Segmentation model for autocomplete communication

with latent variables

Dewi E. Timman 12419273

Bachelor thesis Credits: 18 EC

Bachelor $Kunstmatige\ Intelligentie$



University of Amsterdam Faculty of Science Science Park 900 1098 XH Amsterdam

> Supervisor Dr. V. Niculae

Informatics Institute
Faculty of Science
University of Amsterdam
Science Park 900
1098 XH Amsterdam

Semester 1, 2023-2024

Abstract

To make writing tasks more efficient, an autocomplete system could predict a full sentences from a partial sentence. Previous research mainly focused on left-toright autocompletion. This is also the kind of autocompletion you can find on phones and computers nowadays. In this work however, a subsentence of the full sentence is used in order to autocomplete the full sentence. For an autocomplete system, it is important to be as accurate and efficient (as few tokens as possible) as possible. In order to train such an autocomplete system, an encoder decoder model is made. The encoder extracts keywords from a sentence, resulting in a mask representing those keywords. The decoder then tries to predict the full sentence from the keywords. First, the model of Lee et al. (2019) was replicated. This work was one of the first to extract keywords from across the full sentence instead of the first or the last few words. However, this was done in an unstructured manner. Since human language is structured, this calls for a structured manner of extracting keywords. Therefore, the second model in this paper is a structured model. In order to achieve this, the encoder was adjusted to extract keywords using a segmentation model. With the help of dynamic programming algorithms and by looking at the mask as a latent variable the keywords were extracted. No significant differences were found between the two models. However, due to limited computational resources the obtained results could be improved. Future research can also expand the segmentation model more in order to improve results.

Keywords: segmentation, dynamic programming, autocompletion, autoencoder, communication, latent variables

The code of this work can be found at GitHub, https://github.com/dewi-elisa/Thesis-AI/

Contents

1	Intr	oducti	ion	4							
	1.1	Litera	ture review	4							
		1.1.1	Autocomplete communication game	4							
		1.1.2	Segmentation model	5							
		1.1.3	Structured latent variables	6							
	1.2	Curre	nt research	8							
2	Exp	erime	nt 1								
	Uns	structu	red Model	9							
	2.1	Metho	od	9							
		2.1.1	Data	9							
		2.1.2	Experimental Design	9							
		2.1.3	Model description	10							
		2.1.4	Hyperparameters	11							
		2.1.5	Optimizations	11							
3	Experiment 2										
	Segmentation Model										
	3.1	Metho	od	13							
		3.1.1	Score matrix	13							
		3.1.2	Model description	14							
4	Res	ults		16							
5	Con	clusio	n	18							
6	Disc	cussior	1	20							
Re	efere	nces		23							
Δ	Ont	imizat	ion derivations	25							

B Figures for results section

Introduction

What if machines can read our mind? If we can give a machine a few keywords and let the machine generate a sentence from these keywords, writing tasks could be made more efficient. This is what autocomplete systems are trying to achieve. The way in which we choose the keywords is also important. Taking just the first or the last few words of a sentence as keywords usually does not capture the full meaning of the sentence. For example, if someone wants to capture the meaning of 'I live in Amsterdam' in a few keywords, the words 'live Amsterdam' would probably be chosen. Thus, the keywords come from multiple places in the sentence. Therefore, autocomplete systems need to use more complex models to be more efficient and accurate.

1.1 Literature review

1.1.1 Autocomplete communication game

The same autocomplete communication game is considered as in Lee et al. (2019). In this game, a human (called user) encodes a sentence into keywords. These keywords are then decoded by a machine (called system) to retrieve the full, initial sentence. A schematic overview is given in figure 1.1. The communication game is successful if the retrieved sentence is the same as the initial sentence.

More formally, a target sentence $x = (x_1, ..., x_m)$ is communicated by a user through the keywords $z = (z_1, ..., z_n)$. Note that z is a subsequence of x. The system then tries to retrieve the target sentence by decoding the keywords. The target sentence is described by the keywords using encoding strategy $q_{\alpha}(z|x)$ and the system decodes the keywords by using decoding strategy $p_{\beta}(x|z)$.

For a model to be efficient, the number of keywords needs to be as low as possible. In addition, for a model to be accurate, the probability of reconstructing

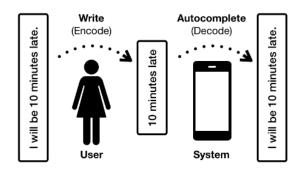


Figure 1.1: Schematic overview of the communication game. Figure from Lee et al. (2019).

x from z needs to be as high as possible. Therefore, a cost and a loss, respectively, can be defined:

$$cost(x,\alpha) = \mathbb{E}_{q_{\alpha}(z|x)}[length(z)]$$
(1.1)

$$loss(x, \alpha, \beta) = \mathbb{E}_{q_{\alpha}(z|x)}[-\log p_{\beta}(x|z)]$$
(1.2)

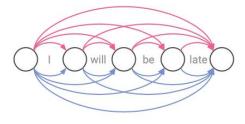
1.1.2 Segmentation model

General idea. If there is a rod of length n, and we can cut this rod at every marker, how can we best find the maximal total value of the resulting pieces? This is called the rod cutting problem. The segmentation model gives a solution to the rot cutting problem. The segmentation model takes the scores of all pieces of the rod, called segments. Those segments can be of length 1, 2 or even n. With these scores, the model determines what the best possible segmentation is. To find the best segmentation and the probability of a segmentation, the model makes use of dynamic programming algorithms such as the Viterbi algorithm (Rabiner, 1989) and the forward algorithm. The segmentation model is essentially a simpler version of a hidden semi-Markov model (K. Murphy, 2002).

Segmentation model for text. So how does the segmentation model work for text? If we have a sentence, e.g. 'I will be late', we can use fence post indexing to represent a sentence as a rod which can be cut at the fence posts (see also figure 1.2a). The fence posts can also represent nodes in a directed acyclic graph (DAG). We can then draw edges between those nodes that represent segments. Those segments can be seen as (groups of) words. In figure 1.2b, a DAG can be seen in which all the possible segments are showed. In the case of the autocomplete communication model described before, a segment is either kept or not. Therefore, we can have one edge representing 'keep' and one representing 'do not keep', resulting in figure 1.2c. If the pink edges are taken as 'do not keep' and the blue



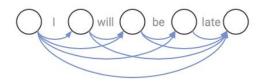
(a) Fence post indexing



(c) Possible segments in a DAG when each segment can either be true or false



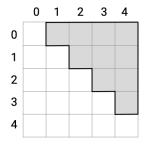
(e) Another possible segmentation



(b) Possible segments in a DAG



(d) A possible segmentation



(f) Score matrix A

Figure 1.2: Segmentation model

ones as 'keep', two possible segmentations can be seen in figure 1.2d and 1.2e. Both segmentations result in the keywords 'will be late'.

The score of a segmentation can be calculated by summing up all scores of its segments. The assigned score of a segment can be visualized as a score matrix A and has size $(m+1) \times (m+1)$. A segment, e.g. segment i-j, only has a score if it goes to a node further in the sequence, i.e. i < j. Therefore, only the upper triangle of the matrix is used. This is denoted in figure 1.2f by the gray squares. The scores of the segmentations can then be used to calculate probabilities and to sample from a distribution over segments.

1.1.3 Structured latent variables

A latent variable is variable that cannot be observed directly. It can be used to capture some relevant property of a data point (Niculae et al., 2023). Since

we cannot observe these variables, usually there are no labels available for them. Therefore, it is not possible to use supervised learning on them.

Structured latent variables. A structured latent variable can be used when structure is useful for interpreting our data (Kim et al., 2018). In the case of our autocomplete communication game, we try to recover the full sentence by inferring what is a good mask. The mask determines what words are good keywords. By adding the segmentation model, we make the latent variable structured, namely in the form of a segmentation.

Score function estimator. Because our latent variable, the mask, is a discrete variable, which is also part of our training objective, we cannot calculate a gradient (Mohamed et al., 2020). However, the gradient is needed for optimization of the model. In the case a gradient cannot be calculated, we can use a score function estimator (SFE, Paisley, Blei, & Jordan, 2012; Rubinstein, 1976) in combination with Monte Carlo estimation. The SFE is also known as REINFORCE (Williams, 1992).

The SFE allows us to rewrite our gradient with respect to the parameters w of the model,

$$\nabla_w \mathbb{E}_{p_w(x)}[f(x)], \tag{1.3}$$

to

$$\mathbb{E}_{p_w(x)}[f(x)\nabla_w \log p_w(x)]. \tag{1.4}$$

For a derivation, see Niculae et al. (2023, p. 74) for a general derivation or appendix A for the specific case of our model. Adding Monte Carlo then results in:

$$\frac{1}{N} \sum_{n=1}^{N} f(\hat{x}^{(n)}) \nabla_{\theta} \log p_w(x), \tag{1.5}$$

where $\hat{x}^{(n)} \sim p_w(x)$.

The SFE often has a high variance. This can influence training of the model negatively (Mohamed et al., 2020). It therefore is often used in combination with a control variate. This control variate is a constant c that is subtracted from the sample of the Monte Carlo estimator, rewriting equation 1.5 in:

$$\frac{1}{N} \sum_{n=1}^{N} f(\hat{x}^{(n)} - c) \nabla_{\theta} \log p_w(x). \tag{1.6}$$

Because of this, the score function estimator has a lower variance. In addition, because the control variate is a constant, the gradient is not affected by this.

1.2 Current research

Previous research did not take a structured model for autocompletion into account (Bar-Yossef & Kraus, 2011; Lee et al., 2019; Svyatkovskiy et al., 2019). Since language is structured, a structured model, namely the segmentation model, can be more natural and effective than the current bit mask that is implemented in Lee et al. (2019). Furthermore, previous work has shown that the prediction of segmentations can lead to better results than models that do not explicitly represent segments (Kong et al., 2016; Sarawagi & Cohen, 2004). Therefore, in this research, we look at how a latent segmentation model can be used to retrieve keywords from a sentence. First, the autoencoder model used in Lee et al. (2019) will be implemented. Then, the segmentation model will be implemented in the encoder of the previous model.

Experiment 1 Unstructured Model

In the first experiment the autoencoder model from Lee et al. (2019) was replicated.

2.1 Method

To replicate the model, an encoder-decoder model was made. The encoder chooses which words to keep as keywords, and the decoder tries to retrieve the full sentence of the keywords.

2.1.1 Data

The same data was used as in Lee et al. (2019). The data used to train the model consisted of 1K randomly sampled sentences from the Yelp restaurant reviews corpus (Yelp, 2017). Another 100 sentences were used to evaluate the model. The sentences had at most 16 tokens. The reviews were segmented into sentences following the same procedure as in Guu et al. (2018).

The model of Lee et al. (2019) also predicts capital letters and whitespaces. However, for English we can just assume that after every word a space is used. Therefore, the data was adjusted to leave out the characters for whitespaces. Something similar is also true for capital letters: usually these only occur at the start of a sentence or in names. It is thus not necessary to also predict capital letters.

2.1.2 Experimental Design

The model used is an encoder-decoder model. For a schematic overview, see figure 1.1. The encoder, using the encoding strategy $q_{\alpha}(z|x)$, takes as input a target se-

sentence	<sos></sos>	the	vibe	is ur	ban r	node	rn . ·	<eos></eos>	
mask	0	0	1	0	1	1	0	0	
keywords	vibe urban modern								

Table 2.1: Example sentence, mask and keywords

quence $x = (x_1, ..., x_m)$ and outputs a sequence of keywords $z = (z_1, ..., z_n)$. The decoder, using the decoding strategy $p_{\beta}(x|z)$, then takes these keywords as input and outputs a predicted sequence $y = (y_1, ..., y_k)$. The better the autoencoder works, the more likely it is that x and y are equal. Table 2.1 gives an example of a sentence, a possible mask and the keywords generated using that mask.

2.1.3 Model description

Encoder. The encoder embeds the tokens and uses a uni-directional LSTM (Hochreiter & Schmidhuber, 1997) to score the tokens. An additional linear layer followed by sigmoid function is used to determine the probability of keeping each token. From these probabilities, a mask is sampled from a Bernoulli distribution. Finally, the sequence of kept tokens and the log probability of the mask are returned.

Decoder. The decoder itself is also an encoder-decoder model (the encoder of this model is referred to as encoder*). The encoder* first embeds the tokens of the subsequence. It then encodes the embedding using a bidirectional LSTM.

The decoder decodes the full sentence. It therefore embeds the already decoded sequence (or just the <sos> symbol if there is none) into a 32-dimensional vector and concatenates the last hidden state of the encoder* to it. This embedding is the input for another uni-directional LSTM. Finally, the probability of the next word is calculated using global attention (Luong et al., 2015; Bahdanau et al., 2016) and the full sentence and its log probability are returned.

During training, the decoder is given the keywords and at every step the model takes the correct word from the target sequence and calculates the probability of that sequence. During evaluation, however, the decoder uses a greedy decoding strategy and thus takes the word with the highest probability as the next word.

Optimization. The goal of the model is to be as efficient and accurate as possible. If equation 1.1 and 1.2 are merged and a parameter λ is added to represent the trade-off between the two, the goal becomes the following:

$$\min_{\alpha,\beta} \mathbb{E}[\cot(x,\alpha)] + \lambda \cdot \mathbb{E}[\log(x,\alpha,\beta)]. \tag{2.1}$$

Here the expectation is taken over x. Since the gradients of equation 2.1 cannot be calculated, it is approximated with Monte Carlo. The gradients can then be calculated as following (see appendix A for the exact derivations):

$$\nabla_{\alpha} F(x, z, \alpha, \beta) = \mathbb{E}_{q_{\alpha}(z|x)} [\nabla_{\alpha} \log q_{\alpha}(z|x) f(x, z, \beta)], \tag{2.2}$$

$$\nabla_{\beta} F(x, z, \alpha, \beta) = \mathbb{E}_{q_{\alpha}(z|x)} [\nabla_{\beta} f(x, z, \beta)]. \tag{2.3}$$

Where, f and the score function estimator (SFE) F (and its Monte Carlo approximation) are:

$$f(x, z, \beta) = \operatorname{length}(z) + \lambda \cdot (-\log p_{\beta}(x|z)), \tag{2.4}$$

$$F(\alpha, \beta) = \mathbb{E}_{q_{\alpha}(z|x)}[f(x, z, \beta)], \tag{2.5}$$

$$F(\alpha, \beta) \stackrel{\text{M.C.}}{\approx} \frac{1}{M} \sum_{i} f(x, z^{(i)}, \beta).$$
 (2.6)

Here M is the amount of samples drawn from $q_{\alpha}(z|x)$.

2.1.4 Hyperparameters

During training, the sentences start with a <sos> symbol and end with a <eos> symbol. During evaluation of the model, those symbols are removed.

The model is implemented using PyTorch (Paszke et al., 2019). After each token is embedded, a ReLu is used with a dropout rate of 10% during training to prevent overfitting. The hidden dimensions of the LSTM layers are all 32. To optimize the model an Adam optimizer (Kingma & Ba, 2017) is used with a learning rate of 0.01. Multiple models were trained for 10 epochs with different values for λ .

2.1.5 Optimizations

To let the model predict better results faster, a few optimizations were done.

Copy mechanism. The copy mechanism (Gu et al., 2016) in the decoder determines if it wants to copy the current token or wants to generate a new token. It therefore calculates the probability of the new word as following:

$$p(w) = (1 - p_{\text{gen}}) \cdot p_{\text{copy}}(w) + p_{\text{gen}} \cdot p_{\text{word}}(w). \tag{2.7}$$

For the calculation of the probability of the to be copied word, $p_{\text{copy}}(w)$, the global attention mechanism from the decoder is used. To calculate the probability of generating a new word, p_{gen} , the last hidden decoder state, the attention mechanism and the token embedding of the new to generated word are used in a linear layer followed by a sigmoid. $p_{\text{word}}(w)$ is the probability of the to be generated word if a word is being generated.

Adjusted vocabulary. The model makes a vocabulary to translate words to numbers. During the evaluation of the model, a lot of unknown tokens were encountered. This was due to words that were not present in the training set but were in the validation set. To solve this problem, the vocabulary was made using the whole dataset, instead of just the training set. Lee et al. (2019) used the copy mechanism and a dynamic vocabulary for this, but for the task at hand and the amount of data this also works.

Variance reduction. Because of the use of an SFE the gradient can be more prone to noise (Niculae et al., 2023). To solve this problem, its variance can be reduced in a couple of ways. One of those solutions is to sample a second subsentence in the encoder (Rennie et al., 2017). With this second subsentence, $f(x, z', \beta)$ can be determined, and the loss can be updated as following:

$$\mathbb{E}_{q_{\alpha}(z|x)}[\nabla_{w}\log q_{\alpha}(z|x)\cdot(f(x,z,\beta)-f(x,z',\beta))] + \mathbb{E}_{q_{\alpha}(z|x)}[\nabla_{w}f(x,z,\beta)], \quad (2.8)$$

where w is a parameter (i.e. either α or β). Note that the gradient is not changed when subtracting $f(x, z', \beta)$ from $f(x, z, \beta)$ since it can be seen as constant because it is independent of z.

Experiment 2 Segmentation Model

In the second experiment, the model from chapter 2 is expanded with a segmentation model.

3.1 Method

An autoencoder model is made in which the encoder uses a segmentation model to choose the sequence of keywords z. The same data was used as described in section 2.1.1.

3.1.1 Score matrix

In order for this model to work, it needs a score tensor, A. This tensor consists of two upper triangle matrices of $(m+1) \times (m+1)$, called A_0 and A_1 . Both matrices represent the score of segments in the DAG made for each sentence as described in 1.1.2. The matrix A_1 represents the scores for when the segment is true, and A_0 for when the segment is false. The matrix A_0 consists of only zeros to simplify the model.

To construct A_1 , an embedding matrix H with dimensions $m \times d$ is used. Here m is the amount of tokens in x and d the hidden dimension of the LSTM. In addition, a weight matrix W with dimensions $d \times d$ is used. This weight matrix is learned by the encoder. Matrix A_1 is then calculated as following:

$$A_{ij1} = h_i^T W h_j (3.1)$$

And more efficiently, with matrices:

$$A_1 = HWH^T (3.2)$$

Note that the scores learned for A_1 can also be negative. Therefore, the model can prefer to leave out a segment when needed.

3.1.2 Model description

The same model as in section 2.1.3 was used. Only the encoder part was adjusted. Instead of using an LSTM and a linear layer to score each token, the segmentation model is used.

Encoder. The encoder embeds each token. It then uses a one-directional LSTM. The output of this LSTM is the matrix H, which is used to make the score matrix a, as described in the previous section. Then, using dynamic programming algorithms, a segmentation is sampled and its probability is calculated. As described in section 2.1.5, variance reduction is applied and thus also a second segmentation is sampled. These segmentations are then converted into masks.

Dynamic programming. To sample a segmentation, the forward filtering, backward sampling algorithm (K. P. Murphy, 2012) was used. This algorithm first calculates the logsumexp of all possible segmentations and then samples from this distribution. Pseudocode for this algorithm can be found in algorithm 2.

To calculate the probability of the segmentation, the forward algorithm was used. This algorithm calculates the logsum of all possible segmentations. Pseudocode for this algorithm can be found in algorithm 1. The logsum p is then used in combination with the score of the segmentation (which can be calculated using a) to calculate the probability of the segmentation.

Algorithm 1 Forward filtering, backward sampling algorithm

Input: score tensor A with shape $(m+1) \times (m+1) \times 2$ Output: sampled segmentation $n \leftarrow A.\text{shape}[0]$ $q_0 \leftarrow 0$ $\text{for } i = 1, \dots, n \text{ do}$ $q_i \leftarrow \log \sum_{0 \leq j < i} (\exp(q_j + a_{ji0}) + \exp(q_j + a_{ji1}))$ end for $y \leftarrow []$ $i \leftarrow n - 1$ while i > 0 do $\text{sample } j < i \text{ and } k \text{ with probability } p_j \leftarrow \exp(a_{ji0} + q_j - q_i) + \exp(a_{ji1} + q_j - q_i)$ $y \leftarrow (ji, k) \frown y$ $i \leftarrow j$

return y

return q_n

end while

Algorithm 2 Forward algorithm

```
Input: score tensor A with shape (m+1) \times (m+1) \times 2
Output: log-normalizer n \leftarrow A.\text{shape}[0]q_0 \leftarrow 0\text{for } i = 1, \dots, n \text{ do}q_i \leftarrow \log \sum_{0 \le j < i} (\exp(q_j + a_{ji0}) + \exp(q_j + a_{ji1}))end for
```

Results

Six models, with different values for λ , were trained for each model type. To evaluate the models, the accuracy and the cost were calculated. This was done for the last epoch, and on both the training and validation set. All figures are in appendix B.

Accuracy. Figures with the accuracy and cost are shown in figure B.1. Accuracy is measured on the whole sentence. This means that even when only one word in y is different from x the sentence will have an accuracy of 0. For each model, the average is taken over the whole dataset and only the accuracy from the last epoch is reported. In both cases, we can see a higher accuracy on the validation set (between 98% and 100%) than on the training set (around 59.5%).

Cost. Cost is measured as the percentage of words kept in the keywords:

$$cost = \frac{\text{number of words in } z}{\text{number of words in } x} \cdot 100. \tag{4.1}$$

How the cost progresses per epoch can be seen in figure B.2. The percentage of words kept as keywords is fairly constant for the segmentation model ($\pm 91\%$) in comparison to the unstructured model (between 82% and 100%).

For the unstructured model we see that the lower the λ the higher the tendency for the model to drop words. However, when λ is bigger than 1.5, the model seems to be wanting to keep all tokens. For the segmentation model however, all models keep 91% of the tokens, no matter what value of λ they are trained on.

Parameter \lambda. For the unstructured model, the higher the λ the more words are kept as keywords in general. To see if the model can drop more words (and thus get a lower cost) with a higher λ , another model was trained on only 10 training

```
egin{array}{lll} x & <& sos> {
m the shrimp burrito was awesome . <& eos> \\ z & the shrimp burrito was awesome . <& eos> \\ y & <& sos> {
m the shrimp burrito was awesome . <& eos> \\ \end{array}
```

Table 4.1: Example sentence

samples. The results of this model can be seen in figure B.4. It can be seen that the model can learn to drop words, however, to reduce the loss it increases the cost fast. It is interesting, however, that in this case the training accuracy is higher than the validation accuracy.

Training objective. The training objective goes down for both models (see figure B.3). In general, the higher the value of λ , the higher the training objective after 10 epochs for the unstructured model. For the segmentation model, all values of λ seem to converge to the same value for the training objective.

Text analysis. The models printed a few examples every epoch. An example consists of the sentence x, the keywords z and the predicted output sentence y. When looking at the examples for both models, we see that occasionally, the model drops the $\langle \cos \rangle$ symbol but nothing more than that. An example can be seen in table 4.1.

Conclusion

To see to what extent a segmentation model can help by selecting keywords in an autocomplete communication game, two different kinds of models were made and compared. The results show no significant differences between the models.

Accuracy. It is unusual for the accuracy to be higher on the validation set than on the training set. One possible reason for this can be an overlap between the two sets. However, after closer inspection this was not the case. Other reasons can be the use of dropout or a small validation set.

Cost. Both models have a high cost. Therefore, the models find it hard to drop words. However, the unstructured model can have the tendency to keep all words in the sentence as keywords, especially when λ is high.

Parameter λ . For the segmentation model, the value of λ does not seem to have any big difference on the accuracy, the cost and the training objective. On the unstructured model, however, the higher the value of λ the higher the cost, the accuracy and the training objective. It is only logical for the accuracy to become higher when the cost increases, since more words are kept and therefore the model can just copy the keywords. Consequently, the cost is part of the training objective and therefore the training objective will also be higher, especially when the value of λ is high. We can see that the model does drop more words with a higher λ , but it does not do so significantly. The training accuracy is higher than the validation accuracy, which can be a sign of overfitting. Figure B.4c, however, shows that the training objective does not share this phenomenon.

Training objective. The training objective does go down, but is still decreasing after 10 epochs for the unstructured model. This can be a sign that the model

still needs more training.

Text analysis. When looking at the printed examples and comparing these to the cost, it seems that the lower the cost the more often the <sos> token is dropped.

Discussion

No significant differences between the two models were found.

Related work. This work is closely related to Lee et al. (2019). They share the same goal, namely to communicate as efficient and accurate as possible without losing interpretability. In order to do so, they propose two models and compare these to baseline models. Their linear model was replicated in chapter 2. In this work the same data was used as in their work. However, due to computational resources our dataset was made considerably smaller and the prediction of capital letters and whitespaces was left out. Moreover, the model was trained for fewer epochs (10 instead of 30) and with an embedding dimension of 32 instead of 300.

In their results they showed a figure similar to figure B.1. For convenience, this figure is showed in figure 6.1. In this work it was expected to find a similar trend. However, this was not found. This might be due to a smaller dataset and the smaller amount of training for our model.

We also notice that the values of λ are significantly smaller than the ones found in figure 6.1. This probably has to do with the lower embedding dimension and the smaller dataset.

Implications. Communication between machines can take some time depending on how big the message is that the machines try to convey to each other. When efficient communication schemes can be found, the message can first be compressed before sending it to another machine. In addition, with regard to humans, it can be more efficient for someone to write a text when only a few keywords are needed to write a full sentence. However, more future research is needed to find such a model.

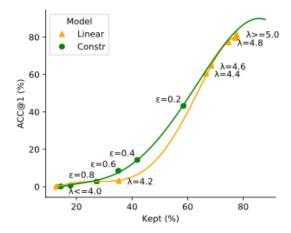


Figure 6.1: Figure from Lee et al. (2019) comparing their two models on accuracy and cost. The Linear model is similar to our unstructured model.

Limitations. As mentioned before, due to computational resources the models were a bit simpler than in Lee et al. (2019). With more computational resources, more data could be used. More data can mean a higher accuracy. Furthermore, the accuracy on the validation set is currently higher than on the training set. This could be due to the dropout. More data could solve this problem. It should be noted, however, that in case more data is used, the model would benefit from a higher embedding dimension.

When looking at figure B.3, we see that for the unstructured model the loss is still going down. Therefore, the models could benefit from longer training. Moreover, for the segmentation model the value of λ does not seem to change a lot. With more computational resources and time, the models could be trained longer and with different values of λ .

Unexpected results. There were a few unexpected results. First, during the training of the models it was observed that training on a GPU was not significantly faster than on a CPU. This can be the case because a lot of for loops are used. Because of the high amount of for loops the code cannot be parallelized well, which is what GPUs are good at.

Second, it was hard for the models to drop words (see figure B.2). Possible causes for this can be the value of λ , the limited computational resources or a combination of these two.

Third, the value of λ does not seem to have a big difference on the segmentation model. The matrix W, used to make the score tensor A, is currently initialized with zeros. It might be better to initialize the tensor with different values.

Last, when using a learning rate of 0.01 the sigmoid in the encoder returned

values of nan. This can be caused by a learning rate that is too high. Therefore, the learning rate had to be kept low, at least for the encoder.

Future research. Future research can tackle the problems caused due to limitations. In addition, a few changes for the segmentation model itself come to mind. First, future research can use the segmentation model on other languages or sounds. For the segmentation model it does not matter if the input data is words or sounds, as long as scores can be assigned to the different words. Moreover, if the language has other characters or another reading direction than that from English, the segmentation model can also handle this.

Second, the model can use syllables or other word parts instead of words. Certain syllables might have a different score than others. For example, leaving out *un* in *unexpected* can lead to an entirely different meaning. However, we need to keep in mind that this can cause our keywords to not have full words, but rather parts of words. This leads to less interpretable keywords. Therefore, it is important to think about this trade-off.

Third, the model can be expanded by adding labels, for example, POS tags. Choosing to keep a keyword can be dependent on the POS tag of a word or if something is a person or organization can also have an influence. For example, a determiner usually does not give us much information. A noun, however, does. Therefore, the segmentation model can be expanded by adding a transition matrix T which scores the transition from one label to another. This transition matrix can then be used in the dynamic programming algorithms to calculate probabilities and sample the mask.

Last, the model uses an attention mechanism. However, nowadays, transformers popular. The attention mechanism can be replaced by a transformer to see if this works better than the current attention mechanism.

References

- Bahdanau, D., Cho, K., & Bengio, Y. (2016). Neural machine translation by jointly learning to align and translate. Retrieved from https://arxiv.org/abs/1409.0473
- Bar-Yossef, Z., & Kraus, N. (2011). Context-sensitive query auto-completion. In *Proceedings of the 20th international conference on world wide web* (p. 107-116). ACM.
- Gu, J., Lu, Z., Li, H., & Li, V. O. K. (2016). Incorporating copying mechanism in sequence-to-sequence learning. *CoRR*, *abs/1603.06393*. Retrieved from http://arxiv.org/abs/1603.06393
- Guu, K., Hashimoto, T. B., Oren, Y., & Liang, P. (2018). Generating sentences by editing prototypes. *Transactions of the Association for Computational Linguistics*, 6, 437-450.
- Hochreiter, S., & Schmidhuber, J. (1997). Long short-term memory. *Neural computation*, 9(8), 1735-1780.
- Kim, Y., Wiseman, S., & Rush, A. M. (2018). A tutorial on deep latent variable models of natural language. CoRR, abs/1812.06834. Retrieved from http:// arxiv.org/abs/1812.06834
- Kingma, D. P., & Ba, J. (2017). Adam: A method for stochastic optimization. Retrieved from https://arxiv.org/abs/1412.6980
- Kong, L., Dyer, C., & Smith, N. A. (2016). Segmental recurrent neural networks. Retrieved from https://arxiv.org/abs/1511.06018
- Lee, M., Hashimoto, T. B., & Liang, P. (2019). Learning autocomplete systems as a communication game.
- Luong, M., Pham, H., & Manning, C. D. (2015). Effective approaches to attention-based neural machine translation. *CoRR*, *abs/1508.04025*. Retrieved from http://arxiv.org/abs/1508.04025
- Mohamed, S., Rosca, M., Figurnov, M., & Mnih, A. (2020). Monte carlo gradient estimation in machine learning. *Journal of machine learning research*, 21.
- Murphy, K. (2002). Hidden semi-markov models (hsmms)., 1-13.
- Murphy, K. P. (2012). *Machine learning: a probabilistic perspective*. Cambridge, Massachusetts: MIT Press.

- Niculae, V., Corro, C. F., Nangia, N., Mihaylova, T., & Martins, A. F. T. (2023). Discrete latent structure in neural networks. Retrieved from https://arxiv.org/abs/2301.07473
- Paisley, J., Blei, D., & Jordan, M. (2012). Variational bayesian inference with stochastic search. arXiv preprint arXiv:1206.6430.
- Paszke, A., Gross, S., Massa, F., Lerer, A., Bradbury, J., Chanan, G., ... Chintala, S. (2019). Pytorch: An imperative style, high-performance deep learning library. In *Advances in neural information processing systems 32* (pp. 8024-8035). Curran Associates, Inc. Retrieved from http://papers.neurips.cc/paper/9015-pytorch-an-imperative-style-high-performance-deep-learning-library.pdf
- Rabiner, L. (1989). A tutorial on hidden markov models and selected applications in speech recognition. *Proceedings of the IEEE*, 77(2), 257-286.
- Rennie, S. J., Marcheret, E., Mroueh, Y., Ross, J., & Goel, V. (2017). Self-critical sequence training for image captioning.
- Rubinstein, R. Y. (1976). A monte carlo method for estimating the gradient in a stochastic network. *Unpublished manuscript, Technion, Haifa, Israel*.
- Sarawagi, S., & Cohen, W. W. (2004). Semi-markov conditional random fields for information extraction. In L. Saul, Y. Weiss, & L. Bottou (Eds.), Advances in neural information processing systems (Vol. 17). MIT Press. Retrieved from https://proceedings.neurips.cc/paper_files/paper/2004/file/eb06b9db06012a7a4179b8f3cb5384d3-Paper.pdf
- Svyatkovskiy, A., Zhao, Y., Fu, S., & Sundaresan, N. (2019). Pythia: Ai-assisted code completion system.
- Williams, R. J. (1992). Simple statistical gradient-following algorithms for connectionist reinforcement learning. *Machine Learning*, 8(3–4), 229–256. Retrieved from http://dx.doi.org/10.1007/BF00992696 doi: 10.1007/bf00992696
- Yelp. (2017). Yelp dataset challenge, round 8. Retrieved from https://www.yelp.com/dataset_challenge

Appendix A

Optimization derivations

Using equations 1.1 and 1.2, the goal is:

$$\begin{split} & \min_{\alpha,\beta} \mathbb{E}[\mathrm{cost}(x,\alpha)] + \lambda \cdot \mathbb{E}[\mathrm{loss}(x,\alpha,\beta)] \\ & = \min_{\alpha,\beta} \mathbb{E}[\mathrm{cost}(x,\alpha) + \lambda \cdot \mathrm{loss}(x,\alpha,\beta)] \\ & = \min_{\alpha,\beta} \frac{1}{D} \sum_{x \in D} [\mathrm{cost}(x,\alpha) + \lambda \cdot \mathrm{loss}(x,\alpha,\beta)]. \end{split}$$

Here D is the set of all target sentences.

Then f can be defined as:

$$f(x, z, \beta) = \text{length}(z) + \lambda \cdot (-\log p_{\beta}(x|z)).$$

And F as:

$$F(x, z, \alpha, \beta) = \mathbb{E}_{q_{\alpha}(z|x)}[[\operatorname{length}(z) + \lambda \cdot (-\log p_{\beta}(x|z))]]$$

$$= * \sum_{z \in Z} [q_{\alpha}(z|x) f(x, z, \beta)]$$

$$= \mathbb{E}_{q_{\alpha}(z|x)}[f(x, z, \beta)],$$

where Z consists of all the possible masks of size x. In the step marked with *, the law of the unconscious statistician is used: $\mathbb{E}_{P(A)}[f(A)] = \sum_{a \in A} P(a)f(a)$.

Thus, the goal then becomes:

$$\min_{\alpha,\beta} F(x,z,\alpha,\beta).$$

The gradient with respect to α can be calculated as following:

$$\begin{split} \nabla_{\alpha} F(x,z,\alpha,\beta) &= \nabla_{\alpha} \mathbb{E}_{q_{\alpha}(z|x)}[f(x,z,\beta)] \\ &= \nabla_{\alpha} \sum_{z} [q_{\alpha}(z|x) f(x,z,\beta)] \\ &= \sum_{z} \nabla_{\alpha} (q_{\alpha}(z|x) f(x,z,\beta)) \\ &=^{*} \sum_{z} (q_{\alpha}(z|x) [\nabla_{\alpha} \log q_{\alpha}(z|x) f(x,z,\beta)]) \\ &= \mathbb{E}_{q_{\alpha}(z|x)} [\nabla_{\alpha} \log q_{\alpha}(z|x) f(x,z,\beta)]. \end{split}$$

In the step marked with *, a log-derivative trick is used: $\nabla_t \log h(t) = \frac{\nabla_t h(t)}{h(t)}$.

And the gradient with respect to β :

$$\begin{split} \nabla_{\beta} F(x,z,\alpha,\beta) &= \nabla_{\beta} \mathbb{E}_{q_{\alpha}(z|x)}[f(x,z,\beta)] \\ &= \nabla_{\beta} \sum_{z} [q_{\alpha}(z|x) f(x,z,\beta)] \\ &= \sum_{z} \nabla_{\beta} (q_{\alpha}(z|x) f(x,z,\beta)) \\ &= \sum_{z} (q_{\alpha}(z|x) [\nabla_{\beta} f(x,z,\beta)]) \\ &= \mathbb{E}_{q_{\alpha}(z|x)} [\nabla_{\beta} f(x,z,\beta)]. \end{split}$$

Appendix B

Figures for results section

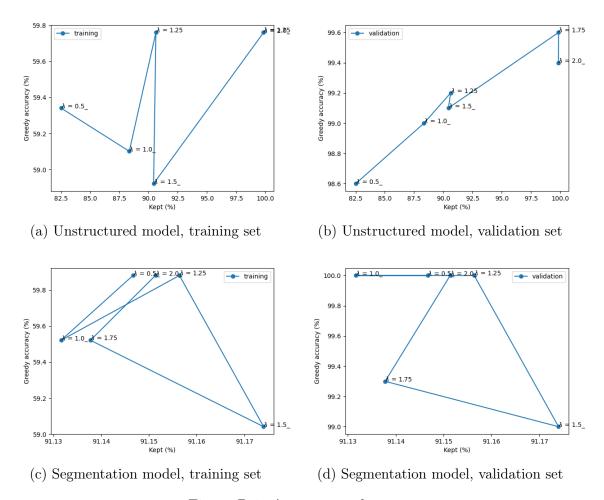


Figure B.1: Accuracy and cost

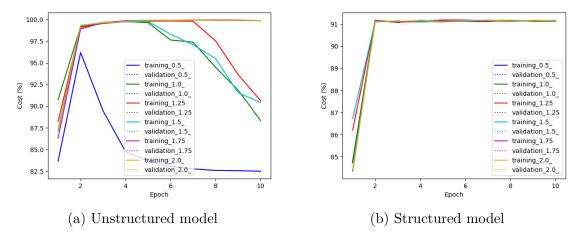


Figure B.2: Cost

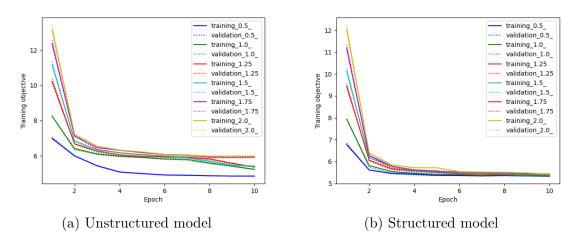


Figure B.3: Training objective

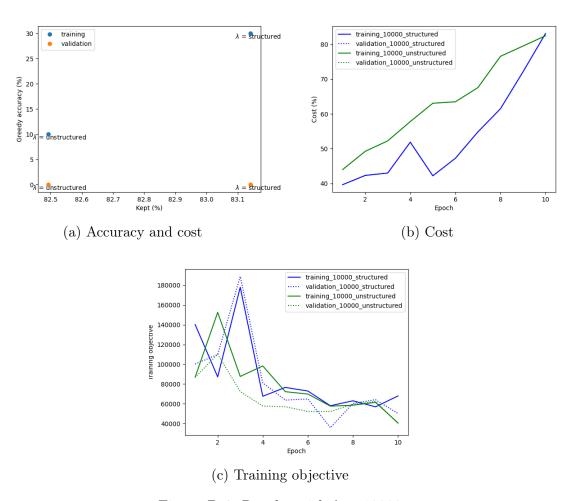


Figure B.4: Results with $\lambda = 10000$