## A: Datasheet

Algorithm: canon\_002

Developer: Canon Inc

Submission Date: 2022\_04\_26

Template size: 6200 bytes

Template time (2.5 percentile): 895 msec

Template time (median): 897 msec

Template time (97.5 percentile): 970 msec

Investigation:

Frontal mugshot ranking 18 (out of 355) -- FNIR(1600000, 0, 1) = 0.0012 vs. lowest 0.0008 from sensetime\_007

Mugshot webcam ranking 5 (out of 317) -- FNIR(1600000, 0, 1) = 0.0064 vs. lowest 0.0056 from sensetime\_007

Mugshot profile ranking 36 (out of 286) -- FNIR(1600000, 0, 1) = 0.1057 vs. lowest 0.0521 from sensetime\_007

Immigration visa-border ranking 8 (out of 244) -- FNIR(1600000, 0, 1) = 0.0012 vs. lowest 0.0008 from sensetime\_007

Immigration visa-kiosk ranking 13 (out of 241) -- FNIR(1600000, 0, 1) = 0.0594 vs. lowest 0.0472 from kakao\_001

Identification:

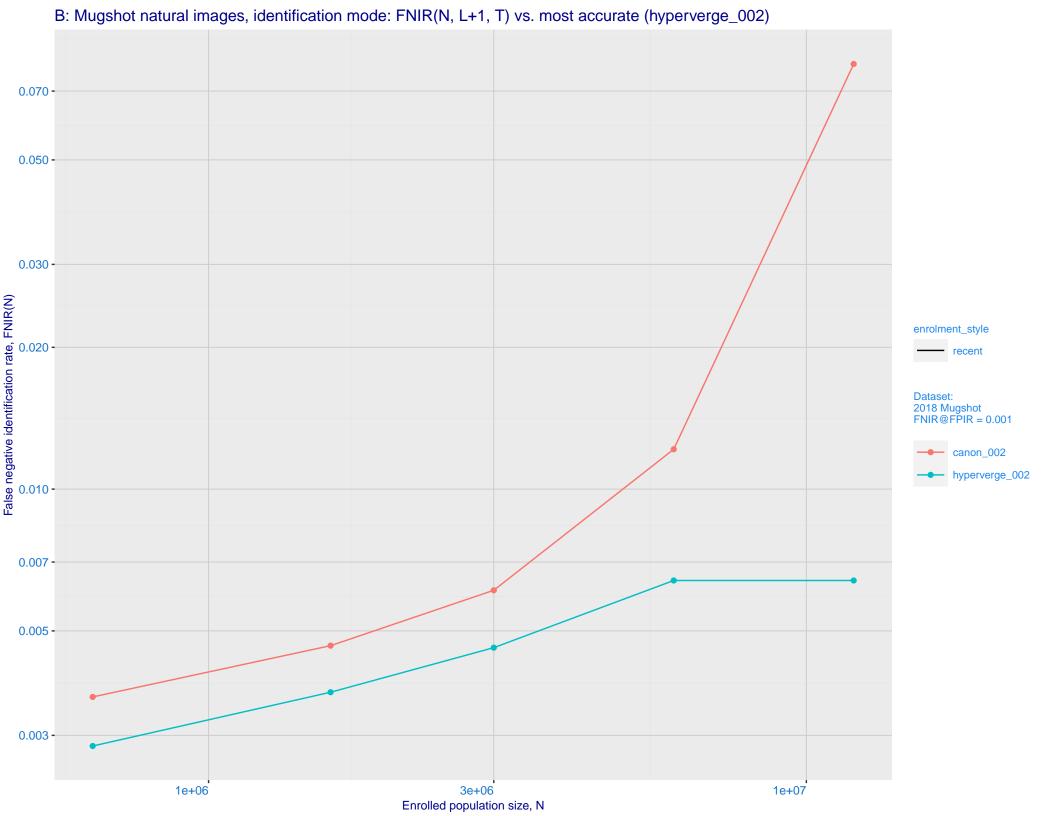
Frontal mugshot ranking 28 (out of 355) -- FNIR(1600000, T, L+1) = 0.0047, FPIR=0.001000 vs. lowest 0.0014 from sensetime\_007

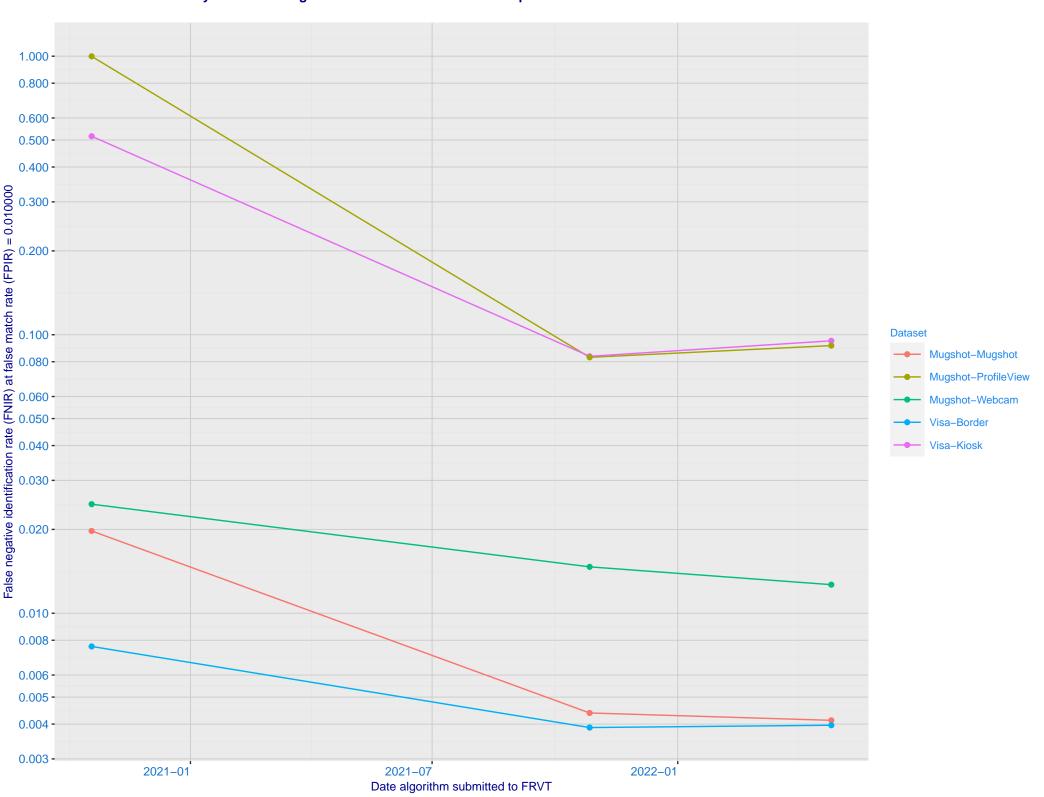
Mugshot webcam ranking 22 (out of 315) -- FNIR(1600000, T, L+1) = 0.0201, FPIR=0.001000 vs. lowest 0.0093 from sensetime\_007

Mugshot profile ranking 20 (out of 285) -- FNIR(1600000, T, L+1) = 0.4067, FPIR=0.001000 vs. lowest 0.1093 from cloudwalk\_mt\_000

Immigration visa-border ranking 44 (out of 243) -- FNIR(1600000, T, L+1) = 0.0130, FPIR=0.001000 vs. lowest 0.0024 from cloudwalk\_mt\_000

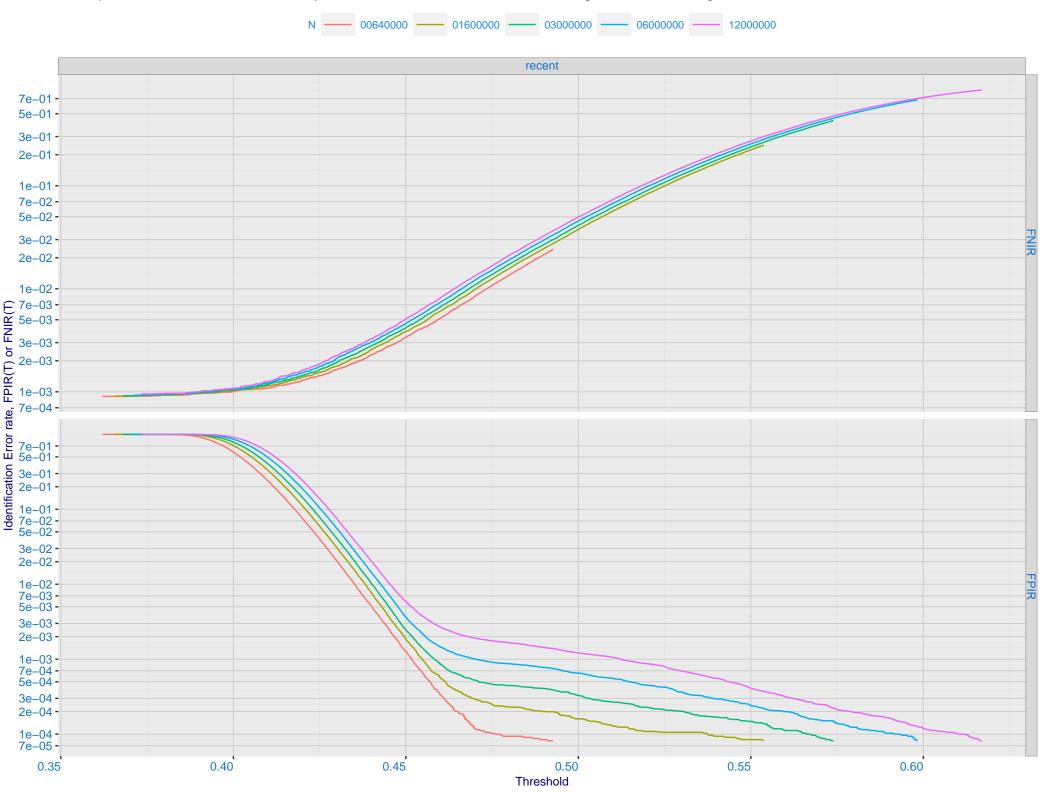
Immigration visa-kiosk ranking 57 (out of 238) -- FNIR(1600000, T, L+1) = 0.1877, FPIR=0.001000 vs. lowest 0.0719 from cloudwalk\_mt\_000



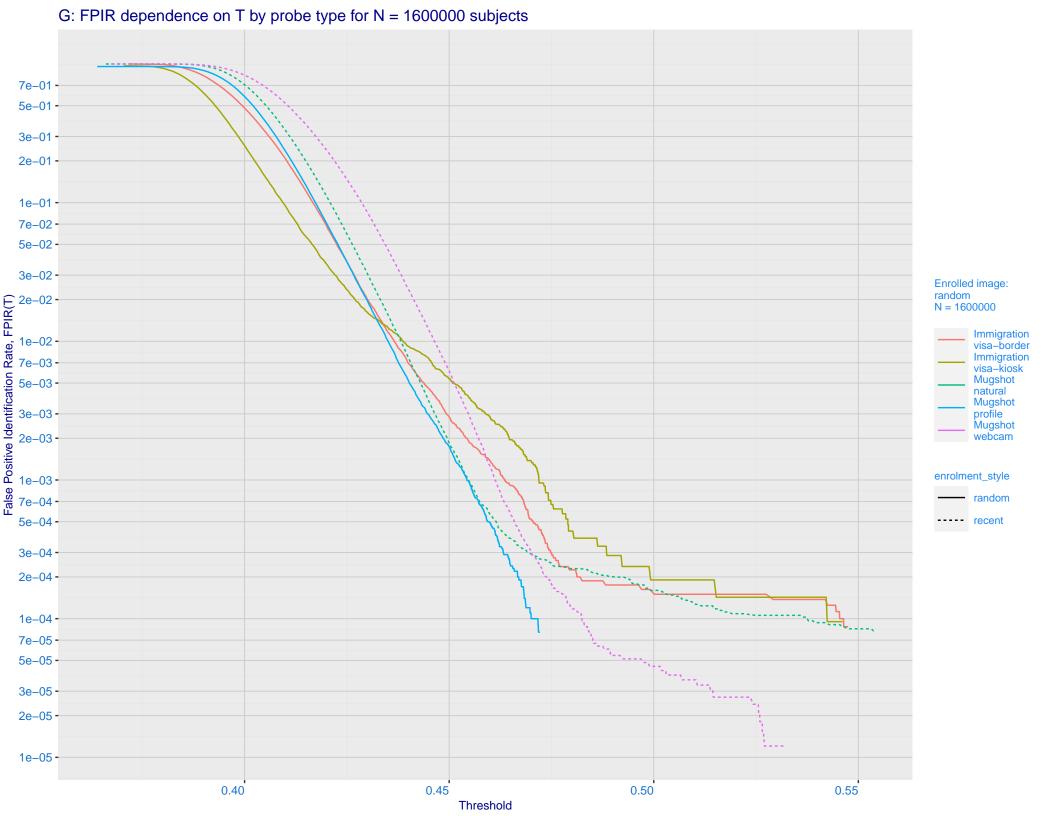


D: 1:N error tradeoff by dataset and enrollment type. N = 1600000 individuals Immigration Immigration Mugshot visa-border visa-kiosk natural 0.700 -0.500 -0.300 -0.200 -0.100 -0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 - 0.003 - 0.002 - 0.001 - 0.001 - 0.500 - 0.500 - 0.200 - 0.100 enrolment\_style random-ONE-MATE recent-ONE-MATE 0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -False positive identification rate, FPIR(T)

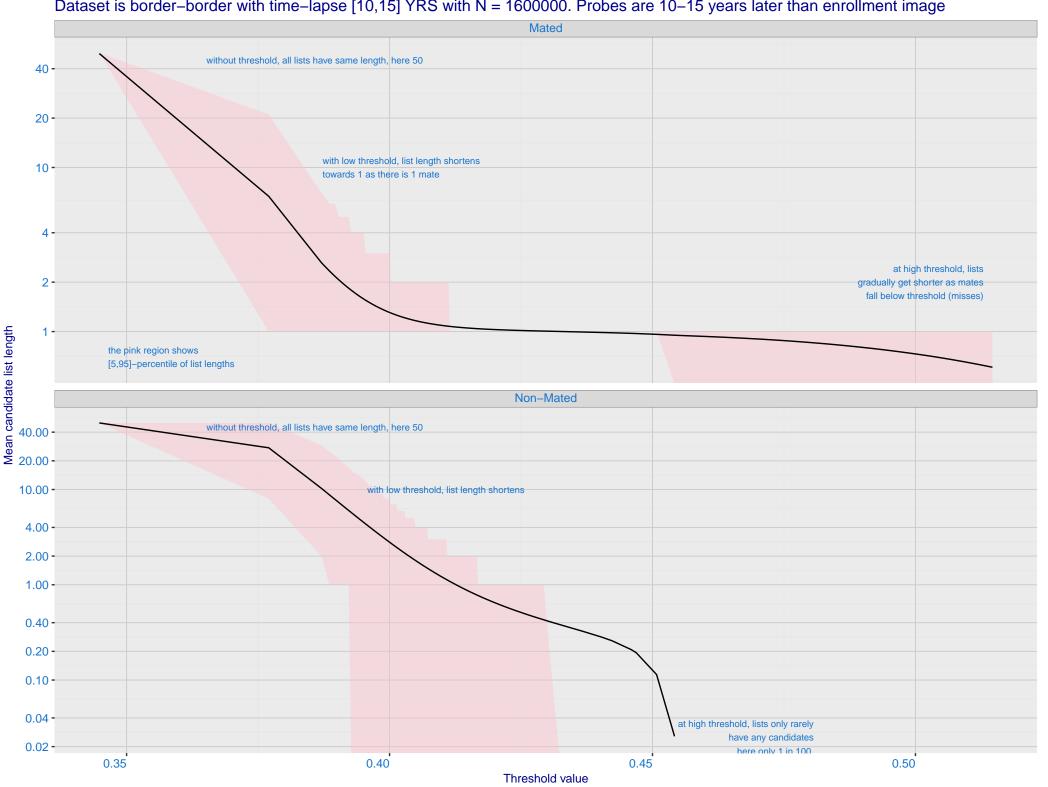
E: Dependence of error rates on T by number enrolled identities, N, for Mugshot natural images



F: FPIR vs. Selectivity for mugshot images, N = 1600000 subjects enrolled with one recent mate 7e+01 -5e+01 -3e+01 -2e+01 -1e+01 -7e+00 -5e+00 -3e+00 -2e+00 -1e+00 -7e-01 -5e-01 -3e-01 -2e-01 -1e-01 -7e-02 - 5e-02 - 3e-02 - 2e-02 - 7-00 Enrolled images: recent N = 1600000 Mugshot natural Mugshot webcam 7e-03 -5e-03 -3e-03 -2e-03 -1e-03 -7e-04 -5e-04 -3e-04 -2e-04 -1e-04 -7e-05 -5e-05 -3e-05 -2e-05 -1e-05 -1e-05 3e-05 1e-04 3e-04 1e-03 3e-03 1e-02 3e-02 1e-01 3e-01 False Positive Identification Rate, FPIR(T)

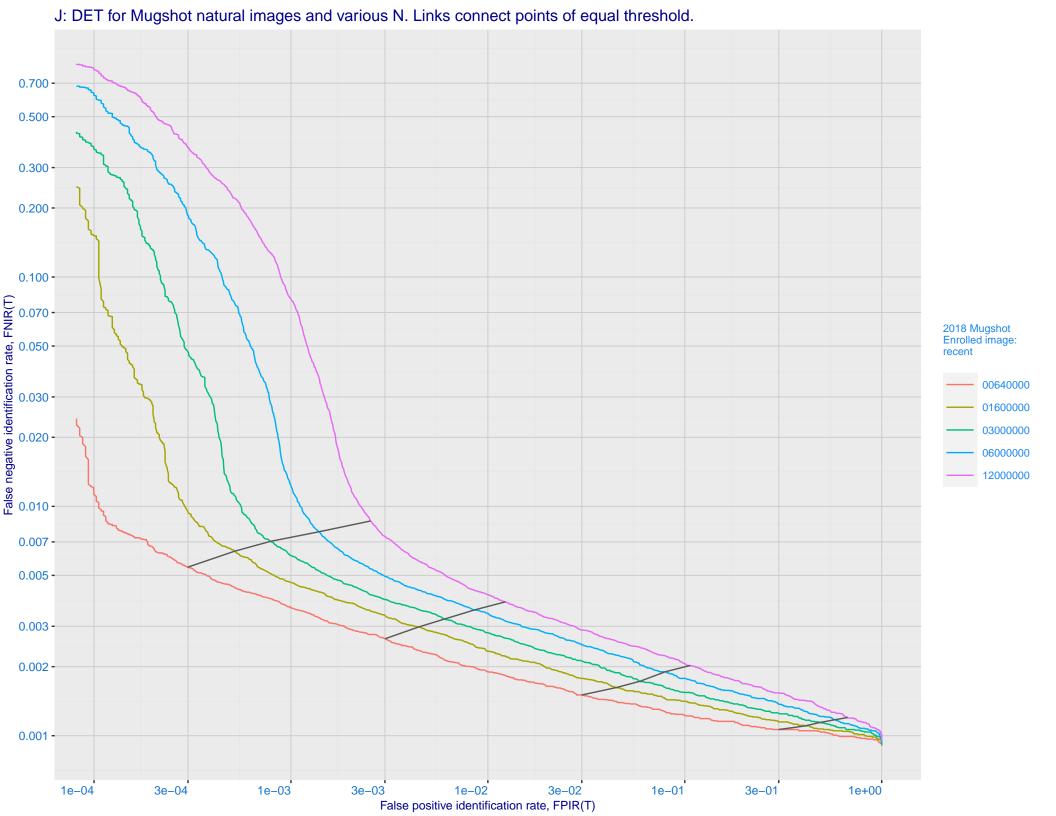


H: Reduced length candidate lists for human review Dataset is border–border with time–lapse [10,15] YRS with N = 1600000. Probes are 10–15 years later than enrollment image

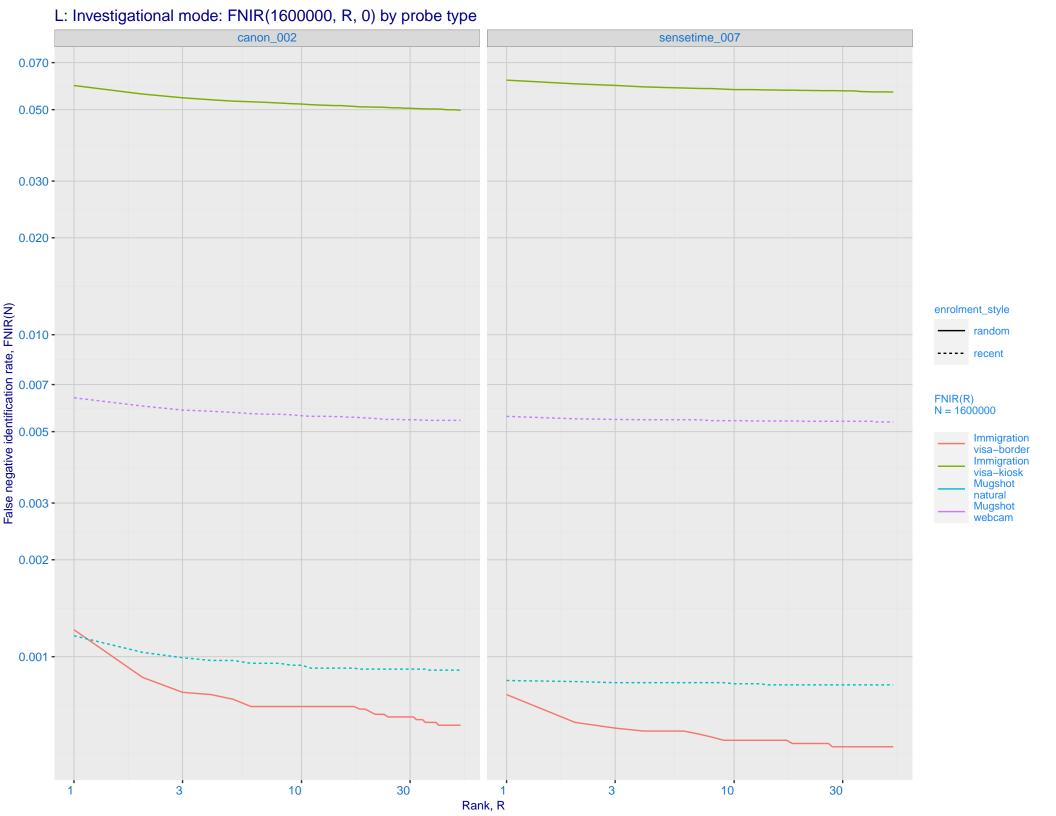


I: FNIR and FPIR dependence on threshold Dataset is border–border with time–lapse [10,15] YRS with N = 1600000. Probes are 10–15 years later than enrollment image

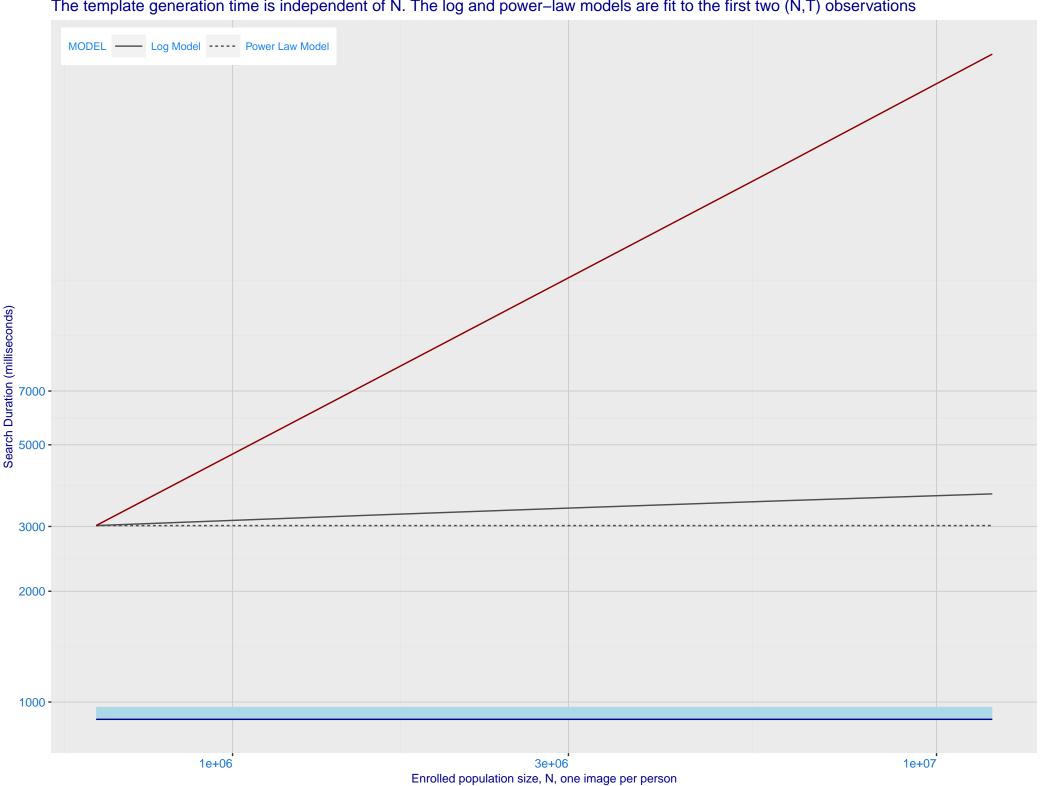




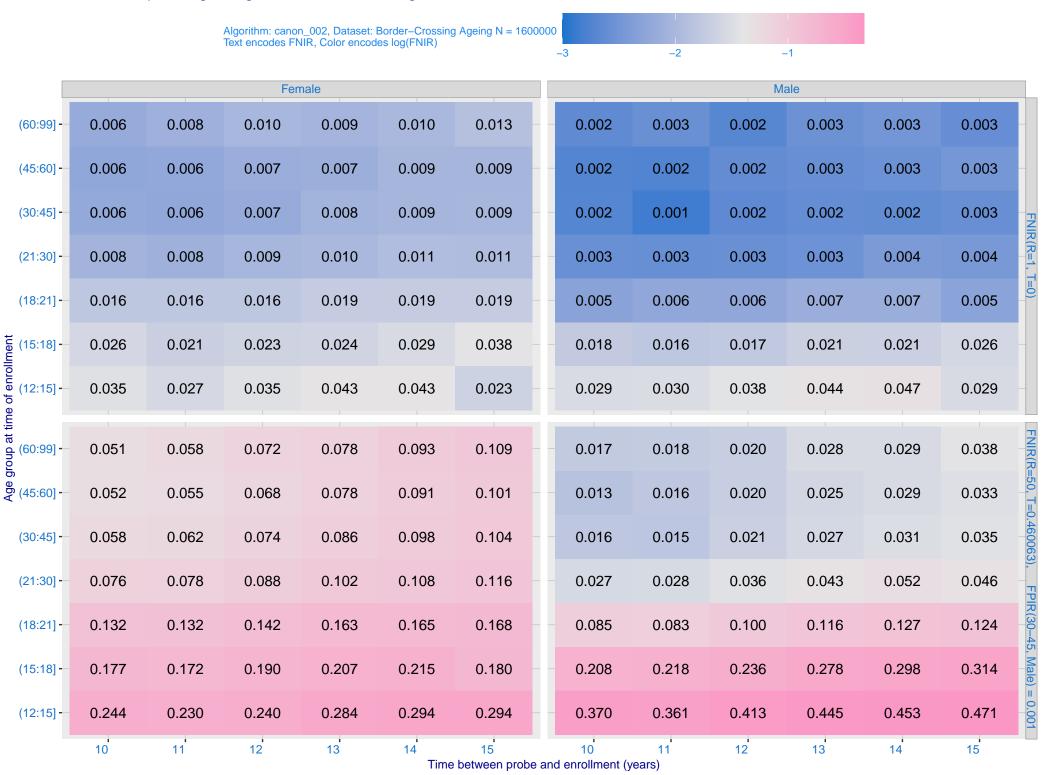
K: Investigational mode: FNIR(N, 1, 0) vs. most accurate (sensetime\_007) Immigration **Immigration** visa-border visa-kiosk 0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -Ealse negative identification rate, FNIR(N) - 0.000 enrolment\_style - random ---- recent Mugshot natural Mugshot webcam FNIR@Rank = 1 -- canon\_002 sensetime\_007 0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -1e+06 3e+06 1e+07 1e+06 3e+06 1e+07 Enrolled population size, N



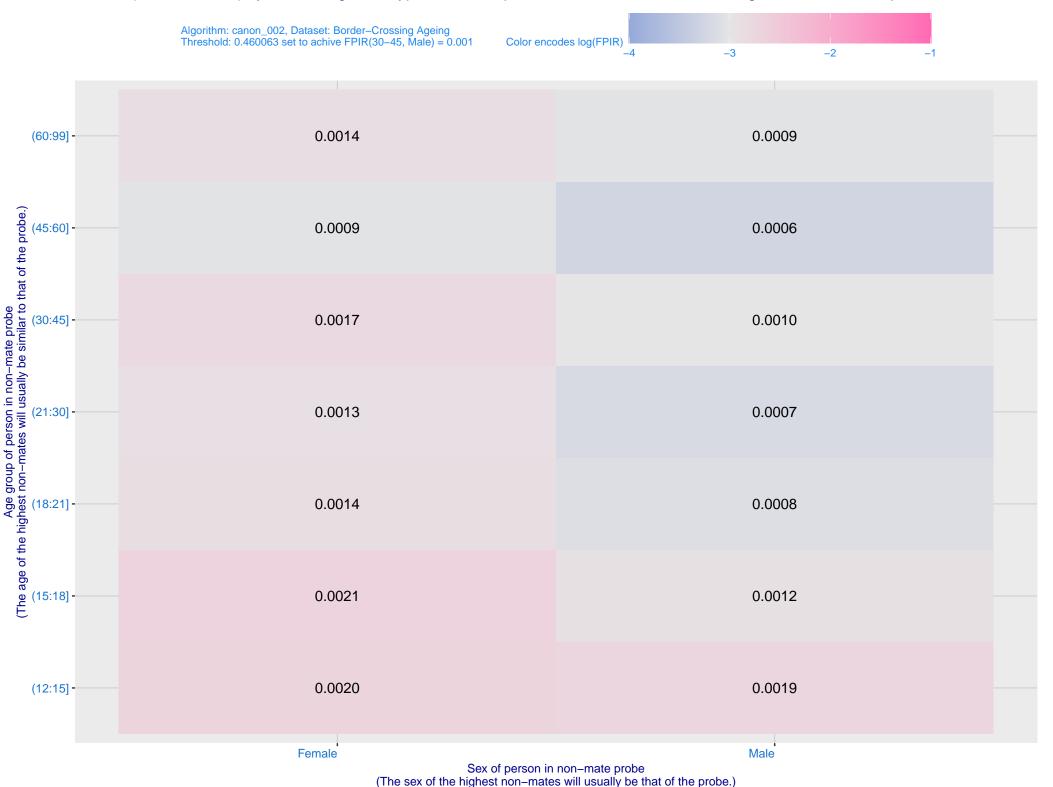
M: Template duration; search duration vs. N. The blue and pink ribbon covers 95 percent of observed measurements. The template generation time is independent of N. The log and power–law models are fit to the first two (N,T) observations



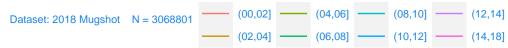
O: FNIR(T, N = 1.6 million) by sex, age and time-lapse. The top row gives investigational rank-1 miss rates. The bottom panels give high threshold for more lights-out identification with low FPIR.

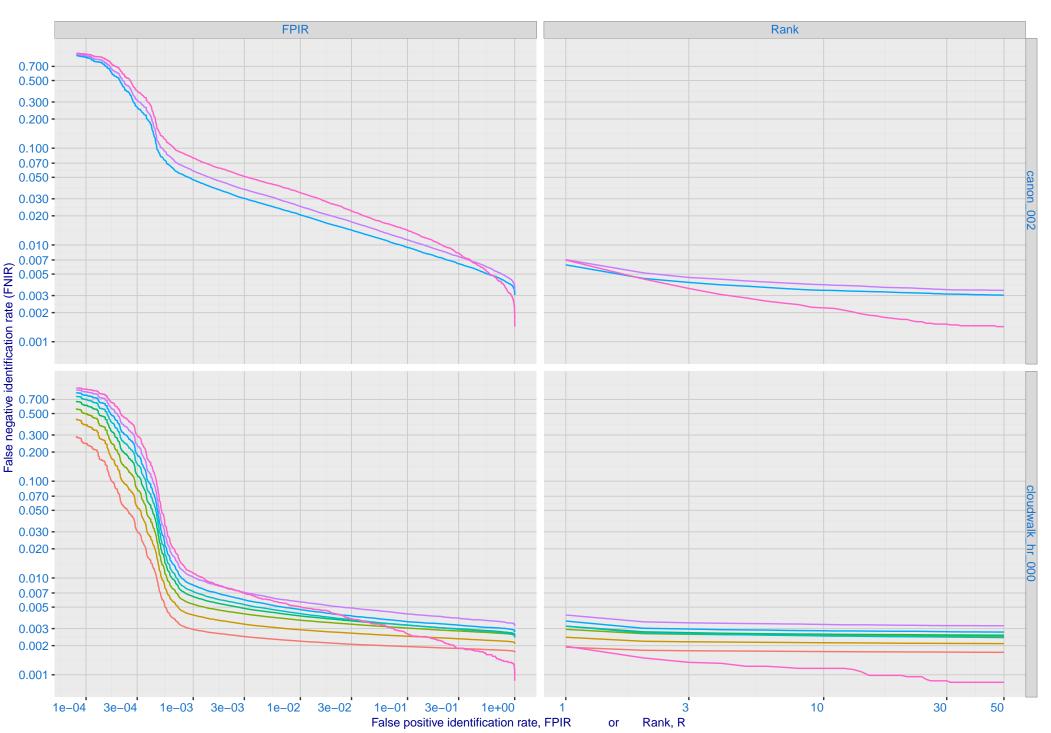


P: FPIR(N = 1.6 million) by sex and age. It is typical for false positive identification rates to be higher in women except in their teens.



Q: Identification FNIR(N, T, L+1) and Investigational FNIR(N, 0, R) under ageing





R: Decline of genuine scores with ageing, with some eventually dropping below typical thresholds shown by the horizontal lines Dataset: 2018 Mugshot N= 3.1M Color encodes FNIR (Rank = 1) 0.65 -0.15 0.10 0.05 O.55 -0.00 TVAL - FPIR = 0.001 FPIR = 0.003 FPIR = 0.0100.45 -FPIR = 0.030

(12,14] Time lapse between search and initial encounter enrollment (years)

(14,18]

0.35 -

(10,12]