Vanishing theorems for Shimura varieties at unipotent level

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Based on joint work with A. Caraiani and C. Johansson and on joint work with A. Caraiani, C.-Y. Hsu, C. Johansson, L. Mocz, E. Reinecke, S.-C. Shih

I am going to talk about some results related to the (p-adic) Langlands correspondence. Roughly, the Langlands correspondence relates two different kinds of objects: automorphic representations and Galois representations.

automorphic representations  $\iff$  Galois representations

Here is a classic example. Let  $\mathcal{H}$  be the upper half complex plane Im z > 0. Consider the function  $f: \mathcal{H} \to \mathbb{C}$  defined by

$$f(z) := \eta(z)^2 \eta(11z)^2 = q \prod_{n=1}^{\infty} (1 - q^n)^2 (1 - q^{11n})^2, \quad q = e^{2\pi i z}.$$

One can show that is a modular form of weight 2 and level  $\Gamma_0(11)$ :

$$f\left(\frac{az+b}{cz+d}\right) = (cz+d)^2 f(z)$$

for all  $a, b, c, d \in \mathbb{Z}$  satisfying ad - bc = 1,  $c \equiv 0 \pmod{11}$ . If  $f = \sum_{n=1}^{\infty} a_n q^n$ , then define the associated L-function by

$$L(f,s) := \sum_{n=1}^{\infty} a_n n^{-s}.$$

$$L(f,s) = 1 - 2 \cdot 2^{-s} - 3^{-s} + 2 \cdot 4^{-s} + 5^{-s} + 2 \cdot 6^{-s} - 2 \cdot 7^{-s} + \cdots$$

Now consider the elliptic curve E defined by the equation

$$y^2 + y = x^3 - x^2 - 10x - 20$$
.

The curve E also has an associated L-function. For  $\ell$  prime, let  $a_{\ell} := \ell + 1 - \#E(\mathbb{F}_{\ell})$ .

$$L(E,s) := (1 - a_{11}11^{-s})^{-1} \prod_{\ell \neq 11} (1 - a_{\ell}\ell^{-s} + \ell^{1-2s})^{-1}$$

Then one can compute

$$L(E,s) = 1 - 2 \cdot 2^{-s} - 3^{-s} + 2 \cdot 4^{-s} + 5^{-s} + 2 \cdot 6^{-s} - 2 \cdot 7^{-s} + \dots = L(f,s)$$

How does this relate to the Langlands correspondence?

- The modular form f is a vector in an automorphic representation  $\Pi$  of  $GL_2$ .
- For any prime p, the Tate module  $T_p(E)$  is a Galois representation.

The Tate module is defined as follows. Since E has a group law,  $E(\overline{\mathbb{Q}})$  is an abelian group, and it carries an action of  $Gal(\overline{\mathbb{Q}}/\mathbb{Q})$ . For any prime p,

$$T_p(E) := \varprojlim_n E(\overline{\mathbb{Q}})[p^n]$$

is a free  $\mathbb{Z}_p$ -module of rank 2. So for each p, it determines a continuous represen-

$$\operatorname{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \to \operatorname{GL}_2(\mathbb{Z}_p).$$

So how do we generalize this story? Generalizing the Galois side is fairly straightforward. Let F be a number field, let n be a positive integer, and let p be a prime. A Galois representation is a continuous representation

$$\operatorname{Gal}(\overline{F}/F) \to \operatorname{GL}_n(\overline{\mathbb{Q}}_p)$$
.

In the classical Langlands correspondence:

- ullet Automorphic representations have  ${\mathbb C}$  coefficients
- Galois representations are required to be "geometric" (ramified at finitely many places, de Rham at p).

The p-adic Langlands correspondence interpolates the classical correspondence p-adically. So, on the Galois side, we drop the de Rham condition, and on the automorphic side, we need to find a p-adic replacement for automorphic forms.

One reason to be interested in the *p*-adic Langlands correspondence is that a lot of recent progress in the classical Langlands correspondence uses *p*-adic interpolation (construction of Galois representations by Harris–Lan–Taylor–Thorne and Scholze, potential modularity by Allen–Calegari–Caraiani–Gee–Helm–Le Hung–Newton–Scholze–Taylor–Thorne and Boxer–Calegari–Gee–Pilloni).

To see how to find a p-adic replacement for automorphic forms, let's go back to the modular form example. Let

$$\Gamma_0(11) := \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \middle| ad - bc = 1, 11 \mid c \right\}.$$

Let  $Y := \Gamma_0(11) \backslash \mathcal{H}$ . Consider the cohomology group  $H^1(Y, \mathbb{C})$ . We can think of elements of this group as functions on the space of loops in Y. The differential f(z) dz is  $\Gamma_0(11)$ -invariant, so we can define an element  $\phi \in H^1(Y, \mathbb{C})$  by  $\phi(\gamma) = \int_{\gamma} f(z) dz$ . We think of  $\phi$  as a cohomological replacement for f and  $H^1(Y, \mathbb{Z})$  as a p-adic replacement for modular forms of weight 2.

**Theorem 1** (Eichler-Shimura). For any congruence subgroup  $\Gamma \subset \mathrm{SL}_2(\mathbb{Z})$ , the set of L-functions arising from weight 2 modular forms of level  $\Gamma$  is the same as the set of L-functions arising from  $H^1(\Gamma \backslash \mathcal{H}, \mathbb{C})$ .

**Definition 2** (Emerton).

$$\widetilde{H}^1(\Gamma) := \varprojlim_m \varinjlim_n H^i((\Gamma \cap \Gamma(p^n)) \backslash \mathcal{H}, \mathbb{Z}/p^m\mathbb{Z})$$

Here

$$\Gamma(p^n) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \operatorname{SL}_2(\mathbb{Z}) \middle| \begin{pmatrix} a & b \\ c & d \end{pmatrix} \cong \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{p^n} \right\}.$$

It turns out that modular forms of *all* weights, not just weight 2, show up in the completed cohomology.

The spaces  $\Gamma \setminus \mathcal{H}$  are examples of *locally symmetric spaces*, i.e. they are quotients of symmetric spaces by arithmetic subgroups. A slightly more general example is  $\Gamma \setminus \mathrm{SL}_n(\mathbb{R})/\mathrm{SO}_n(\mathbb{R})$  for  $\Gamma \subset \mathrm{SL}_n(\mathbb{Z})$  a congruence subgroup. (Note that  $\mathcal{H} \cong \mathrm{SL}_2(\mathbb{R})/\mathrm{SO}_2(\mathbb{R})$  since  $\mathrm{SL}_2(\mathbb{R})$  acts transitively on  $\mathcal{H}$  by linear fractional transformations and the stabilizer of the point i is  $\mathrm{SO}_2(\mathbb{R})$ ).

For an arbitrary connected reductive group G over  $\mathbb{Q}$ , one can define locally symmetric spaces

$$Y_{K^pK_p} := G(\mathbb{Q})\backslash G(\mathbb{A})/A_{\infty}K_{\infty}K^pK_p$$
.

**Definition 3** (Emerton).

$$\tilde{H}^i(K^p) := \varprojlim_m \varinjlim_{K_p^r} H^i(Y_{K^pK_p}, \mathbb{Z}/p^m\mathbb{Z}) \,.$$

where the limit runs over compact open subgroups  $K_p \subset G(\mathbb{Q}_p)$ . Define  $\tilde{H}_c^i$  similarly.

We still have only a basic understanding of completed cohomology. In particular, we still need to determine in which degrees completed cohomology can appear.

Conjecture 4 (Calegari–Emerton). Let

$$\ell_0 := \operatorname{rk} G - \operatorname{rk} A_{\infty} K_{\infty}$$
$$q_0 := \frac{1}{2} (\dim Y_K - \ell_0)$$

Then  $\tilde{H}^i = \tilde{H}^i_c = 0$  for  $i > q_0$ .

**Theorem 5** (Scholze). Suppose the  $Y_K$  have the structure of Shimura varieties of Hodge type. Then  $\tilde{H}_c^i = 0$  for  $i > q_0$ .

**Theorem 6** (Caraiani-G-Johansson). Suppose the  $Y_K$  have the structure of Shimura varieties of Hodge type, and  $G_{\mathbb{Q}_p}$  is split. Let N be a compact unipotent subgroup of  $G(\mathbb{Q}_p)$ . Then

$$\varprojlim_{m} \varinjlim_{K_{p} \supset N} H_{c}^{i}(Y_{K^{p}K_{p}}, \mathbb{Z}/p^{m}\mathbb{Z}) = 0$$

for  $i > q_0$ .

**Theorem 7** (Scholze). Let F be a totally real or CM number field. Let  $Y_{K_M}^M$  be a locally symmetric space for  $M = \operatorname{Res}_{F/\mathbb{Q}} \operatorname{GL}_n$ . Let  $\mathbb{T}$  be the image of the Hecke algebra in  $\operatorname{End} H^*(Y_{K_M}^M, \mathbb{Z}_p)$ , and let  $\mathfrak{m} \subseteq \mathbb{T}$  be a maximal ideal. There exists a Galois representation

$$\bar{\rho}_{\mathfrak{m}} \colon \operatorname{Gal}(\bar{F}/F) \to \operatorname{GL}_n(\bar{\mathbb{F}}_p)$$

so that the Satake parameters of  $\mathfrak{m}$  match the Frobenius eigenvalues of  $\bar{\rho}_{\mathfrak{m}}$ . If  $\bar{\rho}_{\mathfrak{m}}$  is irreducible, this extends to

$$\rho_m \colon \operatorname{Gal}(\bar{F}/F) \to \operatorname{GL}_n(\mathbb{T}_{\mathfrak{m}}/I)$$

for some nilpotent ideal I of  $\mathbb{T}_{\mathfrak{m}}$ .

**Theorem 8** (Newton-Thorne). In the above theorem, one can take  $I^4 = 0$ .

**Theorem 9** (CGHJMRS). In the above theorem, if F is totally split above p, one can take I=0.