

GEONEUTRINO OSCILLATIONS APPROACH TO  
DISCRIMINATE DISTRIBUTIONS OF HEAT  
PRODUCING ELEMENTS IN THE EARTH'S  
MANTLE

DANIEL FELIPE FORERO SÁNCHEZ  
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ADVISOR: JUAN CARLOS SANABRIA PhD.

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Physics Department  
Faculty of Science  
Universidad de Los Andes  
Bogotá, Colombia  
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Dedicated to my little niece, Ana María,  
without whom this thesis would have been  
finished much, much earlier.

## **Abstract**

Neutrinos are still an intriguing matter in physics due to their odd properties, nevertheless there is a rising field on Neutrino Geoscience using their unique properties to study the Earth. This project shows the results of the simulations done in order to calculate the expected geoneutrino (electron antineutrinos from natural beta decay) signal from different mantle distributions of Heat Producing Elements and Bulk Silicate Earth models. The probability of detecting a geoneutrino is called survival probability. The average two-flavor survival probabilities and an exact approach to matter-oscillations are used. Overall, the two-flavor case shows a good fit to other studies on signal prediction from the mantle but underestimates the total flux from the Earth, while the exact-solution approach overestimates the mantle signal and gives total-flux results within the limits of the observed geoneutrino fluxes provided by KamLAND and Borexino detectors. In spite of that, further testing on the software written for the exact-solution are to be performed to evaluate the implementation accuracy. So far, geoneutrinos have proven to be an useful tool to understand the chemical composition of the planet. However, the resolution of the detectors is a significant limitation; improvements in this matter are expected in the years to come.

## Resumen

Los neutrinos son aún un tema enigmático en física debido a sus extrañas propiedades. No obstante, ha surgido un campo en Geociencia de Neutrinos, el cual usa estas propiedades únicas para estudiar la Tierra. En este proyecto se muestran los resultados de las simulaciones hechas para calcular la señal esperada de geoneutrinos (antineutrinos electrónicos provenientes de decaimiento beta natural) para distintas distribuciones de Elementos Productores de Calor (HPE) en el manto, así como diferentes modelos de Composición del Manto Primitivo (BSE). Se denomina probabilidad de supervivencia a la probabilidad de detectar un geoneutrino; para calcularla se utilizó la aproximación de dos sabores y una solución exacta para la oscilación en la materia. En general, el primer caso se ajusta a las predicciones de otros estudios para la señal del manto pero los resultados para el flujo de toda la Tierra son menores de lo esperado; mientras el segundo caso resulta en valores altos para la señal del manto y otorga, para el flujo total, resultados dentro de los límites dados por las observaciones de los detectores KamLAND y Borexino. A pesar de esto, son necesarias más pruebas sobre el software escrito para la solución exacta que tendrá como objetivo evaluar su precisión. Los geoneutrinos muestran ser de utilidad para el estudio de la composición química de la Tierra, sin embargo la resolución de los detectores es una limitante significativa; se esperan avances en este ámbito durante los próximos años.

# Acknowledgements

I would like to acknowledge, in the first place, my parents and family, whose hard work and teaching have allowed me to get here.

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# 1

## Introduction

*“I have done something very bad today by proposing a particle that cannot be detected”*

– Wolfgang Pauli

Fortunately, Pauli was a bit wrong in this one, not because of the prediction of the particle, but for the fact that we were, eventually, able to detect these particles called neutrinos. They have been proven to be some of the most bizarre mysteries of our universe due to their unique physical behavior and properties. Their low interaction and oscillation phenomena makes them, not only difficult to see, but also useful in some areas of study. The present work focus on how can we exploit these characteristics to get useful information of some physically unreachable places, for example, the Earth’s interior.

In this work, I will be simulating the geoneutrino flux from an azimuthally symmetrical Earth, taking into account the PREM density model [Dziewonski1981]. This simple Earth’s structure model plus the usage of an exact solution for the antineutrino oscillation in the matter [Ohlsson2001] make a “simple enough” model of the problem that will allow to draw some conclusions on the Heat Producing Element distribution in the mantle and evaluate the usefulness of the complete, exact treatment of neutrino oscillations in this context.

The second chapter briefly describes the relevance of this study. Then, the distribution of radioactive elements in the Earth is discussed, first,

through an overview of the Goldschmidt classification of chemical elements, and then, showing the abundances of the relevant isotopes in each of the three main layers of the planet.

In chapter 4 the phenomena of radioactivity is explained, as well as the different types of radioactive decay. The relevant decay chains are shown, along with the geoneutrino spectra for the  $\beta^-$  decay.

Chapter 5 is an overview of the neutrino physics. This includes a short description of the oscillation phenomena, as well as introducing two different methods of calculating the survival probability for an electron antineutrino: the exact, three-flavor matter oscillation used in this study and the two-flavor matter oscillation used in some previous studies.

Then, in chapter 7, the details of the modeling, including some aspects of the programs written, are given. This is considered a crucial part of this work as it will be the base of any further study to be done.

The chapter 8, presents the results of the simulations, while in chapter 9 they are discussed. Finally, in chapter 10 some conclusions on this project are given, as well as the further directions on the field.

## 2

# Relevance of the Study

The Earth is a complex system, in which we are rarely able to directly probe some characteristics, such as the composition of the deep Earth. In this aspect, one usually uses the available information in the surface, such as the composition of xenoliths or geophysical surveys like gravity or seismics, which are directly related to rheology and other physical characteristics of the rock, from which some relations to the composition can be withdrawn. Inspired by the latter, we can say that the development of indirect methods to probe the deep Earth is important, given the impossibility of directly doing it.

Neutrino geoscience is a relatively new field in geophysics and some applications of it are the estimation of radiogenic heat flux (Urey ratio) and testing HPE distributions or BSE models, nevertheless, being a new field as it is, the full potential of neutrino geoscience is yet to be discovered. Nevertheless, this new field should be able complementary to usual deep Earth exploration methods like seismics and gravity since (anti)neutrinos are only affected by the electron density, which is directly related to the chemical composition; while the usual geophysical methods can probe characteristics that are an input to (anti)neutrino studies, in order to further improve our knowledge of the planet.

In order to develop reliable studies that involve (anti)neutrino oscillations through different variable densities, the appropriate computational tools must be developed. Thus, this study includes the development of UANdINO, the Uniandes ANtineutrINO Oscillation calculator. This is

a GSL (reference [**Galassi2017**]) based software dedicated to provide an exact calculation of transition and survival probabilities for an (anti)neutrino traveling through a varying density path with energy  $E_\nu$ . Along with it, other side programs were developed for modeling the Earth's structure.

# 3

## Distribution of Radioactive Elements

### 3.1 Distribution of Elements

A first, basic approach to understanding the distribution of elements in the Earth was given by Goldschmidt [**Goldschmidt1958**], who developed a classification system for the chemical elements. This classification is, in a nutshell, *lithophile* or oxygen-loving elements tend to remain in the uppermost part of the solid planet; *siderophile* or iron-loving elements, tend to be close to the nucleus and are not attracted to oxygen, unlike the lithophiles. The *chalcophile* elements are sulfur-loving and, thus, do not like the deep Earth. Finally, the *atmophile* elements are those who like to be in the atmosphere.

There are many radioactive isotopes in the Earth, which are, basically, atoms so heavy that they are unstable, and go through different processes to reach a more stable state. This will be the topic of chapter 4. Most of these heavy elements are classified as lithophiles. We are particularly interested in some isotopes that dominate the geoneutrino production (99% of production) [**Ludhova2013**], these are  $^{40}K$ ,  $^{235}U$ ,  $^{238}U$  and  $^{232}Th$ .

Within each of the groups given by Goldschmidt and briefly described here, there is other classification that discriminates elements based on their condensation temperature. The elements with a high value of this parameter

ter are called *refractory* while the ones with a lower one are called *volatile*. Let us then picture the scenario of the formation of the Earth. About 4500 *Gyr* ago, the solar system and the Earth were being formed, the latter through planetary accretion, this means, a hot, undifferentiated mass of molten rock that is slowly cooling down, the consequences of this are, first of all, density differentiation, that causes the heavier elements to accumulate near the center of mass, thus, forming the nucleus. It also causes the elements to condense, so the refractory ones, are quickly trapped into the Earth, making it possible to infer the initial abundances of these elements in the primitive Earth by looking at their abundances in different meteorites. On the other hand, volatile elements will not be trapped so easily or quickly, instead, there is a possibility that these elements have escaped the primitive Earth while it was hotter; the gases are extremely volatile, while there are other elements, like potassium (whose relevance will become obvious in the following sections) that are moderately volatile.

I have mentioned that the cooling down of the primitive Earth, lead to density differentiation in layers, causing the layered structure of the planet. The less-dense melt that ascended all the way up made up the crust, and is enriched in lithophile elements such as *U*, *Th*, *K*. Nevertheless, there is still a large amount of these elements in the mantle.

## 3.2 Relative Abundances

This section is devoted to discuss the different views on the distribution of the radioactive isotopes, in depth, quantitatively. There are two main discussions to be considered; first, the average composition of the Bulk Silicate Earth (BSE) and, second, the distribution of Heat Producing Elements (HPE) in the mantle.

### 3.2.1 Bulk Silicate Earth

In the first place, we shall define the BSE as the “average” Earth composition when excluding the nucleus, in other words, an average between mantle and crust. Three main ideas on the BSE composition have arisen through the years and each one of them relies on different, valid, argu-

ments.

The first model is the *geochemical*, that as the name suggests, is based in geochemical arguments to give values to the abundances and abundance ratios of elements in the planet. McDonough [McDonoughWilliamF;Sun1995] analyzed peridotite samples in order to infer the BSE average compositions. Reference [McDonoughWilliamF;Sun1995] reports values of  $A_{Th}^{BSE} = 79.5 \text{ ppb}$ ,  $A_K^{BSE} = 240 \text{ ppm}$  and  $A_U^{BSE} = 20.3 \text{ ppb}$ , while reference [Sramek2013] reports  $A_{Th}^{BSE} = 80 \pm 13 \text{ ppb}$ ,  $A_K^{BSE} = 280 \pm 60 \text{ ppm}$  and  $A_U^{BSE} = 20 \pm 4 \text{ ppb}$  for this BSE model, note that both references are in agreement.  $A_X^Y$  stands for the abundance of element  $X$  in reservoir  $Y$ .

The second model is the *geodynamical*. This model is based on the current measurements of the Earth's heat flow and assumes that the fraction of this heat due to radioactive decay is higher than the fraction due to secular cooling of the planet. In reference [Sramek2013], the reported values of the abundances are  $A_{Th}^{BSE} = 140 \pm 14 \text{ ppb}$ ,  $A_K^{BSE} = 350 \pm 35 \text{ ppm}$  and  $A_U^{BSE} = 35 \pm 4 \text{ ppb}$ . This model has particularly high values of  $A_X^Y$  due to the assumption that the majority of the flux is product of radioactivity.

The third and final model is the *cosmochemical*. It relies on the analysis of enstatite chondrites to infer the BSE composition, under the argument that this abundances specially the iron one, will easily explain the presence of the core, as explained in reference [Ludhova2013]. Reference [Sramek2013] reports the following values relevant to this project:  $A_{Th}^{BSE} = 43 \pm 4 \text{ ppb}$ ,  $A_K^{BSE} = 146 \pm 29 \text{ ppm}$  and  $A_U^{BSE} = 12 \pm 2 \text{ ppb}$ .

In this study, I will compare the results for geoneutrino measurements for the different BSE models presented above.

### 3.2.2 Crust

The relative abundance of HPE in the crust has been the object of various studies ([McLennan2001, Taylor1995, Rudnick2003, Wedepohl1995, Huang2013]) among which, for this project, I chose reference [Huang2013]. In this article, the authors develop a reference Earth model for the HPE, based on geochemical analysis performed on different rocks and following the CRUST 2.0 model for crustal thickness and properties. The values

given are  $A_U^C = 453.19^{+0.29}_{-0.25} \text{ ppb}$  and  $A_{Th}^C = 1940.64^{+1.56}_{-0.89} \text{ ppb}$ .

### 3.2.3 Mantle

The abundance of isotope  $X$  in the mantle,  $A_X^M$ , is calculated from the values reported above for  $A_X^{BSE}$  and  $A_X^C$  and the mass balance [**Sramek2013**]:

$$m_{BSE}A_X^{BSE} = m_C A_X^C + m_M A_X^M, \quad (3.1)$$

that is, the total mass of isotope  $X$  is the sum of the mass in the crust and the mantle. The mass of reservoir  $Y$ ,  $m_Y$ , is calculated through integration of the mass density of the Earth given by the PREM model in reference [**Dziewonski1981**], the details of the method will be given in chapter 7.

Additionally, the mantle structure is currently debated. Two of the most accepted models are the uniform mantle and the two-layer (EL-DL) mantle. The former consists in uniformly distributed HPE over the mantle, thus, the abundance in every point will be given by equation 3.1. The latter, in which EL and DL stand for enriched and depleted layer, respectively, consists in two layers of slightly different HPE abundances. The enriched layer is said to constitute the lowermost 10% in mass of the bulk mantle [**Sramek2013**]. The abundance in these two layers is also calculated using a relation similar to equation 3.1. The motivation for this is that the difference between the types of basalts (OIB, MORB, etc.) is thought to be caused by the differentiation of the mantle into two chemically distinct layers, an enriched layer and a depleted one, respect to lithophile elements.

# 4

## Radioactivity

### 4.1 Overview

Radioactivity is the phenomenon in which a parent isotope turns into a daughter isotope, with different characteristics, through the emission of a particle.

The history of radioactivity goes back to 1896, when H. Becquerel discovered that a uranium sample emitted some kind of penetrating radiation similar to the X rays (discovered earlier that year). In the following years, three different types of emissions were identified: alpha ( $\alpha$ ), beta ( $\beta$ ) and gamma ( $\gamma$ ). The nature of each of these emissions was identified through the years, concluding that  $\alpha$ -particles correspond to  ${}^4He$  nuclei,  $\beta^\pm$ -particles correspond to  $e^\pm$  (electrons or positrons) and  $\gamma$ -particles are nothing but high energy photons.

Rutherford discovered that radioactive phenomena was linked directly with the nucleus (size  $\sim 1 \text{ fm}$ ) of a given isotope, thus, it is an entirely quantum phenomenon.

The overall radioactive phenomena are described by a rather simple mathematical approach given its statistical behavior (which is a result, and evidence of how “quantum”it is). Given  $N$  parent isotopes in a sample at a given time  $t$  and assuming no more are added, the rate of decay ( $dN/dt$ )

is proportional to  $N$  [Krane1988]

$$\frac{dN}{dt} = -\lambda N \quad (4.1)$$

That, upon integration becomes

$$N(t) = N_0 \exp(-\lambda t) \quad (4.2)$$

Where  $N_0$  corresponds to the number of parent isotopes at time  $t = 0$  and  $\lambda$  is called the decay constant and is unique for every isotope.

This simple model has been key to the use of the radioactive isotopes to, for example, date rocks or organisms [Krane1988].

## 4.2 Types of radioactive decay

Let us now go deeper into the theory of the radioactivity, that is, briefly describe the different types of radioactive decays since not all of them produce antineutrinos, and these are the particles that concern this text.

### 4.2.1 Alpha decay

The theory of the alpha decay is rather simple. The  $\alpha$ -particles are confined in a finite potential well (the nucleus  $X$ ) and will, as expected, have a certain probability of tunneling through it. When this happens, the  $\alpha$ -particle will escape and leave a “new” (daughter) nucleus  $X'$  [Krane1988]. The process may be written as



Where  $A$  is the atomic mass number,  $Z$  is the atomic number and  $N$  is the number of neutrons.

This decay involves both strong (nuclear) interactions and electromagnetic interactions since the potential well is given by confinement due to strong interaction between nucleons and the barrier has a decaying-exponential side, given by the Coulomb potential.

### 4.2.2 Gamma decay

Gamma decay is similar to the common electromagnetic emission due to atomic transitions, in fact, it is produced when a metastable state of an isotope decays into a more stable one through the emission of high energy photons. It should be noted that, in this case, no change in  $A$  or  $N$  is produced. These metastable states are common daughter isotopes to  $\alpha$  and  $\beta$  decays.

Lifetimes for this kind of process is generally short, taking only fractions of a second to decay while some  $\alpha$ -decaying isotopes may have half-lives of the order of  $10^3$  yr.

### 4.2.3 Beta decay

This type of decay can be considered to be more complex than the other two, as it responds to more complicated underlying physics: the weak interaction. It consists in a set of semileptonic processes (that involve both leptons and hadrons) that will be described below.

- Positive Beta decay:

$$p \rightarrow n + e^+ + \nu_e \quad (4.4)$$

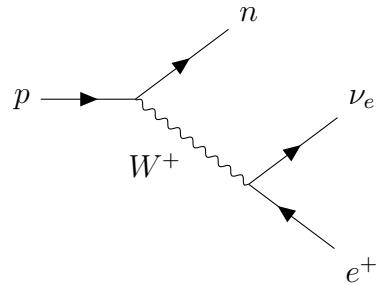


Figure 4.1: Feynman diagram for  $\beta^+$  process.

- Negative Beta decay:

$$n \rightarrow p + e^- + \bar{\nu}_e \quad (4.5)$$

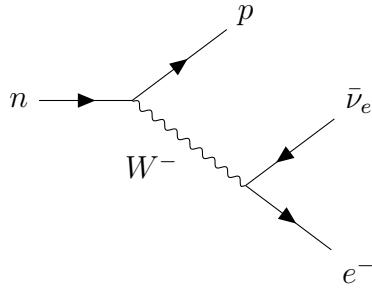


Figure 4.2: Feynman diagram for  $\beta^-$  process.

- **Electron capture:**

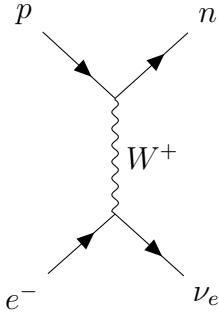


Figure 4.3: Feynman diagram for electron capture.

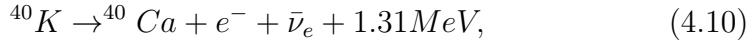
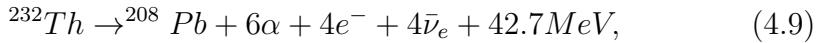
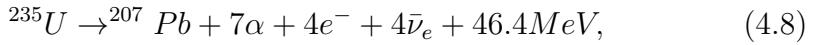
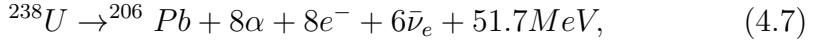
Note that in all these processes new particles are created in the nucleus, which is a consequence of the weak interaction that rules them.

The particles labeled with  $\nu_e$  are electron neutrinos and were proposed by Pauli in order to solve the conundrum concerning the apparently continuous energy spectra of  $\beta$ -decay which is against the core of quantum mechanics; the inclusion of these particles in the energy spectrum of the decay made it discrete, thus, giving a solution to the problem [Giunti2010].

For this project, we are strictly interested in the  $\beta^-$ -decay since it is the one that produces antineutrinos.

### 4.3 Decay Chains

Different isotopes in the Earth have decay chains that involve  $\beta^-$ -decay at some point, but there are a few ones that dominate the antineutrino production. The reader may have noticed that in chapter 3, I have said that the production of heat is dominated by  $^{238}U$ ,  $^{232}Th$  and  $^{40}K$ . Each isotope has a decay chain that includes some beta decays. These are summarized in the following equations.



Note that the processes shown in equations 4.10 and 4.11 have the same parent isotope, the former happens with a probability of 89.3% and the latter with the remaining 10.7%.

In equations 4.7 through 4.11, the particles  $\bar{\nu}_e$  are electron antineutrinos, also called geoneutrinos, in this context.

### 4.4 (Anti)Neutrino emission

As seen in the previous section, naturally produced antineutrinos from beta decay are called geoneutrinos. These are produced following a given spectrum [Enomoto2005], shown in figure 4.4. Figure 4.4 shows that the energy domain for these particles is relatively low, this means that, in the light of reference [Ohlsson2001], oscillations might not be of such importance because the transition and survival probabilities do not show a clear pattern in these energy scales. In fact they seem to oscillate with a really low period and amplitude around a certain value, so the oscillation

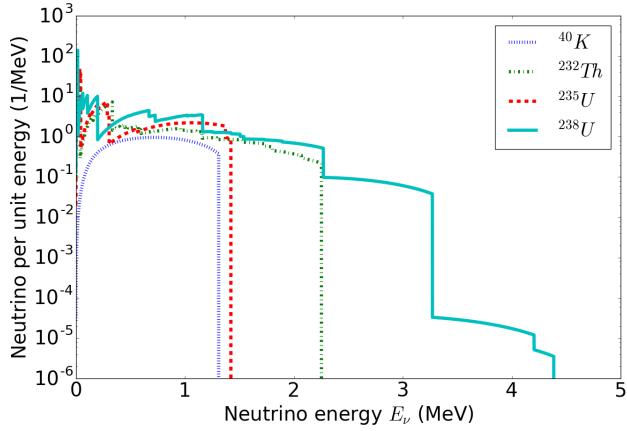


Figure 4.4: Geoneutrino energy spectrum from [Enomoto2005].

itself seems to have little effect on the average probability. But this effect and its influence is what this project aims to study. Nevertheless it is worth mentioning that resonances in the probabilities are present at higher energy scales, which may be useful in neutrino geoscience if one is using “extraterrestrial” neutrinos instead of geoneutrinos since these may have higher energies.

# 5

## Neutrino Physics

This chapter is only an overview of the special physics that surrounds these particles, for a thorough explanation you should see reference [**Giunti2010**] and for details on time evolution, reference [**Ohlsson2001**].

Neutrinos are neutral leptons that interact weakly and are always produced in a given flavor eigenstate  $|\nu_\alpha\rangle$  with  $\alpha = e, \mu, \tau$ . In equations 4.4 to 4.6, all neutrinos are labeled  $\nu_e$  that stands for electron neutrino, this is because they are all associated with the “ordinary” matter which is composed by electrons, not tauons ( $\tau$ ) nor muons ( $\mu$ ), which are other charged leptons, this is, electrically charged particles that lack color charge.

I just mentioned neutrinos are produced in flavor states, but these are not mass (energy) eigenstates and, since the Hamiltonian entirely controls the time evolution of a quantum system, the neutrinos will not evolve (travel) in these states but will rather oscillate between the real mass eigenstates which, as the name suggests, do have a definite (and unknown) mass, while flavor states are just a linear combination of them. This may be written as

$$|\nu_\alpha\rangle = \sum_{a=1}^3 U_{\alpha a}^* |\nu_a\rangle \quad (5.1)$$

Here, we have labeled  $|\nu_a\rangle$  the mass eigenstates, with  $a = 1, 2, 3$ .  $U_{\alpha a}^*$  is the matrix element of the transformation matrix  $U^*$  [**Ohlsson2001**].

The matrix  $U^*$  is the complex conjugate of matrix  $U$ , called Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix, that rules the mixing of the neu-

trinos and can be parametrized as shown in [**Ohlsson2001**]

$$U = \begin{bmatrix} C_2 C_3 & S_3 C_2 & S_2 \\ -S_3 C_1 - S_1 S_2 C_3 & C_1 C_3 - S_1 S_2 S_3 & S_1 C_2 \\ S_1 S_3 - S_2 C_1 C_3 & -S_1 C_3 - S_2 S_3 C_1 & C_1 C_2 \end{bmatrix} \quad (5.2)$$

Where  $S_i \equiv \sin(\theta_i)$  and  $C_i \equiv \cos(\theta_i)$ . Parameters  $\theta_i$  are the vacuum mixing angles. Here we have assumed there is no charge-parity (CP) phase, thus,  $U = U^*$ .

## 5.1 Time evolution

### 5.1.1 Three-flavor exact

According to the principles of quantum mechanics, the Hamiltonian of a system described by the ket  $|\psi\rangle$  defines its time evolution according to

$$|\psi(t)\rangle = \exp(-i\hat{H}(t-t_0))|\psi(t_0)\rangle \quad (5.3)$$

In the case of the neutrinos, we know that they do not travel in flavor state but in mass states, then, we can write

$$|\nu_a(t)\rangle = \exp(-i\hat{H}_m(t-t_0))|\nu_a(t_0)\rangle \quad (5.4)$$

In this base, the Hamiltonian will be diagonal

$$\hat{H}_{m,\text{unperturbed}} = \begin{bmatrix} E_1 & 0 & 0 \\ 0 & E_2 & 0 \\ 0 & 0 & E_3 \end{bmatrix} \quad (5.5)$$

Inside matter, the Hamiltonian of the system is perturbed in the following way

$$\hat{V}_f = \mathcal{A} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad (5.6)$$

Where  $\mathcal{A}$  depends on the density of the matter the neutrino is going through, and is defined as

$$\mathcal{A}(r) \approx \pm \sqrt{2} G_F \frac{\rho(r)}{m_N} \quad (5.7)$$

Here,  $G_F$  is the Fermi coupling constant,  $\rho(r)$  is the matter density and  $m_N$  is the nucleon mass.

The perturbation  $V_f$  ( $f$  stands for flavor base) only affects electron-flavored neutrinos because matter, as we see it, is electronic. Taking this into account, the perturbed Hamiltonian, in mass basis is

$$\hat{H}_m = \begin{bmatrix} E_1 & 0 & 0 \\ 0 & E_2 & 0 \\ 0 & 0 & E_3 \end{bmatrix} + U^{-1}V_fU \quad (5.8)$$

Introducing equation 5.8 into 5.4 we can get the time evolution operator  $\hat{U}_f$  as follows (reference [**Ohlsson2001**]).

Consider a  $N \times N$  matrix  $M$ , the exponential of the matrix is defined as  $\exp M = \sum_{n=0}^{\infty} \frac{1}{n!} M^n$ . Now, recall Cayley-Hamilton's theorem: given a matrix  $M$ , the characteristic polynomial is  $p(x) = \det(x - M) = \sum_{i=0}^N c_i x^i$  ( $c_N = 1$ ,  $p(x)$  is monic), the theorem implies that  $p(M) = 0$ , this means that

$$p(M) = 0 = M^N + c_{N-1}M^{N-1} + \cdots + c_0, \quad (5.9)$$

then,

$$M^N = -c_{N-1}M^{N-1} - \cdots - c_0, \quad (5.10)$$

therefore, if one wishes to calculate  $M^m$  for any  $m \geq N$ , it will be given by

$$M^m = k_{N-1}^{(m)}M^{N-1} + \cdots + k_0^{(m)}, \quad (5.11)$$

given that coefficients  $k_i^{(m)}$  are properly calculated. Knowing this, the exponential of the matrix

$$\exp M = \sum_{n=0}^{\infty} \frac{1}{n!} M^n = \sum_{n=0}^N a_n M^n \quad (5.12)$$

and this result is exact.

Then, the transition probability from an initial flavor  $\alpha$  state to a  $\beta$  will be

$$P_{\alpha \rightarrow \beta} = |\langle \beta | \hat{U}_f | \alpha \rangle|^2. \quad (5.13)$$

Given a density profile  $\rho(\mathbf{r})$ , one can divide it into  $K$  slices (or steps) with constant  $\mathcal{A}$  and calculate the respective time evolution operators  $\hat{U}_{f,i}$

$(i = 0, \dots, K)$  and build a total operator  $\hat{U}_{f,total} = \prod_i \hat{U}_{f,i}$  with which total survival probability is calculated using relation 5.13.

### 5.1.2 Two-flavor approximation

Previous studies ([**Ludhova2013, Enomoto2005**]) use the two-flavor approximation to neutrino oscillation. This takes into account that the  $\tau$  neutrino is so heavy that it is rarely produced. In this case, we may write (as explained in [**Enomoto2005**])

$$\hat{H}_m = \begin{bmatrix} E_1 & 0 \\ 0 & E_2 \end{bmatrix} + U^{-1} V_f U, \quad U = \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix}, \quad (5.14)$$

These results in a perturbed hamiltonian of the form

$$\hat{H}_f = \begin{bmatrix} -\frac{\Delta m^2}{4E_\nu} \cos 2\theta + \mathcal{A} & \frac{\Delta m^2}{4E_\nu} \sin 2\theta \\ \frac{\Delta m^2}{4E_\nu} \sin 2\theta & \frac{\Delta m^2}{4E_\nu} \cos 2\theta \end{bmatrix} \quad (5.15)$$

In order to solve this matter oscillation case, a new  $U'$  matrix is proposed

$$U' = \begin{bmatrix} \cos \theta' & \sin \theta' \\ -\sin \theta' & \cos \theta' \end{bmatrix}, \quad (5.16)$$

where

$$\cos 2\theta' \equiv \frac{-2\mathcal{A}E_\nu/\Delta m^2 + \cos 2\theta}{\sqrt{(2\mathcal{A}E_\nu/\Delta m^2 - \cos 2\theta)^2 + \sin^2 2\theta}}, \text{ and} \quad (5.17)$$

$$\sin 2\theta' \equiv \frac{\sin^2 2\theta}{\sqrt{(2\mathcal{A}E_\nu/\Delta m^2 - \cos 2\theta)^2 + \sin^2 2\theta}} \quad (5.18)$$

Then, the mass difference is

$$\Delta m'^2 = \sqrt{(2\mathcal{A}E_\nu - \Delta m^2 - \cos 2\theta)^2 + (\Delta m^2)^2 \sin^2 2\theta}. \quad (5.19)$$

The survival probability in this approximation is then

$$P_{ee} = 1 - \sin^2 2\theta' \sin^2 \left( \frac{\Delta m'^2 L}{4E_\nu} \right), \quad (5.20)$$

where  $L$  is the traveled distance (with constant  $\mathcal{A}$ ). These references argue that this probability is well averaged since the quantity  $L_{osc} = \pi \frac{4E_\nu}{\Delta m^2} \ll R$  (so  $\sin^2(\dots) = 0$ ,  $L_{osc}$  is therefore known as the oscillation distance), this results in very “tight” oscillations (as illustrated in figure 5.1), for all paths in the Earth. Then,

$$\langle P_{ee} \rangle = 1 - \frac{1}{2} \sin^2 2\theta' = 0.55. \quad (5.21)$$

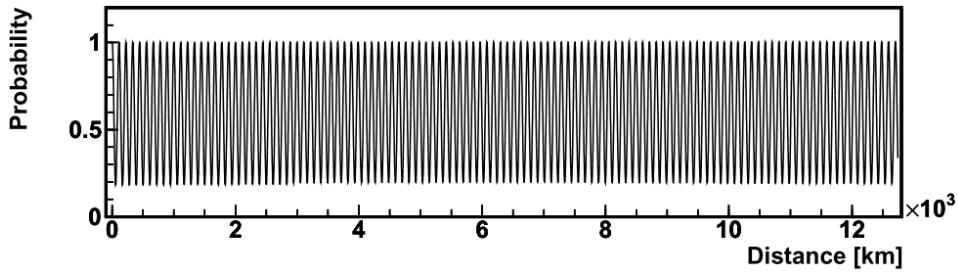


Figure 5.1: Survival probability in function of the distance to the detector obtained through the two-flavor approximation. Taken from reference [**Enomoto2005**].

On this project, I will adopt the exact solution to evaluate the real effect of a varying electron-density in the (anti)neutrino oscillation phenomena and how it affects their detection.

# 6

## Solar Neutrinos

# 7

## The Model

### 7.1 Modeling the Earth

In order to model the Earth, different assumptions were made. The most important one is, probably, that the Earth is azimuthally symmetrical, nevertheless the model to be shown will allow for some complex radial and polar asymmetries.

All programs developed for the project are available in the repository <sup>1</sup> dedicated to it. Implementation is mainly done in C++, but a small part involving the probability calculations is done in Python.

For integrating, I use cylindrical coordinates, in which the  $z$  coordinate is, as usual  $z \in [-R, R]$ , where  $R = 6371\text{km}$  is Earth's Radius. The radial coordinate is called  $x \in [0, \sqrt{R^2 - z^2}]$ . Thus, we divide the Earth in rings, or nodes (illustrated in fig. 7.1). Each ring will have a volume  $dV = 2\pi x dx dz$  (figure 7.2b). Computationally, the Earth is modeled by a  $500 \times 1000$  matrix of instances of the class `RingNode`, this type of data has a variety of attributes that will be introduced when necessary in this text.

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<sup>1</sup>[https://github.com/dforero0896/Physics\\_Monograph](https://github.com/dforero0896/Physics_Monograph)

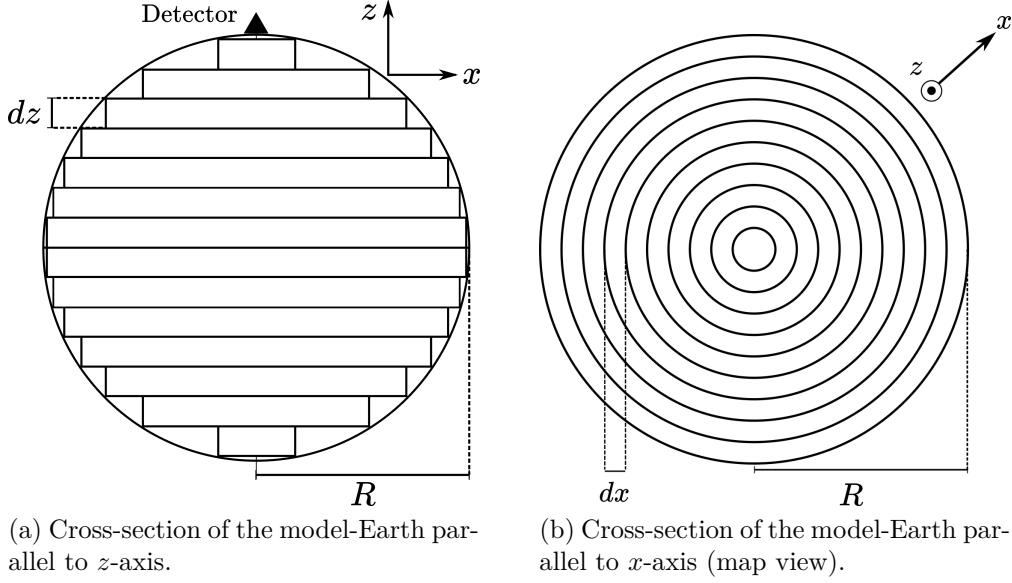


Figure 7.1: Diagram showing the discretization of the Earth and coordinates used. (a): Earth divided in discs perpendicular to  $z$ -axis of constant height  $dz$ . Each disc will be divided into rings as shown in (b), the number of rings is variable from disc to disc since  $dx$  is constant.

The matrix itself is an attribute of the class `Planet`, that holds other attributes such as total mass, volume and fluxes. Figure 7.2 shows different attributes of `RingNode` class. These classes are defined in `earth_simul.h` and the respective implementation file `earth_simul.cpp`.

## 7.2 Modeling the Flux

### 7.2.1 The Flux Integral

As in reference [Sramek2013], the flux is given by the integral of equation 7.1.

$$\Phi_X(\mathbf{r}) = \frac{n_X \lambda_X}{4\pi} \int_{\Omega} \int_{\oplus} \frac{a_X(\mathbf{r}') \rho(\mathbf{r}') P_{ee}(\mathbf{r} - \mathbf{r}', E_\nu)}{|\mathbf{r} - \mathbf{r}'|^2} d^3 r dE_\nu \quad (7.1)$$

Here,  $n_X$  stands for the number of neutrinos produced in decay chain  $X$  (from equations 4.7 through 4.11),  $\lambda_X$  is the decay constant for each chain,  $a_X$  is the isotopic abundance at position  $\mathbf{r}'$ , defined as  $a_X = \frac{A_X X_X}{M_X}$ , where

	$^{238}U$	$^{232}Th$
$X$	0.9927	1
$M$ ( $u$ )	238.051	232.038
$\tau_{1/2}$	4.468	14.05
$\lambda$ ( $10^{-18}/s$ )	4.916	1.563
$n$	6	4

Table 7.1: Values of the different constants in equation 7.1. Taken from reference [Sramek2013].

$A$  is as defined in chapter 3,  $X_X$  is the isotopic ratio and  $M_X$  is the atomic mass. Then,  $\rho$  is the matter density and  $P_{ee}$  is the survival probability of the geoneutrinos produced at  $\mathbf{r}'$  with energy  $E_\nu$ , travelling towards  $\mathbf{r}$ . Finally, the factor  $\frac{1}{4\pi|\mathbf{r}-\mathbf{r}'|^2}$  accounts for the spherically divergent flux. The values used for these factors are shown in table 7.1. Integration should be done in domains  $\oplus$  (Earth) spatially and  $\Omega$ , shown in figure 4.4, for the energy. All these quantities are attributes to the class `RingNode`.

### 7.2.2 Survival Probability

The quantity  $P_{ee}$  depends on both the path ( $|\mathbf{r} - \mathbf{r}'|$ ) the geoneutrino takes, and the energy ( $E_\nu$ ) with which it is produced. The energy of these antineutrinos depends on the spectra ( $\frac{dn}{dE_\nu}$ ) in figure 4.4. Given this dependency, we should, actually, calculate the following integral over energies:

$$\int_{\Omega} P_{ee}(\mathbf{r} - \mathbf{r}', E_\nu) \frac{dn_X}{dE_\nu} dE_\nu \quad (7.2)$$

We should be careful, because for the spectra  $\frac{dn_X}{dE_\nu}$  in figure 4.4,  $\int_{\Omega} \frac{dn_X}{dE_\nu} dE_\nu = n_X$ ; since we have already included the factor  $n_X$  in equation 7.1, the spectra in equation 7.2 should instead be normalized, such that  $\int_{\Omega} \frac{dn'_X}{dE_\nu} dE_\nu = 1$ , for this, we must perform the following calculation

$$\frac{dn'_X}{dE_\nu} = \frac{\frac{dn_X}{dE_\nu}}{\int_{\Omega} \frac{dn_X}{dE_\nu} dE'_\nu} \quad (7.3)$$

Upon discretization of the integral in equation 7.2, taking into account the normalization of the spectra, we get

$$\sum_i P_{ee}(\mathbf{r} - \mathbf{r}', E_{\nu,i}) w_i = \sum_i P_{ee}(\mathbf{r} - \mathbf{r}', E_{\nu,i}) \frac{f_i}{\sum_j f_j \Delta E_\nu} \Delta E_\nu. \quad (7.4)$$

Here, we have defined

$$f_i \equiv \left( \frac{dn_X}{dE_\nu} \right)_i = \frac{dn_X}{dE_\nu}(E_{\nu,i}), \text{ thus, } w_i = \frac{f_i}{\sum_j f_j}. \quad (7.5)$$

Taking into account these expressions, the way of performing the integral over the energies is the following: for a given node in the matrix, the path to the detector is calculated, for this path and  $M = 100$  samples of energy ( $E_{\nu,i}$ ), the survival probability ( $P_i \equiv P_{ee}(\mathbf{r} - \mathbf{r}', E_{\nu,i})$ ) is obtained. Then, for the same energies, the spectra is sampled, this results in  $M$  values  $f_i$ . Each is normalized as  $w_i = f_i / \sum_j f_j$ . These  $w_i$  are the weights corresponding to each probability. Finally the probability for each node is

$$P_{ee}(|\mathbf{r} - \mathbf{r}'|) = \sum_i w_i P_i. \quad (7.6)$$

There are three programs that handle this step: `raw_probs.cpp` that calculates the raw probabilities (as previously mentioned in section 5.1.1) for a node and writes them in the file `raw_probs.csv`, `prob_weight.cpp` that calculates the weights  $w_i$  for a node and exports them to a file `prob_weight.dat` and `prob_integrating.py` that is in charge of running the C++ programs for all node  $i, j$  in the Earth and calculating the “average” probability for each one (equation 7.6) and writing them to file `probability_planet.csv`, which is used in the calculations of the flux.

It is worth mentioning that prior studies use an average probability given by approximating the two-flavor oscillation model (equation 5.21).

### 7.3 UANdINO

As stated before, UANdINO is a C++, GSL-based program that, given a density path and an (anti)neutrino energy, calculates the survival probability. It is entirely based on the work done in reference [**Ohlsson2001**].

The development of this software was a crucial part of this work and the idea is that everybody, who needs it, is able to use it. Its source code consists of a header file `uandino.h` and an implementation file `uandino.cpp`.

In order to test the functionality of the software, I reproduced some plots of said reference, this is shown in figure 7.3. I also checked that, being probabilities, all of them added up to one. This test is shown in figure 7.4.

### 7.3.1 Parallelization and Optimization

UANdINO’s main two functions, calculate the time-evolution operator and the probabilities. The latter uses nested loops: for each one of the  $M$  energies to consider, the program calculates the operator for each one of the steps in distance (path), which may vary from  $5 \times 10^5$  to  $\times 10^7$ . The outer, energy, loop stores the different probabilities in an array, previously allocated in the stack. This implementation, allows a rather easy parallelization using OpenMP. On the other hand, the inner, path loop, is not parallelized due to the potential problems this may generate, for instance, the product of time-evolution operators should be time-ordered, and there’s no way for us to be sure that the different cores will finish the calculations just in time for this to happen.

Given the structure of the program that simulates the Earth which includes an attribute `path` to each object of the class `RingNode`, UANdINO must be given a “path” parameter. The generation of this paths must, therefore, be done before calling the `calculateProbabilities` function from UANdINO. This takes a lot of time, mainly because of the huge amount of elements to be allocated (the same number of spatial steps to be made). Because of this, the paths must be stored in dynamically-allocated structures, such as dynamically-allocated arrays or, in this case, C++ `<vector>` structures. These must be stored in the heap (as opposed to the stack) and it takes more time to do so, too. Nevertheless, a slight modification in UANdINO’s source code will allow it to work on a given density function (not a density array). This will greatly improve performance but is of no practical use in this particular project given that the functions change from node to node in the matrix.

Regarding the HPCC, most of the testing, and final calculations, were done with 3 or 4 nodes with 32 cores each, so if calculating, for example, 100 energies, the 3-node approach will have 96 cores, 92 of them will calculate the probabilities for one energy while, probably, 4 of them will calculate 2 energies.

## 7.4 Terrestrial Neutrino Units

Terrestrial Neutrino Units (TNU) is the usual unit used in (anti)neutrino experiments. TNU is defined as the number of interactions in one year when using a target of  $10^{32}$  protons and 100% detection efficiency. Reference [Ludhova2013] defines the conversion as follows, where  $S(X)$  is in TNU and  $\Phi_X$  is in  $cm^{-2}/\mu s$ .

$$S(^{232}Th) = 4.07\Phi_{Th} \quad (7.7)$$

$$S(^{238}U) = 12.8\Phi_U \quad (7.8)$$

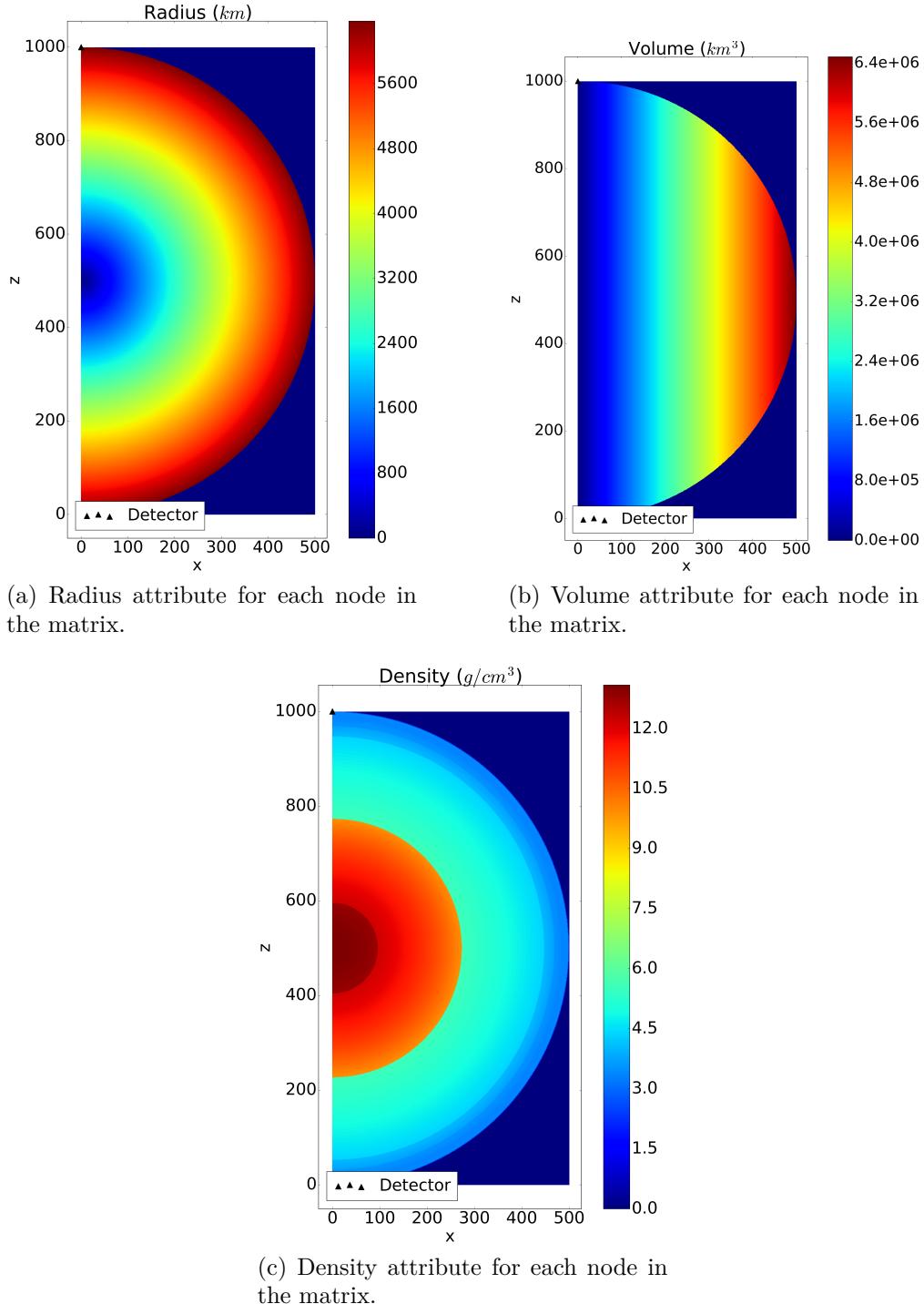


Figure 7.2: Various plots showing different attributes of class `RingNode`. (a): Radius for each node in the matrix. (b): Volume for each node. (c): Density of each node according to the PREM model [Dziewonski1981]. The radial coordinate (x-axis) is labeled  $x$  and the  $z$  coordinate is labeled  $z$ .

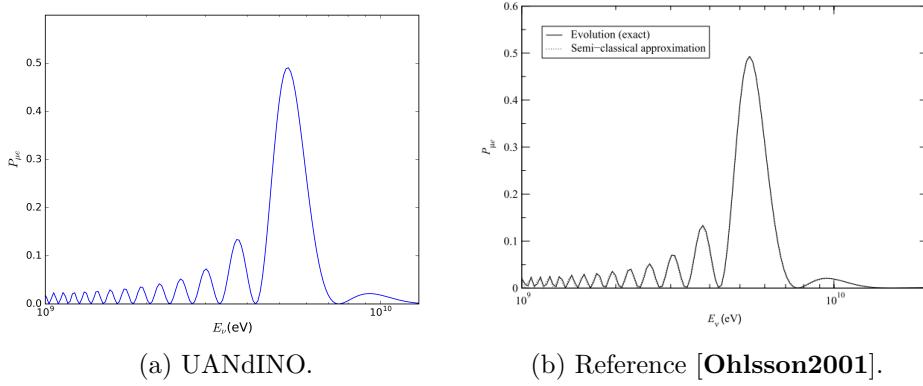


Figure 7.3: (a): Plot of probabilities as given by UANdINO. (b): Plot from reference [Ohlsson2001]. These are neutrino transition ( $\mu \rightarrow e$ ) probabilities for a constant density profile. Details in [Ohlsson2001].

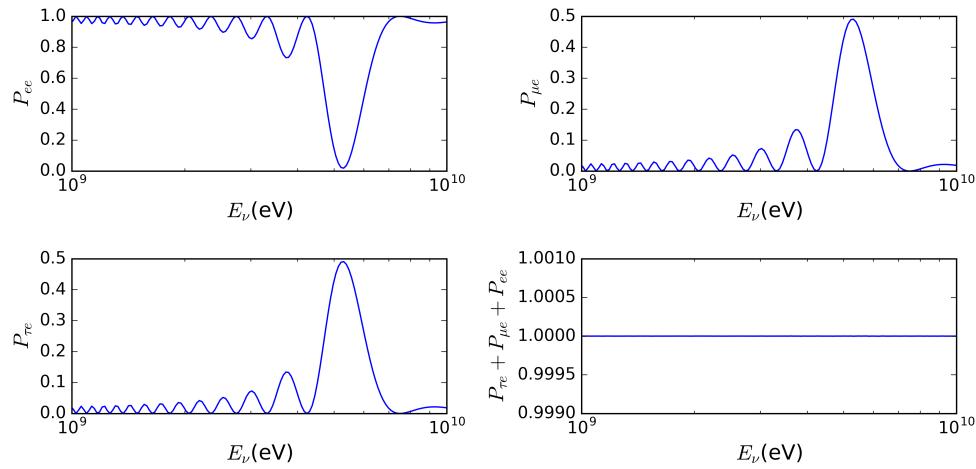


Figure 7.4: Neutrino transition and survival probabilities for constant-density profile and their sum, results from UAndINO.

# 8

## Results

### 8.1 UANdINO

The UANdINO run was programmed in a Python script that called it iteratively for each path and all energies. This run was made in the HPC cluster of the university and took 4 days. The results of this are rather ambiguous. The averaged survival probability, according to different references ([**Ludhova2013**, **Enomoto2005**]) is  $\langle P_{ee} \rangle \approx 0.5 - 0.6$  while UANdINO leads to values of  $P_{ee}(\mathbf{r} - \mathbf{r}') \approx 0.99$  as shown in figure 8.1.

#### 8.1.1 Numerical errors and corrections to unitarity

### 8.2 Flux simulation

Following the work in reference [**Sramek2013**], a test with an average survival probability (from reference [**Ludhova2013**]) of  $\langle P_{ee} \rangle = 0.55$  from the two-flavor approximation, the different HPE distributions and BSE models was done, the results are shown in table 8.1. On the other hand, table 8.2 shows the results when using UANdINO's probabilities.

The simulation allows for an inspection on the distribution of the flux. Figure 8.3 shows the flux contribution to the total for both isotopes in the two-layer HPE distribution model.

One should note that, even though abundance of thorium is higher in every layer, its contribution to the total flux seems to be lower and

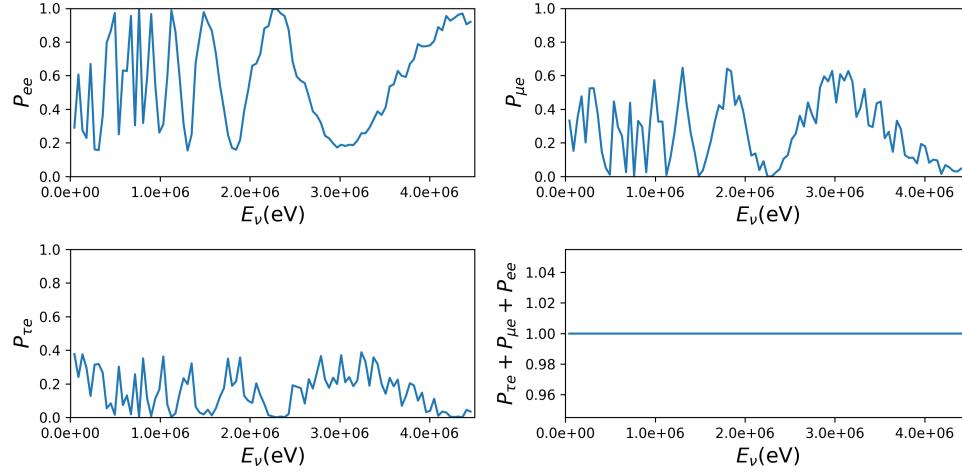


Figure 8.1: Survival and transitions probabilities given by UANdINO for 100 energies in the relevant domain  $E_\nu \in [500\text{eV}, 4.5\text{MeV}]$ . The path used is a diameter of the Earth, shown in figure 8.2.

less spatially spread. This can be attributed to the number of neutrinos  $n_X$ :  $^{238}\text{U}$  produces 2 antineutrinos more in each decay, compared to  $^{232}\text{Th}$ .

For the whole silicate Earth and average probability the flux is shown in table 8.3.

But, when using the probabilities given by UANdINO for each node the results are quite different. These are shown in table 8.4.

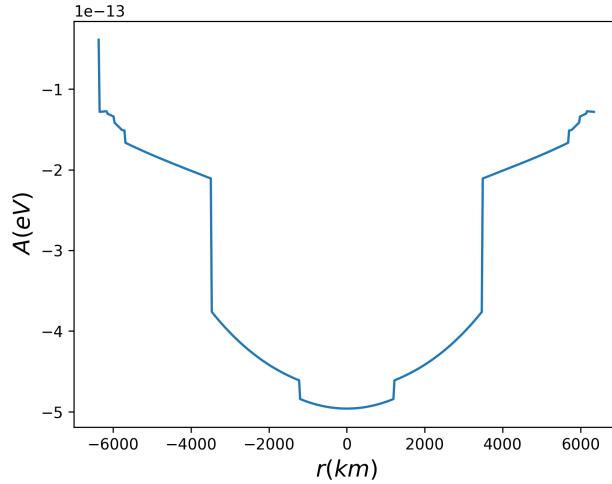


Figure 8.2: Potential  $\mathcal{A}$  felt by an antineutrino diametrically crossing the Earth. The potential is due to the electron density of the planet.

BSE Model \HPE distribution	Uniform		Two-layer	
	$\Phi_U$	$\Phi_{Th}$	$\Phi_U$	$\Phi_{Th}$
Geochemical	0.626	0.526	0.530	0.436
Cosmochemical	0.242	0.139	0.236	0.140
Geodynamical	1.345	1.158	1.079	0.917

Table 8.1: First results on geoneutrino flux from the mantle (in  $cm^{-2}/\mu s$ ) for different BSE and HPE distribution models. Using average probability from two-flavor approximation.

BSE Model \HPE distribution	Uniform		Two-layer	
	$\Phi_U$	$\Phi_{Th}$	$\Phi_U$	$\Phi_{Th}$
Geochemical	1.123	0.947	0.949254	0.781
Cosmochemical	0.435	0.250	0.426233	0.251
Geodynamical	2.414	2.078	1.930	1.640

Table 8.2: Results on geoneutrino flux from the mantle (in  $cm^{-2}/\mu s$ ) for different BSE and HPE distribution models. Using UAndINO probability.

BSE Model \HPE distribution	Uniform			Two-layer		
	$S_U$	$S_{Th}$	$S_{tot}$	$S_U$	$S_{Th}$	$S_{tot}$
Geochemical	5.357	15.046	20.403	4.968	13.876	18.844
Cosmochemical	3.797	10.074	13.870	3.777	10.081	13.858
Geodynamical	8.283	23.110	31.393	7.202	20.031	27.233

Table 8.3: Whole SE results on geoneutrino flux (TNU) for different BSE and HPE distribution models, using two-flavor approximation for survival probabilities.

BSE Model \HPE distribution	Uniform			Two-layer		
	$S_U$	$S_{Th}$	$S_{tot}$	$S_U$	$S_{Th}$	$S_{tot}$
Geochemical	9.617	27.010	36.627	8.909	24.881	33.79
Cosmochemical	6.816	18.086	24.902	6.780	18.098	24.879
Geodynamical	14.869	41.481	56.350	12.900	35.881	48.781

Table 8.4: Whole SE results on geoneutrino flux (TNU) for different BSE and HPE distribution models, using UAndINO's results for survival probabilities.

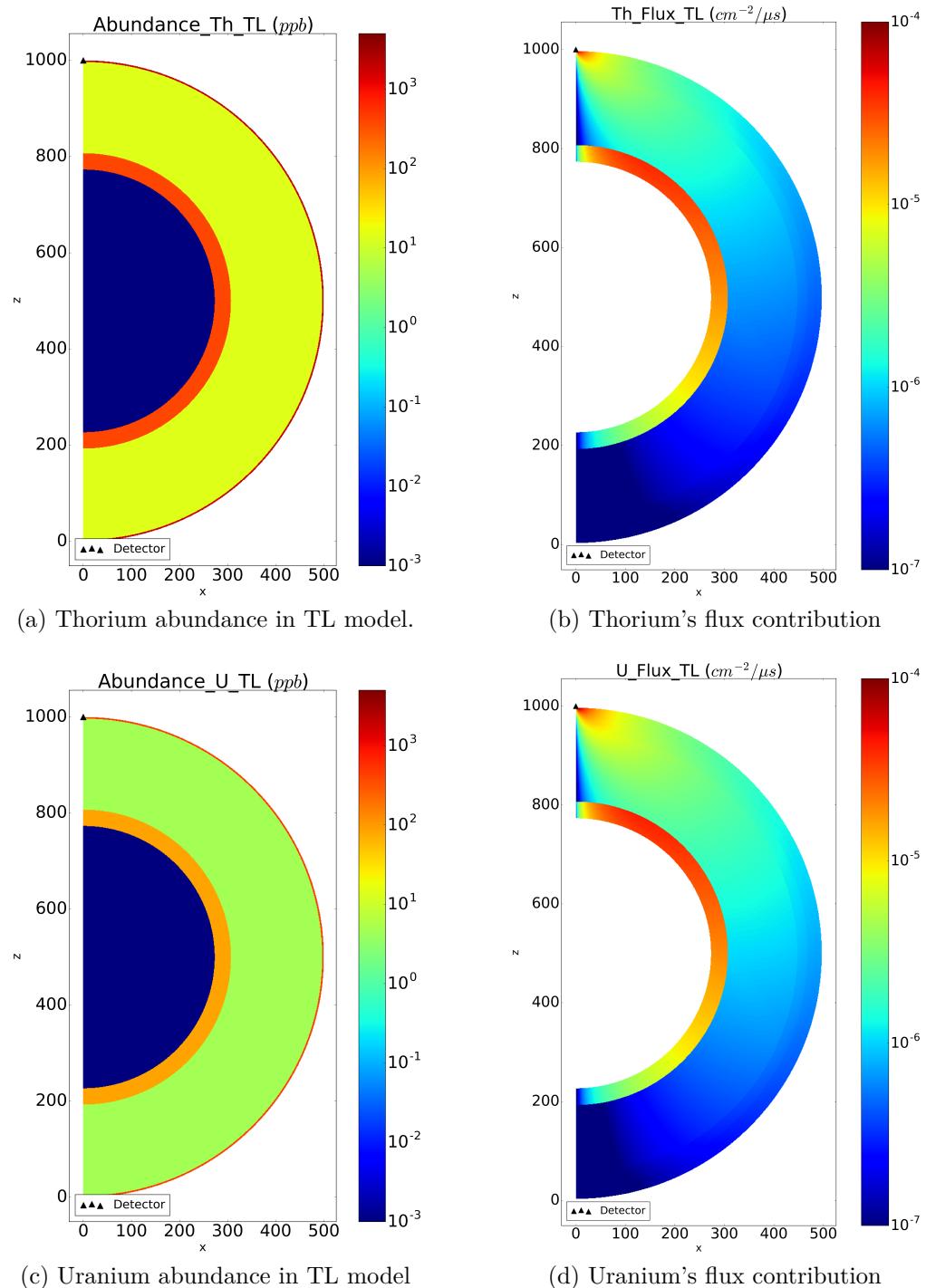


Figure 8.3: HPE abundance model (left) and flux contribution (right) for U (a,b) and Th (c, d) in the two-layer HPE distribution model.

# 9

## Analysis

The results previously shown are to be analyzed in two ways, the mantle-only and whole Earth fluxesn through comparison with real data taken by KamLAND and Borexino geoneutrino experiments which are located in Japan and Italy, respectively. According to reference [**Ludhova2013**], the measured flux values are those shown in table 9.1.

	Borexino	KamLAND
$S_{tot}(TNU)$	$38.8 \pm 12$	$30 \pm 7$
$\Phi_{tot}(cm^{-2}/\mu s)$	$4.4 \pm 1.4$	$3.4 \pm 0.8$

Table 9.1: Experimental values for geoneutrino flux in detectors Borexino and KamLAND. Taken from reference [**Ludhova2013**].

In the first place, figure 9.1 the mantle fluxes from  $U$  and  $Th$  using UANdINO's probability and the two-flavor oscillation approximation one (recall,  $\langle P_{ee} \rangle = 0.55$ ) are shown, in comparison to reference [**Sramek2013**], in which the integral 7.1 is used too. For both isotopes, even though the simulation is close to the values given in said reference, they are not within the uncertainties there given.

On the other hand, UANdINO shows values that are, in the case of geochemical and geodynamical BSE models, way higher than expected, but the cosmochemical BSE model values are actually closer to those expected from reference [**Sramek2013**] than the ones calculated with the

two-flavor approximation. Then, the addition of both contribution yields the total values for the mantle expected signal in  $TNU$  are shown in figure 9.1c. In reference [**Ludhova2013**] a combined analysis of the results in table 9.1 is done, which results in a central value for the mantle signal of  $7.7 \pm 6.2 TNU$ . Taking this into account, UANdINO shows results outside of the expected range in 2/3 BSE models, confirming what we said before regarding the accuracy of its results in this case.

In the second place, figure 9.2 shows the results of both simulations (UANdINO's and two-flavor probabilities) along with the measurements of the detectors according to [**Ludhova2013**]. In this case, the UANdINO results look promising since they are within the expected range in 2/3 BSE models. The results show that the geochemical BSE model fits best to the available data as seen in figure 9.2. Additionally in figure 9.1c, the closest value from UANdINO's simulation is also the geochemical BSE model. These, rather small, discrepancies can be attributed to the uncertainties in the integration of the flux, product of the discretization of the Earth. If we looked only at the two-flavor approximation, the best-fitting one is still the geochemical, since it is closer to the central value of  $7.7 TNU$ .

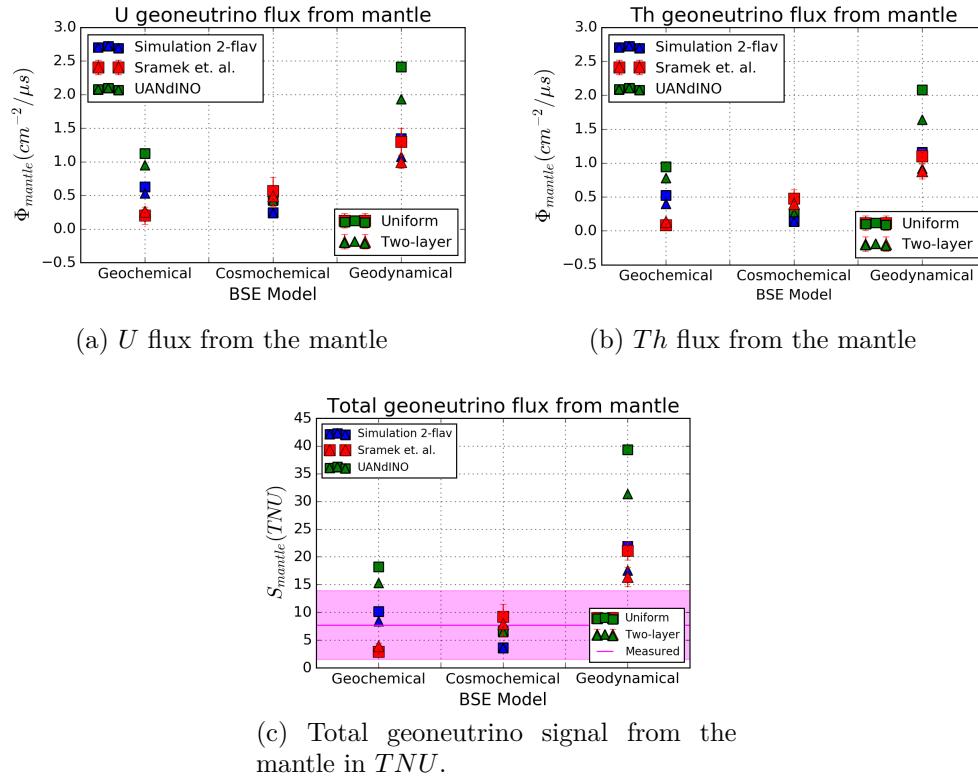


Figure 9.1: (a): Mantle fluxes due to  $U$  in different cases: both simulations and data from [Sramek2013]. (b): Mantle flux due to  $Th$ , analogous data to that of  $U$ . Note that in this case, the two.flavor approximation results (blue) are closer to those from the literature (red) than the UANDINO simulation (green). In general, the two-layer model (triangles) results in lower values for the flux. (c): Geoneutrino signal expected from the mantle for the different models and the expected signal from detectors according to reference [Ludhova2013].

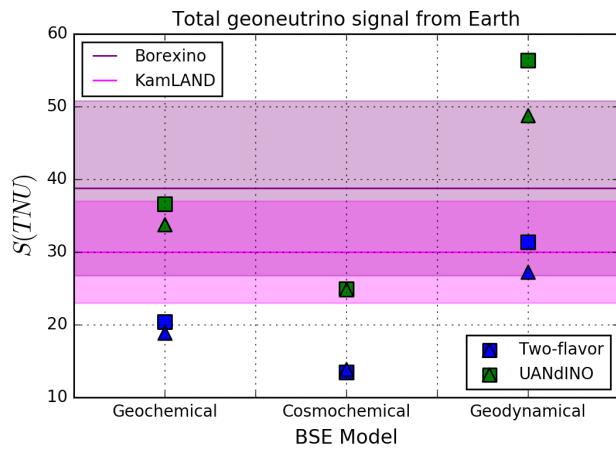


Figure 9.2: Total geoneutrino flux expected from the different models. Triangles are still two-layer and squares, uniform HPE distributions. Colored bands correspond to signals measured by the detectors according to reference [Ludhova2013]. In this case, UANDINO's probability results show a better agreement to the experimental data, specially geochemical BSE model.

# 10

## Conclusions

The main goal for this work was to discriminate between the different HPE distribution models by using rigorous neutrino matter oscillation formalism, since this has been a debate in geoscience given the multiple models for the mantle that rely on information such as its dynamics or heat flow product of radioactive decay. The introduction of new information coming from neutrino geoscience may be the necessary step to link the available information and draw conclusions.

First, one should look at which of the BSE models shows a better fit with the data available from the detectors. In this matter, the geochemical BSE model is the one, since in the total expected flux of figure 9.2 it seems to be an average between Borexino and KamLAND measurements. This is important since these detectors are in radically different geological settings, which is said to affect the geoneutrino flux; while the simulation is in “average” Earth. In the case of the mantle expected flux (fig. 9.1c), the geochemical BSE model is also the one closer to the central value and to the expected area. The discrepancies can be attributed to uncertainties in the integration, mainly given by the discretization of the planet.

Then, regarding the HPE distributions, we can conclude that, even though the two models give rather similar results, the ones of the two-layer model can be closer to the measured values, nevertheless, the results are not entirely conclusive in this respect.

## 10.1 Further Directions

As I stated before, geoneutrinos are a rather new tool in geophysics and Neutrino Geoscience a new field in geoscience, there is a lot more work to do and problems to solve.

Further work is needed, especially regarding the difference between the survival probability given by the software and the average one given in the literature, and why, despite these quite different probabilities, the results in terms of geoneutrino flux are still reasonable. This involves additional testing to UANdINO to certify the accuracy of the results.

On the other hand, the Earth modeling was satisfactory, since it allowed to reproduce some of the basic properties of the planet and gave some liberties on parameters such as the HPE abundances and their distribution. Nevertheless, the mantle is known to be way more complex than the two-layer model. This model can be refined in order to include mantle heterogeneities result of convection that leads to subducting crust, thus, increasing HPE abundance in the mantle. Unfortunately, these modifications are not trivial and a big part of the software might have to be rewritten.