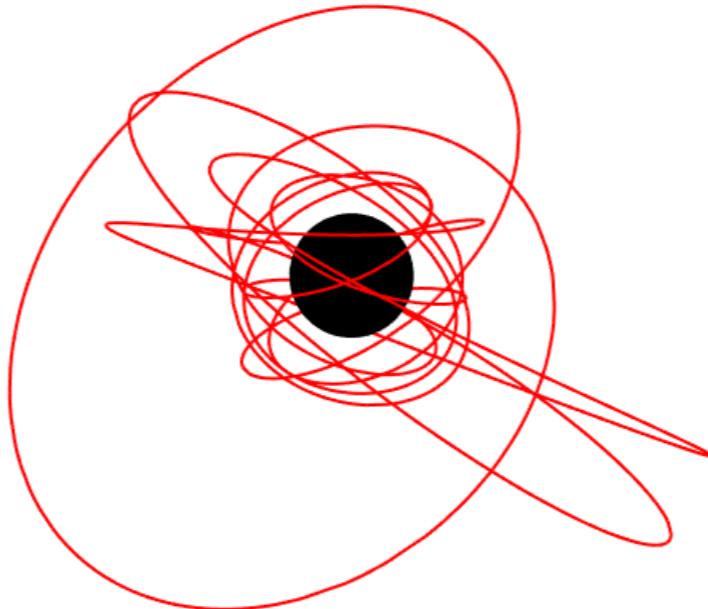


Extreme mass-ratio inspirals



Niels Warburton
Royal Society - SFI University Research Fellow
University College Dublin

Gravitational-wave Excellence through
Alliance Training (GrEAT) school at the
University of Birmingham



Outline

- ♪ EMRIs and what makes them unique
- ♪ Science deliverables with EMRIs
- ♪ Modelling approaches
- ♪ Black Hole Perturbation Theory

Extreme mass-ratio inspirals

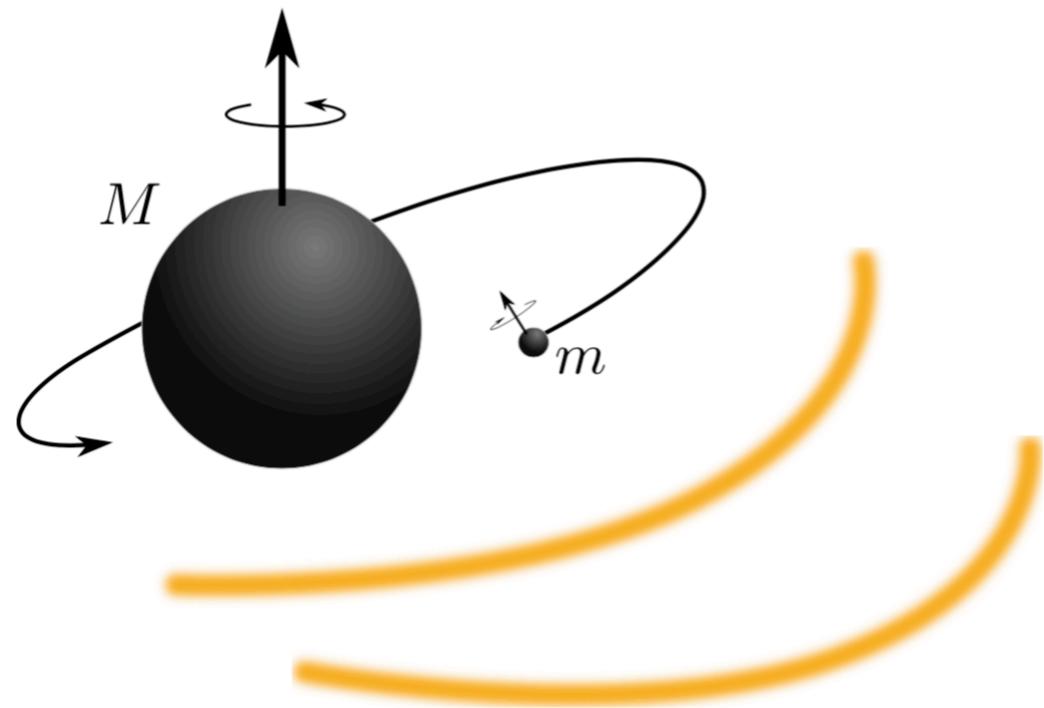
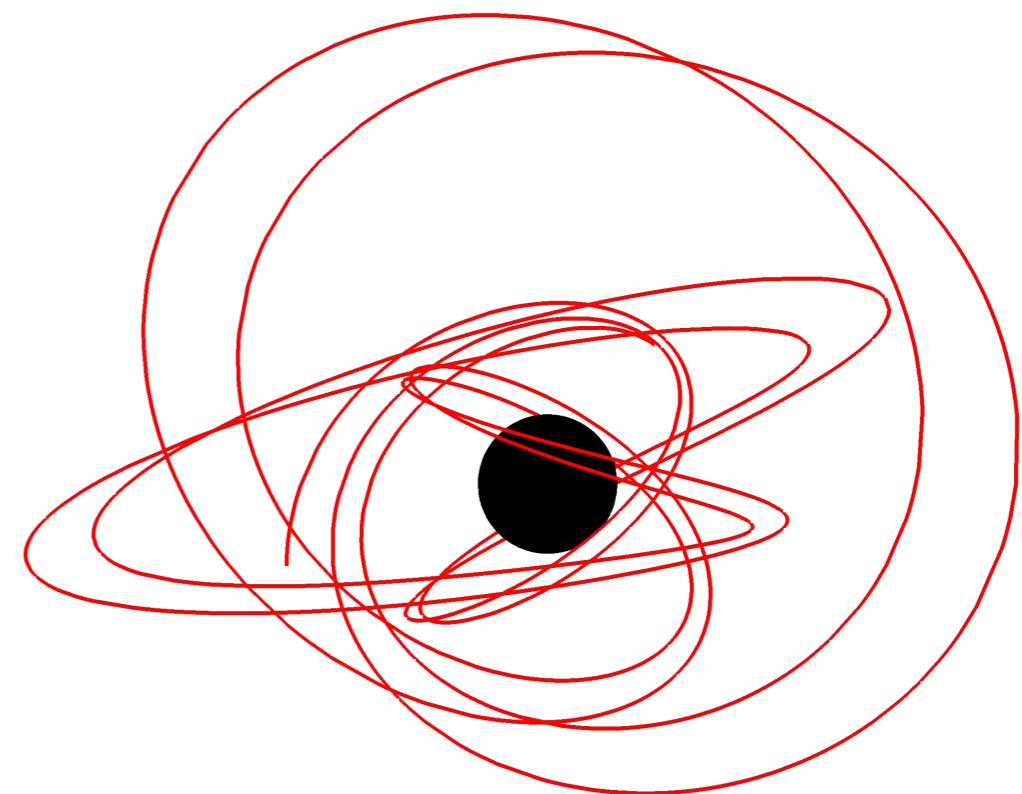


Image credit: A. Pound

- Binary with an extremely **small** mass ratio $\epsilon = m/M \ll 1$
- Primary: massive black hole
- Secondary: **compact** object such as stellar-mass black hole, neutron star
- For LISA EMRIs: $\epsilon = 10^{-4} - 10^{-7}$



Key Features:

- Millihertz gravitational-wave source
- Over 100,000+ orbits in strong field
- Visible for **months to years** in LISA band
- No spin alignment expected
- Considerable **eccentricity**
- Rich waveform phenomenology

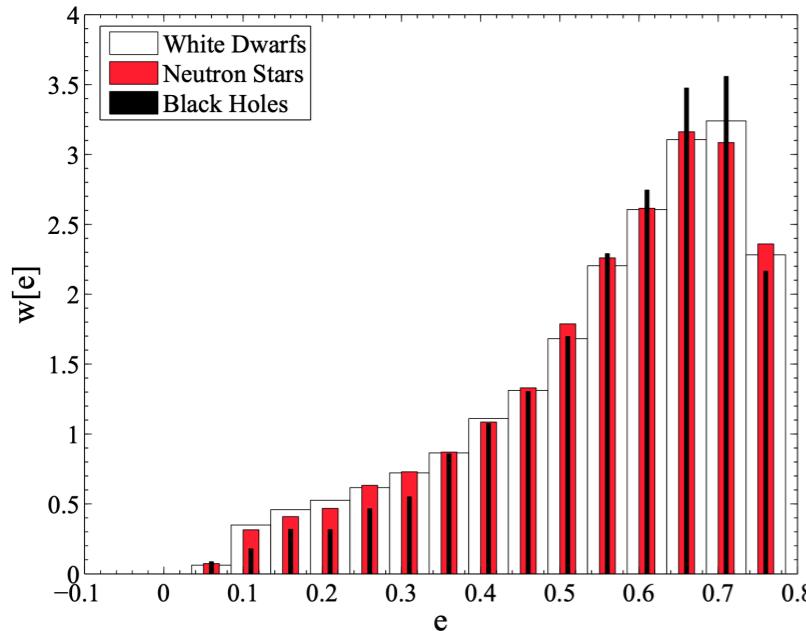


EMRIs: formation channels

- Two key ingredients needed:
- Massive black holes ✓
 - Compact objects ✓

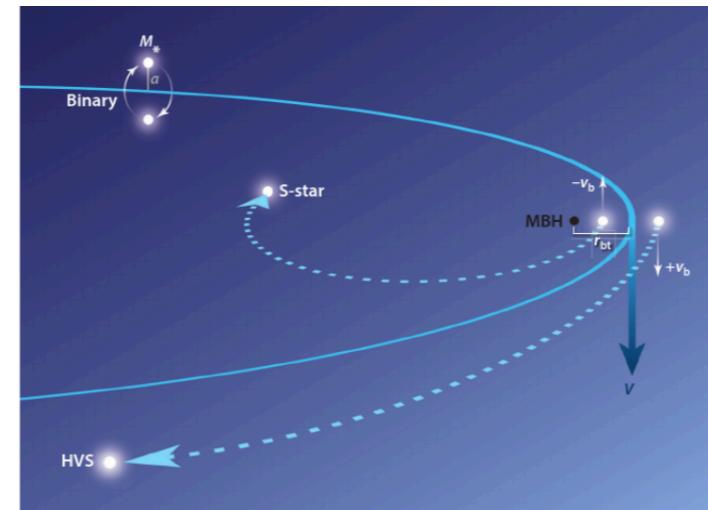
Direct capture

High eccentricity when entering LISA band $e \sim 0.7$



Significant eccentricity at plunge $e \sim 0.1-0.3$

Tidal disruption of binaries

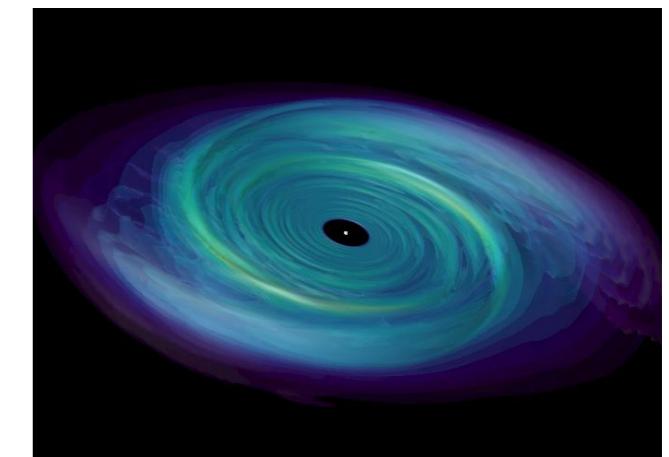


Evidence from S-stars and hyper-velocity stars near SgrA*

Circular orbit upon entering LISA band

Star formation in accretion disk

Outer disk fragments and collapses to massive star



Circular and equatorial orbits upon entering LISA band

None of these methods is well understood so event rate estimates for EMRIs have large uncertainties: expect 1 - 1000 EMRIs over LISA mission

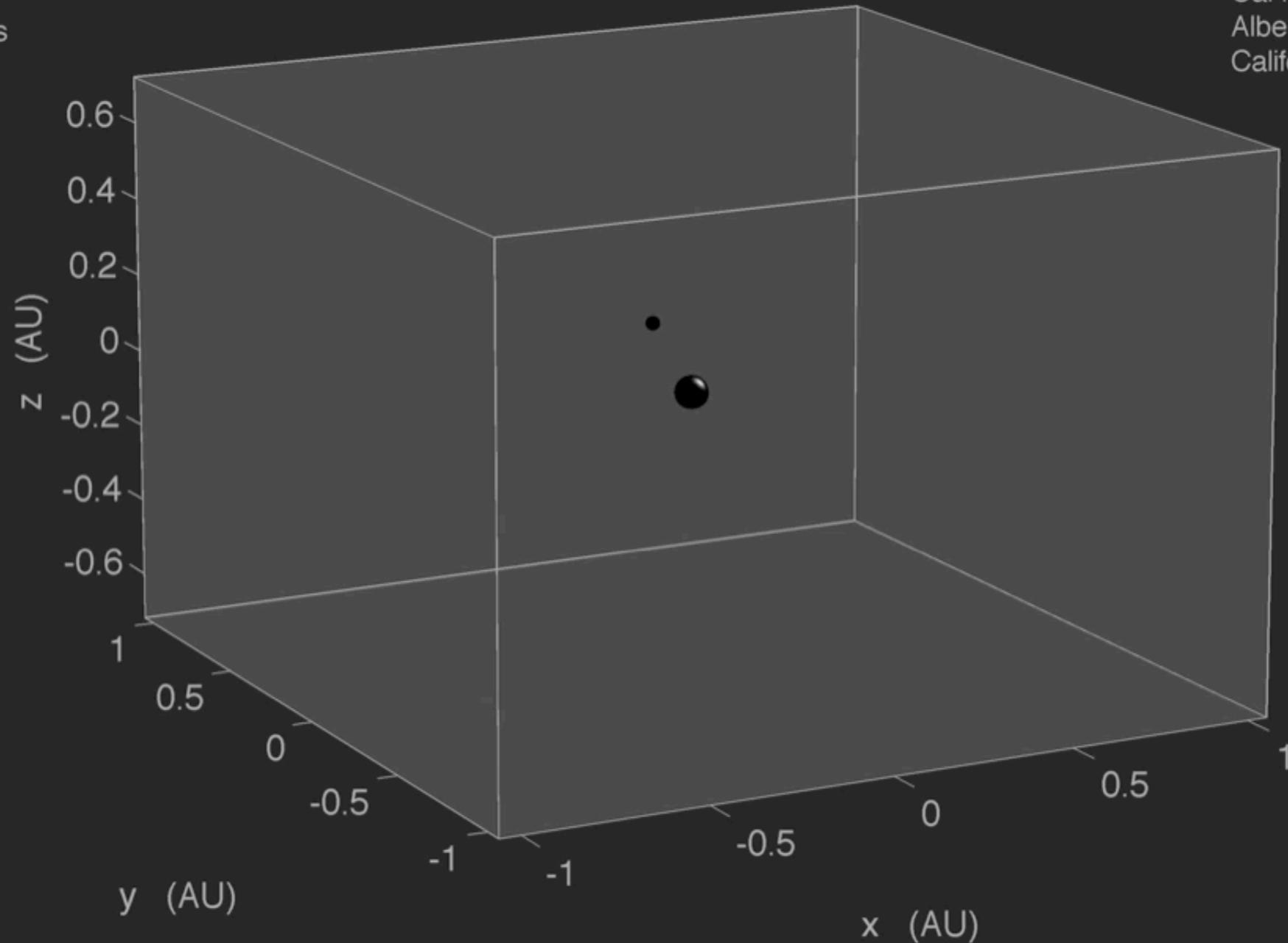
Extreme mass-ratio inspirals

Large black hole:
shown to scale
3,000,000 solar masses
90% maximal spin

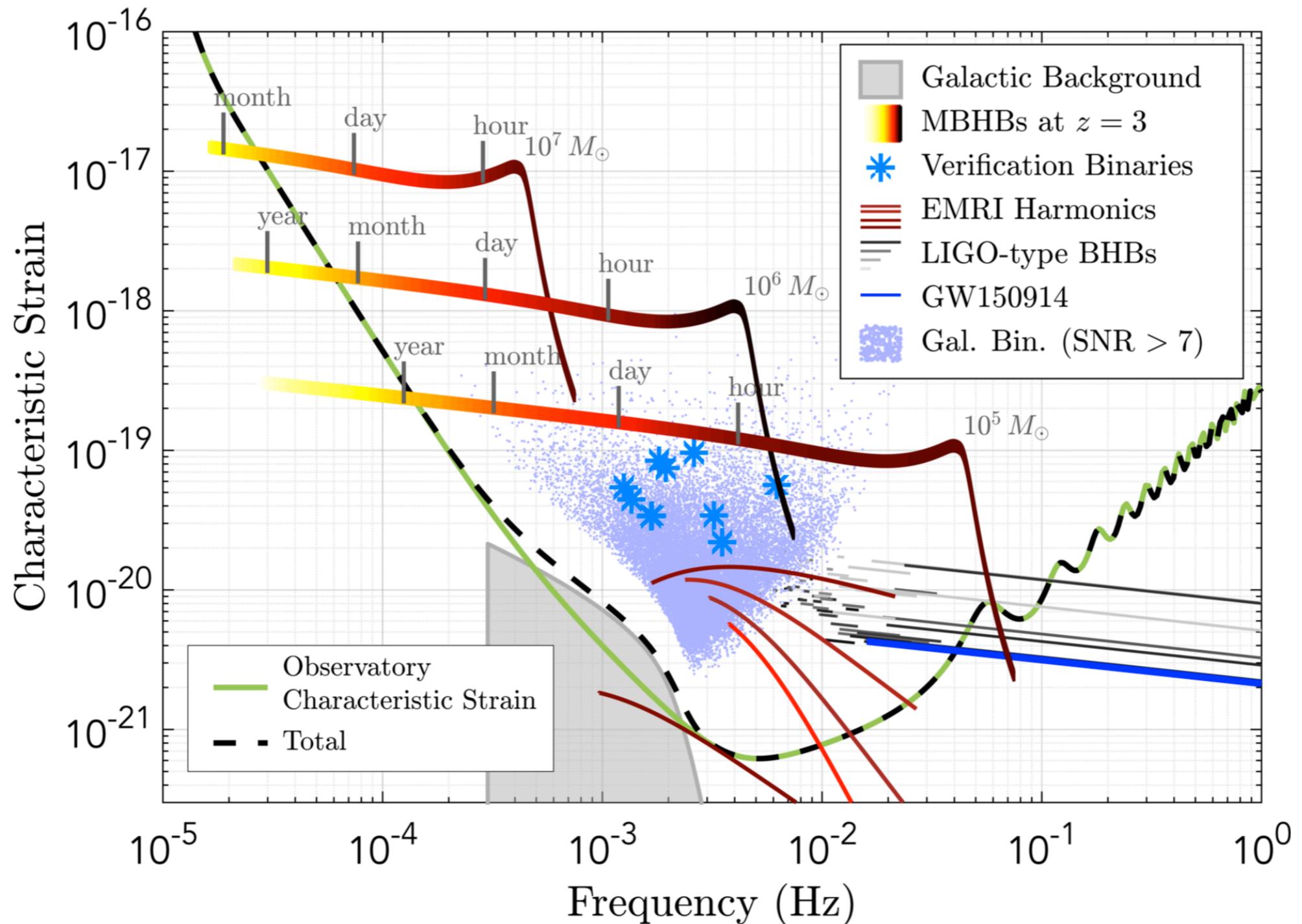
Small black hole:
shown enlarged
270 solar masses
negligible spin

Trace duration:
1 day

Steve Drasco
Cal Poly, San Luis Obispo
Albert Einstein Institute
California Institute of Technology



EMRIs as LISA sources

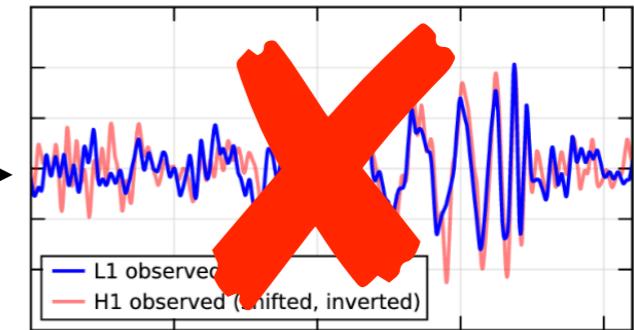


Goal: detect and estimate parameters for
extreme mass-ratio inspirals (EMRIs)

The need for accurate waveforms

Instantaneous signal-to-noise ratio (SNR) is very low →

With **matched filtering** we expect SNRs of 10-50



Can write waveform as:

$$h_+(t) + i h_\times(t) = \underline{A(t)} e^{i\underline{\Phi(t)}}$$

Phase
Amplitude

Phase accumulates as:

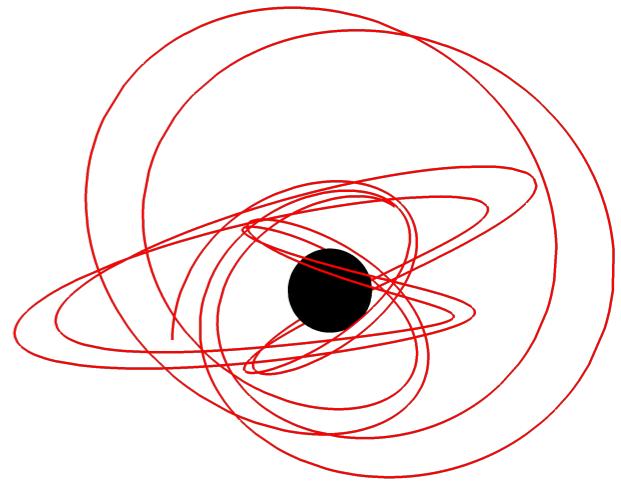
$$\Phi(t) \propto \epsilon^{-1}$$

Check: does this make sense?
Must be a negative power as in
geodesic limit the particle never
plunges

Typical EMRI accumulates **tens of thousands of radians** of phase whilst in LISA band

Over this long waveform our templates cannot lose more than a fraction of a radian for matched filtering to work

The EMRI parameter space



Extrinsic parameters:

(only changes how the GWs projects on to the detector)

- Position on sky (2)
- Orientation (2)
- Azimuthal phase at t_0

Intrinsic parameters:

(fundamental changes the GWs)

- Distance to source
- Mass of primary, M
- Spin magnitude of primary, a
- Mass of secondary, m
- Spin magnitude of secondary
- Spin orientation of secondary (2)
- radial/polar phase at t_0 (2)
- constants of motion at t_0 (3)

Very large parameter space (17 parameters - 12 intrinsic)

Not only do we need waveforms accurate to a fraction of a radian over 100,000+ radians of accumulated phase, we also need **billions** of waveforms

Huge **open** data analysis task

Science deliverables with EMRIs

Supposing that:

- EMRIs exist
- LISA is flying
- We have accurate waveform models

What can we learn about the universe?

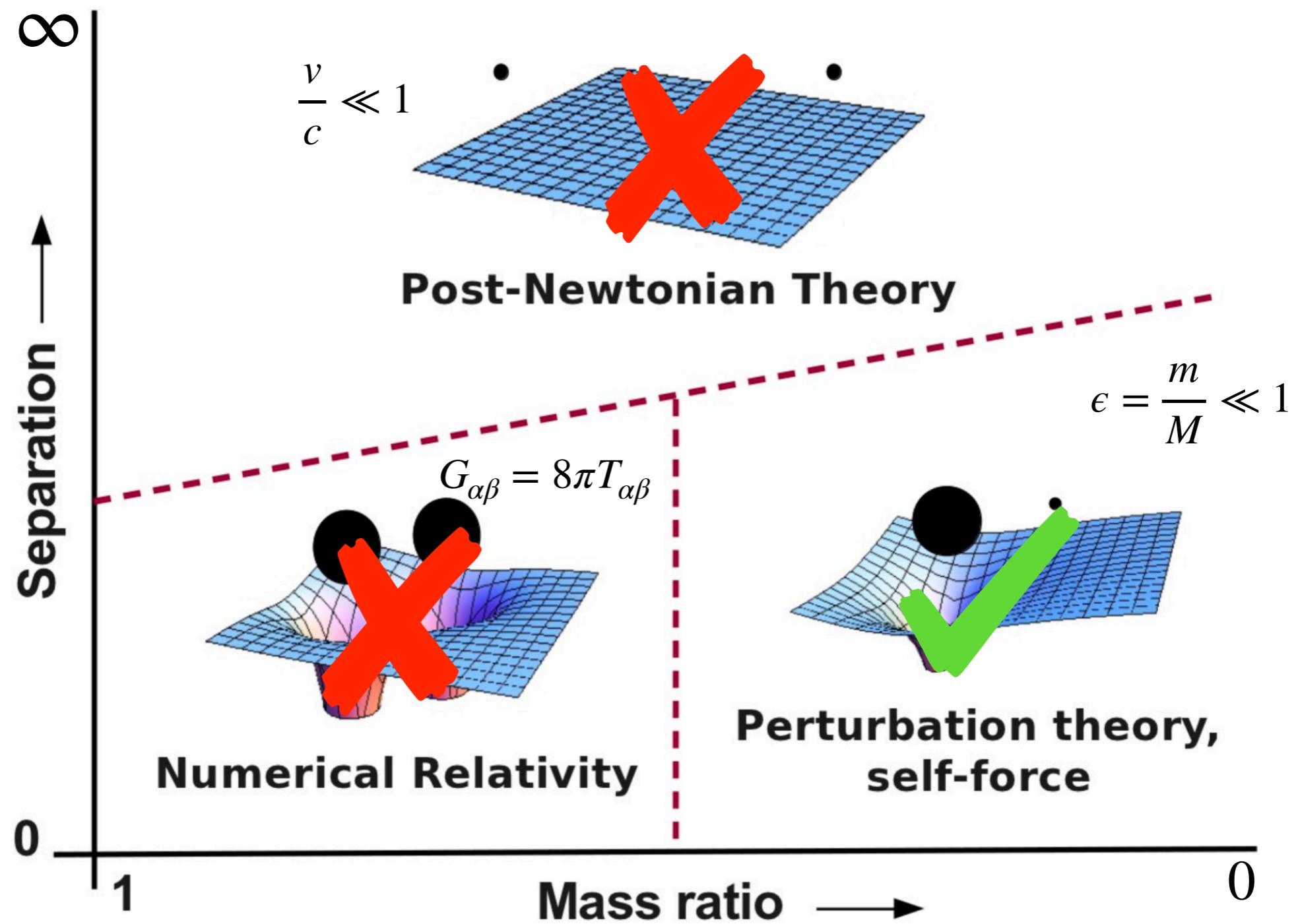
Astrophysics

- Measure massive black hole properties out to a redshift $z \sim 4$: $\delta M/M < 10^{-4}$, $\delta m/m < 10^{-3}$, $\delta a/a < 10^{-3}$
- Learn about dense stellar environment around massive black holes
- Survey massive black holes in nearby universe: informs structure formation models

Fundamental physics

- Measure the quadrupole moment of the central object $\delta Q/Q < 10^{-2}$. This allows the Kerr hypothesis to be tested
- Test propagation of GWs
- Test for massive fields around MBHs
- Measure Hubble parameter (if the EMRI can be localized)

Modelling approaches



Credit: Leor Barack

Black Hole Perturbation Theory: what is it?

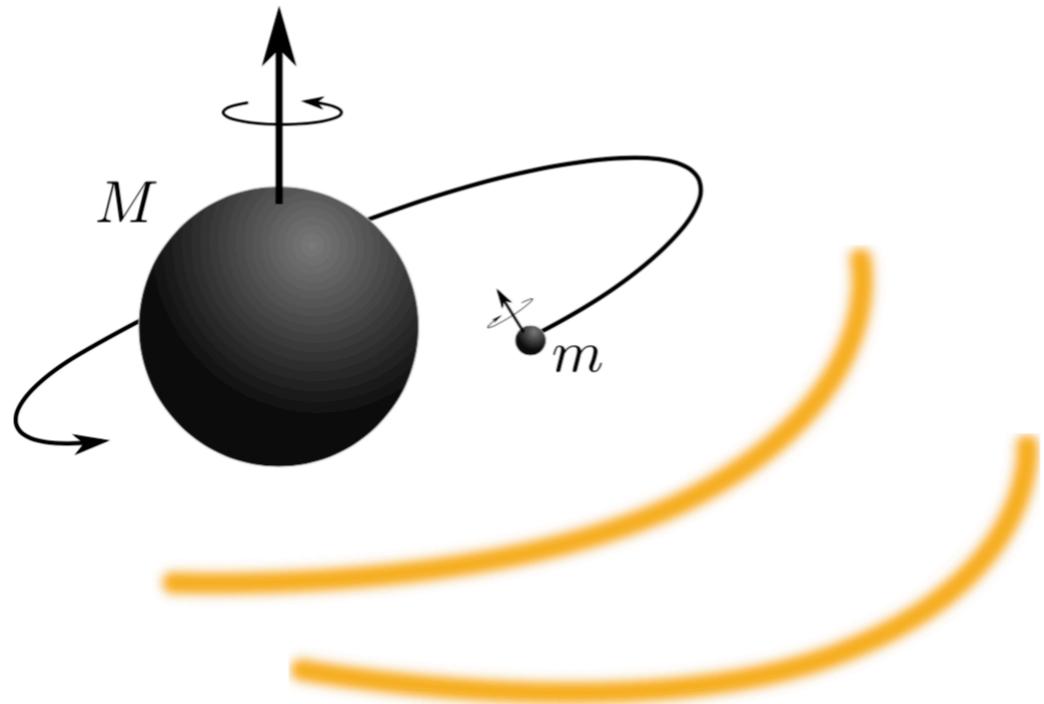


Image credit: A. Pound

Use mass ratio, $\epsilon = m/M$, as a mass parameter

$$g_{\alpha\beta} = \bar{g}_{\alpha\beta} + \epsilon h_{\alpha\beta}^{(1)} + \epsilon^2 h_{\alpha\beta}^{(2)} + \mathcal{O}(\epsilon^3)$$

Schwarzschild or Kerr

Model secondary as a point particle

$$T_{\alpha\beta} = m \int_{-\infty}^{\infty} |\bar{g}|^{-1/2} \delta^4(x^\mu - z^\mu) u_\alpha u_\beta d\tau$$

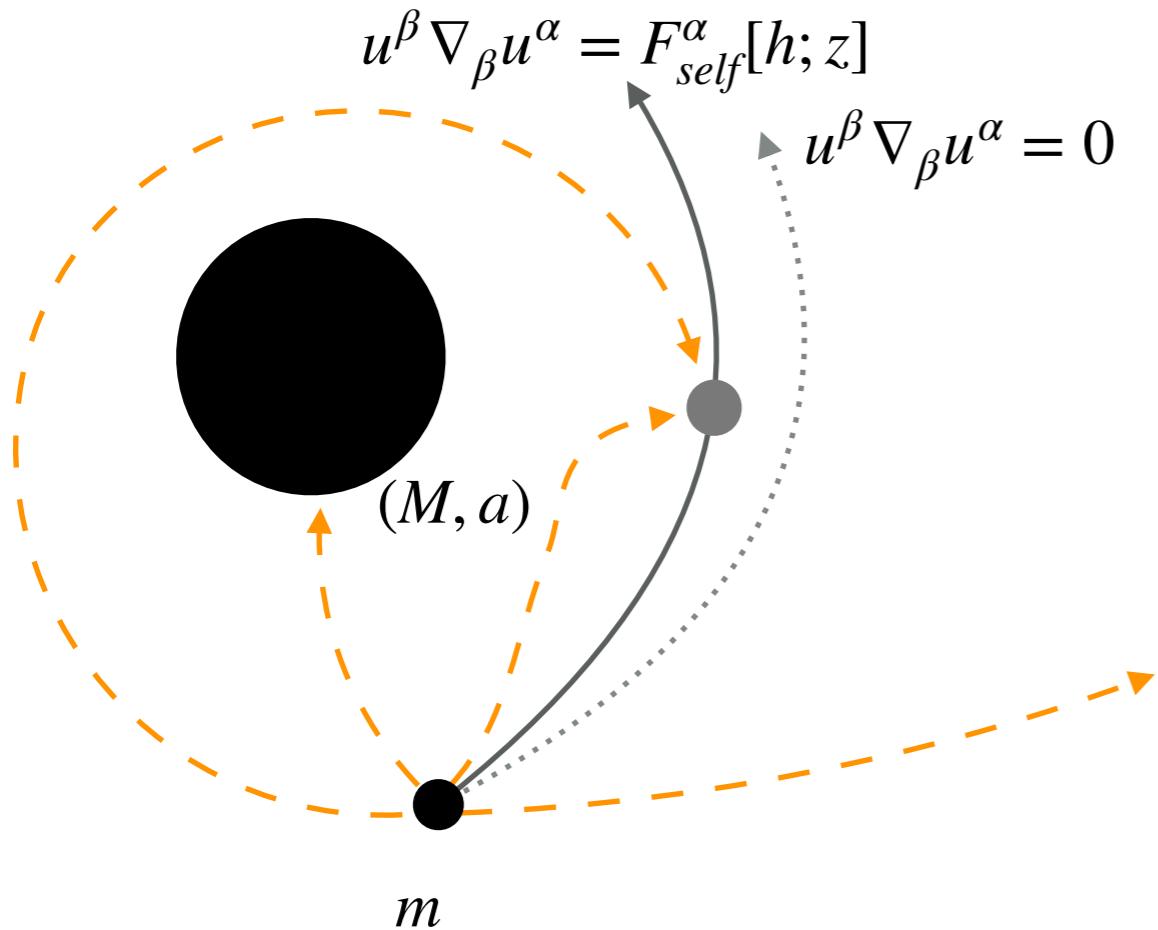
Substitute into the Einstein equation

$$G_{\alpha\beta}[g] = 8\pi T_{\alpha\beta} \quad \text{and expand order-by-order}$$

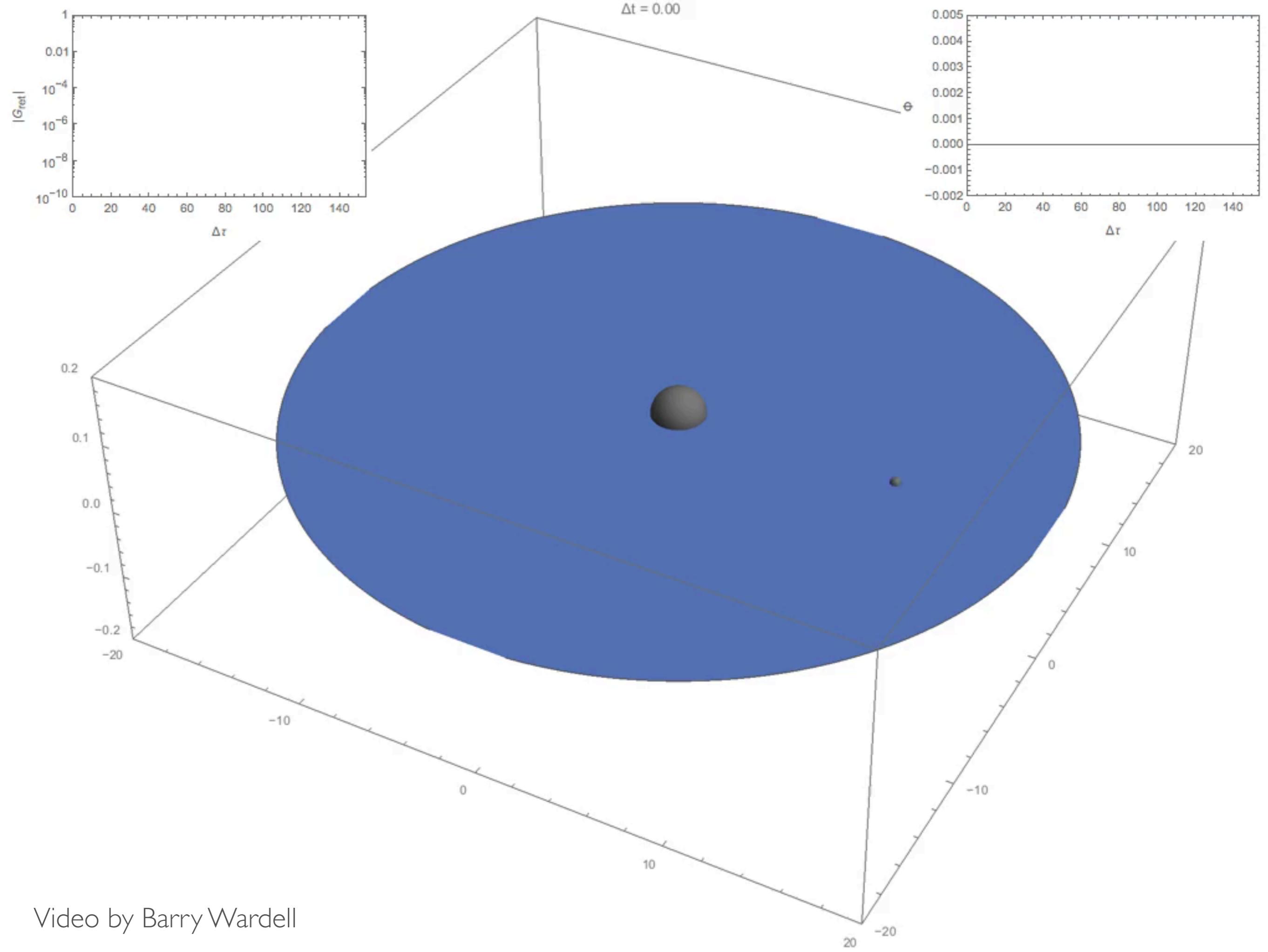
Equations of motion

$$u^\beta \nabla_\beta u^\alpha = F_{self}^\alpha[h; z]$$

Black Hole Perturbation Theory: what is it?

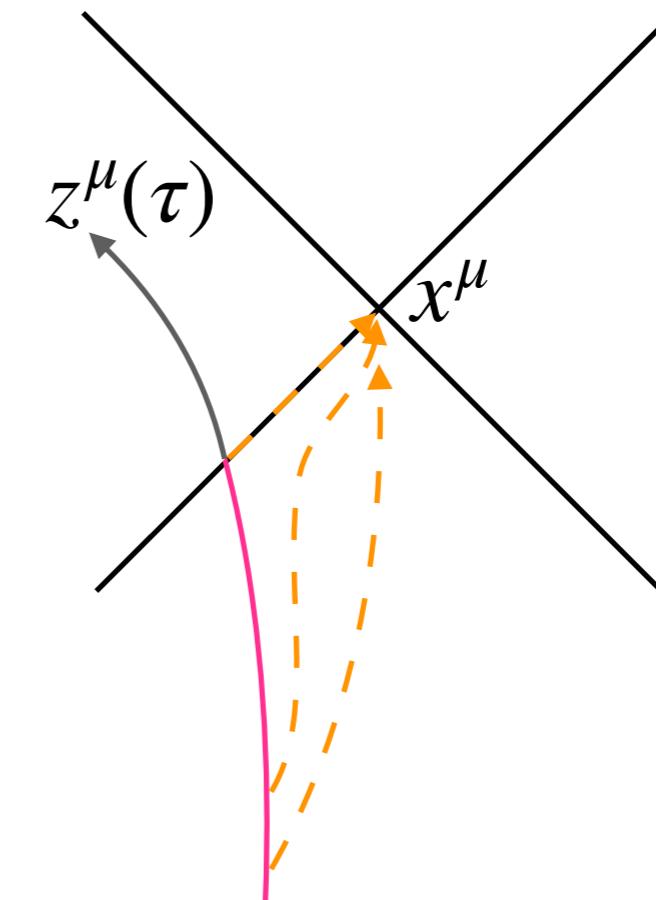
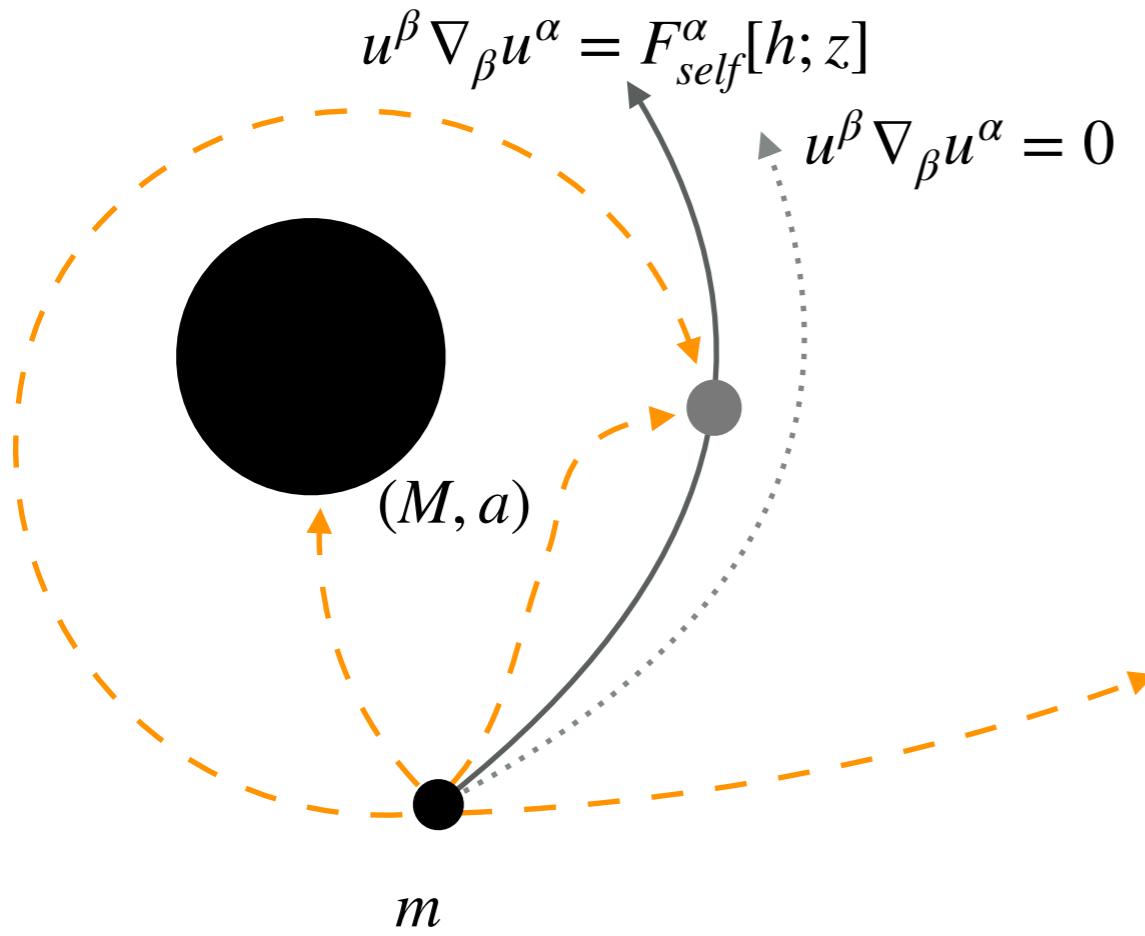


The force at a given spacetime point depends upon the **local** metric perturbation, which is a functional of the entire **past history** of the particle



Video by Barry Wardell

Black Hole Perturbation Theory: what is it?



The force at a given instance depends upon the **local** metric perturbation, which is a functional of the entire **past history** of the particle

$$F_{self}^\alpha[z_\mu(\tau)] = \lim_{x^\mu \rightarrow z^\mu(\tau)} F[\nabla^\alpha h(x^\mu)]$$

As defined, this diverges in the limit. Thus we need to **regularize**

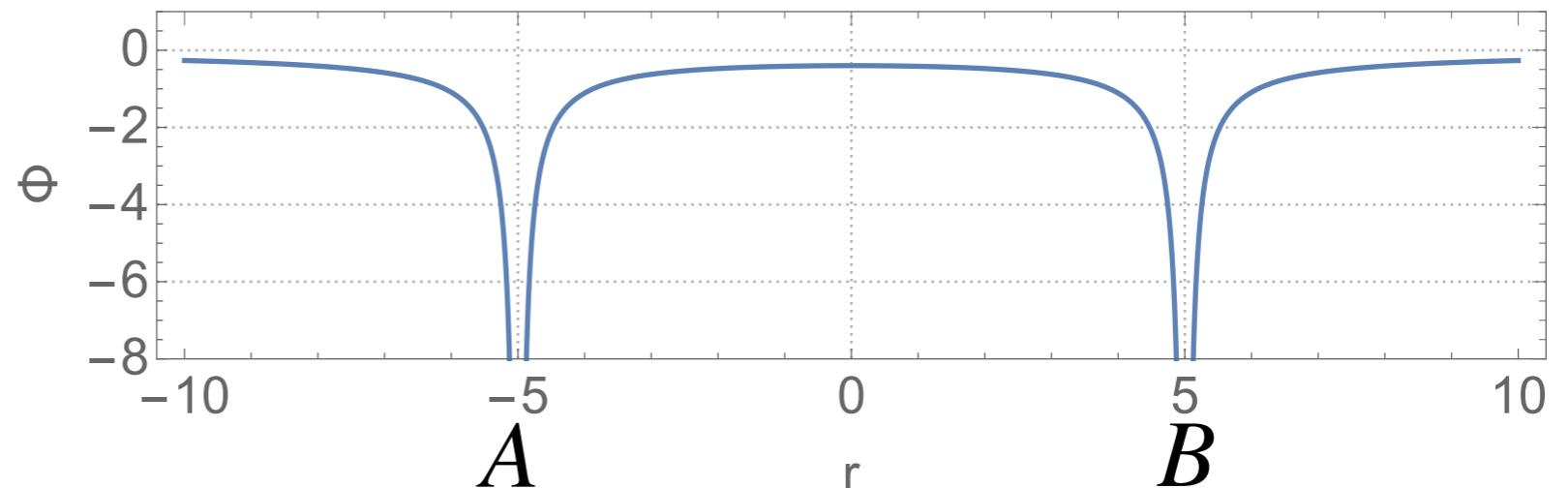
Defining the self-force: regular/singular split

We model the secondary as a point particle

This necessitates **regularisation**

Example: electrostatics

$$F_{\overrightarrow{BA}} = k_e \frac{q_A q_B}{r^2} = \partial_r \Phi$$

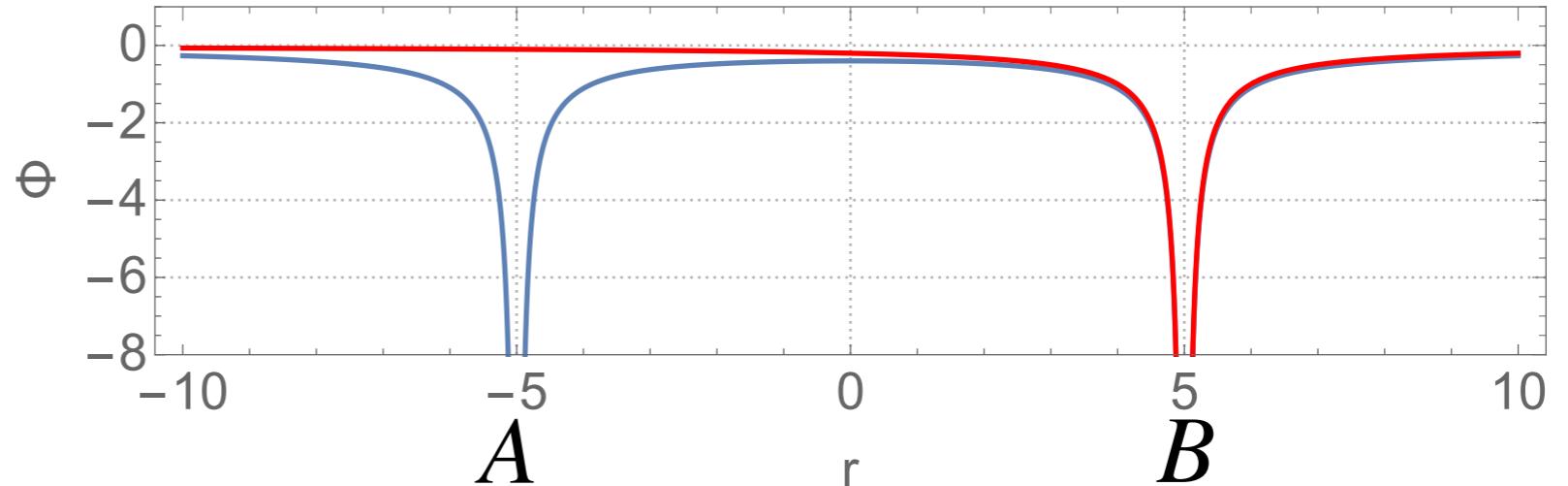


Defining the self-force: regular/singular split

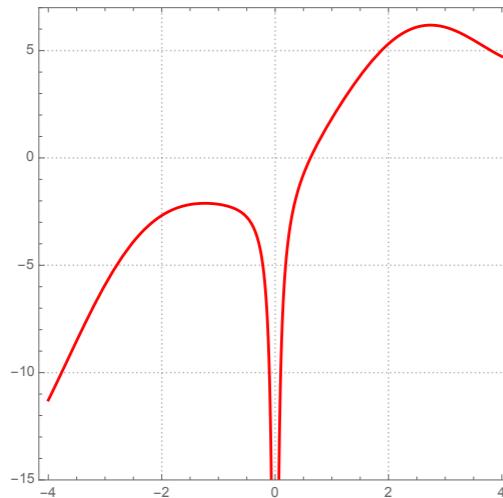
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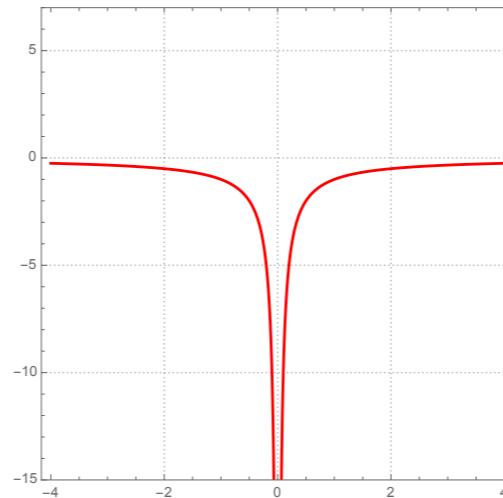


Do the same for the metric perturbation (locally)



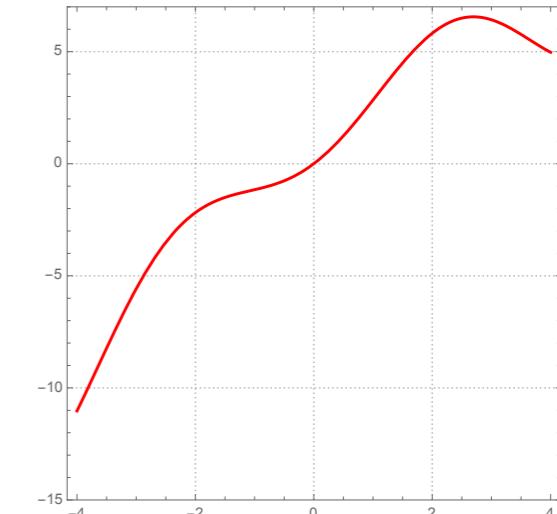
h^{ret}

=



h^S

+



h^R

The self-force depends on the derivative
of the **regular** metric perturbation

$$F_{self}^\alpha[z_\mu(\tau)] = \lim_{x^\mu \rightarrow z^\mu(\tau)} F[\nabla^\alpha h^R]$$

Black Hole Perturbation Theory: field equations

$$G_{\alpha\beta}[\bar{g}_{\alpha\beta} + \epsilon h_{\alpha\beta}^{(1)} + \epsilon^2 h_{\alpha\beta}^{(2)}] = 8\pi T_{\alpha\beta}$$

Field equations from ϵ^n coefficients:

$$\epsilon^0 : \quad G_{\alpha\beta}[\bar{g}] = 0$$

$$\epsilon^1 : \quad G_{\alpha\beta}^1[h^1] = 8\pi T_{\alpha\beta}$$

$$\epsilon^2 : \quad G_{\alpha\beta}^1[h^2] + G_{\alpha\beta}^2[h^1, h^1] = 0$$

Black Hole Perturbation Theory: field equations

$$G_{\alpha\beta}[\bar{g}_{\alpha\beta} + \epsilon h_{\alpha\beta}^{(1)} + \epsilon^2 h_{\alpha\beta}^{(2)}] = 8\pi T_{\alpha\beta}$$

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Black Hole Perturbation Theory: field equations

$$G_{\alpha\beta}[\bar{g}_{\alpha\beta} + \epsilon h_{\alpha\beta}^{(1)} + \epsilon^2 h_{\alpha\beta}^{(2)}] = 8\pi T_{\alpha\beta}$$

Field equations from ϵ^n coefficients:

$$\epsilon^0 : \quad G_{\alpha\beta}[\bar{g}] = 0$$

$$\epsilon^1 : \quad G_{\alpha\beta}^1[h^{1S} + h^{1R}] = 8\pi T_{\alpha\beta}$$

$$\epsilon^2 : \quad G_{\alpha\beta}^1[h^{2S} + h^{2R}] = -G_{\alpha\beta}^2[h^1, h^1]$$

Black Hole Perturbation Theory: field equations

$$G_{\alpha\beta}[\bar{g}_{\alpha\beta} + \epsilon h_{\alpha\beta}^{(1)} + \epsilon^2 h_{\alpha\beta}^{(2)}] = 8\pi T_{\alpha\beta}$$

Field equations from ϵ^n coefficients:

Mino, Sasaki, Tanaka 1997

Quinn and Wald 1997

MiSaTaQuWa equations

$$\epsilon^0 : \quad G_{\alpha\beta}[\bar{g}] = 0$$

$$\epsilon^1 : \quad G_{\alpha\beta}^1[h^{1R}] = 8\pi T_{\alpha\beta} - G_{\alpha\beta}^1[\underline{h^{1S}}]$$

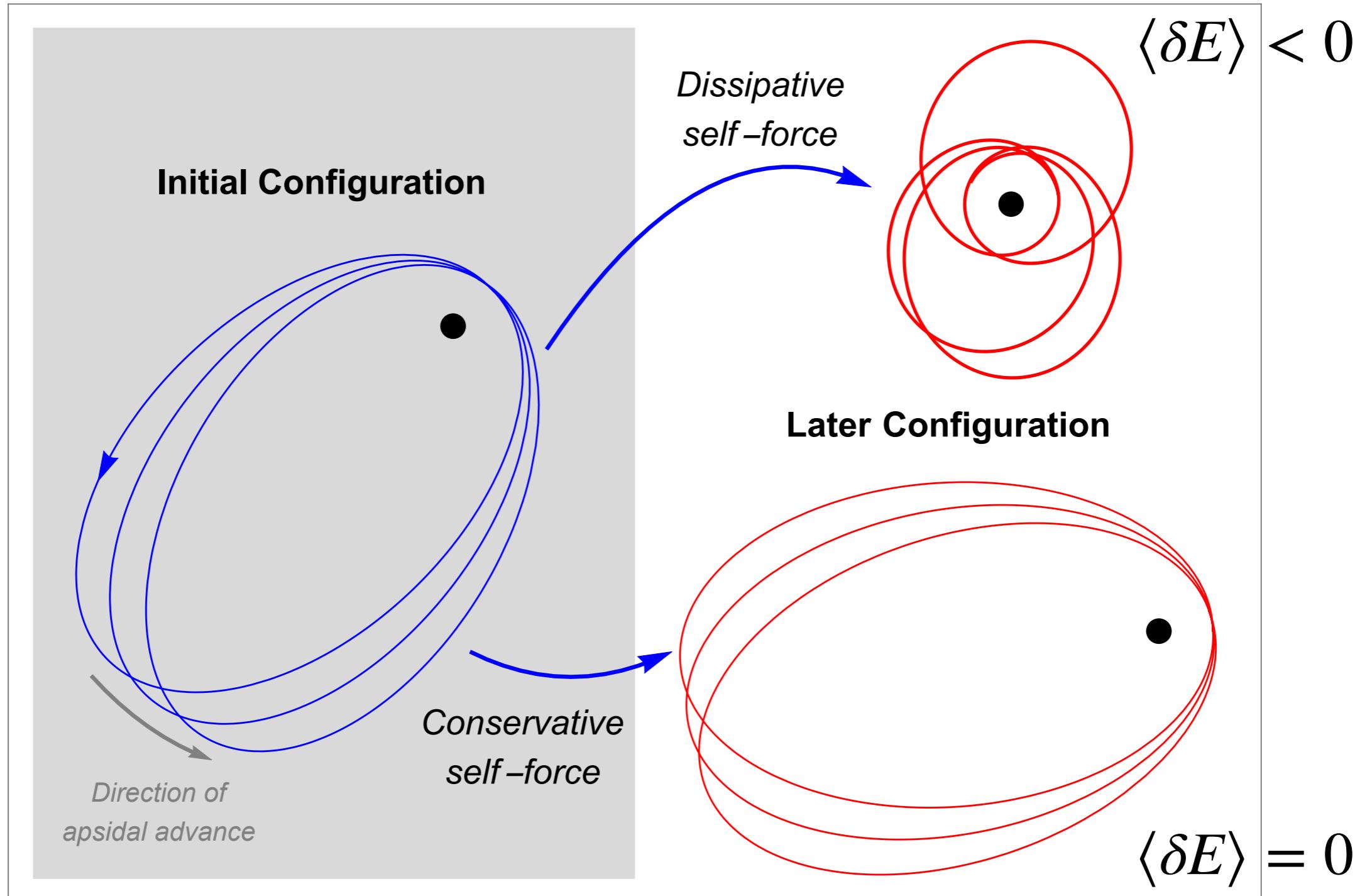
$$\epsilon^2 : \quad G_{\alpha\beta}^1[h^{2R}] = -G_{\alpha\beta}^2[h^1, h^1] - G_{\alpha\beta}^1[\underline{h^{2S}}]$$

Equations of motion

Pound 2012
Gralla 2012

$$u^\beta \nabla_\beta u^\alpha = F_{self}^\alpha[\nabla h^{1R}, \nabla h^{2R}]$$

Effects of the self-force



Black Hole Perturbation Theory: what is needed?

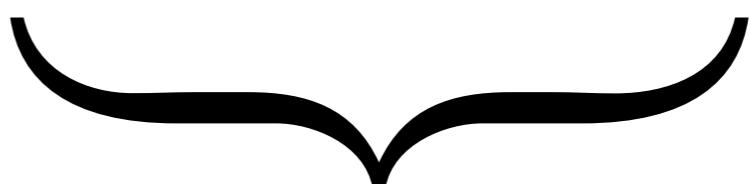
Crucial question: how high in the expansion in ϵ do we need to go?

Two time-scale analysis shows [1]:

$$\Phi = \epsilon^{-1} \Phi_0 \left[\langle h_{\text{diss}}^1 \rangle \right] + \epsilon^0 \Phi_1 \left[h_{\text{diss,osc}}^1 + h_{\text{cons}}^1 + \langle h_{\text{diss}}^2 \rangle \right] + \mathcal{O}(\epsilon)$$



Adiabatic order



Post-adiabatic order

$\langle h_{\text{diss}}^1 \rangle$ can be obtained
from flux balance

All 3 require calculation
of local, **regular** metric
perturbation

Black Hole Perturbation Theory: state of the art

$$\Phi(t) = \epsilon^{-1} \Phi_0 \left[\langle h_{\text{diss}}^1 \rangle \right] + \Phi_1 \left[h_{\text{diss,osc}}^1 + h_{\text{cons}}^1 + \langle h_{\text{diss}}^2 \rangle \right] + \mathcal{O}(\epsilon)$$

Flux for Circular,
equatorial orbit in Kerr
(Detweiler 1970s)

Circular orbit in
Schwarzschild
(Barack, Sago 2007)

Recent breakthrough:
Pound, Wardell, NW, Miller
(2019)

Fluxes for fully generic
orbits in Kerr
(Hughes +, 2006)
But no systematic
exploration of
parameter space

Generic orbits in Kerr
spacetime
(van de Meent, 2017)
but code very slow and
only run for 4 points in
the parameter space

First dissipative results
in 2020? (for circular orbit
in Schwarzschild)

Vast amount of remaining
theoretical and practical
work

Black Hole Perturbation Theory: computational approaches

In practice you have to choose a gauge. Common choices are:

Lorenz gauge: $\nabla^\alpha \bar{h} = 0$

- Solve for 10 coupled components of the metric perturbation
- Regularisation well understood
- Challenging in Kerr spacetime

Teukolsky formalism and radiation gauge:

- Solve Eqs. for the perturbed Weyl scalars
- Single scalar field to solve for
- Good for fluxes
- Hard to regularize

Numerical approaches:

Always decompose into modes

$$h_{\alpha\beta}(t, r, \theta, \phi) = \sum_{ilm} h_{lm}(t, r) Y_{\alpha\beta}^{(i)lm}(\theta, \phi)$$

PDEs:

- 1+1D equations in Schwarzschild
- 2+1D equations in Kerr

$$h_{\alpha\beta}(t, r, \theta, \phi) = \sum_{ilm\omega} h_{lm\omega}(r) Y_{\alpha\beta}^{(i)lm}(\theta, \phi) e^{-i\omega t}$$

Fourier decompose to get ODEs

The Black Hole Perturbation Toolkit

That all sounds very interesting,
but there are a lot of moving
parts. Where would I get started?

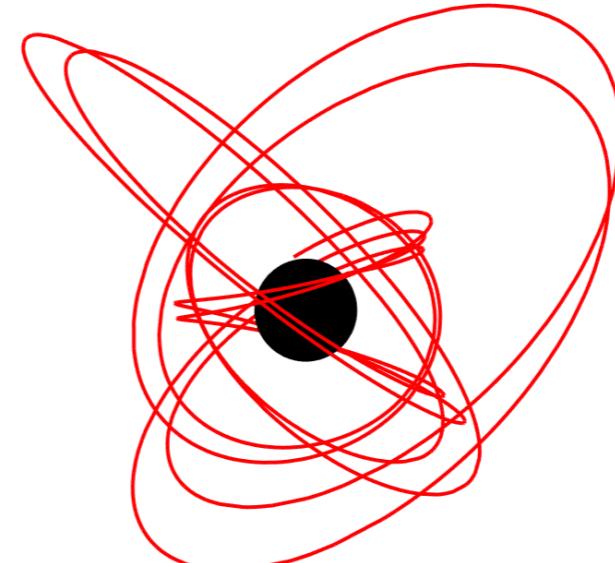
The Black Hole Perturbation
Toolkit (bhptoolkit.org)

Free and open-source repository
of code and data

Example: bound timeline
geodesics in Kerr spacetime



```
In[1]:= << KerrGeodesics`  
  
In[6]:= a = 0.998; p = 4; e = 0.5; x = Cos[\pi/4];  
orbit = KerrGeoOrbit[a, p, e, x]  
  
Out[7]= KerrGeoOrbitFunction[0.998, 4, 0.5, 0.707107, <<>>]  
  
In[8]:= {t, r, \theta, \varphi} = orbit["Trajectory"];  
  
In[10]:= Show[ParametricPlot3D[{r[\lambda] Sin[\theta[\lambda]] Cos[\varphi[\lambda]], r[\lambda] Sin[\theta[\lambda]] Sin[\varphi[\lambda]],  
r[\lambda] Cos[\theta[\lambda]]}, {\lambda, 0, 2\pi}, ImageSize -> 500, Boxed -> False,  
Axes -> False, PlotStyle -> Red, PlotRange -> All],  
Graphics3D[{Black, Sphere[{0, 0, 0}, 1 + Sqrt[1 - 0.998^2]}]]]  
  
Out[10]=
```



The Black Hole Perturbation Toolkit

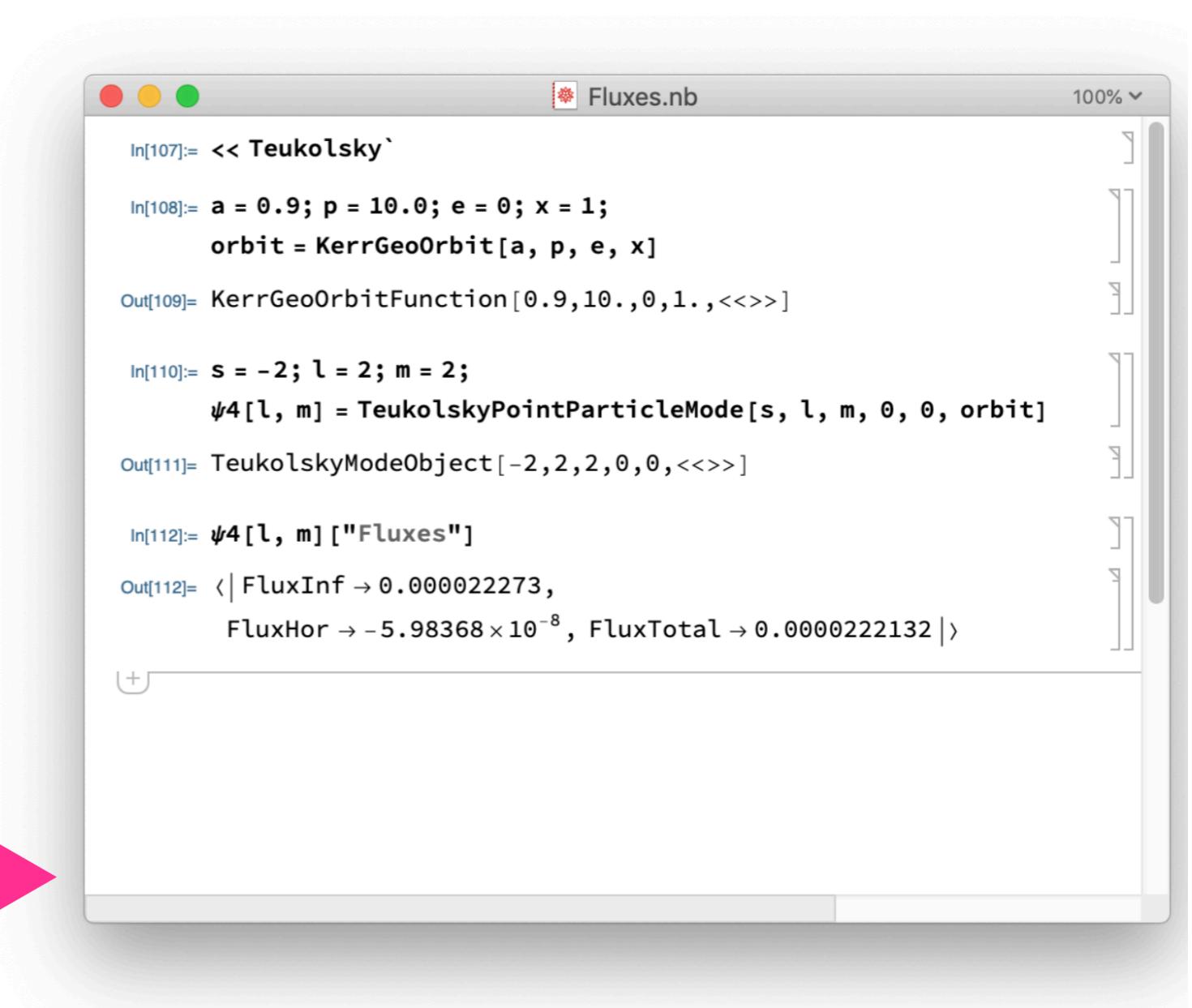
That all sounds very interesting,
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The Black Hole Perturbation
Toolkit (bhptoolkit.org)

Free and open-source repository
of code and data

Example: bound timeline
geodesics in Kerr spacetime

Example: compute the
radiated flux for a particle on a
circular orbit in Kerr spacetime



```
In[107]:= << Teukolsky`  
  
In[108]:= a = 0.9; p = 10.0; e = 0; x = 1;  
orbit = KerrGeoOrbit[a, p, e, x]  
  
Out[109]= KerrGeoOrbitFunction[0.9, 10., 0, 1., <>>]  
  
In[110]:= s = -2; l = 2; m = 2;  
ψ4[l, m] = TeukolskyPointParticleMode[s, l, m, 0, 0, orbit]  
  
Out[111]= TeukolskyModeObject[-2, 2, 2, 0, 0, <>>]  
  
In[112]:= ψ4[l, m]["Fluxes"]  
  
Out[112]= <| FluxInf → 0.000022273,  
FluxHor → -5.98368 × 10-8, FluxTotal → 0.0000222132 |>
```

Also have code in C++/python/SageMath and repositories of data (post-Newtonian series, regularisation parameters, numerical results)

Recap

- ♪ EMRIs and what makes them unique
- ♪ Science deliverables with EMRIs
- ♪ Modelling approaches
- ♪ Black Hole Perturbation Theory
 - Field equations
 - Self-forced equations of motion
 - Regular/singular split
 - Computational methods

Useful references

Introductory review to self-force:

- L. Barack, A. Pound, <https://arxiv.org/abs/1805.10385>

More advanced review of self-force

- E. Poisson, A. Pound, I. Vega, <https://arxiv.org/abs/1102.0529>

Kerr bound geodesics in Kerr spacetime:

- W. Schmidt, <https://arxiv.org/abs/gr-qc/0202090>
- R. Fujita, W. Hikida, <https://arxiv.org/abs/0906.1420>

Solving Teukolsky equation:

- S. Drasco, S. Hughes, <https://arxiv.org/abs/gr-qc/0509101>
- M. van de Meent, <https://arxiv.org/abs/1711.09607>

Two-timescale analysis of EMRIs:

- T. Hinderer, E. Flanagan, <https://arxiv.org/abs/0805.3337>

Second-order self-force:

- A. Pound, B. Wardell, N. Warburton, J. Miller, <https://arxiv.org/abs/1908.07419>