### TP3

June 8, 2018

### 1 TP3 - Automatic Segmentation of Mails

- Davide GALLITELLI
- Carlotta CASTELLUCCIO

This Lab aims to build an email segmentation tool, dedicated to separate the email header from its body. It is proposed to perform this task by learning a HMM (A, B,  $\pi$ ) with two states, one (*state 1*) for the header, the other (*state 2*) for the body. In this model, it is assumed that each mail actually contains a header: the decoding necessarily begins in the state 1.

Knowing that each mail contains exactly one header and one body, each mail follows once the transition from 1 to 2.

#### 1.0.1 Q1: Give the value of the vector of the initial probabilities

$$\pi^T = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

Because the initial probability of being in the state 0 (header) is always true.

## 1.0.2 Q2: What is the probability to move from state 1 to state 2? What is the probability to remain in state 2? What is the lower/higher probability? Try to explain why

Given the matrix:

$$A = \begin{bmatrix} 0.999218078035812 & 0.000781921964187974 \\ 0 & 1 \end{bmatrix}$$

The probability of moving from state 1 to state 2 is P(2|1) = 0.000781921964187974; the probability of state 2 is P(2|2) = 1.

It is normal for P(2|2) to be higher, since once a character belonging to the *body* of the mail has been found, all the following observations will belong to the same state: no other *header* is found after the *body* in a mail.

#### 1.0.3 Q3: What is the size of the corresponding matrix?

Because the ASCII characters are 256 (N = 256), the size of the corresponding matrix will be 256x2, where each row represents the discrete probability distribution of the character c given the state s.

```
In [1]: import numpy as np
        # Viterbi - test
        0 = range(0, 256)
        S = [0,1]
        pi = [1,0]
        A = [[0.999218078035812, 0.000781921964187974], [0, 1]]
        B = np.loadtxt('P.text', dtype=float)
In [2]: # Implementation of Viterbi algorithm
        Implementation of the Viterbi algorithm.
        Finds the best segmentation of the text provided,
        and returns the most probable states sequence
        Parameters explaination:
        :param O: = characters codification (ASCII, range(0,256))
        :param S: = possible states (header = 0, body = 1)
        :param A: = state transition probability matrix
        :param B: = matrix probability of character c being in state s (256x2, contained in P.
        :param pi: = initial probability vector
        :param Y: = observation in the mail (mail.dat)
        :return X: = most likely hidden state sequence
        def ViterbiAlgorithm(O, S, A, B, pi, Y):
            A = np.matrix(A)
            T = len(Y)
            T1 = np.zeros((len(S), T))
            T2 = np.zeros((len(S), T))
            for s in S:
                T1[s,0] = pi[s]*B[Y[0]][s] # vector of most likely path so far
                T2[s,0] = 0 # most likely path for previous observation
            for t in range(1,T):
                for s in S:
                    result = [a*b*B[Y[t],s] for a,b in zip(T1[:, t-1],A[:,s])]
                    result += np.finfo(np.double).tiny
                    T1[s, t] = np.max(result)
                    T2[s, t] = np.argmax(result)
                  Rescale output to solve too small number errors
        #
                T1[:,t] = T1[:,t]*(10**(-1*(int(np.log10(np.max(T1[:,t])))+1)))
            Z = [0 \text{ for t in } Y]
            X = [0 \text{ for t in } Y]
            T = T - 1
            Z[T] = int(np.argmax(T1[:,T]))
            X[T] = S[Z[T]]
```

```
for i in range(T, 0, -1):
    Z[i-1] = int(T2[Z[i], i])
    X[i-1] = S[Z[i-1]]
return X
```

### 1.0.4 Q4: Print the track and present and discuss the results obtained on mail11.txt to mail30.txt

```
In [3]: Y = np.loadtxt('dat/mail11.dat',dtype=int)
       X = ViterbiAlgorithm(0, S, A, B, pi, Y)
        print("Observation size: "+str(len(Y)))
        print("Expected change point in mail11.dat : ~2850")
        print("Obtained change point : "+str(np.bincount(X)[0]))
Observation size: 3475
Expected change point in mail11.dat: ~2850
Obtained change point: 2851
In [4]: Y = np.loadtxt('dat/mail30.dat',dtype=int)
       X = ViterbiAlgorithm(O, S, A, B, pi, Y)
        print("Observation size: "+str(len(Y)))
        print("Expected change point in mail30.dat : ~2250")
       print("Obtained change point : "+str(np.bincount(X)[0]))
Observation size: 5160
Expected change point in mail30.dat : ~2250
Obtained change point: 2173
```

The results seem consistent with the expected values. The expected values have been obtained by opening with a text editor the mail\*.txt files and counting the characters before the body.

For *mail11.txt*, the delta in recognising the body is just one character from the expected value, which is great. For *mail30.txt*, the delta is a little bit higher, but nevertheless acceptable.

## 1.0.5 Q5: How would you model the problem if you had to segment the mails in more than two parts (for example: header, body, signature)?

The hidden model would not change: the changes to be done would be on the inputs. In particular, the states space would now have size of 3 (header = 0, body = 1, signature = 2), and therefore the vector of initial probabilities  $\pi$  would have 3 elements

$$\pi = (1,0,0)$$

the transition matrix A would have size 3x3, with form:

$$A = \begin{bmatrix} p_{11} & p_{12} & 0\\ 0 & p_{22} & p_{23}\\ 0 & 0 & 1 \end{bmatrix}$$

Even B, conditional probability of observation given the state, would change, becoming a matrix with size 256x3.

# 1.0.6 Q6: How would you model the problem of separating the portions of mail included, knowing that they always start with the character ">".

We would then need a 4th state, *mail\_included*, which comes before the *signature* of the mail. The inputs would change, including this 4th state: for example the transition matrix A would now be:

$$A = \begin{bmatrix} p_{11} & p_{12} & p_{13} & 0\\ 0 & p_{22} & p_{23} & p_{24}\\ 0 & p_{32} & p_{33} & p_{34}\\ 0 & 0 & 0 & 1 \end{bmatrix}$$

with the initial probability vector

$$\pi = (1,0,0,0)$$

Moreover, knowing that the mail included always starts with character ">", the probability of the character belonging to state 3 is higher than in the other states - this information should be included in the conditional probability matrix B.