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DECLAN FLETCHER

Supervisor: Masoud Kamgarpour Co-Supervisor: Yang Zhang

BACHELOR OF MATHEMATICS (HONOURS)

THE UNIVERSITY OF QUEENSLAND SCHOOL OF MATHEMATICS AND PHYSICS

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1. Introduction

2. Affine varieties

In this chapter, we introduce affine varieties, which are the central object of study in this thesis. Algebraic geometry establishes a connection between spaces cut out by polynomials (geometric objects), and ideals in a polynomial ring (algebraic objects). We will detail this connection, and explain how algebraic properties of rings and ideals inform properties of the corresponding geometric spaces.

2.1. Affine space and algebraic sets. Let k be a field. Affine n-space over k, denoted \mathbb{A}^n_k or \mathbb{A}^n , is the set

$$\mathbb{A}^n := \{(a_1, \dots, a_n) : a_i \in k\}.$$

Elements of \mathbb{A}^n are called points, and if $P = (a_1, \dots, a_n) \in \mathbb{A}^n$ is a point, then the a_i are called the coordinates of P.

Let $A := k[X_1, \ldots, X_n]$. We interpret $f \in A$ as a function on \mathbb{A}^n by evaluating f at the coordinates of a point $P = (a_1, \ldots, a_n)$, i.e., $f(P) = f(a_1, \ldots, a_n)$. This allows us to talk about the zeros of the polynomial, which is the set

$$\mathbf{V}(f) := \{ P \in \mathbb{A}^n : f(P) = 0 \} \subseteq \mathbb{A}^n.$$

More generally, if $T \subseteq A$ is a set of polynomials, define

$$\mathbf{V}(T) := \{ P \in \mathbb{A}^n : f(P) = 0 \text{ for all } f \in T \}.$$

A set $V \subseteq \mathbb{A}^n$ is called *algebraic* if $V = \mathbf{V}(T)$ for some $T \subseteq A$.

Observe that if $\langle T \rangle \subseteq A$ is the ideal generated by T, then $\mathbf{V}(T) = \mathbf{V}(\langle T \rangle)$. Moreover, Hilbert's famous Basis theorem tells us all ideals of A are finitely generated [Rei95, §3.6]. Therefore, if f_1, \ldots, f_r generate $\langle T \rangle$, then

$$\mathbf{V}(T) = \mathbf{V}(\langle T \rangle) = \mathbf{V}(\{f_1, \dots, f_r\}).$$

We conclude that any algebraic set is the set of zeros of a *finite* number of polynomials.

Example 1. We list some examples of algebraic sets:

- (i) \mathbb{A}^n and \emptyset are algebraic, since $\mathbb{A}^n = \mathbf{V}(0)$ and $\emptyset = \mathbf{V}(1)$. Here, by 0 and 1 we mean the constant polynomials in A.
- (ii) Any line in \mathbb{A}^2 has the form $\mathbf{V}(aX+bY-c)$ for some $a,b,c\in k$, so lines are algebraic.
- (iii) The parabola $\mathbf{V}(Y-X^2)$ is an algebraic set.
- (iv) The hyperbola V(XY-1) is an algebraic set.
- 2.2. Properties of the map V. Our discussion above tells us that to study zero sets of polynomials, it suffices to study zero sets of ideals in A. The map

$$\mathbf{V}: \{\text{ideals } I \subseteq A\} \to \{\text{algebraic subsets } V \subseteq \mathbb{A}^n\}, \qquad I \mapsto \mathbf{V}(I),$$

is our first link between algebra and geometry. The following result describes the behaviour of V:

Proposition 2. (i) If $I \subseteq J$ are ideals, then $\mathbf{V}(I) \supseteq \mathbf{V}(J)$.

- (ii) If I_1, I_2 are ideals, then $\mathbf{V}(I_1) \cup \mathbf{V}(I_2) = \mathbf{V}(I_1I_2)$.
- (iii) If $\{I_{\alpha}\}_{{\alpha}\in\mathcal{A}}$ is an arbitrary collection of ideals, then $\bigcap_{{\alpha}\in\mathcal{A}} \mathbf{V}(I_{\alpha}) = \mathbf{V}\left(\sum_{{\alpha}\in\mathcal{A}} I_{\alpha}\right)$.
- *Proof.* (i) If $P \in \mathbf{V}(J)$, then we have f(P) = 0 for all $f \in I$, and $P \in \mathbf{V}(I)$.
- (ii) Assume without loss of generality that $P \in \mathbf{V}(I_1)$. Then for all $f \in I_1$ and $g \in I_2$, we have (fg)(P) = 0, implying all polynomials in I_1I_2 vanish at P. Conversely, if $P \in \mathbf{V}(I_1I_2)$ but $P \notin \mathbf{V}(I_2)$, there is $g \in I_2$ with $g(P) \neq 0$. But for any $f \in I_1$, there holds (fg)(P) = 0, so f(P) = 0.
- (iii) Suppose $P \in \bigcap_{\alpha \in \mathcal{A}} \mathbf{V}(I_{\alpha})$. Then for all $\alpha \in \mathcal{A}$ and all $f_{\alpha} \in I_{\alpha}$, we have $f_{\alpha}(P) = 0$, implying every element of $\sum_{\alpha} I_{\alpha}$ vanishes at P. Conversely, for each α , part (i) tells us $\mathbf{V}(I_{\alpha}) \supseteq \mathbf{V}\left(\sum_{\alpha} I_{\alpha}\right)$ and so $\bigcap_{\alpha \in \mathcal{A}} \mathbf{V}(I_{\alpha}) \supseteq \mathbf{V}\left(\sum_{\alpha \in \mathcal{A}} I_{\alpha}\right)$.
- 2.3. The Zariski topology. Proposition 2 tells us that arbitrary intersections and finite unions of algebraic sets are algebraic. In Example 1 (i), we saw \emptyset and \mathbb{A}^n are algebraic. Then algebraic subsets of \mathbb{A}^n form the closed sets for a topology on \mathbb{A}^n this topology is called the Zariski topology.
- **Example 3** (The Zariski topology on \mathbb{A}^1). Any nonconstant polynomial in one variable has finitely many roots. Then for any ideal $I \subseteq A$, $\mathbf{V}(I) = \mathbb{A}^1$ if $I = \{0\}$ or $\mathbf{V}(I)$ is finite. Thus any closed set is either \mathbb{A}^1 or finite, so the Zariski topology on \mathbb{A}^1 is the finite complement topology. Note that when k is an infinite field, this topology is not Hausdorff; any two nonempty open sets have finite complements, so they must necessarily intersect.

Example 3 shows us that the Zariski topology is a very weak toplogy, in the sense that there are not many open sets. Nonetheless, the Zariski topology will play an important role in studying algebraic sets.

2.4. The correspondence I. The map V gave us a map from ideals to algebraic subsets; this is our first link between algebra and geometry. There is another map I, that takes subsets of \mathbb{A}^n to ideals:

$$\mathbf{I}: \{\text{subsets } V \subseteq \mathbb{A}^n\} \to \{\text{ideals } I \subseteq A\}, \quad V \mapsto \mathbf{I}(V) := \{f \in A: f(P) = 0 \text{ for all } P \in V\}.$$

In other words, **I** takes a subset to the ideal of functions vanishing on it; $\mathbf{I}(V)$ is called the *ideal* of $V \subseteq \mathbb{A}^n$.

Proposition 4. We have the following properties of **I**:

- (i) If $V \subseteq U \subseteq \mathbb{A}^n$, then $\mathbf{I}(V) \supseteq \mathbf{I}(U)$.
- (ii) If $V \subseteq \mathbb{A}^n$, $V \subseteq \mathbf{V}(\mathbf{I}(V))$, with equality if and only if V is algebraic.
- (iii) If $I \subseteq A$, $I \subseteq \mathbf{I}(\mathbf{V}(I))$.

Here $\sum_{\alpha \in \mathcal{A}} I_{\alpha} = \left\{ \sum_{\alpha \in C} r_{\alpha} : C \text{ is a finite subset of } \mathcal{A}, r_{\alpha} \in I_{\alpha} \right\}$ is the usual sum of ideals, which is defined even if \mathcal{A} is infinite.

Proof. (i) If $f \in \mathbf{I}(U)$, in particular f(P) = 0 for all $P \in U$, so $f \in \mathbf{I}(V)$.

(ii) If $P \in V$, f(P) = 0 for all $f \in \mathbf{I}(V)$ and so $P \in \mathbf{V}(\mathbf{I}(V))$. When $V = \mathbf{V}(\mathbf{I}(V))$, V is algebraic by definition. Conversely, if $V = \mathbf{V}(I)$ is algebraic, then the ideal of functions vanishing on V will contain I. Then $\mathbf{V}(\mathbf{I}(V)) \subseteq \mathbf{V}(I) = V$ and $V = \mathbf{V}(\mathbf{I}(V))$.

(iii) If
$$f \in I$$
 then for $P \in \mathbf{V}(I)$, $f(P) = 0$, and $f \in \mathbf{I}(\mathbf{V}(I))$.

Since $V = \mathbf{V}(\mathbf{I}(V))$ for algebraic sets V, Proposition 4 begs the question: do \mathbf{V} and \mathbf{I} give a bijection between algebraic sets and ideals? Unfortunately, the inclusion $I \subseteq \mathbf{I}(\mathbf{V}(I))$ may be strict, so that \mathbf{V} are not \mathbf{I} inverses of each other. The following examples demonstrate when this may be the case:

Example 5. (i) Let $k = \mathbb{R}$ and n = 1. Consider $I = (X^2 + 1)$ as an ideal in k[X]. Then since $X^2 + 1$ never vanishes on \mathbb{A}^1 , $\mathbf{V}(I) = \emptyset$, and it holds vacuously that $\mathbf{I}(\mathbf{V}(I)) = k[X] \supseteq I$.

(ii) Let
$$I = (X^2) \subseteq k[X]$$
. Then $\mathbf{V}(I) = \{0\}$ and $\mathbf{I}(\mathbf{V}(I)) = (X) \supsetneq I$.

Example 5 indicates two reasons why $I \subseteq \mathbf{I}(\mathbf{V}(I))$ may be a strict inclusion: problems can occur when k is not algebraically closed, or when the equations defining an algebraic subset have unwanted "multiplicities". In §2.5, we will resolve these problems to make the correspondences \mathbf{V} and \mathbf{I} into bijections.

An algebraic set $V \subseteq \mathbb{A}^n$ is called *reducible* if there exist algebraic sets $V_1, V_2 \subsetneq V$ such that

$$V = V_1 \cup V_2$$
.

In other words, V is reducible if it can be written as a union of strict subsets which are algebraic. If V is not reducible, it is irreducible. Irreducibility has a completely algebraic characterisation.

Proposition 6. Let $V \subseteq \mathbb{A}^n$ be algebraic. Then V is irreducible if and only if $\mathbf{I}(V)$ is prime.

 \Longrightarrow . Suppose $\mathbf{I}(V)$ is not prime. Then there exist $f_1, f_2 \notin \mathbf{I}(V)$ such that $f_1 f_2 \in \mathbf{I}(V)$. Let $I_i = (\mathbf{I}(V), f_i)$ for i = 1, 2. Since $I_i \supseteq \mathbf{I}(V)$, $\mathbf{V}(I_i) \subseteq \mathbf{V}(\mathbf{I}(V)) = V$, where the inclusion is strict since there is $P \in V$ with $f_i(P) \neq 0$. To see V is reducible, we show $V = \mathbf{V}(I_1) \cup \mathbf{V}(I_2)$; we just need $V \subseteq \mathbf{V}(I_1) \cup \mathbf{V}(I_2)$. If $P \in V$, then g(P) = 0 for all $g \in \mathbf{I}(V)$, and also $(f_1 f_2)(P) = 0$ so $f_i(P) = 0$ for some i = 1, 2, and $P \in \mathbf{V}(I_i)$.

[\Leftarrow] Let V be reducible, so $V = V_1 \cup V_2$ for some algebraic sets $V_1, V_2 \subsetneq V$. Then $\mathbf{I}(V_i) \supseteq \mathbf{I}(V)$, so there exists $f_i \in \mathbf{I}(V_i) \setminus \mathbf{I}(V)$ for i = 1, 2. But $(f_1 f_2)(P) = 0$ for all $P \in V$ since if $P \in V_j$, $f_j(P) = 0$. Thus $f_1 f_2 \in \mathbf{I}(V)$ and $\mathbf{I}(V)$ is not prime.

Example 7. Let k be an infinite field. Proposition implies that \mathbb{A}^n is irreducible; indeed, $\mathbf{I}(\mathbb{A}^n) = \{0\}$, which is prime. We can also use Example 3 to see \mathbb{A}^1 is irreducible without appealing to Proposition . Any proper closed subset of \mathbb{A}^1 is finite, so \mathbb{A}^1 cannot be a union of proper closed subsets. An example of a non-irreducible algebraic set is $V = \mathbf{V}(XY) = \mathbf{V}(X) \cup \mathbf{V}(Y)$, the union of the X- and Y-axes. Algebraically, we can see this since $\mathbf{I}(V) = (XY)$ is not prime; $X, Y \notin (XY)$ but $XY \in (XY)$.

2.5. The Nullstellensatz. Our goal in this section is to modify the correspondence V and I to upgrade them to a bijection between algebraic sets and ideals. We need t

Definition 8. If I is an ideal of A, the radical of I is

$$\sqrt{I} := \{ f \in A : f^n \in I \text{ for some } n \in \mathbb{Z}_{>0} \}.$$

An ideal is called radical if $I = \sqrt{I}$.

Remark 9. Observe that $I \subseteq \sqrt{I}$.

Theorem 10 ([Rei88, §3.8]). Let k be an infinite field, and $B = k[a_1, \ldots, a_n]$ a finitely generated k-algebra. Then if B is a field, then B is algebraic over k.

Theorem 11 (Hilbert's Nullstellensatz; [Rei88, §3.10]). Let k be an algebraically closed field. Then:

- (i) Every maximal ideal of $A = k[X_1, \dots, X_n]$ is of the form $\mathfrak{m}_P = (X_1 a_1, \dots, X_n a_n)$ for some $P = (a_1, \ldots, a_n) \in \mathbb{A}^n$.
- (ii) If I is a proper ideal of A, then $\mathbf{V}(I) \neq \emptyset$.
- (iii) For any ideal I, $\mathbf{I}(\mathbf{V}(I)) = \sqrt{I}$.

Proof. (i) Let $\mathfrak{m} \subseteq A$ be a maximal ideal. Denote $K := k[X_1, \ldots, X_n]/\mathfrak{m}$, and let φ be the composition of the natural inclusion and quotient maps:

$$\varphi: k \stackrel{\iota}{\hookrightarrow} k[X_1, \dots, X_n] \stackrel{\pi}{\twoheadrightarrow} K.$$

Since K is a field and also finitely generated as a k-algebra by $\pi(X_1), \ldots, \pi(X_n)$, Theorem 10 implies K is algebraic over k. Then φ is an algebraic field extension; since k is algebraically closed, φ is an isomorphism. For each i, let $a_i = (\varphi^{-1} \circ \pi)(X_i)$, and set $P = (a_1, \ldots, a_n)$; then $\pi(X_i - a_i) = 0$ and $\mathfrak{m}_P = (X_1 - a_1, \dots, X_n - a_n) \subseteq \ker \pi = \mathfrak{m}$. But \mathfrak{m}_P is the kernel of the evaluation at P map $k[X_1, \ldots, X_n] \to k$, which is an isomorphism. Therefore \mathfrak{m}_P is maximal and $\mathfrak{m}_P = \mathfrak{m}$.

- (ii) A proper ideal ideal is contained in a maximal ideal, so $I \subseteq \mathfrak{m}_P$ for some $P \in \mathbb{A}^n$. Then $\mathbf{V}(I) \supset \mathbf{V}(\mathfrak{m}_P) = \{P\} \text{ so } \mathbf{V}(I) \neq \emptyset.$
- (iii) Let I be any ideal in $A = k[X_1, \dots, X_n]$ and let $f \in A$ be arbitary. We introduce a new variable Y and define the new ideal

$$\tilde{I} := (I, fY - 1) \subseteq k[X_1, \dots, X_n, Y].$$

Intuitively, $\mathbf{V}(\tilde{I}) \subseteq \mathbb{A}^{n+1}$ is the set of points $P \in \mathbf{V}(I)$ with $f(P) \neq 0$. Specifically, if $Q = (a_1, \ldots, a_n, b) \in \mathbf{V}(\tilde{I})$, we have $g(a_1, \ldots, a_n) = 0$ for all $g \in \mathbf{V}(I)$, and $f(a_1, \ldots, a_n) \cdot b = 1$, i.e., $f(a_1,\ldots,a_n)\neq 0$. Now make the assumption that $f\in \mathbf{I}(\mathbf{V}(I))$ so that f(P)=0 for all $P \in \mathbf{V}(I)$; our previous discussion implies $\mathbf{V}(\tilde{I}) = \emptyset$. Then by (ii), $\tilde{I} = A$. In particular, $1 \in \tilde{I}$, so there exist $f_i \in I$ and $g_0, g_i \in k[X_1, \dots, X_n, Y]$ such that

$$1 = \sum_{i} g_i f_i + g_0 (fY - 1)$$

as a polynomial in $k[X_1,\ldots,X_n,Y]$. We can evaluate the above expression at $Y=\frac{1}{f}$ to see

$$1 = \sum g_i(X_1, \dots, X_n, 1/f) f_i(X_1, \dots, X_n).$$

Then there is some $N \in \mathbb{Z}_{>0}$ such

$$f^{N} = \sum f^{N} g_{i}(X_{1}, \dots, X_{n}, 1/f) f_{i}(X_{1}, \dots, X_{n})$$

is in $k[X_1, ..., X_n]$, and in particular, in I. So $f \in \sqrt{I}$, proving $\sqrt{I} \supseteq \mathbf{I}(\mathbf{V}(I))$. If $f \in \sqrt{I}$, $f^N \in I \subseteq \mathbf{I}(\mathbf{V}(I))$ for some $N \in \mathbb{Z}_{\geq 0}$. But then for any $P \in \mathbf{V}(I)$, we must have f(P) = 0, so $f \in \mathbf{I}(\mathbf{V}(I))$.

Corollary 12. The correspondences V and I

$$\{ideals\ I\subseteq A\} \xleftarrow{\mathbf{V},\mathbf{I}} \{subsets\ V\subseteq \mathbb{A}^n\}$$

induce bijections:

$$\begin{array}{cccc} \{radical\ ideals\} & \longleftrightarrow & \{algebraic\ subsets\} \\ & & \cup | & & \cup | \\ \{prime\ ideals\} & \longleftrightarrow & \{irreducible\ subsets\} \\ & & \cup | & & \cup | \\ \{maximal\ ideals\} & \longleftrightarrow & \{points\} \end{array}$$

3. Affine Toric Varieties

Affine toric varieties are a class of algebraic varieties which are determined by a *cone* in a vector space. The interplay between the variety and its cone leads to a rich theory combining the algebraic geometry of the variety and the convex geometry of the cone. Moreover, computations with the cone can often be done explicitly, which means toric varieties are useful to study as examples.

The most succinct definition of a toric variety X is the following: X is a normal variety with an algebraic torus T as a dense open subset, and T acts on X by an action which extends the natural action of T on itself. However, this description doesn't indicate the relationship with convex geometry. In this chapter, we will define and study toric varieties; we will also see how the GIT quotient of an affine space by a torus has the structure of an affine toric variety. We start by discussing the prerequisite convex geometry.

3.1. Convex cones. Let N be a lattice, i.e., a free abelian group of finite rank n. Let $M = \operatorname{Hom}(N, \mathbb{Z})$ denote the dual lattice, with dual pairing $\langle \cdot, \cdot \rangle : M \times N \to \mathbb{Z}$. We can consider consider N and M as a subsets of the n-dimensional vector spaces $N_{\mathbb{R}} = N \otimes_{\mathbb{Z}} \mathbb{R}$ and $M_{\mathbb{R}} = M \otimes_{\mathbb{Z}} \mathbb{R}$, respectively. Note that $N_{\mathbb{R}}$ and $M_{\mathbb{R}}$ are dual vector spaces, and we retain the notation $\langle \cdot, \cdot \rangle$ for the dual pairing $M_{\mathbb{R}} \times N_{\mathbb{R}} \to \mathbb{R}$.

A subset $\sigma \subseteq N_{\mathbb{R}}$ is a *cone* if it is closed under nonnegative scalar multiplication, i.e., $\lambda x \in \sigma$ for all $x \in \sigma$ and all $\lambda \in \mathbb{R}_{\geq 0}$. A set $\sigma \subseteq N_{\mathbb{R}}$ is *convex* if for any two points in σ , the line segment joining them is contained in σ , i.e., $x, y \in \sigma$ implies $\lambda x + (1 - \lambda)y \in \sigma$ for all $\lambda \in [0, 1]$. Since cones are closed under positive scalar multiplication by assumption, a cone C is convex if and only if it is closed under addition.

Example 13. The union of rays

$$\sigma_1 = \{(x, x/\sqrt{3}) \in \mathbb{R}^2 : x \in \mathbb{R}_{>0}\} \cup \{(x, \sqrt{3}x) \in \mathbb{R}^2 : x \in \mathbb{R}_{>0}\}$$

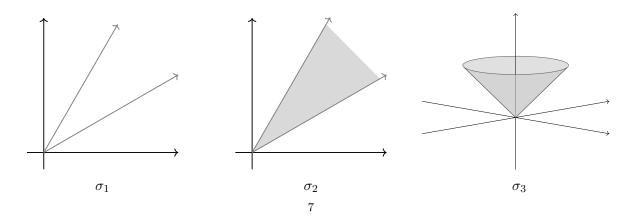
is cone but not convex. We can "fill in" σ_1 to get the convex cone

$$\sigma_2 = \{(x, y) \in \mathbb{R}^2 : x \ge 0, x/\sqrt{3} \le y \le \sqrt{3} x\}.$$

The set

$$\sigma_3 = \{(x, r) \in \mathbb{R}^2 \times \mathbb{R} : ||x|| \le r\}$$

is a convex cone in \mathbb{R}^3 .



Definition 14. Let σ be a cone. The dual cone σ^{\vee} is

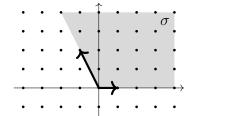
$$\sigma^{\vee} = \{ u \in M_{\mathbb{R}} : \langle u, v \rangle \geq 0 \text{ for all } v \in \sigma \}.$$

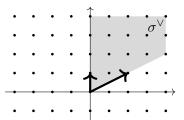
Example 15. In the following examples, we let $N = \mathbb{Z}^n \subseteq \mathbb{R}^n \cong N_{\mathbb{R}}$, with standard basis e_1, \ldots, e_n . Let e_1^*, \ldots, e_n^* be the dual basis for M.

- (1) Let $\sigma = \operatorname{span}_{\mathbb{R}_{\geq 0}} \{e_1, \dots, e_n\}$, the positive orthant. Observe that a functional $\sum_{i=1}^n a_i e_i^*$ is in the dual cone if and only $a_i \geq 0$ for all i. Then $\sigma^{\vee} = \operatorname{span}_{\geq 0} \{e_1^*, \dots, e_n^*\}$.
- (2) Let n = 2 and let $\sigma = \operatorname{span}_{\mathbb{R} \geq 0} \{e_1, -e_1 + 2e_2\}$. If $u \in M_{\mathbb{R}}$, checking $\langle u, v \rangle \geq 0$ for all $v \in \sigma$ is equivalent to checking for the generators $v = e_1$ and $v = -e_1 + 2e_2$. So then $u = ae_1^* + be_2^* \in M_{\mathbb{R}}$ is in σ^{\vee} exactly when the following two inequalities hold:

$$\langle u, e_1 \rangle = a \ge 0, \quad \langle u, -e_1 + 2e_2 \rangle = -a + 2b \ge 0.$$

Below we have a sketch of σ and σ^{\vee} :





Then we can see that $\sigma^{\vee} = \operatorname{span}_{\mathbb{R}_{\geq 0}} \{ 2e_1 + e_2, e_2 \}.$

The following theorem is a fundamental fact which relates a cone to its dual:

Theorem 16 ([Ful93, §1.2]). Let σ be a closed convex cone in $N_{\mathbb{R}}$. If $v_0 \notin \sigma$, then there exists $u_0 \in \sigma^{\vee}$ such that $\langle u_0, v_0 \rangle < 0$.

Despite its importance in the theory of toric varieties, most references on toric varieties such as [Ful93] and [CLS11] do not prove it. We give a proof here for completeness. We begin with a lemma from analysis.

Lemma 17. Let A and B be disjoint closed subsets of a finite-dimensional normed vector space $(V, \|\cdot\|)$, and assume A is compact. Then there exist $a_0 \in A$ and $b_0 \in B$ which minimise the distance $\|a - b\|$ over all $a \in A$ and $b \in B$.

Proof. Take arbitrary $a \in A$ and $b \in B$ and set $r_1 = \|a - b\| > 0$. Since A is compact, there exists $r_2 > 0$ such that $A \subseteq \overline{B_{r_2}(a)}$. Let $S = B \cap \overline{B_{r_1+r_2}(a)}$, which is nonempty since $b \in S$. Since the distance function is continuous and $A \times S$ is compact, there exist $(a_0, b_0) \in A \times S$ minimising the distance $\|a_0 - b_0\|$ for all pairs of points in $A \times S$. We claim that this is in fact the minimum for all pairs of points in $A \times B$; suppose to the contrary that there is $(a', b') \in A \times B$ with $\|a' - b'\| < \|a_0 - b_0\|$. In particular, since $\|a_0 - b_0\| \le r_1$, $\|a' - b'\| < r_1$ and so $\|a - b'\| \le \|a - a'\| + \|a' - b'\| < r_2 + r_1$. This implies $b' \in S$, contradicting that $\|a_0 - b_0\|$ attained the minimum distance for pairs in $A \times S$.

Theorem 18 (Hyperplane Separation Theorem; [BV04, §2.5.1]). Let A and B be nonempty disjoint closed convex sets in a Euclidean vector space $(V, (\cdot, \cdot))$, and assume A is compact. Then there exists $v \in V$ and $\lambda \in \mathbb{R}$ such that for all $a \in A$, $(v, a) \leq \lambda$, and for all $b \in B$, $(v, b) \geq \lambda$. In other words, there is an affine hyperplane such that A is contained in the negative halfspace and B is contained in the positive halfspace.

Proof. Lemma 17 yields $a_0 \in A$ and $b_0 \in B$ minimising the distance between points in A and points in B. Define

$$v := b_0 - a_0, \qquad \lambda := \frac{\|b_0\|^2 - \|a_0\|^2}{2} = \frac{1}{2}(b_0 - a_0, b_0 + a_0).$$

The set of $x \in V$ satisfying $(v, x) = \lambda$ is the hyperplane orthogonal to the line segment joining a_0 and b_0 , and passing through its midpoint. Let us prove that $(v, b) \ge \lambda$ for all $b \in B$ (a similar argument shows $(v, a) \le \lambda$ for all $a \in A$). Assume for a contradiction that there exists $u \in B$ with $(v, u) < \lambda$. Then,

$$(v,u) - \frac{1}{2}(v,b_0 + a_0) < 0 \implies (v,u - b_0 + \frac{1}{2}(b_0 - a_0)) < 0 \implies (v,u - b_0) + \frac{1}{2}||v||^2 < 0.$$

Thus $(v, u - b_0) < 0$. Using the Leibniz rule for differentiating inner products, we see

$$\frac{d}{dt} \|v + t(u - b_0)\|^2 \bigg|_{t=0} = 2(v + t(u - b_0), u - b_0)|_{t=0} = 2(v, u - b_0) < 0,$$

so for small t > 0, we have

$$||v + t(u - b_0)|| = ||b_0 + t(u - b_0) - a_0|| < ||v|| = ||b_0 - a_0||.$$

But B is convex and $b_0, u \in B$, so $(b_0 + t(u - b_0)) \in B$ when t is sufficiently small. This contradicts the minimality of $||b_0 - a_0||$.

Proof of Theorem 16 ([BV04, Example 2.20]). Fix a basis e_1, \ldots, e_n for $N_{\mathbb{R}}$ and endow $N_{\mathbb{R}}$ with the Euclidean inner product which makes the basis orthonormal (i.e., $(e_i, e_j) = \delta_{ij}$). Since σ is closed and $v_0 \notin \sigma$, there exists $\varepsilon > 0$ such that $\overline{B_{\varepsilon}(v_0)}$ does not intersect σ . By Theorem 18, there exist $v \in N_{\mathbb{R}}$ and $\lambda \in \mathbb{R}$ such that

$$(v, x) \ge \lambda$$
 and $(v, y) \le \lambda$

for all $x \in \sigma$ and all $y \in \overline{B_{\varepsilon}(v_0)}$. Since $x = 0 \in \sigma$, $\lambda \leq 0$. In fact, we claim that $(v, x) \geq 0$ for all $x \in \sigma$ and $(v, v_0) < 0$; this completes the proof as then $u_0 := (x \mapsto (v, x))$ is a linear form in σ^{\vee} with $\langle u_0, v_0 \rangle < 0$. Suppose there exists $x \in \sigma$ with $(v, x) = \lambda_0 < 0$. Then for any $s \in \mathbb{R}_{\geq 0}$, $sx \in \sigma$ and $(v, sx) = s\lambda_0$, contradicting that $\{(v, x) : x \in \sigma\}$ is bounded from below. Assume for a contradiction $(v, v_0) = 0$. We have $y = v_0 + \frac{\varepsilon v}{\|v\|} \in \overline{B_{\varepsilon}(v_0)}$ and

$$0 \ge \lambda \ge (v, y) = (v, v_0 + \frac{\varepsilon v}{\|v\|}) = \varepsilon \|v\| > 0,$$

a contradiction.

Corollary 19. Let σ be a closed cone in $N_{\mathbb{R}}$. Then $(\sigma^{\vee})^{\vee} = \sigma$.

Proof. If $v \in \sigma$, $\langle u, v \rangle \geq 0$ for all $u \in \sigma^{\vee}$, so $v \in (\sigma^{\vee})^{\vee}$. If $v \notin \sigma$, Theorem 16 implies there exists $u \in \sigma^{\vee}$ with $\langle u, v \rangle < 0$ and hence $v \notin (\sigma^{\vee})^{\vee}$.

3.2. **Polyhedral cones.** In the study of toric varieties, we are interested in the following class of closed convex cones:

Definition 20. A subset $\sigma \subseteq N_{\mathbb{R}}$ is a convex polyhedral cone if

$$\sigma = \operatorname{span}_{\mathbb{R}_{>0}} \{ v_1, \dots, v_s \}$$

for a (finite) set of generators $v_1, \ldots, v_s \in N_{\mathbb{R}}$.

It follows from the definition that convex polyhedral cones are indeed convex cones. Every example of a cone we have seen so far has been polyhedral, except for $\sigma_3 = \{(x, r) \in \mathbb{R}^2 \times \mathbb{R} : \|x\| \leq r\}$ in Example 13. As given, a more apt name for this definition would be "finitely-generated cone"; the name polyhedral is justified by the fact that a cone satisfies Definition 20 if and only if it is a finite intersection of closed halfspaces with 0 on their boundary (i.e., is polyhedral)—see [DLHK13, §1.3] for a proof.

Fulton [Ful93, §1.2] states and proves many important consequences of Theorem 16 for convex polyhedral cones. We explain a few of these now.

Any $u \in M_{\mathbb{R}}$ defines a hyperplane, denoted u^{\perp} , and corresponding nonnegative halfspace u^{\vee} . These are defined as

$$u^{\perp} := \{ v \in N_{\mathbb{R}} : \langle u, v \rangle = 0 \}, \qquad u^{\vee} := \{ v \in N_{\mathbb{R}} : \langle u, v \rangle \ge 0 \}.$$

A face τ of σ is the intersection of σ with a hyperplane which is nonnegative on σ :

$$\tau = \sigma \cap u^{\perp} = \{ v \in \sigma : \langle u, v \rangle = 0 \}, \quad \text{for some } u \in \sigma^{\vee}.$$

A facet is a codimension one face. Any proper face is the intersection of all facets containing it. When σ spans $N_{\mathbb{R}}$ and τ is a facet of σ , there exists $u \in \sigma^{\vee}$, which is unique up to multiplication by a positive scalar, such that

$$\tau = \sigma \cap u^{\perp}$$
.

We denote such a vector by u_{τ} , which gives the equation for the hyperplane spanned by τ . The face $\sigma \cap u^{\perp}$ is generated by the vectors v_i in the generating set for σ such that $\langle u, v_i \rangle = 0$. If σ spans $N_{\mathbb{R}}$, the dual cone is generated by $\{u_{\tau} : \tau \text{ is a facet }\}$. This implies the dual of a convex polyhedral cone is also a convex polyhedral cone (this result is true without assuming σ spans $N_{\mathbb{R}}$). Example 21 below gives an example of computing facets and dual cone generators.

Example 21. Let $N = \mathbb{Z}^3$ and let $\sigma = \operatorname{span}_{\mathbb{R}_{\geq 0}} \{e_1, e_2, e_1 + e_3, e_2 + e_3\} \subseteq N_{\mathbb{R}} \cong \mathbb{R}^3$. Observe that

$$u_{\tau_1} = e_1^*, \qquad u_{\tau_2} = e_2^*, \qquad u_{\tau_3} = e_3^*, \qquad u_{\tau_4} = e_1^* + e_2^* - e_3^*$$

are all nonnegative on σ and hence lie in σ^{\vee} . For each u_{τ_i} , there is the corresponding facet $\tau_i = \sigma \cap u_{\tau_i}^{\perp}$.

These are:

$$\tau_1 = \operatorname{span}_{\mathbb{R}_{\geq 0}} \{ e_2, e_2 + e_3 \}, \qquad \tau_2 = \operatorname{span}_{\mathbb{R}_{\geq 0}} \{ e_1, e_1 + e_3 \},$$

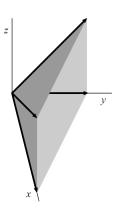
$$\tau_3 = \operatorname{span}_{\mathbb{R}_{\geq 0}} \{ e_1, e_2 \}, \qquad \tau_4 = \operatorname{span}_{\mathbb{R}_{\geq 0}} \{ e_1 + e_3, e_2 + e_3 \}.$$

The other faces in σ are the rays

$$\tau_1 \cap \tau_3 = \operatorname{span}_{\mathbb{R}_{\geq 0}} \{e_2\}, \qquad \qquad \tau_1 \cap \tau_4 = \operatorname{span}_{\mathbb{R}_{\geq 0}} \{e_2 + e_3\},
\tau_2 \cap \tau_3 = \operatorname{span}_{\mathbb{R}_{\geq 0}} \{e_1\}, \qquad \qquad \tau_2 \cap \tau_4 = \operatorname{span}_{\mathbb{R}_{\geq 0}} \{e_1 + e_3\},$$

and the origin $\bigcap_{i=1}^{4} \tau_i = \{0\}$. The dual cone is

$$\sigma^{\vee} = \operatorname{span}_{\mathbb{R}_{>0}} \{ e_1^*, e_2^*, e_3^*, e_1^* + e_2^* - e_3^* \}.$$



A diagram of σ [CLS11, Figure 2]

3.3. The semigroup of a cone. Recall that a monoid is a group where elements do not necessarily have inverses; specifically, a monoid is a set with an associative binary operation with identity. In the study of toric varieties, we construct a monoid S_{σ} from a cone σ . In toric varieties literature, it is typical to refer to S_{σ} as a semigroup (a set with an assoicative binary operation) even though calling it a monoid would be more precise. We follow the convention of calling S_{σ} a semigroup; let us now describe the construction.

A convex polyhedral cone $\sigma \subseteq N_{\mathbb{R}}$ is called *rational* it can be generated by elements in the lattice N. When σ is rational, σ^{\vee} is also rational. Given a rational convex polyhedral cone σ , the semigroup of σ is

$$S_{\sigma} = \sigma^{\vee} \cap M.$$

Theorem 22 (Gordan's lemma, [Ful93, §1.2]). If σ is a rational convex polyhedral cone, then $S_{\sigma} = \sigma^{\vee} \cap M$ is a finitely generated semigroup.

Proof. Let $u_1, \ldots, u_s \in \sigma^{\vee} \cap M$ generate σ^{\vee} as a cone. Define

$$K = \left\{ \sum t_i u_i : 0 \le t_i \le 1 \right\}.$$

Since K is compact and M is discrete, the intersection $K \cap M$ is finite, and we claim is a generating set for S_{σ} . Note that $u_1, \ldots, u_s \in K \cap M$. Suppose that $u \in S_{\sigma}$. Then $u = \sum r_i u_i$ for some $r_i \in \mathbb{R}_{\geq 0}$ since the u_i generate σ^{\vee} . Write each r_i as $m_i + t_i$ for $m_i \in \mathbb{Z}_{\geq 0}$ and $0 \leq t_i \leq 1$, so $u = \sum m_i u_i + \sum t_i u_i$. Clearly $\sum t_i u_i \in K$. Also, $\sum t_i u_i = u - \sum m_i u_i \in M$ since $u, \sum m_i u_i \in M$ and M is a group. Then $\sum t_i u_i \in K \cap M$, and $u = \sum m_i u_i + \sum t_i u_i$ shows $u \in \operatorname{span}_{\mathbb{Z}_{\geq 0}} K \cap M$.

Proposition 23 ([Ful93, §1.2, Proposition 3]). For a convex polyhedral cone σ , the following conditions are equivalent:

- (1) $\sigma \cap (-\sigma) = \{0\};$
- (2) σ contains no nonzero linear subspace;
- (3) there is $u \in \sigma^{\vee}$ with $\sigma \cap u^{\perp} = \{0\}$;
- (4) σ^{\vee} spans $M_{\mathbb{R}}$.

A cone is called *strongly convex* if it satisfies the conditions of Proposition 23. In the study of toric varieties, one works with strongly convex cones. This ensures the dual cone σ^{\vee} spans $M_{\mathbb{R}}$ so that the semigroup $S_{\sigma} = \sigma^{\vee} \cap M$ is not "too small". In particular, we will see later that the strongly convex assumption is needed to prove that the toric variety defined by a cone indeed has a torus as a dense open subset.

Moving forward, we will say " σ is a cone in N" to mean σ is a strongly convex rational polyhedral cone in $N_{\mathbb{R}}$.

3.4. The semigroup algebra and affine toric varieties. Given a cone σ , we have seen how to construct a semigroup $S_{\sigma} = \sigma^{\vee} \cap M$. There is a corresponding semigroup algebra $\mathbb{C}[S_{\sigma}]$, which is a finitely generated commutative \mathbb{C} -algebra.

Definition 24. Let σ be a rational cone in N. We define the affine toric variety corresponding to σ to be

$$U_{\sigma} := \operatorname{Spec}(\mathbb{C}[S_{\sigma}]).$$

Let us explain the details of the construction. Given the semigroup $S_{\sigma} = \sigma^{\vee} \cap M$, the semigroup algebra $\mathbb{C}[S_{\sigma}]$ is defined as having a basis of formal symbols

$$\{\chi^u: u \in S_\sigma\},\$$

with multiplication determined by addition in S_{σ} :

$$\chi^u \cdot \chi^{u'} = \chi^{u+u'}.$$

Note the multiplication is commutative since S_{σ} is. We have the unit $\chi^{0} \in \mathbb{C}[S_{\sigma}]$ corresponding to the unit $0 \in S_{\sigma}$. By Theorem 22, S_{σ} has a finite generating set $\{u_{i}\}$. Then $\{\chi^{u_{i}}\}$ generate $\mathbb{C}[S_{\sigma}]$, and $\mathbb{C}[S_{\sigma}]$ is a finitely generated \mathbb{C} -algebra.

We can think of $\mathbb{C}[S_{\sigma}]$ as a subalgebra of the ring of Laurent polynomials in the following way: when $\sigma = \{0\}$, the dual cone is all of $M_{\mathbb{R}}$, so $S_{\{0\}} = M$; if e_1, \ldots, e_n is a basis for N and e_1^*, \ldots, e_n^* is the dual basis, denote

$$X_i = \chi^{e_i^*} \in \mathbb{C}[M].$$

As a semigroup, M is generated by $\pm e_1^*, \ldots, \pm e_n^*$, so

$$\mathbb{C}[S_{\{0\}}] = \mathbb{C}[M] = \mathbb{C}[X_1^{\pm}, \dots, X_n^{\pm}].$$

For any cone σ , $\{0\} \subseteq \sigma$, which implies $\{0\}^{\vee} \supseteq \sigma^{\vee}$ and $S_{\{0\}} = \{0\}^{\vee} \cap M \supseteq S_{\sigma} = \sigma^{\vee} \cap M$. Then we can think of $\mathbb{C}[S_{\sigma}]$ as a subalgebra of the Laurent polynomials.

As $\mathbb{C}[S_{\sigma}]$ is a finitely generated \mathbb{C} -algebra, $U_{\sigma} = \operatorname{Spec}(\mathbb{C}[S_{\sigma}])$ is a complex affine variety. For example, when $\sigma = \{0\}$ so that $\mathbb{C}[S_{\sigma}] = \mathbb{C}[X_1^{\pm}, \dots, X_n^{\pm}],$

$$U_{\{0\}} = \operatorname{Specm}(\mathbb{C}[X_1^{\pm}, \dots, X_n^{\pm}]) = (\mathbb{C}^{\times})^n.$$

Example 25. Let us see more examples arising from the cones we have seen previously.

(1) For $\sigma = \operatorname{span}_{\mathbb{R}_{>0}} \{e_1, \dots, e_n\},$

$$\sigma^{\vee} = \operatorname{span}_{\mathbb{R}_{>0}} \{ e_1^*, \dots, e_n^* \}.$$

Then vectors e_1^*, \ldots, e_n^* generate S_{σ} as a semigroup, so

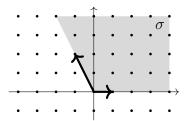
$$\mathbb{C}[S_{\sigma}] = \mathbb{C}[\chi^{e_1^*}, \dots, \chi^{e_n^*}] = \mathbb{C}[X_1, \dots, X_n],$$

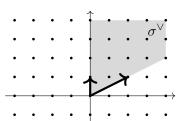
and

$$U_{\sigma} = \operatorname{Specm}(\mathbb{C}[X_1, \dots, X_n]) = \mathbb{C}^n.$$

(2) Recall that for $\sigma = \operatorname{span}_{\mathbb{R}_{\geq 0}} \{e_1, -e_1 + 2e_2\},$

$$\sigma^{\vee} = \operatorname{span}_{\mathbb{R}_{\geq 0}} \{ 2e_1^* + e_2^*, e_2^* \}.$$





Observe that while $2e_1^* + e_2^*$ and e_2^* generate σ^{\vee} as a cone, they do not generate S_{σ} as a semigroup. For example, $e_1^* + e_2^* \in S_{\sigma}$ but $e_1^* + e_2^* \notin \mathbb{Z}_{\geq 0} \cdot (2e_1^* + e_2^*) + \mathbb{Z}_{\geq 0} \cdot e_2^*$. However, $e_2^*, e_1^* + e_2^*, 2e_1^* + e_2^*$ do generate S_{σ} . Then

$$\mathbb{C}[S_{\sigma}] = \mathbb{C}[\chi^{e_2^*}, \chi^{e_1^* + e_2^*}, \chi^{2e_1^* + e_2^*}] = \mathbb{C}[X_2, X_1 X_2, X_1^2 X_2] = \mathbb{C}[U, V, W] / (UW - V^2)$$

(3) For $\sigma = \operatorname{span}_{\mathbb{R}_{\geq 0}} \{ e_1, e_2, e_1 + e_3, e_2 + e_3 \}$, we saw

$$\sigma^{\vee} = \operatorname{span}_{\mathbb{R}_{>0}} \{ e_1^*, e_2^*, e_3^*, e_1^* + e_2^* - e_3^* \}.$$

Then

$$\mathbb{C}[S_{\sigma}] = \mathbb{C}[\chi^{e_1^*}, \chi^{e_2^*}, \chi^{e_3^*}, \chi^{e_1^* + e_2^* - e_3^*}] = \mathbb{C}[X_1, X_2, X_3, X_1 X_2 X_3^{-1}]$$

$$= \mathbb{C}[X, Y, Z, W] / (XY - ZW)$$

3.5. $\mathbb{A}^n /\!\!/ T$ as a toric variety. In this section, we will study how the GIT quotient of a torus acting on affine space can be given the structure of a toric variety. We follow [Dol03, §12].

Let $T = \mathbb{G}_m^r$ act linearly on \mathbb{A}^n by

$$t \cdot (z_1, \dots, z_n) = (t^{\mathbf{a}_1} z_1, \dots, t^{\mathbf{a}_n} z_n),$$

where if $t = (t_1, \ldots, t_r)$ and $\mathbf{a}_j = (a_{1j}, \ldots, a_{rj}) \in \mathbb{Z}^r$,

$$t^{\mathbf{a}_j} = t_1^{a_{1j}} \cdots t^{a_{rj}}.$$

Note that any representation of T can be written this way, after diagonalising the action. A polynomial $F \in k[Z_1, \ldots, Z_n]$ is invariant if and only if it is a linear combination of monomials $Z^{\mathbf{m}}$ satisfying

$$A \cdot \mathbf{m} = 0.$$

Here $A = (a_{ij})$ is the $n \times r$ matrix of the exponents of the characters that T acts by. Let \mathcal{M} be the semigroup of vectors $\mathbf{m} \in \mathbb{Z}_{\geq 0}^n$ satisfying equation 1. Since these \mathbf{m} are precisely the exponents of invariant monomials for the action of T on \mathbb{A}^n , we have the isomorphism

$$k[Z_1,\ldots,Z_n]^T = k[Z^{\mathbf{m}}:A\cdot\mathbf{m}=0] \cong k[\mathcal{M}].$$

To give $\mathbb{A}^n/\!\!/ T$ the structure of a toric variety, we need to find a lattice N and a cone σ in $N_{\mathbb{R}}$ such that $\mathcal{M} \cong \sigma^{\vee} \cap M$. To this end, let $\mathbb{Z}^n \to \mathbb{Z}^r$ be the map given by the matrix A, and let $M = N^*$ be the kernel of this map. Then M is a free abelian group of rank $l = n - \operatorname{rank}(A)$. Let $(\mathbb{Z}^n)^* \to N = M^*$ be the map given by restriction of linear functions to M. Let e_1^*, \ldots, e_n^* be the dual basis of the standard basis e_1, \ldots, e_n for \mathbb{Z}^n , and let $\bar{e}_1^*, \ldots, \bar{e}_n^*$ be the image of these vectors in M^* . We define σ to be the convex cone in $N_{\mathbb{R}}$ generated by the vectors $\bar{e}_1^*, \ldots, \bar{e}_n^*$, i.e.,

$$\sigma = \mathbb{R}_{\geq 0} \cdot \bar{e}_1^* + \ldots + \mathbb{R}_{\geq 0} \cdot \bar{e}_n^*.$$

Lemma 26. We have that $\sigma^{\vee} \cap M = \mathcal{M}$, such that

$$k[Z_1,\ldots,Z_n]^T \cong k[\mathcal{M}] = k[\sigma^{\vee} \cap M].$$

Thus $\mathbb{A}^n/\!\!/ T$ is isomorphic to the affine toric variety defined by the cone σ .

Proof. Note that $\mathcal{M} = M \cap \mathbb{Z}_{\geq 0}^n$. Then

$$\mathcal{M} = \{ m \in M : \bar{e}_i^*(m) \ge 0 \text{ for all } i = 1, \dots, n \}$$
$$= \{ m \in M : n(m) \ge 0 \text{ for all } n \in \sigma \}$$
$$= \sigma^{\vee} \cap M.$$

3.6. Properties of toric varieties.

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