A: Datasheet

Algorithm: nec_007

Developer: NEC

Submission Date: 2023_03_03

Template size: 560 bytes

Template time (2.5 percentile): 987 msec

Template time (median): 988 msec

Template time (97.5 percentile): 1013 msec

Investigation:

Frontal mugshot ranking 171 (out of 402) -- FNIR(1600000, 0, 1) = 0.0037 vs. lowest 0.0008 from interna_001

Mugshot webcam ranking 5 (out of 364) -- FNIR(1600000, 0, 1) = 0.0061 vs. lowest 0.0054 from sensetime_009

Mugshot profile ranking 15 (out of 333) -- FNIR(1600000, 0, 1) = 0.0588 vs. lowest 0.0517 from sensetime_009

Immigration visa-border ranking 16 (out of 291) -- FNIR(1600000, 0, 1) = 0.0010 vs. lowest 0.0006 from cloudwalk_mt_002

Immigration visa-kiosk ranking 7 (out of 236) -- FNIR(1600000, 0, 1) = 0.0451 vs. lowest 0.0387 from cloudwalk_mt_002

Identification:

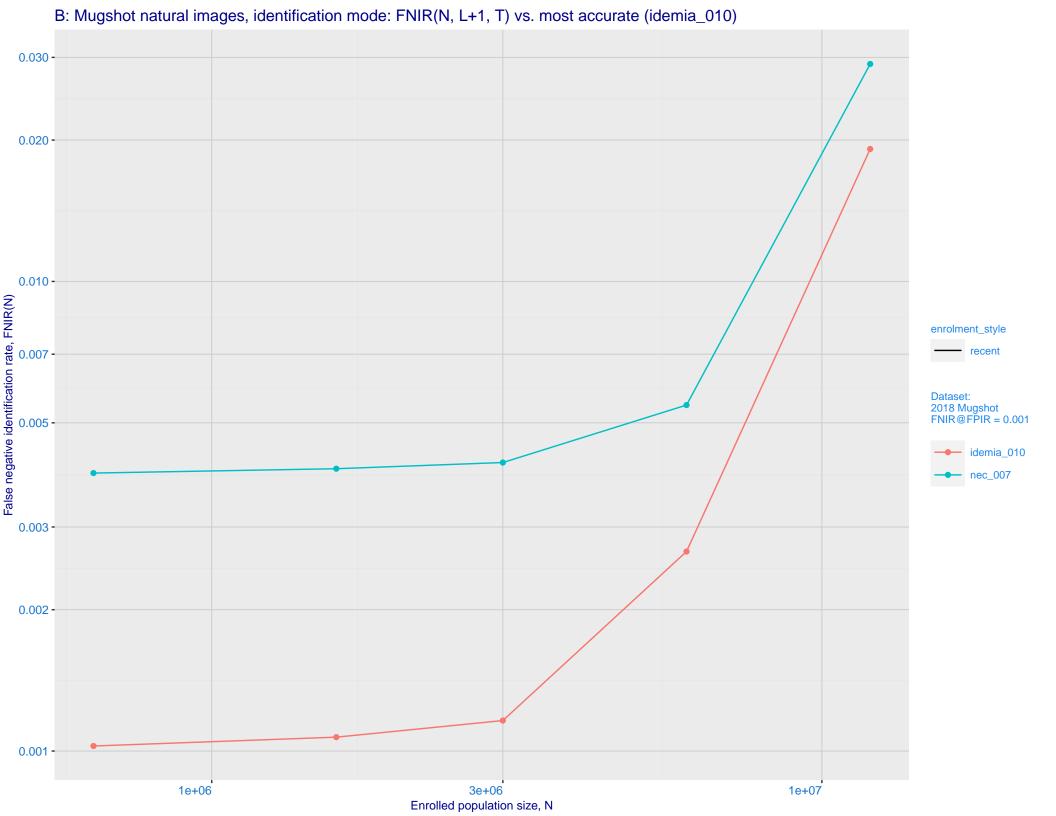
Frontal mugshot ranking 38 (out of 402) -- FNIR(1600000, T, L+1) = 0.0040, FPIR=0.001000 vs. lowest 0.0011 from idemia_010

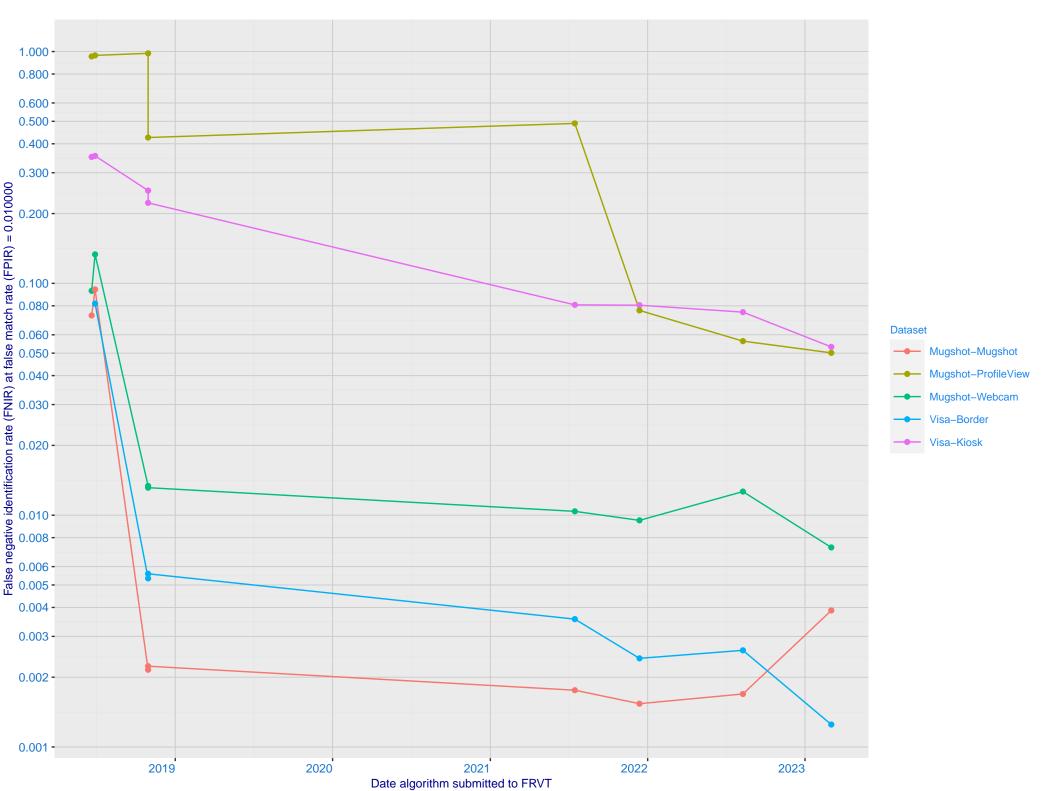
Mugshot webcam ranking 3 (out of 362) -- FNIR(1600000, T, L+1) = 0.0088, FPIR=0.001000 vs. lowest 0.0072 from sensetime_009

Mugshot profile ranking 8 (out of 332) -- FNIR(1600000, T, L+1) = 0.1470, FPIR=0.001000 vs. lowest 0.0634 from cloudwalk_mt_002

Immigration visa-border ranking 4 (out of 290) -- FNIR(1600000, T, L+1) = 0.0018, FPIR=0.001000 vs. lowest 0.0010 from cloudwalk_mt_002

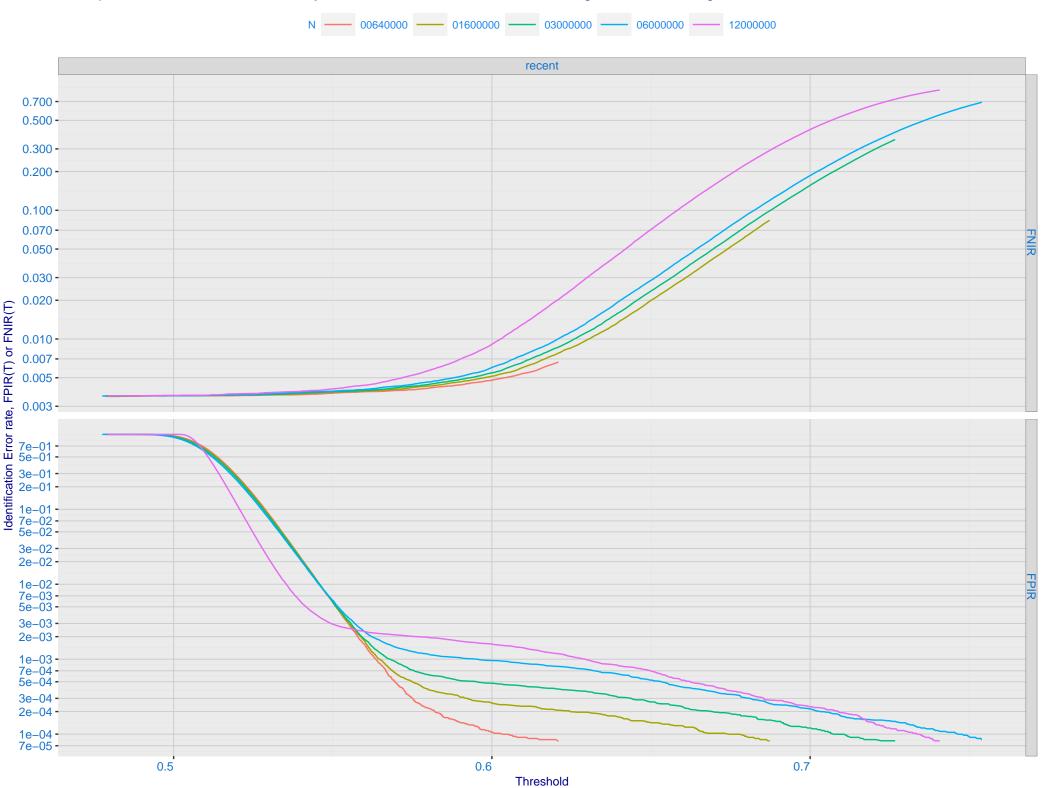
Immigration visa-kiosk ranking 3 (out of 236) — FNIR(1600000, T, L+1) = 0.0606, FPIR=0.001000 vs. lowest 0.0517 from cloudwalk_mt_002



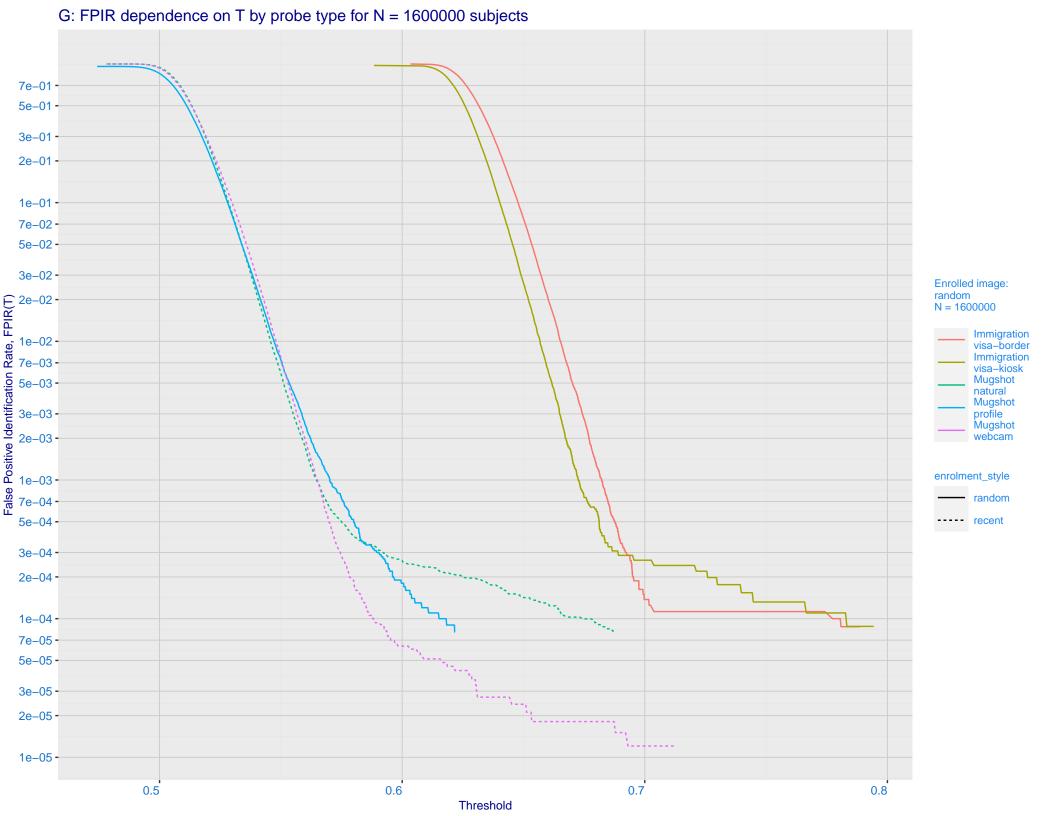


D: 1:N error tradeoff by dataset and enrollment type. N = 1600000 individuals Immigration Immigration Mugshot visa-border visa-kiosk natural 0.500 -0.300 -0.200 -0.100 -0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -Ealse negative identification rate, FNIR(T) 0.003 - 0.001 - 0.000 - 0.200 - 0.100 - 0. enrolment_style random-ONE-MATE recent-ONE-MATE 0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -False positive identification rate, FPIR(T)

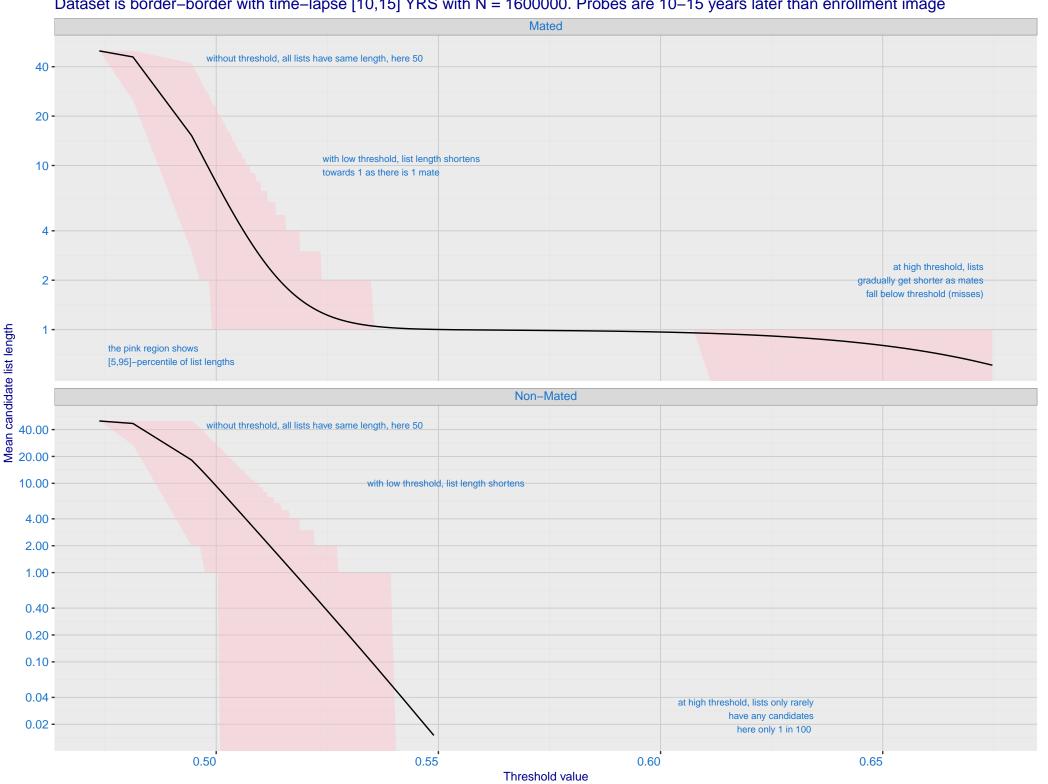
E: Dependence of error rates on T by number enrolled identities, N, for Mugshot natural images



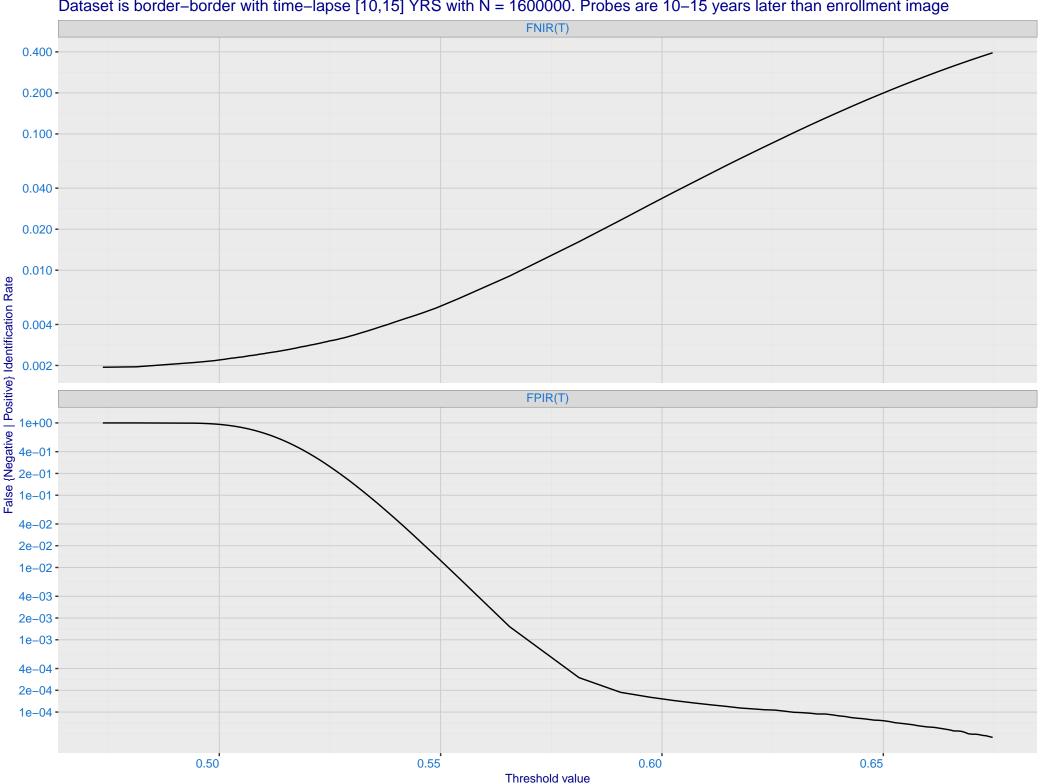
F: FPIR vs. Selectivity for mugshot images, N = 1600000 subjects enrolled with one recent mate 7e+01 -5e+01 -3e+01 -2e+01 -1e+01 -7e+00 -5e+00 -3e+00 -2e+00 -1e+00 -7e-01 -5e-01 -3e-01 -2e-01 -1e-01 -7e-02 - 5e-02 - 3e-02 - 2e-02 - 7-00 Enrolled images: recent N = 1600000 Mugshot natural Mugshot webcam 7e-03 -5e-03 -3e-03 -2e-03 -1e-03 -7e-04 -5e-04 -3e-04 -2e-04 -1e-04 -7e-05 -5e-05 -3e-05 -2e-05 -1e-05 -1e-05 3e-05 1e-04 3e-04 1e-03 3e-03 1e-02 3e-02 1e-01 3e-01 False Positive Identification Rate, FPIR(T)

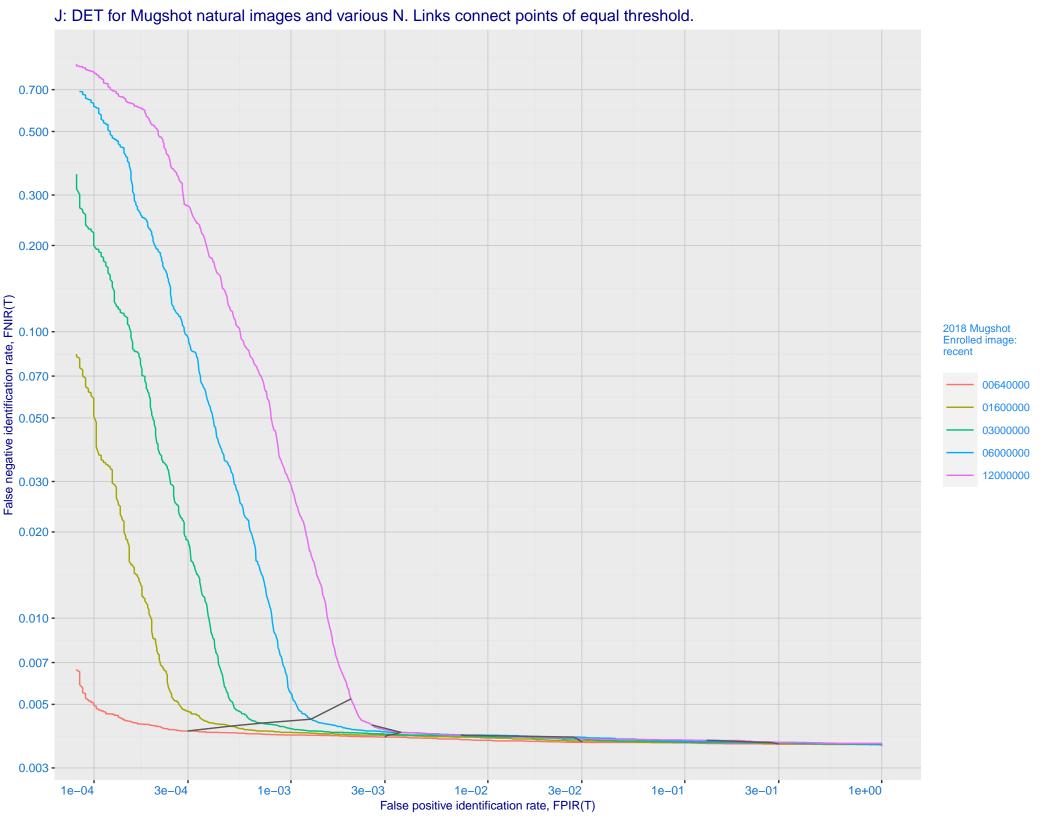


H: Reduced length candidate lists for human review Dataset is border–border with time–lapse [10,15] YRS with N = 1600000. Probes are 10–15 years later than enrollment image

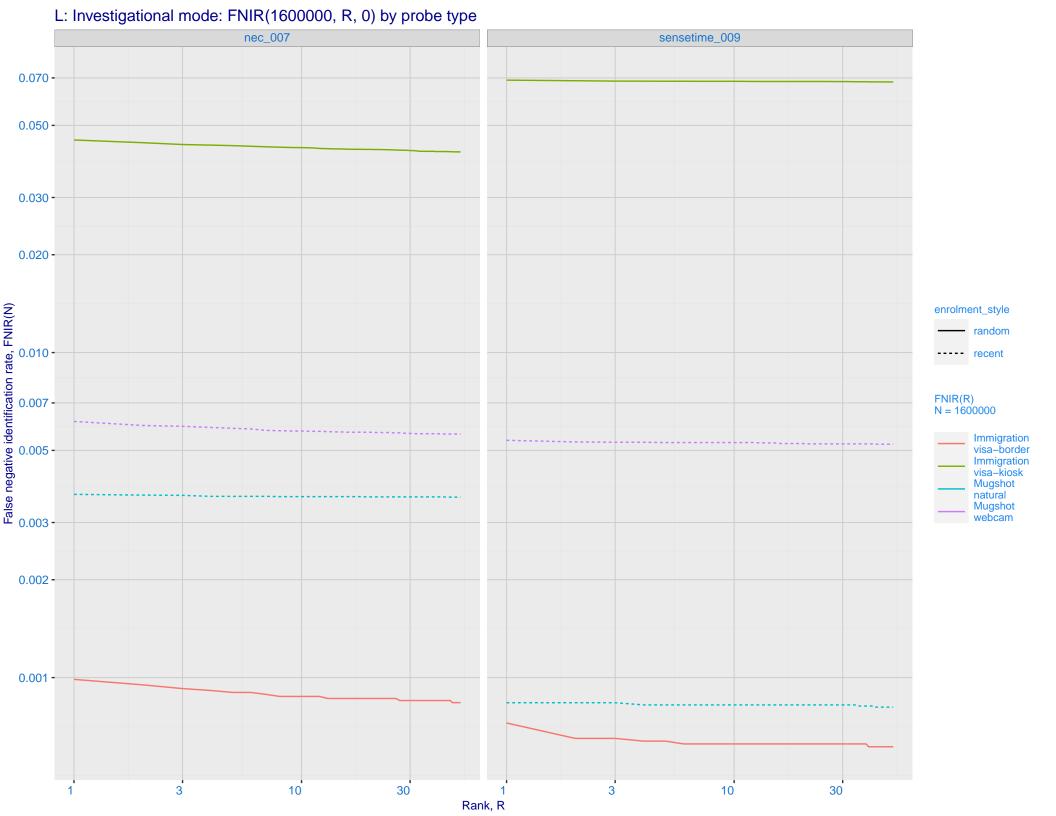


I: FNIR and FPIR dependence on threshold Dataset is border–border with time–lapse [10,15] YRS with N = 1600000. Probes are 10–15 years later than enrollment image



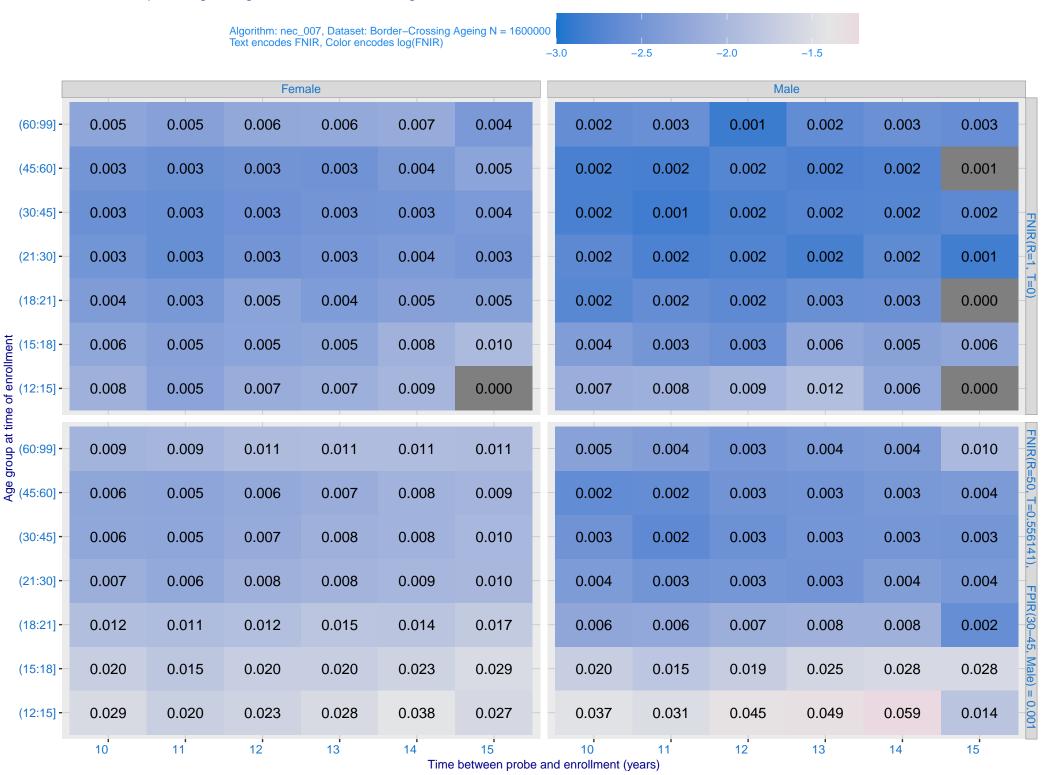


K: Investigational mode: FNIR(N, 1, 0) vs. most accurate (sensetime_009) Immigration **Immigration** visa-border visa-kiosk 0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -Ealse negative identification rate, FNIR(N) - 0.001 - 0.000 - 0.050 - 0.030 enrolment_style - random ---- recent Mugshot natural Mugshot webcam FNIR@Rank = 1 -- nec_007 sensetime_009 0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -1e+06 3e+06 1e+07 1e+06 3e+06 1e+07 Enrolled population size, N

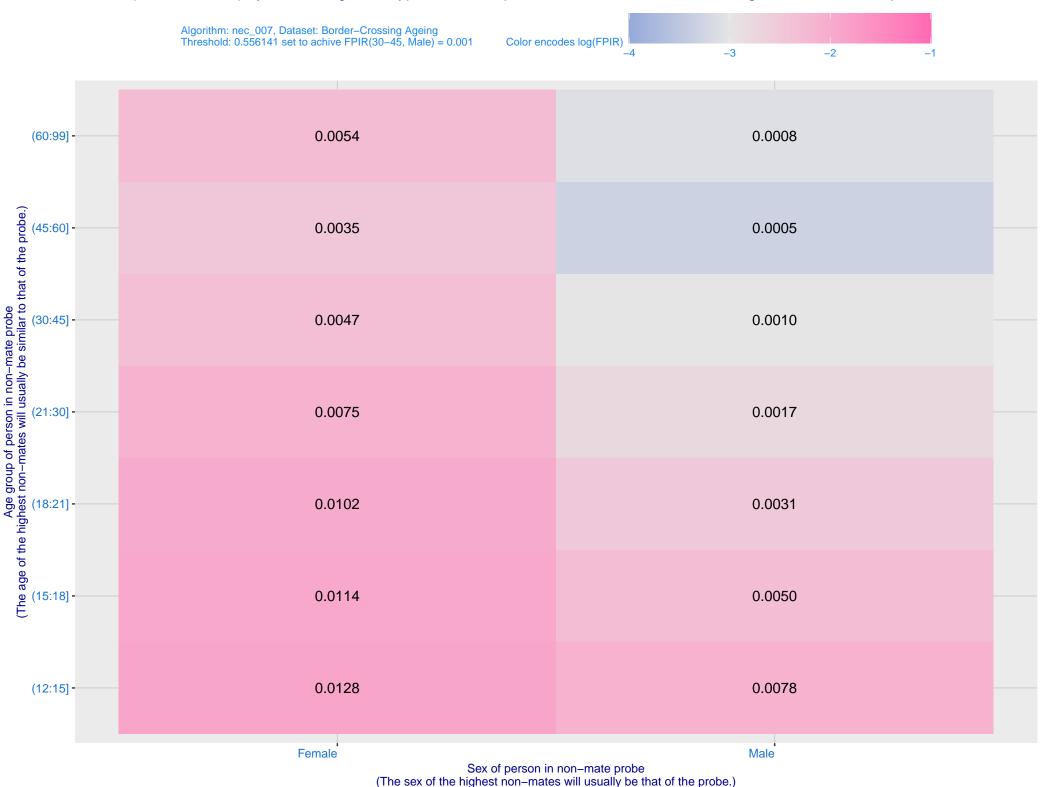


M: Template duration; search duration vs. N. The blue and pink ribbon covers 95 percent of observed measurements. The template generation time is independent of N. The log and power–law models are fit to the first two (N,T) observations 2000 -Log Model ---- Power Law Model 1000 -700 -Search Duration (milliseconds) 500 -300 -200 -100 -70 -1e+06 3e+06 1e+07 Enrolled population size, N, one image per person

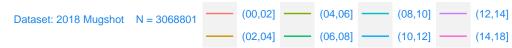
O: FNIR(T, N = 1.6 million) by sex, age and time-lapse. The top row gives investigational rank-1 miss rates. The bottom panels give high threshold for more lights-out identification with low FPIR.

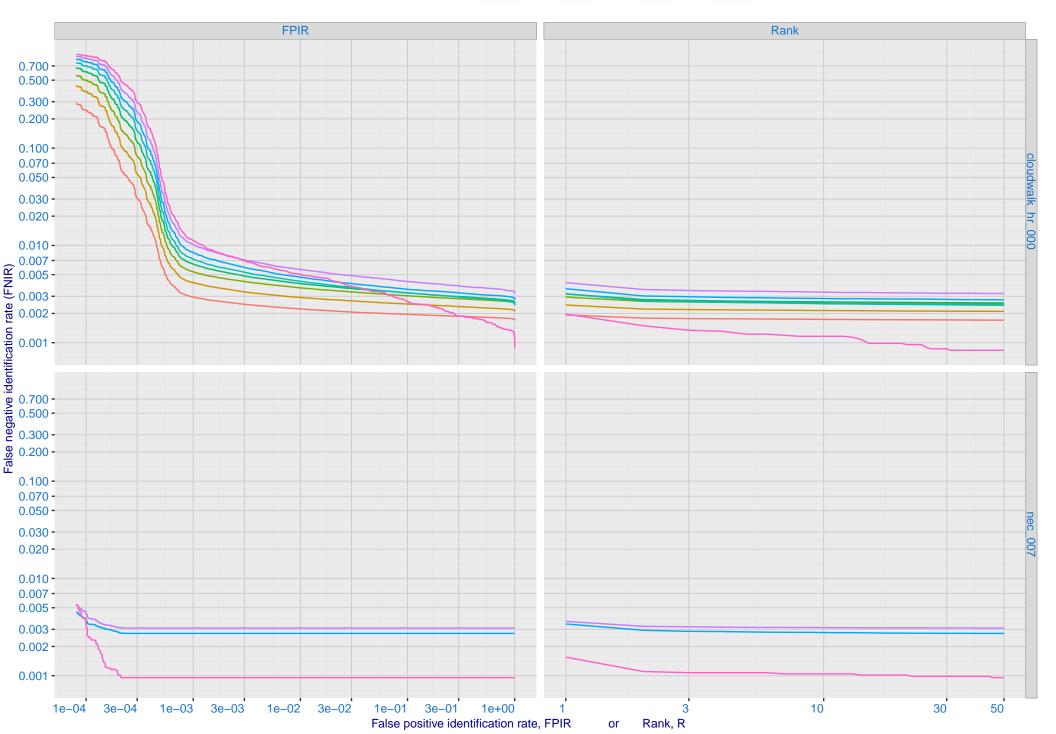


P: FPIR(N = 1.6 million) by sex and age. It is typical for false positive identification rates to be higher in women except in their teens.



Q: Identification FNIR(N, T, L+1) and Investigational FNIR(N, 0, R) under ageing





R: Decline of genuine scores with ageing, with some eventually dropping below typical thresholds shown by the horizontal lines 1.0 -Dataset: 2018 Mugshot N= 3.1M Color encodes FNIR (Rank = 1) 0.9 -0.15 0.10 0.8 -0.05 0.00 **TVAL** - FPIR = 0.001 0.7 -FPIR = 0.003 FPIR = 0.010 FPIR = 0.030 0.6 -(10,12](12,14](14,18]Time lapse between search and initial encounter enrollment (years)