

# Domain-specific logic for terms with variable binding

(Logika dziedzinowa do wnioskowania  
o termach z wiązaniem zmiennych)

Dominik Gulczyński

Praca magisterska

**Promotor:** dr Piotr Polesiuk

Uniwersytet Wrocławski  
Wydział Matematyki i Informatyki  
Instytut Informatyki

1 października 2023



## **Abstract**

We describe logic for reasoning about terms with variable bindings.

## **Streszczenie**

Przedstawiamy logikę dziedzinową do wnioskowania o termach z wiązaniem zmiennych.

# Contents

<b>1</b>	<b>Introduction</b>	<b>7</b>
1.1	Nominal approach . . . . .	7
1.2	Contributions . . . . .	9
<b>2</b>	<b>Terms and constraints</b>	<b>11</b>
2.1	Model . . . . .	12
<b>3</b>	<b>Constraint solver</b>	<b>15</b>
3.1	Goal-reducing rules . . . . .	16
3.2	Assumptions-reducing rules . . . . .	18
3.3	Irreducible constraints . . . . .	22
<b>4</b>	<b>Higher Order Logic</b>	<b>25</b>
4.1	Kinds . . . . .	25
4.2	Subkinding . . . . .	26
4.3	Formulas . . . . .	26
4.4	Fixpoint . . . . .	27
4.5	Natural deduction . . . . .	28
<b>5</b>	<b>Implementation</b>	<b>33</b>
5.1	Proof assistant . . . . .	35
<b>6</b>	<b>Case study: Progress and Preservation of STLC</b>	<b>41</b>
<b>7</b>	<b>Conclusion</b>	<b>55</b>



# Chapter 1

## Introduction

One of the fundamental distinctions between conducting proofs manually with pen and paper and using a computer lies in the flexibility and liberties one can take in the first case. Human provers and reviewers often agree upon unexplained or unproven assumptions and may skip some unimportant boilerplate. Computers, on the other hand, are less forgiving and demand transparency and justification down to the smallest details.

A common assumption we commonly make when writing pen-and-paper proofs pertains to working with abstract syntax trees, where we assume that the variables we choose are fresh enough or that substitutions avoid issues like variable capture. For instance, when dealing with lambda calculus, we often construct inductive proofs over the structure of expression, where in the case for an abstraction we will implicitly only show the case where the variable bound in that abstraction is *sufficiently fresh*. Addressing the general case could introduce unnecessary complexities unrelated to the theorem at hand. Justifiably, we skip over this detail — however, the induction principle obliges us to prove the case for arbitrary variable names.

Addressing this gap in formal reasoning requires careful considerations to come up with a resolution. Fortunately, there exist some solutions to that problem — and one particular approach, coined *nominal logic* and introduced by Andrew M. Pitts[3] is of most interest to this work.

### 1.1 Nominal approach

Pitts' work introduces *nominal logic*, a first-order theory of names, swapping, and freshness, that amongst other novelties, introduces the precise mathematical definition describing the concept of "sufficiently fresh names", which, as Pitts argues, bridges the gap between formal mathematical reasoning and the informal practices mentioned earlier.

**Pitts, 2003[3]**

Names of what? Names of entities that may be subject to binding by some of the syntactical constructions under consideration. In Nominal Logic these sorts of names, the ones that may be bound and hence that may be subjected to swapping without changing the validity of predicates involving them, will be called atoms.

TODO: frame below is rather awkward

**Pitts, 2003[3]**

Why the emphasis on the operation of swapping two names, rather than on the apparently more primitive notion of renaming one name by another? The answer to this question lies in the combination of the following two facts.

1. First, even though swapping seems less general than renaming (since after all, the act of swapping  $a$  and  $b$  can be expressed as the simultaneous renaming of  $b$  by  $a$  and  $a$  by  $b$ ), it is possible to found a theory of syntax modulo  $\alpha$ -equivalence, free and bound variables, substitution, etc., upon this notion— this is the import of the work in [1].
2. Secondly, swapping is an involutive operation: a swap followed by the same swap is equivalent to doing nothing. This means that the class of equivariant predicates, i.e., those whose validity is invariant under atom-swapping, has excellent logical properties. It contains the equality predicate and is closed under negation, conjunction, disjunction, existential and universal quantification, formation of least and greatest fixed points of monotone operators, etc., etc. The same is not true for renaming. For example, the validity of a negated equality between atoms is not necessarily preserved under renaming.

In other words, we can found a theory of variable-binding upon swapping, and it is convenient to do so because of its good logical properties.

A crucial takeaway from Pitts' work is that switching from substitutions to permutations of names allows for all necessary concepts, including alpha-equivalence, freshness, and variable-binding, to be defined solely in terms of the operation of swapping pairs of names. As an example, consider the abstract syntax tree of untyped lambda calculus, given by the grammar below, where  $a$  ranges over an infinite set of names — or rather *atoms*.

$$t ::= a \mid \lambda a.t \mid t \ t \quad (\text{lambda terms})$$

Figure 1.1: Terms of untyped lambda calculus

The definition of swapping atoms  $a$  and  $b$  in some tree  $t$ , written  $(a \ b) t$ , is rather



$$\begin{array}{lcl}
(a\ b)c & := & \begin{cases} a & \text{if } c = b \\ b & \text{if } c = a \\ c & \text{otherwise} \end{cases} \\
(a\ b)(\lambda c.t) & := & \lambda((a\ b)c).((a\ b)t) \\
(a\ b)(t_1\ t_2) & := & ((a\ b)t_1)\ ((a\ b)t_2)
\end{array}$$

Figure 1.2: Swapping procedure

straightforward. It naturally follows the tree structure, touching only the affected atoms, and doesn't need to distinct between free and bound names (like substitutions do), but simply changes them all the same exact way.

$$\begin{array}{c}
\frac{a \neq b}{a \# b} \quad \frac{a \# t_1 \quad a \# t_2}{a \# t_1\ t_2} \quad \frac{}{a \# \lambda a.t} \quad \frac{a \# t}{a \# \lambda b.t}
\end{array}$$

Figure 1.3: Freshness relation

Relation of *freshness* of atom  $a$  in tree  $t$ , written  $a \# t$ , is similarly simple to define.<sup>1</sup> Note that it only assumes the comparability of atoms and is an *equivariant* relation, meaning that it's validity is invariant under swapping atoms — which can be shown by simplest induction.

$$\begin{array}{c}
\frac{}{a =_\alpha a} \quad \frac{t_1 =_\alpha t'_1 \quad t_2 =_\alpha t'_2}{t_1\ t_2 =_\alpha t'_1\ t'_2} \quad \frac{(a\ b)t =_\alpha (a'\ b)t' \quad b \# t \quad b \# t'}{\lambda a.t =_\alpha \lambda a'.t'}
\end{array}$$

Figure 1.4: Alpha-equivalence relation

With *swapping* and *freshness* already established, we define the alpha-equivalence of terms, written  $t_1 =_\alpha t_2$ . As we built this definition of alpha-equivalence using only induction, swapping, and freshness then, as Pitts argues, it is equivariant as well.

### Pitts, 2003

The fundamental assumption underlying Nominal Logic is that *the only predicates we ever deal with* (when describing properties of syntax) *are equivariant ones, in the sense that their validity is invariant under swapping* (i.e., transposing, or interchanging) *names*.

## 1.2 Contributions

We categorize the fundamental properties of terms with variable binding, including alpha equivalence and freshness, as *constraints* and construct *the Solver*, an algorithm

<sup>1</sup>Pitts defines it as  $a$  not being a member of the *support set* of  $t$  — but for our purposes, the simple inductive definition will suffice.

tasked with automatically resolving new constraints based off the already established constraints. We use it as a logical core of the constraints sublogic that together with embeddedness of constraints into propositional formulas builds the logical framework that effortlessly expresses these properties. Through handling the constraints automatically, it liberates its users from the painstaking task of manually proving the seemingly trivial, yet crucial details, while ensuring the completeness and correctness of the written proofs.

TODO: write about proof assistant.

TODO: add related work

## Chapter 2

# Terms and constraints

To properly describe our framework and constraints sublogic, we must start with the simplest elements: *names*, *terms*, and *constraints*.

The names are drawn from an infinite set of *atoms* (represented by lowercase letters) and correspond to the bound variables in terms, analogous to the variables in the lambda calculus. This set is disjoint from the set of variables commonly used in first-order logic, which we will refer to as *variables* (denoted by uppercase letters).

The terms are constructed to mimic the structure of abstract syntax trees of the lambda calculus, extending it with notion of permutations (of atoms) and functional symbols, denoted by metavariable  $s$ , that are drawn from yet another set disjoint with atoms and variables.

The constraints are precise descriptions of syntactical properties, describing the relationship between their arguments — atoms and terms.

$\pi$	$::=$	$\text{id} \mid (\alpha \ \alpha)\pi$	(permutations)
$\alpha$	$::=$	$\pi \ a$	(atom expressions)
$t$	$::=$	$\alpha \mid \pi \ X \mid \alpha.t \mid t \ t \mid s$	(terms)
$c$	$::=$	$\alpha \# t \mid t = t \mid t \sim t \mid t \prec t \mid \text{symbol } t$	(constraints)

Figure 2.1: Syntax of constraint sublogic

Construction  $\alpha.t$  represents a *binder* — informally, we think of it as binding the occurrences of  $\alpha$  in  $t$ , similarly to a lambda abstraction — yet it *isn't* a binder, but a simple syntactical construction glueing together an atom with another term. The semantics of binding will apply only after we interpret this syntactical term in the model.

Also note that we do not restrict this construction to the form of  $a.t$ , but allow permuted atoms to appear under binders. Additionally, when dealing with atom expressions with identity permutation  $\text{id } a$  we will skip the permutation and simply

write  $a$ , and sometimes call such atom expressions *pure*. The same rules apply to permuted variables.

$\alpha \# t$	Atom $\alpha$ is fresh in term $t$ , meaning it does not occur in $t$ as a free variable.
$t_1 = t_2$	Terms $t_1$ and $t_2$ are alpha-equivalent.
$t_1 \sim t_2$	Terms $t_1$ and $t_2$ possess an identical shape, i.e., after erasing all atoms, terms $t_1$ and $t_2$ would be equal.
$t_1 \prec t_2$	The shape of term $t_1$ is structurally smaller than the shape of term $t_2$ , i.e., after erasing all atoms, $t_1$ would be equal to some subterm of $t_2$ .
symbol $t$	term $t$ is equal to some functional symbol.

Figure 2.2: Informal semantics of constraints

It's important to note that these terms and constraints are merely a data structure and do not incorporate notions of computation, reduction, or binding by themselves. These properties only appear in the sublogic of constraints after we interpret constraints within the logical model, which allows us to then reason about concepts such as *freshness*, *variable binding*, and *structural* order.

## 2.1 Model

To build the mathematical model of terms and constraints, we introduce *semantic terms* and *semantic shapes* that will inhabit it. We will use metavariable  $A$  for *semantic names* drawn from an infinite set of names, representing the free variables.

$T ::= A \mid n \mid \$T \mid T@T \mid s$	(semantic terms)
$S ::= \_ \mid \$S \mid S@S \mid s$	(semantic shapes)

Figure 2.3: Semantic representation of terms and shapes

Binders in semantic terms are achieved by De Bruijn indices[2] and consequently the bound names are represented by natural numbers, denoted by  $n$ , and the binding construction has no explicit argument, denoted by  $\$$ .

The term interpretation function, denoted  $\llbracket \cdot \rrbracket_\rho$ , maps syntactic terms to semantic terms, utilizing the standard shifting of De Bruijn indices (denoted by  $\uparrow$ ). It is parametrized by function  $\rho$  that maps atoms and variables to semantic shapes.

The shape interpretation function, denoted  $|\cdot|$ , maps semantic terms to semantic shapes by erasing names.

With above machinery, we can establish relation  $\rho \models c$  that interprets the constraints in our model, using some mapping  $\rho$ .

Note that the freshness can be expressed through membership check of `FreeAtoms`

$\llbracket \pi \ a \rrbracket_\rho$	$=$	$\llbracket \pi \rrbracket_\rho(\rho(a))$		
$\llbracket \pi \ X \rrbracket_\rho$	$=$	$\llbracket \pi \rrbracket_\rho(\rho(X))$	$ A $	$=$ $_{-}$
$\llbracket \alpha.t \rrbracket_\rho$	$=$	$\$(\llbracket t \rrbracket_\rho \uparrow) \{ \llbracket \alpha \rrbracket_\rho \mapsto 0 \}$	$ n $	$=$ $_{-}$
$\llbracket t_1 \ t_2 \rrbracket_\rho$	$=$	$\llbracket t_1 \rrbracket_\rho @ \llbracket t_2 \rrbracket_\rho$	$ \$T $	$=$ $ \$T $
$\llbracket s \rrbracket_\rho$	$=$	$s$	$ T_1 @ T_2 $	$=$ $ T_1  @  T_2 $

Figure 2.4: Interpretation of terms and shapes in the model

$\rho \models t_1 = t_2$	iff	$\llbracket t_1 \rrbracket_\rho = \llbracket t_2 \rrbracket_\rho$
$\rho \models \alpha \# t$	iff	$\llbracket \alpha \rrbracket_\rho \notin \text{FreeAtoms}(\llbracket t \rrbracket_\rho)$
$\rho \models t_1 \sim t_2$	iff	$ \llbracket t_1 \rrbracket_\rho  =  \llbracket t_2 \rrbracket_\rho $
$\rho \models t_1 \prec t_2$	iff	$ \llbracket t_1 \rrbracket_\rho $ is a strict subshape of $ \llbracket t_2 \rrbracket_\rho $

Figure 2.5: Constraint interpretation in the model

set, which is trivial to compute as a consequence of using of De Bruijn indices. Note that it's possible for terms of form  $a.X$  and  $b.Y$  to be equal in this model.

We will use metavariable  $\Gamma$  to represent finite sets of constraints, and write  $\rho \models \Gamma$  if for all  $c \in \Gamma$ , we have  $\rho \models c$ , as well as write  $\Gamma \models c$  if for every  $\rho$  such that  $\rho \models \Gamma$ , we have  $\rho \models c$ .

In the next chapter, we will present the deterministic *Solver* algorithm that works within this model to check whether assumed constraints  $c_1, \dots, c_n$ , would imply the constraint-goal  $c_0$ .



## Chapter 3

# Constraint solver

At the heart of our work lies the Solver, an algorithm designed to resolve constraints. A high level perspective of the Solver is that when given judgement  $c_1, \dots, c_n \models c_0$  it dissects constraints on both sides of the turnstile into irreducible components that are solved easily, verifying whether a given goal  $c_0$  holds.

Technically, the Solver determines whether, every possible substitution of variables into closed terms in  $c_0, c_1, \dots, c_n$ , such that  $c_1, \dots, c_n$  are satisfied, will also satisfy  $c_0$ .

For the sake of convenience and implementation efficiency, the Solver operates on its own internal representation of constraints, that slightly differs from constraints described in the previous section. It erases atoms in terms under shape constraints, effectively transforming them into *shapes*.

$\mathcal{C}$	$::= \alpha \# t \mid t = t \mid \mathcal{S} \sim \mathcal{S} \mid \mathcal{S} \prec \mathcal{S} \mid \text{symbol } t$	(solver constraints)
$\mathcal{S}$	$::= \_ \mid X \mid \_.\mathcal{S} \mid \mathcal{S} \mathcal{S} \mid s$	(shapes)

Figure 3.1: Solver internal representation of terms and shapes

We add another environment  $\Delta$  to distinguish between the potentially-reducible assumptions in  $\Gamma$ . For convenience, we will write  $a \neq \alpha$  instead of  $a \# \alpha$  as it gives a clear intuition of atom freshness implying inequality. Additionally, when  $\alpha = \pi a$ , we will denote  $\alpha \# t$  to mean  $a \# \pi^{-1}t$ . After all the constraints are reduced to such simple constraints we reduce the goal-constraint and repeat the reduction procedure on new assumptions and goal. We either arrive at a contradictory environment or all the assumptions and goal itself are reduced to irreducible constraints, which is as simple as checking if the goal occurs on the left side of the turnstile:

$a_1 \neq a_2$	Atoms $a_1$ and $a_2$ are different.
$a \# X$	Atom $a$ is Fresh in variable $X$ .
$X_1 \sim X_2$	Variables $X_1$ and $X_2$ posses the same shape.
$X \sim t$	Variable $X$ has a shape of term $t$ .
$t \prec X$	Term $t$ strictly subshapes variable $X$ .
symbol $X$	Variable $X$ is some functional symbol.

Figure 3.2: Irreducible constraints

$$\frac{
\frac{
\frac{
\mathcal{C}'' \in \Delta''
}{\dots}
}{\Gamma'; \Delta' \vdash \mathcal{C}'} \quad \dots
}{\Gamma; \Delta \vdash \mathcal{C}}$$

### 3.1 Goal-reducing rules

TODO: put some figures around rules or smthn.

And now for the solving procedure we start with the most simple equality check: Checking equality of abstraction terms requires that the left side's argument is fresh

$$\begin{array}{c}
\frac{}{\Gamma; \Delta \vdash a = a} \quad \frac{}{\Gamma; \Delta \vdash X = X} \quad \frac{}{\Gamma; \Delta \vdash s = s} \\
\\
\frac{\Gamma; \Delta \vdash t_1 = t_2 \quad \Gamma; \Delta \vdash t'_1 = t'_2}{\Gamma; \Delta \vdash t_1 t'_1 = t_2 t'_2} \\
\\
\frac{\Gamma; \Delta \vdash \alpha_1 \# \alpha_2.t_2 \quad \Gamma; \Delta \vdash t_1 = (\alpha_1 \ \alpha_2)t_2}{\Gamma; \Delta \vdash \alpha_1.t_1 = \alpha_2.t_2} \\
\\
\frac{
\begin{array}{c}
a \neq \alpha_1, a \neq \alpha_2, \Gamma; \Delta \vdash a = \alpha \\
a = \alpha_1, a \neq \alpha_2, \Gamma; \Delta \vdash \alpha_2 = \alpha \\
a = \alpha_2, \Gamma; \Delta \vdash \alpha_1 = \alpha
\end{array}
}{\Gamma; \Delta \vdash a = (\alpha_1 \ \alpha_2)\alpha}
\end{array}$$

Figure 3.3: Equality-reduction rules

in the whole right side's term (either arguments are the same or left's argument doesn't occur in right's body) and that left body is equal to the right body with right argument swapped for the left one:

To compare a *pure* atom with permuted one, we employ the decidability of atom equality to reduce the right hand-side's permutation by applying it's outermost swap



on the left side's atom. There's three possible ways:

1.  $a$  is different from both  $\alpha_1$  and  $\alpha_2$ , so the swap doesn't change the goal,
2.  $a$  is equal to  $\alpha_1$  but different from  $\alpha_2$ , so the swap substitutes it for  $\alpha_2$ ,
3.  $a$  is equal to  $\alpha_2$ , so the swap substitutes it for  $\alpha_1$ .

Notice that it is impossible for any two of these assumption to be valid at the same time — the contradictory branches will resolve through absurd environment.

$\frac{\Gamma; \Delta \vdash a = \pi^{-1}\alpha}{\Gamma; \Delta \vdash \pi a = \alpha}$	$\frac{\Gamma; \Delta \vdash X_1 = \pi_1^{-1}\pi_2 X_2}{\Gamma; \Delta \vdash \pi_1 X_1 = \pi_2 X_2}$
$\frac{\Gamma; \Delta \vdash \pi \text{ idempotent on } X}{\Gamma; \Delta \vdash X = \pi X}$	$\frac{\forall a \in \pi. \Gamma; \Delta \vdash a = \pi a \vee \Gamma; \Delta \vdash a \# X}{\Gamma; \Delta \vdash \pi \text{ idempotent on } X}$
$\text{id}^{-1} t := \text{id } t$	$((\alpha_1 \ \alpha_2)\pi)^{-1} t := \pi^{-1}((\alpha_1 \ \alpha_2) t)$

Figure 3.4: Permutation-reduction rules

If the left-hand side's term is permuted we simply move the permutation to the right-hand side: Variables can be equal to their permuted selves if that permutation is idempotent:

Freshness is checked through the  $\Delta$  environment and freshness in symbols is trivial:

$$\frac{a_1 \neq a_2 \in \Delta}{\Gamma; \Delta \vdash a_1 \# a_2} \quad \frac{a \# X \in \Delta}{\Gamma; \Delta \vdash a \# X} \quad \frac{}{\Gamma; \Delta \vdash a \# s}$$

Similarly we recurse on the term structure, assuming checked atom is different than abstraction argument — otherwise it would be trivially true:

$$\frac{a \neq \alpha, \Gamma; \Delta \vdash a \# t}{\Gamma; \Delta \vdash a \# \alpha.t} \quad \frac{\Gamma; \Delta \vdash a \# t_1 \quad \Gamma; \Delta \vdash a \# t_2}{\Gamma; \Delta \vdash a \# t_1 t_2}$$

TODO: Put boring rules in appendix or something Again when faced with swap on the right side, we apply it on the left side:

$$\frac{\begin{array}{c} a \neq \alpha_1, a \neq \alpha_2, \Gamma; \Delta \vdash a \# \alpha \\ a = \alpha_1, a \neq \alpha_2, \Gamma; \Delta \vdash \alpha_1 \# \alpha \quad a = \alpha_2, \Gamma; \Delta \vdash \alpha_2 \# \alpha \end{array}}{\Gamma; \Delta \vdash a \# (\alpha_1 \ \alpha_2)\alpha}$$

$$\frac{\begin{array}{c} a \neq \alpha_1, a \neq \alpha_2, \Gamma; \Delta \vdash a \# \pi X \\ a = \alpha_1, a \neq \alpha_2, \Gamma; \Delta \vdash \alpha_1 \# \pi X \quad a = \alpha_2, \Gamma; \Delta \vdash \alpha_2 \# \pi X \end{array}}{\Gamma; \Delta \vdash a \# (\alpha_1 \ \alpha_2)\pi X}$$

All atoms have the same shape, while only equal symbols have equal shape:

$$\frac{}{\Gamma; \Delta \vdash \_ \sim \_} \quad \frac{}{\Gamma; \Delta \vdash s \sim s}$$

Variables can share shape and be shape-substituted through  $\Delta$ :

$$\frac{X_1 \sim X_2 \in \Delta}{\Gamma; \Delta \vdash X_1 \sim X_2} \quad \frac{X \sim \mathcal{S}' \in \Delta \quad \Gamma; \Delta \vdash \mathcal{S}' \sim \mathcal{S}}{\Gamma; \Delta \vdash X \sim \mathcal{S}}$$

Shape equality is naturally structural:

$$\frac{\Gamma; \Delta \vdash \mathcal{S}_1 \sim \mathcal{S}_2}{\Gamma; \Delta \vdash \_.\mathcal{S}_1 \sim \_.\mathcal{S}_2} \quad \frac{\Gamma; \Delta \vdash \mathcal{S}_1 \sim \mathcal{S}_2 \quad \Gamma; \Delta \vdash \mathcal{S}'_1 \sim \mathcal{S}'_2}{\Gamma; \Delta \vdash \mathcal{S}_1 \mathcal{S}'_1 \sim \mathcal{S}_2 \mathcal{S}'_2}$$

Solving subshape recurses through right-hand side shape's structure to find a shape-equal sub-shape:

$$\frac{\Gamma; \Delta \vdash \mathcal{S}_1 \sim \mathcal{S}_2}{\Gamma; \Delta \vdash \mathcal{S}_1 \prec \_.\mathcal{S}_2} \quad \frac{\Gamma; \Delta \vdash \mathcal{S}_1 \prec \mathcal{S}_2}{\Gamma; \Delta \vdash \mathcal{S}_1 \prec \_.\mathcal{S}_2}$$

$$\frac{\Gamma; \Delta \vdash \mathcal{S}_1 \sim \mathcal{S}_2}{\Gamma; \Delta \vdash \mathcal{S}_1 \prec \mathcal{S}_2 \mathcal{S}'_2} \quad \frac{\Gamma; \Delta \vdash \mathcal{S}_1 \sim \mathcal{S}'_2}{\Gamma; \Delta \vdash \mathcal{S}_1 \prec \mathcal{S}_2 \mathcal{S}'_2} \quad \frac{\Gamma; \Delta \vdash \mathcal{S}_1 \prec \mathcal{S}_2}{\Gamma; \Delta \vdash \mathcal{S}_1 \prec \mathcal{S}_2 \mathcal{S}'_2} \quad \frac{\Gamma; \Delta \vdash \mathcal{S}_1 \prec \mathcal{S}'_2}{\Gamma; \Delta \vdash \mathcal{S}_1 \prec \mathcal{S}_2 \mathcal{S}'_2}$$

Environment  $\Delta$  keeps track of all shapes that given variable subshapes:

$$\frac{\mathcal{S}_2 \prec X \in \Delta \quad \Gamma; \Delta \vdash \mathcal{S}_2 \sim X}{\Gamma; \Delta \vdash \mathcal{S}_1 \prec X} \quad \frac{\mathcal{S}_2 \prec X \in \Delta \quad \Gamma; \Delta \vdash \mathcal{S}_2 \prec X}{\Gamma; \Delta \vdash \mathcal{S}_1 \prec X}$$

Symbol constraints are really simple to check, either the term is already a symbol, or it is a variable that we already assumed to be a symbol:

$$\frac{}{\Gamma; \Delta \vdash \text{symbol } s} \quad \frac{\text{symbol } X \in \Delta}{\Gamma; \Delta \vdash \text{symbol } X}$$

### 3.2 Assumptions-reducing rules

But before the Solver can reduce the goal-constraint, it must first reduce all assumptions in the  $\Gamma$  environment. We will now present the rules for reducing the left side of the turnstile, but fortunately most of the assumption reducing rules are similar to the goal reducing analogues.

$\frac{X = \pi^{-1}t, \Gamma; \Delta \vdash \mathcal{C}}{\pi X = t, \Gamma; \Delta \vdash \mathcal{C}}$	PermMove
---	----------

Figure 3.5: Permutatation inversion rule

For variables equal to some term, we first deal with permutation by inverting it and moving it to the right-hand side.

Once again, we consider the special case where a variable is equal to itself when permuted. While the assumption of the permutation being idempotent might appear

$\frac{\pi \text{ idempotent on } X, \Gamma; \Delta \vdash \mathcal{C}}{X = \pi X, \Gamma; \Delta \vdash \mathcal{C}}$	EqIdempotence
$\frac{\vdash \text{ idempotent on } X \quad \Gamma; \Delta \vdash \mathcal{C}}{\pi \text{ idempotent on } X, \Gamma; \Delta \vdash \mathcal{C}}$	PermShortCircuit
$\frac{(\forall a \in \pi. \Gamma; \Delta \vdash a = \pi a \vee \Gamma; \Delta \vdash a \# X), \Gamma; \Delta \vdash \mathcal{C}}{\pi \text{ idempotent on } X, \Gamma; \Delta \vdash \mathcal{C}}$	ExplodePerm

Figure 3.6: Permutation idempotence rules

to multiply the number of assumptions exponentially based on the number of atoms in the given permutation, it's worth noting that this number is unlikely to be very high, as permutations rarely consist of more than a few swaps.

In practice, the solver implementation will initially check whether the permutation is idempotent with an empty set of assumptions. Only if this initial check fails, will it proceed to examine the permutation atom by atom.

Otherwise we can just substitute the variable for the equal term, and while substitution over the environment  $\Gamma$  and goal  $\mathcal{C}$  is indeed a simple term substitution, substituting in  $\Delta$  environment is a more involved process that we will describe in the section on implementation, which can arrive at a contradiction that would short-circuit the Solver procedure. With atom equality, we either arrive at a contradiction with  $\Delta$  or update the environment accordingly — merging the now equal atoms into one through substitution:

$\frac{\Gamma\{X \mapsto t\}; \Delta\{X \mapsto t\} \vdash \mathcal{C}\{X \mapsto t\}}{X = t, \Gamma; \Delta \vdash \mathcal{C}}$	SubstTerm
$\frac{\Gamma\{a_1 \mapsto a_2\}; \Delta\{a_1 \mapsto a_2\} \vdash \mathcal{C}\{a_1 \mapsto a_2\}}{a_1 = a_2, \Gamma; \Delta \vdash \mathcal{C}}$	SubstAtom

Figure 3.7: Substitution rules

$$\frac{a_1 \neq a_2 \in \Delta}{a_1 = a_2, \Gamma; \Delta \vdash \mathcal{C}} \quad \frac{\Gamma\{a_1 \mapsto a_2\}; \Delta\{a_1 \mapsto a_2\} \vdash \mathcal{C}\{a_1 \mapsto a_2\}}{a_1 = a_2, \Gamma; \Delta \vdash \mathcal{C}}$$

Just like in reduction on the goal, we deal with permutations through moving it to

the right-hand side and then reducing it swap by swap through the left-hand side:

$$\frac{a = \pi^{-1}\alpha, \Gamma; \Delta \vdash \mathcal{C}}{\pi a = \alpha, \Gamma; \Delta \vdash \mathcal{C}} \quad \frac{a \neq \alpha_1, a \neq \alpha_2, a = \alpha, \Gamma; \Delta \vdash \mathcal{C} \quad a = \alpha_1, a \neq \alpha_2, \alpha_2 = \alpha, \Gamma; \Delta \vdash \mathcal{C} \quad a = \alpha_2, \alpha_1 = \alpha, \Gamma; \Delta \vdash \mathcal{C}}{a = (\alpha_1 \ \alpha_1)\alpha, \Gamma; \Delta \vdash \mathcal{C}}$$

If the constructors of the term don't match, then we arrive at a contradiction and consider the judgement solved:

$$\overline{a = t_1 t_2, \Gamma; \Delta \vdash \mathcal{C}} \quad \overline{a = \alpha.t, \Gamma; \Delta \vdash \mathcal{C}} \quad \overline{a = s, \Gamma; \Delta \vdash \mathcal{C}}$$

To save some ink, from now on we will simply write that other constructors are trivial and not consider all the contradictory possibilities in writing. TODO: "other term constructors trivial" doesnt sound good

$$\frac{\alpha_1 \# \alpha_2.t_2, t_1 = (\alpha_1 \ \alpha_2)t_2, \Gamma; \Delta \vdash \mathcal{C}}{\alpha_1.t_1 = \alpha_2.t_2, \Gamma; \Delta \vdash \mathcal{C}} \quad \text{Other term constructors trivial}$$

$$\frac{t_1 = t_2, t'_1 = t'_2, \Gamma; \Delta \vdash \mathcal{C}}{t_1 t'_1 = t_2 t'_2, \Gamma; \Delta \vdash \mathcal{C}} \quad \text{Other term constructors trivial}$$

$$\frac{s_1 \neq s_2}{s_1 = s_2, \Gamma; \Delta \vdash \mathcal{C}} \quad \frac{\Gamma; \Delta \vdash \mathcal{C}}{s = s, \Gamma; \Delta \vdash \mathcal{C}} \quad \text{Other term constructors trivial}$$

Atom inequality and freshness simply added to the  $\Delta$  enviroment:

$$\frac{\Gamma; \{a_1 \neq a_2\} \cup \Delta \vdash \mathcal{C}}{a_1 \neq a_2, \Gamma; \Delta \vdash \mathcal{C}} \quad \frac{\Gamma; \{a \# X\} \cup \Delta \vdash \mathcal{C}}{a \# X, \Gamma; \Delta \vdash \mathcal{C}}$$

Otherwise it's a recursion on the right-hand side with the already established rules for dealing with permutations:

$$\frac{a \neq \alpha_1, a \neq \alpha_2, a \# \alpha, \Gamma; \Delta \vdash \mathcal{C} \quad a = \alpha_1, a \neq \alpha_2, \alpha_2 \# \alpha, \Gamma; \Delta \vdash \mathcal{C} \quad a = \alpha_2, \alpha_1 \# \alpha, \Gamma; \Delta \vdash \mathcal{C}}{a \# (\alpha_1 \ \alpha_1)\alpha, \Gamma; \Delta \vdash \mathcal{C}}$$

$$\frac{a \neq \alpha_1, a \neq \alpha_2, a \# \pi X, \Gamma; \Delta \vdash \mathcal{C} \quad a = \alpha_1, a \neq \alpha_2, \alpha_2 \# \pi X, \Gamma; \Delta \vdash \mathcal{C} \quad a = \alpha_2, \alpha_1 \# \pi X, \Gamma; \Delta \vdash \mathcal{C}}{a \# (\alpha_1 \ \alpha_1)\pi X, \Gamma; \Delta \vdash \mathcal{C}}$$

$$\frac{a \# \alpha, \Gamma; \Delta \vdash \mathcal{C} \quad a \# \alpha, a \# t, \Gamma; \Delta \vdash \mathcal{C}}{a \# \alpha.t, \Gamma; \Delta \vdash \mathcal{C}}$$

$$\frac{a \# t_1, \Gamma; \Delta \vdash \mathcal{C} \quad a \# t_2, \Gamma; \Delta \vdash \mathcal{C}}{a \# t_1 t_2, \Gamma; \Delta \vdash \mathcal{C}} \quad \frac{\Gamma; \Delta \vdash \mathcal{C}}{a \# s, \Gamma; \Delta \vdash \mathcal{C}}$$

Variable being the same shape as other term is added to the  $\Delta$  environment:

$$\frac{\Gamma; \{X_1 \sim X_2\} \cup \Delta \vdash \mathcal{C}}{X_1 \sim X_2, \Gamma; \Delta \vdash \mathcal{C}} \quad \frac{\Gamma; \{X \sim \mathcal{S}\} \cup \Delta \vdash \mathcal{C}}{X \sim \mathcal{S}, \Gamma; \Delta \vdash \mathcal{C}}$$

Otherwise shape assumptions recurse on the shape structure:

$$\begin{array}{c} \frac{\Gamma; \Delta \vdash \mathcal{C}}{a_1 \sim a_2, \Gamma; \Delta \vdash \mathcal{C}} \quad \text{Other term constructors trivial} \\[10pt] \frac{t_1 \sim t_2, \Gamma; \Delta \vdash \mathcal{C}}{_.t_1 \sim _.t_2, \Gamma; \Delta \vdash \mathcal{C}} \quad \text{Other term constructors trivial} \\[10pt] \frac{t_1 \sim t_2, \Gamma; \Delta \vdash \mathcal{C} \quad t'_1 \sim t'_2, \Gamma; \Delta \vdash \mathcal{C}}{t_1 t'_1 \sim t_2 t'_2, \Gamma; \Delta \vdash \mathcal{C}} \quad \text{Other term constructors trivial} \\[10pt] \frac{s_1 \neq s_2}{s_1 \sim s_2, \Gamma; \Delta \vdash \mathcal{C}} \quad \frac{}{s \sim s, \Gamma; \Delta \vdash \mathcal{C}} \quad \text{Other term constructors trivial} \end{array}$$

Again,  $\Delta$  keeps track of terms that subshape given variable:

$$\frac{\Gamma; \{t \prec X\} \cup \Delta \vdash \mathcal{C}}{t \prec X, \Gamma; \Delta \vdash \mathcal{C}}$$

Otherwise subshape assumptions recurse on the shape structure:

$$\begin{array}{c} \frac{t_1 \sim t_2, \Gamma; \Delta \vdash \mathcal{C} \quad t_1 \prec t_2, \Gamma; \Delta \vdash \mathcal{C}}{t_1 \prec _.t_2, \Gamma; \Delta \vdash \mathcal{C}} \\[10pt] \frac{t_1 \sim t_2, \Gamma; \Delta \vdash \mathcal{C} \quad t_1 \sim t'_2, \Gamma; \Delta \vdash \mathcal{C} \quad t_1 \prec t_2, \Gamma; \Delta \vdash \mathcal{C} \quad t_1 \prec t'_2, \Gamma; \Delta \vdash \mathcal{C}}{t_1 \prec t_2 t'_2, \Gamma; \Delta \vdash \mathcal{C}} \\[10pt] \frac{}{t \prec \alpha, \Gamma; \Delta \vdash \mathcal{C}} \quad \frac{}{t \prec s, \Gamma; \Delta \vdash \mathcal{C}} \end{array}$$

Assumptions about being a symbol are straightforward:

$$\frac{\Gamma; \Delta \vdash \mathcal{C}}{\text{symbol } s, \Gamma; \Delta \vdash \mathcal{C}} \quad \frac{\Gamma; \{\text{symbol } X\} \cup \Delta \vdash \mathcal{C}}{\text{symbol } X, \Gamma; \Delta \vdash \mathcal{C}} \quad \text{Other term constructors trivial}$$

In the next section we will explaining the semantics of environment extension ( $\{\mathcal{C}\} \cup \Delta$ ), which can fail by arriving at contradictory environment  $\frac{}{\mathcal{C}}$ , which short-circuits the procedure:

$$\frac{}{\Gamma; \frac{}{\mathcal{C}} \vdash \mathcal{C}}$$

### 3.3 Irreducible constraints

Environment  $\Delta$  that contains all the irreducible assumptions is given by a sextuple  $(\text{neq\_atoms}_\Delta, \text{fresh}_\Delta, \text{var\_shape}_\Delta, \text{shape}_\Delta, \text{subshape}_\Delta, \text{symbols}_\Delta)$  with following semantics:

<b>neq_atoms</b>	Set of pairs of atoms that are known to be different.
<b>fresh</b>	Set of pairs of atom and variable, indicating that the atom is <i>fresh</i> in the variable.
<b>var_shape</b>	Mapping from variables to shape-representative variables. All variables mapped to the same representative are considered to inhabit the same shape.
<b>shape</b>	Mapping from shape-representative variables to the actual shape it must inhabit.
<b>subshape</b>	Mapping from shape-representative variables to sets of shapes that the variable must supershape.
<b>symbols</b>	Set of shape-representative variables that are known to be some unknown functional symbols.

We can now establish a method to compute the shape-representative variable and outline the procedure for reconstructing the shape within the environment  $\Delta$ :

```

 $X_\Delta :=$ 
  | if  $Y \leftarrow \text{var\_shape}_\Delta X$  then  $Y_\Delta$ 
  | otherwise  $X$ 
 $|X|_\Delta :=$ 
  | if  $Y \leftarrow \text{var\_shape}_\Delta X$  then  $|Y|_\Delta$ 
  | if  $\mathcal{S} \leftarrow \text{shape}_\Delta X$  then  $\mathcal{S}$ 
  | otherwise  $X$ 

```

$$\begin{aligned}
|_ \Delta &:= \_ \\
| \_ . \mathcal{S} |_\Delta &:= \_ . | \mathcal{S} |_\Delta \\
| \mathcal{S}_1 \mathcal{S}_2 |_\Delta &:= | \mathcal{S}_1 |_\Delta | \mathcal{S}_2 |_\Delta \\
| s |_\Delta &:= s \\
| t |_\Delta &:= ||t||_\Delta
\end{aligned}$$

Then, verifying whether a constraint is included in  $\Delta$  can be accomplished straightforwardly:

$$\begin{aligned}
(a_1 \neq a_2) \in \Delta &:= (a_1 \neq a_2) \in \text{neq\_atoms}_\Delta \\
(a \# X) \in \Delta &:= X \in \text{fresh}_\Delta(a) \\
(X_1 \sim X_2) \in \Delta &:= |X_1|_\Delta = |X_2|_\Delta \\
(X \sim \mathcal{S}) \in \Delta &:= \mathcal{S} = \text{shape}_\Delta(X_\Delta) \\
(\mathcal{S} \prec X) \in \Delta &:= \mathcal{S} \in \text{subshape}_\Delta(X_\Delta)
\end{aligned}$$

And establish rules for a special occurs check procedure, which safeguards against handling circular references, and does so while considering all occurrences in the assumptions of  $\Delta$ .

Incorporating constraints into  $\Delta$  proceeds as follows: freshness of an atom in  $a$  in a variables is simply acknowledged in the **fresh** mapping. Inequality of two atoms simply adds to the set **neq\_atoms**, unless invoked with identical atoms, in

$\frac{X_{\Delta} \text{ occurs syntactically in }  \mathcal{S} _{\Delta}}{\Delta \vdash X \text{ occurs in } \mathcal{S}}$		
$\frac{X' \text{ occurs syntactically in }  \mathcal{S} _{\Delta} \quad (\mathcal{S}' \prec X') \in \Delta \quad \Delta \vdash X \text{ occurs in } \mathcal{S}'}{\Delta \vdash X \text{ occurs in } \mathcal{S}}$		

Figure 3.8: Occurs check rules

which case we report a contradiction. We are using OCaml's pipelining notation of `x |> f1 |> ... |> fn` for `fn (... (f1 x))` and treat expressions like `fresh += x` as functions, meaning `fun Δ -> { Δ with fresh = x :: Δ.fresh }` and alike. TODO: put it in a box.

```

{a # X} ∪ Δ :=
  Δ |> fresh += (a # X)
{a ≠ a'} ∪ Δ :=
  | if a = a' then ↯
  | otherwise Δ |> neq_atoms += (a ≠ a')
```

To meld together two shape-variables, we first check whether they have already been merged. If they have, we return contradiction.

Next, we conduct an occurs check to ensure that merging them won't create a circular reference. If this check fails, we again report a contradiction.

Finally, we merge all the information pertaining to  $X$  into  $X'$  and remove any traces of  $X$  from within  $\Delta$  environment.

To maintain a high-level description, we delegate the detailed implementation aspects to auxiliary functions responsible for substituting shape-variables within the given field of  $\Delta$ .

```

{X ~ X'} ∪ Δ :=
  | if XΔ = X'Δ then Δ
  | if |X|Δ = |X'|Δ then Δ
  | if XΔ occurs in |X'|Δ then ↯
  | if X'Δ occurs in |X|Δ then ↯
  | otherwise Δ |> symbols {XΔ ~ X'Δ}
    |> subshape {XΔ ~ X'Δ}
    |> transfer_shape {XΔ ~ X'Δ}
    |> var_shape += (XΔ ↦ X'Δ)
    |> shape -= XΔ
    |> subshape -= XΔ
```

To set variable shape, we first make sure to perform occurs check on the proposed shape and then substitute the shape-variable in all affected fields.

```

{X ~ S} ∪ Δ :=
  | if Δ ⊢ X occurs in S then ↯
  | otherwise Δ |> symbols {XΔ ~ |S|Δ}
    |> subshape {XΔ ~ |S|Δ}
    |> shape {XΔ ~ |S|Δ}
```

Note that we are using the meta-field of `assumptions` to indicate that some of the

assumptions in  $\Delta$  are no longer "simple" and escape from  $\Delta$  back to  $\Gamma$  to be broken up by the *Solver*.

```

symbols {X ~ S} Δ :=
  | if X_Δ ∉ symbols_Δ then Δ
  | otherwise Δ |> symbols -= X
  |> assumptions += (symbol S)

shape {X ~ S} Δ :=
  | if S' ← shape_Δ X then Δ |> assumptions += (S ~ S')
  | otherwise Δ |> shapes += (X ↦ S)

subshape {X ~ S} Δ :=
  Δ |> assumptions += (subshapes_Δ X < S)

transfer_shape {X ~ X'} Δ :=
  | if S ← shape_Δ X then Δ |> shape {X' ~ S}
  | otherwise Δ

```

Finally, we demonstrate how the substitution of variables and atoms is accomplished, thereby concluding the description of the *Solver* and its environment.

```

Δ {X ↦ t} :=
  Δ |> fresh -= X
  |> assumptions += (X ~ |t|_Δ)
  |> assumptions += ⋃_{(a # X) ∈ Δ} (a # t)

Δ {a ↦ a'} :=
  Δ |> fresh -= a
  |> fresh += (a' # fresh_Δ a)
  |> clear neq_atoms
  |> assumptions += ⋃_{(a_1 ≠ a_2) ∈ Δ} (a_1 {a ↦ a'} ≠ a_2 {a ↦ a'})

```

And that finishes the Solver description. Now the curious reader should feel obliged to ask themselves an important question: does that procedure always stop?

To address this question, we define the state of the Solver as a triple  $(\Gamma, \Delta, \mathcal{C})$ . Upon analyzing the Solver rules, it becomes evident that each rule consistently leads to a lesser state by reducing it through one or more of the following actions:

1. Decreasing the number of distinct variables in  $\Gamma$ ,  $\Delta$ , and  $\mathcal{C}$ , or maintaining the same number while:
2. Decreasing the depth of  $\mathcal{C}$ , or preserving the current depth while:
3. Reducing assumptions with a given depth in either  $\Gamma$  or  $\Delta$  into assumptions with lower depth, or maintaining the number and depth of assumptions, while:
4. Eliminating an assumption from  $\Gamma$  and introducing an assumption of the same depth into  $\Delta$ .

In the following chapters, we will write  $\Gamma \models c$  but mean  $\Gamma; \emptyset \vdash \mathcal{C}$ , which by the construction of  $\vdash$  we consider equivalent to  $\Gamma \models c$  as defined in the model.



## Chapter 4

# Higher Order Logic

On top of the sublogic of constraints, we build a higher-order logic.

### 4.1 Kinds

We introduce kinds to ensure that the formulas we will deal with are *making sense*, due to the multiple ways atoms, terms, binders, and constraints can occur in them.

$\kappa ::= \star \mid \kappa \rightarrow \kappa \mid \forall_A a. \kappa \mid \forall_T X. \kappa \mid [c]\kappa$	(kinds)
--	---------

Figure 4.1: Kinds grammar

Notice that as constraints occur in kinds, we cannot simply give functions from atoms some kind  $Atom \rightarrow \kappa$ , but we must know *which* atom is bound there, to substitute for it in  $\kappa$  the same way we substitute that atom for an atom expression in the function body when applying it to the formula. The *guarded kind*  $[c]\kappa$  is most importantly used in kinding of the fixpoint formulas, which we will explain in later sections.

$\varphi :: \star$	$\varphi$ is a propositional formula.
$\varphi :: \kappa_1 \rightarrow \kappa_2$	$\varphi$ is a function that takes a formula of kind $\kappa_1$ , and produces a formula of kind $\kappa_2$ .
$\varphi :: \forall_A a. \kappa$	$\varphi$ is a function that takes an atom expression, binds it to $a$ , and produces a formula of kind $\kappa$ .
$\varphi :: \forall_T X. \kappa$	$\varphi$ is a function that takes a term, binds it to $X$ , and produces a formula of kind $\kappa$ .
$\varphi :: [c]\kappa$	$\varphi$ is a formula of kind $\kappa$ as long as $c$ is satisfied.

Figure 4.2: Kinds semantics

## 4.2 Subkinding

We relax kinding rules are through the *subkinding* relation.

$$\begin{array}{c}
\text{SUBKINDREFL} \frac{}{\Gamma \vdash \kappa <: \kappa} \quad \text{SUBKINDTRANS} \frac{\Gamma \vdash \kappa_1 <: \kappa_2 \quad \Gamma \vdash \kappa_2 <: \kappa_3}{\Gamma \vdash \kappa_1 <: \kappa_3} \\
\\
\text{SUBKINDFORALLATOM} \frac{\Gamma \vdash \kappa_1 <: \kappa_2}{\Gamma \vdash \forall_A a. \kappa_1 <: \forall_A a. \kappa_2} \\
\\
\text{SUBKINDFORALLTERM} \frac{\Gamma \vdash \kappa_1 <: \kappa_2}{\Gamma \vdash \forall_T X. \kappa_1 <: \forall_T X. \kappa_2} \\
\\
\text{SUBKINDFUNCTION} \frac{\Gamma \vdash \kappa'_1 <: \kappa_1 \quad \Gamma \vdash \kappa_2 <: \kappa'_2}{\Gamma \vdash \kappa_1 \rightarrow \kappa_2 <: \kappa'_1 \rightarrow \kappa'_2} \\
\\
\text{SUBKINDREDUCE} \frac{\Gamma \models c}{\Gamma \vdash [c]\kappa <: \kappa} \quad \text{SUBKINDGUARD} \frac{\Gamma, c \vdash \kappa_1 <: \kappa_2}{\Gamma \vdash \kappa_1 <: [c]\kappa_2}
\end{array}$$

Figure 4.3: Subkinding Rules

Function kind is contravariant to the subkinding relation on the left argument: Universally quantified kinds only subkind if they are quantified over the same name: Constraints that are solved through  $\models$  relation can be dropped: And constraints can be moved to the enviroment from the right-hand side: Note that there is no structural subkinding rule for guarded kinds like

$$\frac{\Gamma \vdash \kappa_1 <: \kappa_2}{\Gamma \vdash [c]\kappa_1 <: [c]\kappa_2} \times$$

Such a rule can be derived from both subkinding rules for guarded kind, transitivity, and weakening.

## 4.3 Formulas

Formulas include standard connectives (of kind  $\star$ ):

$$\varphi ::= \perp \mid \top \mid \varphi \vee \varphi \mid \varphi \wedge \varphi \mid \varphi \rightarrow \varphi \mid \dots \quad (\text{formulas})$$

Quantification over atoms and terms (on formulas of kind  $\star$ ):

$$\varphi ::= \dots \mid \forall_A a. \varphi \mid \forall_T X. \varphi \mid \exists_A a. \varphi \mid \exists_T X. \varphi \mid \dots \quad (\text{formulas})$$

Constraints and guards:

$$\varphi ::= \dots \mid c \mid [c] \wedge \varphi \mid [c] \rightarrow \varphi \mid \dots \text{ (formulas)}$$

$$\frac{}{\Gamma; \Sigma \vdash c :: \star} \quad \frac{\Gamma, c; \Sigma \vdash \varphi :: \star}{\Gamma; \Sigma \vdash [c] \wedge \varphi :: \star} \quad \frac{\Gamma, c; \Sigma \vdash \varphi :: \star}{\Gamma; \Sigma \vdash [c] \rightarrow \varphi :: \star}$$

Naturally, constraints can act as propositions, as we can reason about their validity, and thus they are of kind  $\star$ . Constructions  $[c] \wedge \varphi$  and  $[c] \rightarrow \varphi$  are called *guards* and make assumptions about the environment in which one shall interpret the guarded formula. The latter states that the formula  $\varphi$  holds if the constraint  $c$  is valid, analogously to a propositional implication. The former additionally requires that  $c$  already holds.

TODO: Write why not simply use propositional implication and conjunction (kinding example?)

Next: propositional variables, functions and applications:

$$\varphi ::= \dots \mid P \mid \lambda_A a. \varphi \mid \lambda_T X. \varphi \mid \lambda P :: \kappa. \varphi \mid \varphi \alpha \mid \varphi t \mid \varphi \varphi \mid \dots \text{ (formulas)}$$

$$\frac{\Gamma; \Sigma \vdash \varphi :: \kappa}{\Gamma; \Sigma \vdash \lambda_A a. \varphi :: \forall_A a. \kappa} \quad \frac{\Gamma; \Sigma \vdash \varphi :: \forall_A a. \kappa}{\Gamma; \Sigma \vdash \varphi \alpha :: \kappa\{a \mapsto \alpha\}}$$

$$\frac{\Gamma; \Sigma \vdash \varphi :: \kappa}{\Gamma; \Sigma \vdash \lambda_T X. \varphi :: \forall_T X. \kappa} \quad \frac{\Gamma; \Sigma \vdash \varphi :: \forall_T X. \kappa}{\Gamma; \Sigma \vdash \varphi t :: \kappa\{X \mapsto t\}}$$

$$\frac{\Gamma; \Sigma, P :: \kappa_1 \vdash \varphi :: \kappa_2}{\Gamma; \Sigma \vdash \lambda P :: \kappa_1. \varphi :: \kappa_1 \rightarrow \kappa_2} \quad \frac{\Gamma; \Sigma \vdash \varphi_1 :: \kappa' \rightarrow \kappa \quad \Gamma; \Sigma \vdash \varphi_2 :: \kappa'}{\Gamma; \Sigma \vdash \varphi_1 \varphi_2 :: \kappa}$$

$$\frac{(P :: \kappa) \in \Sigma}{\Gamma; \Sigma \vdash P :: \kappa}$$

Functions are as they look like.

## 4.4 Fixpoint

And finish the definition of formulas with *fixpoint* function:

$$\varphi ::= \dots \mid \text{fix } P(X) :: \kappa = \varphi \text{ (formulas)}$$

$$\frac{\Gamma; \Sigma, (P :: \forall_T Y. [Y \prec X] \kappa\{X \mapsto Y\}) \vdash \varphi :: \kappa}{\Gamma; \Sigma \vdash (\text{fix } P(X) :: \kappa = \varphi) :: \forall_T X. \kappa}$$

The fixpoint constructor allows us to express *recursive* predicates over terms, but only such that the recursive applications of it are on structurally smaller terms, which we express in it's kinding rule, through the kinding  $(P :: \forall_T Y. [Y \prec X] \kappa\{X \mapsto Y\})$ . To evaluate a fixpoint function applied to a term, simply substitute the bound variable

with the given term and replace recursive calls inside the fixpoint's body with the fixpoint itself.

$$(\text{fix } P(X) :: \kappa = \varphi) t \equiv \varphi\{X \mapsto t\}\{P \mapsto (\text{fix } P(X) :: \kappa = \varphi)\}$$

Because the applied term is finite and we always recurse on structurally smaller terms, the final formula after all substitutions must also be finite — thanks to the semantics of constraints and kinds.

To familiarize the reader with the fixpoint formulas, we present how Peano arithmetic can be modeled in our logic. Given symbols 0 and  $S$  for natural number construction, one can write a predicate that a term  $N$  models some natural number and that two terms  $N$  and  $M$  added together are the equal to  $K$ :

$$\text{fix } \text{Nat}(N) :: \star = (N = 0) \vee (\exists_T M. [N = S M] \wedge (\text{Nat } M))$$

$$\begin{aligned} \text{fix } \text{PlusEq}(N) :: \forall_T M. \forall_T K. \star = \lambda_T M. \lambda_T K. \\ ([N = 0] \wedge (M = K)) \vee (\exists_T N', K'. [N = S N'] \wedge [K = S K'] \wedge (\text{PlusEq } N' M K')) \end{aligned}$$

Notice how the constraint  $(N = S M)$  guards the recursive call to  $\text{Nat}$ , ensuring that constraint  $(M \prec N)$  will be satisfied during kind checking of  $(\text{Nat } M)$  in the kind derivation of the whole formula  $(\text{Nat} :: \forall_T N. \star)$ .

TODO: Write how  $N$  is treated differently from  $M$  and  $K$ ?

See more interesting examples of fixpoints usage in the chapter on STLC.

## 4.5 Natural deduction

Finally, we come to the definition of proof-theoretic rules. Starting with inference rules for assumption, we can see first an analogue between the worlds of propositional logic and constraint sublogic. And while the  $\vdash$  relation we define is purely syntactic, we can still use semantic  $\models$  because of its decidability.

$$\frac{\varphi \in \Theta}{\Gamma; \Theta \vdash \varphi} \text{ (Assumption)} \quad \frac{\Gamma \models c}{\Gamma; \Theta \vdash c} \text{ (constr}^i\text{)}$$

Again, for *ex falso*, we define an analogous proof constructor for dealing with a contradictory constraint environment. Note that there are many constraints that can be used as  $\perp_c$ , i.e. constraints that are always false, and the solver will only *prove* them if we supply it with contradictory assumptions.

$$\frac{\Gamma; \Theta \vdash \perp}{\Gamma; \Theta \vdash \varphi} (\perp^e) \quad \frac{\Gamma \models \perp_c}{\Gamma; \Theta \vdash \varphi} (\text{constr}^e)$$

Inference rules for implication are standard, and the reason we present them here is not to bore the reader, but to point out the similarities to their constraint analogues.

$$\frac{\Gamma; \Theta, \varphi_1 \vdash \varphi_2}{\Gamma; \Theta \vdash \varphi_1 \rightarrow \varphi_2} (\rightarrow^i) \quad \frac{\Gamma_1; \Theta_1 \vdash \varphi_1 \quad \Gamma_2; \Theta_2 \vdash \varphi_1 \rightarrow \varphi_2}{\Gamma_1 \cup \Gamma_2; \Theta_2 \cup \Theta_1 \vdash \varphi_2} (\rightarrow^e)$$

$$\frac{\Gamma, c; \Theta \vdash \varphi}{\Gamma; \Theta \vdash [c] \rightarrow \varphi} ([\cdot] \rightarrow^i) \quad \frac{\Gamma_1; \Theta_1 \vdash c \quad \Gamma_2; \Theta_2 \vdash [c] \rightarrow \varphi}{\Gamma_1 \cup \Gamma_2; \Theta_2 \cup \Theta_2 \vdash \varphi} ([\cdot] \rightarrow^e)$$

Notice that in the case of constraint-and-guard, the rule for elimination is restricted to only formulas of kind  $\star$ . This is due to the nature of the guard — if we want to eliminate it, we can only do so with formulas that *make sense* on their own, without that  $c$  guard.

$$\begin{array}{c} \frac{\Gamma_1; \Theta_1 \vdash \varphi_1 \quad \Gamma_2; \Theta_2 \vdash \varphi_2}{\Gamma_1 \cup \Gamma_2; \Theta_2 \cup \Theta_2 \vdash \varphi_1 \wedge \varphi_2} (\wedge^i) \quad \frac{\Gamma; \Theta \vdash \varphi_1 \wedge \varphi_2}{\Gamma; \Theta \vdash \varphi_1} (\wedge_1^e) \quad \frac{\Gamma; \Theta \vdash \varphi_1 \wedge \varphi_2}{\Gamma; \Theta \vdash \varphi_2} (\wedge_2^e) \\ \frac{\Gamma \models c \quad \Gamma, c; \Theta \vdash \varphi}{\Gamma; \Theta \vdash [c] \wedge \varphi} ([\cdot] \wedge^i) \quad \frac{\Gamma; \Theta \vdash [c] \wedge \varphi}{\Gamma; \Theta \vdash c} ([\cdot] \wedge_1^e) \quad \frac{\Gamma \vdash [c] \wedge \varphi \quad \Gamma; \Theta \vdash \varphi : \star}{\Gamma; \Theta \vdash \varphi} ([\cdot] \wedge_2^e) \end{array}$$

Inference rules for disjunction and quantifiers are rather straightforward. As one would expect, we restrict the generalized name to be *fresh* in the environment (it may not occur in any of the assumptions), and the names given to witnesses of existential quantification must also be *fresh*. Rules for quantifiers always come in pairs — one for the atoms and one for the variables.

$$\begin{array}{c} \frac{\Gamma; \Theta \vdash \varphi_1}{\Gamma; \Theta \vdash \varphi_1 \vee \varphi_2} (\vee_1^i) \quad \frac{\Gamma; \Theta \vdash \varphi_2}{\Gamma; \Theta \vdash \varphi_1 \vee \varphi_2} (\vee_2^i) \quad \frac{\Gamma; \Theta \vdash \varphi_1 \vee \varphi_2}{\Gamma; \Theta, \varphi_1 \vdash \psi \quad \Gamma; \Theta, \varphi_2 \vdash \psi} (\vee^e) \\ \frac{a \notin \text{FV}(\Gamma; \Theta) \quad \Gamma; \Theta \vdash \varphi}{\Gamma; \Theta \vdash \forall_A a. \varphi} (\forall_A. i) \quad \frac{\Gamma; \Theta \vdash \forall_A a. \varphi}{\Gamma; \Theta \vdash \varphi\{a \mapsto a'\}} (\forall_A. e) \\ \frac{X \notin \text{FV}(\Gamma; \Theta) \quad \Gamma; \Theta \vdash \varphi}{\Gamma; \Theta \vdash \forall_T X. \varphi} (\forall_T. i) \quad \frac{\Gamma; \Theta \vdash \forall_T X. \varphi}{\Gamma; \Theta \vdash \varphi\{X \mapsto X'\}} (\forall_T. e) \\ \frac{\Gamma; \Theta \vdash \varphi\{a \mapsto a'\}}{\Gamma; \Theta \vdash \exists_A a. \varphi} (\exists_A. i) \quad \frac{\Gamma_1; \Theta_1 \vdash \exists_A a. \varphi \quad \Gamma_2; \Theta_2, \varphi\{a \mapsto a'\} \vdash \psi \quad a' \notin \text{FV}(\Gamma_1 \cup \Gamma_2; \Theta_2 \cup \Theta_2)}{\Gamma_1 \cup \Gamma_2; \Theta_2 \cup \Theta_2 \vdash \psi} (\exists_A. e) \\ \frac{\Gamma; \Theta \vdash \varphi\{X \mapsto X'\}}{\Gamma; \Theta \vdash \exists_T X. \varphi} (\exists_T. i) \quad \frac{\Gamma_1; \Theta_1 \vdash \exists_T X. \varphi \quad \Gamma_2; \Theta_2, \varphi\{X \mapsto X'\} \vdash \psi \quad X' \notin \text{FV}(\Gamma_1 \cup \Gamma_2; \Theta_2 \cup \Theta_2)}{\Gamma_1 \cup \Gamma_2; \Theta_2 \cup \Theta_2 \vdash \psi} (\exists_T. e) \end{array}$$

To make the framework more flexible we introduce a way for using equivalent formulas:

$$\frac{\Gamma; \Theta \vdash \psi \quad \Gamma; \Theta \vdash \psi \equiv \varphi}{\Gamma; \Theta \vdash \varphi} (\text{Equiv})$$

And a way to substitute atoms for atomic expression and variables for terms, if the solver can prove their equality:

$$\frac{\Gamma \models a = \alpha \quad \Gamma; \Theta \vdash \varphi}{\Gamma\{a \mapsto \alpha\}; \Theta\{a \mapsto \alpha\} \vdash \varphi\{a \mapsto \alpha\}} (\mapsto_A) \quad \frac{\Gamma \models X = t \quad \Gamma; \Theta \vdash \varphi}{\Gamma\{X \mapsto t\}; \Theta\{X \mapsto t\} \vdash \varphi\{X \mapsto t\}} (\mapsto_T)$$

Finally, we define induction over term structure, and thanks to the constraints sublogic we can easily define the notion of *smaller terms* needed for the inductive hypothesis:

$$\frac{\Gamma; \Theta, (\forall_T X'. [X' \prec X] \rightarrow \varphi(X')) \vdash \varphi(X)}{\Gamma; \Theta \vdash \forall_T X. \varphi(X)} (\text{Induction})$$

We also define some axioms about constraint sublogic:

1. Atoms can be compared in a deterministic fashion,

$$\frac{}{\vdash \forall_A a, a'. (a = a') \vee (a \neq a')} \quad (Axiom_{Compare})$$

2. There always exists a *fresh* atom,

$$\frac{}{\vdash \forall_T X. \exists_A a. (a \# X)} \quad (Axiom_{Fresh})$$

3. We can always deduce the structure of a term.

$$\frac{}{\vdash \forall_T X. (\exists_A a. X = a) \vee (\exists_A a. \exists_T X'. X = a.X') \vee (\exists_T X_1, X_2. X = a.X') \vee (symbol\ X)} \quad (Axiom_{Inversion})$$

The equivalence relation ( $\varphi_1 \equiv \varphi_2$ ) is a bit complicated due to subkinding, existence of formulas with fixpoints, functions, applications, and presence of an environment with variable mapping. Nonetheless, it's simply that - *an equivalence relation* - and it behaves as expected. We will only highlight the interesting parts.

Equivalence checking procedure starts by computing weak head normal form (up to some *depth* denoted by  $n$ ):

$$\begin{array}{l} \text{compute } \Sigma\ n\ P \rightsquigarrow \text{compute } \Sigma\ n\ \varphi \\ \text{when } \Sigma(P) = \varphi \end{array}$$

$$\begin{array}{l} \text{compute } \Sigma\ n\ (\varphi\ \alpha) \rightsquigarrow \text{compute } \Sigma\ (n' - 1)\ \varphi'\{a \mapsto \alpha\} \\ \text{when } \text{compute } \Sigma\ n\ \varphi \rightsquigarrow^* (n', \lambda_A a. \varphi') \end{array}$$

$$\begin{array}{l} \text{compute } \Sigma\ n\ (\varphi\ t) \rightsquigarrow \text{compute } \Sigma\ (n' - 1)\ \varphi'\{X \mapsto t\} \\ \text{when } \text{compute } \Sigma\ n\ \varphi \rightsquigarrow^* (n', \lambda_T X. \varphi') \end{array}$$

$$\begin{array}{l} \text{compute } \Sigma\ n\ (\varphi\ t) \rightsquigarrow \text{compute } \Sigma\{P \mapsto \phi'\}\ (n' - 1)\ \varphi'\{X \mapsto t\} \\ \text{when } \text{compute } \Sigma\ n\ \varphi \rightsquigarrow^* (n', \text{fix } P(X) :: \kappa = \varphi') \end{array}$$

$$\begin{array}{l} \text{compute } \Sigma\ n\ (\varphi_1\ \varphi_2) \rightsquigarrow \text{compute } \Sigma\ (n_2 - 1)\ \psi_1\{P \mapsto \psi_2\} \\ \text{when } \text{compute } \Sigma\ n\ \varphi_1 \rightsquigarrow^* (n_1, \lambda P :: \kappa. \psi_1) \\ \text{and } \text{compute } \Sigma\ n_1\ \varphi_2 \rightsquigarrow^* (n_2, \psi_2) \end{array}$$

After we've reached WHNF computation *depth* (when  $n \leq 0$ ) or cannot reduce the formula further, we can progress naively:

$$\frac{\Gamma; \Sigma \vdash \varphi_1 \equiv \varphi_2 \quad \Gamma; \Sigma \vdash \psi_1 \equiv \psi_2}{\Gamma; \Sigma \vdash \varphi_1 \rightarrow \psi_1 \equiv \varphi_2 \rightarrow \psi_2} \quad \frac{\Gamma; \Sigma \vdash \varphi_1 \equiv \varphi_2 \quad \Gamma; \Sigma \vdash \psi_1 \equiv \psi_2}{\Gamma; \Sigma \vdash \varphi_1 \wedge \psi_1 \equiv \varphi_2 \wedge \psi_2} \quad \dots$$

$$\frac{\Gamma \models t_1 = t_2 \quad \Gamma; \Sigma \vdash \varphi_1 \equiv \varphi_2}{\Gamma; \Sigma \vdash \varphi_1 \ t_1 \equiv \varphi_2 \ t_2}$$

Note that we allow *different terms* in equivalent formulas as long as constraints-environment  $\Gamma$  ensures their equality is provable. For functions, we simply substitute the arguments of both left and right side to the same, fresh name.

$$\frac{\begin{array}{c} X \notin \text{FV}(\Gamma; \Sigma) \\ \Gamma; \Sigma \vdash \varphi_1[X_1 \mapsto X] \equiv \varphi_2[X_2 \mapsto X] \end{array}}{\Gamma; \Sigma \vdash \lambda_T X_1. \varphi_1 \equiv \lambda_T X_2. \varphi_2}$$

$$\frac{\begin{array}{c} \kappa_1 <: \kappa_2 \\ \Gamma; \Sigma \vdash \varphi_1[P_1 \mapsto P] \equiv \varphi_2[P_2 \mapsto P] \end{array}}{\Gamma; \Sigma \vdash \lambda P_1 :: \kappa_1. \varphi_1 \equiv \lambda P_2 :: \kappa_2. \varphi_2}$$

$$\frac{\begin{array}{c} \kappa_1 <: \kappa_2 \quad P \notin \text{FV}(\Gamma; \Sigma) \quad X \notin \text{FV}(\Gamma; \Sigma) \\ \Gamma; \Sigma \vdash \varphi_1[P_1 \mapsto P, X_1 \mapsto X] \equiv \varphi_2[P_2 \mapsto P, X_2 \mapsto X] \end{array}}{\Gamma; \Sigma \vdash \text{fix } P_1(X_1) :: \kappa_1 = \varphi_1 \equiv \text{fix } P_2(X_2) :: \kappa_2 = \varphi_2}$$

Quantifiers are handled the same way as function above — as they all are a form of bind. To handle formulas with constraints we introduce *constraint equivalence* relation, which does nothing more than use the Solver to check that the constructors of constraint are the same and that arguments are equal to each other in the Solver's sense, analogously as with terms above.

$$\frac{\Gamma \vdash c_1 \equiv c_2 \quad \Gamma; \Sigma \vdash \varphi_1 \equiv \varphi_2}{\Gamma; \Sigma \vdash [c_1] \wedge \varphi_1 \equiv [c_2] \wedge \varphi_2} \quad \frac{\Gamma \models a_1 = a_2 \quad \Gamma \models t_1 = t_2}{\Gamma \vdash (a_1 \# t_1) \equiv (a_2 \# t_2)} \quad \dots$$





## Chapter 5

# Implementation

All the concepts discussed in previous chapters have been implemented in OCaml. Atoms and variables are represented internally by integers (yet remain disjoint sets) — and their string *names* are kept within the environment and binders (quantifiers and functions). Terms, constraints, kinds, and formulas are defined in `Types` module, mirroring their previously described grammars. The only difference is that we allow conjunction and disjunction to be used with more than two arguments, with the added feature of arguments being labeled by names. This naming approach lets the user to easily select desired branches while composing proofs or to give meaningful names within the definition of properties.

The *Solver* inhabits its own dedicated `Solver` module along with `SolverEnv` responsible for implementing the specialized environment  $\Delta$  handling the irreducible assumptions. Analogously, the `KindChecker` and `KindCheckerEnv` modules serve similar roles.

The proof theory described in previous chapter is distributed over modules `Proof`, `ProofEnv`, `ProofEquiv` and is a direct implementation of the proof-theoretic rules. TODO: keep what's interesting, lose what's not

```
(* Module: Types *)
type name_internal = int

type atom = A of name_internal

type var = V of name_internal

type term = T_Lam of permuted_atom * term | ...

type shape = S_Lam of shape | ...

type constr = C_Fresh of atom * term | ...

type kind = K_Prop | ...
```

```

type formula = F_Constr of constr | ...

(* Module: Solver *)
val ( ⊢ : ) : constr list -> constr -> bool
(* env ⊢: c ⇔ env ⊨ c *)

(* Module: SolverEnv *)
type SolverEnv.t

val add_fresh : atom -> var -> SolverEnv.t -> SolverEnv.t

...

val occurs_check : SolverEnv.t -> var -> shape -> bool

(* Module: KindChecker *)
val ( -: ) : formula -> kind -> KindCheckerEnv.t -> bool
(* (f -: k) env ⇔ env ⊢ f :: k *)

val ( <=: ) : kind -> kind -> KindCheckerEnv.t -> bool
(* (k1 <=: k2) env ⇔ env ⊢ k1 <: k2 *)

(* Module: ProofEnv *)
type 'a env
(* Polymorphic in assumption type *)

(* Module: ProofEquiv *)
val computeWHNF : 'a ProofEnv.env
    -> int
    -> Types.formula
    -> 'a ProofEnv.env * int * Types.formula

val ( === ) : Types.formula -> Types.formula -> 'a ProofEnv.env -> bool
(* (f1 === f2) env ⇔ env ⊢ f1 ≡ f2 *)

(* Module: Proof *)
type proof_env = formula env

type judgement = proof_env * formula

type proof = P_Ax of judgement | ...

(* ----- *)
(* Γ; f ⊢ f *)
val assumption : 'a env -> formula -> proof

(* Γ; Θ, f1 ⊢ f2 *)
(* ----- *)
(* Γ; Θ ⊢ f1 ⇒ f2 *)
val imp_i : formula -> proof -> proof

(* Γ1; Θ1 ⊢ f1 ⇒ f2    Γ2; Θ2 ⊢ f2 *)

```

```

(* ----- *)
(*       $\Gamma_1 \cup \Gamma_2; \Theta_1 \cup \Theta_2 \vdash f_2$       *)
val imp_e : proof -> proof -> proof

(*  $\Gamma; \Theta \vdash \perp$  *)
(* ----- *)
(*  $\Gamma; \Theta \vdash f$  *)
val bot_e : formula -> proof -> proof

(*  $\Gamma \models c$  *)
(* ----- *)
(*  $\Gamma; \Theta \vdash c$  *)
val constr_i : proof_env -> constr -> proof

```

Note that the **Proof** modules provide methods for constructing forward proofs, i.e., those in which more complex conclusions are built from simpler, already proven facts. Unfortunately, this *bottom-up* way is not the most convenient method for conducting proofs in intuitionistic logic — it is significantly easier to construct proofs in *top-down*, backwards fashion through simplifying the goal to be proven until we reach trivial matters. As such proofs are incomplete by nature, they must have *holes*, and live within some *proof context*, as defined in modules **IncProof**.

Naturally that makes the implementation much more complex, so the appropriate level of confidence in proven propositions will be achieved through other means: we delegate the responsibility for the correctness of the proofs to the **Proof** module, and the **IncProof** module serves as a kind of facade for it.

## 5.1 Proof assistant

To facilitate user interaction with this framework, we provide a practical *proof assistant*. While simple, it is also powerful and easy to use. The interface defined in modules **Prover**, **ProverInternals**, and **Tactics** provides multiple *tactics* (functions that manipulate *prover state*) and ways to combine them — inspired by the HOL family of theorem provers TODO: cite.

```

type goal_env = (string * formula) ProofEnv.env

type goal = goal_env * formula

type prover_state = S_Unfinished of {goal: goal; context: proof_context}
                  | S_Finished of proof

type tactic = prover_state -> prover_state

val proof : goal_env -> formula -> prover_state

val qed : prover_state -> proof

val (|>) : prover_state -> tactic -> prover_state

```

```
val (%>) : tactic → tactic → tactic
```

```
val repeat : tactic → tactic
```

```
val try_tactic : tactic → tactic
```

$$\text{proof } (\Gamma, \Theta, \Sigma) \varphi \rightsquigarrow \Gamma; \Theta; \Sigma \vdash \bullet :: \varphi$$

We begin description of the Prover interface with *empty* proof constructor, using  $\bullet :: \varphi$  to describe incomplete proofs, called *holes* or *goals*. TODO: put it in a figure I guess?

$$\begin{aligned} & \text{intro} \\ & \Gamma; \Theta; \Sigma \vdash \bullet :: [c] \rightarrow \varphi \rightsquigarrow \Gamma, c; \Theta; \Sigma \vdash \bullet :: \varphi \\ \\ & \text{intro' } x \\ & \Gamma; \Theta; \Sigma \vdash \bullet :: \psi \rightarrow \varphi \rightsquigarrow \Gamma; \Theta, x :: \psi; \Sigma \vdash \bullet :: \varphi \\ & \Gamma; \Theta; \Sigma \vdash \bullet :: \forall_A a. \varphi \rightsquigarrow \Gamma; \Theta; \Sigma, x :: a \vdash \bullet :: \varphi \\ & \Gamma; \Theta; \Sigma \vdash \bullet :: \forall_T X. \varphi \rightsquigarrow \Gamma; \Theta; \Sigma, x :: X \vdash \bullet :: \varphi \\ \\ & \text{apply } (\psi \rightarrow \varphi) \\ & \Gamma; \Theta; \Sigma \vdash \bullet :: \varphi \rightsquigarrow \Gamma; \Theta; \Sigma \vdash \bullet :: \psi \\ & \text{and } \Gamma; \Theta; \Sigma \vdash \bullet :: \psi \rightarrow \varphi \\ \\ & \text{apply\_thm } \mathcal{T} \\ & \Gamma; \Theta; \Sigma \vdash \bullet :: \varphi \rightsquigarrow \Gamma; \Theta; \Sigma \vdash \bullet :: \psi \\ & \text{where } \mathcal{T} \text{ is a proof of } \psi \rightarrow \varphi \\ \\ & \text{apply\_assm } H \\ & \Gamma; \Theta; \Sigma \vdash \bullet :: \varphi \rightsquigarrow \Gamma; \Theta; \Sigma \vdash \bullet :: \psi \\ & \text{when } (H :: \psi \rightarrow \varphi) \in \Theta \end{aligned}$$

```
apply_assm_specialized H [e; a]
```

$$\begin{aligned} & \Gamma; \Theta; \Sigma \vdash \bullet :: \varphi(e, a) \rightsquigarrow \Gamma; \Theta; \Sigma \vdash \bullet :: \psi(e, a) \\ & \text{when } (H :: \forall_T X. \forall_A a. \psi(X, a) \rightarrow \varphi(X, a)) \in \Theta \end{aligned}$$

Now, some typical tactics: introduction of names and assumptions and applying of propositions and theorems. Note that propositions can be applied not only on the goal, but also on other assumptions via `apply_in_assumption` tactic. One can also add introduce assumptions to the proof context from theorems via `add_assumption_thm`

(specialized if needed via `add_assumption_thm_specialized`) – or simply add any assumption to the current context together with a new goal (of proving that assumption) via `add_assumption`.

$$\begin{array}{l} \text{apply\_assm } H \\ \Gamma; \Theta; \Sigma \vdash \bullet :: \varphi \quad \rightsquigarrow \quad \Gamma; \Theta; \Sigma \vdash \varphi \\ \text{when } (H :: \varphi) \in \Theta \end{array}$$

$$\begin{array}{l} \text{by\_solver} \\ \Gamma; \Theta; \Sigma \vdash \bullet :: c \quad \rightsquigarrow \quad \Gamma; \Theta; \Sigma \vdash c \\ \text{when } \Gamma \models c \end{array}$$

$$\begin{array}{l} \text{discriminate} \\ \Gamma; \Theta; \Sigma \vdash \bullet :: \varphi \quad \rightsquigarrow \quad \Gamma; \Theta; \Sigma \vdash \varphi \\ \text{when } \Gamma \models \perp \end{array}$$

Above tactics finish the proofs, either by finding the goal in assumptions (which can be made automatically via `tacticalassumption`), or by running Solver on constraint-assumption and the goal. Technical detail is that all formulas in  $\Theta$  that are actually constraints will also be included in calls to Solver.

$$\begin{array}{l} \text{exists } e \\ \Gamma; \Theta; \Sigma \vdash \bullet :: \exists_A a. \varphi \quad \rightsquigarrow \quad \Gamma; \Theta; \Sigma \vdash \bullet :: \varphi\{a \mapsto e\} \\ \Gamma; \Theta; \Sigma \vdash \bullet :: \exists_T X. \varphi \quad \rightsquigarrow \quad \Gamma; \Theta; \Sigma \vdash \bullet :: \varphi\{X \mapsto e\} \end{array}$$

$$\begin{array}{l} \text{destr\_goal} \\ \Gamma; \Theta; \Sigma \vdash \bullet :: [c] \wedge \varphi \quad \rightsquigarrow \quad \Gamma; \Theta; \Sigma \vdash \bullet :: c \\ \text{and } \Gamma, c; \Theta; \Sigma \vdash \bullet :: \varphi \\ \Gamma; \Theta; \Sigma \vdash \bullet :: \varphi_1 \wedge \varphi_2 \quad \rightsquigarrow \quad \Gamma; \Theta; \Sigma \vdash \bullet :: \varphi_1 \\ \text{and } \Gamma; \Theta; \Sigma \vdash \bullet :: \varphi_2 \end{array}$$

$$\begin{array}{l} \text{left} \quad \equiv \quad \text{case } l \\ \Gamma; \Theta; \Sigma \vdash \bullet :: (l : \varphi_1) \vee (r : \varphi_2) \quad \rightsquigarrow \quad \Gamma; \Theta; \Sigma \vdash \bullet :: \varphi_1 \\ \text{right} \quad \equiv \quad \text{case } r \\ \Gamma; \Theta; \Sigma \vdash \bullet :: (l : \varphi_1) \vee (r : \varphi_2) \quad \rightsquigarrow \quad \Gamma; \Theta; \Sigma \vdash \bullet :: \varphi_2 \end{array}$$

Tactics above reduce the current goal.

$$\begin{array}{l}
\text{destr\_assm } H \\
\Gamma; \Theta \cup \{H :: [c] \wedge \varphi\}; \Sigma \vdash \bullet :: \varphi \quad \rightsquigarrow \quad \Gamma \cup \{c\}; \Theta \cup \{H :: \varphi\}; \Sigma \vdash \bullet :: \varphi \\
\Gamma; \Theta \cup \{H :: \varphi_1 \wedge \varphi_2\}; \Sigma \vdash \bullet :: \varphi \quad \rightsquigarrow \quad \Gamma; \Theta \cup \{H\_1 :: \varphi_1, H\_2 :: \varphi_2\}; \Sigma \vdash \bullet :: \varphi \\
\Gamma; \Theta \cup \{H :: \varphi_1 \vee \varphi_2\}; \Sigma \vdash \bullet :: \varphi \quad \rightsquigarrow \quad \Gamma; \Theta \cup \{H :: \varphi_1\}; \Sigma \vdash \bullet :: \varphi \\
\text{and} \quad \Gamma; \Theta \cup \{H :: \varphi_2\}; \Sigma \vdash \bullet :: \varphi \\
\\
\text{destr\_assm'} \ H \ x \\
\Gamma; \Theta \cup \{H :: \exists A a. \varphi\}; \Sigma \vdash \bullet :: \varphi \quad \rightsquigarrow \quad \Gamma; \Theta \cup \{H :: \varphi\{a \mapsto x\}\}; \Sigma \cup \{x :: A\} \vdash \bullet :: \varphi \\
\Gamma; \Theta \cup \{H :: \exists T X. \varphi\}; \Sigma \vdash \bullet :: \varphi \quad \rightsquigarrow \quad \Gamma; \Theta \cup \{H :: \varphi\{X \mapsto x\}\}; \Sigma \cup \{x :: T\} \vdash \bullet :: \varphi \\
\text{when } x \notin \text{FV}(\Gamma; \Theta; \Sigma)
\end{array}$$

Tactics above reduce formulas in assumptions. Note that the user provides `destr_assm'` with a *name* that will be bound with existential variable, but the binding is done *behind the scenes* and actually any string can be given and an unique internal identifier is generated.

$$\begin{array}{l}
\text{ex\_false} \\
\Gamma; \Theta; \Sigma \vdash \bullet :: \varphi \quad \rightsquigarrow \quad \Gamma; \Theta; \Sigma \vdash \bullet :: \perp \\
\\
\text{generalize } x \\
\Gamma; \Theta; \Sigma \vdash \bullet :: \varphi \quad \rightsquigarrow \quad \Gamma; \Theta; \Sigma' \vdash \bullet :: \forall_T x. \varphi \\
\text{when } \Sigma = \Sigma' \cup \{x\} \text{ and } x \notin \text{FV}(\Gamma) \\
\\
\text{by\_induction } x \text{ IH} \\
\Gamma; \Theta; \Sigma \vdash \bullet :: (\forall_T X. \varphi(X)) \quad \rightsquigarrow \quad \Gamma; \Theta \cup \{IH :: \psi\}; \Sigma \cup \{x :: T\} \vdash \bullet :: \varphi(X) \\
\text{where } \psi := \forall_T x. [x \prec X] \rightarrow \varphi(x)
\end{array}$$

Finally we can prove goals through generalization, induction on terms, and through reduction to absurd.

$$\begin{array}{l}
\text{compare\_atoms } a \ b \\
\Gamma; \Theta; \Sigma \vdash \bullet :: \varphi \quad \rightsquigarrow \quad \Gamma; \Theta; \Sigma \vdash \bullet :: (a = b \vee a \neq b) \rightarrow \varphi \\
\\
\text{get\_fresh\_atom } a \ e \\
\Gamma; \Theta; \Sigma \vdash \bullet :: \varphi \quad \rightsquigarrow \quad \Gamma \cup \{a \# e\}; \Theta; \Sigma \cup \{a :: A\} \vdash \bullet :: \varphi \\
\text{where } a \notin \text{FV}(\Gamma; \Theta; \Sigma)
\end{array}$$

We also provide shorthand formuals for using the axioms of our logic, described in previous chapter. Again argument `a` to `get_fresh_atom` is given by name and is bound by a fresh internal identifier automatically.

Additional we provide the user with some auxiliary tactics: `trivial th`

- `subst` — substitutes atoms for atom expressions and variables for terms in goal and environment — as long as Solver proves their equality,
- `compute` — computes WHNF of the current goal,
- `try` — applies a tactic and returns unchanged state if the tactic fails
- `repeat` — applies given tactic (until failure),
- `trivial` — tries applying some simple tactics

Finally, the function `qed` accepts a prover state and finalizes it. If the proof state is indeed finished, the function transforms it into a forward proof. This transformation guarantees correctness through the utilization of straightforward rules embedded within the `proof` smart constructors.

Naturally, we also provide a pretty-printer, created using the `EasyFormat` library, along with a parser developed using the `Angstrom` parser combinator library, designed to handle terms, constraints, kinds, and formulas. See how predicates such as `Nat` and `PlusEq` can be expressed using programmer-friendly syntax:

```
let fix Nat(n) : * =
  zero: (n = 0)
  ∨
  succ: (∃ m :term. [n = S m] ∧ Nat m)

let fix PlusEq(n) : ∀ m k : term. * = fun m k : term →
  zero: ([n = 0] ∧ [m = k])
  ∨
  succ: (∃ n' k' :term. [n = S n'] ∧ [k = S k'] ∧ PlusEq n' m k')
```

And a short proof that 1 is a natural number: TODO: Use strings in pseudocode

```
let nat_1_thm = arith_thm
  Nat {S 0}

let nat_1 =
  proof' nat_1thm (* goal: Nat {S 0} *)
  |> case "succ" (* goal: ∃ m :term. [S 0 = S m] ∧ Nat m *)
  |> exists "0" (* goal: [S 0 = S 0] ∧ Nat 0 *)
  |> by_solver (* goal: Nat 0 *)
  |> case "zero" (* goal: 0 = 0 *)
  |> by_solver (* finished *)
  |> qed
```

Another example theorem could be the symmetry of addition:

```
let plus_symm_thm = arith_thm
  ∀ x y z :term. (IsNum x) ⇒ (IsNum y) ⇒
    (PlusEq x y z) ⇒ (PlusEq y x z)
```

The proof of which is included in the `examples` subdirectory of the project, together with the case study from the next chapter.





## Chapter 6

# Case study: Progress and Preservation of STLC

The ultimate goal of our work is to create a logic for dealing with variable binding, and there's no better way to put it to work than to prove some things about lambda calculus.

We will take a look at simply typed lambda calculus and examine proofs of its two major properties of *type soundness*: *progress* and *preservation*. But before we delve into the proofs, let's first establish the needed relations:

```
let lambda_symbols = [lam; app; base; arrow; nil; cons]

let fix Term(e): * =
  var: (∃ a :atom. [e = a])
  ∨
  lam: (∃ a :atom. ∃ e' :term. [e = lam (a.e')] ∧ (Term e'))
  ∨
  app: (∃ e1 e2 :term. [e = app e1 e2] ∧ (Term e1) ∧ (Term e2))

let fix Type(t): * =
  base: (t = base)
  ∨
  arrow: (∃ t1 t2 :term. [t = arrow t1 t2] ∧ (Type t1) ∧ (Type t2))

let fix InEnv(env): ∀ a :atom. ∀ t :term. * = fun (a :atom) (t :term) →
  current: (∃ env' : term. [env = cons a t env'])
  ∨
  next: (∃ b :atom. ∃ s env' : term.
    [env = cons b s env'] ∧ [a ≠ b] ∧ (InEnv env' a t))

let fix Typing(e): ∀ env t :term. * = fun env t :term →
  var: (∃ a :atom. [e = a] ∧ (InEnv env a t))
  ∨
  lam: (∃ a :atom. ∃ e' t1 t2 :term.
    [e = lam (a.e')] ∧ [t = arrow t1 t2]
    ∧ (Type t1) ∧ (Typing e' {cons a t1 env} t2))
```

```

∨
app: (∃ e1 e2 t2 :term.
  [e = app e1 e2]
  ∧ (Typing e1 env {arrow t2 t}) ∧ (Typing e2 env t2))

```

To state the theorem of *progress*, we will naturally need the predicate that a term is *progressive*:

```

let Value :: ∀ e :term.* = fun e :term →
  var: (∃ a :atom. [e = a])
  ∨
  lam: (∃ a :atom. ∃ e' : term. [e = lam (a.e')] ∧ (Term e'))

let fix Sub(e): ∀ a :atom. ∀ v e':term.* = fun (a :atom) (v e' :term) →
  var_same: ([e = a] ∧ [e' = v])
  ∨
  var_diff: (∃ b :atom. [e = b] ∧ [e' = b] ∧ [a ≠ b])
  ∨
  lam: (∃ b :atom. ∃ e_b e_b' :term. [e = lam (b.e_b)] ∧
    [e' = lam (b.e_b')] ∧ [b # v] ∧ [a ≠ b] ∧ (Sub e_b a v e_b')) )
  ∨
  app: (∃ e1 e2 e1' e2' :term.
    [e = app e1 e2] ∧ [e' = app e1' e2']
    ∧ (Sub e1 a v e1') ∧ (Sub e2 a v e2')) )
(* TODO: describe why [lam] case is so cool *)

let EnvInclusion :: ∀ env1 env2 :term.* = fun env1 env2 : term →
  ∀ a : atom. ∀ t : term. (InEnv env1 a t) ⇒ (InEnv env2 a t)

let fix Steps(e): ∀ e' :term.* = fun e' :term →
  app_l: (∃ e1 e1' e2 :term. [e = app e1 e2]
    ∧ [e' = app e1' e2] ∧ (Steps e1 e1')) )
  ∨
  app_r: (∃ v e2 e2' :term. [e = app v e2]
    ∧ [e' = app v e2'] ∧ (Value v) ∧ (Steps e2 e2')) )
  ∨
  app: (∃ a :atom. ∃ e_a v :term. [e = app (lam (a.e_a)) v]
    ∧ (Value v) ∧ (Sub e_a a v e')) )

let Progressive :: ∀ e :term.* = fun e:term →
  value: (Value e)
  ∨
  steps: (∃ e' :term. Steps e e')

let progress_thm = lambda_thm
  ∀ e t :term. (Typing e nil t) ⇒ (Progressive e)

```

We will also require a lemma about *canonical forms*, which states that all values in the empty environment are of *arrow* type and can be *inversed* into an abstraction term (since we did not consider any true base types like `Bool` or `Int`).

```

let canonical_form_thm = lambda_thm
  ∀ v :term. (Value v) ⇒

```

$$\forall t : \text{term}. (\text{Typing } v \text{ nil } t) \implies (\exists a : \text{atom}. \exists e : \text{term}. [v = \text{lam } (a.e)] \wedge (\text{Term } e))$$

As well as some boilerplate lemmas:

```

let empty_contradiction_thm = lambda_thm
  ∀ a : atom. ∀ t : term. (InEnv nil a t) ⇒ false

let typing_terms_thm = lambda_thm
  ∀ e env t : term. (Typing e env t) ⇒ (Term e)

let subst_exists_thm = lambda_thm
  ∀ a : atom.
  ∀ v : term. (Value v) ⇒
  ∀ e : term. (Term e) ⇒
  ∃ e' : term. (Sub e a v e')
```

Lets begin with the proof of *canonical forms*:

```

let canonical_form =
  proof' canonical_form_thm
  |> intros ["v"; "t"; "Hv"; "Ht"]
  (* Proof state:
  [ ]
  [ Ht : Typing v nil t ;
    Hv : Value v
  ]
  ⊢ ∃ a : atom. ∃ e : term. [v = lam (a.e)] ∧ Term e
  *)
```

The proof will follow from case analysis of `Typing` relation, so let's *destruct* assumption `Ht` and consider the first case, where `v` is some variable `a`. This case is impossible in empty environment, so we named the assumption `contra` and show it through the tactic `ex_falso`.

```

  |> destruct_assm "Ht"
  |> intros' ["contra"; "a"; ""]
  %> ex_falso
  (* Proof state:
  [ v = a ]
  [ Hv : Value v ;
    contra : InEnv nil a t
  ]
  ⊢ ⊥
  *)
  %> apply_thm_specialized empty_contradiction ["a"; "t"]
  (* InEnv nil a t ⇒ ⊥ *)
  %> apply_assm "contra"
```

Next case is the only sensible one: that `v` is some `lam (a.e)` of type `arrow t1 t2`.

```

  |> intros' ["Hlam"; "a"; "e"; "t1"; "t2"; ""; ""; ""]
  %> exists' ["a"; "e"]
  %> by_solver
```

```

(* Proof state:
[ v = lam (a.e) ; t = arrow t1 t2 ]
[ Hlam : Type t1  $\wedge$  Typing e {cons a t1 nil} t2 ;
...
]
 $\vdash$  Term e
*)

```

Now, obviously every term that *types* is indeed a proper *term*, so we simply use the `typing_terms` lemma and we're done here.

```

%> apply_thm_specialized typing_terms ["e"; "cons a t1 nil"; "t2"]
(* Typing e {cons a t1 nil} t2  $\implies$  Term e *)
%> assumption

```

Final case is that `e` is an application, but then it can't be a value, so we analyse the `Hv` assumption, arriving at contradiction in either case:

```

|> intros' ["contra"; "e1"; "e2"; "t2"; ""]
%> ex_falso
%> destruct_assm "Hv"
(* Proof state:
[ v = app e1 e2 ]
[ contra : Typing e1 nil {arrow t2 t}  $\wedge$  Typing e2 nil t2 ]
 $\vdash (\exists a : \text{atom}. v = a) \implies \perp$ 
*)
%> intros' ["contra_var"; "a"]
%> discriminate
(* Proof state:
[ v = app e1 e2 ]
[ contra : Typing e1 nil {arrow t2 t}  $\wedge$  Typing e2 nil t2 ]
 $\vdash (\exists a : \text{atom}. \exists e' : \text{term}. v = \text{lam } (a.e)) \implies \perp$ 
*)
%> intros' ["contra_lam"; "a"; "e"; ""] %> discriminate
%> discriminate
|> qed

```

Now we can proceed with the proof of *progress*, a simple induction over *Typing* derivation:

```

let progress =
  proof' progress_thm
  |> by_induction "e0" "IH" %> intro
(* Proof state:
[ ]
[ IH :  $\forall e0 : \text{term}. [e0 < e] \implies \forall t'1 : \text{term}.
(Typing e0 nil t'1) \implies \text{Progressive } e0$  ]
 $\vdash (\text{Typing } e \text{ nil } t) \implies \text{Progressive } e$ 
*)

```

To analyze all the possible branches of the *Typing* predicate, we simply use `destr_intro` tactic to destruct the assumption into multiple branches.

```

|> destr_intro

```

First one is that  $e$  is a variable - which again contradicts with empty environment:

```
|> intros' ["contra"; "a"; ""]
%> ex_falso
(* Proof state:
[ e = a ]
[
  contra : InEnv nil a t ;
  ...
]
⊢ ⊥
*)
%> apply_thm_specialized empty_contradiction ["a"; "t"]
%> assumption
```

Next,  $e$  is a lambda abstraction - so a value.

```
|> intros' ["Hlam"; "a"; "e_a"; "t1"; "t2"; ""] %> case "value"
(* e is a lambda - value *)
(* Proof state:
[ e = lam (a.e_a) ; t = arrow t1 t2 ]
[
  Hlam : Typing e_a {cons a t1 nil} t2 ∧ Type t1 ;
  ...
]
⊢ Value e
*)
%> case "lam"
%> case "lam"
%> exists' ["a"; "e_a"]
%> by_solver
```

Then  $e$  must be an application and thus must be reducing by taking steps, so we apply inductive hypothesis on its sub-expressions  $e_1$  and  $e_2$  and examine the possible cases.

```
|> intros' ["Happ"; "e1"; "e2"; "t2"; "t"; ""] %> case "steps"
(* e is an application - steps *)
|> add_assumption_parse "He1" "Progressive e1"
%> apply_assm_specialized "IH" ["e1"; "arrow t2 t"] %> by_solver
|> add_assumption_parse "He2" "Progressive e2"
%> apply_assm_specialized "IH" ["e2"; "t2"] %> by_solver;;
|> subst "e" "app e1 e2"
(* Proof state:
[ e = app e1 e2 ]
[
  Happ1 : Typing e1 nil {arrow t2 t} ;
  Happ2 : Typing e2 nil t2 ;
  He1 : Progressive e1 ;
  He2 : Progressive e2 ;
]
⊢ ∃ e' : term. Steps {app e1 e2} e'
*)
```

First we consider the case of both `e1` and `e2` being a value. From `canonical_form` theorem we know then `e1` must be an abstraction — we just need to ensure the Prover that all preconditions are met.

```
|> destruct_assm "He1" %> intros ["Hv1"]
%> destruct_assm "He2" %> intros ["Hv2"] (* Value e1, Value e2 *)
%> add_assumption_thm_specialized "He1lam"
      canonical_form ["e1"; "arrow t2 t"]
(* Proof state:
[ e = app e1 e2 ]
[
  He1lam : (Value e1) ==> (Typing e1 nil {arrow t2 t})
           ==> ∃ a : atom. ∃ e'1 : term. [e1 = lam (a.e'1)] ∧ Term e'1 ;
  Hv1 : Value e1 ;
  Hv2 : Value e2 ;
  ...
]
⊢ ∃ e' : term. Steps {app e1 e2} e'
*)
%> apply_in_assm "He1lam" "Hv1"
%> apply_in_assm "He1lam" "Happ_1"
%> destruct_assm' "He1lam" ["a"; "e_a"; ""]
%> subst "e1" "lam (a.e_a)"
(* Proof state:
[ e = app e1 e2 ; e1 = lam (a.e_a) ]
[
  He1lam : Term e_a ;
  ...
]
⊢ ∃ e' : term. Steps {app (lam (a.e_a)) e2} e'
*)
```

Then we need to find the `e'` that `app e1 e2` reduces to, and now that we know `e1` is an abstraction, then we can use beta-reduction rule and find the term of abstraction body `e_a` with argument `a` substituted with `e2`. Again, we ensure the Prover that preconditions are met and destruct on the final assumption to extract the term that we searched for: `e_a'`.

```
%> add_assumption_thm_specialized "He_a"
      subst_exists ["a"; "e2"; "e_a"]
(* Proof state:
[ ... ]
[
  He_a : (Value e2) ==> (Term e_a) ==> ∃ e' : term. Sub e_a a e2 e' ;
  ...
]
⊢ ∃ e' : term. Steps e e'
*)
%> apply_in_assm "He_a" "Hv2"
%> apply_in_assm "He_a" "He1lam"
%> destruct_assm' "He_a" ["e_a'"]
%> exists "e_a'"
(* Proof state:
```

```

[ ... ]
[
  He_a : Sub e_a a e2 e_a' ;
  ...
]
⊢ Steps {app (lam (a.e_a)) e2} e_a'
*)
  %> case "app" %> exists' ["a"; "e_a"; "e2"] %> by_solver
(* Proof state:
[ ... ]
[ ... ]
⊢ Value e2 ∧ Sub e_a a e2 e_a'
*)
  %> destruct_goal %> apply_assm "Hv2" %> apply_assm "He_a"

```

Now what's left is to examine straightforward cases where either `e1` or `e2` steps.

```

|> intros' ["Hs2"; "e2'"] (* Value e1, Steps e2 e2' *)
  %> exists "app e1 e2'"
(* Proof state:
[ ... ]
[
  Hv1 : Value e1 ;
  Hs2 : Steps e2 e2' ;
  ...
]
⊢ Steps {app e1 e2} {app e1 e2'}
*)
  %> case "app_r"
  %> exists' ["e1"; "e2"; "e2'"]
  %> repeat by_solver
(* Proof state:
[ ... ]
[ ... ]
⊢ Value e1 ∧ Steps e2 e2'
*)
  %> destruct_goal
  %> apply_assm "Hv1"
  %> apply_assm "Hs2"
|> intros' ["Hs1"; "e1'"] (* Steps e1 *)
(* Proof state:
[ ... ]
[
  Hs1 : Steps e1 e1' ;
  ...
]
⊢ Steps {app e1 e2} {app e1' e2}
*)
  %> exists "app e1' e2"
  %> case "app_l"
  %> exists' ["e1"; "e1'"; "e2"]
  %> repeat by_solver
  %> apply_assm "Hs1"
|> apply_assm "Happ_2" %> apply_assm "Happ_1"

```

```
|> qed
```

Now, to prove *Preservation*, we will need some more lemmas:

1. Substitution lemma: if term  $e$  has a type  $t$  in environment  $\{\text{cons } a \text{ } ta \text{ } env\}$ , then we can substitute  $a$  for any value  $v$  of type  $ta$  in  $e$  without breaking the typing.

```
let sub_lemma_thm = lambda_thm
  ∀ e env t : term.
  ∀ a : atom. ∀ ta : term.
  ∀ v e' : term.
  (Typing v env ta) ⇒
  (Typing e {cons a ta env} t) ⇒
  (Sub e a v e') ⇒
  (Typing e' env t)
```

2. Weakening lemma: for any environment  $env1$ , we can use larger environment  $env2$  without breaking the typing.

```
let weakening_lemma_thm = lambda_thm
  ∀ e env1 t env2 : term.
  (Typing e env1 t) ⇒
  (EnvInclusion env1 env2) ⇒
  (Typing e env2 t)
```

3. Lambda abstraction typing inversion: If term  $\text{lam } (a.e)$  has a type  $\{\text{arrow } t1 \text{ } t2\}$  in environment  $env$ , then it must be that the body  $e$  has a type  $t2$  in environment extended with the argument  $\{\text{cons } a \text{ } t1 \text{ } env\}$ .

```
let lambda_typing_inversion_thm = lambda_thm
  ∀ a : atom. ∀ e env t1 t2 : term.
  (Typing {lam (a.e)} env {arrow t1 t2}) ⇒
  (Typing e {cons a t1 env} t2)
```

To maintain reader engagement and prevent excessive technicality, we will omit here the proofs of rather obvious lemmas 2 and 3 and instead focus on the more important lemma 1:

```
let sub_lemma =
  proof' sub_lemma_thm
  |> by_induction "e0" "IH"
    %> repeat intro %> intros ["Hv"; "He"; "Hsub"]
(* Proof state:
[ ]
[
  He : Typing e {cons a ta env} t ;
  Hsub : Sub e a v e' ;
  Hv : Typing v env ta ;
  IH : ∀ e0 : term. [e0 < e] ⇒
    ∀ env'1 t'1 : term. ∀ a'1 : atom. ∀ ta'1 v'1 e''1 : term.
      Typing v'1 env'1 ta'1 ⇒
      Typing e0 {cons a'1 ta'1 env'1} t'1 ⇒
      Sub e0 a'1 v'1 e''1 ⇒
```



```

                Typing e''1 env'1 t'1
]
⊢ Typing e' env t
*)
%> destruct_assm "He"

```

First case is that  $e$  is some variable  $b$ , with first subcases that it is equal to  $a$  and substitutes to  $v$ :

```

|> intros' ["Hb"; "b"; ""]
    %> destruct_assm "Hsub"
    %> ( intros' ["Heq"; ""; ""]
(* Proof state:
[ e = a ; e' = v ; e = b ]
[
  Hb : InEnv {cons a ta env} b t ;
  Hv : Typing v env ta ;
  ...
]
⊢ Typing e' env t
*)

```

Now because in the goal  $e'$  has type  $t$ , but in assumption  $Hv$  it has  $ta$ , then we again case-analyse the assumption  $Hb$  and get that either  $t = ta$  or arrive at contradiction:

```

%> destruct_assm "Hb"
%> ( intros' ["Heq"; "env'"; ""] (* t = ta *)
    %> apply_assm "Hv" )
%> ( intros' ["Hdiff"; "b'"; "t'"; "env'"; ""; ""] (* a /= b *)
    %> discriminate )

```

Second subcase is that  $b$  is be different than  $a$  and thus is not be affected by the substitution. We will again case-analyse  $Hb$  assumption to extract additional facts.

```

%> ( intros' ["Hdiff"; "b'"; ""; ""; ""] (* a /= b *)
    %> destruct_assm "Hb"
    %> ( intros' ["Heq"; "env'"; ""] (* a = b *)
        %> discriminate )
    %> ( intros' ["Hdiff"; "a'"; "ta'"; "env'"; ""; ""]
(* Proof state:
[ e = b ; e' = b ; a /= b ; ... ]
[
  Hdiff : InEnv env' b t ;
  ...
]
⊢ Typing e' env t
*)
    %> case "var"
    %> exists "b"
    %> by_solver
    %> assumption )

```

Second case is that  $e$  is some abstraction  $\text{lam } (b.e_b)$ . Because of the way we defined substitution, abstraction argument must be different than the substituted variable and not occur in the substitute value — which is made possible by swapping atoms while maintaining alpha-equality. Consequence of that is when we destruct  $\text{Hsub}$  we get that  $e = \text{lam } (c.e_c)$  and  $e' = \text{lam } (c.e_{c'})$  — while  $b.e_b$  and  $c.e_c$  are equal,  $b$  and  $c$  don't have to be. Abstracting the mundane details to auxiliary lemmas allows us to present the derivation in a simple chain of applications and assumptions:

```
|> intros' ["Hlam"; "b"; "e_b"; "t1"; "t2"; ""; ""; ""]
%> destruct_assm "Hsub"
%> intros' ["Hsub"; "c"; "e_c"; "e_c'"; ""; ""; ""; ""]
%> case "lam"
%> exists' ["c"; "e_c'"; "t1"; "t2"]
%> repeat by_solver

(* Proof state:
[ e = lam (b.e_b) ; e = lam (c.e_c) ; e' = lam (c.e_c') ;
  a /= c ; c # v ; t = arrow t1 t2 ]
[
  Hsub : Sub e_c a v e_c' ;
  Hlam_1 : Type t1 ;
  Hlam_2 : Typing e_b {cons b t1 (cons a ta env)} t2 ;
  Hv : Typing v env ta ;
  ...
]
⊢ Type t1 ∧ Typing e_c' {cons c t1 env} t2
*)

%> destruct_goal
%> assumption
%> apply_assm_specialized
  "IH" ["e_c"; "cons c t1 env"; "t2"; "a"; "ta"; "v"; "e_c'"]
(* [e_c < e] ⇒ Typing v {cons c t1 env} ta ⇒
    Typing e_c {cons a ta (cons c t1 env)} t2 ⇒
    Sub e_c a v e_c' ⇒ Typing e_c' {cons c t1 env} t2 *)
%> by_solver
%> ( apply_thm_specialized
    cons_fresh_typing ["v"; "env"; "ta"; "c"; "t1"]
    (* [c # v] ⇒ Typing v env ta ⇒
        Typing v {cons c t1 env} ta *)
    %> by_solver
    %> apply_assm "Hv" )
%> ( apply_thm_specialized
    typing_env_shuffle ["e_c"; "env"; "t2"; "c"; "t1"; "a"; "ta"]
    (* [c /= a] ⇒
        Typing e_c {cons c t1 (cons a ta env)} t2 ⇒
        Typing e_c {cons a ta (cons c t1 env)} t2 *)
    %> by_solver
    %> apply_thm_specialized swap_lambda_typing
      ["b"; "e_b"; "c"; "e_c"; "cons a ta env"; "t1"; "t2"]
      (* [b.e_b = c.e_c] ⇒
          Typing e_b {cons b t1 (cons a ta env)} t2 ⇒
          Typing e_c {cons c t1 (cons a ta env)} t2 *)
```

```

%> by_solver
%> apply_assm "Hlam_2" )
%> apply_assm "Hsub"

```

Finally, we consider the case that  $e$  is an application  $e_1 \ e_2$ , which goes straightly from inductive hypothesis:

```

(* TODO: shorten it, uninteresting part *)
|> intros' ["Happ"; "e1"; "e2"; "t2"; ""; ""]
%> intros' ["Hsub"; "_e1"; "_e2"; "e1'"; "e2'"; ""; ""; ""]
%> case "app"
%> exists' ["e1'"; "e2'"; "t2"]
%> by_solver
(* Proof state:
[ e = app e1 e2 ; e' = app e1' e2' ]
[
  Happ_1 : Typing e1 {cons a ta env} {arrow t2 t} ;
  Happ_2 : Typing e2 {cons a ta env} t2 ;
  Hsub_1 : Sub e1 a v e1' ;
  Hsub_2 : Sub e2 a v e2' ;
  ...
]
⊢ Typing e1' env {arrow t2 t} ∧ Typing e2' env t2
*)
%> destruct_goal
%> ( apply_assm_specialized
    "IH" ["e1"; "env"; "arrow t2 t"; "a"; "ta"; "v"; "e1'"]
    (* [e1 < e] ⇒
       Typing v env ta ⇒
       Typing e1 {cons a ta env} {arrow t2 t} ⇒
       Sub e1 a v e1' ⇒
       Typing e1' env {arrow t2 t} *)
    %> by_solver
    %> apply_assm "Hv"
    %> apply_assm "Happ_1"
    %> apply_assm "Hsub_1" )
%> ( apply_assm_specialized
    "IH" ["e2"; "env"; "t2"; "a"; "ta"; "v"; "e2'"]
    (* [e2 < e] ⇒
       Typing v env ta ⇒
       Typing e2 {cons a ta env} t2 ⇒
       Sub e2 a v e2' ⇒
       Typing e2' env t2 *)
    %> by_solver
    %> apply_assm "Hv"
    %> apply_assm "Happ_2"
    %> apply_assm "Hsub_2" )
|> qed

```

Now that we've shown the `sub_lemma`, we can go on with the final proof of *preservation*. The proof goes through induction on term  $e$  the case analysis on assumption `Steps e e'`.

```

let preservation = proof' preservation_thm
|> by_induction "e0" "IH"
|> intro %> intro %> intro %> intros ["Htyp"; "Hstep"]
(* Proof state:
[ ]
[
  Hstep : Steps e e' ;
  Htyp : Typing e env t ;
  IH :  $\forall e0 : \text{term}. [e0 < e]$ 
       $\implies \forall e'1 \text{ env}'1 t'1 : \text{term}. (\text{Typing } e0 \text{ env}'1 t'1)$ 
       $\implies (\text{Steps } e0 \text{ e}'1)$ 
       $\implies \text{Typing } e'1 \text{ env}'1 t'1$ 
]
 $\vdash \text{Typing } e' \text{ env } t$ 
*)
|> destruct_assm "Hstep"

```

First two cases are rather simple:  $e$  is  $\text{app } e1 \ e2$  and either  $e1$  or  $e2$  take a step.

```

|> intros' ["He1"; "e1"; "e1'"; "e2"; ""; ""]
%> case "app"
%> exists' ["e1'"; "e2"; "t2"]
%> by_solver
(* Proof state:
[ e = app e1 e2 ; e' = app e1' e2 ]
[
  Happ_2 : Typing e2 env t2 ;
  Happ_1 : Typing e1 env {arrow t2 t} ;
  He1 : Steps e1 e1' ;
  ...
]
 $\vdash \text{Typing } e1' \text{ env } \{\text{arrow } t2 \ t\} \wedge \text{Typing } e2 \text{ env } t2$ 
*)
%> destruct_goal
%> (apply_assm_specialized "IH" ["e1"; "e1'"; "env"; "arrow t2 t"]
    (* [e1 < e]  $\implies$ 
       Typing e1 env {arrow t2 t}  $\implies$ 
       Steps e1 e1'  $\implies$ 
       Typing e1' env {arrow t2 t} *)
    %> by_solver
    %> apply_assm "Happ_1"
    %> apply_assm "He1" )
%> apply_assm "Happ_2"
|> intros' ["He2"; "v1"; "e2"; "e2'"; ""; ""; ""]
%> case "app"
%> exists' ["v1"; "e2'"; "t2"]
%> by_solver
(* Proof state:
[ e = app e1 e2 ; e' = app e1' e2 ]
[
  He2 : Value v1  $\wedge$  Steps e2 e2' ;
  ...
]
 $\vdash \text{Typing } e1 \text{ env } \{\text{arrow } t2 \ t\} \wedge \text{Typing } e2' \text{ env } t2$ 

```

```

*)
  %> destruct_goal
  %> apply_assm "Happ_1"
  %> ( apply_assm_specialized "IH" ["e2"; "e2'"; "env"; "t2"]
    (* [e2 < e]  $\implies$ 
      Typing e2 env t2  $\implies$ 
      Steps e2 e2'  $\implies$ 
      Typing e2' env t2 *)
    %> by_solver
    %> apply_assm "Happ_2"
    %> apply_assm "He2_2" )

```

The next, final case is where we will need the established lemmas: application `app e1 e2` beta-reduces into some term `e'` and we use the `sub_lemma` to show that `e'` still types.

```

|> intros' ["Hbeta"; "a"; "e_a"; "v"; ""; ""]
(* Proof state:
[ e = app (lam (a.e_a)) v ]
[
  Happ_2 : Typing v env t2 ;
  Happ_1 : Typing (lam (a.e_a)) env {arrow t2 t} ;
  Hbeta_1 : Value v ;
  Hbeta_2 : Sub e_a a v e' ;
  ...
]
⊢ Typing e' env t
*)
  %> apply_thm_specialized
    sub_lemma ["e_a"; "env"; "t"; "a"; "t2"; "v"; "e'"]
  (* Typing v env t2  $\implies$ 
    Typing e_a {cons a t2 env} t  $\implies$ 
    Sub e_a a v e'  $\implies$ 
    Typing e' env t *)
  %> apply_assm "Happ_2"
  %> ( apply_thm_specialized
    lambda_typing_inversion ["a"; "e_a"; "env"; "t2"; "t"]
    (* Typing {lam (a.e_a)} env {arrow t2 t}
       $\implies$  Typing e_a {cons a t2 env} t *)
    %> apply_assm "Happ_1" )
  %> apply_assm "Hbeta_2"
|> qed

```

And that's it.



## Chapter 7

# Conclusion

In summary, we’ve introduced and demonstrated a specialized variant of Nominal Logic, designed for reasoning about variable binding through the utilization of constraints solving. We’ve also successfully implemented this logic in OCaml, complemented by essential tools, including a proof assistant.

Through the proofs of classical properties of simply typed lambda calculus we have validated the logic’s suitability for reasoning about programming languages. However, the true potential of this framework is expected to shine when applied to specific theorems reliant on the notions of variable binding. One such area would be the theory of bisimulation, where we expect that ??? would provide significant aid in proving ???.

We must also acknowledge that our framework is still in its infancy, requiring substantial refinement to ensure a user-friendly experience, as the awkwardness and low-level nature of the current tooling obscures the benefits of underlying constraint-based sublogic. Consequently, it cannot be directly compared to other theorem-proving frameworks like Coq or Agda.

Nonetheless, we are confident that with enough refinement, our framework can prove to be a valuable resource for the specific use cases and remain enthusiastic about the framework’s potential to contribute to the field of formal methods and logic-based reasoning.





# Bibliografia

- [1] “A New Approach to Abstract Syntax with Variable Binding”. W: *Formal Aspects of Computing* 13.3 (2002), s. 341–363. DOI: [10.1007/S001650200016](https://doi.org/10.1007/S001650200016).
- [2] N.G de Bruijn. “Lambda calculus notation with nameless dummies, a tool for automatic formula manipulation, with application to the Church-Rosser theorem”. W: *Indagationes Mathematicae (Proceedings)* 75.5 (1972), s. 381–392. ISSN: 1385-7258. DOI: [10.1016/1385-7258\(72\)90034-0](https://doi.org/10.1016/1385-7258(72)90034-0).
- [3] Andrew M. Pitts. “Nominal logic, a first order theory of names and binding”. W: *Information and Computation* 186.2 (2003). Theoretical Aspects of Computer Software (TACS 2001), s. 165–193. ISSN: 0890-5401. DOI: [10.1016/S0890-5401\(03\)00138-X](https://doi.org/10.1016/S0890-5401(03)00138-X).