

# SEARCH-BASED TEST GENERATION FOR AUTONOMOUS SYSTEMS

Presented by

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# — TUTORIAL PLAN

- Introducing autonomous robotic systems testing
- Fuzzing
- Search-based testing
- Building surrogate models
- Hands-on session

# WHY TESTING AUTONOMOUS SYSTEMS IS IMPORTANT

## Waymo robotaxi accident with San Francisco cyclist draws regulatory review

By Reuters

February 8, 2024 3:35 PM EST · Updated a year ago



Aa



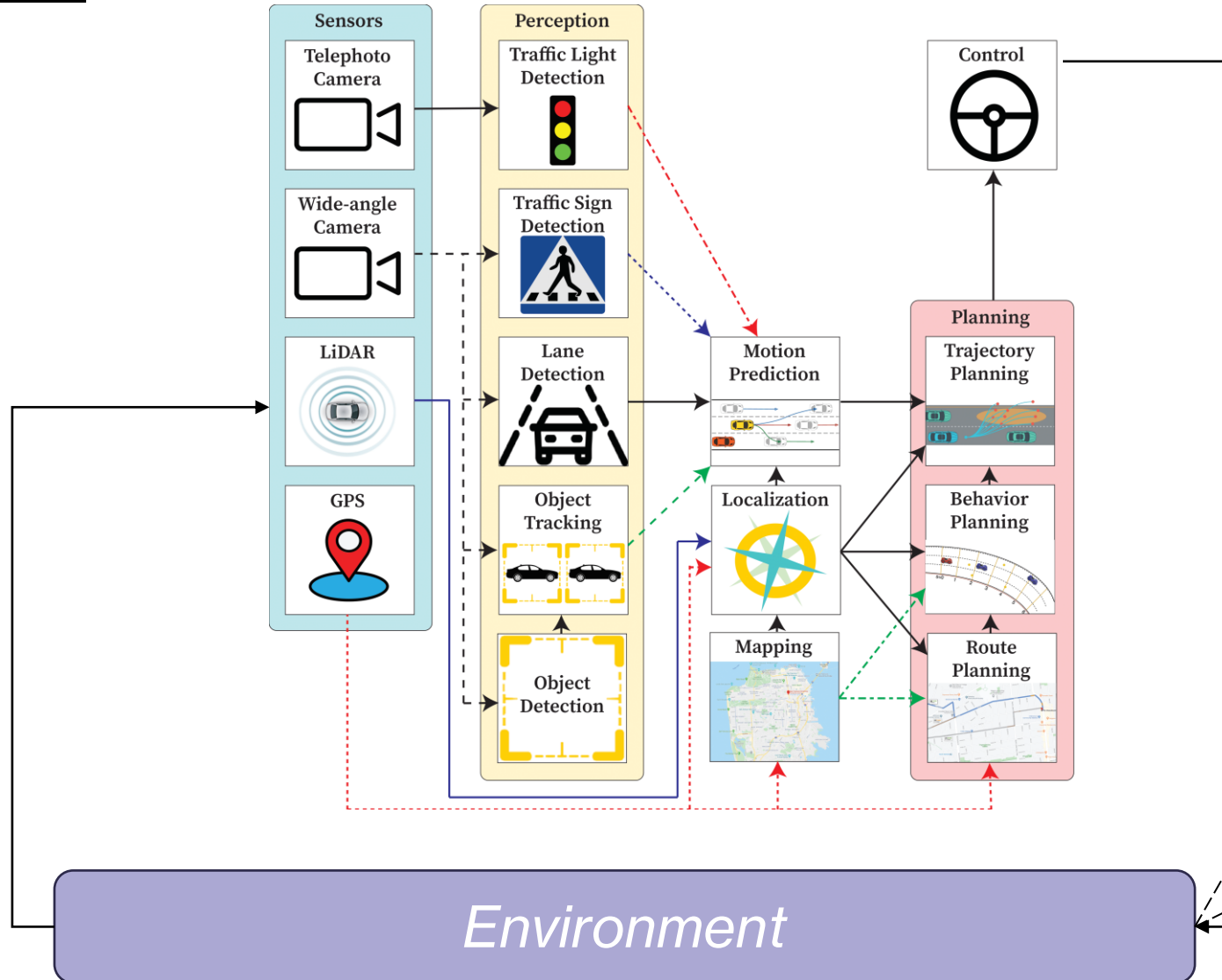
## US agency, California gathering details of accident involving robot taxi and pedestrian

By David Shepardson

October 3, 2023 7:56 PM EDT · Updated a year ago

**SELF-DRIVING WAYMO CAB SMASHES INTO DELIVERY ROBOT**

# WHY TESTING AUTONOMOUS SYSTEMS IS HARD



Real world



Simulation



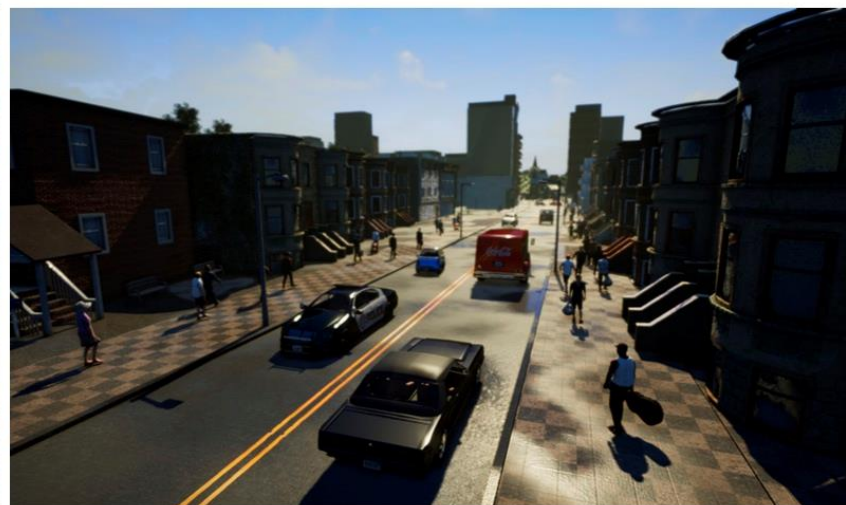
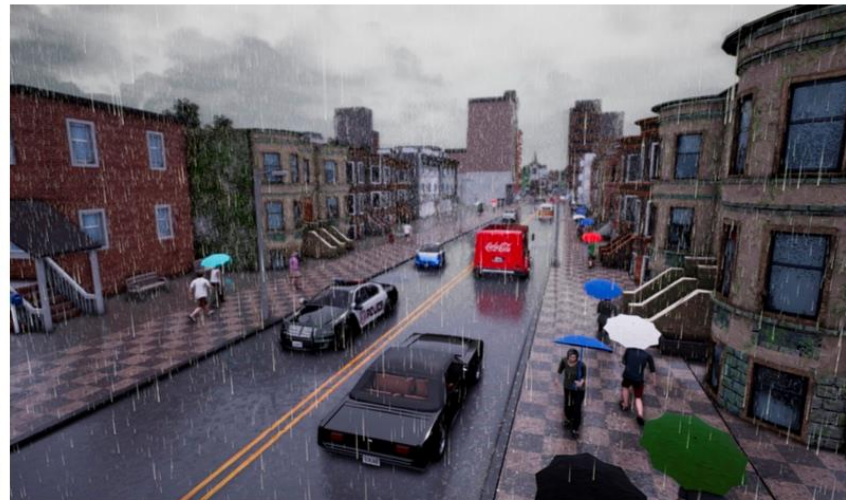
# SIMULATION PLAYS AN IMPORTANT ROLE IN TESTING





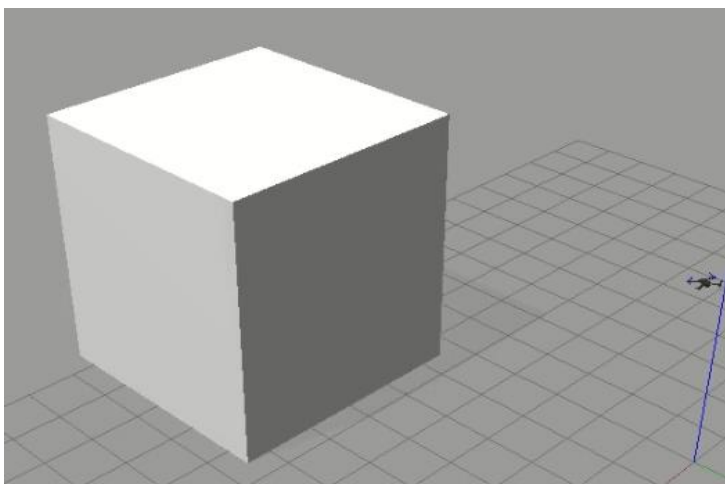
# PARAMETER SEARCH SPACE IS HUGE...

[CARLA: An Open Urban Driving Simulator - Vladlen Koltun](#)

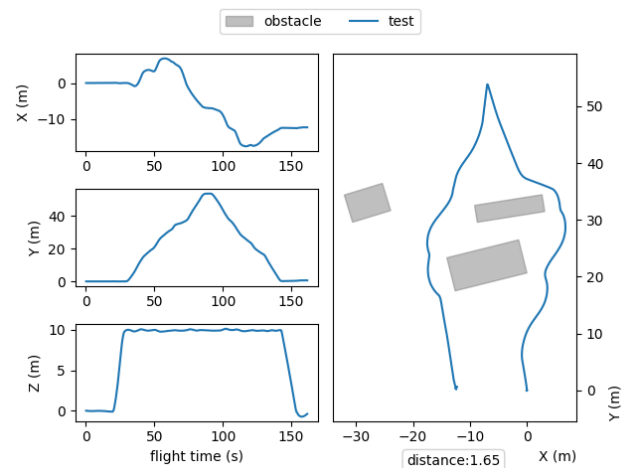


# RUNNING EXAMPLE

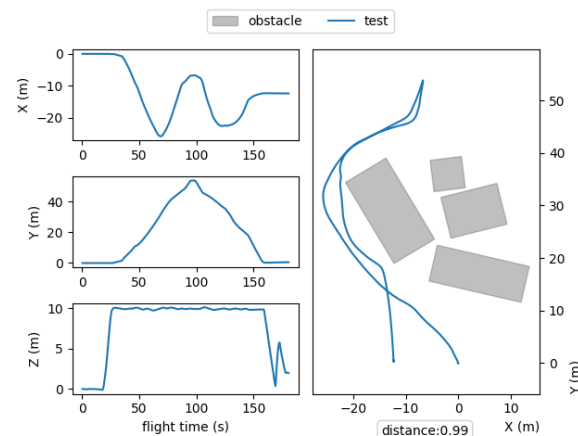
- 1 objective
- 3 constraints
- 19 dimensions
- ~120 s per evaluation



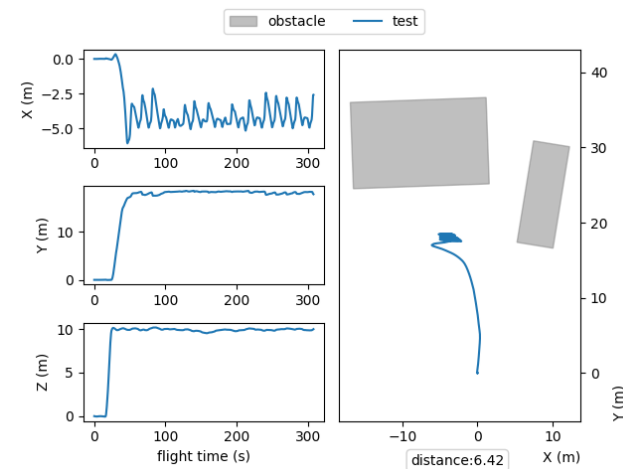
## Valid test case



## Failed test case



## Invalid test case



---

# **SEARCH-BASED TESTING TECHNIQUES**





**FUZZING**

# — FUZZING: RANDOM BUT USEFUL

## UNIX Utility Programs

Program	Typical use
cat	Concatenate multiple files to standard output
chmod	Change file protection mode
cp	Copy one or more files
cut	Cut columns of text from a file
grep	Search a file for some pattern
head	Extract the first lines of a file
ls	List directory
make	Compile files to build a binary
mkdir	Make a directory
od	Octal dump a file
paste	Paste columns of text into a file
pr	Format a file for printing
rm	Remove one or more files
rmdir	Remove a directory
sort	Sort a file of lines alphabetically
tail	Extract the last lines of a file
tr	Translate between character sets

"9[=~`|zZ3P ]\n"

"\x10\x11\x12Test\n"

"Data\x00Split\x00Here\n"

"\x00Hi\x1bThere\x7f\n"

# — MUTATION-BASED FUZZING

**seed\_input** = "Welcome to SEMLA 2025"

'Welcoxe to SEMLA 2025'  
'Welcome to SEMLa 2025'  
'Wblcome to SEMLA 2025'  
'Welcome to SEMLA<2025'  
'Welcome to SEMLA 2\*25'  
'Welcome to S@MLA 2025'  
'Welcome to SEMLA 20Z5'  
'Welcome to SEMLA 2&25'  
'Welcome to S!MLA 2025'  
'Welcome to SEMLA 202q'

# SMARTER FUZZING WITH FEEDBACK

A solid black rectangular box.

Black box

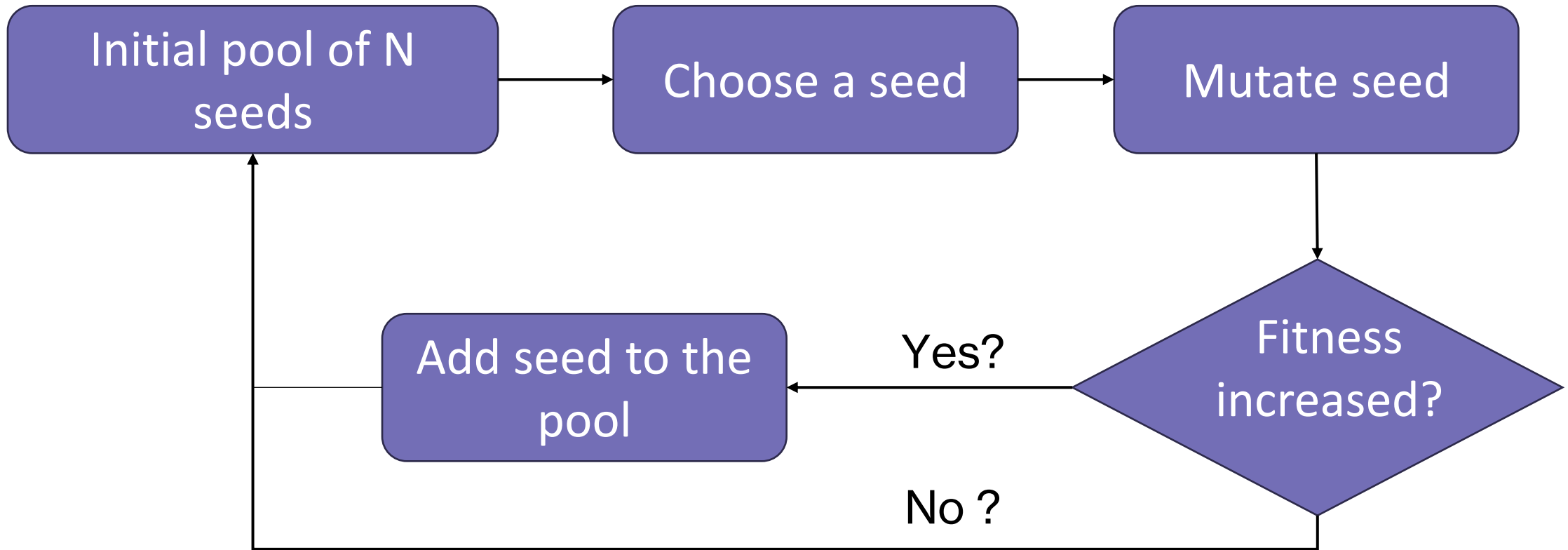
A white rectangular box with a thin black border.

White box

A grey rectangular box with a thick red border.

Grey box

# SMARTER FUZZING WITH FEEDBACK

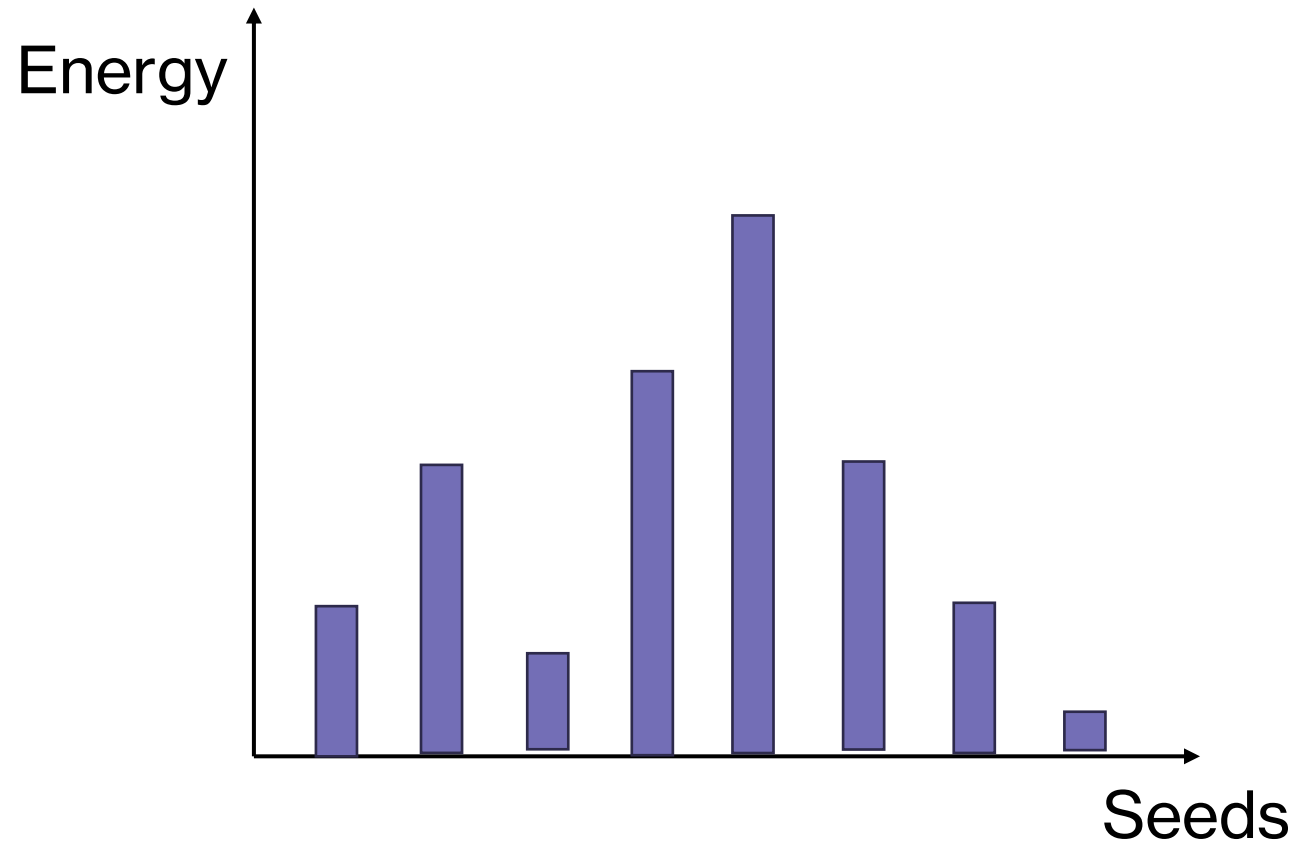


# CHOOSING SEED SMARTLY WITH POWER SCHEDULES

Choose a seed



Power schedule





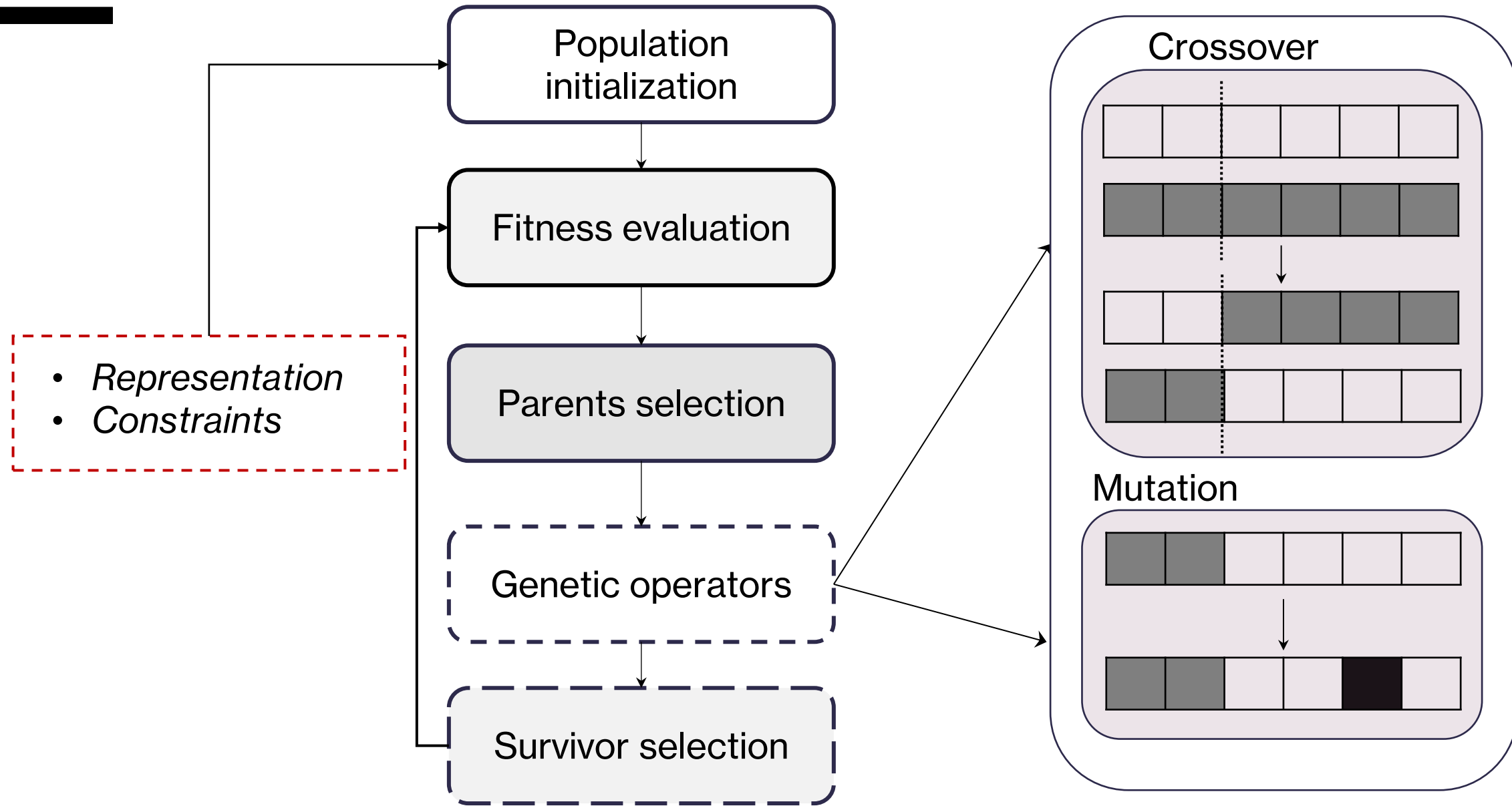
# — FUZZING LIMITATIONS

- Performance strongly depends on the input seeds
- Local search oriented
- Not handling multiple objective natively
- Works best when evaluations are fast



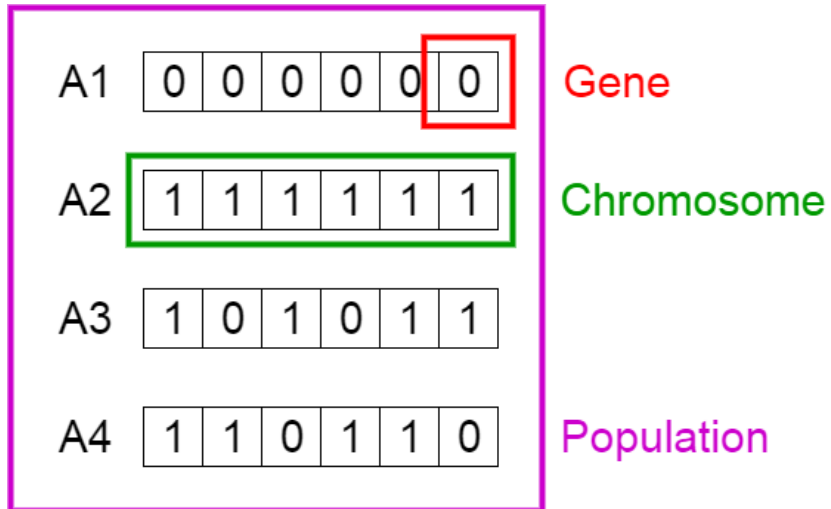
# **EVOLUTIONARY SEARCH**

# EVOLUTIONARY ALGORITHMS: NATURE-INSPIRED TESTING



# REPRESENTATION

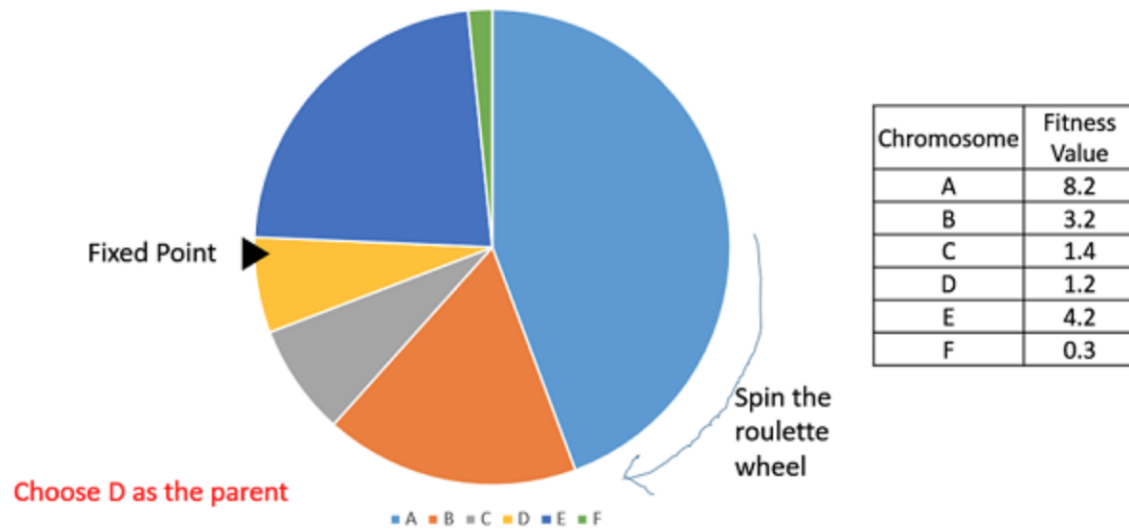
**phenotype: object** in original problem context, the outside  
**genotype: code** to denote that object, the inside (chromosome, “digital DNA”)



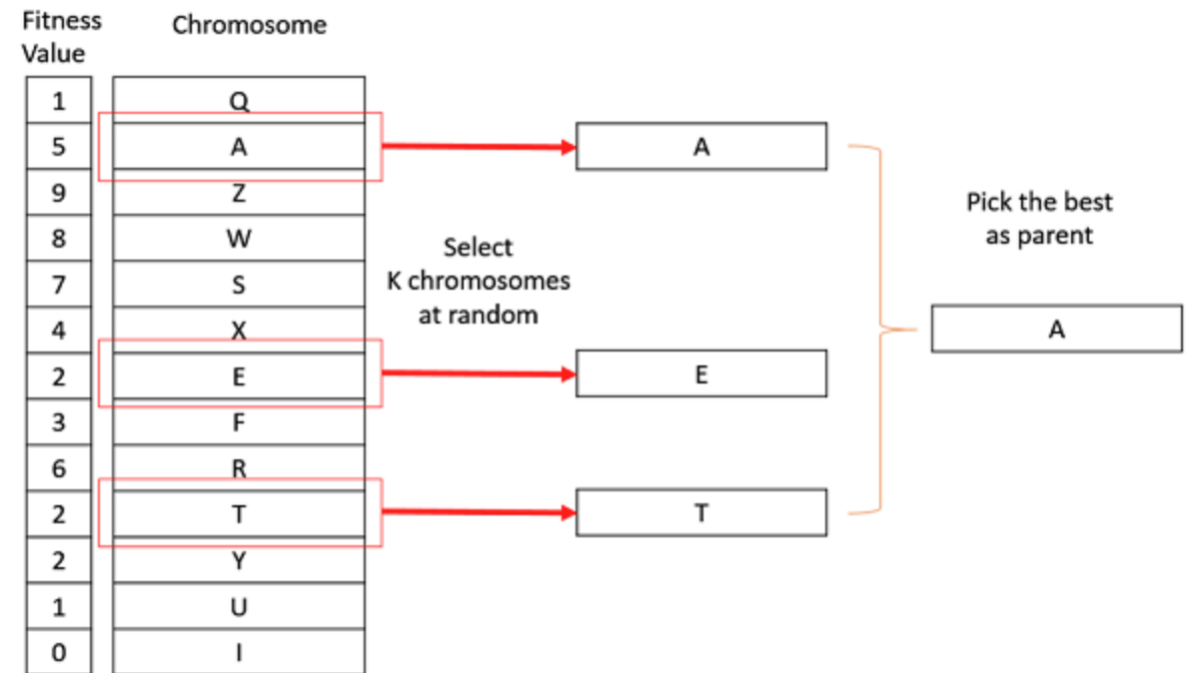
- Binary
- Integer
- Real-valued
- Permutation
- Custom

# PARENTS SELECTION

## Roulette Wheel Selection



## Tournament Selection

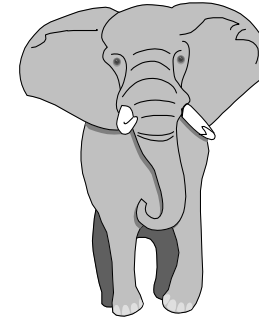


# MUTATIONS

Binary representation

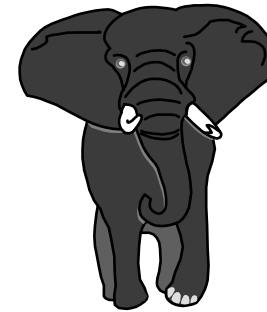
**before**

1 1 1 1 1 1 1



**after**

1 1 1 0 1 1 1





# MUTATIONS

## Integer representation

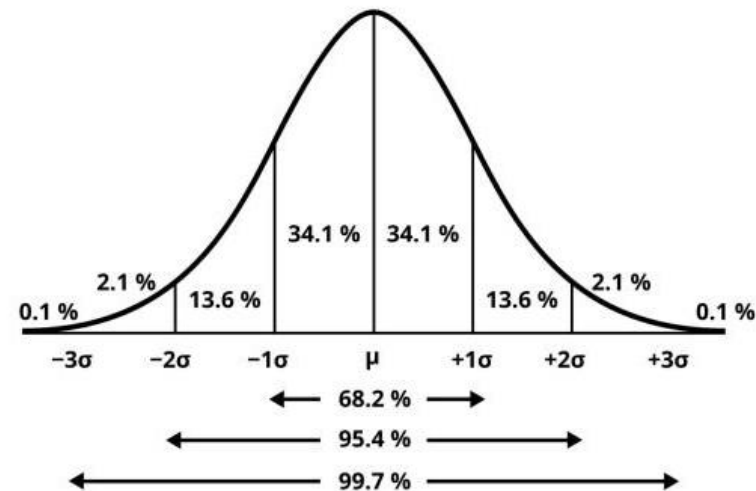
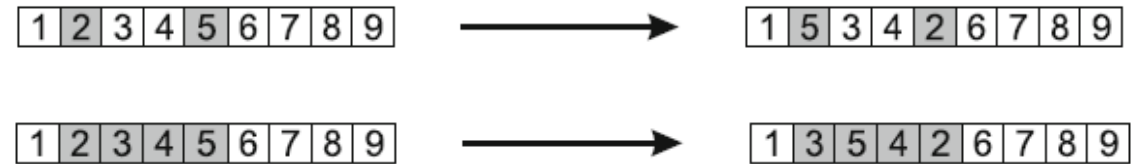
- Random Resetting
- Creep Mutation

## Real-value representation

- Uniform Mutation
- Nonuniform Mutation

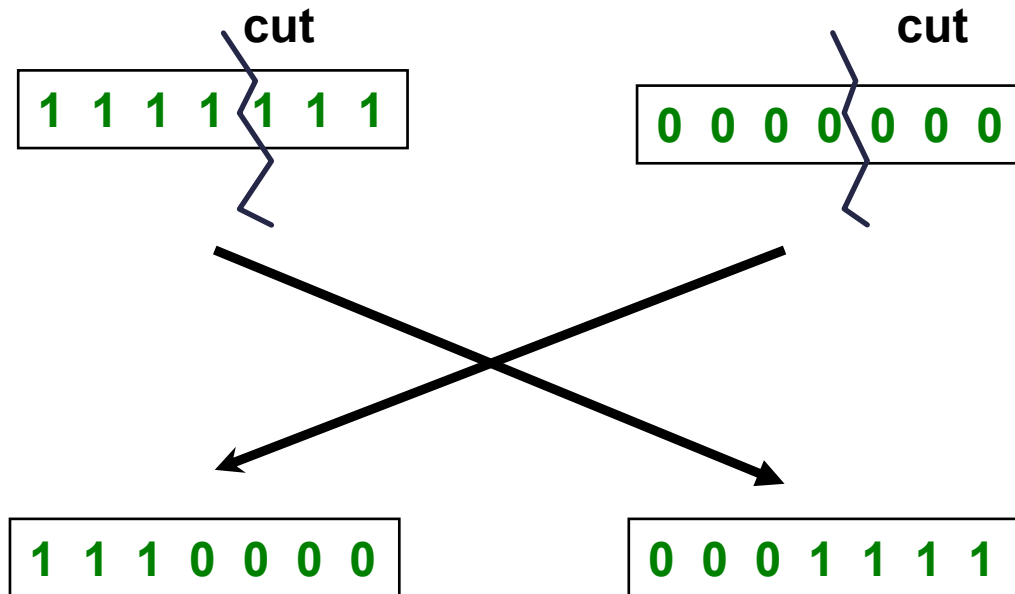
$\sigma$  – mutation step size

## Permutation representation

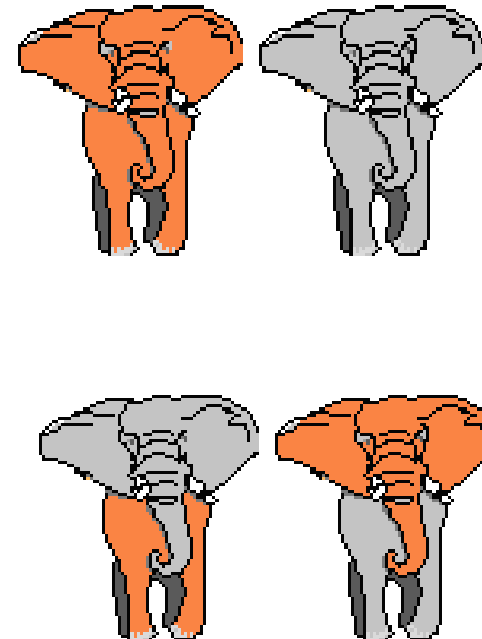


# CROSSOVER

Parents



Offspring



# CROSSOVER

One point

0	1	2	3	4	5	6	7	8	9
---	---	---	---	---	---	---	---	---	---

5	8	9	4	2	3	5	7	5	8
---	---	---	---	---	---	---	---	---	---

=>

0	1	2	3	4	3	5	7	5	8
---	---	---	---	---	---	---	---	---	---

5	8	9	4	2	5	6	7	8	9
---	---	---	---	---	---	---	---	---	---

Two point

0	1	2	3	4	5	6	7	8	9
---	---	---	---	---	---	---	---	---	---

5	8	9	4	2	3	5	7	5	8
---	---	---	---	---	---	---	---	---	---

=>

0	1	2	4	2	3	6	7	8	9
---	---	---	---	---	---	---	---	---	---

5	8	9	3	4	5	5	7	5	8
---	---	---	---	---	---	---	---	---	---

Uniform

0	1	2	3	4	5	6	7	8	9
---	---	---	---	---	---	---	---	---	---

5	8	9	4	2	3	5	7	5	8
---	---	---	---	---	---	---	---	---	---

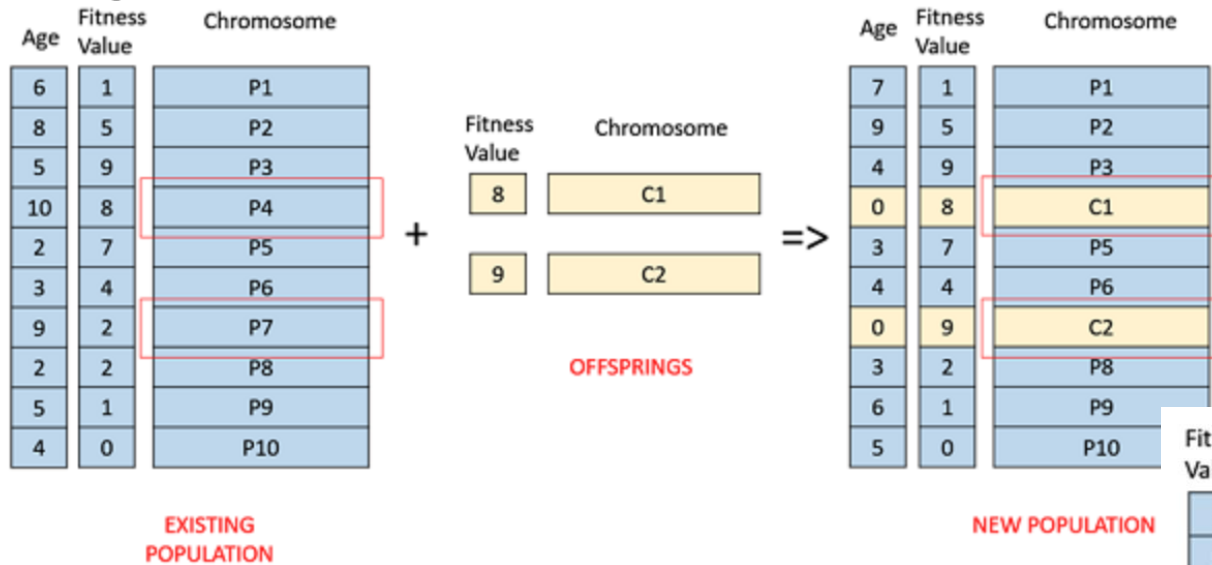
=>

5	1	9	4	4	5	5	7	5	9
---	---	---	---	---	---	---	---	---	---

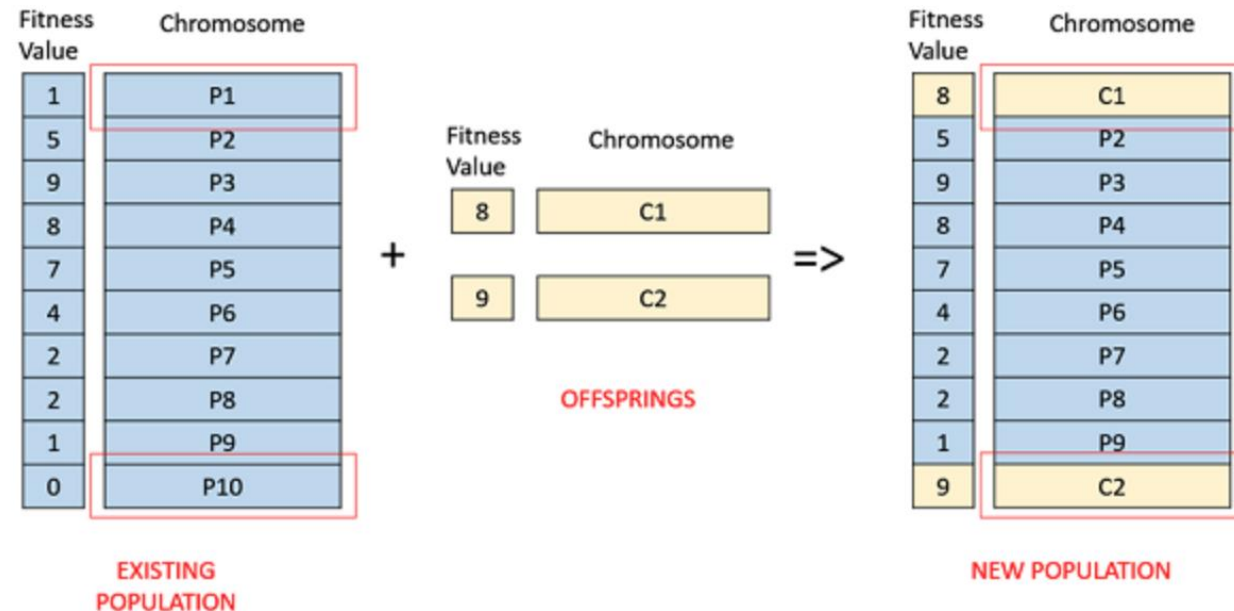
0	8	2	3	2	3	6	7	8	8
---	---	---	---	---	---	---	---	---	---

# SURVIVOR SELECTION

## Age based

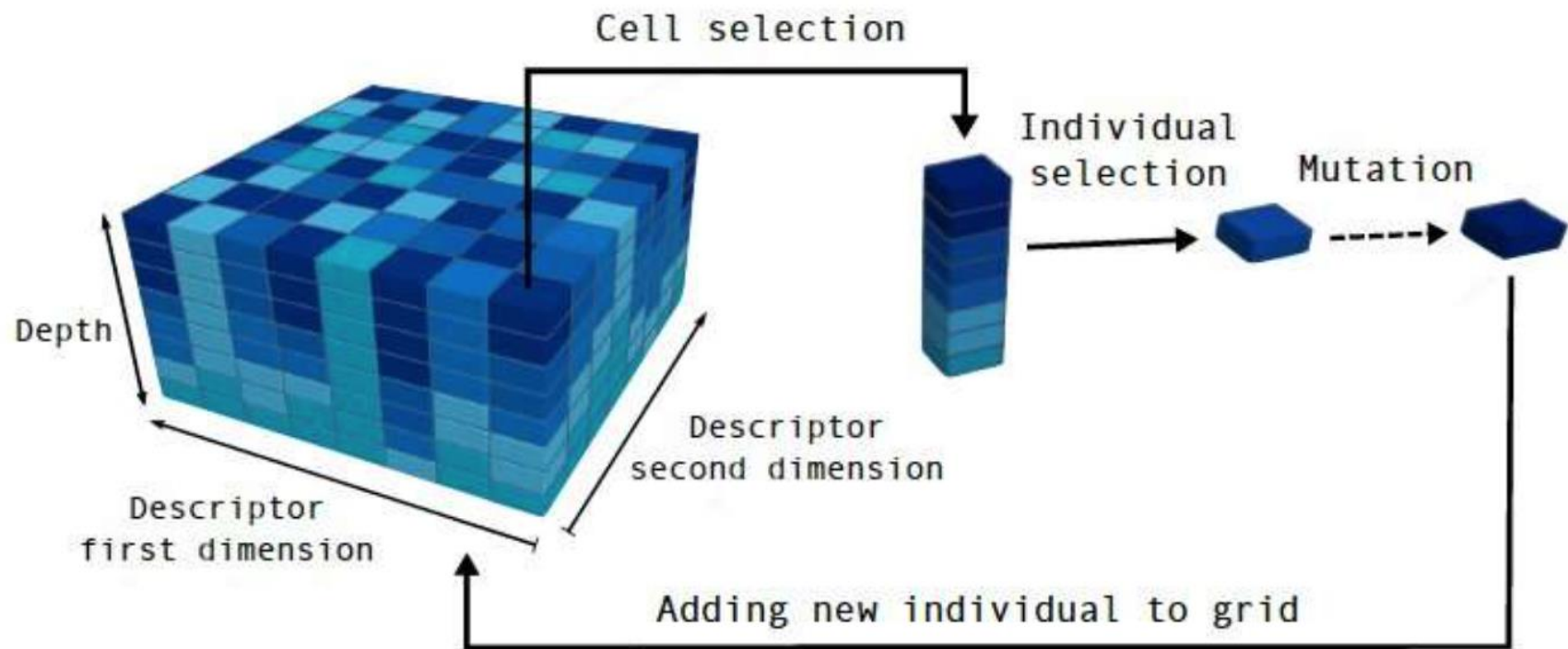


## Fitness based



# MAINTAINING DIVERSITY

- Removing duplicates based on distance metrics
- Quality-diversity search



# RESEARCH EXAMPLES

## Automatically Testing Self-Driving Cars with Search-Based Procedural Content Generation

Alessio Gambi  
alessio.gambi@uni-passau.de  
University of Passau  
Passau, Germany

Marc Mueller  
mmueller@beamng.gmbh  
BeamNG GmbH  
Bremen, Germany

Gordon Fraser  
gordon.fraser@uni-passau.de  
University of Passau  
Passau, Germany

## AV-FUZZER: Finding Safety Violations in Autonomous Driving Systems

Guanpeng Li\*, Yiran Li\*, Saurabh Jha\*, Timothy Tsai<sup>†</sup>, Michael Sullivan<sup>†</sup>, Siva Kumar Sastry Hari<sup>†</sup>,  
Zbigniew Kalbarczyk\*, Ravishankar Iyer\*

\*University of Illinois at Urbana-Champaign, <sup>†</sup>NVIDIA



# RESEARCH EXAMPLES

## DeepAtash: Focused Test Generation for Deep Learning Systems

Authors:  Tahereh Zohdinasab,  Vincenzo Riccio,  Paolo Tonella | [Authors Info & Claims](#)

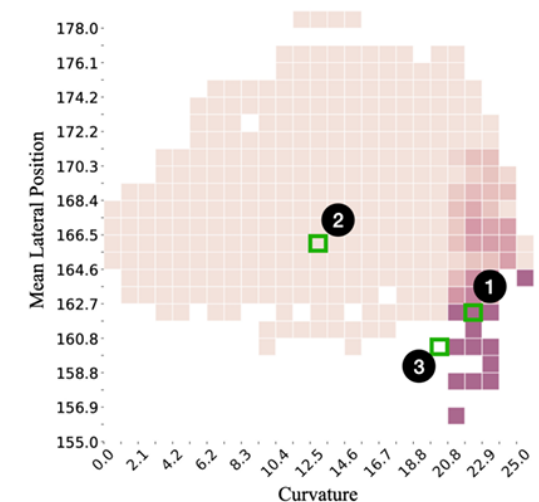
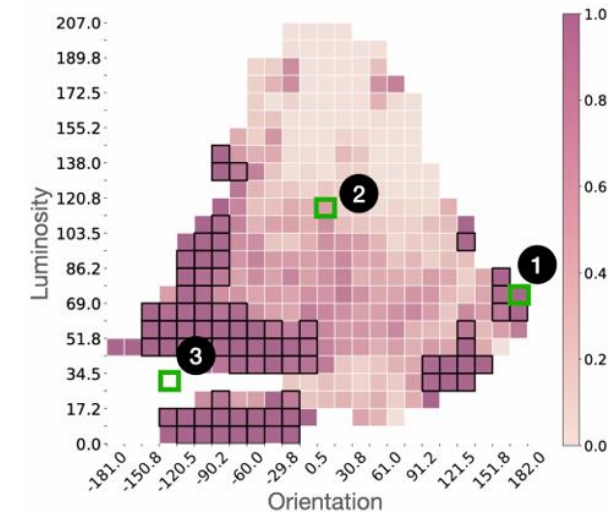
ISSTA 2023: Proceedings of the 32nd ACM SIGSOFT International Symposium on Software Testing and Analysis  
Pages 954 - 966 • <https://doi.org/10.1145/3597926.3598109>

## Focused Test Generation for Autonomous Driving Systems

Authors:  Tahereh Zohdinasab,  Vincenzo Riccio,  Paolo Tonella | [Authors Info & Claims](#)

ACM Transactions on Software Engineering and Methodology, Volume 33, Issue 6 • Article No.: 152, Pages 1 - 32  
<https://doi.org/10.1145/3664605>

Published: 27 June 2024 [Publication History](#)



# RESEARCH EXAMPLES



Information and Software Technology

Volume 149, September 2022, 106936



## A search-based framework for automatic generation of testing environments for cyber-physical systems





Dmytro Humeniuk  , Foutse Khomh, Giuliano Antoniol

## Reinforcement Learning Informed Evolutionary Search for Autonomous Systems Testing

Authors:  [Dmytro Humeniuk](#),  [Foutse Khomh](#),  [Giuliano Antoniol](#) | [Authors Info & Claims](#)

[ACM Transactions on Software Engineering and Methodology, Volume 33, Issue 8](#) • Article No.: 216, Pages 1 - 45  
<https://doi.org/10.1145/3680468>

## In-Simulation Testing of Deep Learning Vision Models in Autonomous Robotic Manipulators

Authors:  [Dmytro Humeniuk](#),  [Housseem Ben Braiek](#),  [Thomas Reid](#),  [Foutse Khomh](#) | [Authors Info & Claims](#)

[ASE '24: Proceedings of the 39th IEEE/ACM International Conference on Automated Software Engineering](#)  
Pages 2187 - 2198 • <https://doi.org/10.1145/3691620.3695281>

## Representation Improvement in Latent Space for Search-Based Testing of Autonomous Robotic Systems

[Dmytro Humeniuk](#), [Foutse Khomh](#)

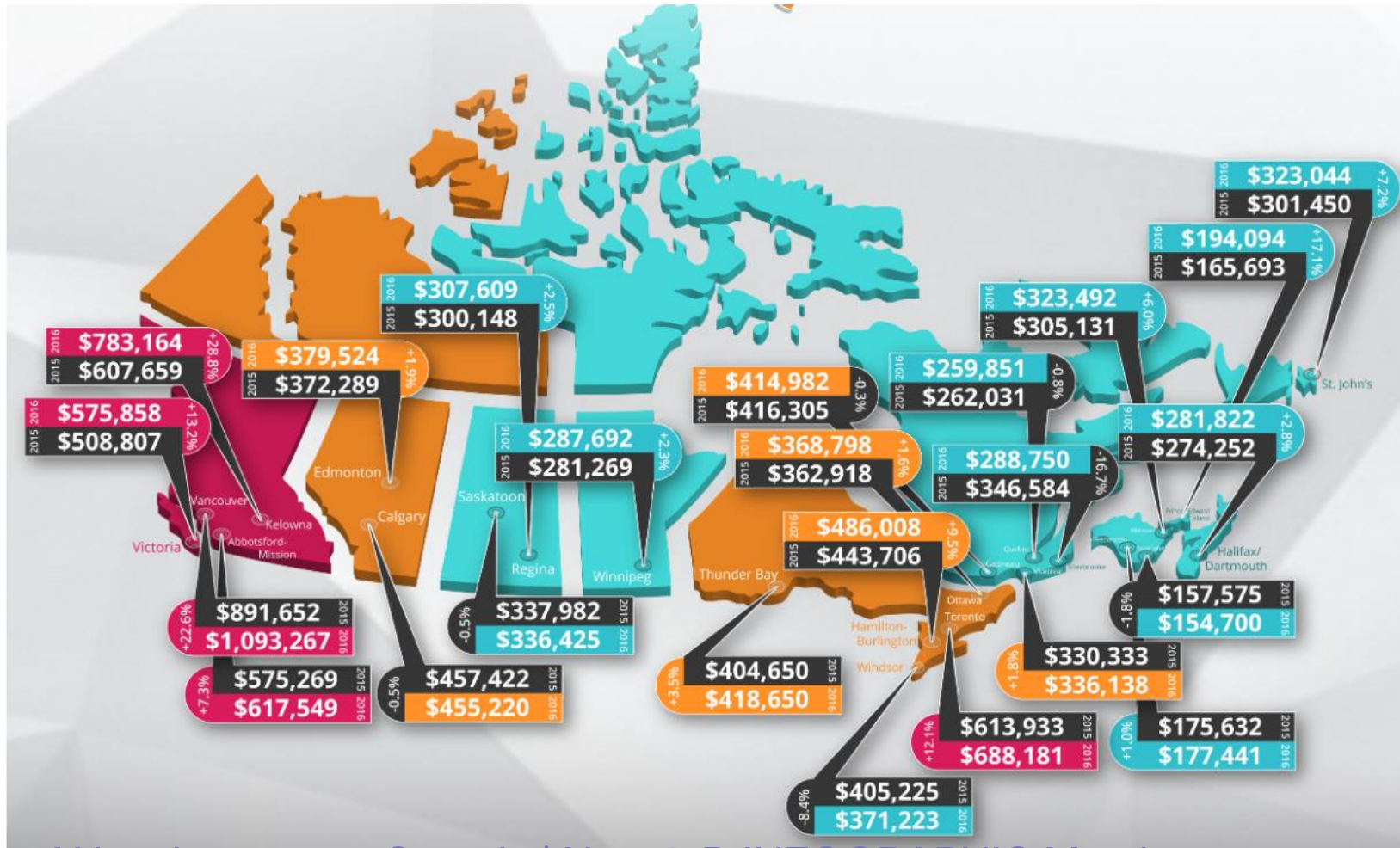
# — EVOLUTIONARY LIMITATIONS

- Sampling inefficiency
- No explicit learning component (gradient-free)



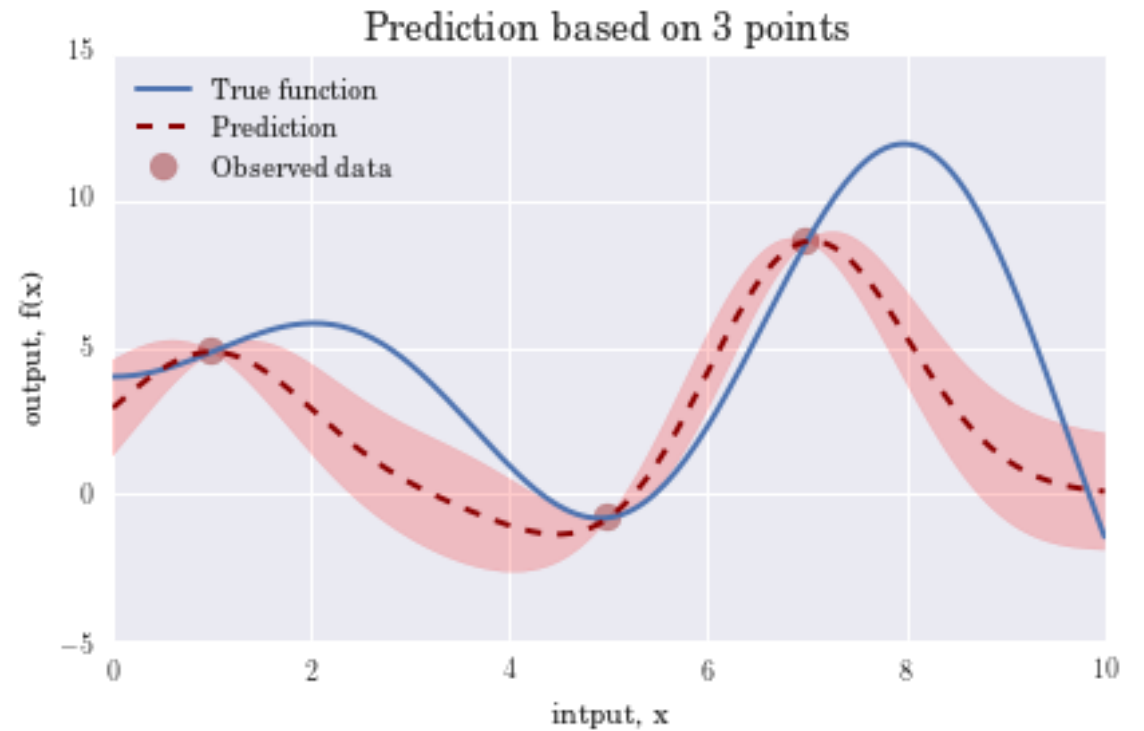
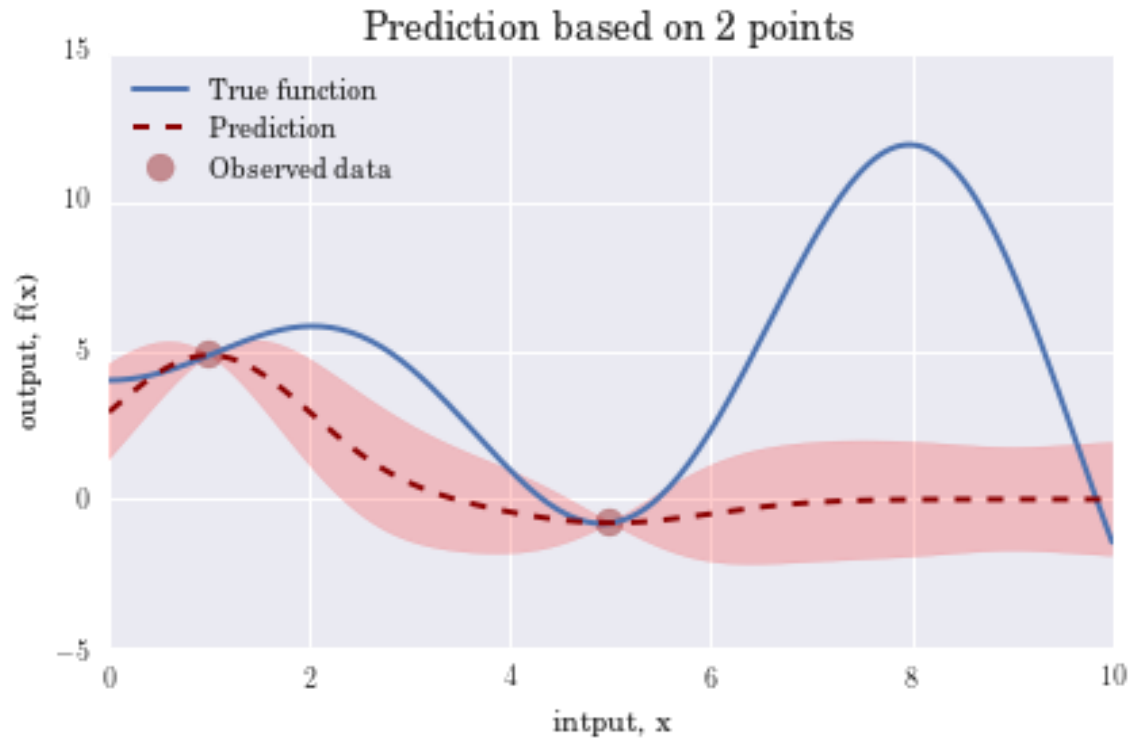
# **BAYESIAN OPTIMIZATION**

# BUYING A HOUSE



[National Average Cost of Housing across Canada | New 3-D INFOGRAPHIC Map by RentSeeker.ca - RentSeeker Blog](#)

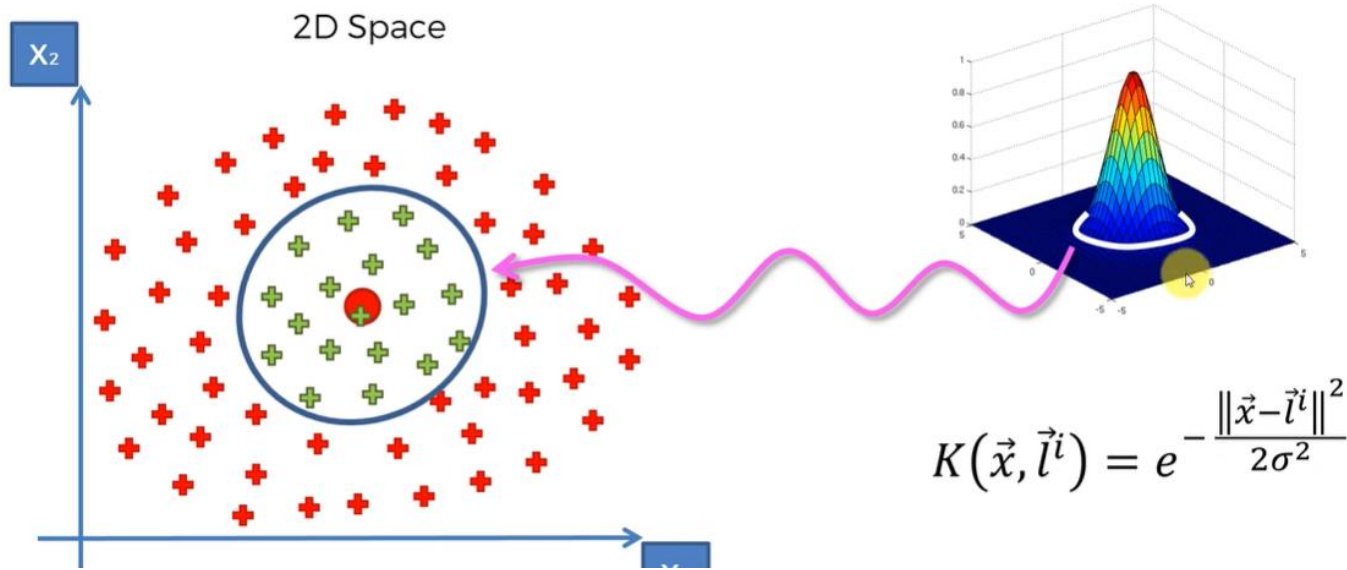
# FITTING A GAUSSIAN PROCESS TO A DATASET





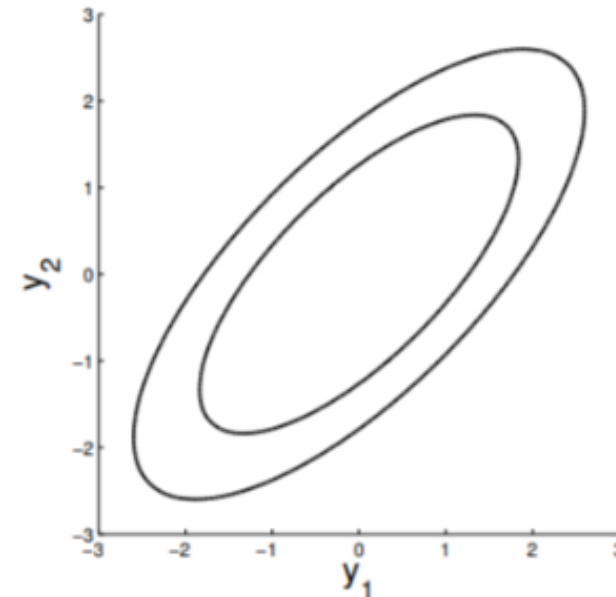
# SIMILARITY IS ESTIMATED BASED ON KERNELS

Radial basis function

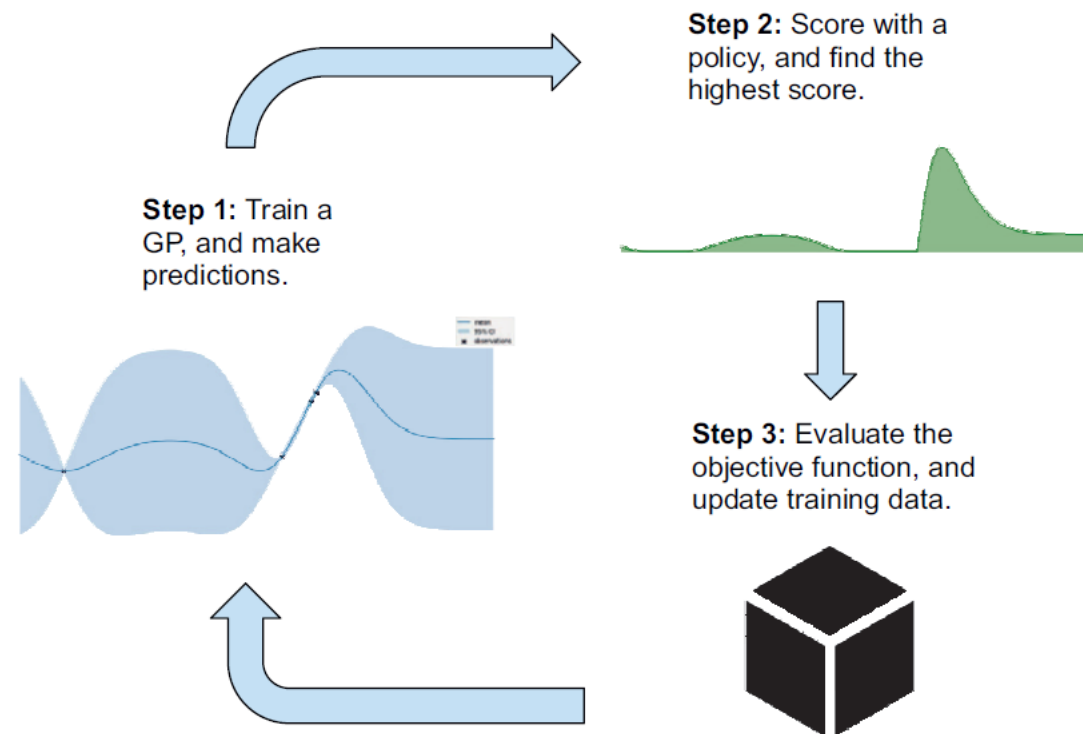
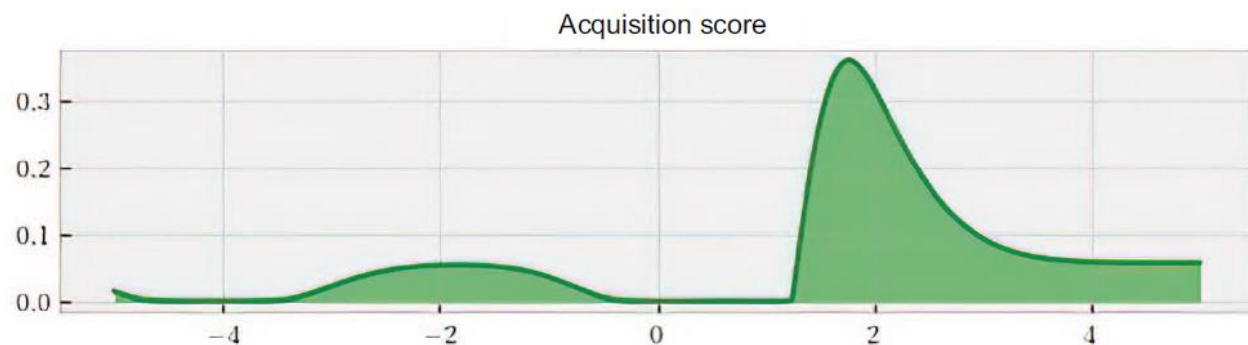
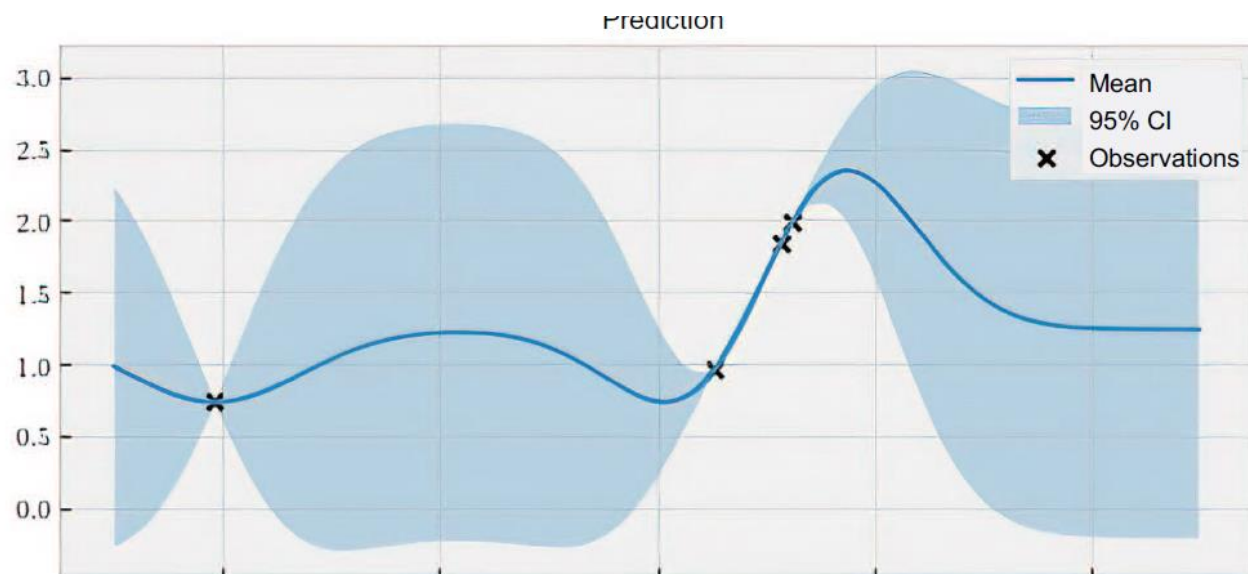


Covariance matrix

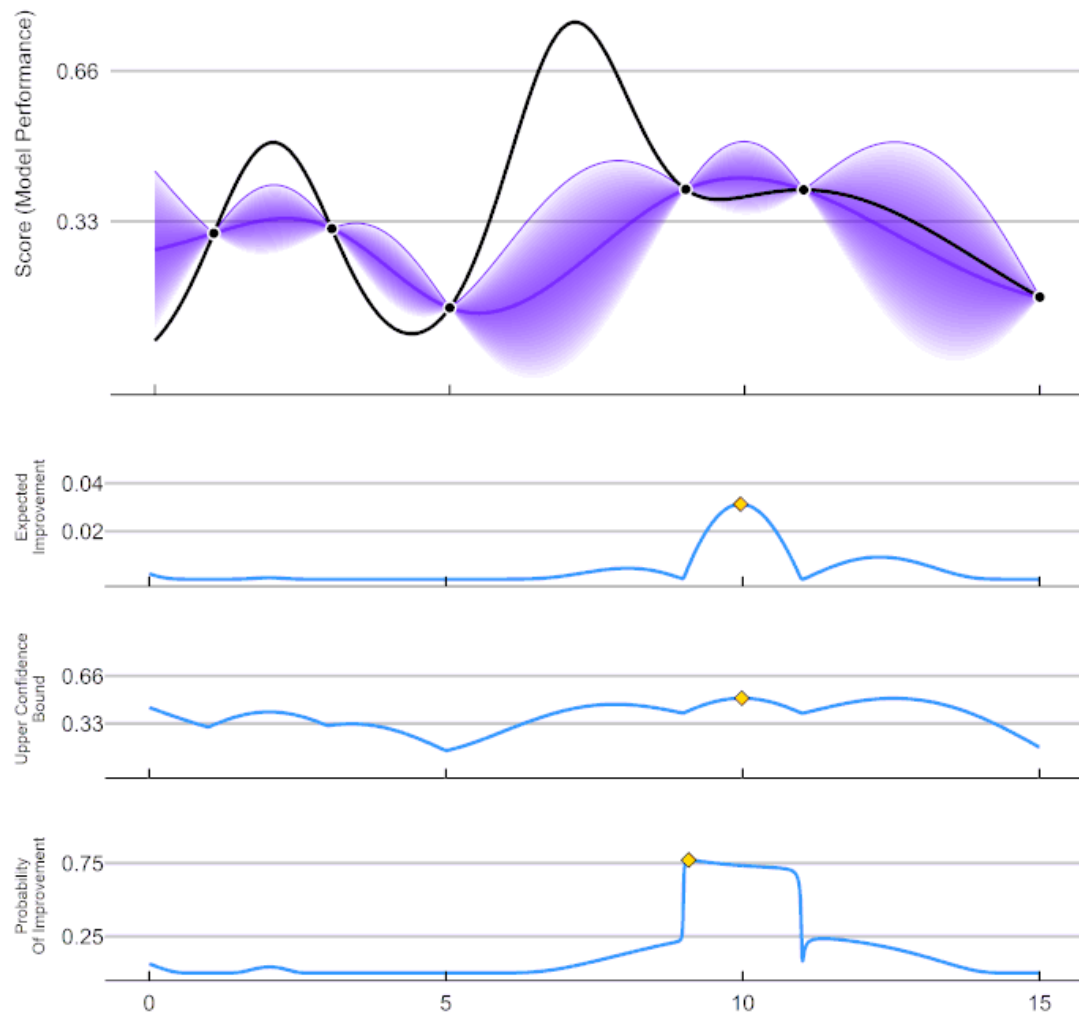
$$p(\mathbf{y}|\Sigma) \propto \exp\left(-\frac{1}{2}\mathbf{y}^T \Sigma^{-1} \mathbf{y}\right)$$
$$\Sigma = \begin{bmatrix} 1 & .7 \\ .7 & 1 \end{bmatrix}$$



# APPROXIMATING A TARGET FUNCTION



# APPROXIMATING A TARGET FUNCTION



# RESEARCH EXAMPLES

## Efficient Online Testing for DNN-Enabled Systems using Surrogate-Assisted and Many-Objective Optimization

Publisher: **IEEE**

[Cite This](#)



[Fitash UI Haq](#) ; [Donghwan Shin](#) ; [Lionel Briand](#) [All Authors](#)

[Conferences](#) > [2022 IEEE International Confe...](#) [?](#)

## Cost-effective Simulation-based Test Selection in Self-driving Cars Software with SDC-Scissor

Publisher: **IEEE**

[Cite This](#)



[Christian Birchler](#) ; [Nicolas Ganz](#) ; [Sajad Khatiri](#) ; [Alessio Gambi](#) ; [Sebastiano Panichella](#) [All Authors](#)

## Efficient online testing for DNN-enabled systems using surrogate-assisted and many-objective optimization

Authors:  [Fitash UI Haq](#),  [Donghwan Shin](#),  [Lionel Briand](#) | [Authors Info & Claims](#)

[ICSE '22: Proceedings of the 44th International Conference on Software Engineering](#) • Pages 811 - 822  
<https://doi.org/10.1145/3510003.3510188>

# — CONCLUSIONS

- Fuzzing is good when input seed are known
- Evolutionary search is good for exploring the search space globally
- Given simulations are time consuming, the efficiency of GA should be increased
- Bayesian optimization is a popular approach for building surrogate models
- Evolutionary search can be combined with surrogate modeling to increase efficiency



**POLYTECHNIQUE  
MONTREAL**

TECHNOLOGICAL  
UNIVERSITY

**Q & A —**



# **HANDS ON SESSION**

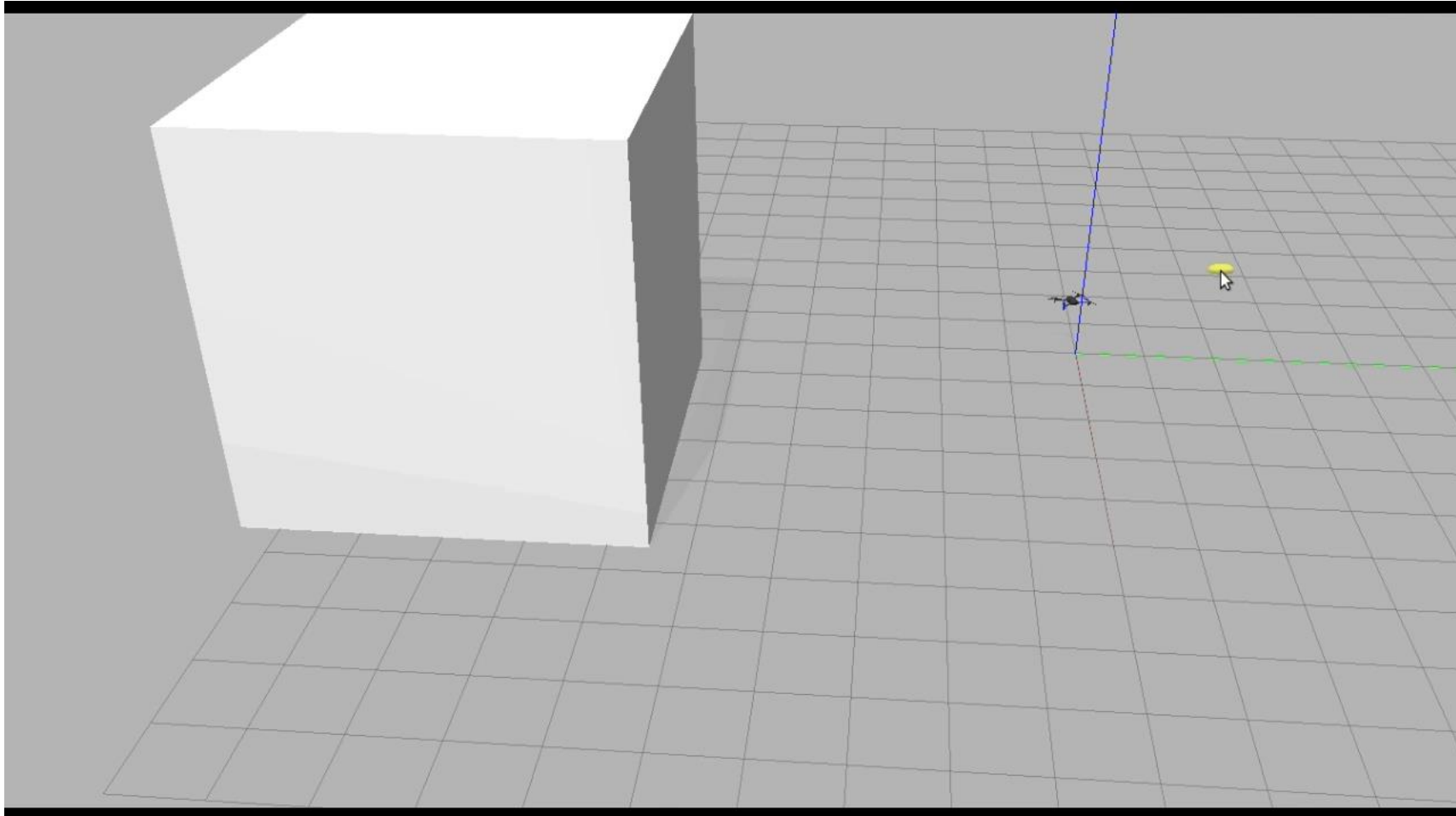
# — AMBIEGEN TOOL

<https://ambiegen.readthedocs.io/en/latest/>

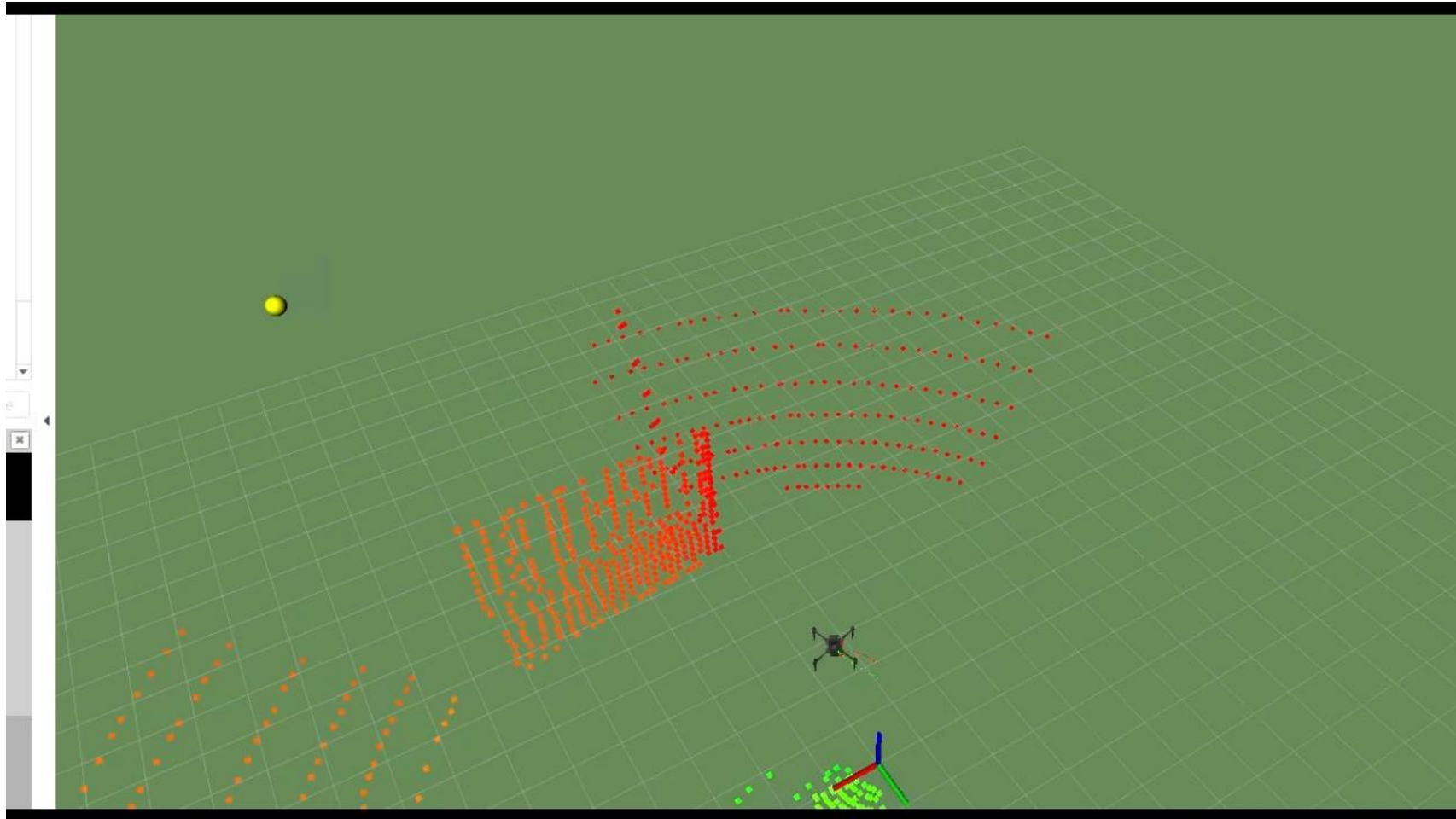




# SYSTEM UNDER TEST



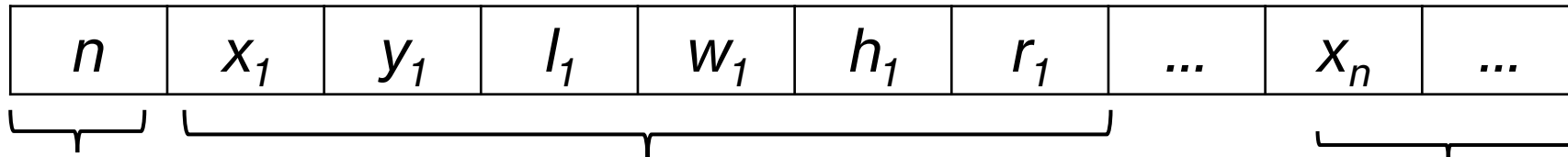
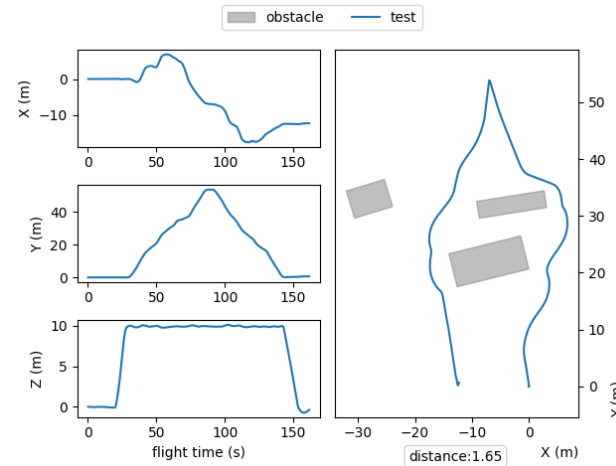
# SYSTEM UNDER TEST



# SYSTEM UNDER TEST

- 1 objective
- 3 constraints
- 19 dimensions
- ~120 s per evaluation

Valid test case

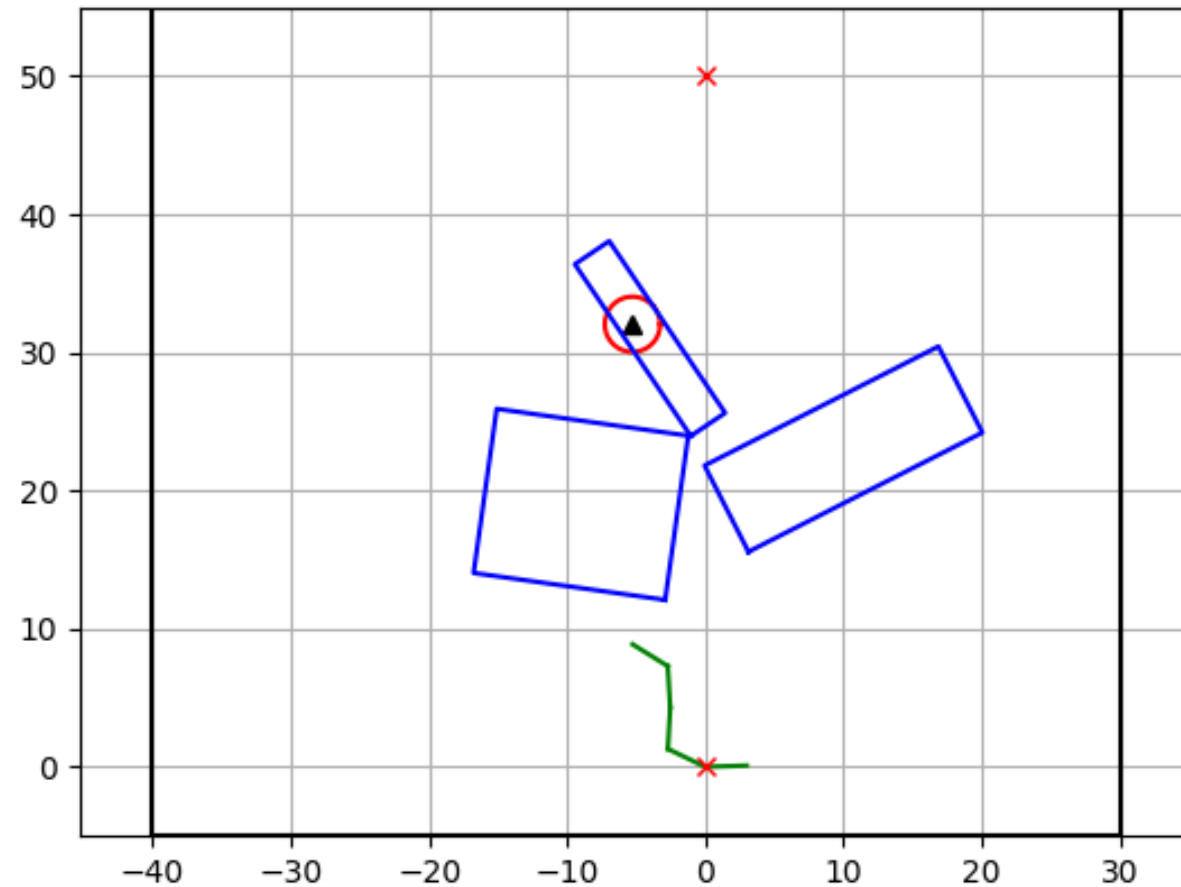


Number of  
boxes

Box 1

Box n

# FITNESS FUNCTION



# FRAMEWORK OVERVIEW

## AbstractTester

```
AbstractTester(  
    name="abstract_test_generator", config_file=None  
)
```

### Methods:

#### Name

`initialize_test_generator`

`initialize_test_executors`

`set_up_search_algorithm`

`run_optimization`

`start`

## AbstractEvolutionaryTester

```
AbstractEvolutionaryTester(  
    name="evlutionary_test_generator", config_file=None  
)
```

<code>set_up_search_algorithm</code>	Initializes the search algorithm.
<code>initialize_parameters</code>	Sets up the parameters for the evolutionary algorithm.
<code>configure_algorithm</code>	Sets up the evolutionary algorithm
<code>initialize_problem</code>	Initializes the pymoo optimization problem.
<code>run_optimization</code>	Executes the optimization process using the configured algorithm.
<code>initialize_test_generator</code>	Abstract method to initialize the test generator, should be implemen
<code>initialize_test_executors</code>	Abstract method to initialize the test executors, should be implemen

```
tester: search_based #  
  
common:  
    seed: None  
    termination: "n_gen"  
    budget: 100  
  
search_based:  
    pop_size: 100  
    algorithm: "ga"  
    crossover: "sbx"  
    mutation: "pm"  
    crossover_prob: 0.9  
    mutation_prob: 0.4  
    problem_name: 'uav'
```

# FRAMEWORK OVERVIEW

## AbstractGenerator

```
AbstractGenerator(name='AbstractGenerator')
```

### Methods:

#### Name

cmp\_func

genotype2phenotype

generate\_random\_test

is\_valid

visualize\_test

phenotype2genotype

## AbstractExecutor

```
AbstractExecutor(  
    generator, results_path=None, min_fitness=0.0  
)
```

### Methods:

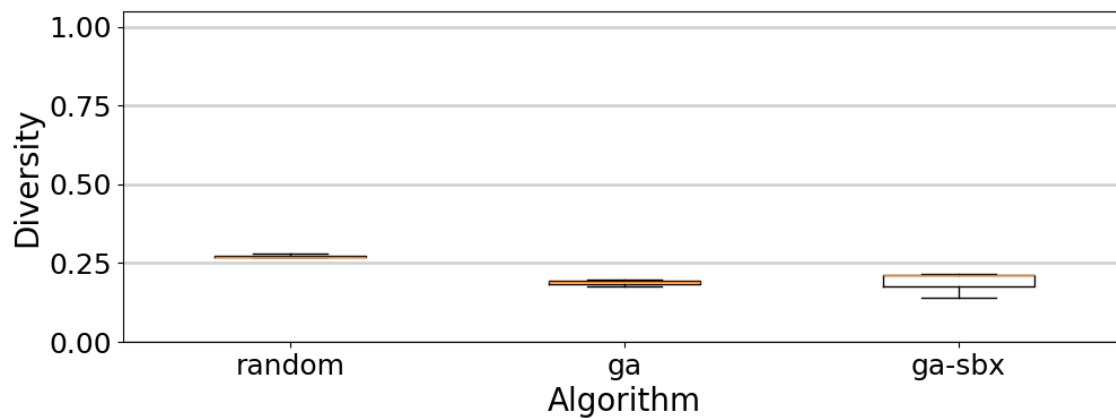
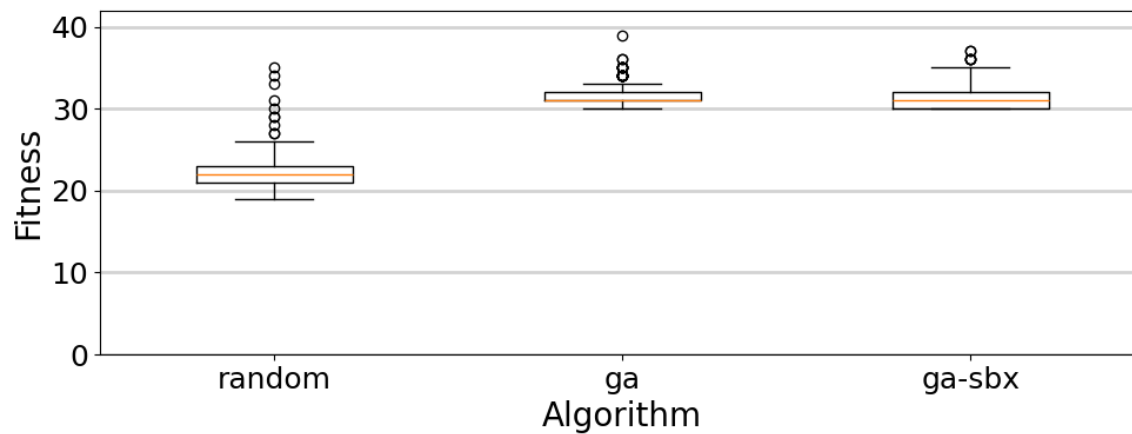
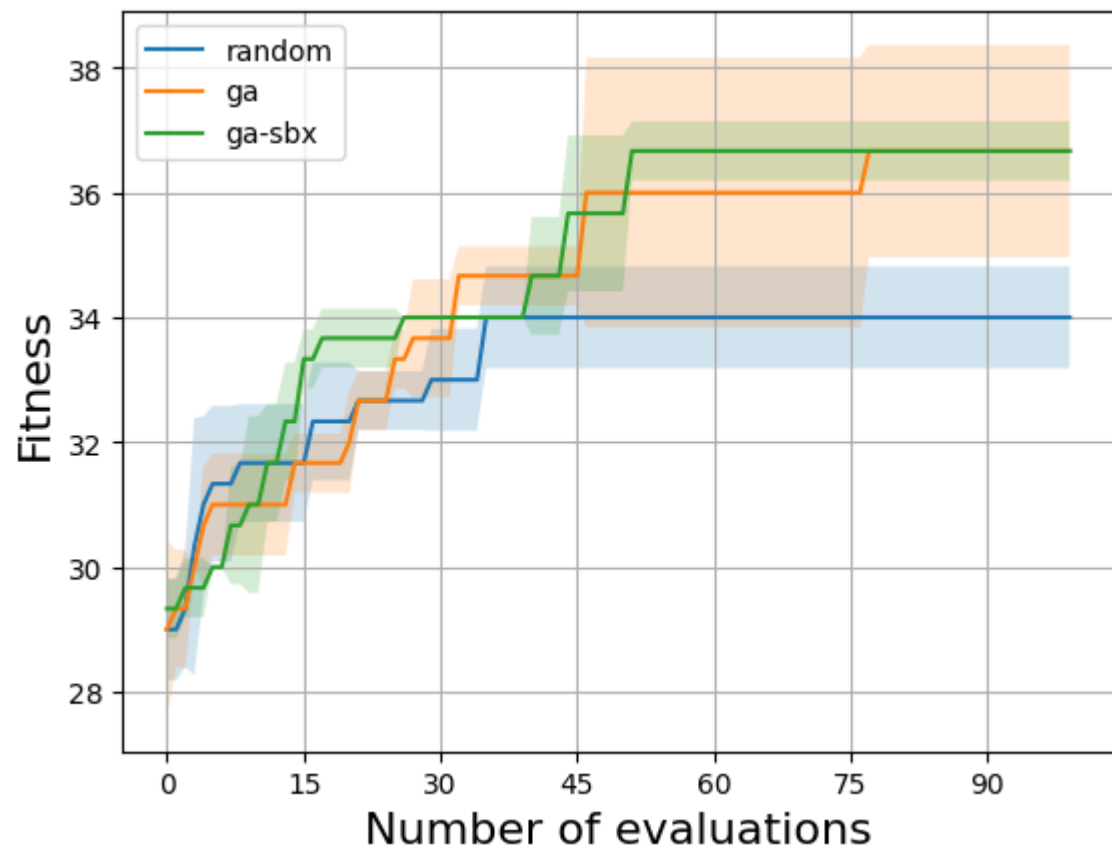
#### Name

execute\_test

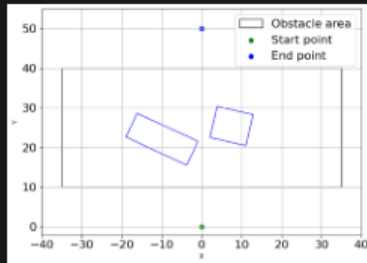
\_execute

```
def _execute(self, test) -> float:  
    fitness = 0  
    self.uav_test_dict[self.exec_counter] = {}  
    self.uav_test_dict[self.exec_counter]["test"] = test  
  
    try:  
        self.n_sim_evals += 1  
        trajectory = test.execute()  
        data = trajectory.to_data_frame()  
        #print(data)  
        x_coord = list(data[:, 1])  
        y_coord = list(data[:, 2])  
        z_coord = list(data[:, 3])  
        yaw = list(data[:, 4])
```

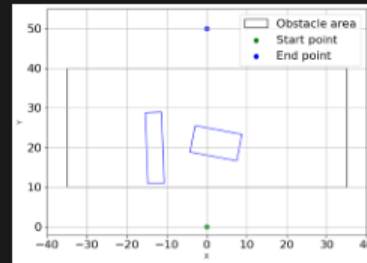
# FRAMEWORK OVERVIEW



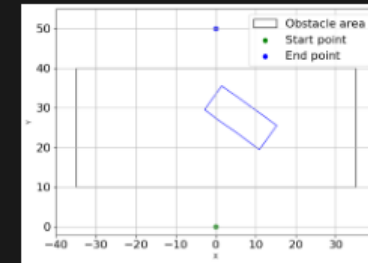
# FRAMEWORK OVERVIEW



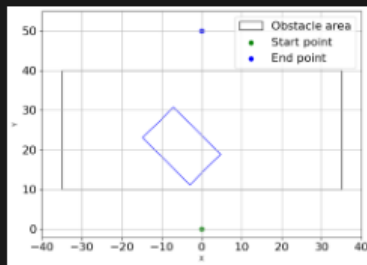
0.png



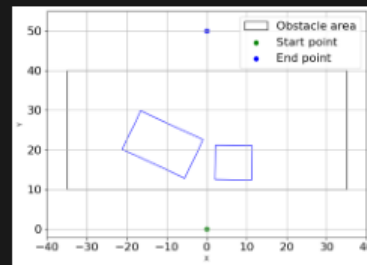
1.png



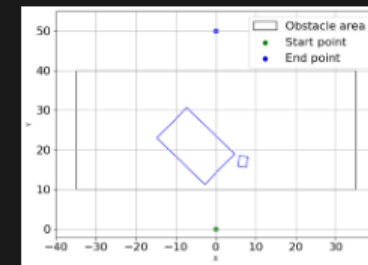
2.png



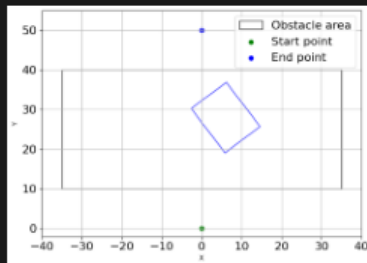
3.png



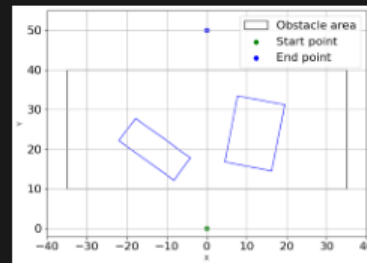
4.png



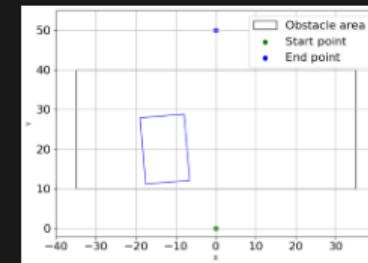
5.png



6.png



7.png



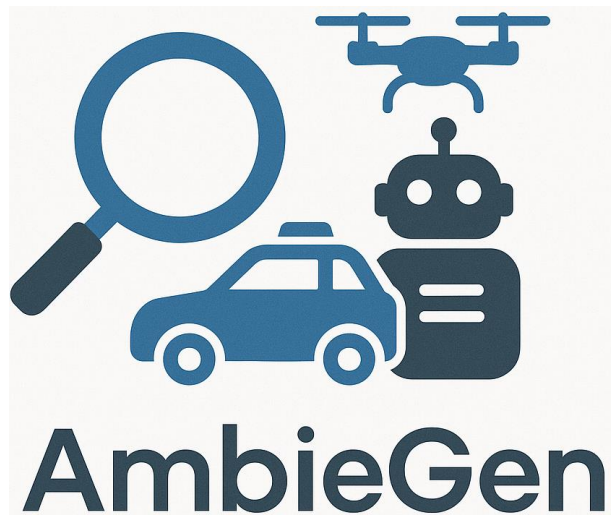
8.png





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<https://github.com/swat-lab-optimization>



**Q & A —**