

# ELEMENTARY INTERSECTION THEORY

## 1. INTRODUCTION

We present a treatment of elementary intersection theory in algebraic topology. That is, we aim to show how one can define cochains through counting intersection with or more generally preimages of submanifolds. Moreover, the “fundamental theorem” is that the cup product of the associated cohomology classes is represented by the (transversal) intersection of submanifolds. Students are often told that cup product is named so because of this fact, but we feel it is given relatively short shrift. It is usually proved after development of duality or a Thom isomorphism theorem. We would like to put the representation of cohomology by submanifolds at the front and center of our treatment and from that deduce, or at least interpret, these related isomorphisms.

Unlike chains, singular cochains tend to be more transcendental objects. From a pedagogical viewpoint, one can often express cycles as in terms of explicit chains, which is basically never the case for singular cocycles. Our work remedies this discrepancy, and allows for geometric cochain-level understanding of a number of topics in algebraic topology including duality, Thom isomorphisms, cohomology of mapping spaces etc.

## 2. BASIC DEFINITIONS, AND A SIMPLIFIED VERSION OF THE FUNDAMENTAL THEOREM

Before developing the background in differential topology needed, we illustrate the technique by using simplified definitions.

**Definition 1.** *Manifold, submanifold.*

**Definition 2.** *Tangent bundle and tangent vector of a submanifold.*

**Definition 3.** *Transversal intersection of submanifolds.*

**Definition 4.** *Codimension.*

**Theorem 5.** *If submanifolds intersect transversally, then the intersection is a submanifold. The codimension is the sum of their codimensions.*

We can now state a simplified version of the fundamental theorem of intersection theory.

**Theorem 6.** *Let  $M$  be a manifold and  $W$  be a submanifold of codimension  $d$  without boundary.*

- (1) *There is a cohomology class  $[\tau_W] \in H^d(M; \mathbb{Z}/2)$  represented by a cochain whose value on an embedded chain  $\Delta^d \subset M$  which intersects  $W$  transversally is the mod-two count of  $\Delta^d \cap W$ .*
- (2) *If  $S$  is a submanifold whose boundary is  $V \sqcup W$  then  $[\tau_V] = [\tau_W]$ .*
- (3) *If  $V$  and  $W$  intersect transversally then  $[\tau_V] \cup [\tau_W] = [\tau_{V \cap W}]$ .*

### 2.1. Examples using the simplified version.

**Example 1.**  $\mathbb{R}^2 - 0$

**Example 2.**  $[\tau_M] = 1 \cdot [\tau_{\text{point}}]$ .

**Example 3.**  $\mathbb{R}^3$  with the  $z$ -axis removed along with either a linked or unlinked  $S^1$ .

**Example 4.** A two-holed torus

**Example 5.**  $\mathbb{R}P^n$  and application to no retraction.

**2.2. Comparison with other approaches to cochains.** Cochains are transcendental data (a value on every chain). But some representations are more finite.

Focus on torus example. Both simplicial and de Rham generally assess “tolls” for chains. Both can be made “concentrated” as one crosses a submanifold.

## 3. TRANSVERSALITY AND INTERSECTION COCHAINS

**Definition 7.** *Transversality more generally.*

**Definition 8.** *Let  $M$  be a manifold and  $f : W \rightarrow M$  an immersion. Then  $C_*^{\cap W}(M)$  is the subset of  $C_*(M)$  generated by the chains whose image is transversal to  $f$ . More generally, if  $S$  is a finite set of immersed submanifolds of  $M$  then  $C_*^{\cap S}$  is generated by the chains whose image is transversal to each  $W \in S$ .*

**Theorem 9.** *For any finite collection  $S$  of submanifolds of  $M$ , the inclusion  $C_*^{\cap S}(M) \hookrightarrow C_*(M)$  admits a chain deformation retraction.*

*Proof.* We wish to construct a map  $j : C_*(M) \rightarrow C_*^{\cap S}(M)$  such that  $j$  is the identity on  $C_*^{\cap S}(M)$  and  $i \circ j$  is chain homotopic to the identity on  $C_*(M)$ . Given  $\sigma : \Delta^d \rightarrow M$ , we can cover its image with a finite number of open sets  $\{U_i\}$  in  $M$  diffeomorphic to open subsets of  $\mathbb{R}^k$ , and we can choose these so that on the overlap the transition from one diffeomorphism to another is smooth. We proceed inductively:

If  $e_k^0 \subset U_k$  is not transversal to  $S$ , then  $e_k^0 \in S$  and by Sard’s Theorem we may choose a path  $\gamma_k$  in  $U_k$  from  $e_k^0$  to a point not in  $S$ . If  $e_k^0 \cap S$ , then  $\gamma_k$  is the constant map. Using the consistent cover of  $\sigma$ , we may extend this to a map  $\bar{\sigma} : \Delta^d \rightarrow M$  such that  $\bar{\sigma}$  on the 0-cells is defined by the endpoints of the  $\gamma_k$ ’s. This gives a map  $(\Delta^d \times \{0, 1\}) \cup (\{e_k^0\} \times I) \rightarrow M$ , and simplicial complexes have the homotopy extension property, so can extend this to a homotopy  $F : \Delta^d \times I \rightarrow M$ . Then by Theorem ??, there exists a smooth homotopy  $F'$  which agrees with  $F$  on the 0-cells and  $\sigma' = F'|_{\Delta^d \times \{1\}}$  is transversal to  $S$ .

Now assume that we have a homotopy  $\partial G$  on the cells of dimension  $< q$ . If  $e_k^q$  is not transversal to  $S$ , then we..... [[Use relative transversality extension.]]

We define  $j(\sigma) = \sigma'$  and since  $j|_{C_*^{\cap S}(M)} = id$  and the two chains are always homotopic,  $C_*^{\cap S}(M) \hookrightarrow C_*(M)$  is a chain deformation retraction and their homology groups are isomorphic.  $\square$

We now define our main objects of study.

**Definition 10.** If  $W \in S$  then  $\tau_W \in C_{\#S}^*(M)$  is the cochain defined by  $\tau_W(\sigma) = \#P$ , where  $P$  is the zero-manifold defined as the following pullback

$$\begin{array}{ccc} P & \longrightarrow & W \\ \downarrow & & \downarrow f \\ \Delta^n & \xrightarrow{\sigma} & M. \end{array}$$

The cardinality  $\#P$  is to be taken as mod-two unless  $M$  and  $W$  are oriented, in which case  $\Delta^n$  is given the orientation with say the differences between vertices labelled by  $1, \dots, n$  and vertex 0 (or the barycenter) serving as basis vectors, and  $P$  is then oriented accordingly.

We call these Thom classes, because in the standard development they are (the pushforwards of) Thom classes of the normal bundles. But we will use them to understand both pushforward and Thom classes, rather than the other way around.

**Theorem 11.** If  $\partial V = W$  then  $\delta\tau_V = \tau_W \in C_{\#\{V,W\}}^*$ . In particular, if  $V$  has no boundary then  $\tau_V$  is a cocycle.

*Sketch, for now.* This comes down to the classification of one-manifolds. Consider  $\sigma : \Delta^{n+1} \rightarrow M$ . Look at pull back of  $W$  through  $\sigma$  to get a one-manifold with boundary. Some boundary points are on  $\partial\Delta^{n+1}$  - counting those gives  $\delta\tau_V(\sigma) = \tau_V(\partial\sigma)$ . Other boundary points are in the interior, which come from the preimage of  $\partial V = W$ , and thus correspond to  $\tau_W(\sigma)$ .  $\square$

By abuse, we denote by  $[\tau_W]$  the corresponding singular cohomology class under the isomorphism established in Theorem 9.

**Corollary 12.** If  $\partial V = W \sqcup W'$  then  $[\tau_W] = [\tau_{W'}]$ .

This theorem and its corollary recovers a classical view of cohomology, as represented by submanifolds with the relation defined by submanifolds with boundary. But in fact not all cocycles are represented in this way, which was a famous question of Steenrod addressed by Renee Thom. Our theory allows for linear combinations of submanifolds, and even manifolds with corners below, in which case all cohomology is representable. The submanifold point of view led Thom to initiate cobordism theory, which gives generalized cohomology theories.

Induced maps are a basic ingredient of cohomology theory. In the case of Thom classes, they are geometrically defined on the cochain level. The proof of the following is immediate.

**Proposition 13.** If  $f : M \rightarrow N$  is transverse to  $W \subset N$  then  $f^\#(\tau_W) = \tau_{f^{-1}W}$ .

#### 4. INTERSECTION AND CUP PRODUCT

In this section we prove the following.

**Theorem 14.** If  $V$  and  $W$  intersect transversally, and the intersection is given the orientation... then  $[\tau_V] \smile [\tau_W] = [\tau_{V \cap W}]$ .

Recall that the cup product is essentially induced by the diagonal map  $X \xrightarrow{\Delta} X \times X$ . Under the standard formulaic approach, the cohomology of a product  $X \times Y$  is developed through the external cup product, namely  $C^*(X) \otimes C^*(Y)$  maps to  $C^*(X \times Y)$  by sending  $\alpha \otimes \beta$  to  $p^*\alpha \cup q^*\beta$ , where  $p$  is the projection from  $X \times Y$  to  $X$  and  $q$  is the projection to  $Y$ .

**Proposition 15.** *The external cup product sends  $[\tau_V] \otimes [\tau_W]$  to  $[\tau_{V \times W}]$*

*Proof of the Fundamental Theorem, based on Proposition 15.* If  $V \pitchfork W$  then  $\Delta \pitchfork V \times W \subset X \times X$ . Apply Proposition 13.  $\square$

*Proof of Proposition 15.* Recall Künneth theorem that  $C_*(X) \otimes C_*(Y) \rightarrow C_*(X \times Y)$  given by (subdividing) products of simplices in  $X$  and  $Y$  is an isomorphism on homology. Then show that  $p^*\tau_V \cup q^*\tau_W$  and  $\tau_{V \times W}$  agree on this subcomplex, which has an immediate geometric proof.  $\square$

## 5. EXAMPLES

We make some calculations. It is more standard to establish fundamental classes in homology, rather than Thom classes. But we see that Thom classes are just as natural if not more so. The following is immediate from the definitions.

**Proposition 16.** *The value of a Thom class on a fundamental class given by triangulating a submanifold is given by intersection.*

### 5.1. Projective spaces.

### 5.2. Grassmannians.

### 5.3. Complements of submanifolds which are boundaries.

### 5.4. Configuration spaces.

## 6. APPLICATIONS: WRONG-WAY MAPS, DUALITY, SUSPENSIONS AND THOM ISOMORPHISM

## 7. LOOKING FORWARD: CHARACTERISTIC CLASSES, LOOP SPACES, AND COBORDISM.