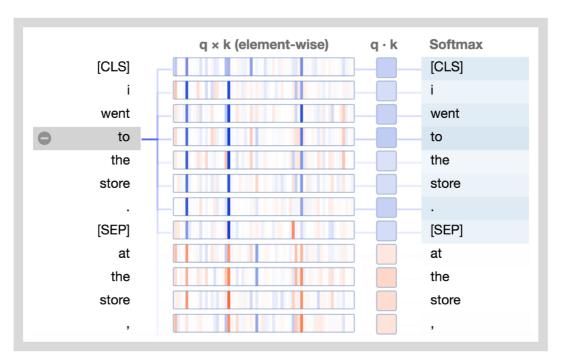
<u>Deconstructing BERT, Part 2:</u> <u>Visualizing the Inner Workings of Attention</u>

A new visualization tool shows *how* BERT forms its distinctive attention patterns.

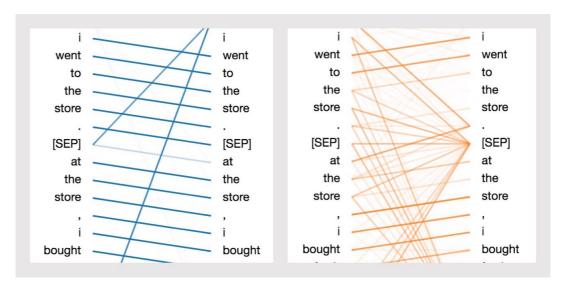


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In <u>Deconstructing BERT: Distilling 6 Patterns from 100 Million Parameters</u>, I described how BERT's <u>attention</u> mechanism can take on many different forms. For example, one attention head focused nearly all of the attention on the next word in the sequence; another focused on the previous word (see illustration below). In both cases, BERT essentially learned a sequential update pattern, something that human designers of neural networks have explicitly encoded in architectures such as Recurrent Neural Networks (though BERT's version is more like a CNN). Later, I will show how BERT is able to mimic a Bag-of-Words model as well.



Next-word and previous-word attention patterns learned by BERT

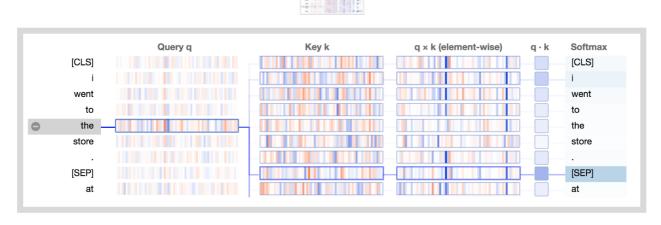
So how does BERT achieve these stunning feats of plasticity? To answer this question, I extend the visualization tool from Part 1 to probe deeper into the mind of BERT, to expose the neurons that give BERT its shape-shifting superpowers. You can try the updated visualization tool using Jupyter and Colab notebooks available on Github.

The original visualization tool (based on the excellent <u>Tensor2Tensor</u>implementation by <u>Llion Jones</u>) attempted to answer the **what** of attention: that is, *what* attention structures is BERT learning? To answer the **how**, I added the *neuron view*, which visualizes how attention is computed from individual neurons. The neuron view is invoked by clicking on the \oplus icon. You can see a demo below, or skip ahead to the screen shots:

Visualization Tool Overview

BERT is a bit like a <u>Rube Goldberg machine</u>: though the individual components are fairly intuitive, the end-to-end system can be hard to grasp. For now, I'll walk through the parts of BERT's attention architecture represented in the visualization tool. (For a comprehensive tutorial on BERT, I recommend <u>The Illustrated Transformer</u> and <u>The Illustrated BERT.</u>)

The new *neuron view* is shown below. Note that **positive values are colored blue and negative values orange**, with color intensity reflecting the magnitude of the value. All vectors are of length 64 and are specific to a particular attention head. Like the original visualization tool, connecting lines are weighted based on the attention score between the respective words.



Let's break this down:

Query q: the query vector q encodes the word/position on the left that is paying attention, i.e. the one that is "querying" the other words. In the example above, the query vector for "the" (the selected word) is highlighted.

Key k: the key vector *k* encodes the word on the right to which attention is being paid. The key vector together with the query vector determine the attention score between the respective words, as described below.

q×k (element-wise): the element-wise product of the query vector and a key vector. This product is computed between the selected query vector and each of the key vectors. This is a precursor to the dot product (the sum of the element-wise product) and is included for visualization purposes because it shows how individual elements in the query and key vectors contribute to the dot product.

q·k: the dot product of the selected query vector and each of the keyvectors. This is the unnormalized attention score.

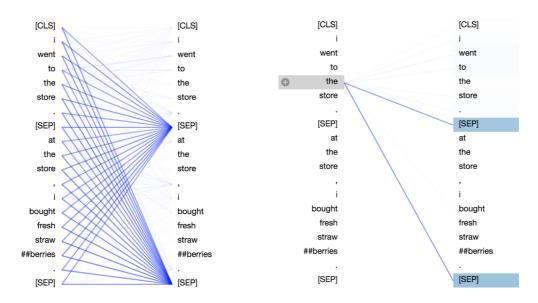
Softmax: the softmax of $q \cdot k / 8$ across all target words. This normalizes the attention scores to be positive and sum to one. The constant factor 8 is the square root of the vector length (64), and is included for reasons described in this paper.

Explaining BERT's attention patterns

In <u>Part 1</u>, I identified several patterns in the attention structure across BERT's attention heads. Let's see if we can use the visualization tool to understand *how* BERT forms these patterns.

Delimiter-focused attention patterns

Let's start with the simple case where most attention is focused on the sentence separator *[SEP]* token (Pattern 6 from Part 1). As suggested in <u>Part 1</u>, this pattern may be a way for BERT to propagate sentence-level state to the word level:



Delimiter-focused attention pattern for Layer 7, Head 3 of the BERT-based pretrained model.

So, how exactly is BERT able to fixate on the [SEP] tokens? Let's see if the visualization tool can provide some clues. Here we see the neuron view of the example above:

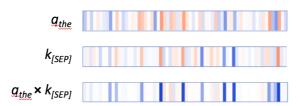


In the **Key** column, the key vectors for the two occurrences of [SEP] carry a distinctive signature: they both have a small number of active neurons with high positive (blue) or low negative (orange) values, and a larger number of neurons with values close to zero (light blue/orange or white):



Key vector for first [SEP] token.

The query vectors tend to match the [SEP] key vectors along those active neurons, resulting in high values for the element-wise product $q \times k$, as in this example:

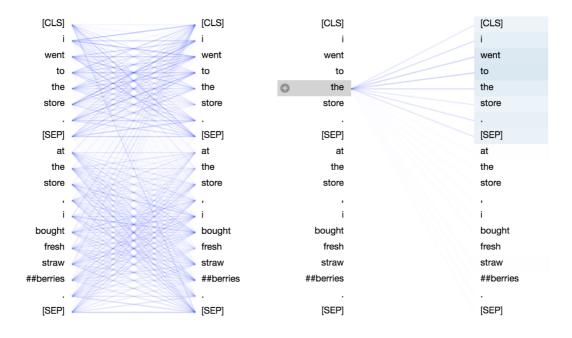


Query vector for first occurrence of "the"; key vector for first occurrence of [SEP]; and element-wise product of the two.

The query vectors for the other words follow a similar pattern: they match the [SEP] key vector along the same set of neurons. Thus it seems that BERT has designated a small set of neurons as "[SEP]-matching neurons," and query vectors are assigned values that match the [SEP] key vectors at these positions. The result is the [SEP]-focused attention pattern.

Bag of Words attention pattern

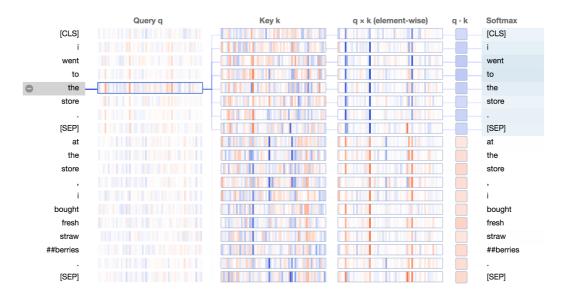
This is a less common pattern, which was not discussed in <u>Part 1</u>. In this pattern, attention is divided fairly evenly across all words *in the same sentence:*



Sentence-focused attention pattern for Layer 0, Head 0 of the BERT-base pretrained model.

The effect of this pattern is to distribute sentence-level state to the word level, as was likely the case for the first pattern as well. BERT is essentially computing a Bag-of-Words embedding by taking an (almost) unweighted average of the word embeddings (which are the *value* vectors — see above-mentioned tutorials for details on this.)

So how does BERT finesse the queries and keys to form this attention pattern? Let's again turn to the neuron view:



Neuron view of sentence-focused attention pattern for Layer 0, Head 0 of the BERT-base pretrained model.

In the **q×k** column, we see a clear pattern: a small number of neurons (2–4) dominate the calculation of the attention scores. When query and key vector are in the same sentence (the first sentence, in this case), the product shows high values (blue) at these neurons. When query and key vector are in different sentences, the product is strongly negative (orange) at these same positions, as in this example:



The query-key product is high when query and key are in the same sentence (left), and low when they are in different sentences (right).

When query and key are both from sentence 1, they tend to have values with the same sign along the active neurons, resulting in a positive product. When the query is from sentence 1, and the key is from sentence 2, the same neurons tend to have values with opposite signs, resulting in a negative product.

But how does BERT know the concept of "sentence", especially in the first layer of the network before higher-level abstractions are formed? The answer lies in the sentence-level embeddings that are added to the input layer (see Figure 1, below). The information encoded in these sentence embeddings flows to downstream variables, i.e. queries and keys, and enables them to acquire sentence-specific values.

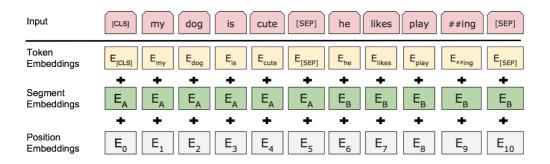
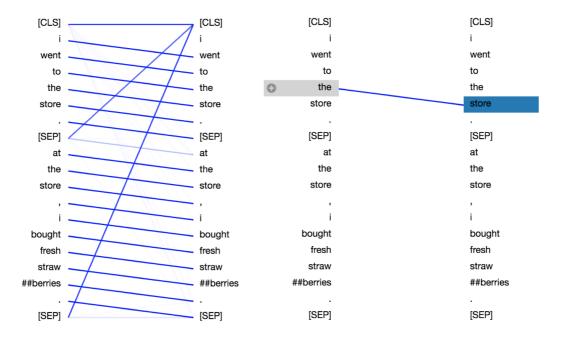


Figure 1*: Segment embeddings* for Sentences A and B are added to the input embeddings, along with position embeddings. (From <u>BERT paper</u>.)

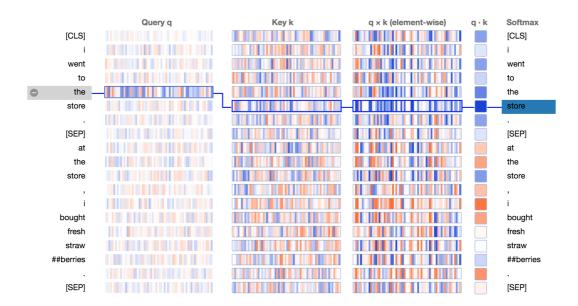
Next-word attention patterns

In the next-word attention pattern, virtually all the attention is focused on the next word in the input sequence, except at the delimiter tokens:



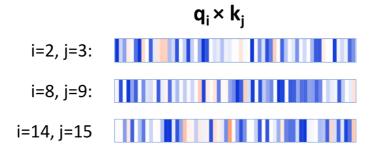
Next-word attention pattern at Layer 2, Head 0 of the BERT-base pretrained model.

This attention pattern enables BERT to capture sequential relationships, e.g. bigrams. Let's check out the attention detail view for the above example:



We see that the product of the query vector for "the" and the key vector for "store" (the next word) is strongly positive across most neurons. For tokens other than the next token, the key-query product contains some combination of positive and negative values. The result is a high attention score between "the" and "store".

For this attention pattern, a large number of neurons figure into the attention score, and these neurons differ depending on the token position, as illustrated here:



Element-wise product of query and key vectors, for query at position i and key at position j = i+1, for i = 2, 8, 14. Note that the active neurons differ in each case.

This behavior differs from the delimiter-focused and the sentence-focused attention patterns, in which a small, fixed set of neurons determine the attention scores. For those two patterns, only a few neurons are required because the patterns are so simple, and there is little variation in the words that receive attention. In contrast, the next-word attention pattern needs to track which of the 512 words receives attention from a given position, i.e., which is the next word. To do so it needs to generate queries and keys such that each query vector matches with a *unique* key vector from the 512 possibilities. This would be difficult to accomplish using a small subset of neurons.

So how is BERT able to generate these position-aware queries and keys? In this case, the answer lies in BERT's position embeddings, which are added to the word embeddings at the input layer (see Figure 1). BERT learns a unique position embedding for each of the 512 positions in the input sequence, and this position-specific information can flow through the model to the key and query vectors.

Notes

I have only covered some of the coarse-level attention patterns discussed in <u>Part 1</u> and have not touched on lower-level dynamics around linguistic phenomena such as coreference, synonymy, etc. I hope that this tool can help provide intuition in many of these cases.

Try it out!

You can check out the visualization tool on **Github**. Please play with it and share what you find!

For further reading

In my most recent article, I explore OpenAl's new text generator, GPT-2.