)$$

Electronic DOFs  $q_{\text{elec}} = \text{spin multiplicity}$ 

Table 20: Contributions to ideal gas thermodynamics

	Characteristic Energy (cm <sup>-1</sup> )	Characteristic Temperature (K)	States @ RT	
translational	$\hbar^2/2mL^2 \approx 10^{-21}$	$10^{-21}$	$10^{30}$	classical limit
rotational	$\approx 1$	$\approx 1$	100's	semi-classical
vibrational	$\approx 1000$	$\approx 1000$	1	non-classical
electronic	$\approx 10,000$	$\approx 10,000$	1	non-classical

Table 21: Ethane thermodynamics

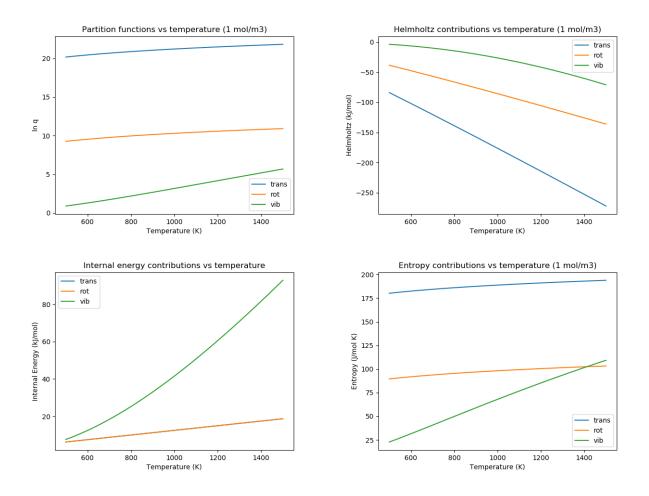


Table 22: Ethane to ethylene plus hydrogen standard state (1 bar) thermodynamcs

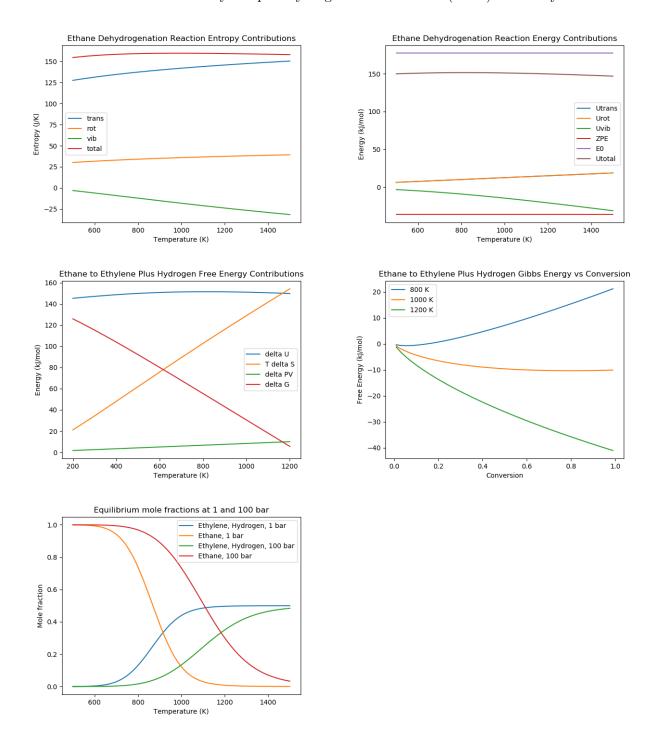


Table 23: Basic kinetic rate laws

	differential rate	integrated rate	half-life
First order	$r = kC_A$	$C_A = C_{A0}e^{-k\tau}$	$\frac{1 \ln 2/k}{}$
Second order	$r = kC_A^2$	$1/C_A = 1/C_{A0} + k\tau$	$1/kC_{A0}$

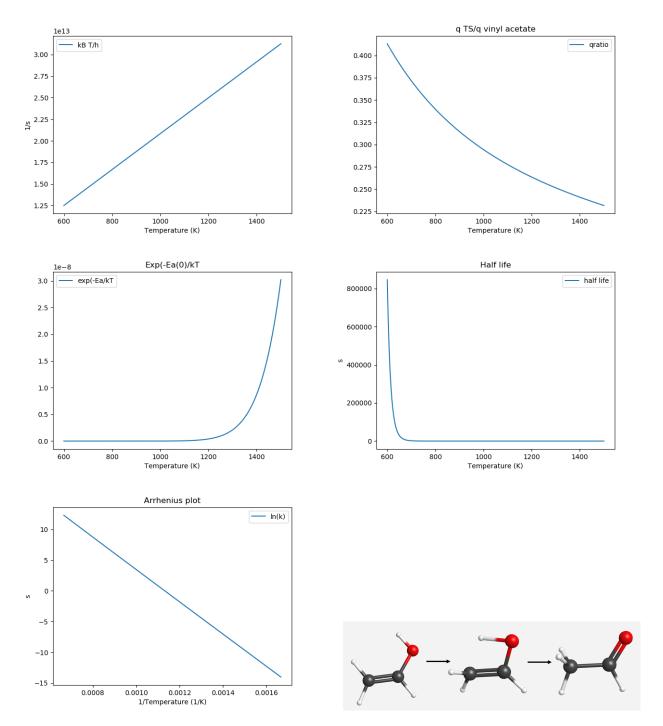


Table 24: Vinyl alcohol to acetaldehyde

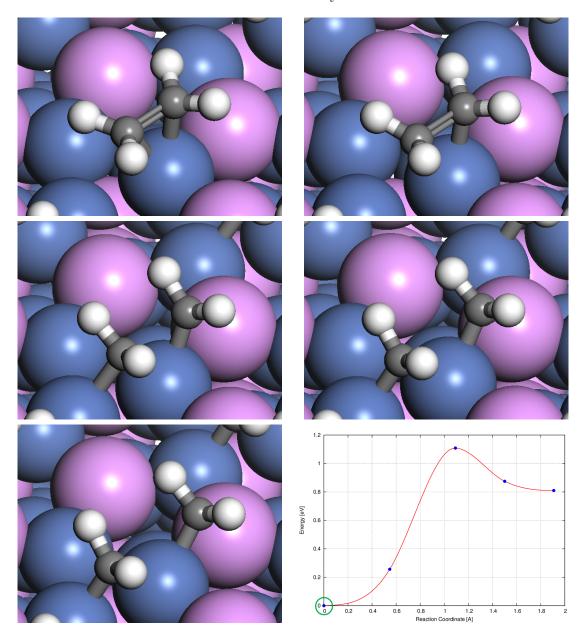


Table 25: DFT PES for ethylene dissociation on Ni2P

**Table 26:** Equilibrium and Rate Constants

Equilibrium Constants  $a A + b B \rightleftharpoons c C + d D$ 

$$K_{eq}(T) = e^{\Delta S^{\circ}(T)/k_{B}} e^{-\Delta H^{\circ}(T)/k_{B}T}$$

$$K_{c}(T) = \left(\frac{1}{c^{\circ}}\right)^{\nu_{c}+\nu_{d}-\nu_{a}-\nu_{b}} \frac{(q_{c}/V)^{\nu_{c}}(q_{d}/V)^{\nu_{d}}}{(q_{a}/V)^{\nu_{a}}(q_{b}/V)^{\nu_{b}}} e^{-\Delta E(0)\beta}$$

$$K_{p}(T) = \left(\frac{k_{B}T}{P^{\circ}}\right)^{\nu_{c}+\nu_{d}-\nu_{a}-\nu_{b}} \frac{(q_{c}/V)^{\nu_{c}}(q_{d}/V)^{\nu_{d}}}{(q_{a}/V)^{\nu_{a}}(q_{b}/V)^{\nu_{b}}} e^{-\Delta E(0)\beta}$$

Unimolecular Reaction  $[A] \rightleftharpoons [A]^{\ddagger} \rightarrow C$ 

$$k(T) = \nu^{\ddagger} \bar{K}^{\ddagger} = \frac{k_B T}{h} \frac{\bar{q}_{\ddagger}(T)/V}{q_A(T)/V} e^{-\Delta E^{\ddagger}(0)\beta}$$

$$E_a = \Delta H^{\circ \ddagger} + k_B T$$
  $A = e^1 \frac{k_B T}{h} e^{\Delta S^{\circ \ddagger}}$ 

Bimolecular Reaction  $A + B \rightleftharpoons [AB]^{\ddagger} \rightarrow C$ 

$$k(T) = \nu^{\ddagger} \bar{K}^{\ddagger} = \frac{k_B T}{h} \frac{q_{\ddagger}(T)/V}{(q_A(T)/V)(q_B(T)/V)} \left(\frac{1}{c^{\circ}}\right)^{-1} e^{-\Delta E^{\ddagger}(0)\beta}$$
$$E_a = \Delta H^{\circ \ddagger} + 2k_B T \quad A = e^2 \frac{k_B T}{h} e^{\Delta S^{\circ \ddagger}}$$