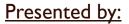


Robust Principal Axes for Point Based Shapes







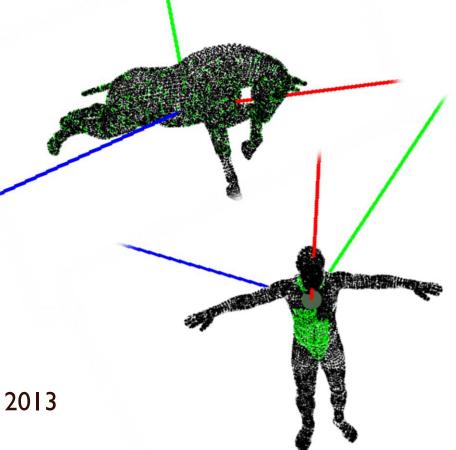


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**Supervisors:** 

Yohan Fougerolle Djamila Aouada

Date: 18th January 2013



### Overview

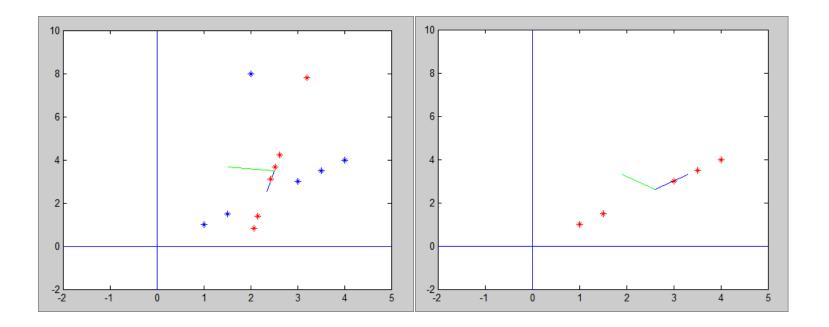
- Objectives
- Introduction
- Theory
- Algorithm Implementation
- Results & Discussion
- Project Management
- Conclusion

## **Objectives**

• Implement Robust Principal Axes algorithm in the first paper [1].

- A more robust way to perform Principal Component Analysis (PCA) on a cloud of points.
- Important to obtain similar Principal Axes even if there are slight changes to the models.
- **Incremental** computation of PCA

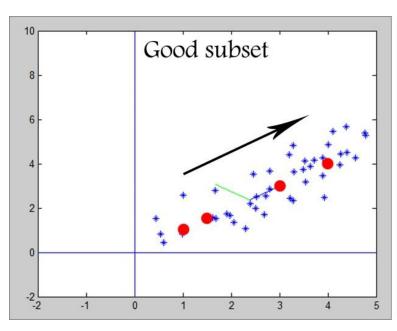
Classical PCA – Heavily affected by outlier

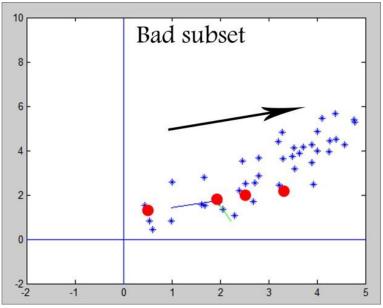


With outlier

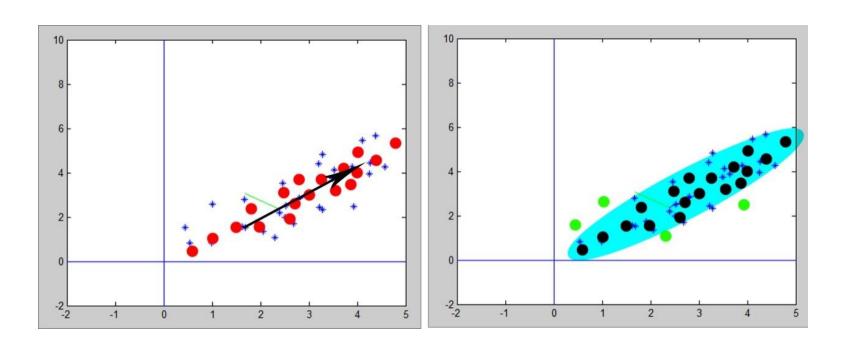
Without outlier

- Robust Statistical Method
- Median 50% breakdown
- Outlier-free initial subset





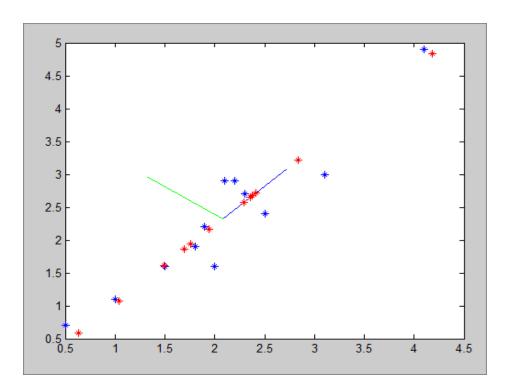
- Incrementally increase the subset
- Obtain major region and compute the robust principal axes.



# Theory Principal Component Analysis

- Very useful mathematical method to view the essence of a complex set of data.
- Principle components can describe the data in simpler forms.
- Goal is to obtain the most meaningful basis to represent our data set

• 2D example, first principal component represents the highest variance in the data.





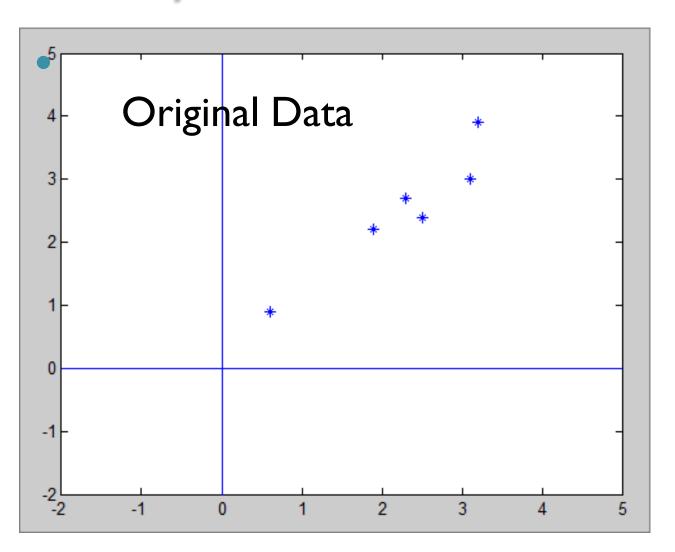
- Select a normalized direction in m-dimensional space along which the variance in X is maximized. (p1)
- Find another orthogonal direction along which variance is maximized (p2)
- Repeat this procedure, subsequently finding p3, p4...
   pm. (until m vectors are selected)

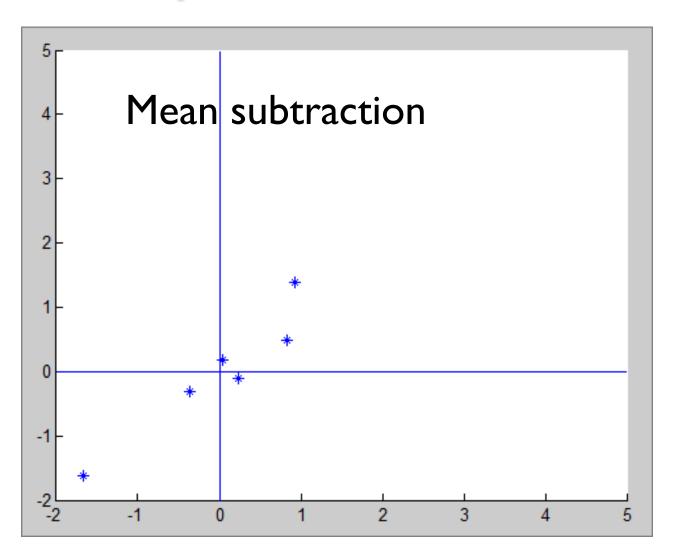
Computation of covariance matrix

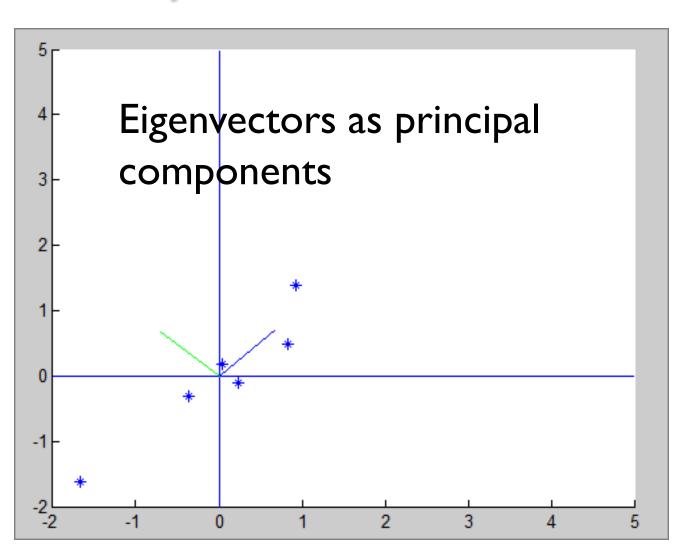
• 
$$cov(x,y) = \frac{1}{n-1} \sum_{i} x_i y_i$$

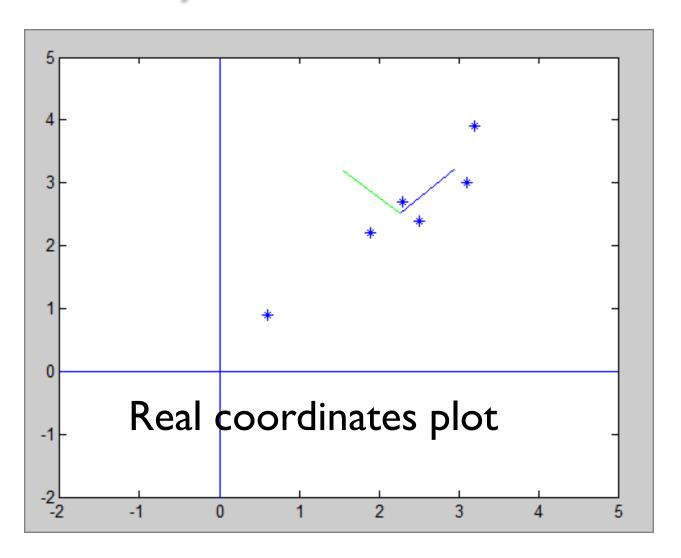
Solving using eigenvectors.
 Principal components = Eigenvectors of covariance matrix

 Solving using Singular Value Decomposition



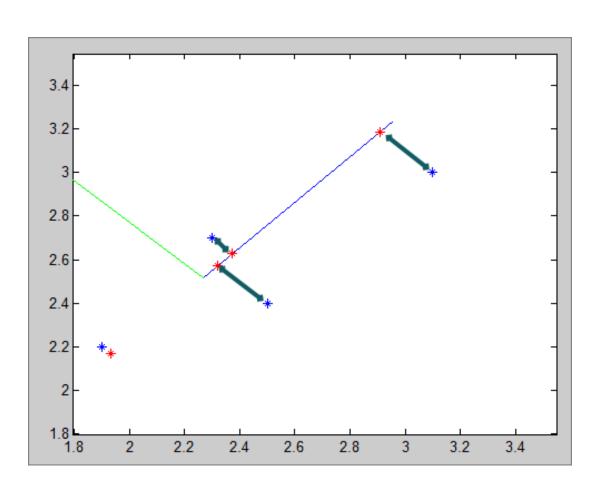






# Theory Residuals

• Distance between data point and the projected points.



# Disadvantage of traditional PCA

 A 3D model may be perturbed with noise and other variables.

 Using conventional PCA does not give correct principal axis as it gives equal weights to all points, inliers and outliers included.

# Principal Axes determination using major region.

Main contribution of Liu.

- Separate a model into major region and minor region.
- Major region are the inliers.
- Minor region are the outliers of the model.
- There is no overlap between the points of these two region.
- Use major region to compute principal axes.

# Least Median Squares method

- LMS is used to extract the outlier-free major region of a 3D point-based model.
- LMS is used to improve the limitation of PCA.
- LMS is a robust regression method that estimates the parameters of a model by minimizing the median of the absolute residuals.
- LMS has a breakdown of 50%.

# LMS problem and its solution

#### Objective function:

$$residual \ = \ \begin{matrix} min & median & \|(\boldsymbol{o} + \boldsymbol{\propto_i} \ \boldsymbol{e}) - \ \boldsymbol{p_i} \ \| \\ \boldsymbol{e} & i \end{matrix}$$

where,  $\mathbf{p_i}$  is the test point,  $\mathbf{o}$  is the origin, alpha is scalar quantity and  $\mathbf{e}$  the direction that minimizes the median of residuals.

#### Solution:

- RANSAC
  - Need to fix the initial k number of points
- Forward Search Algorithm
  - Automatically fixes k points

# LMS optimization by Forward Search Technique

#### **Steps:**

- I. Start from a small subset of robustly chosen samples of the data that excludes outliers.
- 2. Compute principal axes iteratively by adding samples into the initial subset, one at time.

## Assumptions for LMS computation

- There is no overlap of major region and minor region.
- The major region contains at least 50% of entire point set.

## Step I: Octree based point sampling

- To accelerate extraction of initial outlier-free subset, we use octree.
- Octree with nodes up to a depth level of 5 was created.

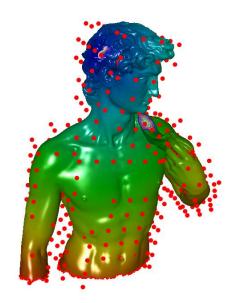


Fig: A model "david" with red points showing the centre of node of an octree.

## Step I: Octree based approximation

- Select 4 points for initial subset using octree based sampling.
- Compute principal axes. These are an initial approximation and are fine tuned later on during successive iterations process.

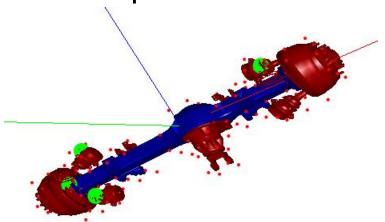


Fig: Four green points denote the initial subset of points.

## Step I: Residual band calculation

- Perform octree based approximation for T number of iterations. We chose different values of T.
- The Principal axis with smallest residual for feature points is our initial estimate
- The maximum residual among k points sets the residual band.

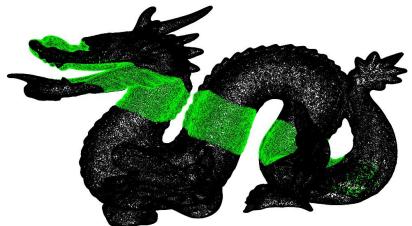


Fig: Green mark is the cylindrical shape of residual about the first principal axis.

# Step II: Forward search of major region

- Search and include points which has residuals within the residual band.
- Search and inclusion could be one point at a time or 'm' multiple points at a time.
- We chose, m = 100 for higher number of points and m
   = 30 for less.

- PCA
- Using Eigen library
  - Compute mean
  - Adjust data set
    - a. adjusted\_mat = pca\_mat.rowwise() vec\_mean.transpose();
  - Compute SVD
    - JacobiSVD <T> svd(adjusted\_mat2, ComputeThinU | ComputeThinV);
  - The columns of matrix V obtained contains the principal axis that we want

Residuals

 Adjusted data points are projected to the first principal component.

Shifted to the world coordinate.

 Calculate the residuals as the distance between projected point and data point.

#### Octree

#### Building:

- · Recursively built an octree.
- Maximum depth of 5 for our data.

#### Traverse:

Recursively traverse the octree to access a point

#### Tabulate points:

 Create table of feature points and cumulative density.

#### Octree (continued)

CDF	Points of an octree	
30	(12, 13, 4) ,(12, 32, 5),	
100	(123, 153, 42), (102, 132, 53),	
150	(232, 123, 80), (243, 132, 55),	
20120	(542, 523, 433), (562, 432, 521),	
20999	(700, 643, 423), (742, 562, 525),	
21212	(900, 138, 853), (942, 132, 895),	

Feature points of leaf node

(12, 15, 8)
(125, 140, 45)
(220, 120, 70)
(550, 450, 500)
(720, 580, 500)
(900, 138, 850)

#### Randomly sample point in octree

Step I:
Generate random number between
[0 - CDF] and
search the interval for that number.
(Use binary search)

Step 11: After locating the row select a point randomly.

Flow chart

Start

Load .off files and initialize parameters/variables.

Mesh is built using the given library.

LMS is executed to obtain the **k** random points

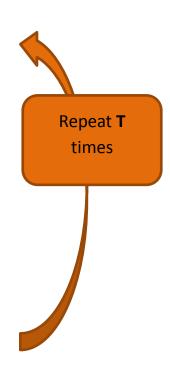
Flow chart (cont')

Octree of depth d is constructed

**k** points are randomly selected to make up **C**<sub>temp</sub>

PCA is computed on the subset **C**<sub>temp</sub> to obtain **e**<sub>1</sub> and **O** 

Residuals are computed for each feature point of the boundary cells and are stored in a set. Extract  $\mathbf{r}_{half}$ 



Flow chart (cont')

Compute  $\mathbf{r}_{max}$  from the  $\mathbf{r}_{t}$ .

 $C_{REM}$  is obtained by removing existing points in Q from  $C_N$  using binary search

Compute residuals for points in  $C_{REM}$ . Store  $C_{REM}$  in sorted multimap, key = residuals of the point.

> Add first **m** points into **Q**. Maximum residual of **m** points is  $> r_{max}$ ?

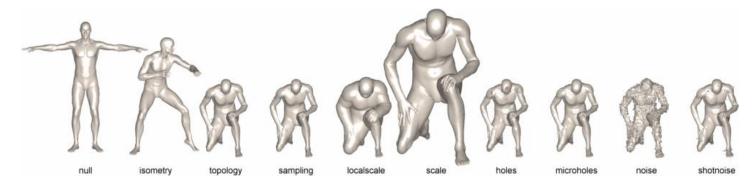
Repeat

MAX\_ITERS time

#### Tools

- Eigen Library
- Mesh Library
- OpenGL
- SHREC 2010 data set
- Qt

SHREC 2010 dataset [2]



off files
 contains coordinates of points
 index of points to a triangular face

## SHREC 2010: Transformations

• **Isometry:** Non-rigid almost inelastic deformations

Topology: Welding of shape vertices resulting in different

triangulation

• **Sampling:** The points are down sampled.

Local scale: Local parts of the models are scaled

Scale: Global scale, overall model is resized

• **Holes:** Big holes in the model.

Microholes: Smaller holes in the model.

Noise: Additive Gaussian noise to the points.

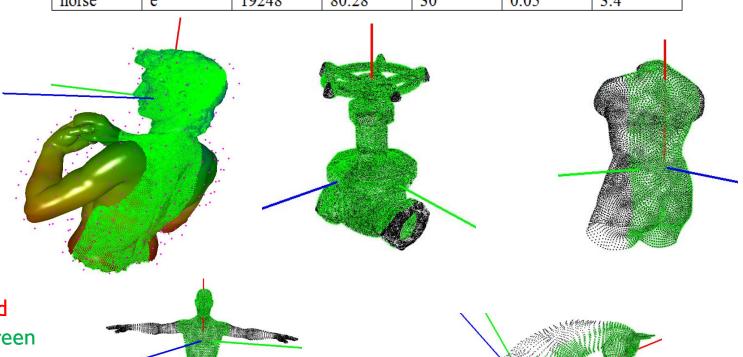
• Shot noise: Poisson distributed noise. This is also

known as Poisson noise.

## Results:

Table 1. Results of Robust Principal axes algorithm for point based models.

Model	Fig	N	Major %	m	T1(s)	T2(s)
david	a	121157	70.1	100	0.36	45
plumbery	b	125434	61.23	100	0.39	50
venus	c	11217	50	30	0.02	1.18
man	d	52565	59.9	30	0.15	18.29
horse	e	19248	80.28	30	0.05	3.4



Ist PA: Red

2<sup>nd</sup> PA: Green

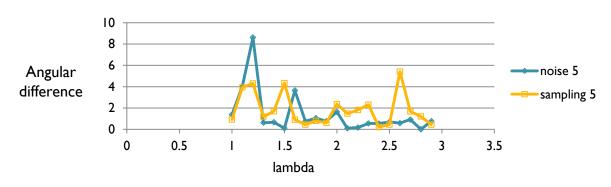
3rd PA: Blue

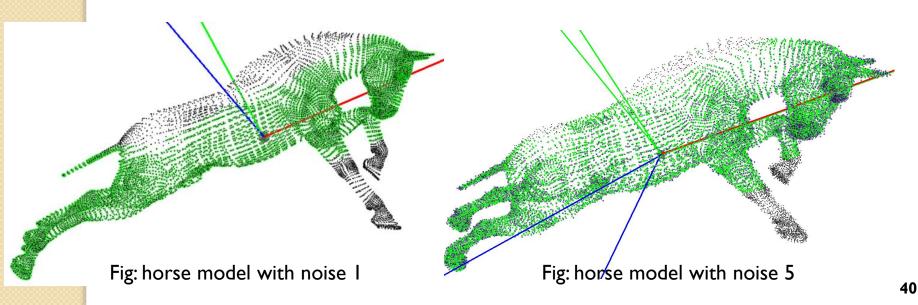
Major region: Green

Minor region: Black

### Effect of noise

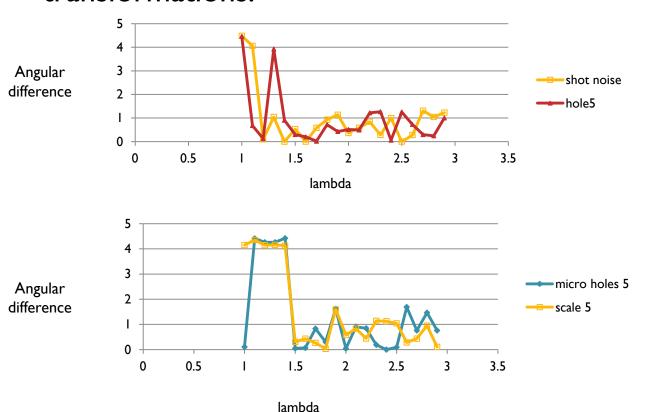
Angular difference of horse model in Noise I Vs noise 5 for different lambda constant determining residual bands.



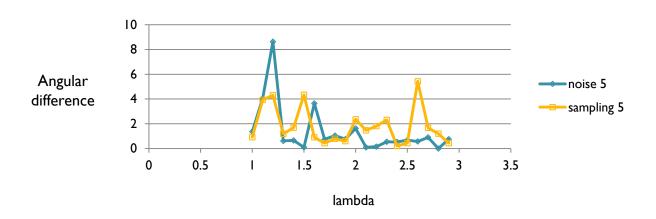


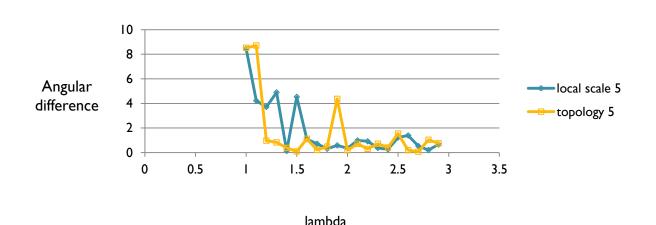
# Comparisons of model with least noise and transformed models.

 The graphs below are plot of angular difference between model noise I and some known transformations.



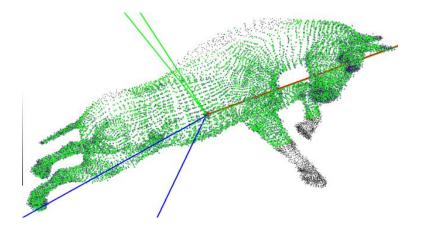
# Comparisons of model with least noise and transformed models.





#### Limitations

- Two main limitations in our project.
  - we computed only the first robust principal axis.

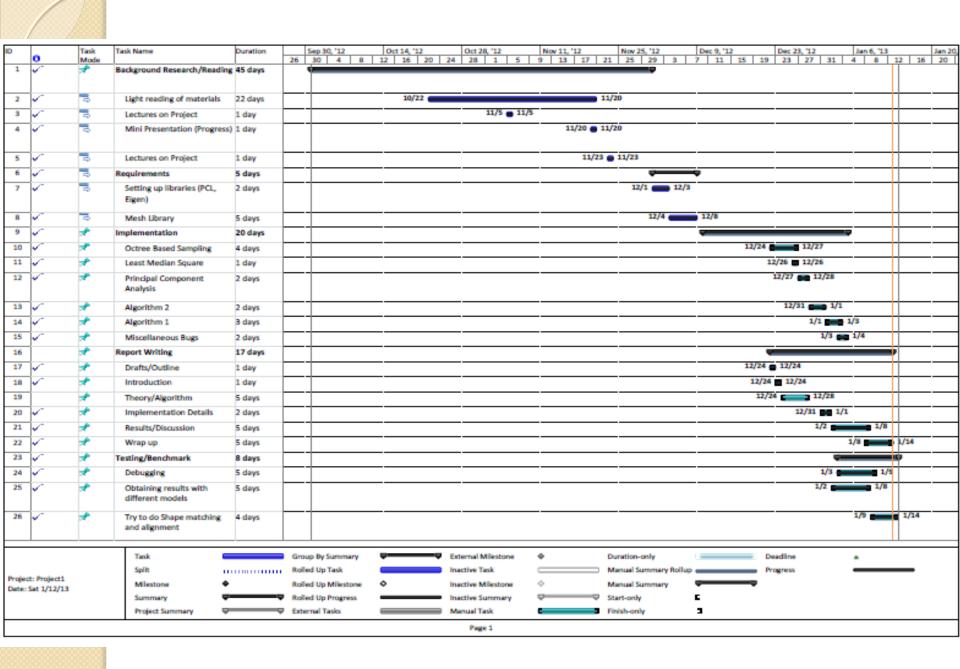


 We have not made comparison between transformation free model and a transformed model

## Project Management

Task Mode	Task Name	Duration	Start	Finish
*	Background	45 days	Mon 10/1/12	Fri 11/30/12
	Research/Reading			
3	Light reading of materials	22 days	Mon 10/22/12	Tue 11/20/12
3	Lectures on Project	1 day	Mon 11/5/12	Mon 11/5/12
3	Mini Presentation (Progress)	1 day	Tue 11/20/12	Tue 11/20/12
3	Lectures on Project	1 day	Fri 11/23/12	Fri 11/23/12
3	Requirements	5 days	Sat 12/1/12	Sat 12/8/12
3	Setting up libraries (PCL, Eigen)	2 days	Sat 12/1/12	Mon 12/3/12
3	Mesh Library	5 days	Tue 12/4/12	Sat 12/8/12
*	Implementation	20 days	Mon 12/10/12	Fri 1/4/13
*	Octree Based Sampling	4 days	Sat 12/22/12	Wed 12/26/12
A.	Least Median Square	1 day	Wed 12/26/12	Wed 12/26/12
*	Principal Component Analysis	2 days	Thu 12/27/12	Fri 12/28/12
*	Algorithm 2	2 days	Sat 12/29/12	Mon 12/31/12
*	Algorithm 1	3 days	Tue 1/1/13	Thu 1/3/13
*	Miscellaneous Bugs	2 days	Thu 1/3/13	Fri 1/4/13
*	Report Writing	17 days	Sat 12/22/12	Sat 1/12/13
*	Drafts/Outline	1 day	Sat 12/22/12	Sat 12/22/12
*	Introduction	1 day	Sun 12/23/12	Sun 12/23/12
*	Theory/Algorithm	5 days	Mon 12/24/12	Fri 12/28/12
*	Implementation Details	2 days	Mon 12/31/12	Tue 1/1/13
A.	Results/Discussion	5 days	Wed 1/2/13	Tue 1/8/13
*	Wrap up	5 days	Tue 1/8/13	Sat 1/12/13
*	Testing/Benchmark	8 days	Thu 1/3/13	Sun 1/13/13
*	Debugging	5 days	Thu 1/3/13	Wed 1/9/13
*	Obtaining results with different models	5 days	Wed 1/2/13	Tue 1/8/13
*	Try to do Shape matching and alignment	4 days	Wed 1/9/13	Sun 1/13/13

\*tentative



#### Conclusion

- We implemented robust principal axes determination of point-based shapes using least median squares (LMS) method.
- We used octree based approximation and point sampling for LMS optimization.
- We extracted major region.
- Finally, we computed first principal axis.

#### Future Recommendation

- Use the method suggested by Liu to update second and third principal axis.
- Use the algorithm for various applications like, shape alignment, as a preprocessing step of Iterative Close Point (ICP) approximation for 3D surface reconstruction.

### Reference

[1] L. Yu-Shen and R. Karthik, "Robust principal axes determination for point-based shapes using least median of squares," Computer-Aided Design, vol. 41, pp. 293-305, 2009.

[2] A. M. Bronstein, M. M. Bronstein, U. Castellani, A. Dubrovina, L. J. Guibas, R. K. R. P. Horaud, D. Knossow, E. v. Lavante, D. Mateus, M. Ovsjanikov and A. Sharma, "SHREC 2010: robust correspondence benchmark," in Proc. EUROGRAPHICS Workshop on 3D Object Retrieval (3DOR), 2010.

## Acknowledgements

.off loader by Juan

Yohan's Mesh Library

Danda Pani Paudel

# Questions?