

# Introduction to Evolutionary Computation

Inteligencia Artificial en los Sistemas de Control Autónomo

## Objectives

- Introduce biological evolution
- Introduce artificial evolution
- Justify the utility of artificial evolution from an engineering perspective
- Overview the components of an Evolutionary Algorithm

## Bibliography

- Eiben, A.E. and Smith, J.E. *Introduction to Evolutionary Computing*. Springer 2003.
- Luke, S. *Essentials of Metaheuristics*. 2nd edition. Ed. Lulu, 2010. ([Link](#))

# Table of Contents

## 1. Biological background

- Historical review
- Theory of Evolution
- Molecular Genetics
- Theory of Evolution from an algorithmic perspective

## 2. Evolutionary Algorithms

- Evolution as optimization
- AI, search and optimization
- Metaheuristics
- Basics
- Exploration and exploitation

## 3. EAs components

- Components of an EA
- Representation
- Evaluation
- Selection
- Genetic operators

## 4. Working with an Evolutionary Algorithm

- Search phases
- Fitness dynamics
- When EAs are useful
- Advanced EAs
- EAs examples

# Biological background

## Historical review (I)

Anaximander of Miletus (610 – 546 BC)

- First animals come from water
- Man come from fishes

Plato (428/427 – 348/347 BC)

- Demiурго created the cosmos
- Theory of Ideas

Aristotle (384 – 322 BC)

- Spontaneous generation
- Strong influence in Europe



# Biological background

## Historical review (II)



Creationism: God created all the species

- Literal interpretation of the Genesis
- Species are hierarchical
- Man has a superior position

Main school in Europe for centuries

# Biological background

## Historical review (III)

Georges Louis Leclerc (1707 - 1788)

- Speculated that species change
- Noticed the similarities between men and apes
- Could not provide a theory

Jean-Baptiste Lamarck (1744 - 1829)

- First to propose a theory of evolution
- Transmutation of Species
- Use strengthens/weakens organs
- Heritability of acquired characteristics

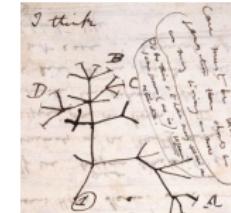


# Biological background

## Historical review (IV)

### Charles Darwin (1809-1882)

- Published in ``On the Origin of the Species'' in 1859  
("On the Origin of Species by Means of Natural Selection, or the Preservation of Favoured Races in the Struggle for Life")
- Introduced natural selection ... and applies it to human being
  - Natural selection = Variability + selection
- Darwin did not explain the source of variation



# Biological background

## Historical review (V)

Gregor Mendel (1822 - 1884)

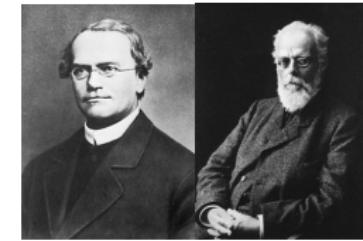
- Mendelian inheritance
- Recessive and dominant traits

August Weismann (1834 - 1914)

- Germ plasm theory
- Germ and somatic cells
- End of Lamarckism

J. Watson (1928) and F. Crick (1916 - 2004)

- Discovery of DNA
- Central Dogma of molecular biology



James Watson

Francis Crick

# Biological background

## Theory of Evolution

Neo-Darwinism: Darwin + Mendel + Weismann

- ... also called Theory of Evolution
- Variability + selection = evolution

There is variation among individuals

- Sexual reproduction, mutation and gene flow

There is a selection of those individuals

- Natural selection
- Artificial selection
- Sexual selection
- Genetic drift (deriva genética) (Link)

The fittest is the one that survives (not the strongest!)

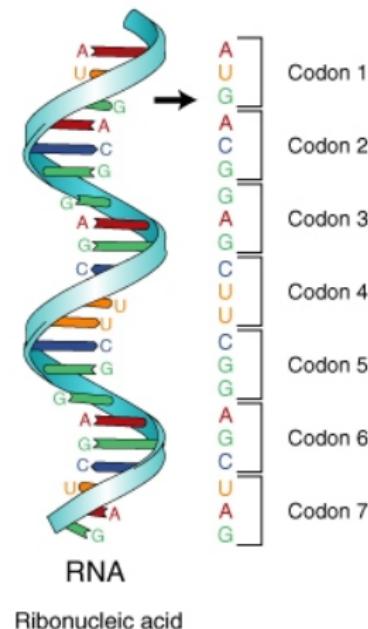
# Biological background

## Molecular Genetics (I)

Organisms are made by **proteins**

- Proteins are sequences of **aminoacids**
- They folder in a 3D structure
- 20 aminoacids

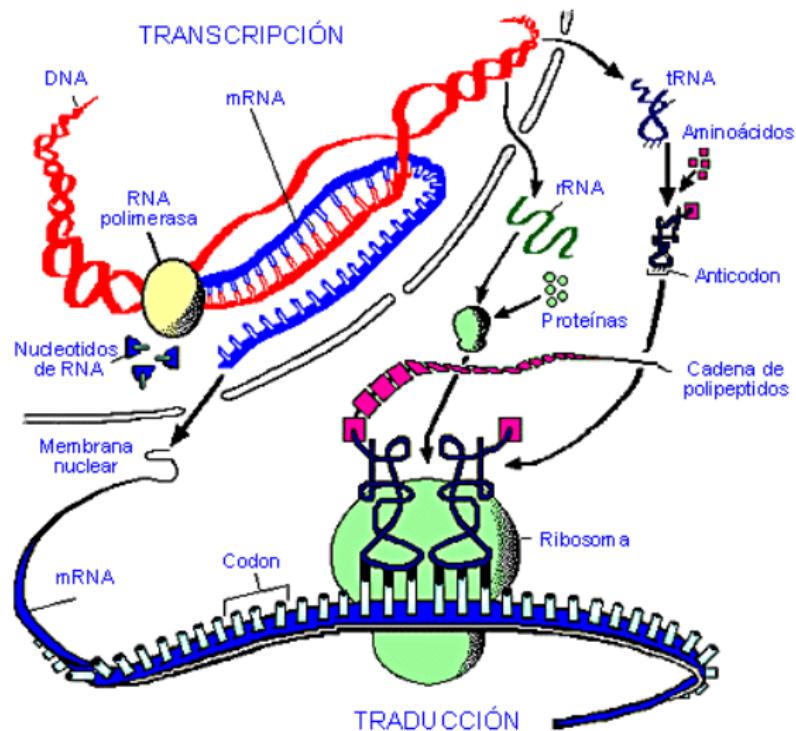
DNA codifies all the proteins in an organism



# Biological background

## Molecular Genetics (II)

### Protein synthesis: Creation of proteins from DNA (video)



## Biological background

## Molecular Genetics (III)

## Useful biological terms

Gene ADN fragment that codifies one protein

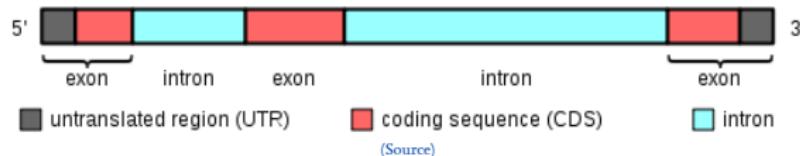
**Allele** The variant form of a gene

**Genotype** The sequence of DNA

## Phenotype Characteristics of an individual

**Exon** Part of a gene that is transcribed

Intron Part of a gene that is not transcribed



# Biological background

## Theory of Evolution from an algorithmic perspective

Given a population ...

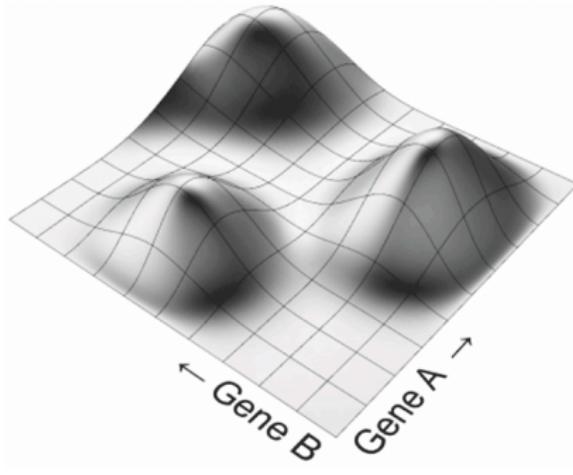
1. There are differences among individuals
2. Fittest individuals more likely to reproduce
3. Go to 1

We are interested in applying this to Engineering

How can we apply biological evolution to solve engineering problems?

# Evolutionary Algorithms

## Evolution as optimization



(Source)

Biological evolution is, in essence, an optimization algorithm

- ... it optimizes the survival probability
- Optimizing is to search the maximum

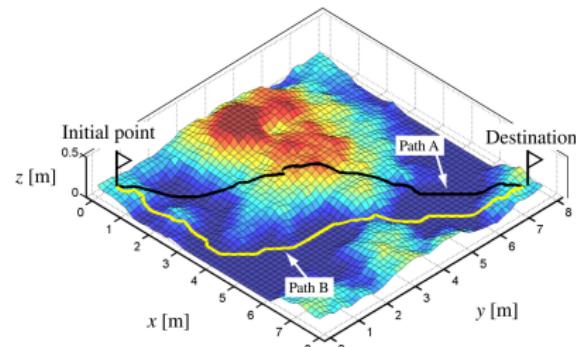
# Evolutionary Algorithms

## AI, search and optimization (I)

AI is much related to search a solution for a problem

- Search space
- Solution space

Almost any computational problem can be expressed as a search problem



(Source)

# Evolutionary Algorithms

## AI, search and optimization (II)

In AI, potential solutions are assessed

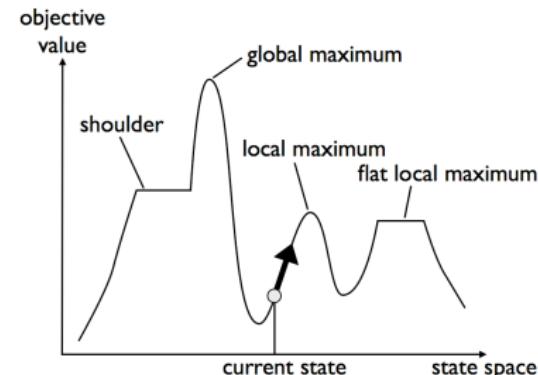
- Cost function
- Objective: Maximize cost function

The solution to any problem: **exhaustive search**

- Inviable in practice

How to find a solution efficiently?

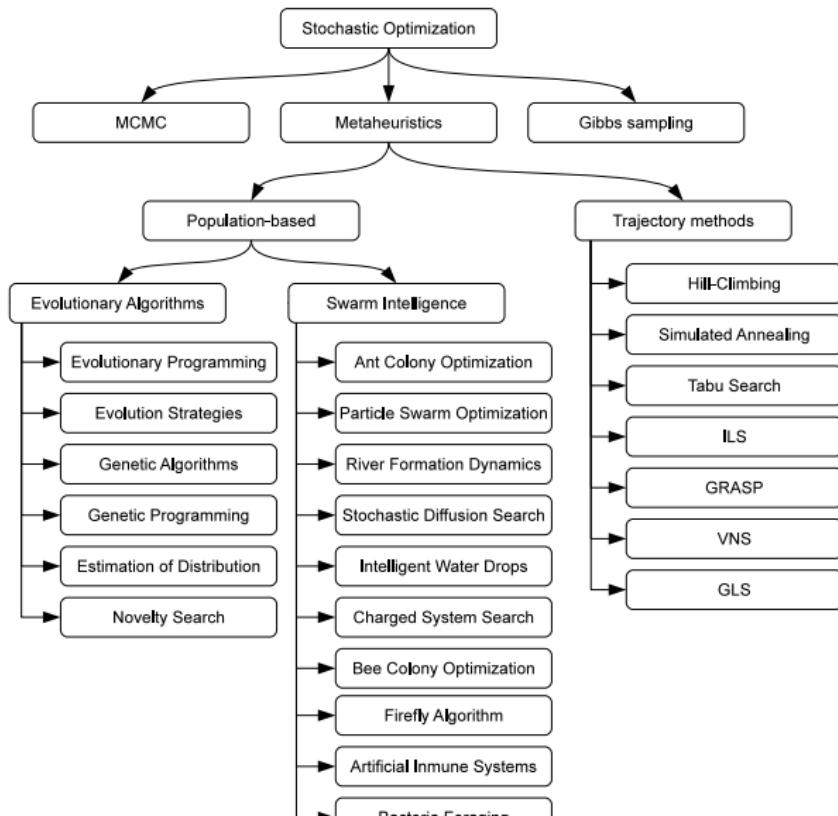
- With domain knowledge
- With randomness: **Metaheuristics**



(Source)

# Evolutionary Algorithms

## Metaheuristics



Again:

How can we apply biological evolution to solve engineering problems?

# Evolutionary Algorithms

## Basics (I)

### Large number of Evolutionary Algorithms

- There is no ``canonical'' algorithm
- They all imitate biological evolution

They use a population

- Each individual represents a (potential) solution
- Multiple **representations**

Population is modified

- Mutation ( $1$  individual)
- Crossover ( $>1$  individuals)
- Multiple **genetic operators**

Selection that imitates natural selection

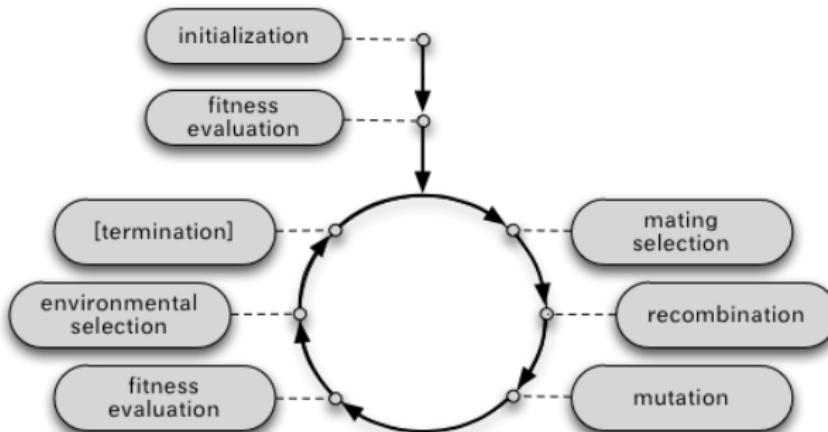
- Based on a **fitness** function

Iterative process

# Evolutionary Algorithms

## Basics (II)

### Possible basic algorithm



# Evolutionary Algorithms

## Basics (III)

Initialization is usually random

- Random population
- Domain-dependent heuristics may be used
- Known solutions might be injected into the initial population

Termination criteria

- Get a desired fitness
- Maximum number of iterations (or generations)
- Loss of genetic diversity
- Lack of fitness improvement

# Evolutionary Algorithms

## Exploration and exploitation

### Balance between exploitation and exploration

- These are opposite objectives ⇒ Need of trade-off

Exploration: Search of new regions (global search)

- Explore the search space
- Performed, mostly, by mutation

Exploitation: Search of local (or global) maxima (local search)

- Exploit the acquired knowledge
- Performed, mostly, by crossover

# EAs components

## Components of an EA

### Common components in any EA

- Representation
- Evaluation
- Selection
- Genetic operators

# EAs components

## Representation (I)

Main difference among EAs is the representation

- Strings: Genetic Algorithms (GA)
- Real vectors: Evolution Strategies (ES)
- State machine: Evolutive Programming (EP)
- Trees: Genetic Programming (GP)

These differences are, mostly, irrelevant

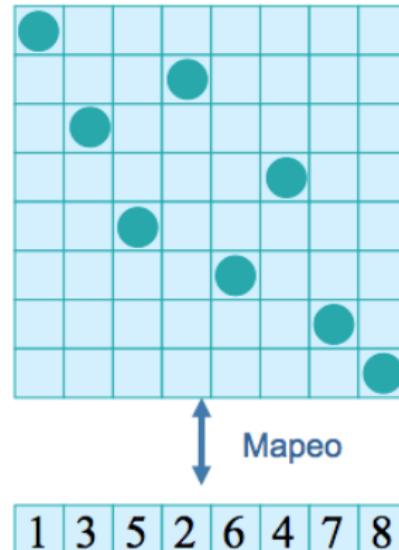
- Use the most natural representation
- Use the most natural genetic operators according to the representation

# EAs components

## Representation (II)

Example: 8 queens with a Genetic Algorithm

**Phenotype:** Board position  
**Genotype:** Integer vector

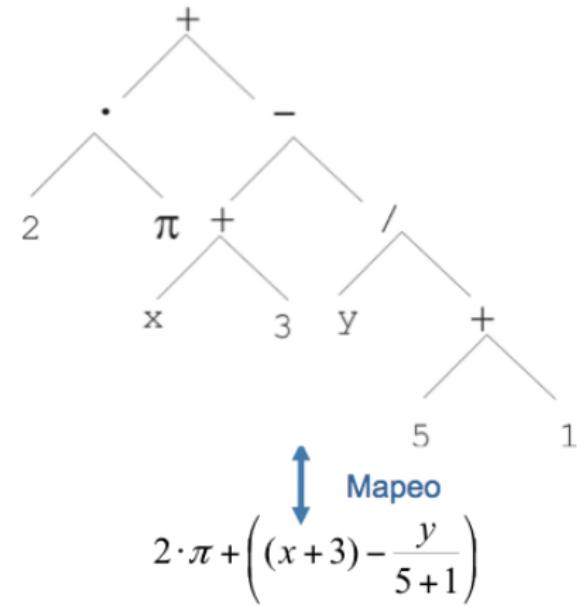


# EAs components

## Representation (III)

Example: Regression in Genetic Programming

Phenotype: Tree  
Genotype: Formula



# EAs components

## Evaluation (I)

Individuals quality is assessed by a **fitness function**

- Individual = Potential solution

The fitness assigns a numerical value to a phenotype

- Caution, phenotype, not genotype
- Multiobjective algorithms use several fitnesses

Evaluation used to be a bottleneck

- Many times it involves simulating a system

Minimize number of evaluations

# EAs components

## Evaluation (II)

### Example: 8 queens

- The fitness may be the number of threaded pieces
- Objective: Minimize fitness (minimization problem)

### Example: Regression

- The fitness may be the quadratic average error
- Objective: Minimize fitness (minimization problem)

# EAs components

## Selection (I)

Selection operator ``selects'' individuals for reproduction

- Imitates natural selection
- Higher reproduction probability for high fitness individuals
  - Randomness helps avoiding local minima
- Selection is done in phenotypic space!
  - Selection does not take into account how representation is

Introduces **selective pressure**

# EAs components

## Selection (II)

High selective pressure reduces genetic diversity

- Faster evolution, higher probability of local maxima
- Eliminates low fitness individuals
  - Potentially valuable genetic material can be lost
- Selection operators: Tournament size  $n$ , roulette-wheel, rank-based, ...

Example: Tournament size  $n$

- Take randomly  $n$  individuals
- Compute their fitness
- Select the highest fitness
- Variable selective pressure depending on  $n$

# EAs components

## Selection (III)

### Replacement strategy

- Select which individual replace

### Two basic strategies

- Generational algorithms: Replace all the offspring
  - Iterations are named **generations**
  - Time is usually measured in generations
- Steady-state: Replace part of the offscript
  - Criteria: Age, fitness, selection, etc
  - Lower memory consumption

### Hybrid strategy: Elitism

- Replace the population, except the  $n$  fittest individuals
- $n$  fittest individuals guaranteed to survive

# EAs components

## Genetic operators (I)

Genetic operators build new individuals

- Two basic operators: **mutation** and **crossover**

Open discussion (=research) about the role of mutation and crossover

- Mutation enhances exploration
- Crossover enhances exploitation

Both are used

- Historical constraints

# EAs components

## Genetic operators (II)

### Mutation operator

- It takes a genotype and returns another one
  - It has a stochastic behavior
  - Used to maintain genetic diversity
- Guarantees search space connectivity
- Mutation plays a disruptive role
  - Moves population to new regions

Example: 8 queens permutation operator



# EAs components

## Genetic operators (III)

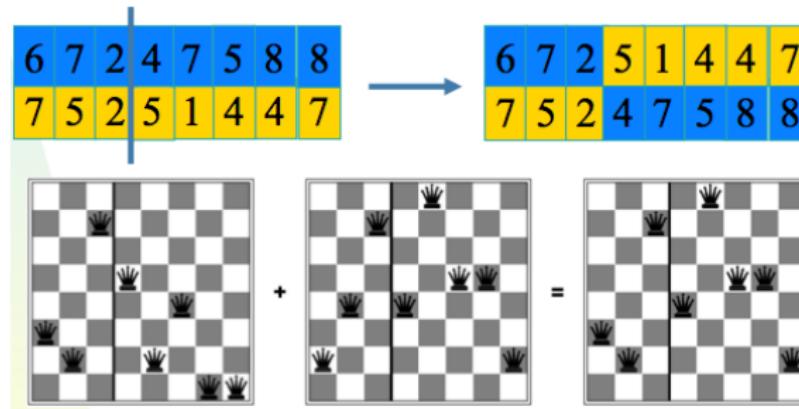
### Crossover operator

- Fuse information from the parents (sexual reproduction)
  - Randomness has a place
- Offspring uses to be worse than its parents
  - With luck, good components of the parents are joined ...
  - ... and this is something that happens
- Crossover has a constructive role
  - Join preexistent components
  - Does not generate new genetic material
  - Encourages exploitation

# EAs components

## Genetic operators (IV)

Example: 8 queens with one-point crossover



# Working with an Evolutionary Algorithm

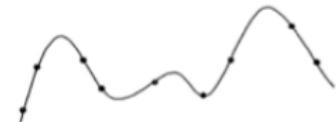
## Search phases

**Initial phase:** Random distribution, high genetic diversity

**Advanced phase:** Begins to converge

**Convergence:** Around one or few points, low genetic diversity

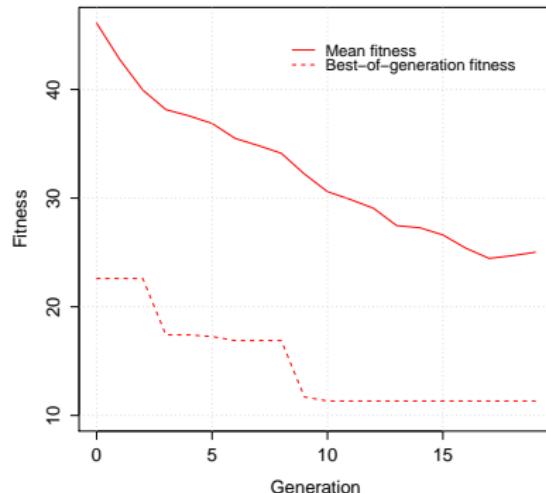
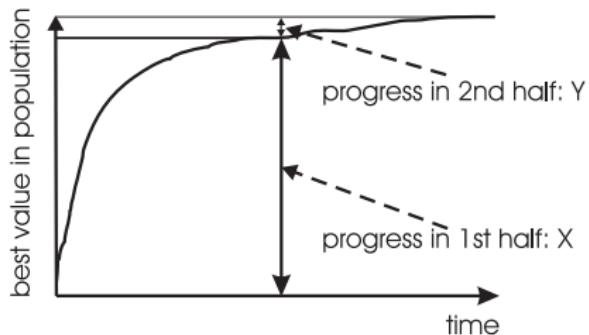
Premature convergence if population not located in global maxima



(Animation)

# Working with an Evolutionary Algorithm

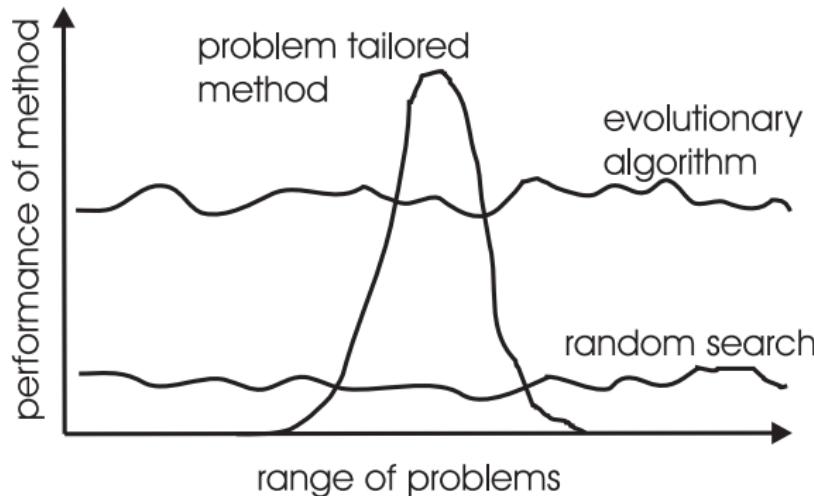
## Fitness dynamics



Few long runs or many short runs?

# Working with an Evolutionary Algorithm

## When EAs are useful



# Working with an Evolutionary Algorithm

## Advanced EAs

- Multiobjective Evolutionary Algorithms (MOEAs)
- Optimization with constraints
- Coevolution
- Dynamic optimization
- Islands models
- Memetic algorithms
- Hyperheuristics

# Working with an Evolutionary Algorithm

## Examples

- (Car design)
- (Genetic Algorithm Walkers)
- (Smart rockets)
- (Learn to walk)
- (Flexible Muscle-Based Locomotion for Bipedal Creatures)
- (MarI/O - Machine Learning for Video Games)
- (A genetic algorithm learns how to fight!)
- (Evolved Electrophysiological Soft Robots)