

# THEORY OF AUTOMATA AND FORMAL LANGUAGES

## Use of Theory of automata

- \* Main application was sequential switching circuits, where the "state" was the settings of internal bits.
- \* Software for scanning large bodies of text (e.g., web pages) for pattern finding
- \* Compiler design
- \* Several kinds of software can be modeled by FA.
- \* In C/C++, make a piece of code for each state. This code:
  1. Reads the next input.
  2. Decides on the next state.
  3. Jumps to the beginning of the code for that state.

\* An **alphabet** is any finite set of symbols. It is denoted by " $\Sigma$ "  
Examples:  $\{0,1\}$ ,  $\{a,b,c\}$ .

\* A **string or word** is a finite sequence of symbols chosen from  $\Sigma$   
Examples: 0, 1, 01, 10, 110, 001, 111, ....

➤ **Empty string is  $\epsilon$**

\* Length of a string  $w$ , denoted by " $|w|$ ", is equal to the number of (non- $\epsilon$ ) characters in the string

E.g.,  $x = 010100$        $|x| = 6$

➤  $xy$  = concatenation of two strings  $x$  and  $y$

Let  $\Sigma$  be an alphabet.

- $\Sigma^k$  = the set of all strings of length  $k$
- Kleen closure  $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \dots$
- Positive closure  $\Sigma^+ = \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \cup \dots$

\*  $L$  is said to be a language over alphabet  $\Sigma$ , only if  $L \subseteq \Sigma^*$   
this is because  $\Sigma^*$  is the set of all strings (of all possible length including 0) over the given alphabet  $\Sigma$

Examples:

1. Let  $L$  be the language of all strings consisting of  $n$  0's followed by  $n$  1's:

$L = \{ \epsilon, 01, 0011, 000111, \dots \}$

2. Let  $L$  be the language of all strings of with equal number of 0's and 1's:

$L = \{ \epsilon, 01, 10, 0011, 1100, 0101, 1010, 1001, \dots \}$

$\emptyset$  denotes the Empty language.

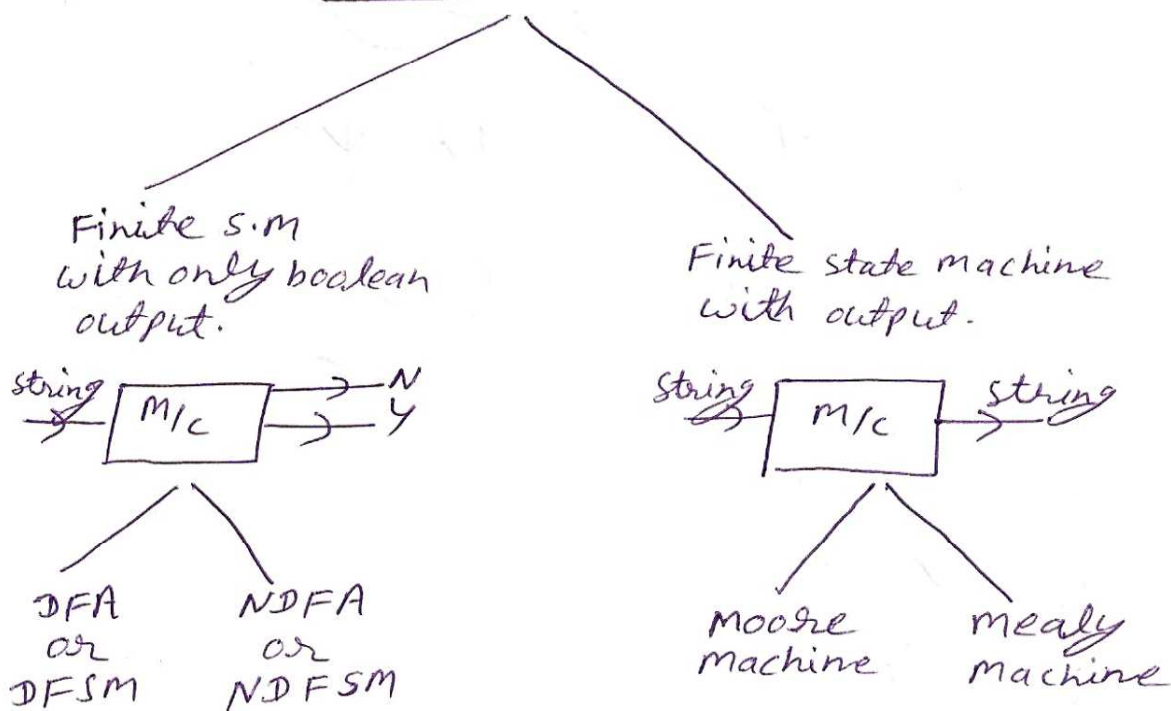
Let  $L = \{ \epsilon \}$ ; then  $L$  is not empty language.

2

\* A Grammar is a finite list of Rules defining a language.

Finite state machine  
or

Finite Automata



Deterministic Finite Automata (DFA):

A DFA is a five tuple machine that can be represented as —

$$M = (Q, \Sigma, S, q_0, F)$$

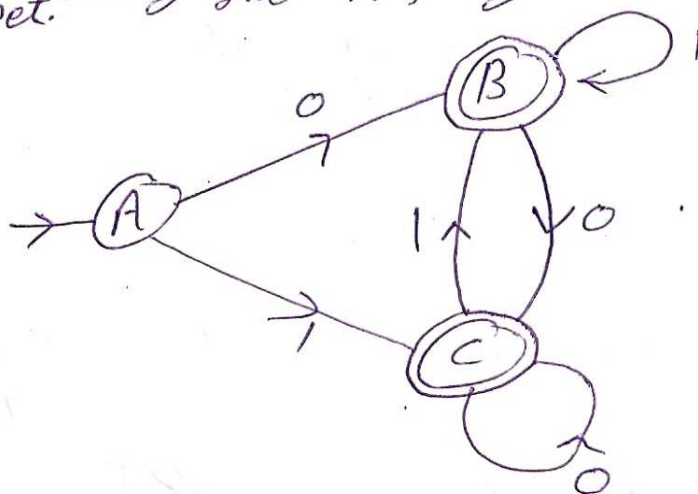
- where
- (i)  $Q$  is a Finite nonempty set of states.
  - (ii)  $\Sigma$  is a finite nonempty set of input symbols or input alphabets.
  - (iii)  $S$  is a function which maps  $Q \times \Sigma$  into  $Q$  and is usually called direct transition function. This function describes the changes of states during the transition. Usually, this mapping is represented by a transition table or a transition diagram.

(iv)  $q_0$  is the initial state  $q_0 \in Q$ .

(v)  $F$  is the <sup>set of</sup> final state  $F \in Q$ .

Note: in DFA, ~~any~~ every state has only one transition for a alphabet.

example:



here

$$Q = \{A, B, C\}$$

$$\Sigma = \{0, 1\}$$

$$q_0 = \{A\}$$

$$F = \{B, C\}$$

$$\delta =$$

$$\delta(A, 0) = B$$

$$\delta(A, 1) = C$$

$$\delta(B, 0) = C$$

$$\delta(B, 1) = B$$

$$\delta(C, 0) = C$$

$$\delta(C, 1) = B$$

or

Transition table

| $\delta$ | 0 | 1 |
|----------|---|---|
| A        | B | C |
| B        | C | B |
| C        | C | B |



## Acceptability of String by DFA

String  $X$  will be Accepted by D.F.A. if

$\delta(q_0, X) = q$  where  $q \in F$  because Final state is accepting state.

ex. check that 1101 is accepted or not in previous DFA

$$\begin{aligned}\delta(A, 1101) &= \delta(\delta(A, 1), 101) \\ &= \delta(C, 101) = \delta(\delta(C, 1), 01) \\ &= \delta(B, 01) = \delta(\delta(B, 0), 1) \\ &= \delta(C, 1) = B\end{aligned}$$

$B$  is a Final state so string 1101 is accepted by this m/c.

Note :- This type of transection (string transection) is called extended transection function.

Prob. 1. Design a DFA which accept all those string, ending with "11"

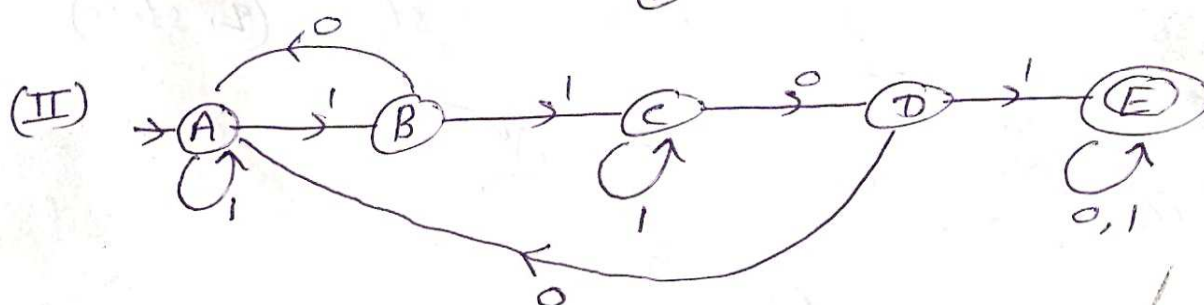
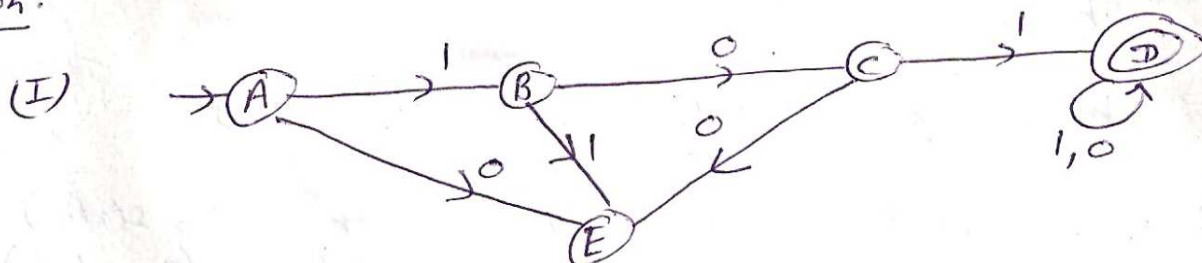
## Language of a DFA:

Let DFA  $A = (Q, \Sigma, \delta, q_0, F)$ , then language of DFA  $A$  is  $L(A) = \{w \mid \hat{\delta}(q_0, w) \text{ is in } F\}$   
 means set of all those string which will accept by this DFA.

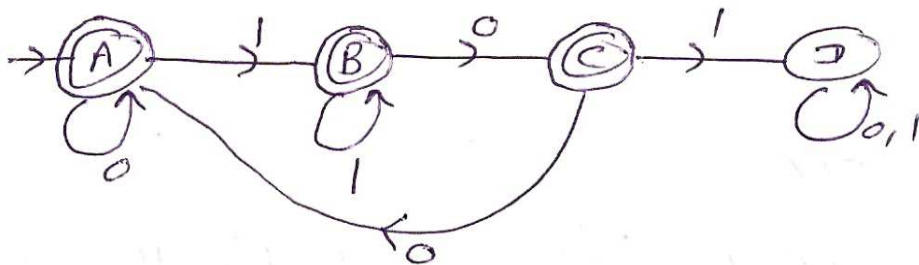
Prob. Give DFA's accepting the following strings over the alphabet  $\{0, 1\}$

- (I) The set of all strings beginning with 101
- (II) The set of all string containing 1101 as a sub-string.
- (III) The set of all strings not containing 110
- (IV) The set of all string that begin with 01 and end with 11.

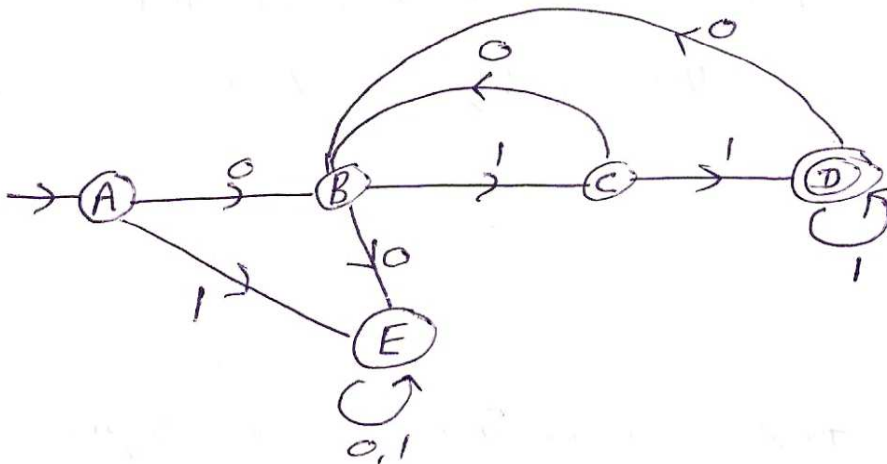
Solution.



(iii)



(iv)

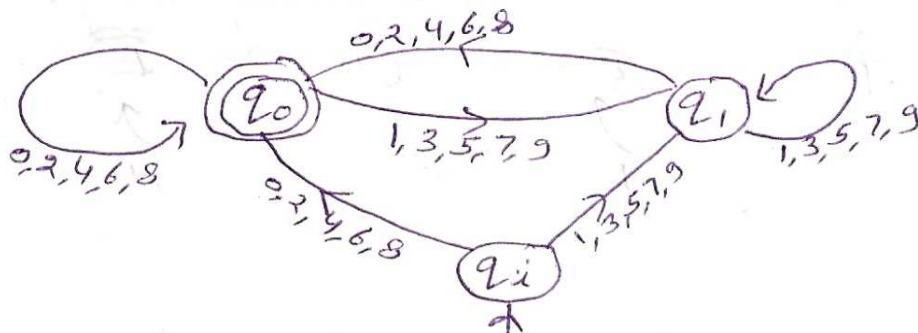


Dummy state: For any m/c all those transition which are not define for any state it will transit to dummy state. Dummy state will have only incoming edge from other state. There will be only one dummy state for any m/c.

Q. Design a DFA for testing a number is divisible by 2 or not.

Sol. here  $\Sigma = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$

hint: remainder 0 means Final state ( $q_0$  state)  
remainder 1 means nonFinal state ( $q_1$  state)



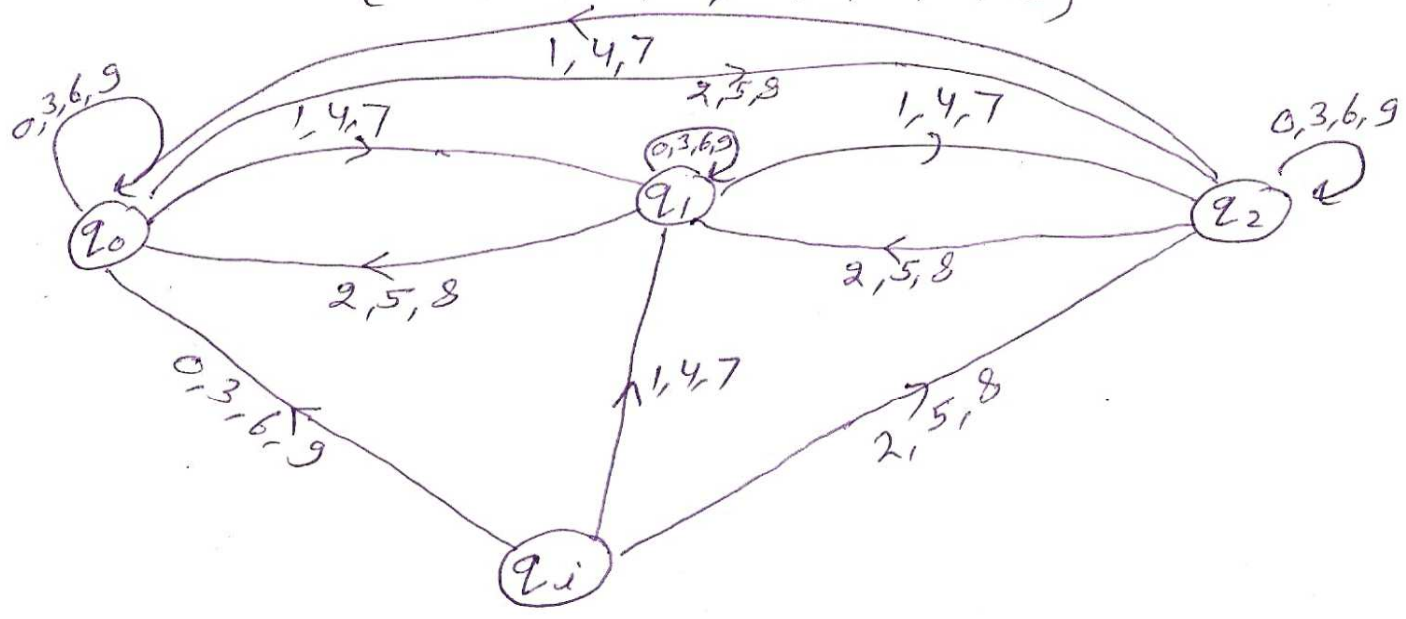


Prob. Design a DFA for ~~any~~ testing a number is divisible by 3 or not.

Solution:

Hint: remainder 0 (Final) ( $q_0$  state)  
 remainder 1 nonFinal ( $q_1$  state)  
 remainder 2 (nonFinal) ( $q_2$  state)

$$\Sigma = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$$



$$\begin{aligned} 30\% \cdot 3 &= 0 \\ 31\% \cdot 3 &= 1 \\ 32\% \cdot 3 &= 2 \\ 33\% \cdot 3 &= 0 \end{aligned}$$

$$\begin{aligned} 40\% \cdot 3 &= 1 \\ 41\% \cdot 3 &= 2 \\ 42\% \cdot 3 &= 0 \end{aligned}$$

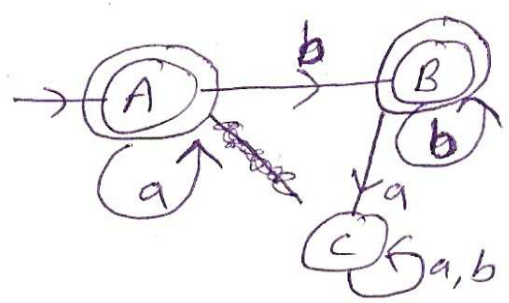
$$\begin{aligned} 50\% \cdot 3 &= 2 \\ 51\% \cdot 3 &= 1 \\ 52\% \cdot 3 &= 0 \end{aligned}$$

$$59\% \cdot 3 = 2$$

$$49\% \cdot 3 = 1$$

$$39\% \cdot 3 = 0$$

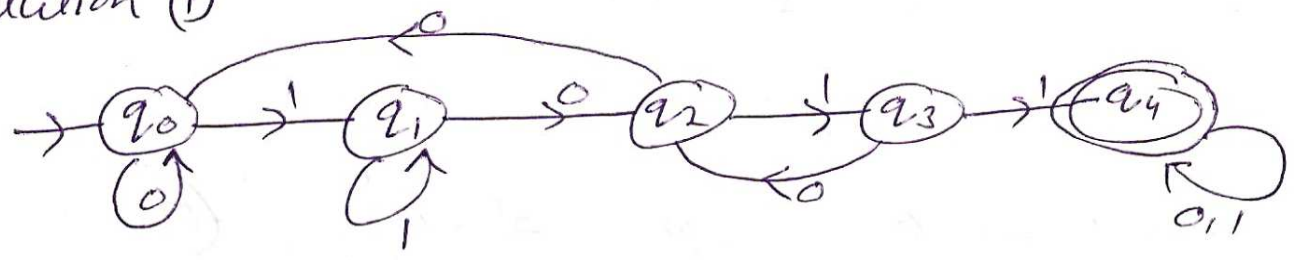
Prob. Design a DFA for  $a^m b^n$   $\forall m, n \geq 0$



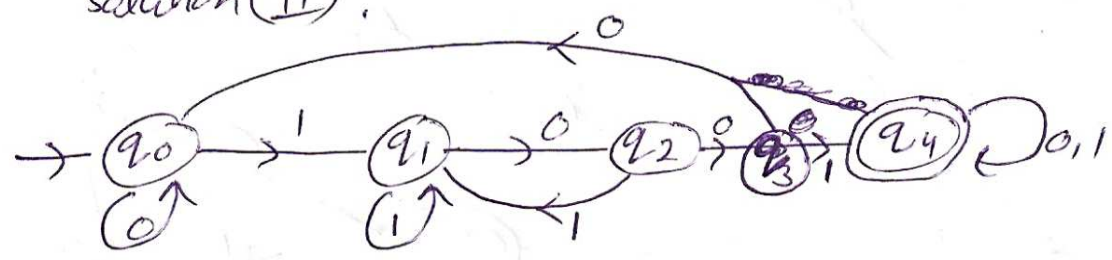
# Assignment - 1

Q. (V) Design a DFA for set of strings which containing as substring (i) 1011 (ii) 1001 (iii) 10101 (iv) 11011

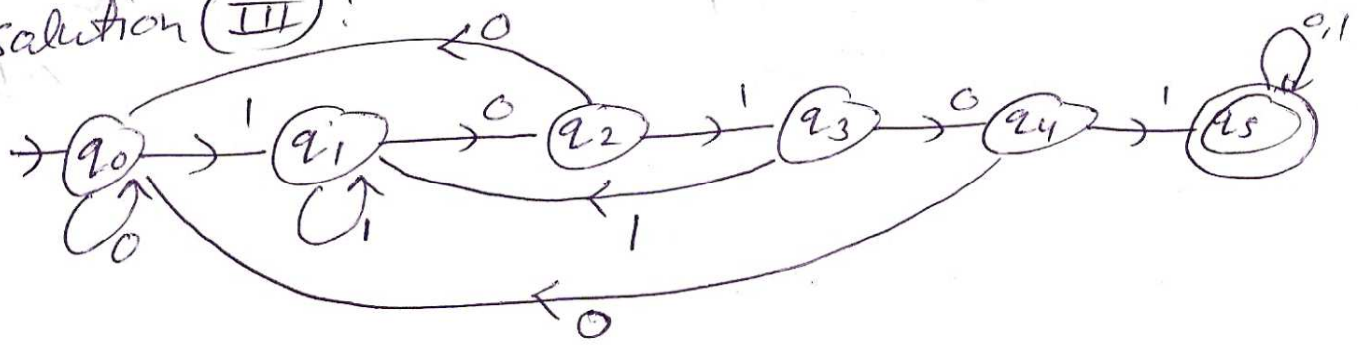
Solution (i)



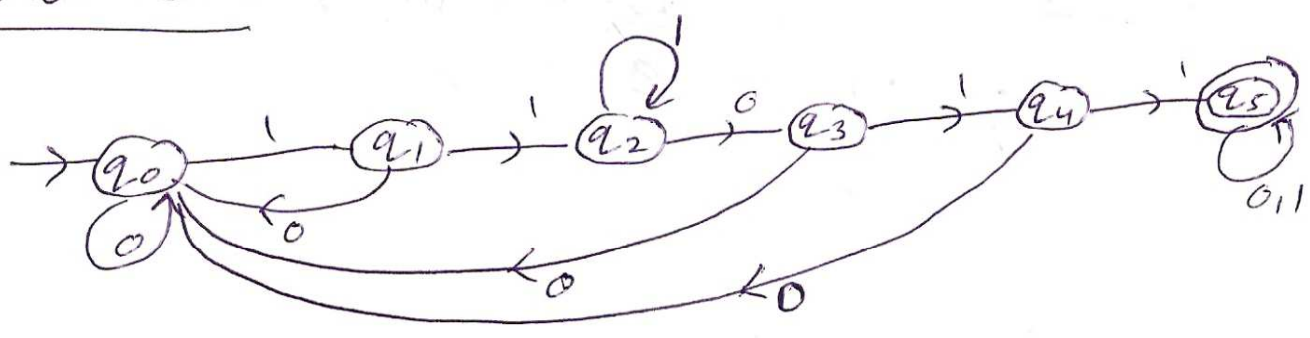
Solution (II):



Solution (III):



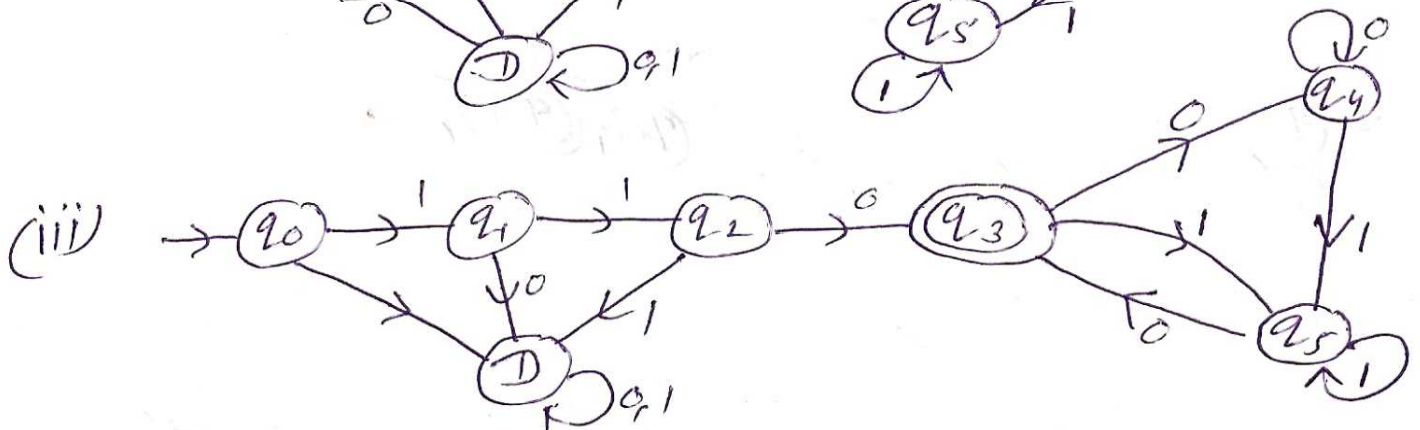
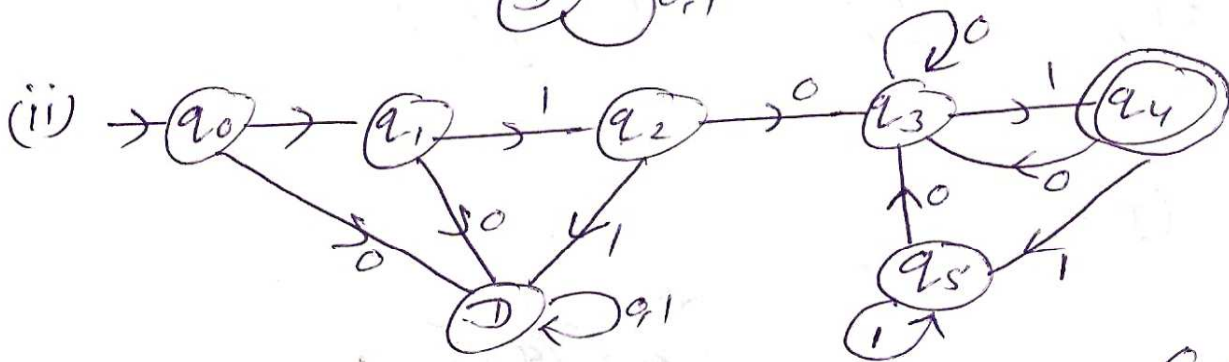
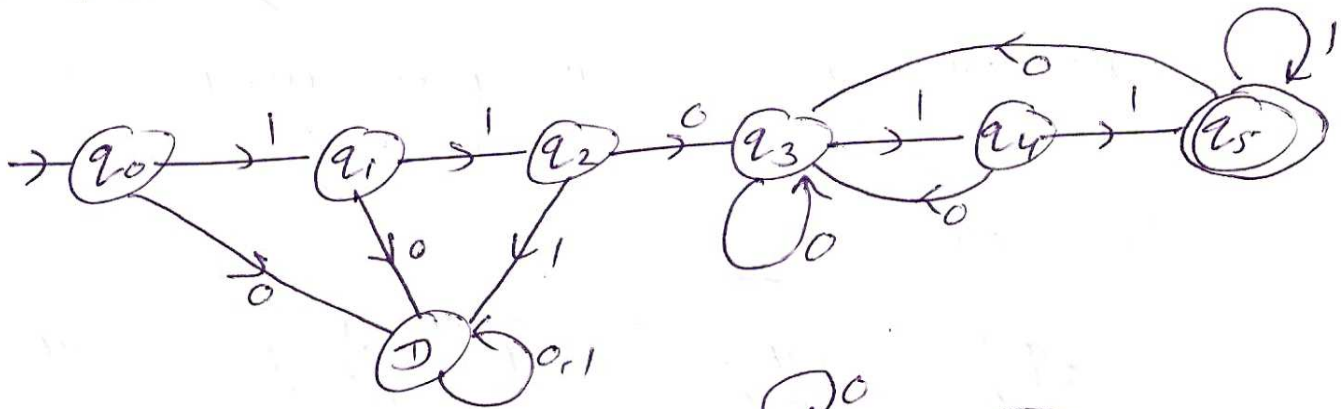
Solution (IV):



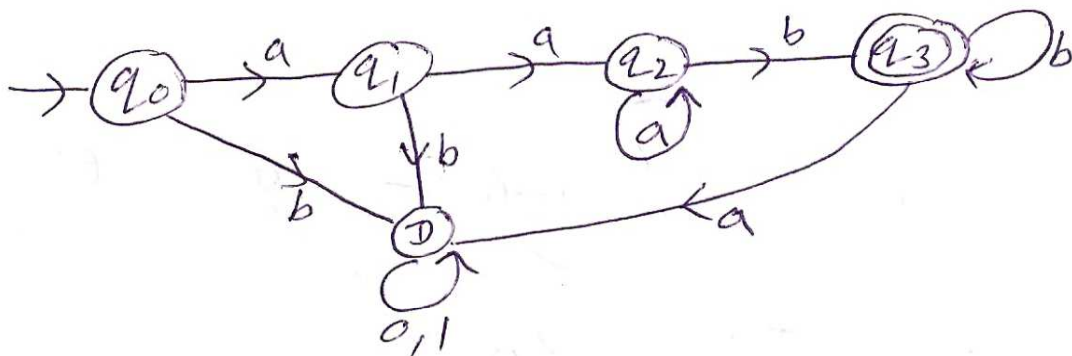


- Q. (2) Design a DFA for a set of string which
- start with 110 and end with 11
  - start with 110 and end with 01
  - start with 110 and end with 10

Sol. (i)



Q. (3)  $a^n b^m$  where  $n \geq 2, m \geq 1$



## Non Deterministic Finite Automata : (N DFA) / (NFA) :

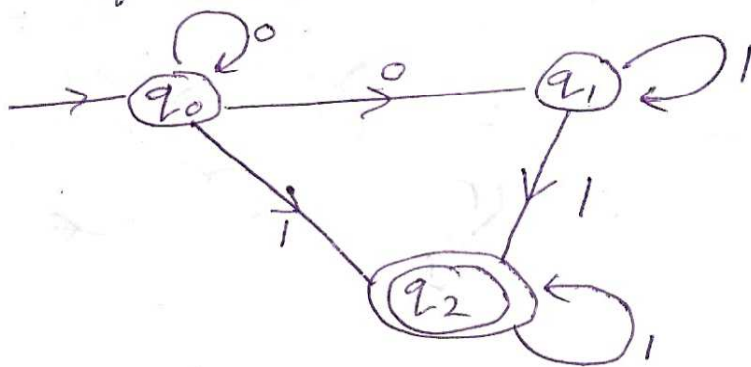
N DFA is a five tuples machine that can be represented as -

$$M = \{Q, \Sigma, \delta, q_0, F\}$$

where

- \*  $Q$  = Finite set of states.
- \*  $\Sigma$  = Finite nonempty set of input symbols or Alphabets.
- \*  $\delta$  is the transition function which maps  $Q \times \Sigma$  into any subset of  $Q$ .
- \*  $q_0$  is the initial state.
- \*  $F$  is the <sup>set of</sup> Final state.

Note: The difference between a NFA and a DFA is only the type of value that  $\delta$  returns. means in case of DFA  $\delta$  return a single state and in case of N DFA  $\delta$  return a set of state.



$$Q = \{ q_0, q_1, q_2 \}$$

$$\Sigma = \{ 0, 1 \}$$

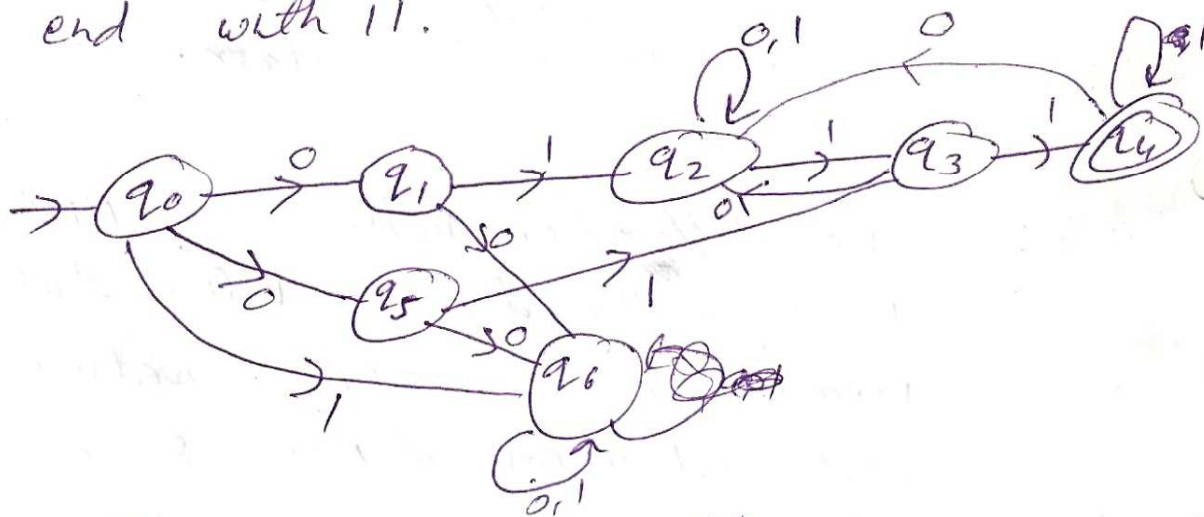
$$q_0 = \{ q_0 \}$$

$$F = \{ q_2 \}$$

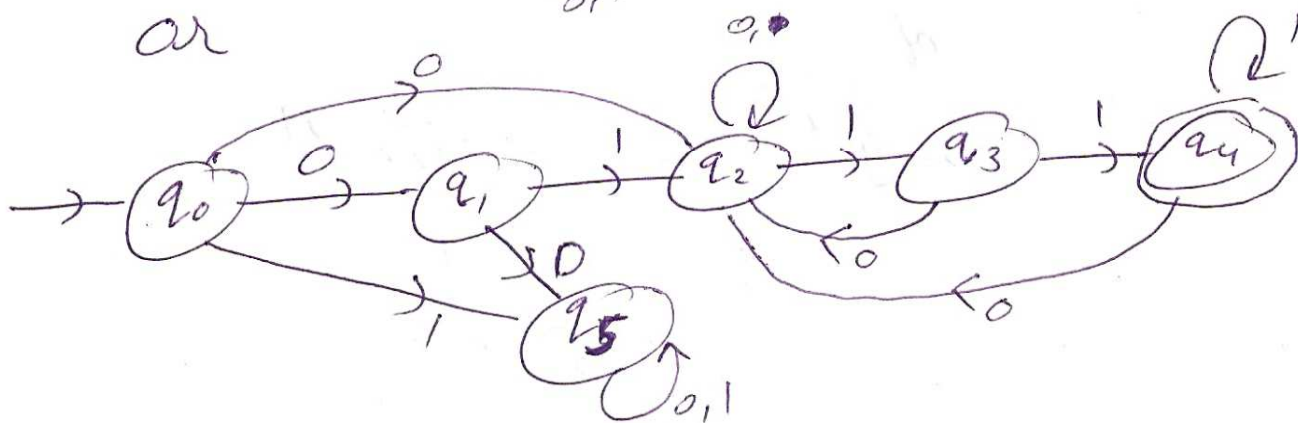
$$\delta =$$

| $\delta$ | 0              | 1              |
|----------|----------------|----------------|
| $q_0$    | $\{q_0, q_1\}$ | $\{q_2\}$      |
| $q_1$    | -              | $\{q_1, q_2\}$ |
| $q_2$    | -              | $\{q_2\}$      |

Q Design a Finite Automata m/c which accept the set of all string that begin with 01 and end with 11.



or





### Extended transition Function for NFA:

in previous example check that the string 01111 is accept or not.

$$\begin{aligned}
 \hat{\delta}(\{q_0\}, 01111) &= \hat{\delta}(\delta(q_0, 0), 1111) \\
 &= \hat{\delta}(\{q_1, q_2\}, 1111) = \hat{\delta}(\delta(q_1, 1) \cup \delta(q_2, 1), 111) \\
 &= \hat{\delta}(\{q_2, q_3\}, 111) = \hat{\delta}(\delta(q_2, 1) \cup \delta(q_3, 1), 11) \\
 &= \hat{\delta}(\{q_3, q_4\}, 11) = \hat{\delta}(\delta(q_3, 1) \cup \delta(q_4, 1), 1) \\
 &= \hat{\delta}(\{q_4\}, 1) = \{q_4\}
 \end{aligned}$$

note: if atleast one Final state is in the Final output of extended transition  $F^n$  then string is accepted by NDFA.

### Language of NFA:

Let NDFA  $A = \{Q, \Sigma, \delta, q_0, F\}$  then

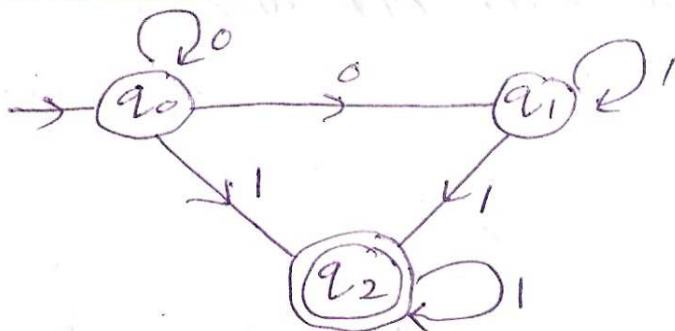
the language of NDFA  $A$  is  $L(A) = \{w \mid \hat{\delta}(q_0, w) \cap F \neq \emptyset\}$

means set of all those string  $w$ , for which  $\hat{\delta}(q_0, w)$  have atleast one final state.

Note:

- (i) Time complexity of NFA is  $2^n$ . Thus it is not practically implemented.
- (ii) DFA is a special case of NFA.
- (iii) For every NFA There must be a unique DFA equivalent to it.
- (iv) if initial state is ~~there~~ in final state set then ~~there~~ it must have  $\epsilon$  string.

NFA to DFA conversion:



Given NFA

$$M (Q, \Sigma, S, q_0, F)$$

$$Q = \{q_0, q_1, q_2\}$$

$$\Sigma = \{0, 1\}$$

$$q_0 = \{q_0\}$$

$$F = \{q_2\}$$

| $S_i$ | 0              | 1              |
|-------|----------------|----------------|
| $q_0$ | $\{q_0, q_1\}$ | $\{q_2\}$      |
| $q_1$ | -              | $\{q_1, q_2\}$ |
| $q_2$ | -              | $\{q_2\}$      |

in NFA  $|Q| = 3$

hence DFA have max  $2^{|Q|} = 2^3 = 8$  states.

$\{\emptyset, \{q_0\}, \{q_1\}, \{q_2\}, \{q_0q_1\}, \{q_1q_2\}, \{q_0q_2\}, \{q_0q_1q_2\}\}$

equivalent DFA  $M_2 (Q_2, \Sigma_2, S_2, q_{02}, F_2)$

where

$$Q_2 \leq 2^{Q_1}$$

$$\Sigma_2 = \Sigma_1$$

$$q_{02} = q_{01}$$

$$F_2 = ?$$

in DFA all those state is final state where  
Final state of given NFA appears

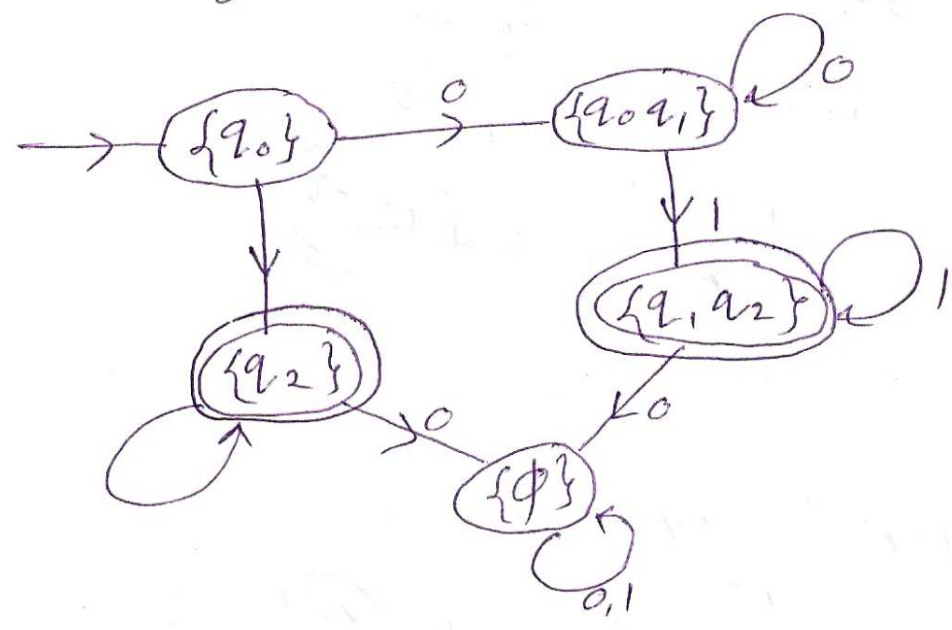
$$\delta_2 (\{q_0, q_1, q_2 \dots q_k\}, 0) = \delta_1 (q_0, 0) \cup \delta_1 (q_1, 0) \cup \dots \cup \delta_1 (q_k, 0)$$

Transition table for DFA

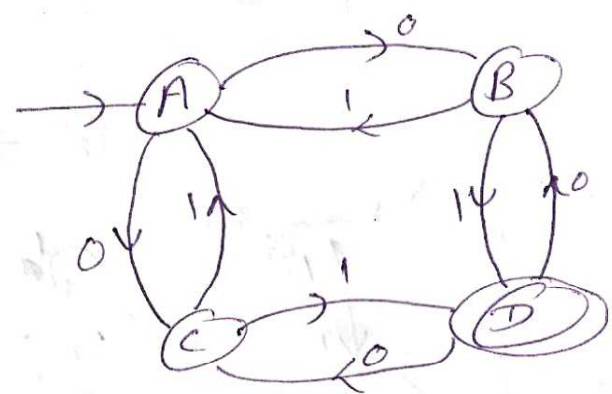
| $\delta_2$            | 0               | 1               |
|-----------------------|-----------------|-----------------|
| $\rightarrow \{q_0\}$ | $\{q_0q_1\}$    | $\{q_2\}$       |
| $\{q_0q_1\}$          | $\{q_0q_1\}$    | $\{q_1q_2\}$    |
| $\{q_2\}$             | $\{\emptyset\}$ | $\{q_2\}$       |
| $\{q_1q_2\}$          | $\{\emptyset\}$ | $\{q_1q_2\}$    |
| $\{\emptyset\}$       | $\{\emptyset\}$ | $\{\emptyset\}$ |



Transition diagram:



Q Convert NFA to DFA.

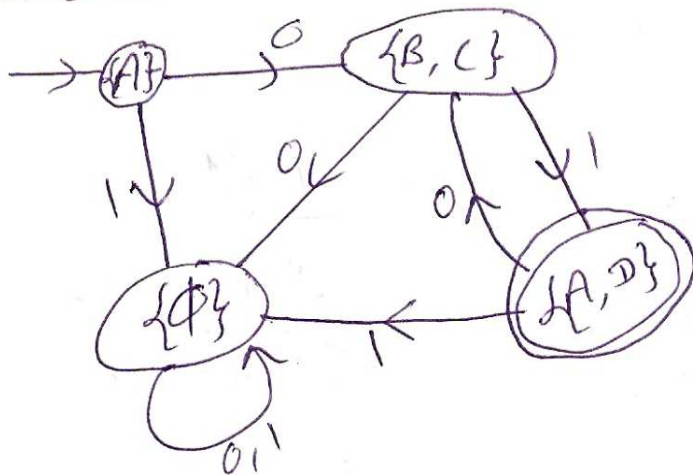


| $\delta$ | 0      | 1      |
|----------|--------|--------|
| A        | {B, C} | $\phi$ |
| B        | -      | {D, A} |
| C        | -      | {A, D} |
| D        | {B, C} | -      |

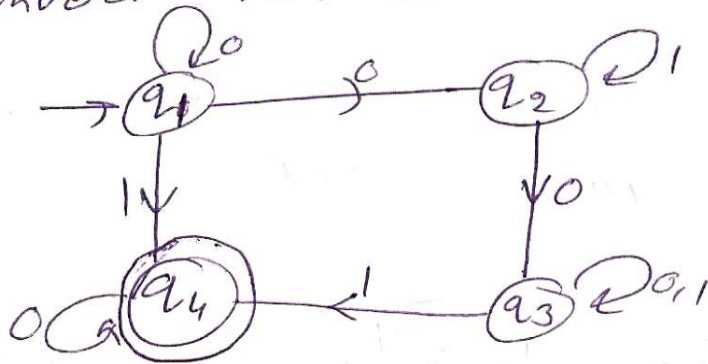
Transition table for DFA

| $\delta$   | 0          | 1          |
|------------|------------|------------|
| {A}        | {B, C}     | { $\phi$ } |
| {B, C}     | { $\phi$ } | {A, D}     |
| { $\phi$ } | { $\phi$ } | { $\phi$ } |
| {A, D}     | {B, C}     | { $\phi$ } |

Transition diagram:

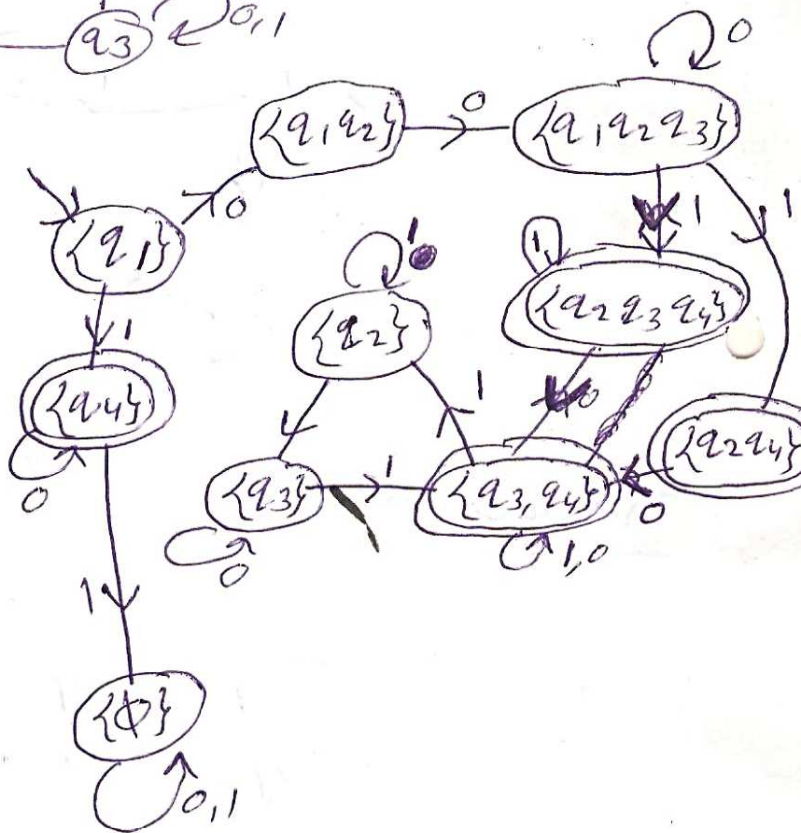


Q Convert NFA to DFA.



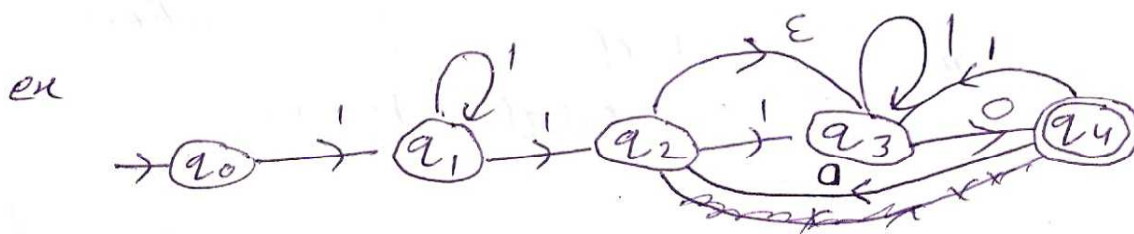
### DFA Transition Table

| 8                   | 0                   | 1                   |
|---------------------|---------------------|---------------------|
| $\{q_1\}$           | $\{q_1, q_2\}$      | $\{q_4\}$           |
| $\{q_1, q_2\}$      | $\{q_1, q_2, q_3\}$ | $\{q_2, q_4\}$      |
| $\{q_4\}$           | $\{q_4\}$           | $\phi$              |
| $\{q_1, q_2, q_3\}$ | $\{q_1, q_2, q_3\}$ | $\{q_2, q_3, q_4\}$ |
| $\{q_2, q_4\}$      | $\{q_3, q_4\}$      | $\{q_2\}$           |
| $\{q_2, q_3, q_4\}$ | $\{q_3, q_4\}$      | $\{q_2, q_3, q_4\}$ |
| $\{q_3, q_4\}$      | $\{q_3, q_4\}$      | $\{q_3, q_4\}$      |
| $\phi$              | $\phi$              | $\phi$              |
| $\{q_2\}$           | $\{q_3\}$           | $\{q_2\}$           |
| $\{q_3\}$           | $\{q_3\}$           | $\{q_3, q_4\}$      |



## NFA with $\epsilon$ -transitions

If a Finite Automata is modified to permit transition without input symbols along with other transition on input symbols then we get a NFA with  $\epsilon$  transition, because the transition made without symbols are called as  $\epsilon$  transition.



This m/c accept all those string which ~~accept~~ start <sup>with</sup> '11' and end with '10'

NFA with  $\epsilon$  transitions will also be denoted as Five tuple.

$$M = (Q, \Sigma, \delta, q_0, F)$$

where  $Q, \Sigma, q_0, F$  are having usual meaning and  $\delta$  defines a mapping from

$$Q \times (\Sigma \cup \epsilon) \text{ to } 2^Q.$$



$\epsilon$ -closure( $q$ ) = set of all those states of ~~FAT~~

the NFA with  $\epsilon$  transitions ~~which can be reached~~ which can be reached from  $q$  on a path labeled by  $\epsilon$ . i.e. without consuming any input symbol.

The Union of  $\epsilon$ -~~closure~~  
 $\epsilon$ -closure( $q$ ) = ~~set~~ of all those states of NFA with  $\epsilon$  transitions which can be reached from  $q$  on a path labeled by " $\epsilon$ ".

Conversion of NFA with  $\epsilon$  Transition to NFA without transition :

Given NFA with  $\epsilon$

$$M_1 = \{Q_1, \Sigma_1, \delta_1, q_{01}, F_1\}$$

obtain NFA without  $\epsilon$  transition

$$M_2 = \{Q_2, \Sigma_2, \delta_2, q_{02}, F_2\}$$

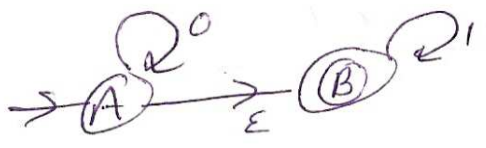
where  $Q_2 = Q_1, \Sigma_2 = \Sigma_1, q_{02} = q_{01}$

$$\delta_2(q, a) = \epsilon\text{-closure}(q) \text{ in given M/C}$$

$F_2 = F_1$  if  $\epsilon\text{-closure}(q_{01})$  does not contain any final state

$F_2 = F_1 \cup q_{02}$  if  $\epsilon\text{-closure}(q_{01})$  contain any final state.

a convert in NFA without  $\epsilon$  transition



{ Language of this m/c is  $0^m 1^n \mid m, n \geq 0$  }

$\epsilon$  closure of

$\epsilon$  closure of (A) =  $\{A, B\}$

$\epsilon$  closure of (B) =  $\{B\}$

0 closure of (A) =  $\{A, B\}$

1 closure of (A) =  $\{B\}$

0 closure of (B) =  $\phi$

1 closure of (B) =  $\{B\}$

0 closure of A means:  
union of ~~A~~  $\epsilon$  closure  
of all those states where  
we can reach after  
0 transition from the  
states of  $\epsilon$  closure of A.

NFA without  $\epsilon$

$Q_2 = Q_1 = \{A, B\}$

$\Sigma_2 = \Sigma_1 = \{0, 1\}$

$q_{02} = q_{01} = \{A\}$

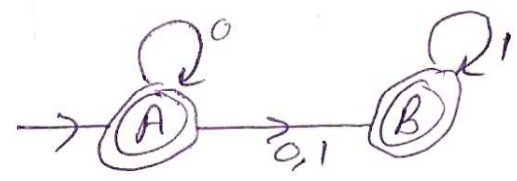
$\delta_2(A, 0) = 0 \text{ closure of } (A) = \{A, B\}$

$\delta_2(A, 1) = 1 \text{ closure of } (A) = \{B\}$

$\delta_2(B, 0) = 0 \text{ closure of } (B) = \phi$

$\delta_2(B, 1) = 1 \text{ closure of } (B) = \{B\}$

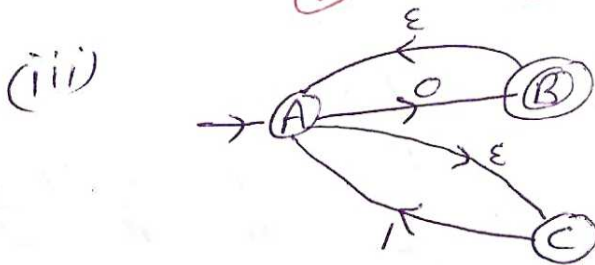
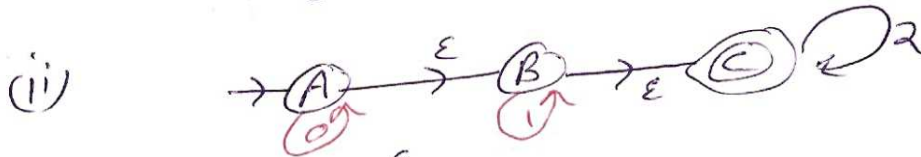
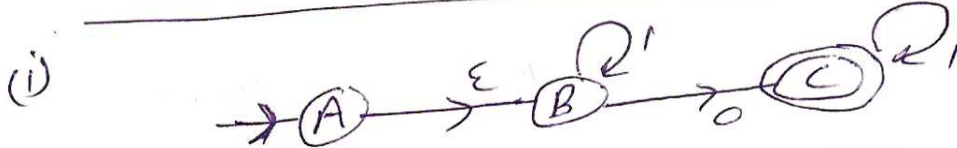
| $\delta_2$ | 0          | 1       |
|------------|------------|---------|
| A          | $\{A, B\}$ | $\{B\}$ |
| B          | -          | $\{B\}$ |



Here  $\epsilon$  closure of  $(q_{01})$  contain Final state B so

$F_2 = F_1 \cup q_{01} = B \cup A = \{A, B\}$

Q Convert in NFA without transition:



Solution:

(i)  $\epsilon$  closure of  $\{A\} = \{A, B\}$

$\epsilon$  closure of  $\{B\} = \{B\}$

$\epsilon$  closure of  $\{C\} = \{C\}$

0 closure of  $\{A\} = \{C\}$

0 closure of  $\{B\} = \{C\}$

0 closure of  $\{C\} = \phi$

1 closure of  $\{A\} = \{B\}$

1 closure of  $\{B\} = \{B\}$

1 closure of  $\{C\} = \{C\}$

| $\delta_2$ | 0   | 1       |
|------------|---|---------|
| A          | <del><math>\{A, B\}</math></del><br>$\{C\}$ | $\{B\}$ |
| B          | $\{C\}$                                     | $\{B\}$ |
| C          | —   | $\{C\}$ |

