Recursion

Reading: Savitch ch. 11

Introduction

- Sometimes it is useful to <u>define a method</u> in terms of itself.
- A method definition is recursive if it contains a call to itself.
- The method <u>continues</u> to call itself with ever simpler cases, <u>until a base case</u> is reached which can be resolved without any recursive calls.

Example – Searching a phone book

binary search 二分查找

- Open the book to the middle.
- If the name is on that page, you're done.
- If the name comes before the names on the page, use the same approach to search for the name in the first half of the phone book.
- Otherwise use the same approach to search for the name in the second half of the phone book.

Case Study – Digits to Words Savitch p 783

- Write a method definition displayAsWords that accepts a single int and produces words representing its digits.
- Example:

Input: 223

Output: two two three

- Recursive algorithm
 - output all but the last digit as words
 - output the word for the last digit

Case Study – Digits to Words

- Use a helper method getWordFromDigit to get the word for a number < 10 ("one", "two",...).
- To "chop off" the last digit, use integer division by 10

```
(327 / 10) // 32
```

To get just the last digit, use % 10
 (327 % 10) // 7

 See RecursionDemo.java under SavitchSrc on the course website

Case Study – Digits to Words

- The base case is when number is a single digit (number < 10).
- In this case, we just print the String returned by getWordFromDigit

```
System.out.print(getWordFromDigit(number) + " ");
```

Case Study – Digits to Words

 If (number >= 10) we call displayAsWords with all except the last digit, then print the last digit.

```
displayAsWords(number/10); // last digit removed
System.out.print(getWordFromDigit(number%10) + " ");
```

How Recursion Works

- Nothing special is required to handle a call to a recursive method.
- At each call, the needed arguments are provided and the code is executed.
- When the method completes, control returns to the statement following the call to the method.
 在每一次递归调用结束后,控制权会返回到调用该递归的那一行的后续代码上,逐级回退,直到回到最开始的调用处。
- See example (987) on the following slides

```
public void displayAsWords(int 987) {
   if (987 < 10) {
      System.out.print(getWordFromDigit(987) + " ");
   } else {
      98
      displayAsWords(987/10);
      System.out.print(getWordFromDigit(987%10) + " ");
   }
}</pre>
```

```
public void displayAsWords(int 987) {
   if (987 < 10)
      System.out.print(getWordFromDigit(987) + " ");
   } else {
      displayAsWords(987/10);
      System.out.print(getWordFromDigit(987%10) + " ");
-public void displayAsWords(int 98) {
   if (98 < 10) {
      System.out.print(getWordFromDigit(98) + " ");
   } else {
      displayAsWords(98/10);
      System.out.print(getWordFromDigit(98%10) + " ");
```

```
public void displayAsWords(int 987) {
   if (987 < 10)
      System.out.print(getWordFromDigit(987) + " ");
   } else {
      -displayAsWords(987/10);
      System.out.print(getWordFromDigit(987%10) + " ");
-public void displayAsWords(int 98) {
   if (98 < 10)
      System.out.print(getWordFromDigit(98) + " ");
   } else {
      -displayAsWords(98/10);
      System.out.print(getWordFromDigit(98%10) + " ");
public void displayAsWords(int 9) {
   if (9 < 10)
      System.out.print(getWordFromDigit(9), +
   } else {
      displayAsWords(9/10);
      System.out.print(getWordFromDigit(9%10) + " ");
```

```
public void displayAsWords(int 987) {
   if (987 < 10)
      System.out.print(getWordFromDigit(987) + " ");
   } else {
      -displayAsWords(987/10);
      System.out.print(getWordFromDigit(987%10) + " ");
-public void displayAsWords(int 98) {
   if (98 < 10)
      System.out.print(getWordFromDigit(98) +
   } else {
      -displayAsWords(98/10);
      System.out.print(getWordFromDigit(98%10) + " ");
                                 eight
public void displayAsWords(int 9) {
   if (9 < 10)
      System.out.print(getWordFromDigit(9), +
   } else {
      displayAsWords(9/10);
      System.out.print(getWordFromDigit(9%10) + " ");
```

```
public void displayAsWords(int 987) {
   if (987 < 10)
      System.out.print(getWordFromDigit(987) + " ");
   } else {
      -displayAsWords(987/10);
      System.out.print(getWordFromDigit(987%10) + " ");
                                 seven
-public void displayAsWords(int 98) {
   if (98 < 10)
      System.out.print(getWordFromDigit(98) +
   } else {
      -displayAsWords(98/10);
      System.out.print(getWordFromDigit(98%10) +
                                 eight
public void displayAsWords(int 9) {
   if (9 < 10)
      System.out.print(getWordFromDigit(9), +
   } else {
      displayAsWords(9/10);
      System.out.print(getWordFromDigit(9%10) + " ");
```

Recursion Guidelines

- The definition of a recursive method typically includes an if-else statement.
 - One branch represents a <u>base case</u> which can be solved directly – without recursion.
 - Another branch includes a <u>recursive call</u> to the method, but with <u>simpler or smaller</u> arguments.
- The base case must be reached eventually.

Recursion Guidelines

- If the recursive call inside the method does not use a smaller or simpler argument, the base case may never be reached.
- The method then calls itself forever (or until resources run out).
- This is called infinite recursion.

Recursion vs. Iteration

- Any recursive method can be written without using recursion.
- Typically this is done with a loop.
- The resulting method is called the iterative version.
- See RecursionDemo.java and IterativeDemo.java under SavitchSrc on the course website

Recursion vs. Iteration

- A recursive version of a method typically executes <u>less efficiently</u> than the iterative version.
- This is because the computer must keep track of the recursive calls and the suspended computations.
- However, sometimes it is <u>much easier to</u> <u>write</u> a method recursively than iteratively.

Exercise 1

Self-Test Question 1, page 792. What is the output?

```
public class RecursionExercise {
    public static void main(String[] args) {
        methodA(3);
    public static void methodA(int n) {
        if (n < 1)
            System.out.println("B");
        else
            methodA(n - 1);
            System.out.println("R");
```

```
public static void methodA(int 3) {
    if (3 < 1) System.out.println("B");</pre>
    else {
        -methodA(3 - 1);
         System.out.println("R");
public static void methodA(int 2) {
    if (2 < 1) System.out.println("B");</pre>
    else {
        -methodA(2 - 1);
         System.out.println("R");
public static void methodA(int 1) {
    if (1 < 1) System.out.println("B");</pre>
    else {
        -methodA(1-1);
         System.out.println("R");
public static void methodA(int 0) {
    if (0 < 1) System.out.println("B");</pre>
    else {
         methodA(0 - 1);
         System.out.println("R");
```

Output: B

recursion

```
public static void methodA(int 3) {
    if (3 < 1) System.out.println("B");</pre>
    else {
       -methodA(3 - 1);
       System.out.println("R");
public static void methodA(int 2) {
    if (2 < 1) System.out.println("B");</pre>
    else {
       -methodA(2 - 1);
       System.out.println("R");
public static void methodA(int 1) {
    if (1 < 1) System.out.println("B");</pre>
    else {
       -methodA(1-1);
       public static void methodA(int 0) {
    else {
       methodA(0 - 1);
       System.out.println("R");
```

Output: B

unwing

```
public static void methodA(int 3) {
    if (3 < 1) System.out.println("B");</pre>
    else {
       -methodA(3 - 1);
        System.out.println("R");
public static void methodA(int 2) {
    if (2 < 1) System.out.println("B");</pre>
    else {
       -methodA(2 - 1);
        System.out.println("R");
public static void methodA(int 1) {
    if (1 < 1) System.out.println("B");</pre>
    else {
       -methodA(1-1);
        System.out.println("R");
public static void methodA(int 0) {
    else {
        methodA(0 - 1);
        System.out.println("R");
```

Output:

B

R

R

unwing

```
public static void methodA(int 3) {
    if (3 < 1) System.out.println("B");</pre>
    else {
       -methodA(3 - 1);
        System.out.println("R"); R
-public static void methodA(int 2) {
    if (2 < 1) System.out.println("B");</pre>
                                                 Output:
    else {
       -methodA(2 - 1);
       System.out.println("R");
public static void methodA(int 1) {
    if (1 < 1) System.out.println("B");</pre>
    else {
       -methodA(1-1);
       System.out.println("R");
public static void methodA(int 0) {
    unwing
    else {
       methodA(0 - 1);
        System.out.println("R");
```

Exercise 2

What is the output?

```
public class RecursionExercise2 {
    public static void main(String[] args) {
        methodB(3);
    public static void methodB(int n) {
         if (n < 1)
             System.out.println("done");
        else {
            System.out.println(n);
methodB(n - 1);
```

```
public static void methodB(int 3) {
                                             在这个递归过程中,
    if (3 < 1) System.out.println("done");</pre>
                                             没有需要在递归返回后执行的代码,
   else {
        System.out.println(3); \longrightarrow 3
                                             因此每次递归调用完成后就直接返回
        -methodB(3-1);
                                             到上一层调用, 而不会执行额外的步
                                             骤。
public static void methodB(int 2) {
                                                       Output:
    if (2 < 1) System.out.println("done");</pre>
    else {
        System.out.println(2); \longrightarrow 2
       -methodB(2 - 1);
public static void methodB(int 1) {
    if (1 < 1) System.out.println("done");</pre>
    else {
                                                           done
        System.out.println(1); \longrightarrow 1
       -methodB(1-1);
                                                        only recursion.
                                                        no unwing.
public static void methodB(int 0) {
    if (0 < 1) System.out.println("done"); ------ done
    else {
        System.out.println(0);
        methodB(0-1);
```

- So far, our recursive methods have been void methods.
- Recursive methods <u>can also return a</u> value.
- The value returned by the recursive method call is typically used to compute the return value of the method.

- Do this by <u>getting the number of zeros</u> <u>contained in all but the last digit, then</u> <u>add 1 if the last digit is a zero.</u>
- For example, the number of zeros in 50020 is the number of zeros in 5002 plus 1 for the last zero.
- The number of zeros in 50022 is the number of zeros in 5002 without adding 1 because the last digit is not zero.

- Algorithm for determining the number of zeros in an int
 - If n < 10, return the number of zeros in n (base case)
 - if n == 0, return 1
 - Otherwise return 0
 - Otherwise (recursive invocation)
 - Get the number of zeros in n with the last digit removed (numberOfZeros(n/10))
 - Determine if the last digit is zero (n%10 == 0)
 - If yes, return numberOfZeros(n/10) + 1
 - If no, return numberOfZeros(n/10)

```
public static int numZeros(int n) {
   if (n == 0) {
      //n has one digit that is 0 (base case)
      return 1;
   } else if (n < 10) {
      //n has one digit that is not 0 (base case)
      return 0;
   } else if (n%10 == 0) {
      //last digit is 0 (recursive call)
      return (numZeros(n/10) + 1);
   } else {
      //last digit is not 0 (recursive call)
      return (numZeros(n/10));
```

• See example n = 300 on the following slides

```
public static int numZeros(int 300) {
   if (300 == 0) return 1;
   else if (300 < 10) return 0;
                                             30
   else if (300\%10 == 0) return (numZeros(300/10) + 1);
   else return (numZeros(300/10));
public static int numZeros(int 30) ←{
   if (30 == 0) return 1;
   else if (30 < 10) return 0;
   else if (30\%10 == 0) return (numZeros(30/10) + 1);
   else return (numZeros(30/10));
public static int numZeros(int 3) ←{
   if (3 == 0) return 1;
   else if (3 < 10) return 0;
   else if (3%10 == 0) return (numZeros(3/10) + 1);
   else return (numZeros(3/10));
```

```
public static int numZeros(int 300) {
   if (300 == 0) return 1;
   else if (300 < 10) return 0;
                                             30
   else if (300\%10 == 0) return (numZeros(300/10) + 1);
   else return (numZeros(300/10));
public static int numZeros(int 30) ◄{
   if (30 == 0) return 1;
   else if (30 < 10) return 0;
   else if (30\%10 == 0) return (numZeros(30/10) + 1);
   else return (numZeros(30/10))
public static int numZeros(int 3) ←{
   if (3 == 0) return 1;
   else if (3 < 10) return 0;
   else if (3%10 == 0) return (numZeros(3/10) + 1);
   else return (numZeros(3/10));
```

```
public static int numZeros(int 300) {
   if (300 == 0) return 1;
   else if (300 < 10) return 0;
                                             30
   else if (300\%10 == 0) return (numZeros(300/10) + 1);
   else return (numZeros(300/10));
public static int numZeros(int 30) ←{
   if (30 == 0) return 1;
   else if (30 < 10) return 0;
   else if (30\%10 == 0) return (numZeros(30/10) + 1);
   else return (numZeros(30/10))
public static int numZeros(int 3) ←{
   if (3 == 0) return 1;
   else if (3 < 10) return 0;
   else if (3%10 == 0) return (numZeros(3/10) + 1);
   else return (numZeros(3/10));
```

Exercise 3

Write a recursive method to reverse the input string:

See example s = "step" on the following slides

```
public static String reverse(String "step") {
  if ("step".length() <= 1)</pre>
        return "step";
  return reverse("step".substring(1)) + "step".charAt(0);
public static String reverse(String "tep") {
  if ("tep".length() <= 1)</pre>
        return "tep";
  return reverse("tep".substring(1)) + "tep".charAt(0);
                          "ep"
public static String reverse(String "ep") {
  if ("ep".length() <= 1)
        return "ep";
  return reverse("ep".substring(1)), + "ep".charAt(0);
public static String reverse(String "p") {
  if ("p".length() <= 1)</pre>
        return "p";
  return reverse("p".substring(1)) + "p".charAt(0);
```

```
public static String reverse(String "step") {
  if ("step".length() <= 1)</pre>
        return "step";
  return reverse("step".substring(1)) + "step".charAt(0);
                      "pet"
public static String reverse(String "tep") {
   if ("tep".length() <= 1)</pre>
        return "tep";
  return reverse("tep".substring(1)) + "tep".charAt(0);
                      "pe"
public static String reverse(String "ep") {
   if ("ep".length() <= 1)</pre>
        return "ep";
  return reverse("ep".substring(1)) + "ep".charAt(0);
                                                            unwinding
public static String reverse(String "p") {
   if ("p".length() <= 1)</pre>
        return "p";
  return reverse("p".substring(1)) + "p".charAt(0);
```

Binary Search Savitch p 800 (case study)

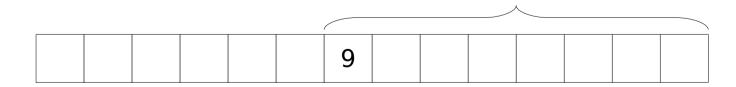
- Let's design a recursive method that determines if a given number is in a sorted array of ints.

 在,返回index in the list 不在,返回-1
- If the number is in the array, return the index of the number in the array.
- If the number is not in the array, return -1
- Instead of searching the array *linearly/sequentially* (starting at index 0, comparing each element to number), we will search for the number *recursively*.

Binary Search

- Since the array is sorted, we can rule out entire sections of the array as we search.
- For example, if we are looking for a 7 and we encounter an element of the array containing a 9, we know that the 7 will not be at any index >= to the location of the 9.

7 can't be here



Binary Search

 Similarly, if we are looking for a 7 and we encounter an element of the array containing a 3, we know that the 7 will not be at any index <= to the location of the 3.

7 can't be here



 Of course, if we are looking for a 7 and find it, then we stop searching.

Binary Search

- Binary search is an example of a divide and conquer algorithm.
- We divide the problem into smaller and smaller problems until it can be solved.
- We use a binary search algorithm when looking up a number in the phone book.
- Flip open the phone book to a page near the middle.
- To find the <u>middle</u> of our array, use <u>integer division</u>: <u>mid = (first + last)/2</u>

Binary Search

与在中间index的数字 进行比较

- Base case: If our number is at index mid we are done
- Recursive case: If our number is smaller than the number at index mid, search the first half (from first to mid-1).
- Recursive case: If our number is greater than the number at index mid, search the second half (from mid+1 to last).
- See ArraySearcher.java under SavitchSrc on the course website

Binary Search - Number Found

当用整数做除法时, Java 默认舍弃小数部分, 而不是四舍五入。 即Truncating, not rounding

Example: search target 33 in sorted array of length 10 (index 0-9)

5

 $\mathbf{0}$

7

13

3

32

4

33

5

42

54

56

88

return 5;

found at index 5

```
32 33 42 54
                          13
                                         56
                                            88
                    1 2 3 4 5 6 7 8
                first
                            mid
                                            last
                         (0+9)/2=4
private int search(target 33, first 0, last 9) {
   int mid, result=-1;
   if (first <= last) {</pre>
       mid = (first + last)/2;
                                   \longrightarrow mid = (0+9)/2 = 4
       if (target == a[mid]) {
            result = mid;
       } else if (target < a[mid]) {</pre>
            result = search(target, first, mid-1);
       } else {
```

result = search(target, mid+1, last);

return result;

```
private int search(target 33, first 5, last 9) {
   int mid, result=-1;
   if (first <= last) {</pre>
                                   \longrightarrow mid = (5+9)/2 = 7
       mid = (first + last)/2;
       if (target == a[mid]) {
           result = mid;
       } else if (target < a[mid]) {</pre>
            result = search(target, first, mid-1);
       } else {
            result = search(target, mid+1, last);
   return result;
```

```
13 | 32 | 33 | 42 | 54 |
                 5
                                          56
                                             88
                          3
                              4 5 6 7
                                first last
                            mid
                          (5+6)/2=5
private int search(target 33, first 5, last 6) {
   int mid, result=-1;
   if (first <= last) {</pre>
       mid = (first + last)/2; \longrightarrow mid = (5+6)/2 = 5
       if (target == a[mid]) { //base case
            result = mid;
       } else if (target < a[mid]) {</pre>
            result = search(target, first, mid-1);
       } else {
            result = search(target, mid+1, last);
   return result;
```

Binary Search – Number Not Found

- What if the number is not in the array?
- Eventually, first will become greater than last.
- -1 is returned, indicating that the number was not found.

Binary Search – Number Not Found

Example: search target 35 in sorted array of length 10 (index 0-9)

```
13 | 32 | 33 | 42
                  5
                                       54
                                          56
                                              88
                           3
                              4 5
                                     6 7
                                 first last
                             mid
                          (5+6)/2=5
private int search(target 35, first 5, last 6) {
   int mid, result=-1;
   if (first <= last) {</pre>

ightharpoonup mid = (5+6)/2 = 5
       mid = (first + last)/2;
       if (target == a[mid]) {
            result = mid;
       } else if (target < a[mid]) {</pre>
            result = search(target, first, mid-1);
       } else {
                                 35.
                                          6,
            result = search(target, mid+1, last);
   return result;
```

```
13 | 32 | 33 | 42 | 54 |
                 5
                                          56
                                             88
                          3
                              4 5 6 7
                                first
                                      last
                                   mid
                                 (6+6)/2=6
private int search(target 35, first 6, last 6) {
   int mid, result=-1;
   if (first <= last) {</pre>
       mid = (first + last)/2;
                                    \rightarrow mid = (6+6)/2 = 6
       if (target == a[mid]) {
            result = mid;
       } else if (target < a[mid]) {</pre>
            result = search(target, first, mid-1);
       } else {
            result = search(target, mid+1, last);
   return result;
```

```
5 7 9 13 32 33 42 54 56 88
0 1 2 3 4 5 6 7 8 9
first last
```

```
private int search(target 35, first 6, last 5) {
   int mid, result=-1;
  if (first <= last) {</pre>
       mid = (first + last)/2;
       if (target == a[mid]) {
           result = mid;
       } else if (target < a[mid]) {</pre>
           result = search(target, first, mid-1);
       } else {
           result = search(target, mid+1, last);
  return result;
```

Binary Search - Code

- Notice that the <u>recursive search method</u> is <u>private</u>.
- A non-recursive, <u>public</u> method <u>find</u> is used to get the recursion going.
- find calls search with the first (0) and last (a.length-1) index of the array.
- Subsequent recursive calls to search are made with ever smaller portions of the array.

Binary Search - Efficiency

- With each recursive call, about half of the array is eliminated from consideration.
- The number of recursive calls required to find an element or determine that it is not in the array is log₂ n for an array of length n.
- We say that the binary search algorithm
 has order log, n, written O(log, n).
- This is also known as big O notation.

Binary Search - Efficiency

 For example, an array with 1024 elements will need to do only 10 comparisons.

•
$$\log_2(1024) = 10$$

$$2^{10} = 1024$$

Binary Search - Efficiency

- An array with 100,000 elements would only need to make about 17 comparisons.
- This is <u>much</u> better than a linear/sequential search, which would need to make 50,000 comparisons (on average).

Merge Sort Savitch p 808 (case study)

- The binary search algorithm works only if the array is sorted.
- Merge sort is a very efficient, recursive algorithm to sort an array.
- Like binary search, merge sort also takes a "divide and conquer" approach.
 - The array is <u>divided in halves</u> and <u>the halves are sorted recursively</u>.
 - Sorted subarrays are merged to form a larger sorted array.

Merge Sort - Pseudocode

Base case: If the array a has only 1 element
(a.length == 1)

stop, a is of length 1, so it is already sorted Otherwise (recursive case):

Divide the input array a into two halves

- copy the first half of the elements into an array called front
- copy the second half of the elements into an array called tail

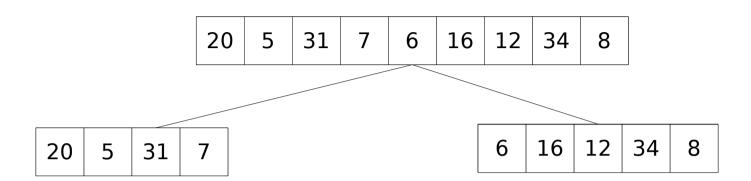
Sort array front recursively
Sort array tail recursively
Merge the arrays front and tail into a

Merging Sorted Arrays - Pseudocode

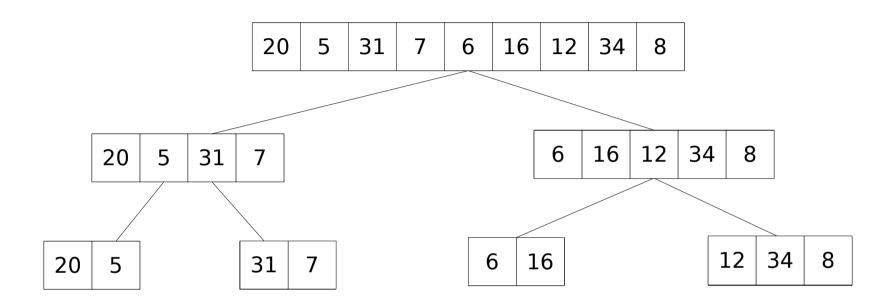
- front and tail are both sorted
- Initialize frontIndex=0, tailIndex=0, and aIndex=0
- while we haven't reached the end of either list {
 - copy the smaller of front and tail to a
 - increment aIndex
 - increment index of array copied from
- }
- copy remaining elements from front, if any
- copy remaining elements from tail, if any

Array to sort:

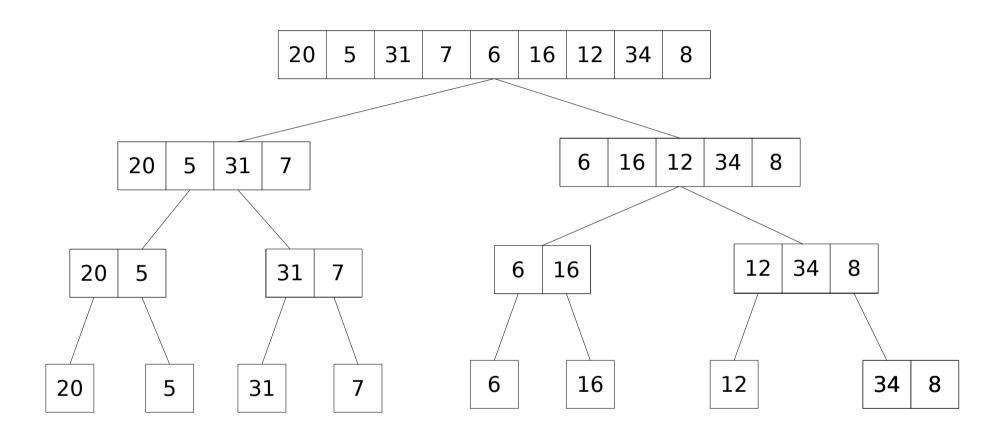
20	5	31	7	6	16	12	34	8
								ĺ



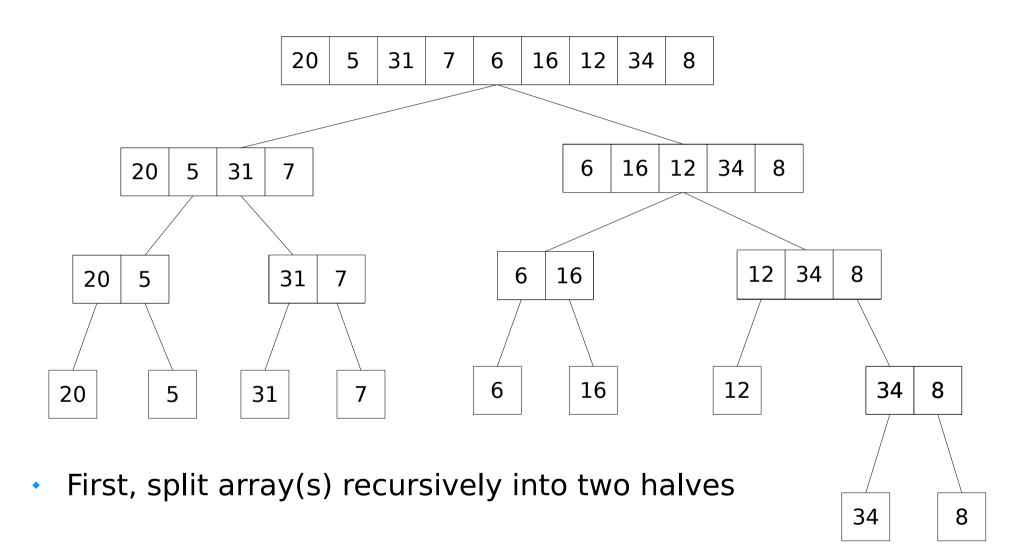
First, split array(s) recursively into two halves

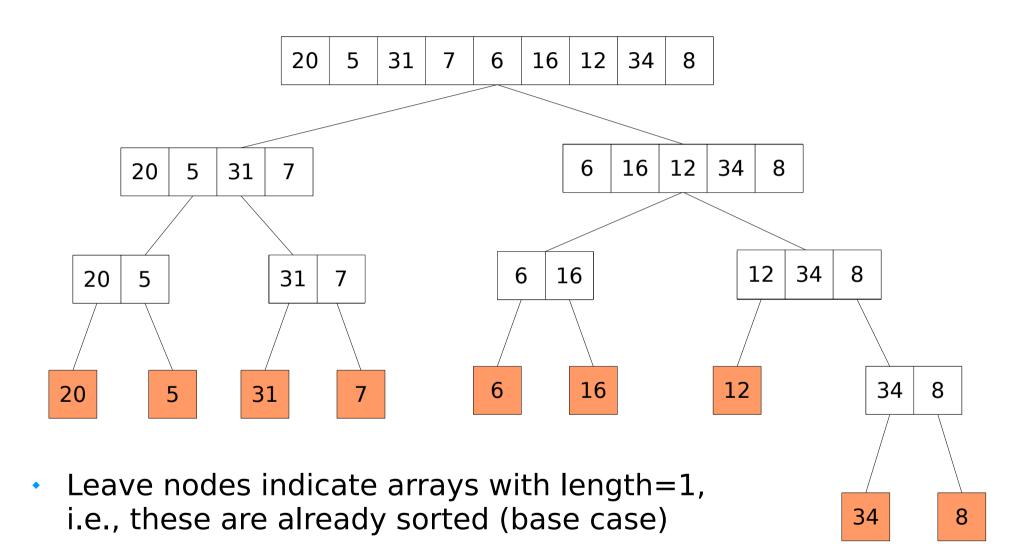


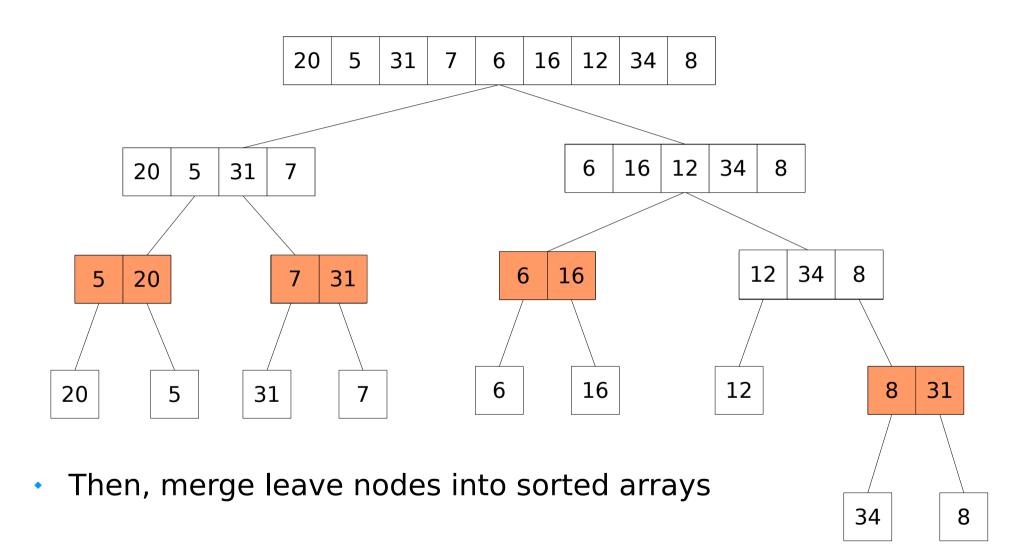
First, split array(s) recursively into two halves

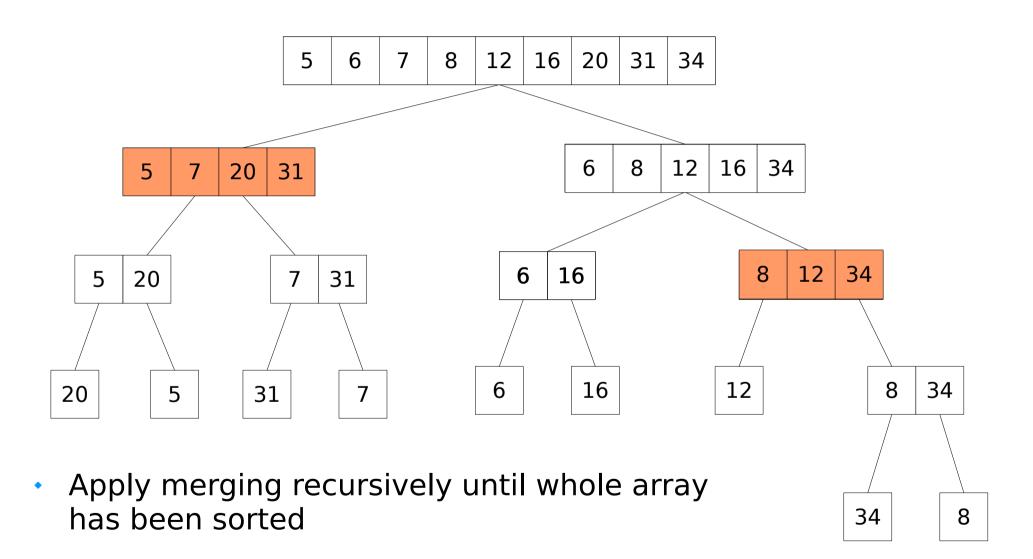


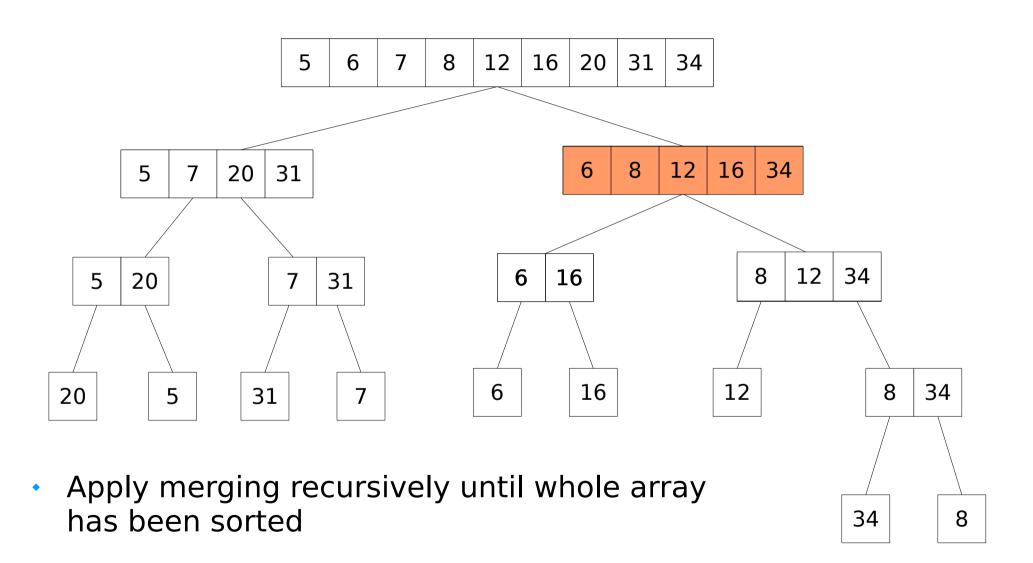
First, split array(s) recursively into two halves

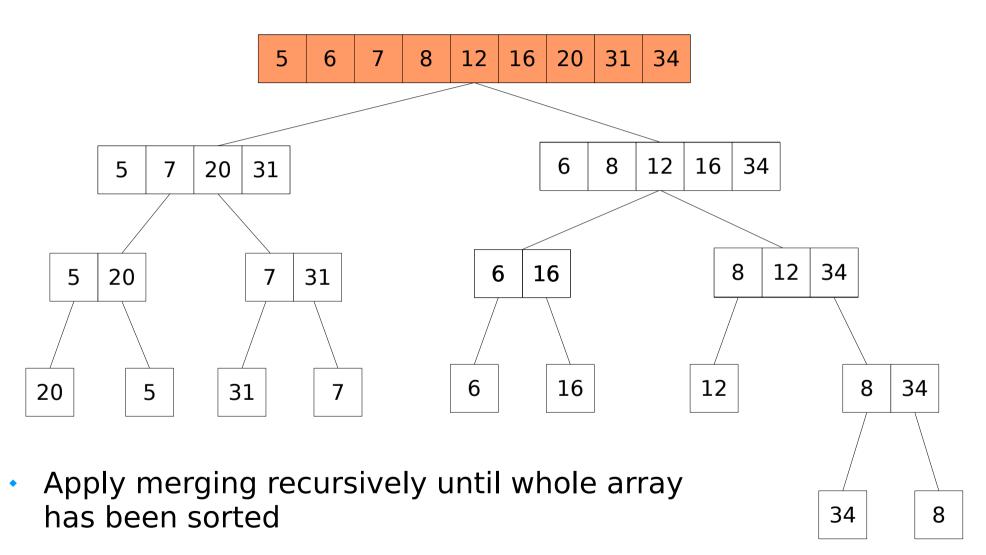












See MergeSort.java under SavitchSrc on the course website