## A: Datasheet

Algorithm: maxvision\_000

Developer: Maxvision

Submission Date: 2022\_06\_17

Template size: 2048 bytes

Template time (2.5 percentile): 183 msec

Template time (median): 184 msec

Template time (97.5 percentile): 190 msec

Investigation:

Mugshot webcam ranking 123 (out of 337) -- FNIR(1600000, 0, 1) = 0.0145 vs. lowest 0.0055 from sensetime\_008

Mugshot profile ranking 106 (out of 306) -- FNIR(1600000, 0, 1) = 0.3272 vs. lowest 0.0521 from sensetime\_007

Immigration visa-border ranking 98 (out of 264) -- FNIR(1600000, 0, 1) = 0.0042 vs. lowest 0.0006 from cloudwalk\_mt\_001

Immigration visa-kiosk ranking 90 (out of 209) -- FNIR(1600000, 0, 1) = 0.0916 vs. lowest 0.0395 from cloudwalk\_mt\_001

Identification:

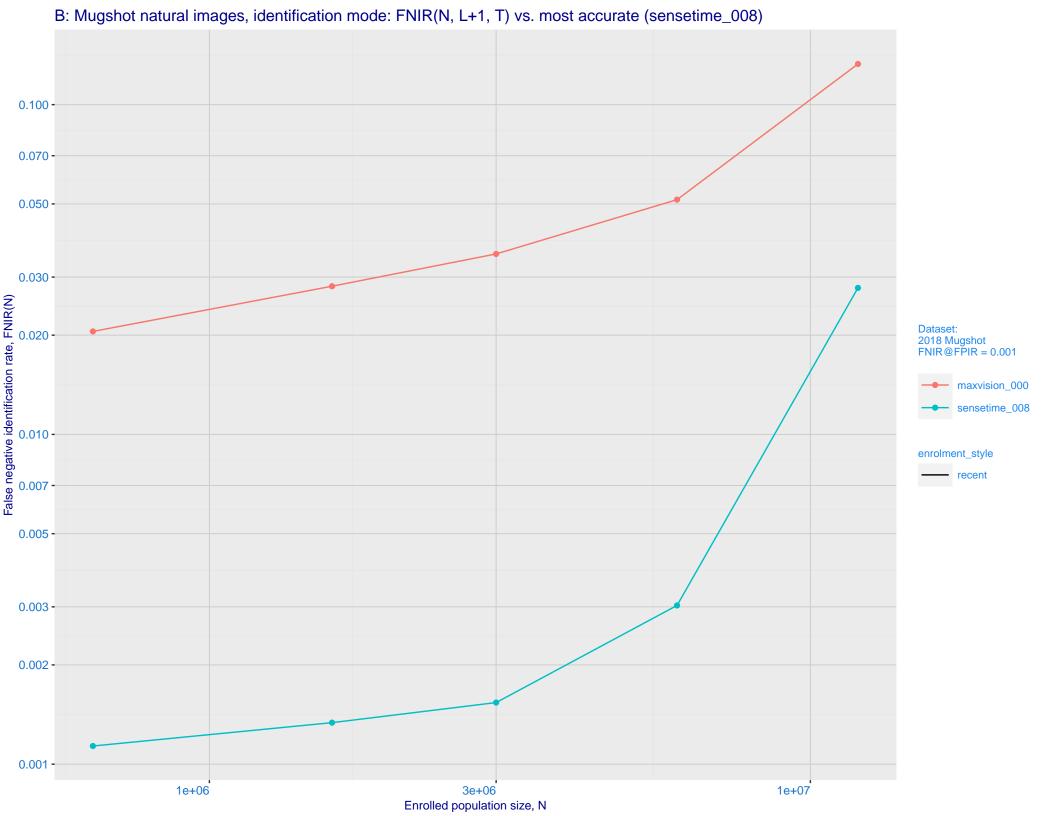
Frontal mugshot ranking 135 (out of 375) -- FNIR(1600000, T, L+1) = 0.0281, FPIR=0.001000 vs. lowest 0.0013 from sensetime\_008

Mugshot webcam ranking 234 (out of 335) -- FNIR(1600000, T, L+1) = 0.2350, FPIR=0.001000 vs. lowest 0.0090 from sensetime\_008

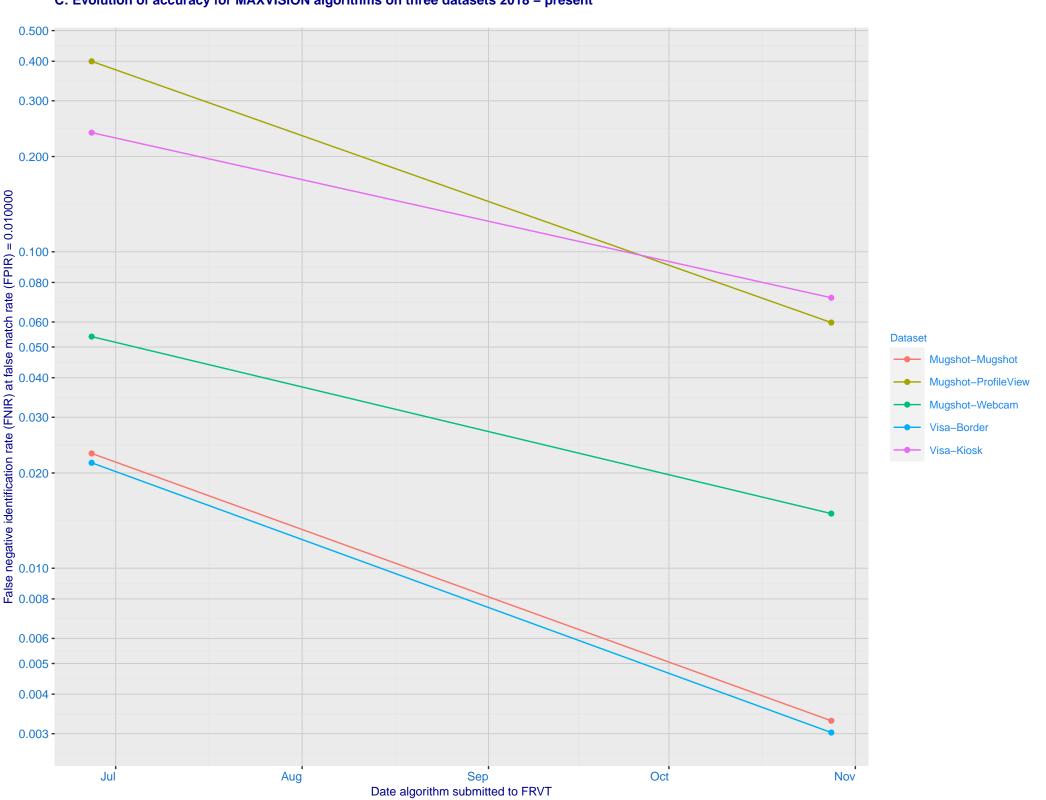
Mugshot profile ranking 58 (out of 305) -- FNIR(1600000, T, L+1) = 0.7672, FPIR=0.001000 vs. lowest 0.0698 from cloudwalk\_mt\_001

Immigration visa-border ranking 176 (out of 263) -- FNIR(1600000, T, L+1) = 0.1493, FPIR=0.001000 vs. lowest 0.0013 from cloudwalk\_mt\_001

Immigration visa-kiosk ranking 145 (out of 209) -- FNIR(1600000, T, L+1) = 0.5912, FPIR=0.001000 vs. lowest 0.0532 from cloudwalk\_mt\_001

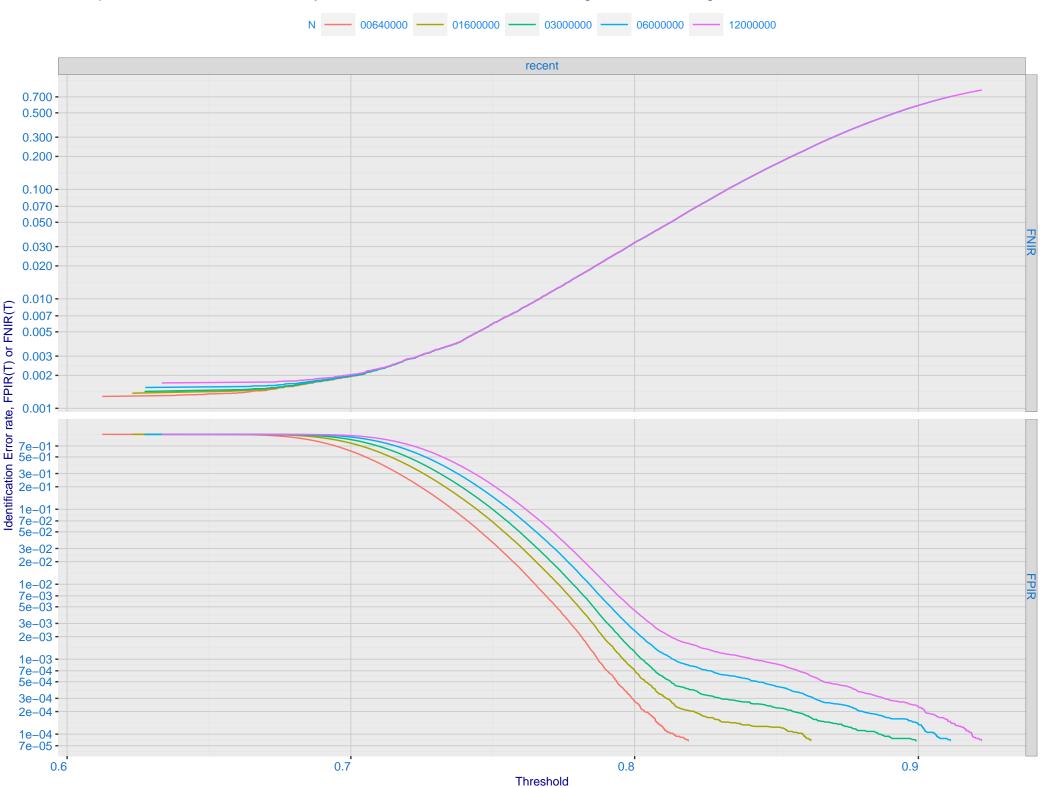


C: Evolution of accuracy for MAXVISION algorithms on three datasets 2018 – present

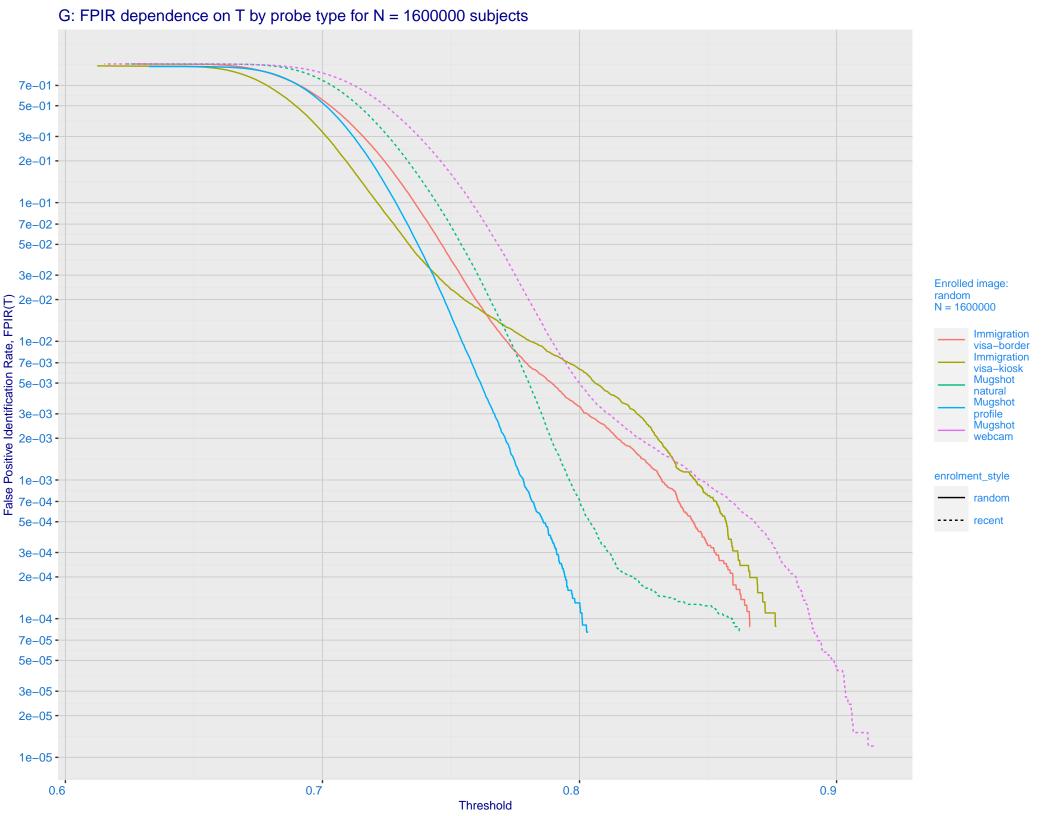


D: 1:N error tradeoff by dataset and enrollment type. N = 1600000 individuals Immigration Immigration Mugshot visa-border visa-kiosk natural 0.700 -0.500 -0.300 -0.200 -0.100 -0.070 -0.050 maxvision 000 0.030 -0.020 -0.010 -0.007 -0.005 - 0.003 - 0.002 - 0.001 - 0.001 - 0.700 - 0.500 - 0.200 - 0.100 enrolment\_style random-ONE-MATE recent-ONE-MATE 0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -False positive identification rate, FPIR(T)

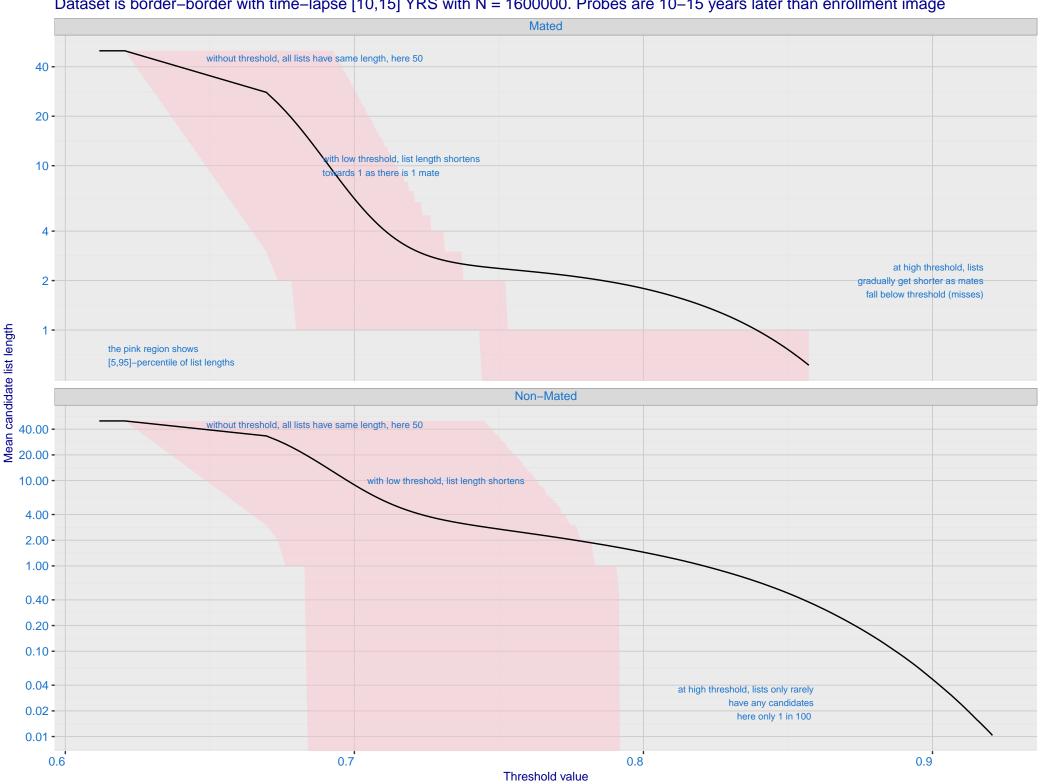
E: Dependence of error rates on T by number enrolled identities, N, for Mugshot natural images



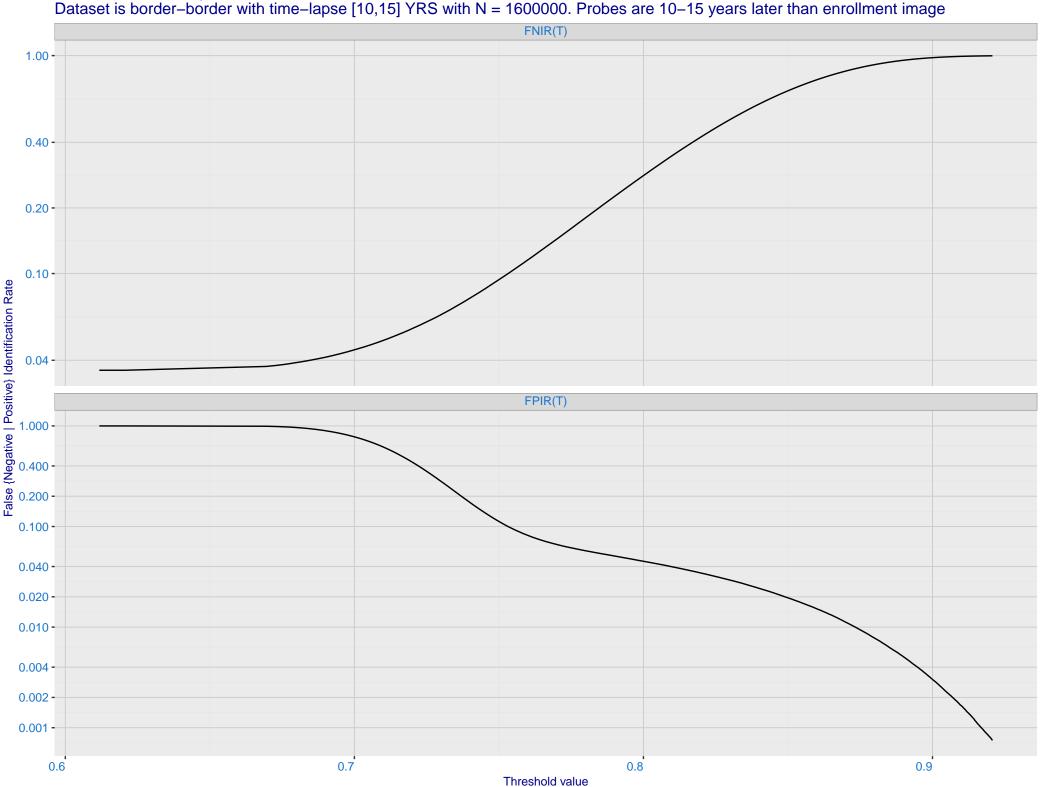
F: FPIR vs. Selectivity for mugshot images, N = 1600000 subjects enrolled with one recent mate 7e+01 -5e+01 -3e+01 -2e+01 -1e+01 -7e+00 -5e+00 -3e+00 -2e+00 -1e+00 -7e-01 -5e-01 -3e-01 -2e-01 -1e-01 -7e-02 -5e-02 -3e-02 -3e-02 -1e-02 -**Enrolled images:** recent N = 1600000 Mugshot natural Mugshot webcam 7e-03 -5e-03 -3e-03 -2e-03 -1e-03 -7e-04 -5e-04 -3e-04 -2e-04 -1e-04 -7e-05 -5e-05 -3e-05 -2e-05 -1e-05 -1e-05 3e-05 1e-04 3e-04 1e-03 3e-03 1e-02 3e-02 1e-01 3e-01 False Positive Identification Rate, FPIR(T)

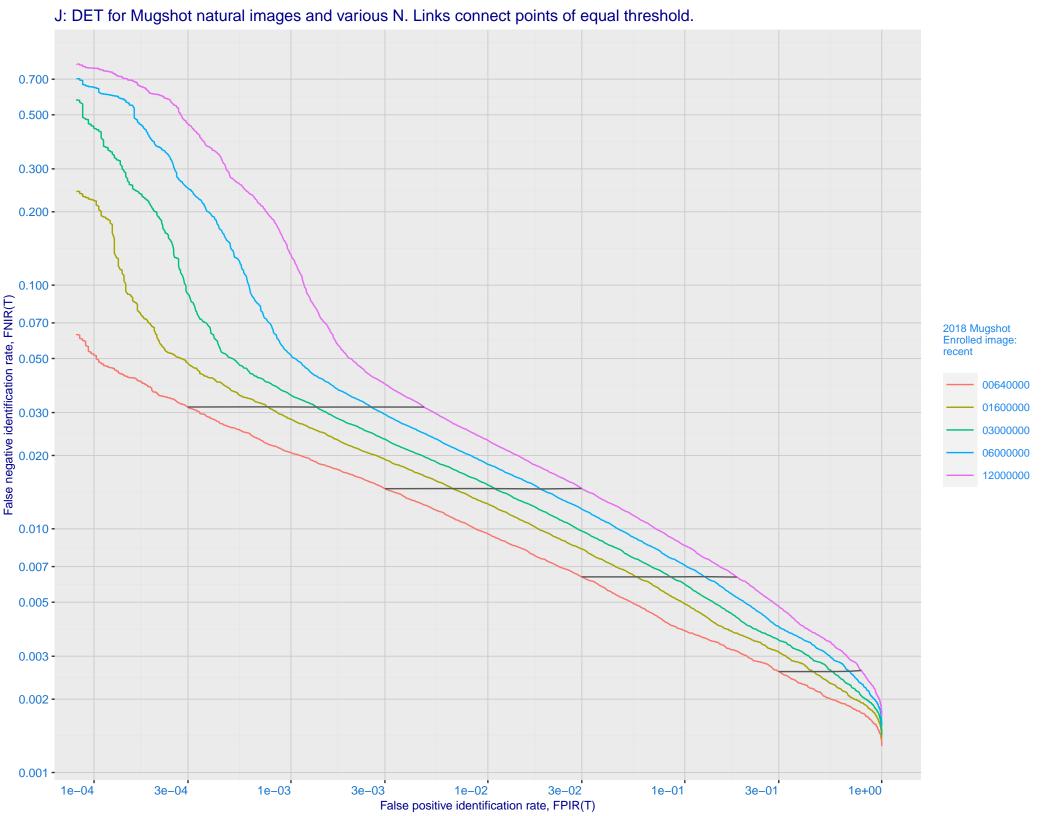


H: Reduced length candidate lists for human review Dataset is border–border with time–lapse [10,15] YRS with N = 1600000. Probes are 10–15 years later than enrollment image

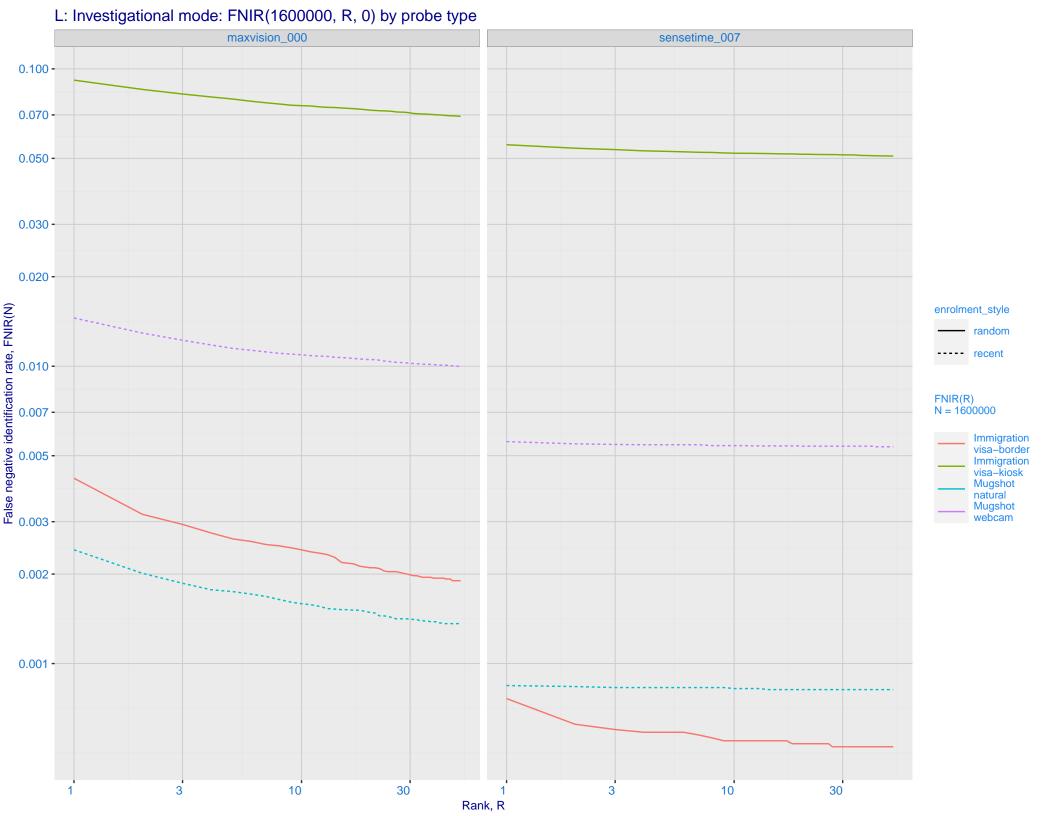


I: FNIR and FPIR dependence on threshold Dataset is border–border with time–lapse [10,15] YRS with N = 1600000. Probes are 10–15 years later than enrollment image

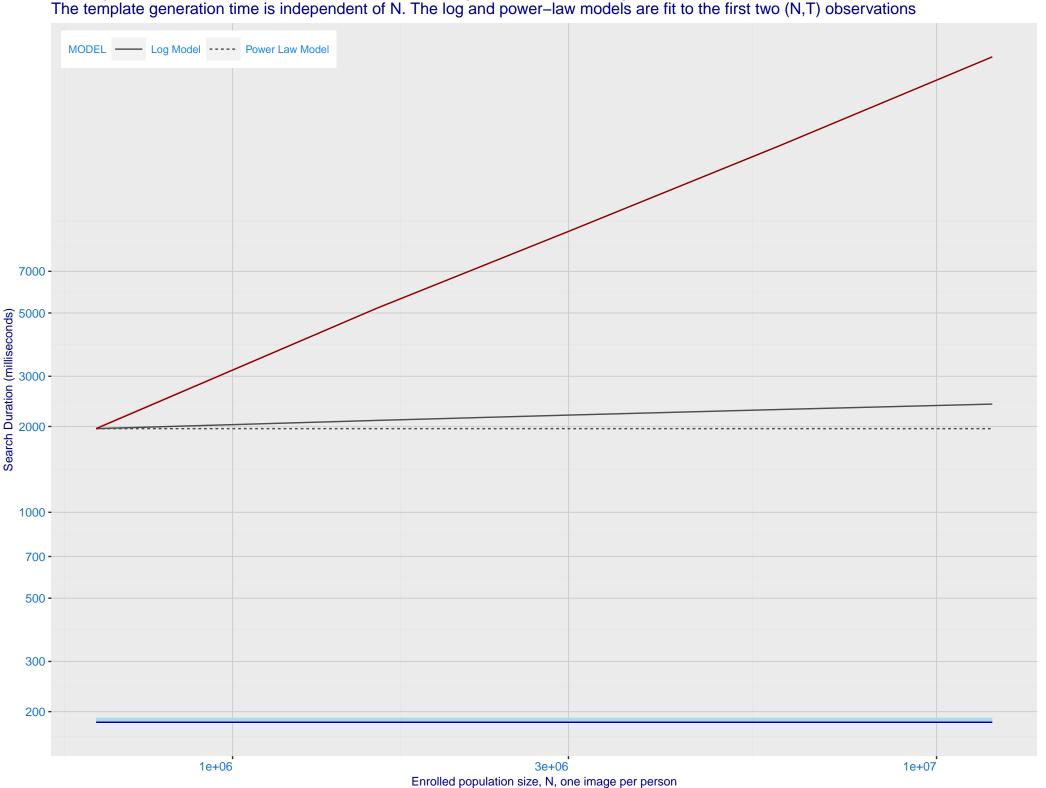




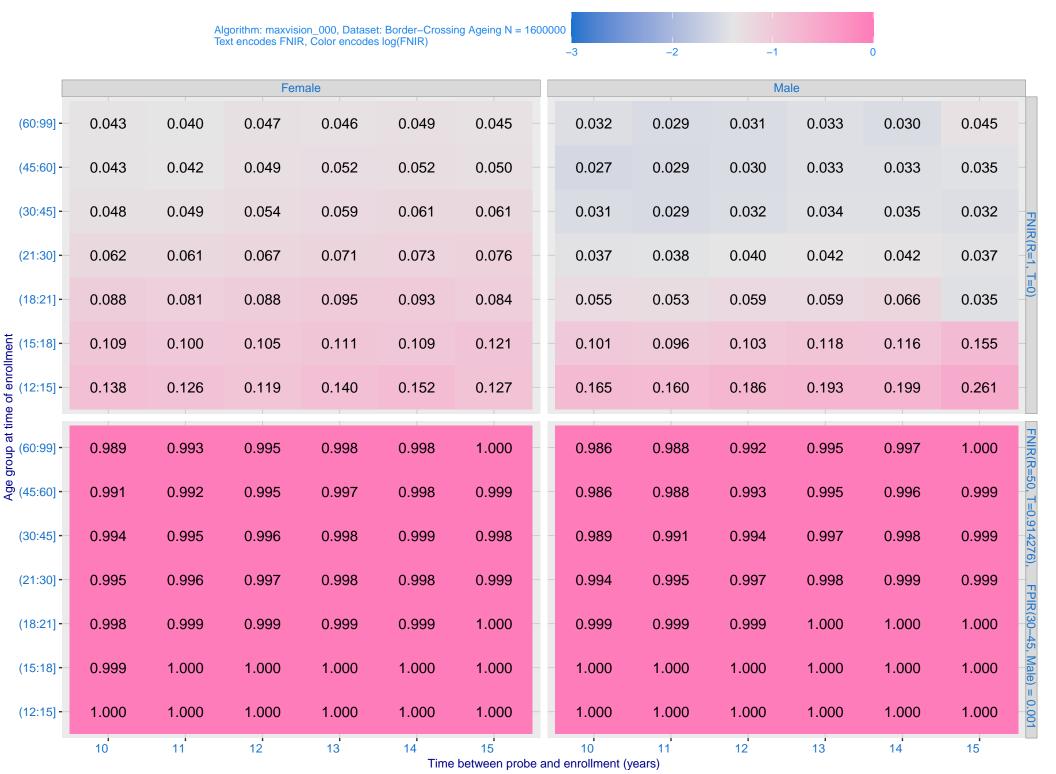
K: Investigational mode: FNIR(N, 1, 0) vs. most accurate (sensetime\_007) Immigration **Immigration** visa-border visa-kiosk 0.100 -0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -• 0.003 -Ealse negative identification rate, FNIR(N) - 0.000 enrolment\_style - random ---- recent Mugshot webcam Mugshot natural FNIR@Rank = 1 maxvision\_000 sensetime\_007 0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -1e+06 3e+06 1e+07 1e+06 3e+06 1e+07 Enrolled population size, N



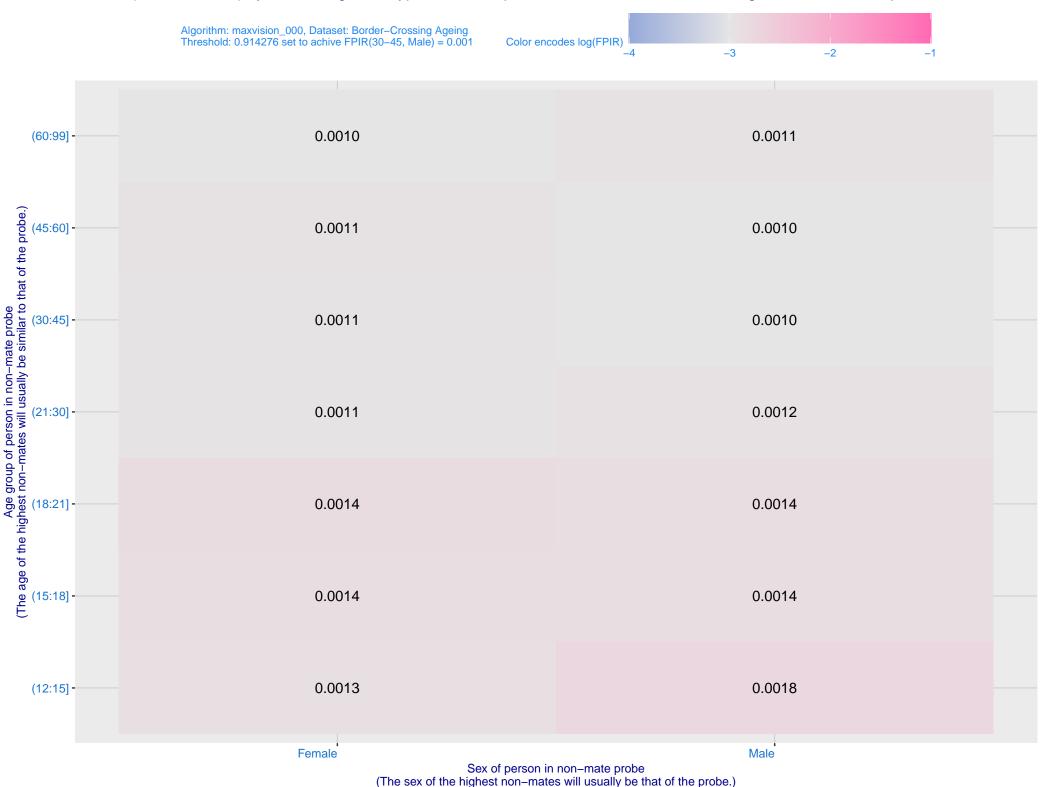
M: Template duration; search duration vs. N. The blue and pink ribbon covers 95 percent of observed measurements. The template generation time is independent of N. The log and power–law models are fit to the first two (N,T) observations



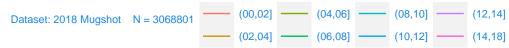
O: FNIR(T, N = 1.6 million) by sex, age and time-lapse. The top row gives investigational rank-1 miss rates. The bottom panels give high threshold for more lights-out identification with low FPIR.

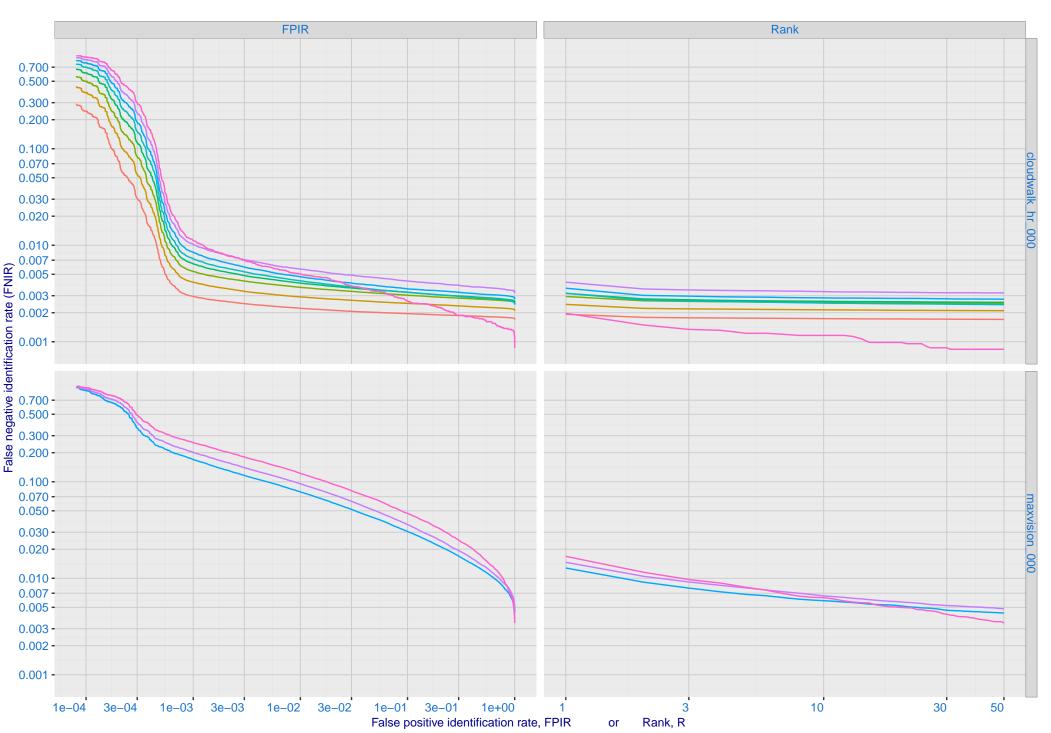


P: FPIR(N = 1.6 million) by sex and age. It is typical for false positive identification rates to be higher in women except in their teens.



Q: Identification FNIR(N, T, L+1) and Investigational FNIR(N, 0, R) under ageing





R: Decline of genuine scores with ageing, with some eventually dropping below typical thresholds shown by the horizontal lines 1.0 -Dataset: 2018 Mugshot N= 3.1M Color encodes FNIR (Rank = 1) 0.9 -0.15 0.10 0.05 0.00 0.8 -TVAL - FPIR = 0.001 FPIR = 0.003 FPIR = 0.010FPIR = 0.030 0.7 (14,18] (10,12](12,14]

Time lapse between search and initial encounter enrollment (years)

Score