1 Introduction

The coupling of the 125 GeV Higgs boson (h) to the top quark, which is the largest of the Standard Model (SM) couplings, is an important target for the LHC experiments. CP-violating h couplings are particularly interesting as any sign of CP violation in Higgs processes would constitute an indisputable New Physics (NP) signal. Existing data on Higgs production and decays is already precise enough to constrain any isolated modification of the top Yukawa to $\mathcal{O}(1)$ [1, 2, 3]. However, all existing measurements are based on CP-even observables with very limited sensitivity to CP-odd modifications of the top quark Yukawa. In principle, indirect collider bounds from Higgs decay and production $(gg \to h, h \to \gamma\gamma)$, and especially the low-energy bounds on electric dipole moments (EDMs) of atoms and nuclei that target specifically CP-odd effects [4, 1, 5], are currently more constraining than direct collider probes. However, these constraints are subject to assumptions about other Higgs interactions, and in particular in the case of EDMs also other contributions unrelated to the Higgs.

A few existing proposals for LHC measurements of top quark pair production in association with the Higgs boson have studied manifestly CP-odd observables with on-shell t, \bar{t} and h [6, 1, 7, 5, 8, 9, 10, 11, 12, 13] (for similar studies at e^+e^- colliders see e.g. Refs. [14, 15]). In particular, the CP nature of the top-Higgs coupling in this case is reflected in the correlation between the spins of the tops, which can be reconstructed using the angular distributions of the top quark decay products. It turns out however that the resulting effects are typically almost prohibitively difficult to measure at the LHC due to limitations of simultaneous top quarks' reconstruction, as well as their spin and charge identification. An alternative is offered by the single top production with associated Higgs based on the hard process $bW \to th$ and observed as $pp \to tHj$. Owing to simpler kinematics, the top quark polarization is more directly accessible in this case. In particular it can be reconstructed in semileptonic top decays through the angular distribution of the charged lepton in the top rest frame. Several existing studies in this direction have already proposed top quark polarization related observables [1, 16, 17, 18, 19, 20] in single top-Higgs associated production (see Ref. [21] for a similar analysis at a pe collider). Yet while the literature abounds with proposals of CP-sensitive measurements both in $t\bar{t}h$ and th channels, there has been no study to systematically search for and construct observables with optimal sensitivity to the CP-odd top Yukawa under realistic conditions at hadron colliders.

In this paper we address this challenge by identifying observables with optimal sensitivity to a single CP-odd parameter in both th and $t\bar{t}h$ associated production at the LHC, which can be realistically measured and exhibit close to optimal sensitivity to CP-odd interactions between the Higgs boson and the top quark. The proposed observables in th are based on optimization

of top-spin correlations previously studied in $t\bar{t}$ production [22]. In the case of $\bar{t}th$ this procedure becomes intractable in practice and our construction relies instead on CP- and P-symmetry arguments.

To set the stage we write the effective top quark – Higgs boson interaction as

$$\mathcal{L}_{ht} = -\frac{y_t}{\sqrt{2}}\bar{t}(\kappa + i\tilde{\kappa}\gamma_5)th\,, (1)$$

where $y_t = \sqrt{2m_t/v}$ is the top quark Yukawa in the SM, while real dimensionless quantities κ , $\tilde{\kappa}$ parametrize departures from the SM (at $\kappa = 1$, $\tilde{\kappa} = 0$). In the context of the SM Lagrangian complemented by dimension-6 effective interactions, $\tilde{\kappa}$ is generated from the operator $|H|^2 \bar{Q} \tilde{H} u_R$ which decouples the Higgs couplings (1) from the quark mass matrix [23]. Clearly, any indication of a non-vanishing $\tilde{\kappa}$ would be an indisputable sign of NP. Our goal is to construct optimized and practically measurable observables which probe the CP-odd parameter $\tilde{\kappa}$ directly.

The rest of the paper is structured as follows. In Sec. 2 we study optimized top spin observables in single top quark and Higgs boson associated production, both in idealized partonic $Wb \to th$ scattering, which is tractable analytically, as well as in more realistic simulations of thj production and reconstruction in proton collisions at pp colliders. Sec. 3 contains the analysis of CP violating observables built from accessible momenta in $t\bar{t}h$ production, both at partonic Monte Carlo (MC) level and after including the background, reconstruction, and detector effects. Finally, our main conclusions are summarized in Sec. 4.

2 Optimized spin observable in $pp \rightarrow thj$

2.1 Parton level $Wb \rightarrow th$ analysis

We begin by studying the effects of $\tilde{\kappa}$ on top spin observables in the idealized case of $W(p)b(p') \to t(k)h(k')$ scattering, where the complete polarized scattering amplitudes can be found in a compact analytic form. This process can actually be connected to a more realistic $pp \to thj$ production in the high energy limit, where the W and b quark mass effects are negligible and the collinear emission of both initial state 'partons' can be described by the corresponding parton distribution functions.¹ Three diagrams contribute to such parton level Higgs-top production in the SM, shown in Fig. ??. Neglecting furthermore the mass (and thus the corresponding Yukawa coupling) of the bottom quark, we consider only the first two of the diagrams in Fig. ??. The formalism presented here is based on Refs. [25, 26, 22]. First,

 $^{^1\}mathrm{See}$ e.g. Sec. 3 of Ref. [24] for an extended discussion on the validity of this approximation.

we introduce the spin projection operator

$$P(s) = \frac{1}{2}(1 + \gamma_5 s), \tag{2}$$

where s^{μ} is a top spin four-vector, defined in a general frame as

$$s^{\mu} = \left(\frac{\mathbf{k} \cdot \hat{\mathbf{s}}}{m_t}, \hat{\mathbf{s}} + \frac{\mathbf{k}(\mathbf{k} \cdot \hat{\mathbf{s}})}{m_t(E_t + m_t)}\right). \tag{3}$$

Vector \mathbf{k} is the top quark momentum and $\hat{\mathbf{s}}$ is an arbitrary unit vector. The physical significance of $\hat{\mathbf{s}}$ is revealed if we make a rotation-free boost² to the top rest frame where we find $s^{*\mu} = (0, \hat{\mathbf{s}})$. Therefore $s^2 = -1$, $s \cdot k = 0$, and $\hat{\mathbf{s}}$ corresponds to the polarization of the top quark in its rest frame. Projection onto a well defined polarization of the top quark is achieved by inserting the operator (2) at the amplitude level and leads to the following relation at the cross-section level:

$$u(p,s)\bar{u}(p,s) = (\not p + m)P(+s),$$

$$v(p,s)\bar{v}(p,s) = (\not p - m)P(-s).$$
(4)

Thus the cross-section is linear in s^{μ}

$$|\mathcal{M}|^2 = a + b_\mu s^\mu \,, \tag{5}$$

where b_{μ} contains all the information about the polarization of the top in the process. The parton level cross section can be written as

$$d\sigma = \Phi_{\rm in} |\mathcal{M}|^2 d\Gamma_{th},\tag{6}$$

where $\Phi_{\rm in}$ is the initial state flux normalization and $d\Gamma_{th}$ is the th phase space volume. On the other hand, in the top rest frame it is convenient to introduce the spin density matrix as

$$\rho = A + B_i \sigma_i, \tag{7}$$

such that the unpolarized cross section is proportional to $\overline{|\mathcal{M}|^2} = \text{Tr}[\rho] = 2A$. Here σ are the Pauli matrices. In the density matrix formalism, the expectation value of a generic operator is obtained as

$$\langle \mathcal{O} \rangle = \text{Tr} \left[\rho \mathcal{O} \right].$$
 (8)

In particular, the polarized cross section along \hat{s} is obtained as the expectation value of the projector:

$$|\mathcal{M}|^2 = \operatorname{Tr}\left[\rho \frac{1}{2}(1 + \hat{\boldsymbol{s}} \cdot \boldsymbol{\sigma})\right] = A + B_i \hat{s}_i. \tag{9}$$

²The spatial component of four-vector x^{μ} transforms as $\mathbf{x}^* = \mathbf{x} + \left(\frac{\mathbf{x} \cdot \mathbf{k}}{m_t(E_t + m_t)} - \frac{x^0}{m_t}\right) \mathbf{k}$ upon a boost to the top rest frame.

One can determine the rest-frame coefficients A, B_i from a, b_μ by comparing the expressions for polarized $|\mathcal{M}|^2$, expressed via Eq. (5) and Eq. (9). The result of this matching are explicit expressions:

$$A = a, B_i = -b^i + \frac{1}{m_t} \left(b^0 - \frac{\boldsymbol{b} \cdot \boldsymbol{k}}{E_t + m_t} \right) \boldsymbol{k}. (10)$$

The rest-frame polarization of the top quark along a vector \hat{s} is given by the expectation value of $\mathcal{O}_{\hat{s}} = \hat{s} \cdot \frac{\sigma}{2}$,

$$\langle \mathcal{O}_{\hat{\mathbf{s}}} \rangle = \mathbf{B} \cdot \hat{\mathbf{s}}. \tag{11}$$

This observable can be determined for example by measuring the angular distribution of the charged lepton in the semi-leptonic top decay $(t \to b(W \to \ell\nu))^3$ thus allowing for experimental extraction of the B^i coefficients [27]:

$$\frac{1}{\Gamma_t} \frac{d\Gamma_t}{d\cos\theta_\ell} = \frac{1}{2} \left(1 + \langle \mathcal{O}_{\hat{s}} \rangle \cos\theta_\ell \right). \tag{12}$$

Here θ_{ℓ} is an angle between the lepton and the polarization axis \hat{s} in the top rest frame. The above construction shows that the vector \hat{s} is an arbitrary unit vector defined in the laboratory frame. A particular choice $\hat{s} = k/|k|$ implies that $\langle \mathcal{O}_{\hat{s}} \rangle$ measures the top quark helicity. Another natural choice for \hat{s} is the W momentum \hat{p} , also known as the beam basis, which has to be redefined in pp collisions where the W momentum has a discrete ambiguity. Experimentally one has to reconstruct the top quark rest frame in order to be able to trace the angular distribution of the lepton with respect to the chosen \hat{s} and gain access to the coefficients B_i . In the following we will optimize the choice of \hat{s} such that the sensitivity to the CP-violating parameter $\tilde{\kappa}$ is maximized.

In the Wb center-of-mass frame we can define the W and t momenta as

$$\hat{\boldsymbol{p}} = (0, 0, 1),$$

$$\hat{\boldsymbol{k}} = (\sin \theta, 0, \cos \theta),$$
(13)

where θ is the angle between the direction of the top quark and the W boson. We have set the azimuthal angle $\phi = 0$ without loss of generality. The polarization vector components B_i in this case depend on $x = \cos \theta$, and we have found that in the coordinate system in Eq. (13) the analytical expression for $B_2(x)$ is linear in $\tilde{\kappa}$, i.e., $B_2(x) = \beta(x)\tilde{\kappa}$, whereas $B_{1,3}$ do not contain linear $\tilde{\kappa}$ terms. Effectively this means that we should choose the vector \hat{s} to be be orthogonal to the plane spanned by the W and t momenta in order to probe $\tilde{\kappa}$ with linear sensitivity. Similar results have been found in

³The charged lepton in top decay is considered to be an almost perfect top spin analyzer – i.e. the angular decay distribution vanishes when the lepton momentum is opposite to the spin of t [27].

Ref. [19]. We fix $\hat{\mathbf{s}} = \hat{\mathbf{p}} \times \hat{\mathbf{k}}/|\hat{\mathbf{p}} \times \hat{\mathbf{k}}|$. In this case the interesting experimental quantity is the following two-fold differential cross-section

$$\frac{d^{2}\sigma}{dx \, d\cos\theta_{\ell}}(Wb \to hb\ell\nu) = \Sigma(x, \hat{\boldsymbol{s}}) \frac{\operatorname{Br}(t \to b\ell\nu)}{2} (1 + \cos\theta_{\ell}) + \Sigma(x, -\hat{\boldsymbol{s}}) \frac{\operatorname{Br}(t \to b\ell\nu)}{2} (1 - \cos\theta_{\ell}), \tag{14}$$

where we have approximated the intermediate top quark as a narrow resonance and $\Sigma(x,\hat{s}) = d\sigma/dx(Wb \to t^{(\hat{s})}h)$ is the differential production cross section for the top quarks polarized in the \hat{s} direction. Using Eq. (9) and inserting \hat{s} we have $\Sigma(x,\pm\hat{s}) = \Phi_{\rm in}(A(x) \pm \tilde{\kappa}\beta(x))$, where $\Phi_{\rm in}$ is the initial flux normalization. Thus we can write Eq. (14) as

$$\frac{d^2\sigma}{dx\,d\cos\theta_{\ell}}(Wb\to hb\ell\nu) = \Phi_{\rm in} \text{Br}(t\to b\ell\nu)(A(x) + \tilde{\kappa}\beta(x)\cos\theta_{\ell}). \tag{15}$$

Treating $\tilde{\kappa}$ as a small perturbation we can integrate the distribution in Eq. (15) with a phase-space dependent function f that would maximize statistical sensitivity of the integral to $\tilde{\kappa}$. It has been shown in Refs. [28, 14] that such an optimal function should be the ratio of the $\tilde{\kappa}$ -perturbation to the unperturbed distribution, in our case $f(x, \cos \theta_{\ell}) = \frac{\beta(x)}{A(x)} \cos \theta_{\ell}$. The optimal observable is thus

$$\mathcal{O}_{\text{opt.}}^{Wb \to th} \equiv \frac{1}{\sigma} \int dx \, d\cos\theta_{\ell} \frac{d^2\sigma}{dx \, d\cos\theta_{\ell}} \frac{\beta(x)}{A(x)} \cos\theta_{\ell} = \frac{1}{N} \sum_{i=1}^{N} \frac{\beta(x_i)}{A(x_i)} \cos\theta_{\ell,i},$$
(16)

where θ_{ℓ} is the angle between \hat{s} and the lepton momentum in the top center-of mass-frame, as defined in the preceding paragraph. The index $i=1,\ldots,N$ labels individual events. The prediction scales as $\langle \beta^2 \rangle$,

$$\mathcal{O}_{\text{opt.}}^{Wb \to th} = \frac{\tilde{\kappa}}{3} \left[\int dx \, \frac{[\beta(x)]^2}{A(x)} \right] / \left[\int dx \, A(x) \right], \tag{17}$$

where we have integrated over $\cos \theta_{\ell}$ and left the bounds for $x = \cos \theta$ unspecified. The function $\beta(x)$ is plotted in Fig. ??.

To carry over the presented formalism to the realistic case of pp collisions, we have to adapt the beam axis by referring only to experimentally accessible momenta. Using the reconstructed top momentum k as a reference, we define the positive z-direction as the parallel top quark momentum projection \hat{k}_{\parallel} . The top quark is then always in the positive hemisphere, $\tilde{x} = \cos \tilde{\theta} \geq 0$, where $\tilde{\theta}$ is the angle between k and \hat{k}_{\parallel} . The polarization direction with linear $\tilde{\kappa}$ sensitivity now becomes $\hat{s} = \hat{k}_{\parallel} \times \hat{k}_{\perp}$ upon which we

now measure the lepton angle $\tilde{\theta}_{\ell}$. The cross-section distributions in \tilde{x} and x are related via

$$\frac{d^{2}\sigma}{d\tilde{x}\,d\cos\tilde{\theta}_{\ell}} = \frac{d^{2}\sigma}{dx\,d\cos\theta_{\ell}}\bigg|_{x=\tilde{x},\cos\theta_{\ell}=\cos\tilde{\theta}_{\ell}} + \frac{d^{2}\sigma}{dx\,d\cos\theta_{\ell}}\bigg|_{x=-\tilde{x},\cos\theta_{\ell}=-\cos\tilde{\theta}_{\ell}}$$

$$= \Phi_{\rm in}\frac{{\rm Br}(t\to b\ell\nu)}{2} \Big[\tilde{A}(\tilde{x}) + \tilde{\kappa}\cos\tilde{\theta}_{\ell}\tilde{\beta}(\tilde{x})\Big], \tag{18}$$

where

$$\tilde{A}(\tilde{x}) \equiv A(\tilde{x}) + A(-\tilde{x}),
\tilde{\beta}(\tilde{x}) \equiv \beta(\tilde{x}) - \beta(-\tilde{x}).$$
(19)

The $\cos \theta_{\ell}$ is flipped in the second term since for $\tilde{x} = -x$ the polarization vector $\hat{s} = \hat{k}_{\parallel} \times \hat{k}_{\perp}$ flips the direction compared to the previous definition, $\hat{s} \sim p \times k$. The optimal observable in this case is finally

$$\tilde{\mathcal{O}}_{\text{opt.}}^{Wb \to th} \equiv \frac{1}{\sigma} \int d\tilde{x} \, d\cos\tilde{\theta}_{\ell} \frac{d^{2}\sigma}{d\tilde{x} \, d\cos\tilde{\theta}_{\ell}} \cos\tilde{\theta}_{\ell} \frac{\tilde{\beta}(\tilde{x})}{\tilde{A}(\tilde{x})} = \frac{1}{N} \sum_{i=1}^{N} \frac{\tilde{\beta}(\tilde{x}_{i})}{\tilde{A}(\tilde{x}_{i})} \cos\tilde{\theta}_{\ell,i},$$

$$= \frac{\tilde{\kappa}}{3} \left[\int d\tilde{x} \, \frac{[\tilde{\beta}(\tilde{x})]^{2}}{\tilde{A}(\tilde{x})} \right] / \left[\int d\tilde{x} \, \tilde{A}(\tilde{x}) \right].$$
(20)

In the limit where $\beta(x) = -\beta(-x)$ the observables are equal, $\tilde{O}_{\text{opt.}}^{Wb\to th} = O_{\text{opt.}}^{Wb\to th}$. However in general the $\tilde{O}_{\text{opt.}}^{Wb\to th}$ is expected to result in a weaker statistical significance due to our inability to determine the direction of the top quark with respect to the initial W. Fig. (??) shows that $\beta(x)$ is large at negative x and we have $\tilde{\beta}(\tilde{x}) \approx -\beta(-\tilde{x})$, for representative values of the center-of-mass energy \sqrt{s} .

Here we demonstrate the procedure of measuring the optimal observable in the case of pp collisions, but still neglecting reconstruction efficiencies and backgrounds. The parton level observable defined in Eq. (20) can be adapted to this case with an additional integration over the parton distribution functions (PDFs). Since the hadronic cross section is a convolution of partonic cross sections it can be split into a $\tilde{\kappa}$ -independent piece and the small perturbation proportional to $\tilde{\kappa}$, similar to the partonic cross section in Eq. (18). Assuming that the Higgs decays into visible states, the missing p_T is only due to the neutrino originating from the top decay. Thus we can reconstruct the top quark momentum and kinematic quantities of Eq. (18). Thus, for hadronic collisions one can express the cross section as

$$\frac{d^2 \sigma^{pp \to thj}}{d\tilde{x} \, d\cos\tilde{\theta}_{\ell}} = \mathcal{A}(\tilde{x}) + \tilde{\kappa}\cos\tilde{\theta}_{\ell}\mathcal{B}(\tilde{x}),\tag{21}$$

and weigh the events with the optimal $f_{\text{opt.}} \propto \cos \tilde{\theta}_{\ell} \mathcal{B}/\mathcal{A}$. We use the MC event generator MadGraph5 [29, 30] together with the Higgs Characterisation UFO model [31, 32] (for an analysis of NLO QCD and NNLL EW effects see Refs. [7, 18] and [33], respectively) to incorporate the κ and $\tilde{\kappa}$ couplings in the simulation of the $pp \to t(\to b\ell\nu)hj$ signal. The procedure of extracting the weight function \mathcal{B}/\mathcal{A} from MC simulations and using it to produce the optimal observable goes as follows:

- 1. Choose the bins for \tilde{x} between $\tilde{x}_{\min} \geq 0$ and $\tilde{x}_{\max} \leq 1$.
- 2. Fix $\tilde{\kappa}$ and extract from the MC simulation the mean $\langle \cos \tilde{\theta}_{\ell} \rangle$ in each of the \tilde{x} bins. The obtained value corresponds to weight $\frac{1}{3}\mathcal{B}/\mathcal{A}$ in this bin, see Eq. (21).
- 3. Use this information to weigh experimental events bin-by-bin with $f_{\text{opt.}} \propto \cos \tilde{\theta}_{\ell} \mathcal{B}/\mathcal{A}$. The normalization of $f_{\text{opt.}}$ is fixed by the requirement $\int d\tilde{x} \mathcal{B}/\mathcal{A} = 1$.

This optimization procedure is independent of the $\tilde{\kappa}$ value. The resulting optimal weight \mathcal{B}/\mathcal{A} is shown in Fig. ??, where we compare it for different final states $(thj \text{ or } \bar{t}hj)$ and collision energies (14 or 27 TeV). We have also extracted the weight function from simulations at NLO in QCD to estimate the systematic uncertainty associated with higher order QCD effects and found that the difference is within 10% of the LO extraction. Finally, we compare our optimized approach to the naïve $\tilde{\kappa}$ extraction through the measurement of $\langle \cos \theta_{\ell} \rangle$, which in turn corresponds to the case where the weight is independent of \tilde{x} , i.e. $\mathcal{B}/\mathcal{A} = 1$. Fig. ?? shows the improvement of the significance when the optimal weight function is applied on simulated signal events without showering or reconstruction effects at 14 TeV.

2.2 Limits in the $(\kappa, \tilde{\kappa})$ plane from $pp \to thj$ at event reconstruction level

In order to make closer contact with experiments, we now include the effects of parton showering, detector response and background processes. We use MadGraph5 to generate events at leading order (LO) in QCD for the signal process $pp \to t(\to b\ell\nu)h(\to b\bar{b})j$ plus the conjugate process with \bar{t} at 14 TeV High-Luminosity LHC (HL-LHC) and 27 TeV High-Energy LHC (HE-LHC) center-of-mass energies.⁴ Event generation is performed for multiple values of $(\kappa, \tilde{\kappa})$. The parton level events are subsequently showered and hadronizied with Pythia8 [34], and jets are clustered with the anti- k_T algorithm using FastJet [35]. For detector simulation and final state object reconstruction (e.g. lepton isolation and b-tagging) we use Delphes v3.3.3 [36] with

 $^{^4}$ Note that our procedure of obtaining an optimal observable does not depend on the h decay products, therefore this analysis should be taken as a proof of concept with potential for future improvements using e.g. multiple h decay channels.

the default ATLAS parameters in delphes_card_ATLAS.tcl. The dominant background process in this analysis is $t\bar{t}$ production with additional associated jets. We include this background by generating $pp \to t\bar{t}$ samples, with one of the tops decayed into the semi-leptonic channel and the other one decayed into the hadronic channel, produced in association with 0, 1 and 2 hard jets. In order to correctly model the hard jets' distributions, we merge the matrix element computations with the MC shower using the MLM [37] prescription. For the event selection we demand the following basic requirements:

- Exactly 3 b-tagged jets with $|\eta(b)| < 5$ and $p_T(b) > 20$ GeV,
- One additional (non-tagged) light jet exclusively in the forward direction with $2 < |\eta(j)| < 5$ and $p_T(j) > 20$ GeV,
- One isolated light lepton $\ell^{\pm} = e^{\pm}, \mu^{\pm}$ with $|\eta(\ell)| < 2.5$ and $p_T(\ell) > 10$ GeV.

In addition, we further select events with one reconstructed Higgs and one reconstructed top quark as follows: first, we calculate the three possible invariant masses from the three reconstructed b-jets (m_{bb}) and only keep the event if at least one bb pair satisfies $|m_H - m_{bb}| < 15$ GeV. For such events, we select as the Higgs decay candidate $h \to bb$ for the pair of b-jets with the invariant mass closest to the Higgs mass. The remaining non-Higgs b-jet is then assumed to come from the top-quark decay. Next, we reconstruct the top-quark by requiring that the combined invariant mass $m_{bl\nu}$ of the remaining b-jet, the lepton, and the neutrino (also reconstructed by assuming it to be the unique source of missing energy in the event) to fall inside the mass window of the top-quark defined by $m_t \pm 35$ GeV. In order to further reject the $t\bar{t}$ backgrounds, events with a reconstructed Higgs and top are selected if the combined invariant mass of the b-jets originating from the Higgs and the light jet satisfies the cut $m_{bbj} > 280 \text{ GeV}$ [38]. The final selection efficiency for the thj signal in the SM is 0.32% (0.23%), while for the background it is 0.008% (0.006%) at 14 TeV (27 TeV).

As we fully reconstruct the th system and have access to the lepton momentum from the top decay we have all the necessary information for measuring the optimized spin observable. We use the optimal weight function \mathcal{B}/\mathcal{A} (Fig. ??) extracted from the MC simulations to construct a χ^2 with an appropriately weighted signal process. Our results for $pp \to thj$ generated in the SM are given by the 2σ exclusion limits (shaded blue) shown in Fig. ?? for the HE-LHC at a luminosity of 15 ab⁻¹. As can be seen in Eq. (20) the observable $\mathcal{O}_{\text{opt.}}$ is normalized to the cross section, which contains terms κ^2 , $\tilde{\kappa}^2$, as well as a linear term in κ and a constant term due to second diagram in Fig. ??, whereas the numerator $\propto \tilde{\kappa}(\kappa + c)$. The behaviour of $\mathcal{O}_{\text{opt.}}$ close

to the SM point is thus linear in $\tilde{\kappa}$, whereas the cross section has a minimum in κ close to $\kappa = 1$. In the large coupling regime $\mathcal{O}_{\text{opt.}}$ is converges to a small value which depends on the direction in which we make the limit $\kappa^2 + \tilde{\kappa}^2 \to \infty$. The 2σ exclusion has an elliptic shape, but according to the presented analysis, milder exclusion regions would have hyperbolic shapes. We also present the ellpitic limit (given by the black elliptic contour) assuming a 2σ positive excess above the SM expectation corresponding to a measurement of the optimized spin observable of $O_{\rm opt.} = 0.06 \pm 0.03$ whose size and error are statistics-driven. Because of the nature of our observable, the signed fluctuation gives rise to asymmetric limits in the $\tilde{\kappa}$ direction. In the κ direction the bounds are also not symmetric as $pp \to thj$ production is sensitive to $sgn(\kappa)$. Finally, in order to include background effects, the same statistical analysis would have to be repeated including the $t\bar{t}$ background in the χ^2 fit. However, even with a large background rejection as implemented above, the irreducible background is simply too large and the signal is completely diluted leading to a signal significance of only $S/\sqrt{B} \sim 0.8$ (3.2) at 14 TeV (27 TeV) at a luminosity of $3 \, \mathrm{ab^{-1}}$ (15 $\mathrm{ab^{-1}}$). This effectively precludes any meaningful extraction of bounds on $\tilde{\kappa}$ from a fit to $O_{\text{opt.}}$. We leave the possibility of further optimizing the cuts in order to reduce the backgrounds or including other Higgs decay channels for future works. In the following we instead focus on the related but more abundant process of associated top quark pair and Higgs boson production.

3 *CP*-odd observables in $pp \rightarrow t\bar{t}h$

In this section we consider new CP-odd observables in the process $pp \to t\bar{t}h$, with both top quarks decaying semi-leptonically. Compared to $pp \to thj$, this process has a much better S/B ratio and has in fact been recently measured by the LHC collaborations [39, 40].⁵ The top quarks in this process are known to be unpolarized, independent of the $\tilde{\kappa}$ value [1]. Information on the underlying κ and $\tilde{\kappa}$ parameters is nonetheless contained in the correlations among the top spins. Direct experimental extraction of top polarizations in $pp \to t\bar{t}h$ suffers from combinatorial difficulties with reconstructing both t and \bar{t} rest frames. Therefore in the following we focus directly on lab frame kinematic distributions in variables which are CP- and P-odd and are constructed from accessible final-state momenta [5].

3.1 Laboratory frame *CP*-odd observables

We denote the 3-momenta of the leptons and b-jets originating from t and \bar{t} with p_{ℓ^+} , p_{ℓ^-} , p_b and $p_{\bar{b}}$, respectively, and the Higgs 3-momentum with p_h . The C and P transformation properties of six independent combinations of

⁵For the state of the art predictions of the differential distributions see e.g. Ref. [33].

	$\mid oldsymbol{p}_h$	$\mid oldsymbol{p}_{\ell^-} + oldsymbol{p}_{\ell^+}$	$m{p}_{\ell^-} - m{p}_{\ell^+}$	$ig oldsymbol{p}_b + oldsymbol{p}_{ar{b}}$	$m{p}_{\ell^-} imes m{p}_{\ell^+}$	$ig oldsymbol{p}_b imesoldsymbol{p}_{ar{b}}\left(oldsymbol{p}_b-oldsymbol{p}_{ar{b}} ight)$
C	+	+	_	+	_	+
P	_	_	_	_	+	
CP	_	_	+	_	_	_

Table 1: Momenta with well-defined C and P eigenvalues. The b, \bar{b}, ℓ^+ and ℓ^- are the top decay products. The last column is a rank-2 tensor – a direct product of an axial and a polar vector.

these momenta are given in Tab. 1. We focus only on combinations that are nontrivial under C, P (i.e., we omit scalars products) and are accessible in a realistic experimental environment. For example we consider $p_b + p_{\bar{b}}$, but not $p_b - p_{\bar{b}}$ as differentiating between b and \bar{b} is difficult experimentally.⁶ The six combinations of momenta in Tab. 1 are taken as a basis for constructing P- and P-odd variables ω . This is achieved by contracting (anti)symmetrically the momentum tensors such that the resulting ω is P- even and P odd. i.e. a pseudoscalar. The resulting spectrum is then linear in the pseudoscalar ω with the coefficient in front linear in $\tilde{\kappa}$, analogous to expression (21). At leading order in $\tilde{\kappa}$ we find:

$$\frac{d^2\sigma}{dxd\omega} = \mathcal{C}(x) + \kappa \tilde{\kappa} \mathcal{D}(x)\omega. \tag{22}$$

In Eq. (22) we have parameterized the phase space with the pseudoscalar variable ω , while all other variables are collectively denoted by x. Now we can again extract $\tilde{\kappa}$ with the statistically optimal weight function, which in this case is given by $f_{\text{opt.}} \propto \mathcal{D}(x)/\mathcal{C}(x)$, while the associated observable is

$$\mathcal{O}_{\omega} = \frac{1}{\sigma} \int dx \, d\omega \frac{d^2 \sigma}{dx d\omega} f(x) \omega = \frac{1}{N} \sum_{i=1}^{N} f(x^{(i)}) \omega^{(i)}. \tag{23}$$

Here N is the number of experimental events. In contrast to the extraction of f for the th process, here the extraction of \mathcal{D}/\mathcal{C} turns out to be more complicated due to the high-dimensionality of the phase space (4 variables for each $pp \to t\bar{t}h$, $t \to b\ell^+\nu$, $\bar{t} \to \bar{b}\ell^-\bar{\nu}$). One could use a Monte Carlo event generator to obtain events following the distribution in Eq. (22) in order to extract \mathcal{D}/\mathcal{C} . However, since binning in all dimensions is not feasible it is better to formulate the task as a maximization problem to obtain the unknown weight function $f(x;\alpha)$. Given the N events $(x^{(i)},\omega^{(i)})$, $i=1,\ldots,N$, generated with a non-zero $\tilde{\kappa}$, the corresponding observable and its associated

⁶For recent attempts in extracting the charge of the *b*-jet see Refs. [41, 42, ?, 43].

standard deviation are obtained as

$$\mathcal{O}_{\omega} = \frac{1}{N} \sum_{i} f(x^{(i)}; \alpha) \omega^{(i)} \quad \text{and} \quad \sigma_{\mathcal{O}_{\omega}}^{2} = \frac{1}{N} \left[\frac{1}{N} \sum_{i} (f(x^{(i)}; \alpha) \omega^{(i)})^{2} - \mathcal{O}_{\omega}^{2} \right],$$
(24)

respectively. The goal is to find the set of parameters α of the function $f(x;\alpha)$, defined on phase space x and parameterized by α , that maximize the significance:

$$\operatorname{Sig}(\alpha) \equiv \frac{\mathcal{O}_{\omega}^{2}}{N\sigma_{\mathcal{O}_{\omega}}^{2}} = \frac{\mathcal{O}_{\omega}^{2}}{\frac{1}{N}\sum_{i} \left[f(x^{(i)}; \alpha)\omega^{(i)} \right]^{2} - \mathcal{O}_{\omega}^{2}}.$$
 (25)

The significance $\operatorname{Sig}(\alpha)$ is independent of large enough N. The arguments of function f could be scalar products between final state momenta, whereas the functional form, controlled by parameters α , should be general enough. The obtained f, that was optimized using MC data can then be applied on a given experimental sample. In the following we will not pursue the globally optimal weight but will perform partial optimization along a single dimension of phase space.

First we introduce the relevant CP- and P-odd variables. The simplest pseudoscalar is a mixed product of the form $V \cdot A$, where V(A) denotes vector (axial vector), an example of which is

$$\omega_{b-\bar{b}} = (\boldsymbol{p}_b - \boldsymbol{p}_{\bar{b}}) \cdot (\boldsymbol{p}_{\ell^-} \times \boldsymbol{p}_{\ell^+}), \tag{26}$$

presented already in Refs. [44, 5] (see also observables proposed in Ref. [9]). In our case we do not wish to use $p_b - p_{\bar{b}}$ which leads us to an alternative mixed product that does not rely on separating b from \bar{b} experimentally:

$$\omega_{h\ell b} \equiv \frac{[\boldsymbol{p}_h \times (\boldsymbol{p}_{\ell^-} + \boldsymbol{p}_{\ell^+})] \cdot (\boldsymbol{p}_b + \boldsymbol{p}_{\bar{b}})}{|\boldsymbol{p}_h \times (\boldsymbol{p}_{\ell^-} + \boldsymbol{p}_{\ell^+})| |\boldsymbol{p}_b + \boldsymbol{p}_{\bar{b}}|}.$$
 (27)

Once we allow for a more complicated pseudoscalar of the form $(V \cdot A)$ $(V \cdot V)$ there are 13 possibilities, listed in Appendix ??. Out of those and the mixed product in Eq. (27), one variable stands out as the most sensitive one:

$$\omega_6 \equiv \frac{\left[(\boldsymbol{p}_{\ell^-} \times \boldsymbol{p}_{\ell^+}) \cdot (\boldsymbol{p}_b + \boldsymbol{p}_{\bar{b}}) \right] \left[(\boldsymbol{p}_{\ell^-} - \boldsymbol{p}_{\ell^+}) \cdot (\boldsymbol{p}_b + \boldsymbol{p}_{\bar{b}}) \right]}{|\boldsymbol{p}_{\ell^-} \times \boldsymbol{p}_{\ell^+}| |\boldsymbol{p}_{\ell^-} - \boldsymbol{p}_{\ell^+}| |\boldsymbol{p}_b + \boldsymbol{p}_{\bar{b}}|^2}.$$
 (28)

The pseudoscalar variable ω_6 is bounded⁷ within the interval [-1,1]. We have found that for the differential cross section $d^2\sigma/(dx d\omega_6)$ (see Eq. (22)) the ratio \mathcal{D}/\mathcal{C} , where x is an arbitrary kinematic variable, is approximately

⁷In terms of notation used to classify the variables in App. ?? ω_6 corresponds to $\omega_\ell^{b+\bar{b},b+\bar{b}}$.

constant and does not oscillate in sign, which allows us to use a naïve weight function, f(x) = 1, without paying too much price for the cancellation between contributions from different regions of phase space. The observable we use is thus simply the average of ω_6 :

$$\mathcal{O}_6 = \frac{1}{\sigma} \int dx \, d\omega_6 \frac{d^2 \sigma}{dx d\omega_6} \omega_6 = \frac{1}{N} \sum_{i=1}^N \omega_6^{(i)}. \tag{29}$$

The behavior of \mathcal{O}_6 in comparison to the analogously defined observable $\mathcal{O}_{b-\bar{b}}$ based on $\omega_{b-\bar{b}}$ (26), as a function of $\tilde{\kappa}$ is shown in Fig. ??.

In addition to the analysis of \mathcal{O}_6 presented below, we have also analyzed observables related to all the other pseudoscalar variables in App. ??. For some of them we have used the optimization technique along a chosen dimension of phase space x, which in some cases drastically improved their sensitivity to $\kappa\tilde{\kappa}$. Nonetheless none of the other possible observables reached a sensitivity close to \mathcal{O}_6 . We note that all the considered observables can be further improved in sensitivity by performing a full global phase-space optimization using Eq. (25). A task which we leave for future work.

3.2 Limits in the $(\kappa, \tilde{\kappa})$ plane from $pp \to t\bar{t}h$

We now demonstrate the capability of current and future colliders to measure the \mathcal{O}_6 observable in $t\bar{t}h$ production. For this purpose we have generated using MadGraph5 multiple event samples of $pp \to t\bar{t}h$ for different values of $(\kappa, \tilde{\kappa})$, followed by the decay chain $(t \to b\ell^+\nu_\ell, \bar{t} \to \bar{b}\ell^-\bar{\nu}_\ell, h \to b\bar{b})$ at 14 TeV and 27 TeV. The partonic events were then fed into Pythia8 for showering and hadronization and finally into Delphes for detector simulation with the default ATLAS card. We have followed the same steps to generate the events of the main irreducible background $pp \to t\bar{t}b\bar{b}, (t \to b\ell^+\nu_\ell, \bar{t} \to \bar{b}\ell^-\bar{\nu}_\ell)$. The basic event selection requirements for this analysis are:

- 4 or more jets of any flavor with $|\eta(j)| < 5$ and $p_T(j) > 20$ GeV.
- Of which, at least 3 are b-tagged.
- Exactly 2 oppositely charged light leptons with $|\eta(\ell)| < 2.5$ and $p_T(\ell) > 10$ GeV.

Furthermore, in order to identify the b-jets from the top-pair decays we count the number of tagged b-jets N_b and perform the following selections: if $N_b \geq 4$, we compute the invariant masses m_{bb} of all possible b-jet pairs and select the pair with invariant mass closest to the Higgs mass $m_h = 125$ GeV. If the selected pair falls inside the Higgs mass window defined by $m_h \pm 15$ GeV we remove the pair from the list of b-jets and select from this list the

highest p_T b-jets as our candidate top quark decay b-jets. However if $N_b=3$ we compute all possible invariant masses m_{bj} where j are non-b-tagged jets in the event. We select as the $h\to b\bar b$ candidate the bj pair that minimizes $|m_h-m_{bj}|$ and falls inside the Higgs mass window $m_h\pm 15$ GeV. The remaining two b-jets are taken as the candidate top quark decay b-jets.

The reconstruction efficiency of signal events using this approach is 5% (4.1%) and for background events it is 4.5% (3.8%) at 14 TeV (27) TeV). We construct a χ^2 for the combined signal and background events. In this case the signal-to-background ratio is much more favorable with $S/\sqrt{B} \sim 33 \ (118) \ \text{for } 3 \ \text{ab}^{-1} \ \text{at } 14 \ \text{TeV} \ (15 \ \text{ab}^{-1} \ \text{at } 27 \ \text{TeV}), \text{ so a joint anal-}$ ysis is possible. Results for the 2σ exclusion regions in the $(\kappa, \tilde{\kappa})$ plane are shown in Fig. ?? for different integrated luminosities at 14 TeV (left panel) and 27 TeV (right panel). These results show that the HL-LHC is somewhat limited by statistics while the HE-LHC gives a promising coverage of parameter space, in particular it is sensitive to CP-odd couplings of order $\mathcal{O}(0.1)$ at high luminosities. In Fig. ?? we provide the 2σ and 5σ exclusion regions of the HE-LHC at 15 ab⁻¹. Since the observable \mathcal{O}_6 on the signal behaves as $\propto \kappa \tilde{\kappa}/(\kappa^2 + d\tilde{\kappa}^2)$ for some constant d, the value of \mathcal{O}_6 depends only on $\tilde{\kappa}/\kappa$. Furthermore, parameter space with small couplings cannot be excluded due to small S/B ratio. These two features lead to hyperbolic exclusion bounds shown in Fig. ??. In order to illustrate the sensitivity to the sign of $\tilde{\kappa}$, we also provide the same exclusion limits in the left panel (right panel) of Fig. ?? in the scenario where the measured central value of the observable is $\mathcal{O}_6 = (1.2 \pm 0.6) \times 10^{-3} \ (\mathcal{O}_6 = (2.4 \pm 1.2) \times 10^{-4})$ at 14 TeV (27 TeV), where the quoted fluctuations and standard deviations are estimated from the statistical error. These measurements, corresponding to a 2σ excess over the expected null value in the SM.

4 Summary and conclusions

In order to establish, directly and with minimal additional assumptions, the presence of a CP-odd component of the top quark Yukawa ($\tilde{\kappa}$), we have studied manifestly CP-odd observables in th and $t\bar{t}h$ production at the LHC and its prospective upgrades.

For the thj final states we have relied on the possibility of reconstructing the t quark momentum and accessing the t polarization. We have identified a particular polarization direction which is perpendicular to the th plane, where the top polarization along this direction would undoubtedly point to the presence of the CP-odd coupling $\tilde{\kappa}$. We have presented a method for optimizing the phase space dependent weight and shown its sensitivity at the HL- and HE-LHC for the semileptonic top and $h \to b\bar{b}$ mode. The handful of signal events offer discriminating power, sensitive to the sign of

 $\tilde{\kappa}$, however the irreducible background due to $t\bar{t}$ +jets severely dilutes the sensitivity of the proposed observable.

On the other hand, $t\bar{t}h$ production has a considerably larger cross section at LHC energies compared to thj, while suffering more moderately from irreducible backgrounds. Due to the complexity of the final state kinematics with multiple undetected particles we have in this case proposed variables that only depend on the lab-frame accessible momenta and are manifestly P- and CP-odd. We have identified a single triple product variable that does not rely on b-jet charge determination. Finally, among the possible pseudoscalar variables constructed as products of five lab-frame momenta, we have singled out the most sensitive one, \mathcal{O}_6 of Eq. (28), the sensitivity of which at the HE-LHC with $15\,\mathrm{ab}^{-1}$ reaches $\tilde{\kappa} \sim \mathcal{O}(0.1)$ at 2σ level.

Finally, the prospects for directly probing CP violation in the top-quark Yukawa interaction could be potentially further improved by even higher production cross-sections and luminosities offered by the proposed 100 TeV FCC-hh collider [24, 45, 46], as well as through better background mitigation techniques, especially in the case of thj production, and potential phase-space dependent optimization (reweighing) of CP-odd observables in $t\bar{t}h$ production (see e.g. Ref. [20]), all of which we leave for future work.

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