

# Kneser-Ney Bigram Example

## Unigram Model

counts	big	cats	chase	dogs	i	like	walks
	5	2	2	4	1	2	1

i like big dogs  
big dogs like walks  
big dogs chase big cats  
cats chase big dogs

## Unigram Model with OOV Handling

Consider all words that occur only once in the training data as OOV, and replace them with the special token <UNK>. The OOV words in our sample corpus are *i* and *walks*. The updated unigram count table is now:

	UNK	big	cats	chase	dogs	like
counts	2	5	2	2	4	2
probs	$\frac{2}{17}$	$\frac{5}{17}$	$\frac{2}{17}$	$\frac{2}{17}$	$\frac{4}{17}$	$\frac{2}{17}$

## Bigram Model with OOV Handling

Replace the OOV words in the training data with the UNK token, and add sentence markers. The UNK token is counted like any other word in the count table:

counts	UNK	big	cats	chase	dogs	like	</s>
UNK						1	1
big			1		4		
cats				1			1
chase			2				
dogs				1		1	2
like	1	1					
<s>	1	2	1				

<s> UNK like big dogs </s>  
<s> big dogs like UNK </s>  
<s> big dogs chase big cats </s>  
<s> cats chase big dogs </s>

## Bigram Model with OOV Handling and Laplace (Add-1) Smoothing

Add 1 to all counts and compute probabilities:

probs	UNK	big	cats	chase	dogs	like	</s>
UNK	$\frac{1}{9}$	$\frac{1}{9}$	$\frac{1}{9}$	$\frac{1}{9}$	$\frac{1}{9}$	$\frac{2}{9}$	$\frac{2}{9}$
big	$\frac{1}{12}$	$\frac{1}{12}$	$\frac{2}{12}$	$\frac{1}{12}$	$\frac{5}{12}$	$\frac{1}{12}$	$\frac{1}{12}$
cats	$\frac{1}{9}$	$\frac{1}{9}$	$\frac{1}{9}$	$\frac{2}{9}$	$\frac{1}{9}$	$\frac{1}{9}$	$\frac{2}{9}$
chase	$\frac{1}{9}$	$\frac{3}{9}$	$\frac{1}{9}$	$\frac{1}{9}$	$\frac{1}{9}$	$\frac{1}{9}$	$\frac{1}{9}$
dogs	$\frac{1}{11}$	$\frac{1}{11}$	$\frac{1}{11}$	$\frac{2}{11}$	$\frac{1}{11}$	$\frac{2}{11}$	$\frac{3}{11}$
like	$\frac{2}{9}$	$\frac{2}{9}$	$\frac{1}{9}$	$\frac{1}{9}$	$\frac{1}{9}$	$\frac{1}{9}$	$\frac{1}{9}$
<s>	$\frac{2}{11}$	$\frac{3}{11}$	$\frac{2}{11}$	$\frac{1}{11}$	$\frac{1}{11}$	$\frac{1}{11}$	$\frac{1}{11}$

<s> UNK like big dogs </s>  
<s> big dogs like UNK </s>  
<s> big dogs chase big cats </s>  
<s> cats chase big dogs </s>

# Kneser-Ney Bigram Example

## Kneser-Ney Smoothing

	<UNK>	big	cats	chase	dogs	like	</s>	$C(w_1)$	$C(w_1\bullet)$	
<UNK>						1	1	2	2	<s> <UNK> like big dogs </s>
big			1			4		5	2	<s> big dogs like <UNK> </s>
cats				1			1	2	2	<s> big dogs chase big cats </s>
chase					2			2	1	<s> cats chase big dogs </s>
dogs						1		4	3	
like		1	1					2	2	
<s>	1	2	1					4	3	
$C(\bullet w_2)$	2	3	2	2	1	2	3		15	

BT : bigram type  
 $C(w_1)$  : unigram count of  $w_1$   
 $C(w_1\bullet)$  : BTs starting with  $w_1$   
 $C(\bullet w_2)$  : BTs ending with  $w_2$

$$P_{KN}(w_2|w_1) = \frac{\max(C(w_1 w_2) - d, 0)}{C(w_1)} + \lambda(w_1) \times P_{CONT}(w_2)$$

$$\lambda(w_1) = \frac{d}{C(w_1)} \times C(w_1\bullet)$$

$$P_{CONT}(w_2) = \frac{C(\bullet w_2)}{C(\text{non-zero bigram types})}$$

$$P_{KN}(dogs|big) = \frac{\max(C(\text{big dogs}) - d, 0)}{C(\text{big})} + \lambda(\text{big}) \times P_{CONT}(dogs)$$

$$= \frac{\max(C(\text{big dogs}) - d, 0)}{C(\text{big})} + \frac{d}{C(\text{big})} \times C(\text{big}\bullet) \times \frac{C(\bullet dogs)}{C(\text{non-zero bigram types})}$$

$$= \frac{\max(4 - .75, 0)}{5} + \frac{.75}{5} \times 2 \times \frac{1}{15}$$

$$= .67$$

KN <i>big</i> row	<UNK>	big	cats	chase	dogs	like	</s>
big ( $T_1$ )	0	0	.05	0	.65	0	0
$\lambda(\text{big})$	.3	.3	.3	.3	.3	.3	.3
$P_{CONT}(w_2)$	2/15	3/15	2/15	2/15	1/15	2/15	3/15
$P_{KN} = T_1 + (\lambda * P_{CONT})$	.04	.06	.09	.04	.67	.04	.06

Add1 <i>big</i> row	<UNK>	big	cats	chase	dogs	like	</s>
$P_{Add1}(w_2 big)$	.083	.083	.167	.083	.417	.083	.083

KN <i>dogs</i> row	<UNK>	big	cats	chase	dogs	like	</s>
dogs ( $T_1$ )	0	0	0	.0625	0	.0625	.3125
$\lambda(\text{dogs})$	.5625	.5625	.5625	.5625	.5625	.5625	.5625
$P_{CONT}(w_2)$	2/15	3/15	2/15	2/15	1/15	2/15	3/15
$P_{KN} = T_1 + (\lambda * P_{CONT})$	.075	.1125	.075	.1375	.0375	.1375	.425

$PP(\text{big cats like big dogs})$  Unigram:  $\approx 5.13$ , Bigram (Add-1):  $\approx 4.46$ , Bigram (KN):  $\approx 3.87$

**Exercise:** Compute  $P_{KN}(\text{chase}|\text{cats})$