

Air Force Cadet-Career Problem Optimization Model Formulation

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Primary Sets and Indices

$i \in \mathcal{I}$	the set of all cadets
$j \in \mathcal{J}$	the set of all AFSCs
$k \in \mathcal{K}$	the set of all AFSC objectives
$l \in \mathcal{L}_{jk}$	the set of all breakpoints for objective k 's function for AFSC j

Subsets and Indices

$\mathcal{K}^D \subseteq \mathcal{K}$	the set of AFSC objectives that seek some proportion of cadets with certain demographics. The objectives to balance the "USAFA Proportion", the AFOCD tiers, etc. are contained here.
$\mathcal{I}^C \subseteq \mathcal{I}$	the set of cadets with constrained minimum values
$\mathcal{I}_j^E \subseteq \mathcal{I}$	the set of cadets that are eligible for AFSC j
$\mathcal{I}_{jk}^D \subseteq \mathcal{I}_j^E$	the set of cadets with the demographic that corresponds to objective $k \in \mathcal{K}^D$ and are eligible for AFSC j . Depending on the value of k , this could be the set of USAFA, male, or minority cadets that are eligible for AFSC j or the set of cadets with specific AFOCD tier degrees for AFSC j
$\mathcal{J}^C \subseteq \mathcal{J}$	the set of AFSCs with constrained minimum values
$\mathcal{J}_i^E \subseteq \mathcal{J}$	the set of AFSCs for which cadet i is eligible
$\mathcal{K}_j^A \subseteq \mathcal{K}$	the set of objectives that apply to AFSC j
$\mathcal{K}_j^C \subseteq \mathcal{K}_j^A$	the set of objectives with constrained minimum values for AFSC j
$\mathcal{I}^R \subseteq \mathcal{I}$	the set of all rated-eligible cadets by source of commissioning (SOC)
$\mathcal{J}^R \subseteq \mathcal{J}$	the set of all rated AFSCs
$\mathcal{I}^{SF} \subseteq \mathcal{I}$	the set of all cadets that MUST be classified to the Space Force
$\mathcal{J}^{SF} \subseteq \mathcal{J}$	the set of all Space Force AFSCs (SFSCs)
$\mathcal{I}^{Reserved} \subseteq \mathcal{I}$	the set of cadets with reserved AFSCs (See Algorithm 1)
$\mathcal{J}_i^{Reserved} \subseteq \mathcal{J}$	the set of AFSCs that cadet i MUST be assigned to (See Algorithm 1)
$\mathcal{I}^{U1} \subseteq \mathcal{I}$	the set of all USAFA Cadets
$\mathcal{I}^{R2} \subseteq \mathcal{I}$	the set of all ROTC Cadets
$\mathcal{I}_j^{Alt} \subseteq \mathcal{I}_j^E$	the set of all cadets on AFSC j 's alternate list
$\mathcal{I}_{ji}^{Pref} \subseteq \mathcal{I}_j^E$	the set of all cadets that are more preferred by AFSC j than cadet i
$\mathcal{J}_{ji}^{Pref} \subseteq \mathcal{J}_i^E$	the set of all AFSCs that are more preferred by cadet i than AFSC j

Fixed Parameters

$merit_i$	percentile of cadet i
$cadet_utility_{ij}$	utility that cadet i has assigned for AFSC j
$afsc_utility_{ij}$	utility that AFSC j has assigned for cadet i
$quota_j$	the minimum number of cadets to be assigned to AFSC j
$quota_j^e$	the estimated number of cadets to be assigned to AFSC j

VFT Value Function Parameters

$f_{jk}(\cdot)$	value function chosen by AFSC j for objective k
r_{jk}	number of breakpoints for objective k 's function for AFSC j
a_{jkl}	measure at breakpoint l for objective k 's function for AFSC j
\hat{f}_{jkl}	value at breakpoint l for objective k 's function for AFSC j

VFT Weight Parameters

$objective_weight_{jk}$	the weight on objective k for AFSC j
$afsc_weight_j$	the weight on AFSC j relative to the other AFSCs
$cadet_weight_i$	the weight on cadet i relative to the other cadets
$afscs_overall_weight$	the weight on the AFSCs as a whole relative to the cadets
$cadets_overall_weight$	the weight on the cadets as a whole relative to the AFSCs

VFT/GUO Shared Value Parameters

$objective_min_{jk}$	the minimum measure of objective k for AFSC j
$objective_max_{jk}$	the maximum measure of objective k for AFSC j
$cadet_value_min_i$	the minimum value for cadet i
$global_utility_{ij}$	combined afsc/cadet utility on AFSC j for cadet i $cadets_overall_weight \cdot cadet_utility_{ij}$ $+ afscs_overall_weight \cdot afsc_utility_{ij}$

Space Force and AF Rated Parameters

sf_merit_up	upper bound on average OM for the Space Force
sf_merit_lo	lower bound on average OM for the Space Force
$sf_quota_up^{U1}$	upper bound on number of USAFA cadets entering SFSCs
$sf_quota_lo^{U1}$	lower bound on number of USAFA cadets entering SFSCs
$sf_quota_up^{R2}$	upper bound on number of ROTC cadets entering SFSCs
$sf_quota_lo^{R2}$	lower bound on number of ROTC cadets entering SFSCs

Decision Variables

x_{ij} 1 if cadet i is assigned to AFSC j , and 0 otherwise

AFSC Objective Auxiliary Variables

$count_j$ number of cadets assigned to AFSC j ,

$$\sum_{i \in \mathcal{I}_j^E} x_{ij}$$

$usafa_count_j$ number of USAFA cadets assigned to AFSC j ,

$$\sum_{i \in \mathcal{I}_{jk}^D} x_{ij} \text{ where } k = \text{“USAFA Proportion”}$$

$rotc_count_j$ number of ROTC cadets assigned to AFSC j ,

$$count_j - usafa_count_j$$

$measure_{jk}$ real measure of objective k for AFSC j ,

$$\begin{cases} \frac{\sum_{i \in \mathcal{I}_{jk}^D} x_{ij}}{count_j}, & \text{if } k \in \mathcal{K}^D \\ \frac{\sum_{i \in \mathcal{I}_j^E} merit_i \cdot x_{ij}}{count_j}, & \text{if } k = \text{“Balance Merit”} \\ \frac{\sum_{i \in \mathcal{I}_j^E} cadet_utility_{ij} \cdot x_{ij}}{count_j}, & \text{if } k = \text{“Maximize Utility”} \\ count_j, & \text{if } k = \text{“Meet Combined Quota”} \\ usafa_count_j, & \text{if } k = \text{“Meet USAFA Quota”} \\ rotc_count_j, & \text{if } k = \text{“Meet ROTC Quota”} \end{cases}$$

$value_{jk}$ value of objective k for AFSC j obtained through value function $f_{jk}(\cdot)$,

$$f_{jk}(measure_{jk})$$

AFSC Objective Value Function Variables

λ_{jkl} percentage of the line segment between breakpoints l and $l + 1$ that $measure_{jk}$ has yet to travel along the piece-wise function

y_{jkl} 1 if $measure_{jk}$ is on the line segment between breakpoints l and $l + 1$;
0 otherwise

VFT Additional Auxiliary Variables

$afsc_value_j$	the weighted sum of all objective values for AFSC j , $\sum_{k \in \mathcal{K}_j^A} objective_weight_{jk} \cdot value_{jk}$
$cadet_value_i$	the utility obtained for cadet i , $\sum_{j \in \mathcal{J}_i^E} cadet_utility_{ij} \cdot x_{ij}$
$afscs_overall_value$	the weighted sum of all AFSC values, $\sum_{j \in \mathcal{J}} afsc_weight_j \cdot afsc_value_j$
$cadets_overall_value$	the weighted sum of all cadet utilities, $\sum_{i \in \mathcal{I}} cadet_weight_i \cdot cadet_value_i$

Objective Function Variables

Z^{VFT}	VFT Model objective value, $afscs_overall_weight \cdot afscs_overall_value +$ $cadets_overall_weight \cdot cadets_overall_value$
Z^{GUO}	GUO Model objective value, $\sum_{i \in \mathcal{I}} \sum_{j \in \mathcal{J}_i^E} global_utility_{ij} \cdot x_{ij}$

Basic Formulation

$$\text{maximize} \quad Z^{VFT} \quad \text{or} \quad Z^{GUO} \tag{1a}$$

$$\text{subject to} \quad \sum_{j \in \mathcal{J}_i^E} x_{ij} = 1 \quad \forall i \in \mathcal{I} \tag{1b}$$

$$measure_{jk} \geq objective_min_{jk} \quad \forall j \in \mathcal{J}, k \in \mathcal{K}_j^C \tag{1c}$$

$$measure_{jk} \leq objective_max_{jk} \quad \forall j \in \mathcal{J}, k \in \mathcal{K}_j^C \tag{1d}$$

$$cadet_value_i \geq cadet_value_min_i \quad \forall i \in \mathcal{I}^C \tag{1e}$$

$$x_{ij} \in \{0, 1\} \quad \forall i \in \mathcal{I}, j \in \mathcal{J}_i^E \tag{1f}$$

Value Function Constraints (Strictly VFT)

$$measure_{jk} = \sum_{l \in \mathcal{L}_{jk}} a_{jkl} \cdot \lambda_{jkl} \quad \forall j \in \mathcal{J}, k \in \mathcal{K}_j^A \quad (2a)$$

$$value_{jk} = \sum_{l \in \mathcal{L}_{jk}} \hat{f}_{jkl} \cdot \lambda_{jkl} \quad \forall j \in \mathcal{J}, k \in \mathcal{K}_j^A \quad (2b)$$

$$\lambda_{jk1} \leq y_{jk1} \quad \forall j \in \mathcal{J}, k \in \mathcal{K}_j^A \quad (2c)$$

$$\lambda_{jkl} \leq y_{jk(l-1)} + y_{jkl} \quad \forall j \in \mathcal{J}, k \in \mathcal{K}_j^A, l \in \{2, \dots, r_{jk} - 1\} \quad (2d)$$

$$\lambda_{jkr_{jk}} \leq y_{jk(r_{jk}-1)} \quad \forall j \in \mathcal{J}, k \in \mathcal{K}_j^A \quad (2e)$$

$$\sum_{l=1}^{r_{jk}-1} y_{jkl} = 1 \quad \forall j \in \mathcal{J}, k \in \mathcal{K}_j^A \quad (2f)$$

$$\sum_{l \in \mathcal{L}_{jk}} \lambda_{jkl} = 1 \quad \forall j \in \mathcal{J}, k \in \mathcal{K}_j^A \quad (2g)$$

$$0 \leq \lambda_{jkl} \leq 1 \quad \forall j \in \mathcal{J}, k \in \mathcal{K}_j^A, l \in \mathcal{L}_{jk} \quad (2h)$$

$$y_{jkl} \in \{0, 1\} \quad \forall j \in \mathcal{J}, k \in \mathcal{K}_j^A, l \in \{1, \dots, r_{jk} - 1\} \quad (2i)$$

Space Force Constraints

$$\sum_{j \in \mathcal{J}^{SF}} \sum_{i \in \mathcal{I}_j^E} \text{merit}_i \cdot \mathbf{x}_{ij} \leq sf_merit_up \cdot \sum_{j \in \mathcal{J}^{SF}} \sum_{i \in \mathcal{I}_j^E} \mathbf{x}_{ij} \quad (3a)$$

$$\sum_{j \in \mathcal{J}^{SF}} \sum_{i \in \mathcal{I}_j^E} \text{merit}_i \cdot \mathbf{x}_{ij} \geq sf_merit_lo \cdot \sum_{j \in \mathcal{J}^{SF}} \sum_{i \in \mathcal{I}_j^E} \mathbf{x}_{ij} \quad (3b)$$

$$sf_quota_lo^{U1} \leq \sum_{j \in \mathcal{J}^{SF}} \sum_{i \in \mathcal{I}_j^E \cap \mathcal{I}^{U1}} \mathbf{x}_{ij} \leq sf_quota_up^{U1} \quad (3c)$$

$$sf_quota_lo^{R2} \leq \sum_{j \in \mathcal{J}^{SF}} \sum_{i \in \mathcal{I}_j^E \cap \mathcal{I}^{R2}} \mathbf{x}_{ij} \leq sf_quota_up^{R2} \quad (3d)$$

$$\sum_{j \in \mathcal{J}^{SF} \cap \mathcal{J}_i^E} \mathbf{x}_{ij} = 1 \quad \forall i \in \mathcal{I}^{SF} \quad (3e)$$

Rated Reserved/Alternate List Constraints

$$\sum_{j \in \mathcal{J}_i^{Reserved}} \mathbf{x}_{ij} = 1 \quad \forall i \in \mathcal{I}^{Reserved} \quad (4a)$$

$$quota_j^{U1} \cdot (1 - \sum_{j' \in \mathcal{J}_{ji}^{Pref}} \mathbf{x}_{ij'}) \leq \sum_{i' \in \mathcal{I}_{ji}^{Pref}} \mathbf{x}_{i'j} \quad \forall j \in \mathcal{J}^R, i \in \mathcal{I}_j^{Alt} \cap \mathcal{I}^{U1} \quad (4b)$$

$$quota_j^{R2} \cdot (1 - \sum_{j' \in \mathcal{J}_{ji}^{Pref}} \mathbf{x}_{ij'}) \leq \sum_{i' \in \mathcal{I}_{ji}^{Pref}} \mathbf{x}_{i'j} \quad \forall j \in \mathcal{J}^R, i \in \mathcal{I}_j^{Alt} \cap \mathcal{I}^{R2} \quad (4c)$$

Algorithm 1 Source of Commissioning (SOC) Rated Board Algorithm

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1: Initialize:
2:    $M^R \leftarrow \emptyset$  (empty set: rated cadet/AFSC matched pair)
3:    $E^R \leftarrow \emptyset$  (empty set: cadets that have exhausted all preferences and cannot be matched)
4: Input:
5:    $\mathcal{I}^R$  all rated eligible cadets from this SOC and their preferences
6:    $\mathcal{J}^R$  all rated AFSCs and their preferences
7: Output:
8:    $\mathcal{J}^{Fixed}$  cadet-AFSC fixed pairs
9:    $\mathcal{J}^{Reserved}$  AFSCs that cadets are reserved for
10:   $\mathcal{I}^{Reserved}$  cadets that have reserved AFSCs
11: while  $|M^R| + |E^R| < |\mathcal{I}^R|$  do
12:    $M^R \leftarrow \emptyset$  (reset matches)
13:   for AFSC  $j \in \mathcal{J}^R$  do
14:      $count \leftarrow 0$  (number of matches this iteration)
15:     for cadet  $i \in \mathcal{I}_j^E \cap \mathcal{I}^R \setminus E^R$  (in order of AFSC  $j$ 's preference) do
16:        $j' \leftarrow$  cadet  $i$ 's most preferred, non-rejected AFSC
17:       if  $j' = j$  and  $count < quota_j$  then
18:          $M^R \leftarrow M^R \cup \{(i, j)\}$ 
19:          $count \leftarrow count + 1$ 
20:       else if  $j' = j$  and  $count = quota_j$  then
21:         AFSC  $j$  reject cadet  $i$ 
22:       if cadet  $i$  rejected by all preferred rated AFSCs then
23:          $E^R \leftarrow E^R \cup \{(i)\}$ 
24:   for cadet, AFSC  $(i, j) \in M^R$  do
25:     if AFSC  $j$  is cadet  $i$ 's true most preferred AFSC then
26:        $\mathcal{J}_i^{Fixed} \leftarrow j$ , ( $x_{ij}$  is fixed at 1 in VFT/GUO models)
27:     else
28:        $\mathcal{I}^{Reserved} \leftarrow \mathcal{I}^{Reserved} \cup \{(i)\}$ 
29:        $\mathcal{J}_i^{Reserved} \leftarrow$  set of cadet  $i$ 's more preferred AFSCs up to and including AFSC  $j$ 

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Algorithm 2 Source of Commissioning (SOC) Rated Alternates Algorithm

Input:

2: \mathcal{I}^R all rated eligible cadets from this SOC and their preferences
 \mathcal{J}^R all rated AFSCs and their preferences
4: \mathcal{I}_j^{Res} cadets currently reserved to each rated AFSC
 \mathcal{I}_j^{Match} cadets currently matched to each rated AFSC
6: Output:
 \mathcal{I}_j^{Alt} cadets on each rated AFSC j 's alternate list
8: \mathcal{I}_{ji}^{Pref} cadets that are more preferred by AFSC j than cadet i
 \mathcal{J}_{ji}^{Pref} AFSCs that are more preferred by cadet i than AFSC j
10: $iterating = True$
while $iterating$ **do**
12: **for** AFSC $j \in \mathcal{J}^R$ **do**
Obtain \mathcal{I}_j^{Res} and \mathcal{I}_j^{Match}
14: $n^A = |\mathcal{I}_j^{Res}|$ (number of alternates is based on number of reserved slots)
 $\mathcal{I}_j^{Alt} \leftarrow \emptyset$ (start with empty set of cadets on this iteration)
16: **for** cadet $i \in \mathcal{I}_j^E \cap \mathcal{I}^R \setminus I^{Fixed}$ (in order of AFSC j 's preference) **do**
if cadet not reserved for j or something better **then**
18: **if** $|\mathcal{I}_j^{Alt}| < n^A$ (under alternate slot capacity) **then**
 $\mathcal{I}_j^{Alt} \leftarrow \mathcal{I}_j^{Alt} \cup \{(i)\}$
20: **for** AFSC $j \in \mathcal{J}^R$ **do**
for cadet $i \in \mathcal{I}_j^{Res}$ (in order of AFSC j 's preference) **do**
22: **if** i must be matched to j (it's an inevitable match) **then**
 $\mathcal{I}_j^{Res} \leftarrow \mathcal{I}_j^{Res} \setminus \{(i)\}$
24: $\mathcal{I}_j^{Match} \leftarrow \mathcal{I}_j^{Match} \cup \{(i)\}$
if \mathcal{I}_j^{Alt} stops changing between iterations **then**
26: $iterating = False$

Base/Training Sets and Indices

$b \in \mathcal{B}$	the set of all bases
$c \in \mathcal{C}_j$	the set of all training courses for AFSC j
$d \in \mathcal{D}_i$	the set of all AFSC outcome states that cadet i has designated
$\mathcal{J}_{id}^{State} \subseteq \mathcal{J}_i^E$	the set of AFSCs that, if assigned, would put cadet i into state d
$\mathcal{J}^B \subseteq \mathcal{J}$	the set of AFSCs that have bases that need to be assigned
$\mathcal{B}_j^A \subseteq \mathcal{B}$	the set of bases that AFSC j may assign cadets to
$\mathcal{B}_i^E \subseteq \mathcal{B}$	the set of bases that cadet i may be assigned to (based on \mathcal{J}_i^E)
$\mathcal{B}_{id}^{State} \subseteq \mathcal{B}_i^E$	the set of all bases that cadet i can be assigned to in state d based on its set of AFSCs (\mathcal{J}_{id}^{State})
$\mathcal{C}_{ij}^E \subseteq \mathcal{C}_j$	the set of courses that cadet i is available to take with AFSC j
$\mathcal{I}_{jc}^A \subseteq \mathcal{I}_j^E$	the set of cadets that are available to take course c with AFSC j

Base/Training Parameters

$base_utility_{ib}$	the utility value that cadet i has placed on base b
$course_utility_{ijc}$	the utility value that cadet i has for AFSC j 's course c (calculated)
w_{id}^A	the weight that cadet i has on AFSCs in state d
w_{id}^B	the weight that cadet i has on bases in state d
w_{id}^C	the weight that cadet i has on courses in state d
u_{id}^S	the maximum utility that cadet i receives from state d
M	sufficiently large number (big M)
lo_{jb}^B, hi_{jb}^B	the minimum and maximum number of cadets, respectfully, that are to be assigned to base b for AFSC j
lo_{jc}^C, hi_{jc}^C	the minimum and maximum number of cadets, respectfully, that are to be assigned to course c for AFSC j

Base/Training Decision Variables

v_{ib}	1 if cadet i is assigned to base b , and 0 otherwise
q_{ijc}	1 if cadet i is assigned to AFSC j 's course c , and 0 otherwise
$cadet_value_i$	the value that cadet i receives from their assignment outcome (revised variable for use in VFT/GUO models)

Base/Training Auxiliary Variables

u_{id}^A	the utility that cadet i receives from their assigned AFSC in state d $\frac{1}{u_{id}^S} \cdot \sum_{j \in \mathcal{J}_{id}^{State}} cadet_utility_{ij} \cdot x_{ij}$
u_{id}^B	the utility that cadet i receives from their assigned base in state d $\sum_{b \in \mathcal{B}_{id}^{State}} base_utility_{ib} \cdot v_{ib}$
u_{id}^C	the utility that cadet i receives from their assigned course in state d $\sum_{j \in \mathcal{J}_{id}^{State}} \sum_{c \in \mathcal{C}_{ij}^E} course_utility_{ijc} \cdot q_{ijc}$

Base/Training Objective Function Revision

\mathbf{Z}^{GUO}

revised objective function variable for the GUO model

$$\begin{aligned} & \text{cadets_overall_weight} \cdot \sum_{i \in \mathcal{I}} \text{cadet_weight}_i \cdot \text{cadet_value}_i + \\ & \frac{1}{N} \cdot \text{afscs_overall_weight} \cdot \sum_{i \in \mathcal{I}} \sum_{j \in \mathcal{J}_i^E} \text{afsc_utility}_{ij} \cdot \mathbf{x}_{ij} \end{aligned}$$

Base/Training Constraints

$$\text{cadet_value}_i \leq u_{id}^S(w_{id}^A \mathbf{u}_{id}^A + w_{id}^B \mathbf{u}_{id}^B + w_{id}^C \mathbf{u}_{id}^C) + M(1 - \sum_{j \in \mathcal{J}_{id}^{State}} \mathbf{x}_{ij}) \quad \forall i \in \mathcal{I}, d \in \mathcal{D}_i \quad (5a)$$

$$\sum_{b \in \mathcal{B}_i^E} \mathbf{v}_{ib} = \sum_{j \in \mathcal{J}^B \cap \mathcal{J}_i^E} \mathbf{x}_{ij} \quad \forall i \in \mathcal{I} \quad (5b)$$

$$\mathbf{x}_{ij} \leq \sum_{b \in \mathcal{B}_j^A} \mathbf{v}_{ib} \quad \forall i \in \mathcal{I}, j \in \mathcal{J}^B \cap \mathcal{J}_i^E \quad (5c)$$

$$\mathbf{x}_{ij} = \sum_{c \in \mathcal{C}_{ij}^E} \mathbf{q}_{ijc} \quad \forall i \in \mathcal{I}, j \in \mathcal{J}_i^E \quad (5d)$$

$$lo_{jb}^B \leq \sum_{i \in \mathcal{I}_j^E} \mathbf{v}_{ib} \leq hi_{jb}^B \quad \forall j \in \mathcal{J}^B, b \in \mathcal{B}_j^A \quad (5e)$$

$$lo_{jc}^C \leq \sum_{i \in \mathcal{I}_{jc}^A} \mathbf{q}_{ijc} \leq hi_{jc}^C \quad \forall j \in \mathcal{J}, c \in \mathcal{C}_j \quad (5f)$$

$$0 \leq \text{cadet_value}_i \leq 1 \quad \forall i \in \mathcal{I} \quad (5g)$$

$$\mathbf{v}_{ib} \in \{0, 1\} \quad \forall i \in \mathcal{I}, b \in \mathcal{B}_i^E \quad (5h)$$

$$\mathbf{q}_{ijc} \in \{0, 1\} \quad \forall i \in \mathcal{I}, j \in \mathcal{J}_i^E, c \in \mathcal{C}_{ij}^E \quad (5i)$$